

# **Chapter 1 – Introduction to Trees**

[Definition and Properties of Trees], [Differences Between Trees and Graphs], [Terminology: Node, Root, Parent, Child, Sibling, Leaf, Subtree, Depth, Height, Path]

## **Definition and Properties of Trees**

- **Definition:** A tree is a hierarchical data structure consisting of nodes connected by edges. Each tree has a single root node and a single path from the root connects every other node.
- **Properties:**
  - **Hierarchical Structure:** Represents a hierarchy where a parent node points to child nodes.
  - **Acyclic:** Trees do not contain cycles.
  - **Connected:** All nodes are connected by edges.

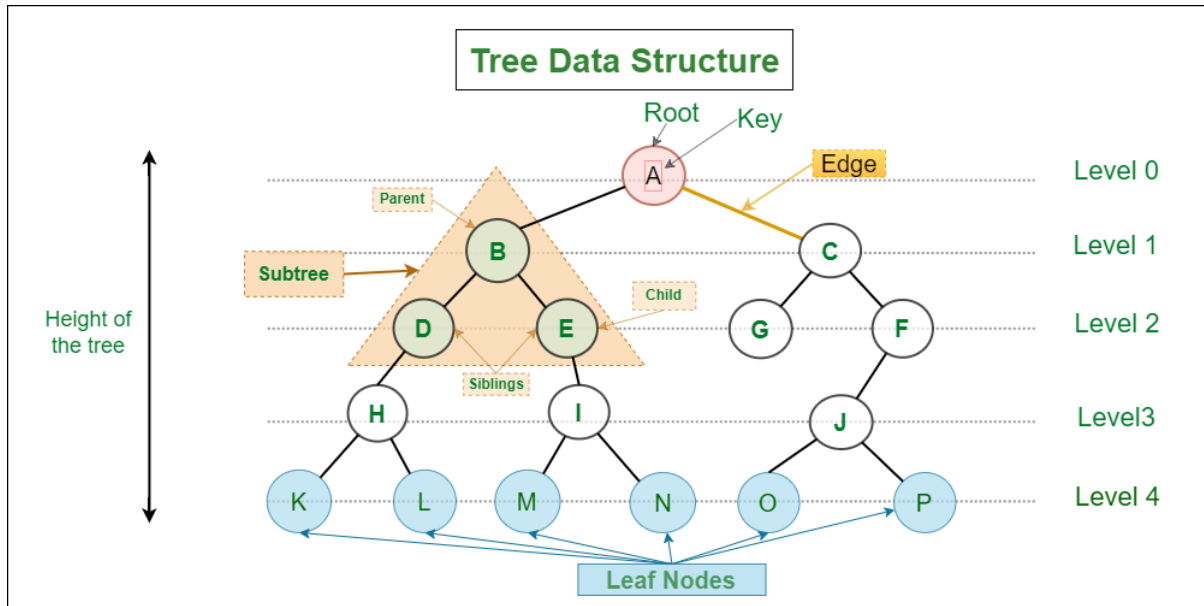
## **Differences Between Trees and Graphs**

- **Trees:**
  - **Acyclic:** Trees do not contain cycles.
  - **Single Path:** There is exactly one path between any two nodes.
  - **Rooted:** Trees have a designated root node.
  - **Hierarchical Structure:** Represents parent-child relationships.
- **Graphs:**
  - **Cycles Allowed:** Graphs can contain cycles.
  - **Multiple Paths:** There can be multiple paths between nodes.
  - **No Root Required:** Graphs do not require a root node.
  - **Network Structure:** Represents general connections between nodes.

## **Terminology**

- **Node:** Basic unit of a tree. Represents an element or a point in the tree.
- **Root:** The topmost node of a tree, where traversal begins. It has no parent.
- **Parent:** A node that has one or more child nodes.
- **Child:** A node that has a parent node.
- **Sibling:** Nodes that share the same parent.
- **Leaf:** A node with no children. It is at the end of a path.
- **Subtree:** A tree consisting of a node and all its descendants.
- **Depth:** The number of edges from the root to a node. The root has a depth of 0.
- **Height:** The number of edges in the longest path from a node to a leaf. The height of a tree is the height of the root.

- **Path:** A sequence of nodes where each adjacent pair is connected by an edge.



