

Lecture 10

§1 Graph sketching

1. Key components

1^o Domain D and symmetry (even or odd function)

2^o Critical points

3^o Intervals of monotonicity

4^o Points of inflection and intervals of concavity

5^o Asymptotes

6^o x- and y- intercepts (截距)

e.g. Sketch $y=f(x)$ where $f(x) = \frac{x^2+4}{2x}$

① Domain D and symmetry (even or odd function)

$$D = (-\infty, 0) \cup (0, +\infty)$$

$f(-x) = -f(x)$, so f is an odd function

\Rightarrow symmetric about origin

For analysis below we will only consider $x > 0$

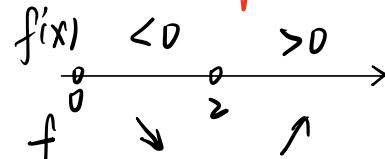
② Critical points

$$f'(x) = \frac{2x(2x) - 2x^2 - 8}{4x^2} = \frac{x^2 - 4}{2x^2}$$

$$f'(x) = 0 \iff x = 2$$

Critical point on graph at $(2, 2)$

③ Intervals of monotonicity



④ Points of inflection and intervals of concavity

$$f''(x) = \frac{x^2 - 4}{2x^2} = \frac{1}{2} - 2x^{-2}$$

$$f''(x) = 4x^{-3}$$

$$\Rightarrow f''(x) > 0 \quad \forall x > 0$$

f concave up on $(0, +\infty)$

No point of inflection

⑤ Asymptotes

Oblique asymptote: $y = Ax + B$, where

$$A = \lim_{x \rightarrow \infty} \frac{f(x)}{x} \quad B = \lim_{x \rightarrow \infty} (f(x) - Ax)$$

$$A = \lim_{x \rightarrow \infty} \frac{f(x)}{x} = \lim_{x \rightarrow \infty} \frac{x^2 + 4}{2x^2} = \frac{1}{2}$$

$$B = \lim_{x \rightarrow \infty} (f(x) - Ax) = \lim_{x \rightarrow \infty} \left(\frac{x^2 + 4}{2x^2} - \frac{1}{2}x \right) = \lim_{x \rightarrow \infty} \left(\frac{4}{2x} \right) = 0$$

$\Rightarrow y = \frac{1}{2}x$ is the oblique asymptote of $y = f(x)$ as $x \rightarrow \infty$

Vertical asymptote: f is only undefined at 0

$$\lim_{x \rightarrow 0^+} \frac{x^2 + 4}{2x} = \infty$$

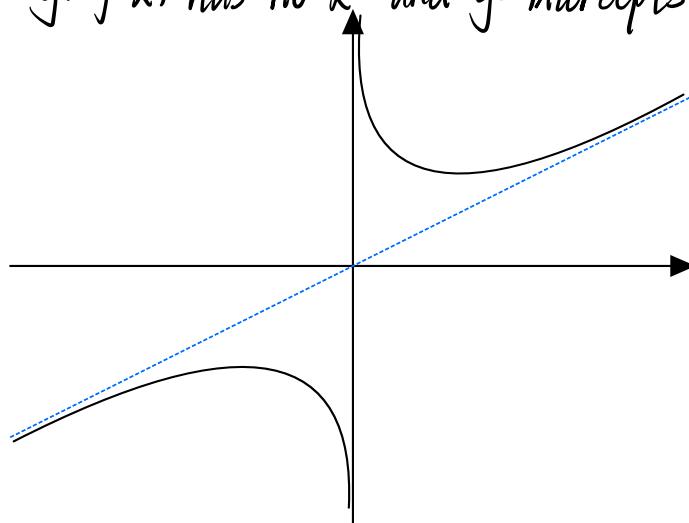
$\Rightarrow x=0$ is the vertical asymptote

⑥ x- and y- intercepts

$f(0)$ is undefined

$y = f(x) = 0$ has no real solution

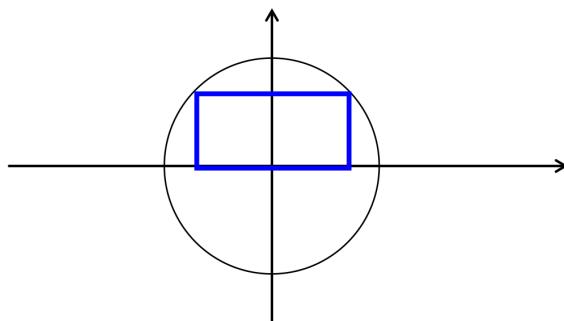
$y = f(x)$ has no x- and y- intercepts



§2 Applied optimization

e.g. A rectangle is to be inscribed (内接) in a semicircle of radius 2.

