

CS201 DISCRETE MATHEMATICS FOR COMPUTER SCIENCE

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Applications of Number Theory in Cryptography

- Introduction
- Symmetric cryptography
- Asymmetric cryptography
- RSA Cryptosystem
- DLP and El Gamal cryptography
- Diffie-Hellman key exchange protocol
- Crytocurrency, e.g., bitcoin



History of almost 4000 years (from 1900 B.C.)

Cryptography = kryptos + graphos



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The term was first used in *The Gold-Bug*, by Edgar Allan Poe (1809 - 1849).



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The term was first used in *The Gold-Bug*, by Edgar Allan Poe (1809 - 1849).

"Human ingenuity cannot concoct a cipher which human ingenuity cannot resolve." - 1841



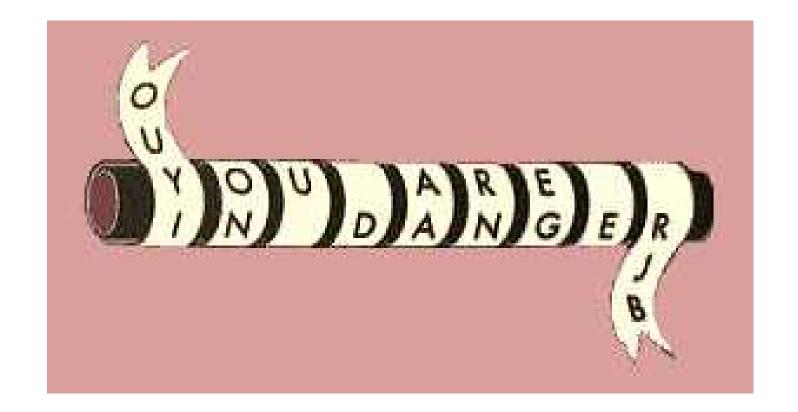
One-sentence definition:

"Cryptography is the practice and study of techniques for secure communication in the presence of third parties called adversaries." – Ronald L. Rivest





■ In 405 BC, the Greek general LYSANDER OF SPARTA was sent a coded message written on the inside of a servant's belt.





The Greeks also invented a cipher which changed letters to numbers. A form of this code was still being used during World War I.





Caesar Cipher (after the name of JULIUS CAESAR)



VENI, VIDI, VICI

YHQL YLGL YLFL



Morse Code: created by Samuel Morse in 1838

```
Morse Alphabet
Full stop (.) • - • - • - Break signal or fresh line - • • • -
Apostrophe (') \bullet = - - - \bullet
Hyphen (-) - • • • • -
Exclamation (1) - - · · -
Interrogation (?) • • - - •
Underline ( _____ ) • • - - • -
Parenthesis () - • - - • -
Inverted commas (" ") • - • • - •
```



Crytograms from the Chinese gold bars

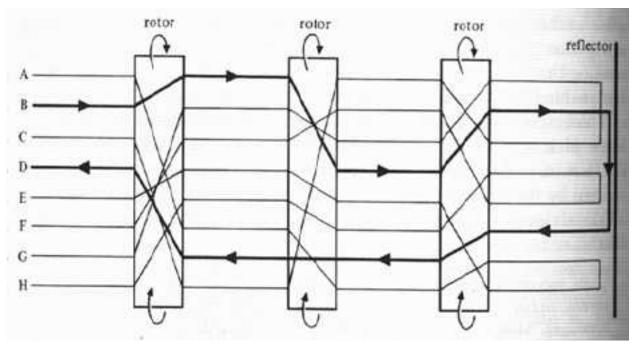


http://www.iacr.org/misc/china/china.html



■ Enigma, Germany coding machine in World War II.







Sigaba, used by U.S. during World War II.





