

# HYBRID METHODS FOR MUON ACCELERATOR SIMULATIONS WITH IONIZATION COOLING\*

J. Kunz, Illinois Institute of Technology, Chicago, IL, USA

P. Snopok†, Illinois Institute of Technology, Chicago, IL, USA and Fermilab, Batavia, IL, USA

M. Berz, Michigan State University, East Lansing, MI, USA

## Abstract

Muon ionization cooling involves passing particles through solid or liquid absorbers. Careful simulations are required to design muon cooling channels. New features are being developed for inclusion in the transfer map code COSY Infinity to follow the distribution of charged particles through matter. To study the passage of muons through material, the transfer map approach alone is not sufficient. The interplay of beam optics and atomic processes must be studied by a hybrid transfer map–Monte-Carlo approach in which transfer map methods describe the deterministic behavior of the particles, and Monte-Carlo methods are used to provide corrections accounting for the stochastic nature of scattering and straggling of particles. The advantage of the new approach is that the vast majority of the dynamics are represented by fast application of the high-order transfer map of an entire element and accumulated stochastic effects. The gains in speed are expected to simplify the optimization of cooling channels which is usually computationally demanding. Progress on the development of the required algorithms and their application to modeling muon ionization cooling channels is reported.

## INTRODUCTION

A prime example of why matter-dominated lattices are relevant comes from the prospect of a neutrino factory or a muon collider [1]. As muon branching fractions are 100%  $\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$  and  $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$ , there are obvious advantages of a muon-sourced neutrino beam. Also, due to the fact that muons are roughly 200 times heavier than electrons, synchrotron radiation is not an issue, and as a result a high-energy muon collider ( $\sqrt{s} \approx 6$  TeV) could be built on a relatively compact site where the collider ring is about 6 km in circumference. Such energy levels are experimentally unprecedented in the leptonic sector, since a circular electron accelerator would be restricted by vast amounts of synchrotron radiation. At lower energy, a muon collider could serve as a Higgs Factory ( $\sqrt{s} \approx 126$  GeV), with possible new physics via the observation of Higgs to lepton coupling. This is advantageous since the Higgs coupling to leptons scales as mass squared.

However, muon-based facilities are not without their challenges. Synthetic muon creation comes from the collision of protons with a fixed target. The resultant spray of particles largely contains kaons (which decay primarily into pions and muons), pions (which decay primarily into muons),

and rogue protons. High-intensity collection necessarily entails a large initial phase space volume. The resultant cloud of muons must be collected, focused, and accelerated well within the muon lifetime ( $2.2 \mu\text{s}$  at rest). Therefore, beam cooling (beam size reduction) techniques which are commonly used for protons and electrons cannot be used, as they are too slow. Ionization cooling technique [2], on the other hand, is fast enough to be relevant. The main idea is that muons traverse a certain amount of material where they lose energy in both longitudinal and transverse directions due to ionization. The energy is then restored in the longitudinal direction only by passing through a set of RF cavities, leading to an overall reduction in the transverse beam size (cooling). Schematically, this can be seen in Figure 1.

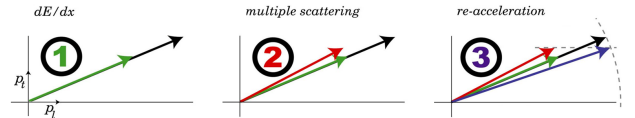


Figure 1: Ionization cooling schematics. 1) Energy loss in material, both transverse and longitudinal momenta are reduced. 2) Increase in the transverse momentum due to multiple scattering. 3) Re-acceleration through the RF cavity resulting in the net reduction in the transverse momentum.

The evolution of the normalized transverse emittance in the cooling channel can be described by the following equation [3]:

$$\frac{d\epsilon_n}{dz} \approx -\frac{1}{\beta^2} \left\langle \frac{dE_\mu}{dz} \right\rangle \frac{\epsilon_n}{E_\mu} + \frac{1}{\beta^3} \frac{\beta_\perp E_s^2}{2E_\mu mc^2 X_0}, \quad (1)$$

