

# Tight and non-fillable contact manifolds are everywhere

Agustin Moreno  
j.w. Bowden, Gironella, Zhou

Heidelberg University

# Background

# Contact topology

**Contact topology:** The study of contact manifolds, up to isotopy.

# Contact topology

**Contact topology:** The study of contact manifolds, up to isotopy.

**Fillability:** *fillable* contact mflds are boundaries of symplectic mflds.

# Contact topology

**Contact topology:** The study of contact manifolds, up to isotopy.

**Fillability:** *fillable* contact mflds are boundaries of symplectic mflds.

## Fillability question

Which contact manifolds are **fillable**?

# Contact topology

**Contact topology:** The study of contact manifolds, up to isotopy.

**Fillability:** *fillable* contact mflds are boundaries of symplectic mflds.

## Fillability question

Which contact manifolds are **fillable**?

Eliashberg, Borman–Eliashberg–Murphy:

**Dichotomy:** Rigidity vs. Flexibility.

- **tight** (*rigid/geometric*);
- **overtwisted** (*flexible/topological*).

# Contact topology

**Contact topology:** The study of contact manifolds, up to isotopy.

**Fillability:** *fillable* contact mflds are boundaries of symplectic mflds.

## Fillability question

Which contact manifolds are **fillable**?

Eliashberg, Borman–Eliashberg–Murphy:

**Dichotomy:** Rigidity vs. Flexibility.

- **tight** (*rigid/geometric*);
- **overtwisted** (*flexible/topological*).

## Theorem (Eliashberg–Gromov)

*Fillable contact manifolds are tight.*

Converse is false (Etnyre–Honda, Massot–Niederkrueger–Wendl).

# Existence and classification

*Topological* obstruction: *almost* contact structure, i.e. reduction of structure group to  $U(n) \times \mathbb{1}$ .

Theorem (Lutz–Martinet (dim 3), Casals–Pancholi–Presas (dim 5), Borman–Eliashberg–Murphy (any dim))

*Almost contact manifolds are contact, where the contact structure is overtwisted.*



# Existence and classification

*Topological obstruction: almost contact structure, i.e. reduction of structure group to  $U(n) \times \mathbb{1}$ .*

Theorem (Lutz–Martinet (dim 3), Casals–Pancholi–Presas (dim 5), Borman–Eliashberg–Murphy (any dim))

*Almost contact manifolds are contact, where the contact structure is overtwisted.*

## Tight manifolds

How can we understand **tight** contact manifolds?

# Contact topology: fillability

## Hierarchy of fillability:

$$\begin{array}{ccccccc} \{Stein\} & \overset{\textcircled{1}}{=} & \{Weinstein\} & \overset{\textcircled{2}}{\subsetneq} & \{Liouville\} & \overset{\textcircled{3}}{\subsetneq} & \{strong\} \\ & & & & & & \\ & & \overset{\textcircled{4}}{\subsetneq} & \{weak\} & \overset{\textcircled{5}}{\subsetneq} & \{tight\} & \end{array}$$

- $dim = 3$ :  $\textcircled{1}$  Cieliebak–Eliashberg,  $\textcircled{2}$  Bowden,  $\textcircled{3}$  Ghiggini,  $\textcircled{4}$  Eliashberg,  $\textcircled{5}$  Etnyre–Honda.
- $dim \geq 5$ :  $\textcircled{1}$  Cieliebak–Eliashberg,  
 $\textcircled{2}$  Bowden–Crowley–Stipsicz,  $\textcircled{3}$  Zhou,  
 $\textcircled{4}$  Bowden–Gironella–M.,  $\textcircled{5}$  Massot–Niederkrüger–Wendl.

# Contact structures on spheres

**First step in classification:** contact structures on spheres.

## Standard contact structure

The standard contact structure is  $(S^{2n-1}, \xi) = \partial(B^{2n}, \omega_{std})$ .

# Contact structures on spheres

**First step in classification:** contact structures on spheres.

## Standard contact structure

The standard contact structure is  $(S^{2n-1}, \xi) = \partial(B^{2n}, \omega_{std})$ .

## Theorem (Eliashberg, '91)

*On  $S^3$ , it is the unique tight contact structure.*

In particular, no tight and non-fillable contact structures on  $S^3$ .

