

Mobilkommunikation - Mobile Communications

Lecture 5: Random Access

Prof. Dr.-Ing. Markus Fidler



Institute of Communications Technology
Leibniz Universität Hannover

May 13, 2016



So far multiple access is coordinated

- ▶ F/T/CDMA
- ▶ static allocation or dynamic assignment

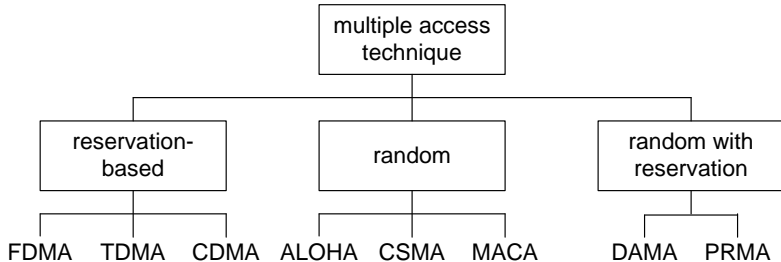
However, wireless communication is often much more ad-hoc

- ▶ new terminals have to register with the network
- ▶ terminals request access to the medium spontaneously
- ▶ in many cases there is no central control

Need other access methods

- ▶ distributed
- ▶ non-arbitrated

⇒ random access



- ▶ reservation-based: fixed allocation of resources to terminals
- ▶ random access: no collision free allocation; terminals compete for the channel using randomized procedures

Many access networks, e.g., Local Area Networks (LANs), Wireless LANs, and radio access networks, use a shared medium, i.e., Ether.

Advantages:

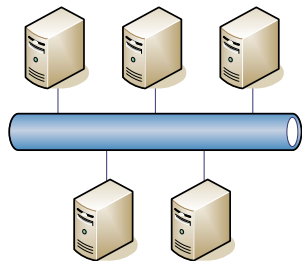
- ▶ access to the entire medium
- ▶ statistical multiplexing

Need: medium access control

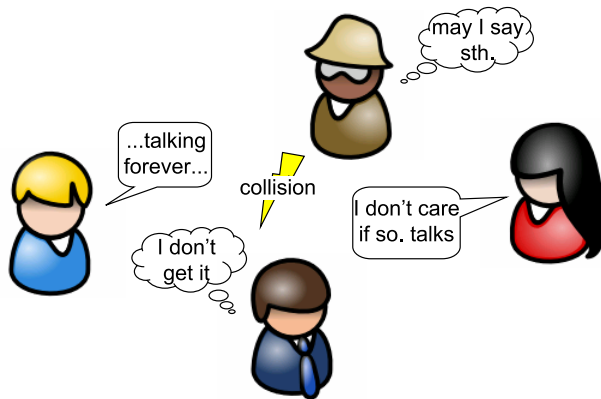
- ▶ non-carrier sense
- ▶ vs. carrier sense

Choice depends on the quotient of

- ▶ propagation delay
- ▶ transmission delay



Consider a number of participants at a typical meeting.



Think about it: What is the protocol for sharing the medium?



ALOHA

- Slotted ALOHA

- Pure ALOHA

Carrier sense multiple access

- Renewal theory

- CSMA throughput model

- Collision avoidance

Random access with reservation

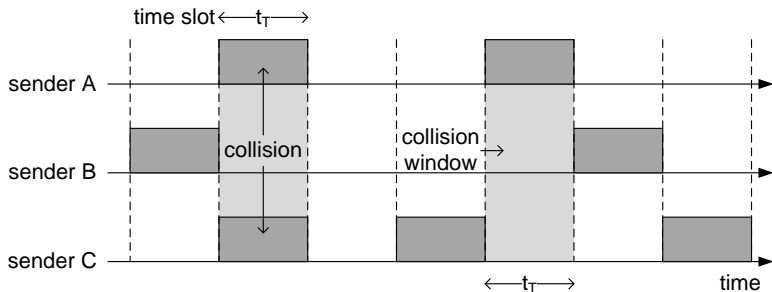


The ALOHA protocol was developed by Abramson for a wireless computer network between the Hawaii islands. It is used, e.g., for the GSM Random Access Channel.

A number of hosts share a wireless channel

- ▶ if a host has data for transmission it sends the data immediately
- ▶ the hosts do not consider other potentially sending hosts
- ▶ if two or more packets are transmitted at the same time they are destroyed
- ▶ these packets are retransmitted after a random time

What is the impact of packet collisions on the performance, i.e., what is the maximally achievable throughput?