where  $\epsilon_n$  is the normalized emittance,  $z$  is the path length,  $E_\mu$  is the muon beam energy,  $\beta = v/c$  is the reduced velocity of the beam,  $X_0$  is the radiation length of the absorber material,  $\beta_\perp$  is the transverse betatron function, and  $E_s$  is the characteristic scattering energy. Here, two competing effects can be seen: the first term is the cooling (reduction of phase space beam size) component from ionization energy loss and the second term is the heating (increase of phase space beam size) term from multiple scattering. This highlights the importance of the stochastic terms, as the only deterministic term is the expected (Bethe-Bloch) energy loss,  $\langle \frac{dE_\mu}{dz} \rangle$ . It can also be seen that in order to minimize unavoidable heating from multiple scattering, low- $Z$  materials with large radiation length  $X_0$  are preferred, such as liquid hydrogen or lithium hydride; and  $\beta_\perp$  has to be small.

For a neutrino factory only a modest amount of cooling is required, predominantly in the transverse plane. However,

\* Work supported by the U.S. Department of Energy

† psnopok@iit.edu

neutrino factories could benefit from full six-dimensional cooling, where in addition to the transverse cooling emittance exchange is used to reduce longitudinal beam size in addition to transverse. Current muon collider designs assume significant,  $O(10^6)$  six-dimensional cooling. An example of a cooling cell layout is shown in Fig. 2.

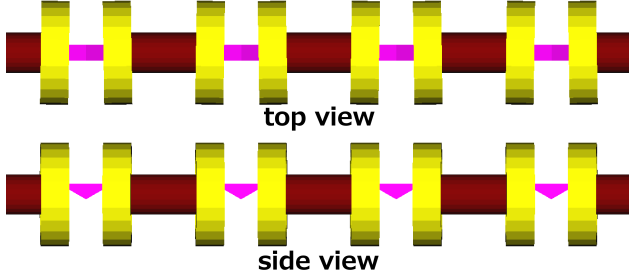


Figure 2: Cell schematics of a rectilinear vacuum RF six-dimensional cooling channel. Yellow: tilted magnetic coils producing solenoidal focusing and bending (to generate dispersion necessary for emittance exchange) fields; purple: wedge absorbers for ionization cooling, red: RF cavities for re-acceleration.

Cooling channels required for a high-energy high-luminosity muon collider could be up to a thousand meters long. Designing, simulating and optimizing performance of those channels involves using high-performance clusters and multi-objective genetic optimizers. Typically, the codes used for simulations belong to the class of particle-by-particle integrators, where each particle is guided through the length of the cooling channel independently. That takes its toll on genetic optimizers, especially with a large number of particles per run. Transfer map methods could solve this problem, since the nonlinear map of the system is calculated once, and then can be applied to any number of particles at very low computational cost. On the other hand, the transfer map approach alone is not sufficient to study the passage of muons through material. This study is an attempt to implement hybrid transfer map–Monte-Carlo approach in which transfer map methods describe the deterministic behavior of the particles, and Monte-Carlo methods are used to provide corrections accounting for the stochastic nature of scattering and straggling of particles. The advantage of the new approach is that the vast majority of the dynamics are represented by fast application of the high-order transfer map of an entire element and accumulated stochastic effects.

## COSY INFINITY

COSY Infinity (COSY) [4] is a simulation tool used in the design, analysis, and optimization of particle accelerators, spectrographs, beam lines, electron microscopes, and other such devices, with its use in accelerator lattice design being of particular interest here. COSY uses the transfer map approach, in which the overall effect of the optics on a beam of particles is evaluated using differential algebra. Along with tracking of particles through a lattice, COSY has a plethora

of analysis and optimization tools, including computation of Twiss parameters, tunes and nonlinear tune shifts, high-order nonlinearities; analysis of properties of repetitive motion via chromaticities, normal form analysis, and symplectic tracking; analysis of single-pass systems resolutions, reconstructive aberration correction, and consideration of detector errors; built-in local and global optimizers; and analysis of spin dynamics.

COSY is particularly advantageous to use when considering the efficient use of computational time. This is due to the transfer map methods that COSY employs. Given an initial phase space vector  $Z_0$  at  $s_0$  that describes the relative position of a particle with respect to the reference particle, and assuming the future evolution of the system is uniquely determined by  $Z_0$ , we can define a function called the transfer map relating the initial conditions at  $s_0$  to the conditions at  $s$  via  $Z(s) = \mathcal{M}(s_0, s) * Z(s_0)$ . The transfer map formally summarizes the entire action of the system. The composition of two maps yields another map:  $\mathcal{M}(s_0, s_1) \cdot \mathcal{M}(s_1, s_2) = \mathcal{M}(s_0, s_2)$ , which means that transfer maps of systems can be built up from the transfer maps of the individual elements. Computationally this is advantageous because once calculated, it is much faster to apply a single transfer map to a distribution of particles than to track individual particles through multiple lattice elements.