# Tight and non-fillable structures in $\dim \geq 5$

## Theorem (Bowden–Gironella–M.–Zhou '22-'24)

*In  $\dim \geq 7$ , if  $M$  admits a tight structure, it also admits a tight and non strongly-fillable structure, in the same almost contact class.*

# Tight and non-fillable structures in $\dim \geq 5$

## Theorem (Bowden–Gironella–M.–Zhou '22-'24)

*In  $\dim \geq 7$ , if  $M$  admits a tight structure, it also admits a tight and non strongly-fillable structure, in the same almost contact class.*

*In  $\dim = 5$ , the same holds, if the first Chern class vanishes.*

We get infinitely many if  $\dim \geq 11$ , and  $M$  is Weinstein fillable with torsion first Chern class.

# Case of spheres

The general theorem follows by connected sum with an “exotic” sphere:

**Theorem (Bowden–Gironella–M.–Zhou ’22-’24)**

*For every  $n \geq 2$ , the sphere  $\mathbb{S}^{2n+1}$  admits a tight, non-fillable contact structure that is homotopically standard.*

# Case of spheres

The general theorem follows by connected sum with an “exotic” sphere:

**Theorem (Bowden–Gironella–M.–Zhou '22-'24)**

*For every  $n \geq 2$ , the sphere  $\mathbb{S}^{2n+1}$  admits a tight, non-fillable contact structure that is homotopically standard.*

Infinitely many if  $n \geq 5$ .



# General remarks

- This is a novel and strictly higher-dimensional phenomenon (false in dim 3).

# General remarks

- This is a novel and strictly higher-dimensional phenomenon (false in dim 3).
- Suggests that higher-dimensional contact phenomena should occur independently of underlying smooth topology.

# Liouville but not Weinstein

## Theorem (Bowden–Gironella–M.–Zhou '22-'24)

*In  $\dim \geq 7$ , if  $M$  admits a Weinstein fillable structure with torsion first Chern class, then it also admits infinitely many Liouville but non-Weinstein fillable structures in the same formal class.*

# Case of spheres

This again follows by connected sum with an "exotic" sphere:

## Theorem (Bowden–Gironella–M.–Zhou '22)

*For any  $n \geq 3$ , there exist infinitely many Liouville fillable contact structures on  $\mathbb{S}^{2n+1}$  that are not Weinstein fillable, and are homotopically standard.*

## Open questions

- Is there a Liouville but not Weinstein fillable structure on  $\mathbb{S}^5$ ?
- Is there a strong but not Liouville fillable structure on  $\mathbb{S}^{2n+1}$ ,  $n \geq 2$ ?

# **Tight and non-fillable spheres**

# Giroux correspondence

**Giroux:** Contact structures are *supported* by open books.

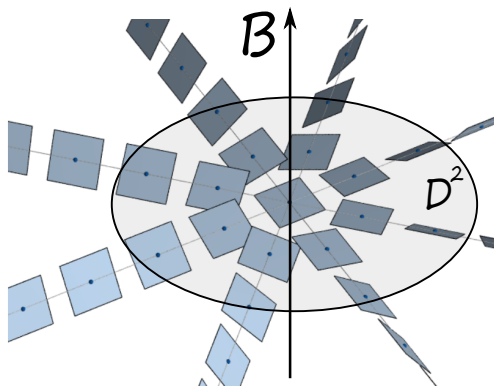


Figure: Supported contact structure.

# Bourgeois contact structures

## Theorem (Bourgeois '02)

*Open book supporting  $(M, \xi) \rightsquigarrow$  contact structure on  $M \times \mathbb{T}^2$ .*

These are  $\mathbb{T}^2$ -equivariant.



# Geometric construction

**Geometric construction:** We now construct **one** tight and non-fillable contact structure on  $\mathbb{S}^{2n+1}$ .

# Geometric construction

**Geometric construction:** We now construct **one** tight and non-fillable contact structure on  $\mathbb{S}^{2n+1}$ .

- Milnor open book on  $\mathbb{S}^{2n-1} \rightsquigarrow$  Bourgeois manifold on  $\mathbb{S}^{2n-1} \times \mathbb{T}^2$   
 $\rightsquigarrow$  two 1-surgeries =  $\mathbb{S}^{2n-1} \times \mathbb{S}^2 \rightsquigarrow$  one 2-surgery =  $\mathbb{S}^{2n+1}$ .