- ▶ synchronous TDM scheme with slot time t_T
- ▶ constant sized packets with transmission time t_T
- ▶ collision window t_T



Assume N stations contend for the channel

- ▶ all stations are identical and statistically independent
- ▶ the probability that a station sends in a given time-slot is p
- ▶ the probability that a station transmits a packet without collision is $p(1 - p)^{N-1}$
- ▶ the probability that any one out of N stations transmits a packet without collision is the throughput $S = Np(1 - p)^{N-1}$

The throughput is maximized for

$$\frac{\partial}{\partial p} Np(1 - p)^{N-1} = N(1 - p)^{N-1} - Np(N - 1)(1 - p)^{N-2} = 0$$

and after simplification $1 - p = (N - 1)p$ yields $p = 1/N$ such that $S_{\max} = (1 - 1/N)^{N-1}$



If the number of contending stations is large we have in the limit $N \rightarrow \infty$ that

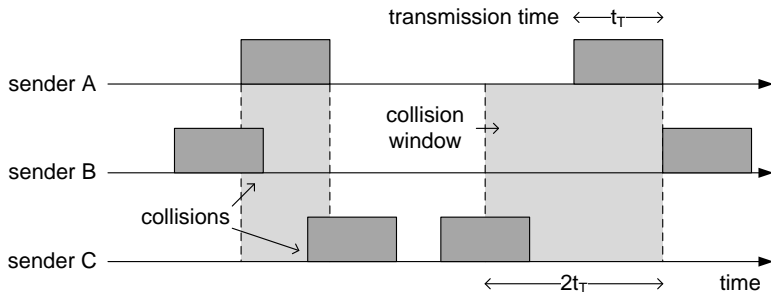
$$\lim_{N \rightarrow \infty} S_{\max} = \lim_{N \rightarrow \infty} \left(1 - \frac{1}{N}\right)^{N-1} = \frac{1}{e} \approx 0.368$$

since

- ▶ $\lim_{N \rightarrow \infty} (1 - 1/N) = 1$
- ▶ $\lim_{N \rightarrow \infty} (1 - 1/N)^N = 1/e$

The fewer stations contend the better the throughput, e.g.,

- ▶ $S_{\max} = 1$ for $N = 1$
- ▶ $S_{\max} = 1/2$ for $N = 2$



- ▶ asynchronous
- ▶ constant sized packets with transmission time t_T
- ▶ collision window $2t_T$



Assume all stations use packets with transmission duration t_T

- ▶ the probability that a station sends in $[t, t + t_T]$ is p
- ▶ the probability that no other station starts transmitting in $[t, t + t_T]$ is $(1 - p)^{N-1}$
- ▶ the probability that no other station started transmitting in $[t - t_T, t]$ is $(1 - p)^{N-1}$
- ▶ the probability that a station transmits a packet without collision is $p(1 - p)^{2(N-1)}$
- ▶ the probability that any one out of N stations transmits a packet without collision is the throughput $S = Np(1 - p)^{2(N-1)}$



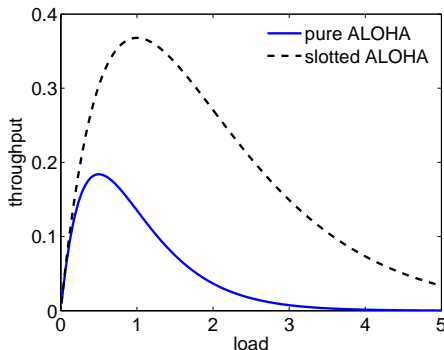
The throughput is maximized for

$$\frac{\partial}{\partial p} Np(1-p)^{2(N-1)} = N(1-p)^{2(N-1)} - Np2(N-1)(1-p)^{2(N-1)-1} = 0$$

and after simplification $1 - p = 2(N - 1)p$ yields $p = 1/(2N - 1)$
such that $S_{\max} = N/(2N - 1) (1 - 1/(2N - 1))^{2(N-1)}$

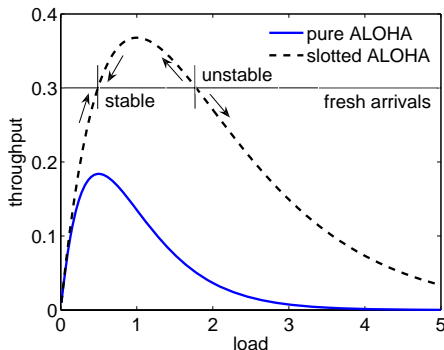
If the number of contending stations is large we have in the limit
 $N \rightarrow \infty$ that

$$\lim_{N \rightarrow \infty} S_{\max} = \lim_{N \rightarrow \infty} \frac{N}{(2N - 1)} \left(1 - \frac{1}{2N - 1}\right)^{2(N-1)} = \frac{1}{2e} \approx 0.184$$



The simple model neglects retransmissions respectively retries.