Currently supported elements in COSY include various magnetic and electric multipoles (with fringe effects), homogeneous and inhomogeneous bending elements, Wien filters, wigglers and undulators, cavities, cylindrical electromagnetic lenses, general particle optical elements, and *deterministic* absorbers of intricate shapes described by polynomials of arbitrary order, with the last element being of particular interest for this study. The term *deterministic* is deliberately emphasized, since the polynomial absorber acts like a drift with the average (Bethe-Bloch) energy loss. The advantage of this is that the user must only specify six material parameters in order for COSY to calculate this energy loss: the atomic number, atomic mass, density, ionization potential, and two correction parameters. However, this element only takes into account deterministic effects (producing the same final result every time for a given initial condition), not stochastic effects (intrinsically random effects such as multiple scattering and energy straggling).

In order to carefully simulate the effect of the absorbers on the beam, one needs to take into account both deterministic and stochastic effects in the ionization energy loss. The deterministic effects in the form of the Bethe-Bloch formula with various theoretical and experimental corrections fit well into the transfer map methods approach, but the stochastic effects cannot be evaluated by such methods. It is easy to see why this is so. As previously stated, a transfer map will relate initial coordinates to final coordinates. This is generally a one-to-one relation. In other words, a transfer map is based on the *uniqueness* of the solutions of the equations of motion. However, stochastic effects such as scattering provide no uniqueness because, for example, Coulomb scattering is based on the probabilistic wave nature of the particle.

Therefore, two particles with identical initial coordinates will likely yield two very different final coordinates. Since the initial coordinates cannot uniquely be related to the final coordinates, no exact map exists.

Therefore, to take into account stochastic effects the transfer map paradigm needs to be augmented by implementing the corrections from stochastic effects directly into the fabric of COSY. Some of the fundamental ideas of the process were presented in [5] in application to quadrupole cooling channels, but the approximations used there were fairly basic. In this work, a more rigorous theoretical approach is presented along with the resulting validation.

## STOCHASTIC PROCESSES

The stochastic processes of interest are straggling (fluctuation about a mean energy loss), angular scattering, transverse position corrections, and time-of-flight corrections (corresponding to the longitudinal position correction). The general outline to simulate these four beam properties is discussed and benchmarked against two other beamline simulation codes, ICOOL [6] and G4Beamline [7], and (in the case of angular scattering) against experimental data obtained by MuScat [8]. The simulation followed the beam properties cited in [8], which were a pencil beam with an initial momentum of 172 MeV/c through 109 mm of liquid hydrogen (LH) with cylindrical geometry. The step sizes for ICOOL and G4Beamline were chosen to be a modest 1 mm in order to ensure a quality simulation. The step size for COSY was chosen as the entire cell (109 mm), since its algorithms are largely insensitive to step sizes, as will be shown later. The simulations shown here were conducted with 5,000,000 particles through liquid hydrogen.

### Straggling

As the momentum range of interest is 50–400 MeV/c through low  $Z$  materials, only ionization effects contribute to the mean energy loss. As such, Landau theory accurately describes the energy loss spectra, having the form [9]

$$f(\lambda) = \frac{1}{\xi} \cdot \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \exp(x \ln x + \lambda x) dx, \quad (2)$$

where  $\xi \propto Z\rho L/\beta^2 A$ , and  $\lambda \propto dE/\xi - \beta^2 - \ln \xi$ . Here,  $Z$ ,  $A$ , and  $\rho$  are the atomic charge, atomic mass, and density of the material;  $L$  is the amount of material that the particle traverses;  $\beta = v/c$ ; and  $dE$  is the fluctuation about the mean energy. The algorithm based on Eq. (2) has been implemented in COSY, and the results of comparison between COSY, ICOOL, and G4beamline for one particular material and muon momentum are shown in Fig. 3.

