

## Exercises 8

**Exercise 1** (Fixpoints of Real Functions). Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be defined as

$$f(x) = x^2 - x - 3$$

Describe the set  $F_f$  of fixpoints of  $f$ . Does  $f$  admits a least fixpoint? A greatest fixpoint?

**Exercise 2** (Program to Formula). Consider the following simple program:

1	<code>x = x + y</code>
2	<code>if x &gt; y then</code>
3	<code>    x = x - y</code>
4	<code>else</code>
5	<code>    y = y - x</code>

- Convert the program into a formula that expresses the program's meaning. Your formula should have:
  - $x, y, x', y'$  as the only *free* variables
  - " $\exists$ " as the only quantifier (no universal quantifiers); it may also be quantifier-free
  - no relations or functions other than those of integer linear arithmetic.
- Let  $r \subseteq S \times S$  be the semantics of the program in the previous part. Consider the initial set of states  $p$ :

$$p = \{(x, y) \in S \mid 0 \leq x + y\}$$

Find the formula that describes the strongest postcondition of  $p$  with respect to  $r$ . Specifically, compute a quantifier-free formula  $Q$  containing as the variables only  $x$  and  $y$ , such that the set

$$q = \{(x, y) \mid Q\}$$

is the relation image of set  $p$  under relation  $r$ , that is,  $q = r[p]$  holds.

You do not need to show detailed steps, but be careful to give a formula for the exact relation image (strongest postcondition).

**Exercise 3.** Consider the initial set of states

$$p = \{(x, y) \in S \mid x^2 \leq y\}$$

Let  $r \subseteq S \times S$  now be the meaning of the following program with two assignments executed one after another:

1	y = y - 1
2	x = x + y

Compute a quantifier-free formula  $Q$  containing as variables only  $x$  and  $y$  that characterizes the strongest postcondition of  $p$  with respect to  $r$ , that is, a formula  $Q$  such that the set

$$q = \{(x, y) \mid Q\}$$

is the relation image of set  $p$  under relation  $r$ , that is,  $q = r[p]$  holds.

**Exercise 4** (First Order Logic Resolution). Consider the following first order logic signature  $L = \{E, a, f, g\}$ , consisting of a binary relation symbol  $E$ , a constant  $a$ , a function  $f$  taking 1 argument, and a function  $g$  taking 1 argument. Recall that, in FOL, we distinguish terms (denoting values in the domain) from formulas (denoting truth values).

**Defining Terms** Let  $V$  denote a countable set of variables used to build terms and formulas. Let  $T$  denote the set of all terms with variables  $V$  in the signature  $L$ . Let  $\text{GT}$  denote the set of ground terms corresponding in the signature  $L$  (domain of the Herbrand universe).

- Does  $g(f(a))$  belong to  $\text{GT}$ ?
- Does  $g(f(x))$  belong to  $\text{GT}$ ?
- Does  $E(f(a), a)$  belong to  $\text{GT}$ ?
- Does  $E(f(x), a)$  belong to  $T$ ?
- Give a definition for a function  $H : 2^T \rightarrow 2^T$  such that these two conditions hold:

$$T = \bigcup_{i \geq 0} H^i(V)$$

$$\text{GT} = \bigcup_{i \geq 0} H^i(\emptyset)$$

Do not use  $\text{GT}$  in the definition of  $H$ . (This way, we can use  $H$  to define  $\text{GT}$ .)

( $H^i(x)$  denotes the iterated application of  $H$ , i.e.,  $H^0(x) = x$ ,  $H^{i+1}(x) = H(H^i(x))$ .)

**Axioms and Their Normal Form** Consider the following formula  $A$ :

$$\begin{aligned} \forall x, y, z. \quad & (E(x, y) \wedge E(y, z) \rightarrow E(x, z)) \wedge \\ & (E(x, y) \rightarrow (E(f(x), f(y)) \wedge E(g(x), g(y)))) \wedge \\ & E(f(g(x)), g(f(x))) \end{aligned}$$

Show the result of transforming the above formula into an *equivalent* finite set of first-order clauses.

**Applying Resolution** Use the clauses obtained in the previous part to show that  $E(f(f(g(a))), g(f(f(a))))$  is a consequence of formula  $A$ .

Use a refutation proof with the rule of FOL resolution with instantiation. Write your proof as a numbered sequence proving the empty clause  $\emptyset$ . For each step indicate if it is an assumption or write “from  $n_1, n_2$ ” where  $n_1$  and  $n_2$  are previous steps from which it follows.

You may abbreviate the terms using prefix notation, writing e.g.  $E(f(f(g(a))), g(f(f(a))))$  as  $E(ffga, gffa)$ .

