

# 1 Preliminaries

Skipped due to triviality.

## 2 Categories

### 2.1 Basic definitions

**Exercise 2.1.1.** Prove that sets (as objects) and injective functions (as arrows) form a category with functional composition as the composition operation  $c$ .

*Solution.* Take  $\text{id}_A$  to be  $x \mapsto x$ , then  $\text{id}_A \circ f = f$  and  $g \circ \text{id}_A = g$  is trivial. The last thing to check is that  $g \circ f$  is injective, that is, whenever  $s \neq s'$ , then  $g(f(s)) \neq g(f(s'))$ . By injectivity of  $f$ , we have  $f(s) \neq f(s')$  and by injectivity of  $g$  we have  $g(f(s)) \neq g(f(s'))$ .  $\square$

**Exercise 2.1.2.** Do the same as Exercise 1 for sets and surjective functions.

*Solution.* Let  $f : A \rightarrow B, g : B \rightarrow C$  be injective functions. Then  $f(A) = B, g(B) = C \Rightarrow g(f(A)) = C$ .  $\square$

**Exercise 2.1.3.** Show that composition of relations (2.1.14) is associative.

*Solution.* Let  $\alpha, \beta, \gamma$  be relations from  $A$  to  $B$ , from  $B$  to  $C$  and from  $C$  to  $D$ .

$$\begin{aligned}\alpha \circ \beta \circ \gamma &= \{(a, c) \mid \exists b : (a, b) \in \alpha, (b, c) \in \beta\} \circ \gamma \\ &= \{(a, d) \mid \exists b, c : (a, b) \in \alpha, (b, c) \in \beta, (c, d) \in \gamma\} \\ &= \alpha \circ (\beta \circ \gamma)\end{aligned}$$

$\square$

**Exercise 2.1.4.** Prove the following for any arrow  $u : A \rightarrow A$  of a category  $\mathcal{C}$ . It follows from these facts that C-3 and C-4 of 2.1.3. characterize the identity arrows of a category.

1. If  $g \circ u = g$  for every object  $B$  of  $\mathcal{C}$  and arrow  $g : A \rightarrow B$ , then  $u = \text{id}_A$ .
2. If  $u \circ h = h$  for every object  $C$  of  $\mathcal{C}$  and arrow  $h : C \rightarrow A$ , then  $u = \text{id}_A$ .

*Solution.*

1.  $\text{id}_A \circ u \stackrel{\text{def}}{=} u$ , but also  $\text{id}_A \circ u = \text{id}_A$  by assumption.  $\Rightarrow u = \text{id}_A$ .
2.  $u \circ \text{id}_A \stackrel{\text{def}}{=} u$ , but also  $u \circ \text{id}_A = \text{id}_A$  by assumption.  $\Rightarrow u = \text{id}_A$ .

$\square$

## 2.2 Functional programming languages

**Exercise 2.2.1.**  $\text{nonzero} : \text{NAT} \rightarrow \text{BOOLEAN}$ , subject to equations  $\text{nonzero} \circ \text{succ} = \text{false}$  and  $\text{nonzero} \circ \text{succ} = \text{true}$ .

## 2.3 Mathematical structures as categories

**Exercise 2.3.1.** For which sets  $A$  is  $F(A)$  a commutative monoid?

*Solution.*  $F(A)$  is always a monoid, so the only property to check is commutativity. If  $A = \{\}$ , then  $F(A) = \{\}$  and is vacuously commutative. If  $A = \{a\}$ , then  $F(A) = \{(), (a), (a, a), \dots\}$  and is commutative. Otherwise, if  $A$  has at least two elements,  $a$  and  $b$ ,  $(a)(b) = (a, b)$ , but  $(b)(a) = (b, a) \neq (a, b)$ , therefore it is not commutative. All in all,  $|A| \leq 1 \Leftrightarrow F(A)$  is commutative.  $\square$

**Exercise 2.3.2.** Prove that for each object  $A$  in a category  $\mathcal{C}$ ,  $\text{hom}(A, A)$  is a monoid with composition of arrows as the operation.