Japanese "Enigma" Rotor Cipher Machine





Japanese Purple Machine (97-shiki obun inji-ki)

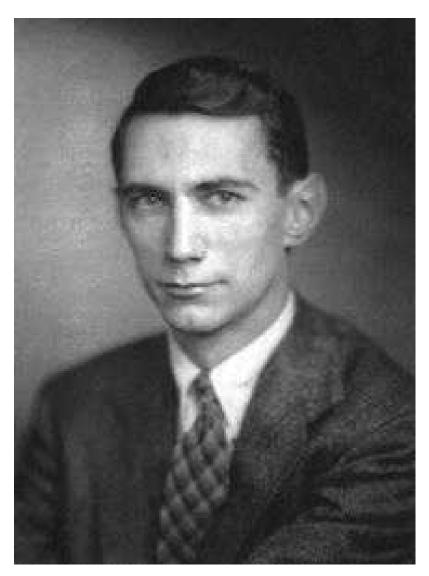




People Working in Breaking Codes



Alan Turing (1912-1954)



Claude E. Shannon (1916-2001)



History (until 1970's)"Symmetric" cryptography



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History (until 1970's)

"Symmetric" cryptography





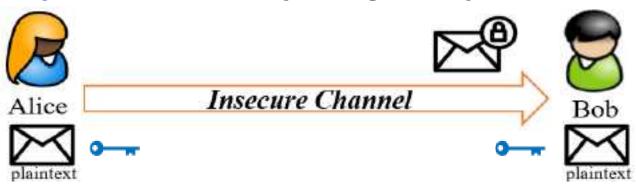
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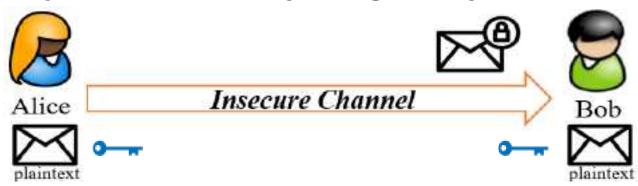
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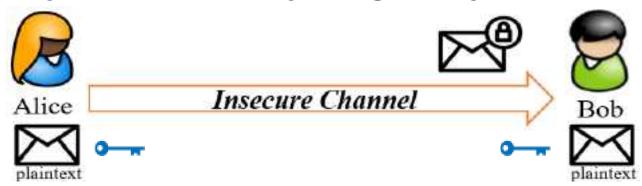


They need agree in advance on the secret key k.



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"Symmetric" cryptography



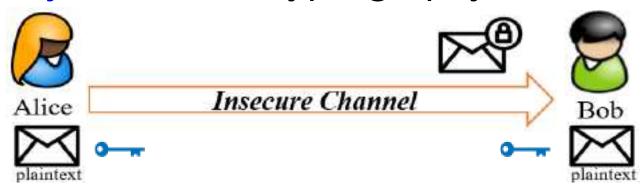
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Q: How can they do this?



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"Symmetric" cryptography



They need agree in advance on the secret key k.

Q: How can they do this?

Q: What if Bob could send Alice a "special key" useful only for encryption but no help for decryption?



• Key: $k = 0, 1, \dots, 25$

Encryption: encode i as (i + k) mod 26

Decryption: decode j as (j - k) mod 26



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plaintext: SEND REINFORCEMENT

Key: 2

ciphertext: UGPF TGKPHQTEGOGPV



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Problem: only 26 possibilities for keys!



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Kerchoff's Principle (1883): System should be secure even if algorithms are known, as long as key is secret.



Key: table mapping each letter to another letter

ABC	Z
VRE	D



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ABC	, -
VRE	<u> </u>

Encryption & Decryption: letter by letter according to table



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However, substitution cipher is still insecure!

Key observation: can recover plaintext using *statistics* on *letter frequencies*.



Table 1: Relative frequencies of the letters of the English language

Letter	Relative Frequency (%)	Letter	Relative Frequency (%)
a	8.167	n	6.749
b	1.492	0	7.507
c	2.782	р	1.929
d	4.253	q	0.095
e	12.702	r	5.987
f	2.228	S	6.327
g	2.015	t	9.056
h	6.094	u	2.758
i	6.966	V	0.978
j	0.153	w	2.360
k	0.772	X	0.150
1	4.025	У	1.974
m	2.406	Z	0.074



Table 2: Number of Diagraphs Expected in 2,000 Letters of English Text

th	-	50	at	-	25	st	-	20
er	-	40	en	*	25	io	-	18
on	=	39	es	\cong	25	le	ω_i	18
an	-	38	of		25	is	+	17
re	-	36	or	-	25	ou	, ,	17
he	-	33	nt	-	24	ar	-	16
in	-	31	ea		22	as	+	16
ed	-	30	ti	*	22	de	#	16
ne	-	30	to	-	22	rt	-	16
ha	-	26	it	-	20	ve	-	16