- ▶ As an extension: $\text{load} = \text{fresh arrivals} + \text{retransmissions}$
- ▶ For stability: $\text{rate of fresh arrivals} = \text{throughput}$



The simple model neglects retransmissions respectively retries.

- ▶ As an extension: $\text{load} = \text{fresh arrivals} + \text{retransmissions}$
- ▶ For stability: $\text{rate of fresh arrivals} = \text{throughput}$

Pure and slotted ALOHA are unstable for loads larger than 0.5, respectively, 1. ALOHA requires a cautious retransmission strategy.



ALOHA

Slotted ALOHA

Pure ALOHA

Carrier sense multiple access

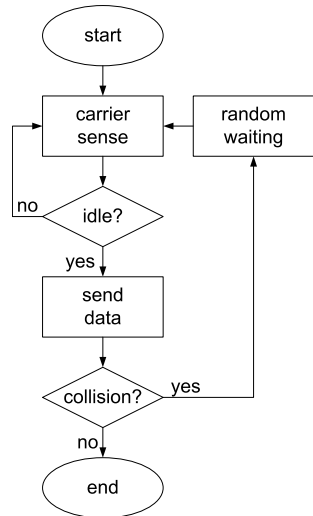
Renewal theory

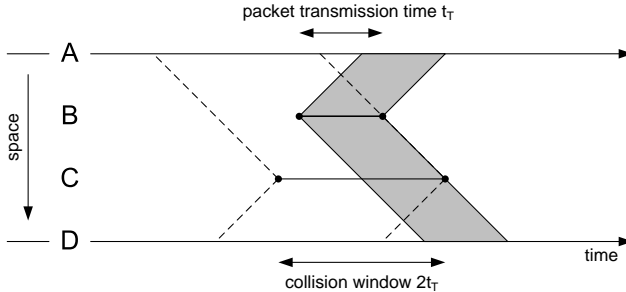
CSMA throughput model

Collision avoidance

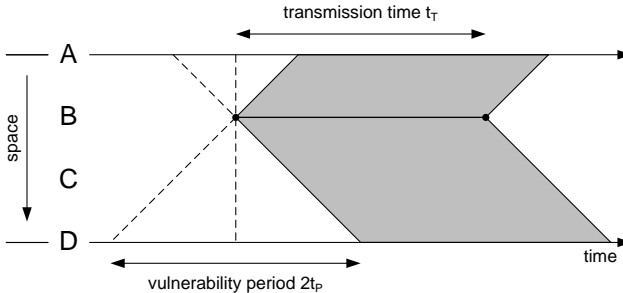
Random access with reservation

- ▶ stations sense the channel before transmitting (listen before talk)
 - ▶ if the station finds the channel idle it starts sending
 - ▶ if the station finds the channel busy it defers sending
 - ▶ non-persistent: try again after random waiting time
 - ▶ 1-persistent: try again immediately
 - ▶ p-persistent: try again, if idle send with probability p , wait one slot with $1 - p$
- ▶ if no acknowledgement is received, a collision is assumed
- ▶ does not solve the hidden and exposed station problems





- ▶ transmission time t_T
- ▶ propagation delay t_P
- ▶ collision window $2t_T$ resp. t_T



A packet transmission, e.g., from B is vulnerable

- ▶ until all stations sense the ongoing transmission
- ▶ once the medium is sensed busy the protocol forbids that other stations start sending
- ▶ vulnerability period $2t_P$



Is CSMA generally better than ALOHA?