**Root** – A

**Child** – B, A, D, E, C, G, F, J, O, P, H, I, K, L, M, N

**Leaf Nodes** – K, L, M, N, O, P

**Height** – 4

**Edges/ Path** – 15

**Parent** – A, B, C, F, D, E, H, I, J

**Node** – A, B, C, D, E, F, G, H, I, J, K, L, M, N, O, P

**Siblings** – {D, E}, {G, F}, {B, C}, {K, L}, {M, N}, {O, P}

## Chapter 2 – Introduction to Trees

[General Tree, Binary Tree, Binary Search Tree (BST), AVL Tree, Red-Black Tree, Splay Tree, B-Tree and B+ Tree, Trie (Prefix Tree), Segment Tree, Fenwick Tree (Binary Indexed Tree), N-ary Tree]

### General Tree

- **Definition:** A tree where each node can have an arbitrary number of children.
- **Example:** A family tree where a person can have multiple children.
- **Elaboration:** General trees are flexible and can represent various hierarchical structures, but they lack the constraints and properties of more specific types of trees.

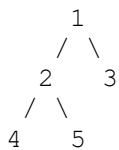
### Binary Tree

- **Definition:** A tree where each node has at most two children, referred to as the left child and the right child.
- **Example:** A binary tree can represent hierarchical data, like a company's organizational chart with a CEO, managers, and employees.
- **Elaboration:** Binary trees are foundational in many computer science applications due to their simplicity and versatility.

### Subtypes of Binary Tree:

#### 1. Full Binary Tree

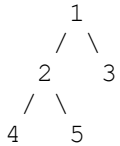
- **Definition:** Every node has either 0 or 2 children.
- **Example:**



- **Elaboration:** In a full binary tree, all nodes contribute to the binary structure with either two children or none.

#### 2. Complete Binary Tree

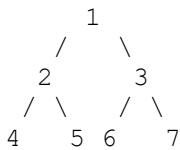
- **Definition:** All levels are filled except possibly the last, which is filled from left to right.
- **Example:**



- **Elaboration:** Complete binary trees ensure efficient use of space and are often used in heaps.

### 3. Perfect Binary Tree

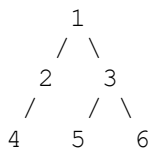
- **Definition:** All internal nodes have exactly two children and all leaf nodes are at the same level.
- **Example:**



- **Elaboration:** Perfect binary trees are a subset of complete binary trees and are highly symmetrical.

### 4. Balanced Binary Tree

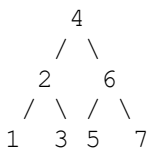
- **Definition:** The height of the tree is minimized to ensure the tree remains balanced.
- **Example:**



- **Elaboration:** Balanced trees maintain efficient operations for insertion, deletion, and lookup.

### Binary Search Tree (BST)

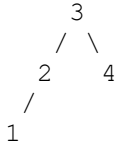
- **Definition:** A binary tree where the left child contains only nodes with values less than the parent node, and the right child contains only nodes with values greater than the parent node.
- **Example:**



- **Elaboration:** BSTs allow for efficient searching, insertion, and deletion operations.

## AVL Tree

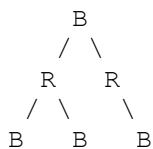
- **Definition:** A self-balancing binary search tree where the difference in heights between the left and right subtrees of any node is at most one.
- **Example:**



- **Elaboration:** AVL trees automatically maintain their balance using rotations, ensuring  $O(\log n)$  time complexity for operations.

## Red-Black Tree

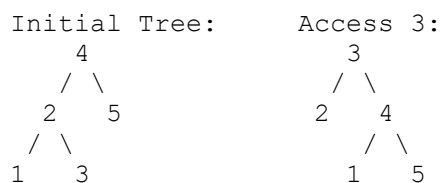
- **Definition:** A self-balancing binary search tree where each node contains an extra bit for denoting the color of the node, either red or black, with specific properties to ensure balance.
- **Example:**



- **Elaboration:** Red-Black trees provide a balance between perfect balancing and easier insertion and deletion operations compared to AVL trees.

## Splay Tree

- **Definition:** A self-adjusting binary search tree where recently accessed elements are moved to the root using rotations.
- **Example:**



- **Elaboration:** Splay trees provide amortized  $O(\log n)$  time complexity by ensuring frequently accessed nodes are quick to reach.

## B-Tree and B+ Tree

### B-Tree:

- **Definition:** A self-balancing search tree in which nodes can have multiple children, ensuring that the tree remains balanced.
- **Example:** Used in databases and file systems.
- **Elaboration:** B-Trees maintain balance with a higher branching factor, minimizing disk reads.