Table 3: The 15 Most Common Trigraphs in the English Language

					- A			
1	-	the	6	2	tio	11	-	edt
2	7	and	7	77	for	12	(7)	tis
3		tha	8	<u>::</u> :	nde	13		oft
4	-	ent	9		has	14	-	sth
5	-	ion	10	23	nce	15		men



 LIVITCSWPIYVEWHEVSRIQMXLEYVEOIEWHRXEXIPFE MVEWHKVSTYLXZIXLIKIIXPIJVSZEYPERRGERIMWQL MGLMXQERIWGPSRIHMXQEREKI



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– most common letter

LI – most common pair

XLI – most common triple



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LIVI = he?e



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$$LIVI = he?e$$
 $V = r$



LIVITCSWPIYVEWHEVSRIQMXLEYVEOIEWHRXEXIPFE MVEWHKVSTYLXZIXLIKIIXPIJVSZEYPERRGERIMWQL **MGLMXQERIWGPSRIHMXQEREKI**

– most common letter LI – most common pair XLI – most common triple

LIVI = he?e

$$I = e$$
 $I - h$

$$L = h$$

$$X = t$$

$$V = r$$

$$E = a$$

$$Y = g$$



 LIVITCSWPIYVEWHEVSRIQMXLEYVEOIEWHRXEXIPFE MVEWHKVSTYLXZIXLIKIIXPIJVSZEYPERRGERIMWQL MGLMXQERIWGPSRIHMXQEREKI

HereUpOnLeGrandAroseWithAGraveAndStatelyAirAndBroug MeTheBeetleFromAGlassCaseInWhichItWasEnclosedIt-WasABe

20 - 7

Cryptography History

History (from 1976)

♦ W. Diffie, M. Hellman, "New direction in cryptography", IEEE Transactions on Information Theory, vol. 22, pp.

644-654, 1976.

"We stand today on the brink of a revolution in cryptography."



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2015 **Turing Award**



Bailey W. Diffie



Martin E. Hellman

2015

Martin E. Hellman Whitfield Diffie For fundamental contributions to modern cryptography. Diffie and Hellman's groundbreaking 1976 paper, "New Directions in Cryptography," introduced the ideas of public-key cryptography and digital signatures, which are the foundation for most regularly-used security protocols on the internet today. [40]







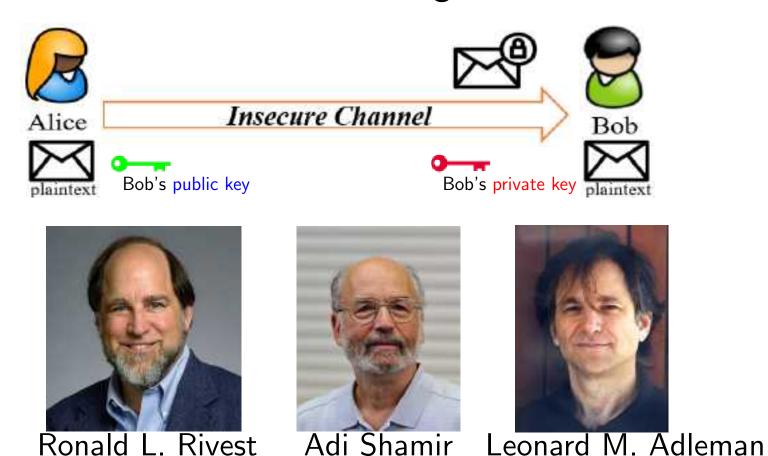












R. Rivest, A. Shamir, L. Adleman, "A method for obtaining digital signatures and public-key cryptosystems", *Communications of the ACM*, vol. 21-2, pages 120-126, 1978.



Rivest-Shamir-Adleman

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Pick two large primes, p and q. Let n = pq, then $\phi(n) = (p-1)(q-1)$. Encryption and decryption keys e and d are selected such that

- $gcd(e, \phi(n)) = 1$
- $ed \equiv 1 \pmod{\phi(n)}$



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$$C = M^e \mod n$$
 (RSA encryption)

$$M = C^d \mod n$$
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Theorem (*Correctness*): Let p and q be two odd primes, and define n = pq. Let e be relatively prime to $\phi(n)$ and let d be the multiplicative inverse of e modulo $\phi(n)$. For each integer x such that $0 \le x < n$,

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RSA Public Key Cryptosystem: Example

Parameters: $p = q = n = \phi(n) = e = d$ 5 11 55 40 7 23



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Parameters: p q n $\phi(n)$ e d

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Public key: (7,55)

Private key: 23



RSA Public Key Cryptosystem: Example

Parameters: $p = q = n = \phi(n) = e = d$ 5 11 55 40 7 23

Public key: (7,55)

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Encryption: $M = 28, C = M^7 \mod 55 = 52$

Decryption: $M = C^{23} \mod 55 = 28$



Parameters: p q n $\phi(n)$ e d

Public key: (e, n)

Private key: d

p, q, $\phi(n)$ must be kept secret!