What is the largest area the rectangle can have and what are its dimensions?



Let the rectangle width = $2x$ and height = y

$$\text{Then } x^2 + y^2 = 4, \quad y = \sqrt{4-x^2}$$

Area $A(x) = 2xy = 2x\sqrt{4-x^2}$, continuous on $[0, 2]$

$$\begin{aligned} A'(x) &= 2\sqrt{4-x^2} + 2x\left(\frac{1}{2}\right)(4-x^2)^{-\frac{1}{2}}(-2x) \\ &= \frac{2(4-x^2)-2x^2}{\sqrt{4-x^2}} \\ &= \frac{-4x^2+8}{\sqrt{4-x^2}} \end{aligned}$$

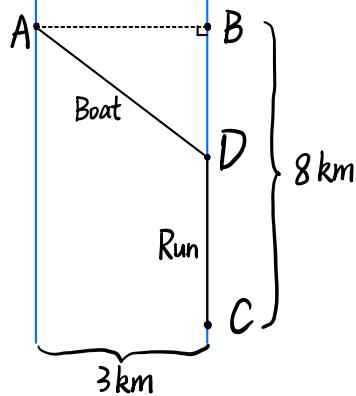
For $A'(x)=0$, $x = \sqrt{2}$ ($x \in (0, 2)$), $y = \sqrt{2}$

x	0	$\sqrt{2}$	2
$A'(x)$	>0	<0	
$A(x)$	0	4	0

Dimensions are: Width = $2\sqrt{2}$, Height = $\sqrt{2}$

Largest area = 4

e.g. A man launches his boat from point A on a bank of a straight river, 3 km wide, and wants to reach point B, 8 km downstream on the opposite bank, as quickly as possible (see figure). He could row his boat directly across the river to point C and then run to B, or he could row directly to B, or he could row to some point D between C and B and then run to B. If he can row 6 km/h and run 8 km/h, where should he land to reach B as soon as possible? (We assume that the speed of the water is negligible.)



Let $x = |CD|$, then $t(x) = \frac{|AD|}{6} + \frac{|DB|}{8}$

$$t(x) = \frac{\sqrt{x^2+9}}{6} + \frac{8-x}{8}, \quad x \in [0, 8]$$

$$t'(x) = \frac{1}{6} \cdot \frac{1}{2} \cdot (x^2+9)^{-\frac{1}{2}} \cdot 2x - \frac{1}{8} = \frac{4x - 3\sqrt{x^2+9}}{24\sqrt{x^2+9}}$$

For $t'(x) = 0$, $x = \frac{9\sqrt{7}}{7}$

x	0	$\frac{9\sqrt{7}}{7}$	8
$t'(x)$	<0	>0	

He should land to D where $BD = \frac{9\sqrt{7}}{7}$ km

e.g. x = number of video game consoles, million units.

Cost: $C(x) = x^3 - 6x^2 + 15x$

Revenue: $R(x) = 9x$

Profit: $P(x) = R(x) - C(x)$

Question: Find x that maximizes profit, if any.

$$P(x) = -x^3 + 6x^2 - 6x$$

$$P'(x) = -3x^2 + 12x - 6 = -3(x^2 - 4x + 2)$$

$$\text{For } P'(x) = 0, \quad x = \frac{4 \pm \sqrt{16-8}}{2} = 2 \pm \sqrt{2}$$

x	0	$2-\sqrt{2}$	$2+\sqrt{2}$
$P'(x)$	<0	>0	<0
$P(x)$	0 ↴	↗ $4+4\sqrt{2}$ ↴	

Abs. max at $x = 2+\sqrt{2} \approx 3.414$ million units

Remark:

If the profit function P is differentiable, then when P is maximized at x_0 , $P'(x_0) = 0$, in which case marginal revenue = marginal cost, i.e. $R'(x_0) = C'(x_0)$

§3 Concavity (extended discussion)

1. Theorem: Concavity and secant lines

Let f be continuous on $[a, b]$ and differentiable on (a, b) .

- (i) If f is **concave down** on (a, b) , then the graph of f lies **above** the secant line joining $(a, f(a))$ and $(b, f(b))$ on (a, b) .
- (ii) If f is **concave up** on (a, b) , then the graph of f lies **below** the secant line joining $(a, f(a))$ and $(b, f(b))$ on (a, b) .