### B+ Tree:

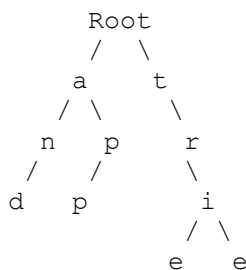
- **Definition:** A type of B-Tree where all values are stored in leaf nodes and internal nodes only store keys.
- **Example:**

```
Internal Nodes:      10
                    /  \
Leaf Nodes:         5  7 10 12
```

- **Elaboration:** B+ Trees are optimized for systems that read large blocks of data.

### Trie (Prefix Tree):

- **Definition:** A tree-like data structure that stores a dynamic set of strings, where the keys are usually strings. Each node represents a common prefix shared by some strings.
- **Example:**



This trie stores the words: "and", "an", "app", "trie", and "trie".

- **Elaboration:** Tries are useful for implementing dictionaries with quick lookup, insert, and delete operations. Each node in a trie represents a single character of the string, and the path from the root to a particular node represents a prefix of one or more strings.

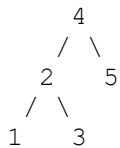
## Chapter 3: Tree Traversals

### Depth-First Search (DFS)

DFS explores as far down a branch as possible before backtracking.

#### 1. In-order Traversal

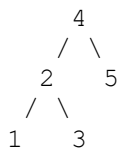
- **Definition:** Traverse the left subtree, visit the root node, then traverse the right subtree.
- **Method:**
  1. Visit the left subtree.
  2. Visit the root node.
  3. Visit the right subtree.
- **Example:**



- **In-order Traversal:** 1, 2, 3, 4, 5

#### 2. Pre-order Traversal

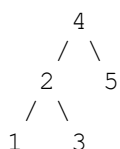
- **Definition:** Visit the root node, traverse the left subtree, then traverse the right subtree.
- **Method:**
  1. Visit the root node.
  2. Visit the left subtree.
  3. Visit the right subtree.
- **Example:**



- **Pre-order Traversal:** 4, 2, 1, 3, 5

#### 3. Post-order Traversal

- **Definition:** Traverse the left subtree, traverse the right subtree, then visit the root node.
- **Method:**
  1. Visit the left subtree.
  2. Visit the right subtree.
  3. Visit the root node.
- **Example:**



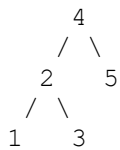
- **Post-order Traversal:** 1, 3, 2, 5, 4

## Breadth-First Search (BFS)

BFS explores all nodes at the present depth level before moving on to nodes at the next depth level.

## Level-order Traversal

- **Definition:** Traverse the tree level by level from left to right.
- **Method:**
  1. Start at the root.
  2. Visit all nodes at the current level before moving to the next level.
- **Example:**



- **Level-order Traversal:** 4, 2, 5, 1, 3

Summary:

- **In-order (DFS):** 1, 2, 3, 4, 5
- **Pre-order (DFS):** 4, 2, 1, 3, 5
- **Post-order (DFS):** 1, 3, 2, 5, 4
- **Level-order (BFS):** 4, 2, 5, 1, 3

These traversal methods allow you to visit and process each node in a tree in a specific order, which is useful for various tree-related algorithms and applications.



## Chapter 4 – Binary Search Trees (BST)

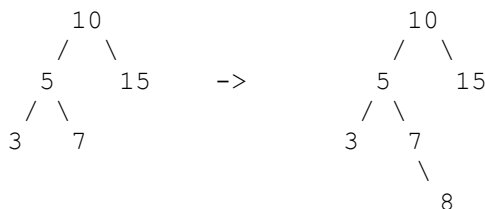
### Definition and Properties

- **Definition:** A Binary Search Tree is a binary tree in which each node has a key, and it satisfies the following properties:
  - The key in the left subtree of a node is less than the node's key.
  - The key in the right subtree of a node is greater than the node's key.
  - Both the left and right subtrees must also be binary search trees.
- **Properties:**
  - **Ordering:** Left child < Parent < Right child
  - **Uniqueness:** All keys are distinct.
  - **Sorted:** In-order traversal yields sorted keys.

### Operations

#### 1. Insertion

- **Method:**
  1. Start at the root.
  2. Compare the key to be inserted with the root's key.
  3. If the key is less, go to the left subtree; if greater, go to the right subtree.
  4. Repeat the process until you find an empty spot.
  5. Insert the new node there.
- **Example:** Inserting 8 into the BST:



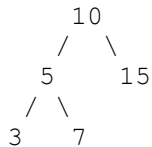
#### 2. Deletion

- **Method:**
  1. **Case 1: The node to be deleted has no children** (leaf node).
  2. **Case 2: The node to be deleted has one child.**
  3. **Case 3: The node to be deleted has two children:**
    - Find the in-order successor (smallest node in the right subtree).
    - Replace the node's key with the in-order successor's key.
    - Delete the in-order successor.
- **Example:** Deleting 5 from the BST:



### 3. Search

- **Method:**
  1. Start at the root.
  2. Compare the key to be searched with the root's key.
  3. If the key is less, go to the left subtree; if greater, go to the right subtree.
  4. Repeat until the key is found or an empty subtree is reached.
- **Example:** Searching for 7 in the BST:



- Start at 10, go left to 5, then right to 7.