1. Case 1: local area network

- ▶ vulnerability period at most $2t_P$
- ▶ packet size 1500 Byte, 100 Mbps link: $t_T = 0.12 \text{ ms}$
- ▶ 100 meter distance: $t_P \approx 0.5 \mu\text{s}$
- ▶ $t_T \gg t_P$ favors CSMA

2. Case 2: satellite link

- ▶ collision window $2t_T$ respectively t_T
- ▶ geosynchronous satellite (RFC 2488): $t_P \approx 250 \text{ ms}$
- ▶ $t_P \gg t_T$ favors ALOHA
- ▶ carrier sense only provides old, outdated information



Given a random variable X . The integral of the probability density function (pdf) $f_X(x) \geq 0$ denotes the probability that X takes a value within an interval $[a, b]$

$$P(a \leq X \leq b) = \int_a^b f_X(x) dx.$$

Clearly, it also holds that

$$\int_{-\infty}^{\infty} f_X(x) dx = 1.$$

The cumulative distribution function (cdf) is defined as

$$F_X(a) = P(X \leq a) = \int_{-\infty}^a f_X(x) dx.$$

Conversely, the probability density function follows as

$$f_X(x) = \frac{dF_X(x)}{dx}.$$



The expected value of a random variable X is defined as

$$\mathbb{E}[X] = \int_{-\infty}^{\infty} x f_X(x) dx.$$

For the expected value of the sum of two random variables $X + Y$ it holds that

$$\mathbb{E}[X + Y] = \mathbb{E}[X] + \mathbb{E}[Y].$$



Counting process $K(t)$

- ▶ counts the number of arrivals (random events) $K(t)$ in $[0, t]$

Inter-arrival times X_i

- ▶ time between arrival i and $i - 1$

The counting process $K(t)$ is a renewal process if the inter-arrival times X_i are independent and identically distributed (iid).

Example: Consider light bulbs that fail after some iid random time. A single bulb is used at a time and replaced immediately if it fails. The process $K(t)$ denotes the number renewals by time t .



A well-known counting process is the Poisson process where

$$P[K(t) = x] = \frac{(\lambda t)^x}{x!} e^{-\lambda t}$$

The expected value of $K(t)$ is $E[K(t)] = \lambda t$ where λ is the mean arrival rate.

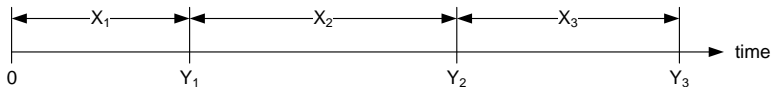
For the time X until the next arrival takes place it follows that

$$P[X > t] = P[K(t) = 0] = e^{-\lambda t},$$

i.e., the time between two arrivals is exponentially distributed. The expected value of X is $E[X] = 1/\lambda$.



Inter-arrival times X_i and renewal times Y_i



The time of the k -th renewal can be expressed as

$$Y_k = \sum_{i=1}^k X_i$$

Under the assumptions of the strong law of large numbers the sample average converges to the expected value

$$\frac{Y_k}{k} = \frac{1}{k} \sum_{i=1}^k X_i \rightarrow \mathbb{E}[X] \quad \text{as } k \rightarrow \infty$$



Consider

- ▶ $Y_{K(t)}$ the time of the last renewal before or at time t
- ▶ $Y_{K(t)+1}$ the time of the first renewal after t

such that

$$\frac{Y_{K(t)}}{K(t)} \leq \frac{t}{K(t)} < \frac{Y_{K(t)+1}}{K(t)}$$



Consider

- ▶ $Y_{K(t)}$ the time of the last renewal before or at time t
- ▶ $Y_{K(t)+1}$ the time of the first renewal after t

such that

$$\frac{Y_{K(t)}}{K(t)} \leq \frac{t}{K(t)} < \frac{Y_{K(t)+1}}{K(t)}$$

For $t \rightarrow \infty$ we have $K(t) \rightarrow \infty$ and both sides converge to $E[X]$ such that

$$\frac{K(t)}{t} \rightarrow \frac{1}{E[X]} \quad \text{as } t \rightarrow \infty$$

Example: from the mean inter-arrival time $E[X]$ it follows that $K(t)/t = 1/E[X]$ for $t \rightarrow \infty$ is the average rate of renewals.