### Angular Scattering

The derivation of the scattering function  $g(u)$  (where  $u = \cos \theta$ ) is done separately for small angles and large angles. For small angles, the shape is very nearly Gaussian in  $\theta$  [10]. For large angles, the distribution follows the Mott scattering cross section, and is Rutherford-like [11]. The

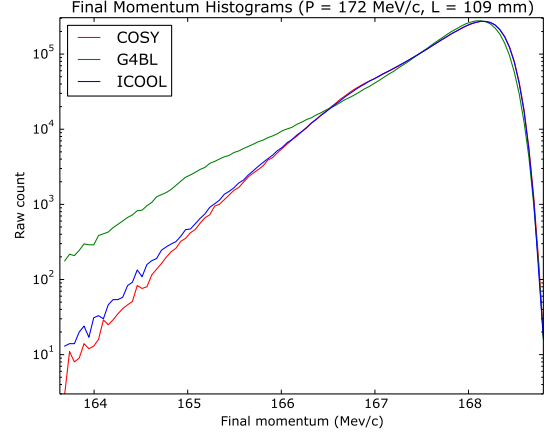


Figure 3: Straggling comparison between COSY (red), G4Beamline (green), and ICOOL (blue) for muons with the initial momentum of  $P = 172$  MeV/c passing through 109 mm of liquid hydrogen.

resulting peak and tail are continuous and smooth at some critical  $u_0$ , which yields the final form of  $g(u)$ :

$$g(u) = \begin{cases} \exp\left(-\frac{1}{2} \frac{1-u}{1-u_\sigma}\right) & | u_0 < u \\ \zeta \cdot \frac{1 + \frac{1}{2}(\beta\gamma)^2(1+u-b)}{(1-u+b)^2} & | u \leq u_0 \end{cases} \quad (3)$$

Here the parameters  $\zeta$  and  $b$  are chosen to ensure continuity and smoothness. The familiar terms take their usual meaning:  $\beta = v/c$  and  $\gamma = 1/\sqrt{1-\beta^2}$ ;  $u_0$  is a fitted parameter, and was chosen as  $u_0 = 9u_\sigma - 8$ ;  $u_\sigma$  is the  $\sigma$ -like term for a Gaussian in  $\theta$ . It is another fitted parameter based off [12] and takes the form

$$u_\sigma = \cos\left(\frac{13.6 \text{ MeV}}{\beta pc} \left(\frac{L}{L_0} \left(1 + 0.103 \ln \frac{L}{L_0}\right) + 0.0038 \left(\ln \frac{L}{L_0}\right)^2\right)^{\frac{1}{2}}\right).$$

The algorithm based on Eq. (3) has been implemented in COSY, and the results of comparison between COSY, ICOOL, and G4beamline for one particular material and muon momentum are shown in Fig. 4.

### Transverse Position Corrections

Since there occur multiple scatterings in a given medium, one must account for the transverse position correction. A good starting point for these considerations is in [13]. If the scattered angle  $\theta$  is known then the transverse displacement correction is generated from a Gaussian distribution with mean  $\mu_T$  and standard deviation  $\sigma_T$ . These are chosen as

$$\mu_T = \frac{\theta \rho_c L}{\mu_w},$$

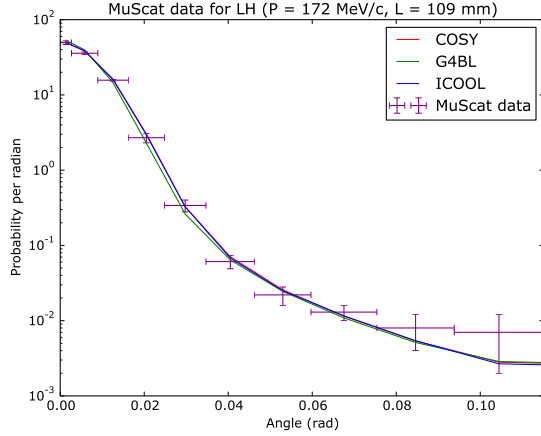


Figure 4: Angular scattering comparison between COSY (red), G4Beamline (green), ICOOL (blue), and the data points from [8] (purple) for muons with the initial momentum of  $P = 172$  MeV/c passing through 109 mm of liquid hydrogen.