# Geometric construction

**Geometric construction:** We now construct **one** tight and non-fillable contact structure on  $\mathbb{S}^{2n+1}$ .

- Milnor open book on  $\mathbb{S}^{2n-1} \rightsquigarrow$  Bourgeois manifold on  $\mathbb{S}^{2n-1} \times \mathbb{T}^2 \rightsquigarrow$  two 1-surgeries =  $\mathbb{S}^{2n-1} \times \mathbb{S}^2 \rightsquigarrow$  one 2-surgery =  $\mathbb{S}^{2n+1}$ .
- If  $n \geq 3$ , surgeries are *subcritical*  $\rightsquigarrow$  by 'Eliashberg's' h-pple, Weinstein cobordism  $\rightsquigarrow$  contact manifold  $(\mathbb{S}^{2n+1}, \xi_{ex})$ .

# Geometric construction

**Geometric construction:** We now construct **one** tight and non-fillable contact structure on  $\mathbb{S}^{2n+1}$ .

- Milnor open book on  $\mathbb{S}^{2n-1} \rightsquigarrow$  Bourgeois manifold on  $\mathbb{S}^{2n-1} \times \mathbb{T}^2 \rightsquigarrow$  two 1-surgeries =  $\mathbb{S}^{2n-1} \times \mathbb{S}^2 \rightsquigarrow$  one 2-surgery =  $\mathbb{S}^{2n+1}$ .
- If  $n \geq 3$ , surgeries are *subcritical*  $\rightsquigarrow$  by 'Eliashberg's' h-pple, Weinstein cobordism  $\rightsquigarrow$  contact manifold  $(\mathbb{S}^{2n+1}, \xi_{ex})$ .

**Claim:**  $(\mathbb{S}^{2n+1}, \xi_{ex})$  is tight and non-fillable.

# Tightness and fillability from algebraic perspective

Contact homology algebra  $CHA(Y)$  (homology well-defined by Pardon).

## Definition

- 1  $Y$  is *algebraically* tight if  $CHA(Y) \neq 0$ .
- 2  $Y$  is *algebraically* fillable if there is a DGA augmentation of  $CHA(Y)$  at the chain level.

Similarly for *algebraically* overtwisted/non-fillable.

**Note:** This definition is well-defined, due to functoriality of the DGA, even though homotopy type of chain level is not.

# Formal algebraic properties

## Lemma

- ① *Algebraically tight*  $\Rightarrow$  *tight*.
- ② *Algebraically fillable*  $\Rightarrow$  *algebraically tight*.
- ③ *Algebraically non-fillable*  $\Rightarrow$  *non-fillable*.
- ④ *1-ADC*  $\Rightarrow$  *algebraically tight*.

1-ADC is an *index-positivity* condition (Lazarev, Zhou).

# Formal algebraic properties

## Lemma

- ① *Algebraically tight  $\Rightarrow$  tight.*
- ② *Algebraically fillable  $\Rightarrow$  algebraically tight.*
- ③ *Algebraically non-fillable  $\Rightarrow$  non-fillable.*
- ④ *1-ADC  $\Rightarrow$  algebraically tight.*

1-ADC is an *index-positivity* condition (Lazarev, Zhou).

## Facts:

- ① (Advek '22) tight contact manifolds can be algebraically overtwisted, in dim 3.
- ② Algebraic tightness is preserved under surgeries. Tightness is also, in dim 3 (Wand '14).
- ③ 1-ADC binding of fillable open book  $\Rightarrow$  1-ADC algebraically fillable  
Bourgeois manifold  $\Rightarrow$  algebraically tight.
- ④ E.g. Milnor  $A_k$ -singularity open book has 1-ADC binding.

# Tightness

Milnor  $A_k$  open book  $\Rightarrow (\mathbb{S}^{2n+1}, \xi_{ex})$  is *tight*.



# Tightness

Milnor  $A_k$  open book  $\Rightarrow (\mathbb{S}^{2n+1}, \xi_{ex})$  is *tight*.

**Note:** Heuristically, there is a priori *many* choices of open book. This suggests *many* non-standard structures. However, distinguishing is subtle.