### Time Complexity Analysis

- **Best Case:**  $O(\log n)$  - Occurs when the tree is balanced.
- **Average Case:**  $O(\log n)$  - Assumes random insertion order.
- **Worst Case:**  $O(n)$  - Occurs when the tree becomes a linked list (completely unbalanced).

### Summary:

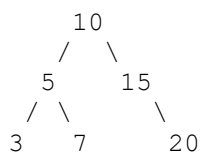
- **Insertion:**  $O(\log n)$  average,  $O(n)$  worst case
- **Deletion:**  $O(\log n)$  average,  $O(n)$  worst case
- **Search:**  $O(\log n)$  average,  $O(n)$  worst case

Binary Search Trees are fundamental data structures that support efficient insertion, deletion, and search operations, making them ideal for implementing dynamic sets and lookup tables.

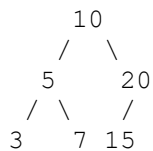
## Chapter 5 – Self-Balancing Trees

### AVL Trees

- **Definition:** AVL trees are self-balancing binary search trees where the difference in heights between the left and right subtrees of any node (called the balance factor) is at most 1.
- **Rotations: Single and Double Rotations**
  - **Single Rotation:**
    - **Left Rotation:** Used to balance the tree when the balance factor of a node becomes +2 (right-heavy). It promotes the right child to be the new root, moving the old root to the left of the new root's left child.
    - **Right Rotation:** Used to balance the tree when the balance factor of a node becomes -2 (left-heavy). It promotes the left child to be the new root, moving the old root to the right of the new root's right child.
  - **Double Rotation:**
    - Combines two single rotations to balance the tree in more complex cases.
- **Insertion and Deletion**
  - **Insertion:** Perform a standard BST insertion, then check and adjust the balance factors up the tree. If necessary, perform rotations to maintain AVL balance.
  - **Deletion:** Perform a standard BST deletion, then check and adjust the balance factors up the tree. If necessary, perform rotations to restore AVL balance.
- **Example:** Inserting 15 into an AVL tree:



After insertion of 15 and balancing:

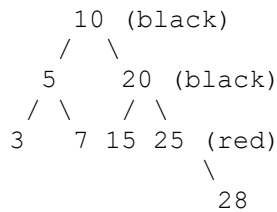


### Red-Black Trees

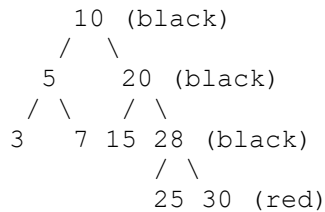
- **Definition:** Red-Black trees are self-balancing binary search trees where each node has an extra bit for colour (red or black), satisfying specific properties to ensure balanced structure.
- **Properties and Colouring Rules**
  - **Properties:**
    - The root is black.
    - Red nodes have black children.
    - Every path from a node to its descendant NIL node must have the same number of black nodes (black height).
  - **Insertion and Deletion Operations:**
    - Adjust node colors and perform rotations (left and right) to maintain red-black properties.

- **Example:**

Inserting 30 into a Red-Black tree:



After insertion of 30 and balancing:



## Summary

- **AVL Trees:** Maintain balance based on height difference with rotations.
- **Red-Black Trees:** Maintain balance with color rules and rotations.

Both AVL trees and Red-Black trees ensure efficient operations while maintaining balanced tree structures, crucial for maintaining logarithmic time complexity for operations in worst-case scenarios.

## Advanced Trees

Segment Trees (Construction, Query, and Update Operations), Fenwick Trees (Binary Indexed Trees), (Construction, Query, and Update Operations), Tries [ Insertion, Deletion, and Search], [ Applications: Prefix Matching, Autocomplete], B-Trees and B+ Tree, [Properties and Structure], [ Insertion, Deletion, and Search Operations]

## Tree Applications

(Expression Trees) [ Construction from Infix, Prefix, and Postfix Expressions], (Huffman Trees), [Huffman Coding Algorithm], [Applications in Data Compression], (Decision Trees), [Construction and Use in Machine Learning], (Suffix Trees),[Construction and Applications in String Matching]