$$\sigma_T = \max \left( L\theta_\sigma \sqrt{\frac{1 - \rho_c^2}{3}}, \left| \frac{LP_T/P_Z}{\sigma_w} \right| \right),$$

where  $\rho_c = \sqrt{3}/2$  is the correlation coefficient;  $\theta_\sigma$  corresponds to the aforementioned  $u_\sigma$ ;  $P_T$  and  $P_Z$  are the particle's transverse and longitudinal momenta, and  $\mu_w = 1 + \sqrt{3}/2$  and  $\sigma_w = 6$  are adjustable parameters. It should be further noted that  $\mu_T$  must be given the proper sign, i.e. the same sign as the desired transverse momentum. Additionally, this fluctuation assumes an initially straight trajectory in the lab frame, and hence must be rotated accordingly and added to the mean (deterministic) transverse position deflection.

The results of comparison between COSY, ICOOL, and G4beamline for one particular material and muon momentum are shown in Fig. 5.

Perhaps more important than the raw histogram is the transverse phase space, see Fig. 6. This is because, for example, the raw histogram is insensitive to the  $\sigma_T$  changes, which describe the transverse position spread given a particular scattering angle.

### Time-of-Flight Corrections

When particles traverse matter, the deterministic 'straight' path length differs from the 'true' path length due to many multiple scatterings within the material. The cases of straggling, angular scattering, and transverse position correction are largely insensitive to this. However, as the time-of-flight for these purposes is on the order of 1 ns for a single absorber, the true pathlength correction must be taken into account. Reference [14] gives a good approximation to the true path length  $t$  given the straight path length  $L$  and the scattered angle  $\theta$ :

$$t = \frac{2L}{1 + \cos\theta}.$$

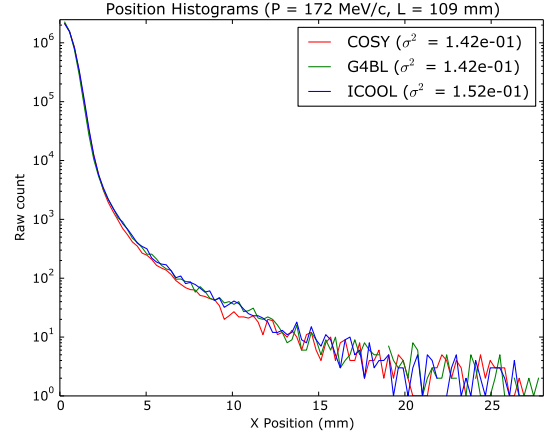


Figure 5: Transverse position comparison between COSY (red), G4Beamline (green), and ICOOL (blue) for muons with the initial momentum of  $P = 172$  MeV/c passing through 109 mm of liquid hydrogen.

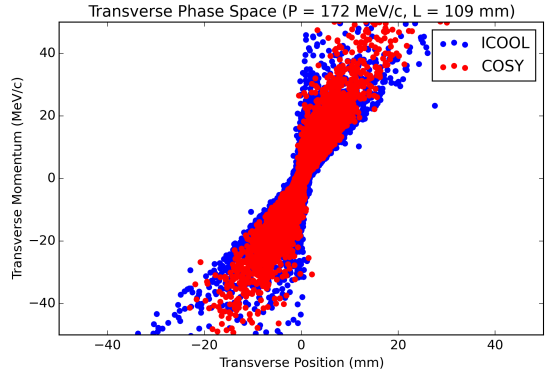


Figure 6: Transverse phase space comparison between COSY (red) and ICOOL (blue) for muons with the initial momentum of  $P = 172$  MeV/c passing through 109 mm of liquid hydrogen.

Similar to the transverse position, the time-of-flight corrections have important implications for the overall shape of the longitudinal phase space, see Fig. 7.