# Non-fillability

**Non-fillability** of  $(\mathbb{S}^{2n+1}, \xi_{ex})$  can be proven via:

- 1 Homological obstruction and cobordisms as in [Bowden–Gironella–M.], building on [Massot–Niederkrüger–Wendl].
- 2 Symplectic cohomology computations as in [Zhou].

# Homological obstructions

**Observation:** Bourgeois manifolds have convex decomposition

$$M \times \mathbb{T}^2 = (M \times \mathbb{S}^1) \times \mathbb{S}^1 = V_+ \times \mathbb{S}^1 \cup_{\phi} \overline{V}_- \times \mathbb{S}^1,$$

with  $V_{\pm} = \Sigma \times D^*\mathbb{S}^1$ ,  $\Sigma$  = page of the open book,  $\phi$  = monodromy.

# Homological obstructions

**Observation:** Bourgeois manifolds have convex decomposition

$$M \times \mathbb{T}^2 = (M \times \mathbb{S}^1) \times \mathbb{S}^1 = V_+ \times \mathbb{S}^1 \cup_{\phi} \overline{V}_- \times \mathbb{S}^1,$$

with  $V_{\pm} = \Sigma \times D^*\mathbb{S}^1$ ,  $\Sigma$  = page of the open book,  $\phi$  = monodromy.

## Theorem (Bowden–Gironella–M.)

*$M = V \times \mathbb{S}^1 = V_+ \times \mathbb{S}^1 \cup_{\phi} \overline{V}_- \times \mathbb{S}^1$  with convex decomposition,  $N = \partial V_{\pm}$  dividing set. If  $W$  is a symplectic filling of  $M$ , then*

$$H_*(N) \rightarrow H_*(V_{\pm}) \rightarrow H_*(W),$$

*induced by inclusion. Then second map is injective on image of the first.*

Namely, if a homology class in  $N$  survives in  $V_{\pm}$ , then it survives in the filling.

# Idea of proof

- Capping cobordism from  $M$  to  $N \times \mathbb{S}^2$  with a SHS, via handles  $H_{\pm}$  with co-core  $V_{\pm}$ .

# Idea of proof

- Capping cobordism from  $M$  to  $N \times \mathbb{S}^2$  with a SHS, via handles  $H_{\pm}$  with co-core  $V_{\pm}$ .
- Second factor gives moduli space of spheres  $\mathcal{M}_*$  with evaluation map  $ev : \mathcal{M}_* \rightarrow W$ .

# Idea of proof

- Capping cobordism from  $M$  to  $N \times \mathbb{S}^2$  with a SHS, via handles  $H_{\pm}$  with co-core  $V_{\pm}$ .
- Second factor gives moduli space of spheres  $\mathcal{M}_*$  with evaluation map  $ev : \mathcal{M}_* \rightarrow W$ .
- Spheres intersect  $H_{\pm}$  precisely once  $\rightsquigarrow$  intersection map  $\mathcal{I}_{\pm} : \mathcal{M}_* \rightarrow V_{\pm}$ .

# Idea of proof

- Capping cobordism from  $M$  to  $N \times \mathbb{S}^2$  with a SHS, via handles  $H_{\pm}$  with co-core  $V_{\pm}$ .
- Second factor gives moduli space of spheres  $\mathcal{M}_*$  with evaluation map  $ev : \mathcal{M}_* \rightarrow W$ .
- Spheres intersect  $H_{\pm}$  precisely once  $\rightsquigarrow$  intersection map  $\mathcal{I}_{\pm} : \mathcal{M}_* \rightarrow V_{\pm}$ .
- If  $\sigma \subset W$  satisfies  $\partial\sigma = c$  with  $c$  cycle in  $N$ , then  $b = \mathcal{I}_{\pm} ev^{-1}(\sigma)$  bounds  $\sigma$  in  $V_{\pm}$ . □



# Homological obstructions

## Fact:

- ① If  $\dim \geq 7$ , subcritical surgeries on  $\mathbb{S}^{2n-1} \times \mathbb{T}^2$  can be pushed away from dividing set to  $V_+$ .

$\Rightarrow (\mathbb{S}^{2n+1}, \xi_{ex})$  still has a dividing set  $N$ ,

with  $H_n(N) \neq 0$ .

