

Introduction to Graph Theory and Graph Coloring with Probabilistic Methods

Jiuru Lyu

November 17, 2023

Contents

1	Fundamental Concepts	3
1.1	What is a Graph	3
1.2	Paths, Cycles, and Trails	3
1.3	Vertex Degree and Counting	3
2	Matching and Factors	4
2.1	Matching and Covers	4
3	Coloring of Graphs	5
3.1	Vertex Colorings and Upper Bounds	5
3.2	Structure of k -chromatic Graphs	5
3.3	Review of Terms	5
3.4	Introduction to Brooks' Theorem	6
3.5	Open Questions	7
3.6	List Chromatic Number	8
3.7	Proving Brooks' Theorem	8
4	Probabilistic Preliminaries	9
4.1	Finite Probability Space	9
4.2	Random Variables and Their Expectations	9
4.3	The Method of Deferred Decisions	9
5	Basic Probabilistic Tools	10
5.1	The First Moment Method	10
5.2	The Lovász Local Lemma	10

5.3 The Chernoff Bound	10
----------------------------------	----

1 Fundamental Concepts

1.1 What is a Graph

1.2 Paths, Cycles, and Trails

1.3 Vertex Degree and Counting

2 Matching and Factors

2.1 Matching and Covers

3 Coloring of Graphs

3.1 Vertex Colorings and Upper Bounds

3.2 Structure of k -chromatic Graphs

3.3 Review of Terms

Definition 3.1 (Graphs, Vertices, Edges). A graph G is a set $V = V(G)$ of *vertices* and a set $E = E(G)$ of *edges*, each linking a pair of vertices, its endpoints, which are adjacent.

Remark 3.1 *An edge is an unordered pair of vertices and thus our graphs have no loops or multiple edges.*

Definition 3.2 (k -Coloring). A k -coloring of the vertices of a graph G is an assignment of k colors $(1, \dots, k \in \mathbb{Z})$ to the vertices of G such that no two adjacent vertices get the same color.

Definition 3.3 (Chromatic Number). The *chromatic number* of G , denoted $\chi(G)$, is the minimum k for which there is a k -coloring of the vertices of G .

Definition 3.4 (Color Class). The set S_j of vertices receiving color j is a *color class* and induces a graph with no edges. i.e., it is a *stable set* or an *independent set*.

Definition 3.5 (k -Coloring). A k -coloring of the edges of a graph G is an assignment of k colors to the edges of G such that no two incident edges get the same color. The *chromatic index* of G , $\chi_e(G)$, is the minimum k such that there is a k -coloring of the edges of G . The set M_j of vertices receiving color j is a *color class*, and it is a set of edges no two of which share an endpoint (i.e., are matched).

Definition 3.6 (Total k -coloring). A *total k -coloring* of a graph G is an assignment of k colors to the vertices and edges of G such that no two adjacent vertices get the same color, no two incident edges get the same color, and no edges gets the same color as one of its endpoints. The *total chromatic number* of G , $\chi_T(G)$, is the minimum k such that there is a total k -coloring of G . The set T_j of vertices and edges receiving color j is a color class, and it consists of a stable set S_j and a matching M_j , none of whose edges have endpoints in S_j . It is also called a *total stable set*.

Remark 3.2 *A k -coloring of $V(G) \cup E(G)$ is simply a partition of $V(G) \cup E(G)$ into k total stable sets and $\chi_T(G)$ is the minimum number of stable sets required to partition $V(G) \cup E(G)$.*

Definition 3.7 (Partial k -Coloring). A *partial k -coloring* of a graph is an assignment of k colors (often $1, \dots, k \in \mathbb{Z}$) to a (possibly empty) subset of the vertices of G such that no two adjacent vertices get the same color. This definition can be extended to partial edge coloring and partial total coloring.

Definition 3.8 (Line Graph). The *line graph*, $L(G)$, is the graph whose vertex set corresponds to the edge set of G and in which two vertices are adjacent precisely if the corresponding edges of G are incident.

Corollary 3.9: $\chi_e(G) = \chi(L(G))$.

Proposition 3.10: For any graph G , we can construct a graph $T(G)$, the *total graph of G* , whose chromatic number is the total chromatic number of G . i.e., $\chi(T(G)) = \chi_T(G)$.

Proof 1. To obtain such $T(G)$, make a copy of G and $L(G)$, and then add an edge between a vertex x of G and a vertex y of $L(G)$ precisely if x is an endpoint of the edge of G corresponding to y . ■

3.4 Introduction to Brooks' Theorem

Axiom 3.1: $\chi(G) = 0 \iff G$ has no vertices.