Each renewal k may come with a reward (or cost) denoted R_k .
The total reward earned by time t is

$$R(t) = \sum_{k=1}^{K(t)} R_k$$

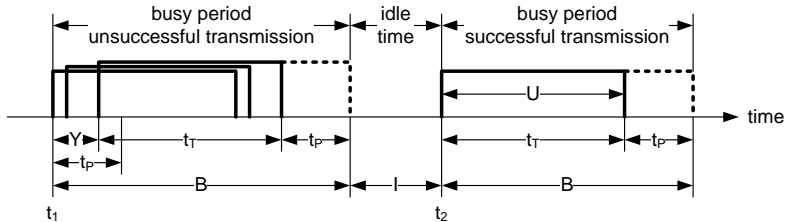
The average reward becomes

$$\frac{R(t)}{t} = \left(\frac{R(t)}{K(t)} \right) \left(\frac{K(t)}{t} \right) = \left(\frac{\sum_{k=1}^{K(t)} R_k}{K(t)} \right) \left(\frac{K(t)}{t} \right)$$

and for $t \rightarrow \infty$ the two factors converge (owing to the strong law of large numbers) to $E[R]$ and $1/E[X]$ respectively. The long term average reward becomes

$$\lim_{t \rightarrow \infty} \frac{R(t)}{t} = \frac{E[R]}{E[X]},$$

i.e., mean reward per renewal divided by mean length of a renewal.



Required notation: Denote

- ▶ B the duration of a busy period
- ▶ I the duration of an idle period
- ▶ U the time during which the channel is used without conflicts
- ▶ Y the time of the last arrival that causes a conflict if any

We normalize the model with respect to t_T such that $t_T = 1$.



We want to compute the average throughput for the simplest case, i.e., non-persistent CSMA.

Phrasing the problem using renewal theory we have

- ▶ length of a renewal $B + I$
- ▶ reward per renewal U

The reward per renewal U is the time during which the channel is used without conflicts. Hence, the long term average reward is the average utilization such that

$$S = \frac{E[U]}{E[B + I]} = \frac{E[U]}{E[B] + E[I]}.$$

The reward is

- ▶ t_T if there is no collision (we assume $t_T = 1$)
- ▶ 0 if there is a collision



The number of packets that are transmitted during a time interval of length t is a random variable K .

Under relatively general assumptions (large number of independent stations) it can be modeled as a Poisson process with mean rate λ

$$P[K = x] = p(x) = \frac{(\lambda t)^x}{x!} e^{-\lambda t}.$$

The probability that there is no collision, i.e., no further arrival during t_P is

$$p(0) = e^{-\lambda t_P}.$$

The mean reward becomes (with $t_T = 1$)

$$E[U] = t_T e^{-\lambda t_P} = e^{-\lambda t_P}.$$



The mean duration of an idle period is simply the expected inter-arrival time $E[I] = 1/\lambda$ of the Poisson arrival process.

The mean duration of a busy period is $E[B] = E[Y] + t_T + t_P$.

The distribution of Y is for $0 \leq y \leq t_P$

$$F_Y(y) = P[Y \leq y] = P[\text{no arrival in } t_P - y] = e^{-\lambda(t_P - y)}$$

The density function is derived by differentiation

$$f_Y(y) = \frac{dF_Y(y)}{dy} = \lambda e^{-\lambda t_P} e^{\lambda y}$$

and the expected value follows (using partial integration

$\int u'v = uv - \int uv'$) as

$$E[Y] = \int_0^{t_P} y f_Y(y) dy = t_P - \frac{1}{\lambda}(1 - e^{-\lambda t_P})$$

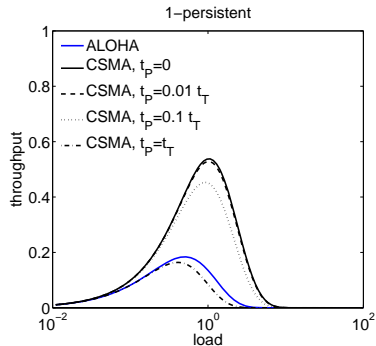
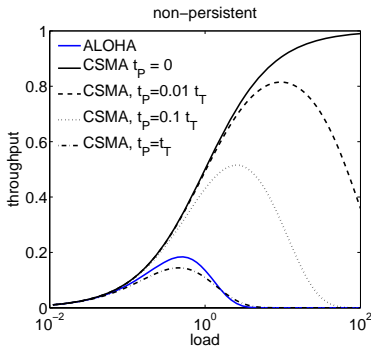


Putting all pieces together, the throughput of non-persistent CSMA is

$$S = \frac{\lambda e^{-\lambda t_P}}{\lambda(1 + 2t_P) + e^{-\lambda t_P}}$$

More involved are models for 1- and p-persistent CSMA. For 1-persistent CSMA the throughput can be computed as

$$S = \frac{\lambda(1 + \lambda + t_P\lambda(1 + \lambda + t_P\lambda/2))e^{-\lambda(1+2t_P)}}{\lambda(1 + 2t_P) - (1 - e^{-\lambda t_P}) + (1 + t_P\lambda)e^{-\lambda(1+t_P)}}$$



For small propagation delays t_P , e.g., in local area networks CSMA outperforms ALOHA significantly.