Proof of (i):

The secant line has a graph

$$y = g(x) = f(a) + \left(\frac{f(b)-f(a)}{b-a} \right) (x-a) \quad ①$$

We will show that $f(x) > g(x)$ for all $x \in (a, b)$, given that $f(x)$ is concave down on (a, b) .

Let $x \in (a, b)$. By MVT,

$$f(x) = f(a) + f'(c_1)(x-a) \text{ for some } c_1 \in (a, x). \quad ②$$

$$\text{and } f(b) = f(x) + f'(c_2)(b-x) \text{ for some } c_2 \in (x, b).$$

Since $f(x)$ is concave down on (a, b) , $c_1 < c_2$

$$f'(c_2) < f'(c_1)$$

$$\begin{aligned}
 \text{Now } f(b) &= f(a) + f'(c_1)(x-a) + f'(c_2)(b-x) \\
 &< f(a) + f'(c_1)(x-a) + f'(c_1)(b-x) \\
 &= f(a) + f'(c_1)(b-a) \\
 f(b) &< f(a) + f'(c_1)(b-a) \\
 \frac{f(b)-f(a)}{b-a} &< f'(c_1) \quad \textcircled{3}
 \end{aligned}$$

From ① ② ③, we have $f(x) > g(x)$
QED

2. Theorem: Concavity and tangent lines

Let f be continuous on $[a,b]$ and differentiable on (a,b) .

- (i) If f is **concave down** on (a,b) , then for any $c \in (a,b)$ the tangent line to $y=f(x)$ at c lies **above** the graph of $y=f(x)$
- (ii) If f is **concave up** on (a,b) , then for any $c \in (a,b)$ the tangent line to $y=f(x)$ at c lies **below** the graph of $y=f(x)$

Proof of (i):

The tangent line at c has a graph

$$y = g(x) = f(c) + f'(c)(x-c)$$

We will show that $g(x) > f(x)$ for all $x \in [a,b] \setminus \{c\}$, given that $f(x)$ is concave down on (a,b) .

Let $x \in [a,b] \setminus \{c\}$. Note that

$$\begin{aligned}
 g(x) &> f(x) \\
 \Leftrightarrow f(c) + f'(c)(x-c) &> f(x) \\
 \Leftrightarrow f'(c)(x-c) &> f(x) - f(c)
 \end{aligned}$$

$$\Leftrightarrow f'(x) \begin{cases} > \frac{f(x)-f(c)}{x-c} & \text{if } x > c \\ < \frac{f(x)-f(c)}{x-c} & \text{if } x < c \end{cases}$$

So it suffices to show that

$$f'(x) \begin{cases} > \frac{f(x)-f(c)}{x-c} & \text{if } x \in (c, b] \\ < \frac{f(x)-f(c)}{x-c} & \text{if } x \in [a, c) \end{cases} \quad \begin{array}{l} \textcircled{1} \\ \textcircled{2} \end{array}$$

Now assume that $x \in (c, b]$. By MVT

$$f'(c_1) = \frac{f(x)-f(c)}{x-c} \text{ for some } c_1 \in (c, x)$$

Since f is concave down on (a, b) , $f'(c_1) < f'(c)$

$$\text{Then } \frac{f(x)-f(c)}{x-c} < f'(c)$$

which is identical to $\textcircled{1}$, i.e. we have proven $\textcircled{1}$ is true.

$\textcircled{2}$ can be proved similarly, then statement (i) is proved.

§4 First derivative test (extended discussion)

The first derivative test doesn't always apply.

e.g. Consider $f(x) = \begin{cases} x^2(1 + \sin \frac{\pi}{x}) & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases}$

$$\text{Then } f'(0) = \lim_{h \rightarrow 0} \frac{f(h)-f(0)}{h} = \lim_{h \rightarrow 0} h(1 + \sin \frac{\pi}{h}) = 0$$

Hence, 0 is a critical point of f . But

$$f'(x) = 2x(1 + \sin \frac{\pi}{x}) - \pi \cos \frac{\pi}{x} \text{ for } x \neq 0$$

No matter what value of a you pick ($a > 0$), in the interval $(0, a)$ there exist x_1 and x_2 such that $f'(x_1) > 0$ and $f'(x_2) < 0$.

So f is NEVER always increasing or always decreasing near 0, on the right. Hence none of the conditions in the first derivative test is satisfied.

Since $f(0)=0$, $f(x) \geq 0 \quad \forall x$

So $f(0)$ is an absolute (and hence local) minimum.