### CS3100 Final Note Sheet

### Notation

 $\overline{L}$  Negation of language L.

 $L^R$  The reversal of language L.

 $L^*$  The Kleene-Star of L.

AB The concatenation of language A and B.

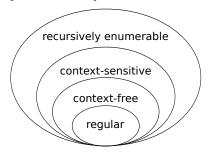
h(L) A homomorphism (a function that maps every input to a unique output) of L.

 $A \setminus B$  Set difference of A and B. A-B is the same thing.

 $2^A$  The power-set of set A.

 $f: x_1, x_2, \ldots, x_n \to y_1, y_2, \ldots, y_n$  denotes a function f that when given  $x_1, \ldots, x_n$  as inputs yields  $y_1, \ldots, y_n$  as outputs.

## Chomsky Hierarchy



# Regular Languages

A regular language is any language that can be recognized with a DFA. Formally a DFA is a tuple  $(Q, \Sigma, \delta, q_0, F)$ . Where:

Q A finite, non-empty set of states.

 $\Sigma$  A finite, non-empty alphabet.

δ A function  $(δ : Q \times Σ \rightarrow Q)$  that maps a state, and an input in Σ to a new state.

 $q_0$  A state in Q that DFA starts execution from.

 $F \subseteq Q$  A finite, possibly empty, set of accepting states.

Alternatively, regular languages can be defined by an NFA. Formally, NFAs are the same as DFAs, except the  $\delta$  function for NFAs is defined as:

$$\delta: Q \times (\Sigma \cup \{\varepsilon\}) \rightarrow 2^Q$$

Where  $2^Q$  represents the power-set of Q. Basically, the delta function can now map an input to multiple states instead of just one state.

#### Closures

Where R is a regular language, L is 'not regular', and ? is Unknown.

Closed:		${f Unclosed:}$
$\overline{R} \to R$	$h(R) \rightarrow R$	$R \cap L \rightarrow ?$
$R^* \rightarrow R$	$R \cup R \rightarrow R$	$R \cup L \rightarrow ?$
$R^R \rightarrow R$	$R \cap R \rightarrow R$	$L \cup L \rightarrow ?$
$RR \rightarrow R$	$R \setminus R \to R$	

#### **Pumping Lemma**

$$\exists N \in \mathbb{N} :$$

$$\forall w \in L : |w| \ge N \Rightarrow$$

$$\exists xyz \in \Sigma^* : \quad w = xyz$$

$$\land |xy| \le N$$

$$\land y \ne \varepsilon$$

$$\land \forall i \ge 0 : xy^iz \in L$$

### **Operations**

**DFA Negation:** Mark all non-final states final and all final states non-final.

**DFA Reversal:** Introduce a new initial state ' $q_I$ '. Add  $\varepsilon$  transitions from this new state to all old final states and mark these states as non-final. Reverse all arrows in the DFA, and then mark the old initial state  $q_0$  as final.

**DFA Concatenating** (AB): Add  $\varepsilon$  transitions from all of A's final states to B's initial state. Mark A's final states as non-final.

**DFA Union**  $(A \cup B)$ : Set the Q parameter of the new DFA to A's Q crossed with B's Q:  $Q_{\text{new}} = A_Q \times B_Q$ , Q will now contain pairs. Now change the  $\delta$  function to be in terms of both A's delta function and B's delta function:  $\delta_{\text{new}} = f((q_A, q_B), s) \rightarrow (\delta_A(q_A, s), \ \delta_B(q_B, s))$ . That is to say, if A had state  $q0_A$  that went to state  $q1_A$  on input 0, and B had state  $q2_B$  that went to state  $q3_B$  state on input 0, then the new state  $(q0_A, q2_B)$  would go to state  $(q1_A, q3_B)$  on input 0.

The state that contains both DFA's initial state becomes the new initial state. New states where either item in the pair were final states, become final. **DFA Intersection**  $(A \cap B)$ : Exactly the same as DFA union except only pairs of state where **both** states in the pair are final become final states.

Conversions

NFA to DFA

RE to NFA

DFA to RE

**DFA** Minimization

Table Conversion Algorithm

Brzozowski's Algorithm

This algorithm is quite simple. The crux of it is that an NFA to DFA conversion naturally results in a minimization of the NFA. So the algorithm is as-follows: Take a DFA, reverse it to get an NFA, convert that NFA to a DFA again, take this new reversed DFA and reverse it to get the original language back, then convert the NFA resulting from the reversal into a DFA.

## Context Free Languages

#### Closures

Where C is a context-free language, R is a regular language, and ? is an Unknown language.

Closed:		Unclosed:
$C^R \rightarrow C$	$C \cup C \rightarrow C$	$\overline{C} \rightarrow ?$
$C^* \rightarrow C$	$C \cap R \rightarrow C$	$C \cap C \rightarrow ?$
$CC \rightarrow C$	$C \cup R \rightarrow R$	$C \setminus C \rightarrow ?$
$h(C) \rightarrow C$		

## Pumping Lemma

$$\exists N \in \mathbb{N} :$$

$$\forall w \in L : |w| \ge N \Rightarrow$$

$$\exists uvxyz \in \Sigma^* : \quad w = uvxyz$$

$$\land |vy| > 0$$

$$\land |vxy| \le N$$

$$\land \forall i > 0 : uv^i xy^i z \in L$$

#### CFG to PDA Conversion

#### **Chomsky Normal Form**

### Cocke-Kasami-Younger (CKY) Parsing

#### **Ambiguous Context Free Languages**

These are languages that have two separate parse-trees. To prove that a language is ambiguous, show that it actually has two separate parse-trees.