Non-persistent CSMA achieves higher throughput (why?) than 1-persistent CSMA, however, at the cost of additional latencies.



MACA uses a two step signalling procedure to address the hidden and exposed terminal problems

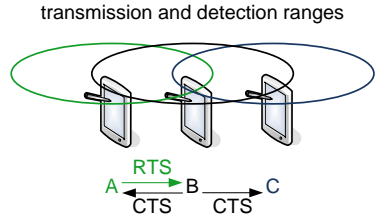
- ▶ request to send (RTS): sender broadcast a request to send
- ▶ clear to send (CTS): receiver broadcasts a clear to send

Signalling packets contain

- ▶ sender address
- ▶ receiver address
- ▶ packet size
 - ▶ network allocation vector (NAV)
 - ▶ duration during which other stations have to keep quiet to avoid a collision

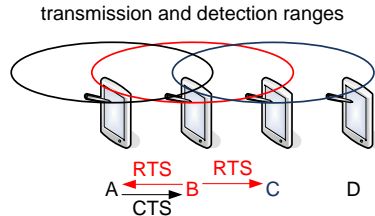
Hidden terminal C

- ▶ C does not hear A
- ▶ but C hears the CTS
- ▶ C keeps silent



Exposed terminal C

- ▶ A does not hear C
- ▶ but C hears B
- ▶ C does not hear the CTS
- ▶ C may send, e.g., to D





ALOHA

Slotted ALOHA

Pure ALOHA

Carrier sense multiple access

Renewal theory

CSMA throughput model

Collision avoidance

Random access with reservation



Motivation

- ▶ the efficiency of ALOHA is very poor (18 %, 36 %)
- ▶ reservation can significantly increase efficiency

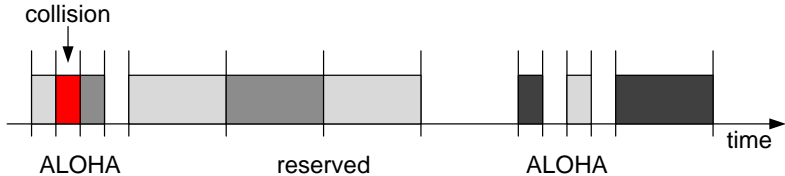
DAMA, also called reservation ALOHA, allows a sender to reserve timeslots. Two phase approach

- ▶ reservation phase: contention using slotted ALOHA and short reservation packets
- ▶ transmission phase: collision-free transmission using reserved timeslots

Assessment

- ▶ advantage: only short reservation messages collide
- ▶ disadvantage: adds additional delays

Demand assigned multiple access (DAMA)



Alternating (in TDM fashion)

- ▶ reservation phase
- ▶ transmission phase

⇒ explicit reservation

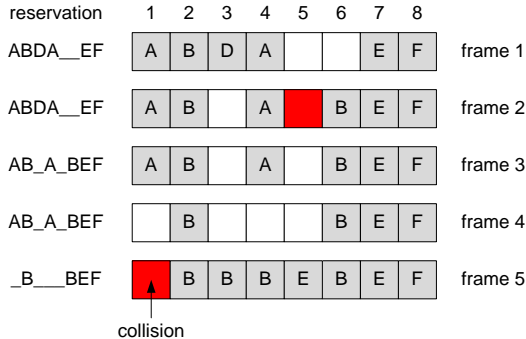
Packet reservation multiple access (PRMA)



PRMA uses a repeating frame structure of slots

- ▶ slotted ALOHA is used to compete for free slots
- ▶ if a station wins, the slot is reserved in subsequent frames
- ▶ slots become free if stations stop sending

⇒ implicit reservation





- ▶ J. Schiller, *Mobile Communications*, Second Edition, Addison-Wesley, 2003.
- ▶ N. Abramson: *The ALOHA System - Another alternative for computer communications*, AFIPS Conference Proceedings, Vol. 36, 1970, pp. 295-298.
- ▶ L. Kleinrock, and F. A. Tobagi: *Packet Switching in Radio Channels: Part 1 - Carrier Sense Multiple-Access Modes and Their Throughput-Delay Characteristics*, IEEE Transactions on Communications, 23(12):1400-1416, 1975.