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Q: Why?

Comment: It is believed that determining $\phi(n)$ is equivalent to factoring n. Meanwhile, determining d given e and n, appears to be at least as time-consuming as the integer factoring problem.



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CS 208 - Algorithm Design and Analysis



The Security of the RSA

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Q: Consider the RSA system, where n=pq is the modulus. Let (e,d) be a key pair for the RSA. Define

$$\lambda(n) = \operatorname{lcm}(p-1, q-1)$$

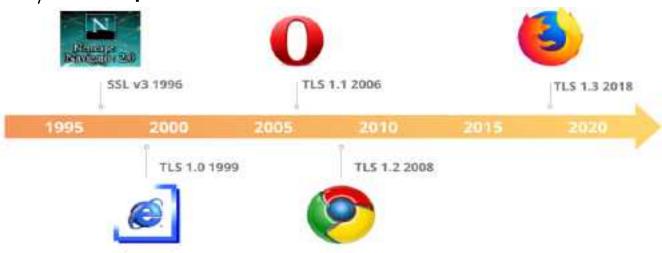
and compute $d' = e^{-1} \mod \lambda(n)$. Will decryption using d' instead of d still work?



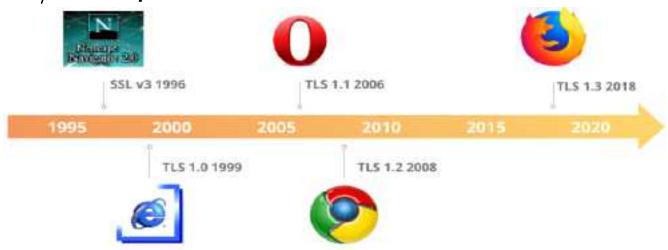








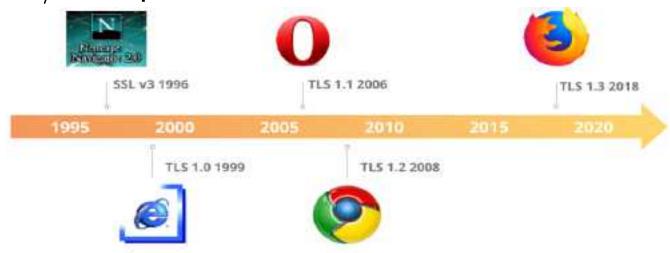




Key exchange/agreement and authentication

Algorithm	SSL 2.0	SSL 3.0	TLS 1.0	TLS 1.1	TLS 1.2	TLS 1.3
RSA	Yes	Yes	Yes	Yes	Yes	No
DH-RSA	No	Yes	Yes	Yes	Yes	No
DHE-RSA (forward secrecy)	No	Yes	Yes	Yes	Yes	Yes
ECDH-RSA	No	No	Yes	Yes	Yes	No
ECDHE-RSA (forward secrecy)	No	No	Yes	Yes	Yes	Yes





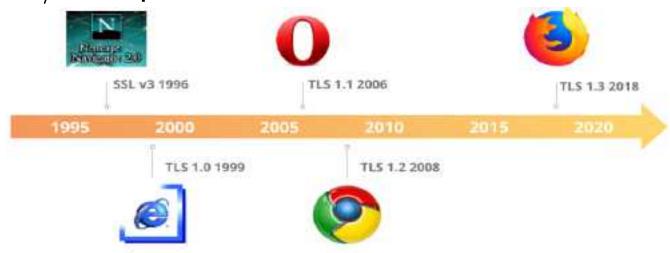
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No	Yes	Yes	Yes	Yes	Yes		
No	No	Yes	Yes	Yes	No		
No	No	Yes	Yes	Yes	Yes		
	Yes No No No	Yes Yes No Yes No Yes No No	Yes Yes Yes No Yes Yes No Yes Yes No No Yes	Yes Yes Yes Yes No Yes Yes Yes No Yes Yes Yes No No Yes Yes Yes	NoYesYesYesNoYesYesYesNoNoYesYes		

CS 305 – Computer Networks



SSL/TLS protocol



Key exchange/agreement and authentication

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CS 305 – Computer Networks

CS 403 - Cryptography and Network Security



Using RSA for Digital Signature

```
S = M^d \mod n (RSA signature)
```

$$M = S^e \mod n$$
 (RSA verification)

Why?