# Homological obstructions

## Fact:

- 1 If  $\dim \geq 7$ , subcritical surgeries on  $\mathbb{S}^{2n-1} \times \mathbb{T}^2$  can be pushed away from dividing set to  $V_+$ .  
 $\Rightarrow (\mathbb{S}^{2n+1}, \xi_{ex})$  still has a dividing set  $N$ ,  
with  $H_n(N) \neq 0$ .
- 2 Homological obstruction theorem persists under surgery away from dividing set (capping cobordisms).

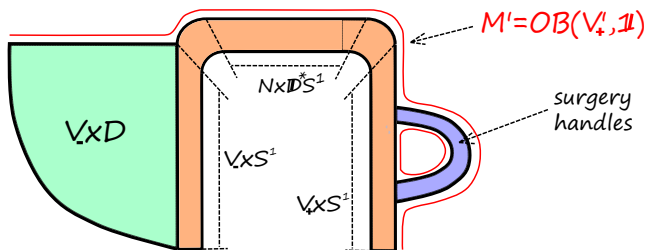


Figure: Capping cobordism.

**End of the proof:**  $W$  filling of  $(\mathbb{S}^{2n+1}, \xi_{ex}) \Rightarrow$  Homological obstruction:

$$0 \neq H_n(N) \hookrightarrow H_n(W).$$

However, this factors as

$$0 \neq H_n(N) \rightarrow H_n(\mathbb{S}^{2n+1}) = 0 \rightarrow H_n(W),$$

contradiction.

# Remarks

- 1 **Homotopically standard:** Fixed  $\xi$ , Bourgeois manifolds have same almost contact class  $\xi \oplus T\mathbb{T}^2$ , so suffices with trivial open book.  $h$ -cobordism theorem gives standard smooth topology on sphere.

# Remarks

- ① **Homotopically standard:** Fixed  $\xi$ , Bourgeois manifolds have same almost contact class  $\xi \oplus T\mathbb{T}^2$ , so suffices with trivial open book.  $h$ -cobordism theorem gives standard smooth topology on sphere.
- ② **Infinitely many:** connected sums with Lazarev's non-standard flexibly fillable spheres. Distinguished by positive symplectic cohomology (Cieliebak–Oancea).

# Remarks

- ① **Homotopically standard:** Fixed  $\xi$ , Bourgeois manifolds have same almost contact class  $\xi \oplus T\mathbb{T}^2$ , so suffices with trivial open book.  $h$ -cobordism theorem gives standard smooth topology on sphere.
- ② **Infinitely many:** connected sums with Lazarev's non-standard flexibly fillable spheres. Distinguished by positive symplectic cohomology (Cieliebak–Oancea).
- ③ **Dimension 5:** Needs careful *flexible* version of the homological obstruction theorem.

# Remarks

- 1 **Homotopically standard:** Fixed  $\xi$ , Bourgeois manifolds have same almost contact class  $\xi \oplus T\mathbb{T}^2$ , so suffices with trivial open book.  $h$ -cobordism theorem gives standard smooth topology on sphere.
- 2 **Infinitely many:** connected sums with Lazarev's non-standard flexibly fillable spheres. Distinguished by positive symplectic cohomology (Cieliebak–Oancea).
- 3 **Dimension 5:** Needs careful *flexible* version of the homological obstruction theorem.
- 4 **Symplectic cohomology:** Capping cobordisms reach  $\partial(V \times \mathbb{D}^2)$ . Zhou's computations of  $SH_+(\partial(V \times \mathbb{D}^2))$  and  $SH_+$  computations of Brieskorn spheres as by [Kwon–van-Koert] can be used.

# **Liouville but not Weinstein fillable spheres**



# Geometric construction

**One** example:

- $V = N^{2n-1} \times [-1, 1]$  Liouville domain (MNW)  $\rightsquigarrow M = \partial(V \times \mathbb{D}^2)$ , which is ADC (Lazarev, Zhou).

# Geometric construction

**One** example:

- $V = N^{2n-1} \times [-1, 1]$  Liouville domain (MNW)  $\rightsquigarrow M = \partial(V \times \mathbb{D}^2)$ , which is ADC (Lazarev, Zhou).
- Bowden–Crowley–Stipsicz  $\rightsquigarrow$  cobordism  $W$  to sphere  $\mathbb{S}^{2n+1}$ .