## SUMMARY

The addition of stochastic processes in COSY Infinity for the use of muon ionization cooling has been largely successful. While the straggling data in Fig. 3 agrees well with ICOOL, there is some discrepancy in the tail when compared to G4Beamline. This may be due to several factors which can be found in the physics reference manual of Geant4 [15] on which G4beamline is based. For example, the straggling model of Geant4 takes into account the cross sections for ionization and for excitation, whereas the Landau theory used in COSY only regards the ionization cross section. Moreover, Geant4 uses a synthetic width correction algorithm to the curve, which is not elaborated in detail in the manual. For future improvements, it is expected that COSY will use



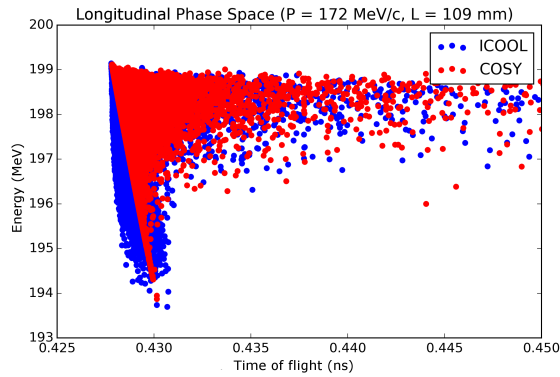


Figure 7: Longitudinal phase space comparison between COSY (red) and ICOOL (blue) for muons with the initial momentum of  $P = 172$  MeV/c passing through 109 mm of liquid hydrogen.

the more general Vavilov theory [16], which converges to Landau theory for large energies or low absorber lengths.

The angular scattering algorithms appear to be functioning properly, as seen in Fig. 4. This is likely because ICOOL uses the Fano model with a Rutherford limit and G4Beamline uses the Urbán model, which also has a Rutherford tail. Then all of these codes agree that there should be some Gaussian-like behavior pieced together with a Rutherford tail. While not shown, it is reported here that good agreement has been achieved between COSY and other sets of data from MuScat [8] (i.e. 159 mm of liquid hydrogen, 3.73 mm of beryllium).

The transverse position histogram in Fig. 5 also shows that the algorithms in place appear to be largely in agreement with both ICOOL and G4Beamline. However, the phase space plot in Fig. 6 shows that COSY appears to be narrow. This may be misleading, since the discrepancy is not between the bulk of the data but rather the lengthy tails. A better parameterization of  $\mu_T$  and  $\sigma_T$  could improve the agreement.

Similarly, the longitudinal phase space appears to agree fairly well between COSY and ICOOL. The discrepancy is on the order of 0.002 ns (roughly 0.5% of the mean time-of-flight). While the agreement is good, there can still be

improvements made, possibly in the approximation of the true path length.

## REFERENCES

- [1] Muon Accelerator Program, <http://map.fnal.gov/>
- [2] V. Parkhomchuk and A. Skrinsky, 12th Int. Conf. on High Energy Accel. (1983), p. 485, see also AIP Conf. Proc 352 (1996), p. 7
- [3] Neuffer, D. (1983) Principles and Applications of Muon Cooling, *Part. Acc.* 14, p. 75
- [4] K. Makino, M. Berz, COSY INFINITY Version 9, *Nuclear Instruments and Methods A* 558 (2005) 346-350, see also <http://www.cosyinfinity.org>
- [5] D. Errede *et al.* Stochastic processes in muon ionization cooling, *NIM A*, 519 (2004) 466-471
- [6] R. C. Fernow *et al.*, ICOOL Simulation Code, <http://www.cap.bnl.gov/IC00L/>
- [7] T. Roberts, G4beamline, <http://www.muonsinternal.com/muons3/G4beamline>
- [8] D. Attwood *et al.* (2006), The scattering of muons in low-Z materials, *NIM B* 251, p. 41
- [9] L. Landau, On the Energy Loss of Fast Particles by Ionisation, *J. Phys* 8, p. 201
- [10] S. Goudsmit and J. L. Saunderson (1940), Multiple Scattering of Electrons, *Phys. Rev.* 57, p. 24
- [11] D. Griffiths (2008) Introduction to Elementary Particles, 2nd Ed.
- [12] V. Highland, Some Practical Remarks on Multiple Scattering, *NIM* 129, p. 497
- [13] J. Beringer *et al.* (PDG) (2013) PR D86, 010001 Ch. 31
- [14] A. Bielajew and D.W.O. Rogers (1987) PRESTA: The Parameter Reduced Electron-Step Transport Algorithm for Electron Monte Carlo Transport, *NIM B* 18 p. 165
- [15] Geant4 User Documentation, <http://geant4.cern.ch/support/userdocuments.shtml>
- [16] P. V. Vavilov (1957), Ionization Losses of High-Energy Heavy Particles, *J. Exptl. Theoret. Phys. (U.S.S.R.)* 5, p. 920