The Discrete Logrithm

■ The discrete logarithm of an integer y to the base b is an integer x, such that

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Given n, b and y, find x.

This is very hard!



El Gamal Encryption

■ **Setup** Let p be a prime, and g be a generator of \mathbb{Z}_p . The private key x is an integer with 1 < x < p - 2. Let $y = g^x \mod p$. The public key for *El Gamal encryption* is (p, g, y).



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El Gamal Encryption: Pick a random integer k from \mathbb{Z}_{p-1} ,

$$a = g^k \mod p$$

 $b = My^k \mod p$

The ciphertext C consists of the pair (a, b).

El Gamal Decryption:

$$M = b(a^x)^{-1} \mod p$$



Using El Gamal for Digital Signature

```
a = g^k \mod p

b = k^{-1}(M - xa) \mod (p - 1)

(El Gamal signature)
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$$y^a a^b \equiv g^M \pmod{p}$$
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Q: How to verify it?



An Example

Choose p = 2579, g = 2, and x = 765. Hence $y = 2^{765} \mod 2579 = 949$.



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Encryption: Let M = 1299 and choose a random k = 853,

$$(a, b) = (g^k \mod p, My^k \mod p)$$

= $(2^{853} \mod 2579, 1299 \cdot 949^{853} \mod 2579)$
= $(435, 2396).$

Decryption:

$$M = b(a^{\times})^{-1} \mod p = 2396 \times (435^{765})^{-1} \mod 2579 = 1299.$$
 34 - 3



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Attack 1: Use $M = by^{-k}$. However, k is randomly picked.

Attack 2: Use $M = b(a^x)^{-1} \mod p$, but x is secret.



Diffie-Hellman Key Exchange Protocol

 Y_A

 Y_B

User A

Generate random

$$X_A < p$$

calculate

$$Y_A = \alpha^{X_A} \bmod p$$

Calculate $k = (Y_B)^{X_A} \mod p$





$$X_B < p$$

Calculate

$$Y_B = \alpha^{X_B} \bmod p$$

Calculate

$$k = (Y_A)^{X_B} \bmod p$$



Cryptography Wonders

Digital Signatures. Electronically sign documents

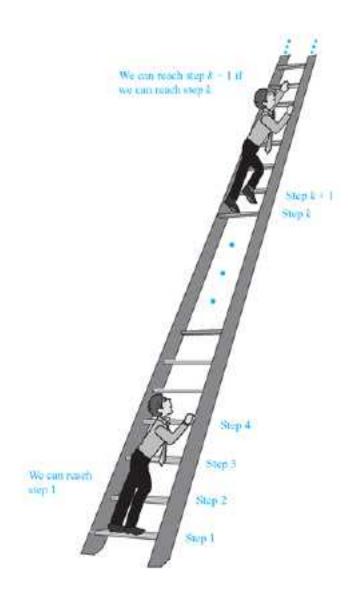
Zero-knowledge Proofs. Alice proves to Bob that she earns < \$50k without Bob learning her income.

Privacy-perserving data mining. Bob holds DB. Alice gets answer to one query, without Bob knowing what she asked.

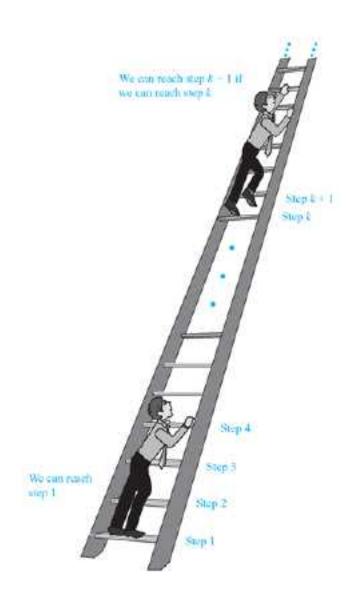
Playing poker over the net. Alice, Bob, Carol and David can play Poker over the net without trusting each other or any central server. (*E-Voting*)

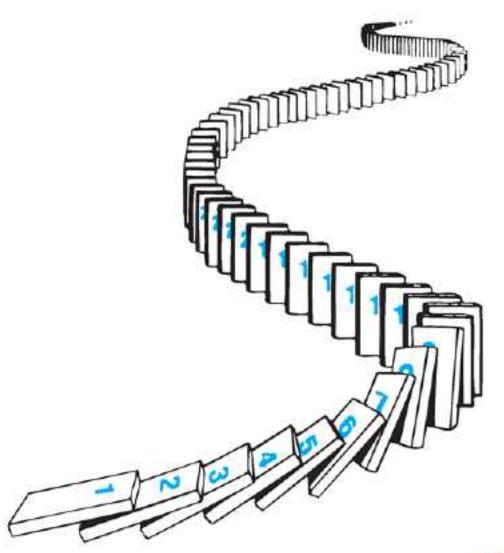
Electronic Auctions. Can run auctions s.t. no one (even not seller) learns anything other than winning party and bid.

Fully Homomorphic Encryption. Encrypt E(m) in a way that allows to compute E(f(m)).











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- We start by reviewing proof by smallest counterexample to try and understand what it is really doing.
- This leads us to transform the indirect proof of proof by counterexample to direct proof. This direct proof technique will be induction.