# Geometric construction

**One** example:

- $V = N^{2n-1} \times [-1, 1]$  Liouville domain (MNW)  $\rightsquigarrow M = \partial(V \times \mathbb{D}^2)$ , which is ADC (Lazarev, Zhou).
- Bowden–Crowley–Stipsicz  $\rightsquigarrow$  cobordism  $W$  to sphere  $\mathbb{S}^{2n+1}$ .
- Cieliebak–Eliashberg  $\rightsquigarrow W$  can be taken flexible Weinstein  $\rightsquigarrow$  contact sphere  $(\mathbb{S}^{2n+1}, \xi)$ , which is ADC.

# Geometric construction

**One** example:

- $V = N^{2n-1} \times [-1, 1]$  Liouville domain (MNW)  $\rightsquigarrow M = \partial(V \times \mathbb{D}^2)$ , which is ADC (Lazarev, Zhou).
- Bowden–Crowley–Stipsicz  $\rightsquigarrow$  cobordism  $W$  to sphere  $\mathbb{S}^{2n+1}$ .
- Cieliebak–Eliashberg  $\rightsquigarrow W$  can be taken flexible Weinstein  $\rightsquigarrow$  contact sphere  $(\mathbb{S}^{2n+1}, \xi)$ , which is ADC.
- Stacking  $W$  on top of  $V \times \mathbb{D}^2 \rightsquigarrow (\mathbb{S}^{2n+1}, \xi)$  has Liouville filling  $X^{2n+2} = V \times \mathbb{D}^2 \cup W$ .

# Geometric construction

**One** example:

- $V = N^{2n-1} \times [-1, 1]$  Liouville domain (MNW)  $\rightsquigarrow M = \partial(V \times \mathbb{D}^2)$ , which is ADC (Lazarev, Zhou).
- Bowden–Crowley–Stipsicz  $\rightsquigarrow$  cobordism  $W$  to sphere  $\mathbb{S}^{2n+1}$ .
- Cieliebak–Eliashberg  $\rightsquigarrow W$  can be taken flexible Weinstein  $\rightsquigarrow$  contact sphere  $(\mathbb{S}^{2n+1}, \xi)$ , which is ADC.
- Stacking  $W$  on top of  $V \times \mathbb{D}^2 \rightsquigarrow (\mathbb{S}^{2n+1}, \xi)$  has Liouville filling  $X^{2n+2} = V \times \mathbb{D}^2 \cup W$ .

**Note:**  $H_{2n-1}(X) \neq 0$ , coming from  $[N]$ , and  $2n - 1 > n + 1$  if  $n \geq 3$   
 $\Rightarrow X$  **not** Weinstein (if  $n = 2$ , it is by Breen–Christian).

# Geometric construction

**One** example:

- $V = N^{2n-1} \times [-1, 1]$  Liouville domain (MNW)  $\rightsquigarrow M = \partial(V \times \mathbb{D}^2)$ , which is ADC (Lazarev, Zhou).
- Bowden–Crowley–Stipsicz  $\rightsquigarrow$  cobordism  $W$  to sphere  $\mathbb{S}^{2n+1}$ .
- Cieliebak–Eliashberg  $\rightsquigarrow W$  can be taken flexible Weinstein  $\rightsquigarrow$  contact sphere  $(\mathbb{S}^{2n+1}, \xi)$ , which is ADC.
- Stacking  $W$  on top of  $V \times \mathbb{D}^2 \rightsquigarrow (\mathbb{S}^{2n+1}, \xi)$  has Liouville filling  $X^{2n+2} = V \times \mathbb{D}^2 \cup W$ .

**Note:**  $H_{2n-1}(X) \neq 0$ , coming from  $[N]$ , and  $2n-1 > n+1$  if  $n \geq 3 \Rightarrow X$  **not** Weinstein (if  $n = 2$ , it is by Breen–Christian).

$X'$  another filling, ADC  $\rightsquigarrow H_*(W) \cong H_*(W')$  (Zhou)  $\Rightarrow$  **not** Weinstein fillable.

Thank you!