**Exercise 5** (The Age of AI - Abstract Interpretation (11pt)). In this question we are designing an abstract domain that improves on intervals by tracking divisibility as well. Even in case your understanding of abstract interpretation is limited, your intuition and understanding of Hoare triples and strongest postconditions may allow you to solve some of these problems.

For simplicity, consider programs with a single variable. A set of states is a subset of the set of integers, so the concrete domain is the set of all subsets,  $C = 2^{\mathbb{Z}}$ . The ordering on concrete elements is  $\subseteq$ , which gives a (complete) lattice with the least upper bound  $\cup$  and the greatest lower bound  $\cap$ .

The abstract domain elements are four-tuples  $(a, b, c, d)$  where  $a, b, c, d$  can be integers and where  $a$  can also be  $-\infty$  and  $b$  can also be  $+\infty$ . We assume that  $-\infty \leq x$  and  $x \leq +\infty$  for every  $x \in \mathbb{Z}$ . Hence,

$$A = \{(a, b, c, d) \mid a \in \{-\infty\} \cup \mathbb{Z}, b \in \mathbb{Z} \cup \{+\infty\}, c, d \in \mathbb{Z}\}$$

Define

$$\gamma(a, b, c, d) = \{x \mid a \leq x \leq b \wedge \exists k \in \mathbb{Z}. x = kd + c\}$$

**Special Case** Give a simple definition of the set  $\gamma(a, b, 0, 1)$  and for the set  $\gamma(a, a, 0, 1)$ .

**Abstract Strongest Postcondition for Assignment** Consider the following assignment statement  $c1$ :

$x = x - 3$

Let  $r_1 \subseteq \mathbb{Z}^2$  be the meaning of that statement. Write down an expression defining  $r_1$ .

Then, give a definition of a function  $F_1^\# : A \rightarrow A$  such that, for all  $x \in A$ ,

$$r_1[\gamma(x)] \subseteq \gamma(F_1^\#(x)) \quad (1)$$

Try to define  $F_1^\#$  such that  $\gamma(F_1^\#(x))$  is as small set as possible while satisfying the above condition.

Illustrate your definition by showing and simplifying the mathematical expression for  $F_1^\#((a, a, 0, 1))$  where  $a \in \mathbb{Z}$ .

**Abstract Strongest Postcondition for Tests** Analogously to the previous part, consider the command  $c2$ :

$\text{assume}(x > 3)$

whose meaning is relation  $r_2$ . Give functions  $F_2^\# : A \rightarrow A$  that satisfies the condition analogous to (1):

$$r_2[\gamma(x)] \subseteq \gamma(F_2^\#(x))$$

Also give  $F_3^\# : A \rightarrow A$  that corresponds to the command:

$\text{assume}(x \leq 3)$

**Joining** Propose a definition of  $J : A \times A \rightarrow A$  such that for all  $x, y$ :

- $J(x, y) = J(y, x)$
- $\gamma(x) \subseteq \gamma(J(x, y))$

and such that  $\gamma(J(x, y))$  is as small as you can make it while satisfying the above two conditions.

**Loop and Its Control-Flow Graph** You may be able to solve this part independently of the other parts.

Consider the following small program.

1	<code>// 1</code>
2	<code>x = 20</code>
3	<code>while // 2</code>

4	$x > 3$ <b>do</b>
5	// 3
6	$x = x - 3$
7	// 4

Draw a control flow diagram with these 4 program points, with edges labeled by assignments and tests (“assume” statements).

Let  $I_1$  be the mathematical formula “true”.

Find formulas  $I_2, I_3, I_4$  expressible in the language of integer linear arithmetic with the divisibility operator such that  $I_1, I_2, I_3, I_4$  result in valid Hoare triples according to the control flow graph, and such that they are as strong as you can make them (making all of them “true” is not a good solution).

For example, the following should be valid Hoare triples (among others):

- $I_1 \{x = 20\} I_2$
- $I_2 \{\text{assume}(x > 3)\} I_3$
- $I_3 \{x = x - 3\} I_2$

**Injectivity** Give an example showing that  $\gamma$  is not injective.

Define a subset  $A_N \subseteq A$  such that  $\gamma$  restricted to  $A_N$  is injective and  $\gamma[A_N] = \gamma[A]$ .

We write  $\gamma_N$  for the restriction of  $\gamma$  to  $A_N$ :  $\gamma_N(x) = \gamma(x)$  for all  $x \in A_N$ .

**Ordering on  $A$**  Define a binary partial order relation  $\leq$  on  $A_N$  such that  $\gamma_N$  is an injective monotonic function from  $A_N$  to  $C$ .

**Galois Insertion** Can you define  $\alpha : C \rightarrow A_N$  such that  $(\alpha, \gamma_N)$  form a Galois insertion between  $C$  and  $A_N$ ? (Reminder: a Galois insertion is a Galois connection where  $\gamma_N$  is injective.)