*Solution.* Take  $\text{id}_A$  as the identity element. Then  $\text{id}_A \circ f = f \circ \text{id}_A = f$  by definition of  $\text{id}$ .  $\text{hom}(A, A)$  is closed under composition.  $\square$

**Exercise 2.3.3.** Prove that a semigroup has at most one identity element.

*Solution.* Let  $e_1, e_2$  be identity elements. Then  $e_2 = e_1 e_2 = e_1$ , so the identity elements are equal. This is very similar to exercise 2.1.4.  $\square$

## 2.4 Categories of sets with structure

**Exercise 2.4.1.** Let  $(S, \alpha)$  and  $(T, \beta)$  be sets with relations on them. A **homomorphism** from  $(S, \alpha)$  to  $(T, \beta)$  is a function  $f : S \rightarrow T$  with the property that if  $x\alpha y$  in  $S$  then  $f(x)\beta f(y)$  in  $T$ .

1. Show that sets with relations and homomorphisms between them form a category.
2. Show that if  $(S, \alpha)$  and  $(T, \beta)$  are both posets, then  $f : S \rightarrow T$  is a homomorphism of relations if and only if it is a monotone map.

*Solution.*

1. Take the identity map as  $\text{id}_A$ . It is obviously a homomorphism.  $\text{id}_A \circ f = f \circ \text{id}_A = f$  holds. Transitivity also holds:

$$x\alpha y \Rightarrow f(x)\beta f(y) \Rightarrow g(f(x))\gamma g(f(y))$$

2. By definition.

□

**Exercise 2.4.2.** Show that (strict)  $\omega$ -complete partial orders and (strict) continuous functions form a category.

*Solution.* Take the identity map as  $\text{id}_A$ , which is clearly (strict) continuous.  $\text{id}_A \circ f = f \circ \text{id}_A = f$  clearly holds. Composition  $g \circ f$  of continuous functions is continuous:

$$s = \sup \mathcal{C} \Rightarrow f(s) = \sup f(\mathcal{C}) \Rightarrow g(f(s)) = \sup g(f(\mathcal{C}))$$

Same holds for strictness:

$$g(f(\perp)) = g(\perp) = \perp$$

□

**Exercise 2.4.3.** Let  $\mathbb{R}^+$  be the set of nonnegative real numbers. Show that the poset  $(\mathbb{R}^+, \leq)$  is not an  $\omega$ -CPO.

*Solution.* Let  $\mathcal{C}$  be  $(1, 2, \dots)$ . Suppose it has a supremum  $s$ .  $\lfloor s \rfloor + 1 \in \mathcal{C}$  and is greater than  $s$ , therefore  $s$  is not the supremum. □

**Exercise 2.4.4.** Show that for every set  $S$ , the poset  $(\mathcal{P}(S), \subseteq)$  is a strict  $\omega$ -CPO.

*Solution.* Let  $\mathcal{C}$  be  $(s_1, s_2, \dots)$ . Let  $S' = \bigcup_{i=1}^{\infty} s_i$ . By definition  $\forall i \ s_i \subseteq S'$  and if any other  $S''$  has this property, then  $S' \subseteq S''$  because otherwise  $\exists s \in S'' : s \notin S'$  which implies that  $\exists i : s_i \not\subseteq S''$ . The bottom is  $\perp$ . □

**Exercise 2.4.5.** Give an example of  $\omega$ -CPOs with a monotone map between them that is not continuous.

*Solution.* Let the underlying set be  $\mathbb{Z} \cup \{a, b\}$ , and the order be the standart  $\leq$  on integers, and  $\forall i \in \mathbb{Z} \ i \leq a \leq b$ . Then let  $f$  be:

$$f(x) = \begin{cases} x, & x \in \mathbb{Z} \\ b, & \text{otherwise} \end{cases}$$

$f$  is monotone since on  $\mathbb{Z}$  it is the identity map, and  $b = f(a) \leq f(b) = b$ . It is not continuous because the chain  $\mathcal{C}$  defined as  $(0, 1, \dots)$  has  $a$  as its supremum, but  $\sup f(\mathcal{C}) = \sup \mathcal{C} = a \neq f(a) = b$ . □

**Exercise 2.4.6.** Let  $g : \mathbb{N} \rightarrow \mathbb{N}$  be the function such that  $f(n) = 2^n$ . Exhibit  $g$  as the least fixed point of a continuous function  $\psi : \mathcal{P} \rightarrow \mathcal{P}$ .

$$\text{Solution. } \psi(h)(n) = \begin{cases} 1 & n = 0 \\ 2 * h(n-1) & n > 0 \end{cases}$$

□

**Exercise 2.4.7.** Exhibit the Fibonacci function as the least fixed point of a continuous function from an  $\omega$ -CPO to itself.

*Solution.*  $\psi(h)(n) = \begin{cases} 1 & n = 0 \\ 1 & n = 1 \\ h(n-1) + h(n-2) & n > 1 \end{cases}$  □