- We conclude by distinguishing between the weak principle of mathematical induction and the strong principle of mathematical induction.

The *strong principle* can actually be derived from the *weak principle*.



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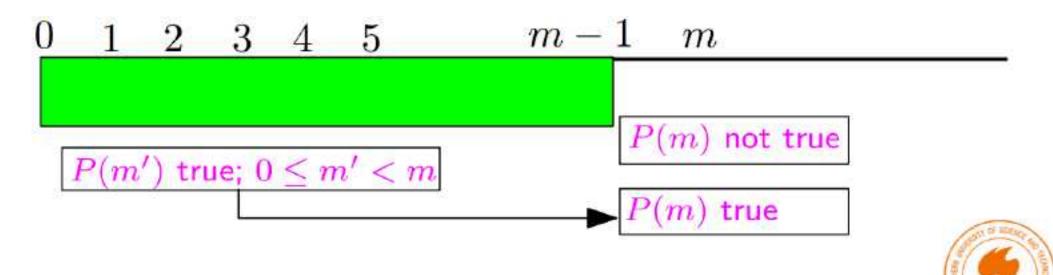
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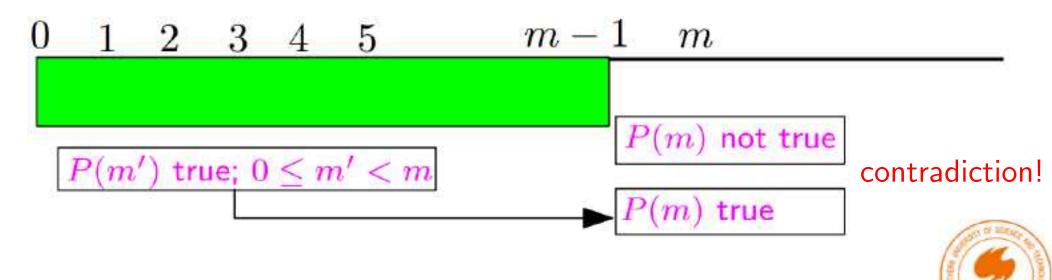
- (i) Assume that a counterexample exists, i.e., There is some n > 0 for which P(n) is false
 - (ii) Let m > 0 be the smallest value for which P(n) is false
- (iii) Then use the fact that P(m') is true for all $0 \le m' < m$ to show that P(m) is true, contradicting the choice of m.



■ The statement P(n) is true for all n = 0, 1, 2, ...

We prove this by

- (i) Assume that a counterexample exists, i.e., There is some n > 0 for which P(n) is false
 - (ii) Let m > 0 be the smallest value for which P(n) is false
- (iii) Then use the fact that P(m') is true for all $0 \le m' < m$ to show that P(m) is true, contradicting the choice of m.



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$$0+1+2+3+\cdots+n=\frac{n(n+1)}{2}$$



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- \diamond Since $0 = 0 \cdot 1/2$, (*) holds for n = 0
- \diamond The smallest counterexample *n* is larger than 0



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- \diamond Therefore, (*) holds for all positive integers n.



What implication did we have to prove?



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The key step was proving that

$$P(n-1) \rightarrow P(n)$$

where P(n) is the statement

$$1+2+\cdots+n=\frac{n(n+1)}{2}$$



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Use proof by smallest counterexample to show that, $\forall n \in N$, $2^{n+1} > n^2 + 2$.

Let $P(n) - 2^{n+1} \ge n^2 + 2$. We start by assuming that the statement

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When a for all quantifier is false, there must be some n for which it is false. Let n be the smallest nonnegative integer for which $2^{n+1} \not\geq n^2 + 2$.



Let *n* be the smallest nonnegative integer for which $2^{n+1} \ge n^2 + 2$.

This means that, for all $i \in N$ with i < n, $2^{i+1} \ge i^2 + 2$



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Then setting i = n - 1 gives

$$2^{(n-1)+1} \ge (n-1)^2 + 2.$$

or

(*)
$$2^n \ge n^2 - 2n + 1 + 2 = n^2 - 2n + 3$$



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Thus, we write

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$$= n^2 + 2 + (n - 2)^2$$

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What did we really do?

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Since
$$P(n-1) \rightarrow P(n)$$
, we see that $P(0)$ implies $P(1)$, $P(1)$ implies $P(2)$, ...



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Principle. (the Weak Principle of Mathematical Induction)

- (a) If the statement P(b) is true