Example ambiguous grammar:

$$E \rightarrow E + E \mid E * E \mid \text{NUMBER}$$

#### Consistency and Completeness

**Consistency:** All strings generated by a grammar are in the language.

**Completeness:** The grammar generates all strings in the language.

You cannot know that a grammar defines a language until you show both. For example, if we want to define the language  $\{a^nb^n|n\in\mathbb{N}\}$ , the grammar:

$$S \rightarrow aabb$$

Is consistent because it only generates strings in the language, but not complete because it doesn't generate all strings in the language. Likewise, the grammar:

$$S \rightarrow aS \mid bS \mid \varepsilon \quad (grammar for \{a, b\}^*)$$

Is complete, it generates all possible strings in the language, but not consistent because it generates many strings that are, in-fact, outside of the language.

## Cardinality

### Diagonalization

#### Schröder-Bernstein Theorem

The Schröder-Bernstein theorem states that, for any two sets A and B if there exists an *injective* function from  $A \to B$ , and there exists an injective function from  $B \to A$ , then |A| = |B|. Note that the injective function doesn't require every item of A to map to every item of B, only that every item of A maps to an item of B (and vice versa).

#### **Pairing Functions**

## **Turing Machines**

### Terminology and Notation

 $\langle M \rangle$  String representation of Turing machine M.

halting When a machine stops execution.

acceptance When a machine halts in a final state.

rejection When a machine halts and is not in a

final state.

decider A decider is a Turing machine that defines a language of Turing machines that conform to a yes or no question.

# Post's Correspondence Problem Decidability

Turing Recognizable & Recursively Enumerable Bang.

#### **Halting Problem**

The halting problem states building a Turing machine P that can detect whether any other Turing machine will halt is impossible. The proof is as follows:

Assume that we have a Turing machine P, that when given a Turing machine M and string w as input  $(\langle M, w \rangle)$ , P will (in a finite computation time) accept in the case that M halts on input w, or reject in the case that M loops on input w. We can then define a new Turing machine Q that takes a single Turing machine M as input. Q will then ask P whether machine M halts when given itself as input (does  $P(\langle M, M \rangle)$  halt?). If P accepts (says that M halts) the Q will loop. If P rejects (says M will loop) then Q halts. Now, we can supply Q as input to machine Q. Q will then run  $P(\langle Q, Q \rangle)$ . If P accepts, then Q will begin to loop, but P said that Q would halt. This is a contraction, a general P decider for the halting problem cannot exist.

## Mapping Reduction

A mapping reduction between  $A \subseteq \Sigma^*$  and  $B \subseteq \Sigma^*$  is a function  $f: \Sigma^* \to \Sigma^*$  if  $\forall x \in \Sigma^*, x \in A \Leftrightarrow f(x) \in B$ . More plainly, a function f such that I can pick any x in A, and f(x) will also be in B. The "mapping reduction from A to B" is typically denoted as  $A \leq_m B$ . A map-

ping reduction in polynomial time is denoted  $A \leq_p B$ . The general steps for a mapping reduction  $A \leq_m B$  are as follows:

- 1. A is designated the "known undecidable" language.
- $2.\ B$  is designated the "unknown" language.
- 3. Create a function f that maps all elements of A into B.

To form f you usually assume the decider for B ( $D_B$ ), then you construct a machine M that uses to decider  $D_B$  to become a decider for A ( $D_A$ ). For example, we can map  $A_{TM}$  onto  $Halt_{TM}$  using the following method:

Assume that a decider R for  $A_{TM}$  exists. We will now construct a decider S for  $Halt_{TM}$  from R. S has two inputs a machine M and an input string w. First, S will run decider R on  $\langle M, w,$  if R accepts, then S accepts. If R rejects, then S accepts. We now have a decider for  $Halt_{TM}$  which is undecidable, a decider for  $A_{TM}$  cannot exist.

#### Rice's Theorem

"Every non-trivial partitioning of the space of Turing machine codes based on the languages recognized by these Turing machines is undecidable."

More formally, given a property  $\mathcal{P}$ , where  $\mathcal{P}$  is non-trivial (not  $\emptyset$  or  $\Sigma^*$ ) the language below is undecidable.

 $\langle M \rangle \mid M$  is a Turing machine and  $\mathcal{P}(Lang(M))$ 

# NP-Completeness

#### Problem Classes

- **P:** The set of problems that can be solved in polynomial time. Contained in NP.
- **NP:** The set of problems that can be solved in non-deterministic polynomial time.
- **NP-hard:** The set of problems that can be polynomial time reduced to every other problem in NP.
- NP-complete: The set of problems that are in both NP, and NP-hard.

## Proving NP-Completeness

There are two steps to proving that a languages is in NP-complete. First you have to show that it is NP, and

then you have to show that it is in NP-hard.

#### Verifiers

One way to show that a problem is in NP is by using a verifier. A verifier is a Turing machine  $V_L$  such that for all  $w \in \Sigma^*$ , their exists some c such that  $w \in L$  when  $V_L(w,c)$  accepts. Intuitively this can be understood as "There is a machine that can check the answers to problems quickly".

[NEEDS MORE]

Deciders

NP-hard Reduction