Axiom 3.2: $\chi(G) = 1 \iff G$ has vertices but no edges.

Proposition 3.3 Fact: A graph has chromatic number at most 2, i.e., is *bipartite*, if and only if it contains no odd cycles.

Proof 1. Chromatic number of every odd cycle is three. WTS: *graph without odd cycles is two colorable*. Assume G is connected. Choose some vertex v of G , assign it to color 1. Grow it to form a bipartite subgraph of G , say H . Then, we have different cases:

- H is G , then we are done.
- H is not G . As G is connected, there exists vertex $x \in G - H$ such that x is adjacent to a vertex in H .
 - If x is connected to a vertex colored by 1, color x by 2, and add it to H .
 - If x is connected with a vertex colored by 2, color x by 1, and add it to H .
 - If x is connected with a vertex y by 1 and a vertex z by 2. Let P be some yz path in the connected graph H . Since, by assumption, H is bipartite, P has an even number of vertices, and so $P + x$ is an odd cycle.

■

Remark 3.3 This proof yields an ordering on $V(G)$:

1. If we label the vertices of H in the order in which they are added to H , then $v_1 = v$ and for all $j > 1$, v_j has a neighbor v_i with $i < j$.
2. Any vertex x in a connected graph, by doing the reverse of, we have an ordering $w_1, \dots, w_n = x$ such that for all $j < n$, w_j has a neighbor w_i with $i > j$.

Definition 3.4 (Clique, $\omega(G)$). A *clique* is a set of pairwise adjacent vertices. $\omega(G)$ denotes the *clique number* of G . i.e., the number of vertices in the largest clique in G .

Corollary 3.5: $\chi(G) \geq \omega(G)$.

Definition 3.6 (Degree of a Vertex, $\Delta(G)$, $\delta(G)$, Neighborhood). The *degree* of a vertex v in a graph G is the number of edges of G to which v is incident and is denoted by $d_G(v)$ and $d(v)$. $\Delta(G)$ or Δ denotes the maximum $d(v)$ in G , and $\delta(G)$ or δ denotes the minimum $d(v)$. The *neighborhood* of a vertex v in a graph G is the set of vertices of G to which v is adjacent and is denoted $N_G(v)$ or $N(v)$. Members of $N(v)$ are *neighbors* of v .

Lemma 3.7: For all G , $\chi(G) \leq \Delta(G) + 1$.

Proof 2. Arbitrarily order the vertices of G as v_1, \dots, v_n . For each v_i , color it with the lowest integer not used on any of its neighbors. Every vertex will receive a color between 1 and $\Delta + 1$ as desired. ■

Theorem 3.8 Brooks' Theorem

$\chi(G) \leq \Delta$ unless some component of G is a clique with $\Delta + 1$ vertices or $\Delta = 2$ and some component of G is an odd cycle.

Remark 3.4 We will prove the Brooks' Theorem in Section 3.7. Here, we will present some facts relating to $\chi(G)$ and Δ .

1. Most graphs with n vertices satisfy $\omega(G) \leq 2 \log_2(n)$, $\Delta(G) \geq \frac{n}{2}$, and $\chi(G) \approx \frac{n}{2 \log_2 n}$.
2. Considering a maximum degree vertex of G , we then have

$$\chi_e(G) = \chi(L(G)) \geq \omega(L(G)) \geq \Delta(G),$$

where the last inequality is tight unless G is a graph of maximum degree 2 containing a triangle.

3. Not all graphs have a chromatic index Δ .

Theorem 3.9 Vizing's Theorem

For all G , $\chi_e(G) \leq \Delta(G) + 1$.

Remark 3.5 With Vizing's Theorem, we now have $\chi_e(G) \geq \Delta(G)$ and $\chi_e(G) \leq \Delta(G) + 1$. Therefore, determining $\chi_e(G)$ becomes deciding if $\chi_e(G) = \Delta$ or $\chi_e(G) = \Delta + 1$.

3.5 Open Questions

Conjecture 3.1 The Fundamental Open Problem in Graph Coloring: If the total chromatic number can be approximate to within 1.

3.6 List Chromatic Number

3.7 Proving Brooks' Theorem

4 Probabilistic Preliminaries

Definition 4.1 (Probabilistic Method). Proving the existence of an object with certain properties is to show that a random object chosen from an appropriate probability distribution has the desired properties with positive probability.

4.1 Finite Probability Space

4.2 Random Variables and Their Expectations

4.3 The Method of Deferred Decisions

5 Basic Probabilistic Tools

5.1 The First Moment Method

5.2 The Lavász Local Lemma

5.3 The Chernoff Bound