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By mathematical induction, $\forall n > 0$, $2^{n+1} \ge n^2 + 2$.



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Base Step

- (i) Note that for n = 2, $2^{2+1} = 8 \ge 7 = 2^2 + 3 P(2)$
- (ii) Suppose that n > 2 and that $2^n \ge (n-1)^2 + 3$ (*) $2^{n+1} \ge 2(n-1)^2 + 6 \text{ Inductive Hypothesis}$ $= n^2 + 3 + n^2 4n + 4 + 1$ $= n^2 + 3 + (n-2)^2 + 1$ $> n^2 + 3$

Inductive Step

Hence, we've just prove that for n > 2, $P(n-1) \rightarrow P(n)$.

By mathematical induction, $\forall n > 2$, $2^{n+1} \ge n^2 + 3$. 51 - 8 Inductive Conclusion



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 \diamond Then, P(0) implies P(1)

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 \diamond Iterating gives us a proof of P(n) for all n



Strong Induction

- Principle (The Strong Principle of Mathematical Induction)
 - (a) If the statement P(b) is true
 - (b) for all n > b, the statement

$$P(b) \land P(b+1) \land \cdots \land P(n-1) \rightarrow P(n)$$
 is true.

then P(n) is true for all integers $n \geq b$.



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 - ♦ Inductive Hypothesis: Suppose that every number less than n is a power of a prime or a product of powers of primes.
 - ♦ Then, if *n* is not a prime power, it is a product of two smaller numbers, each of which is, by the inductive hypothesis, a power of a prime or a product of powers of primes.

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 - \diamond Base Step: 1 is a power of a prime number, $1=2^0$
 - ♦ Inductive Hypothesis: Suppose that every number less than n is a power of a prime or a product of powers of primes.
 - ♦ Then, if *n* is not a prime power, it is a product of two smaller numbers, each of which is, by the inductive hypothesis, a power of a prime or a product of powers of primes.
 - ♦ Thus, by the strong principle of mathematical induction, every positive integer is a power of a prime or a product of powers of primes.

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Mathematical Induction

In practice, we do not usually explicitly distinguish between the weak and strong forms.



Mathematical Induction

In practice, we do not usually explicitly distinguish between the weak and strong forms.

In reality, they are equivalent to each other in that the weak form is a special case of the strong form, and the strong form can be derived from the weak form.



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We need to make the inductive hypothesis of either P(n-1) or $P(b) \wedge P(b+1) \wedge \cdots \wedge P(n-1)$. We then use (*) or (**) to derive P(n).



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$$(*) \qquad P(n-1) \to P(n)$$

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3. We conclude on the basis of the principle of 56^{-5} hematical induction that P(n) is true for all $n \ge b$.



Next Lecture

recurrence ...

