

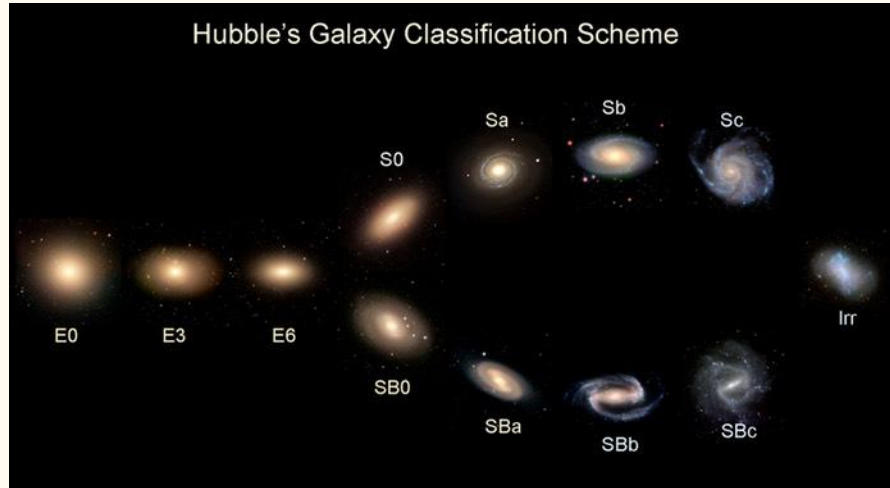
Cluster Galaxy Classification Using the Perseus Cluster With a Convolutional Neural Network

Jason Pruitt, Dr. Aaron Romanowsky

Dept. of Physics and Astronomy San José State University

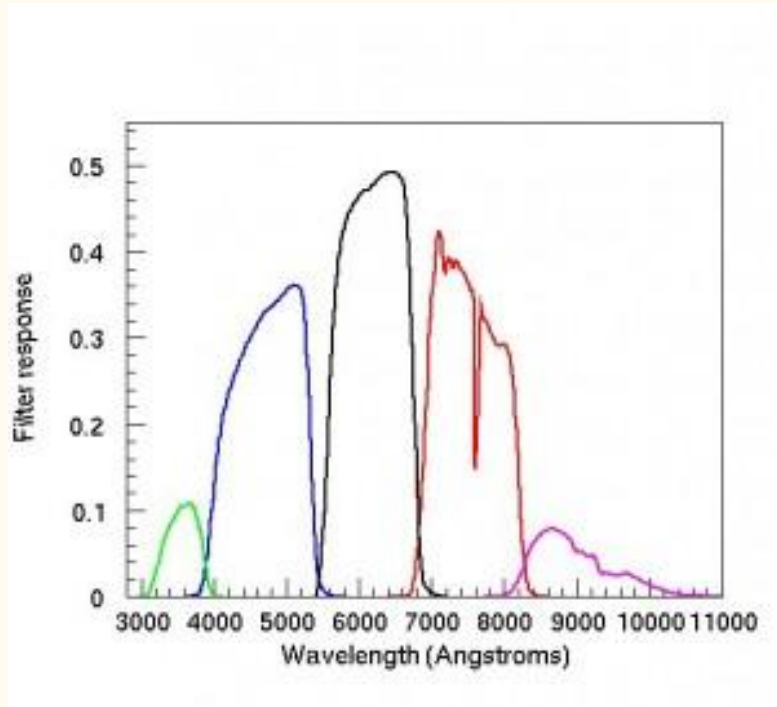
Classifying Galaxies by Hand/Eye

Astronomers use the Hubble tuning fork:



“Morphological Classification” - based off of shape, constituent components

Stellar Objects and Color



<https://www.sdss4.org/instruments/camera/#Filters>

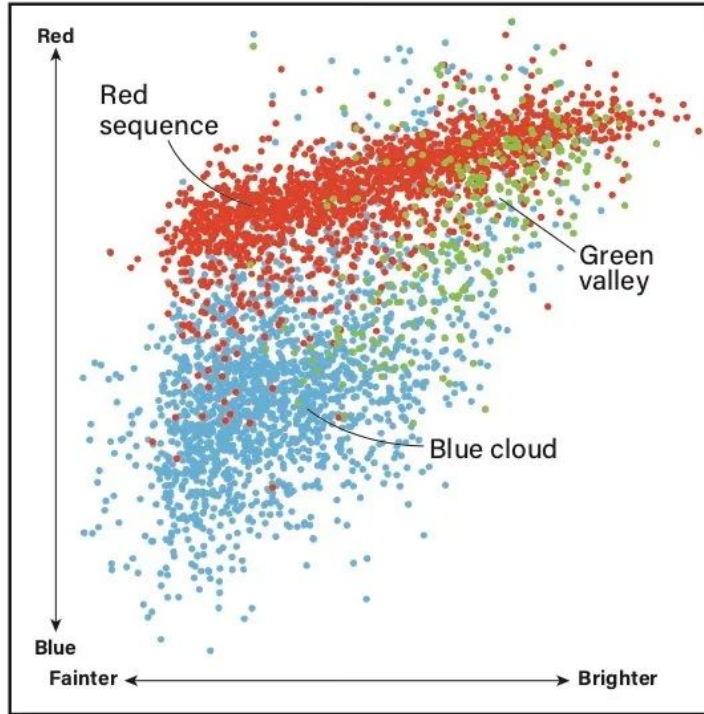
Magnitudes:

r, i, u, z, g

Colors:

(r-i), (r-u), (u-z), etc.

Color regions from color-magnitude relationships



(Bakich, 2021), modified from Gavazzi et al. (2010).

Red Sequence

Blue Cloud

Green Valley

Perseus Cluster Catalogue

The Perseus galaxy cluster



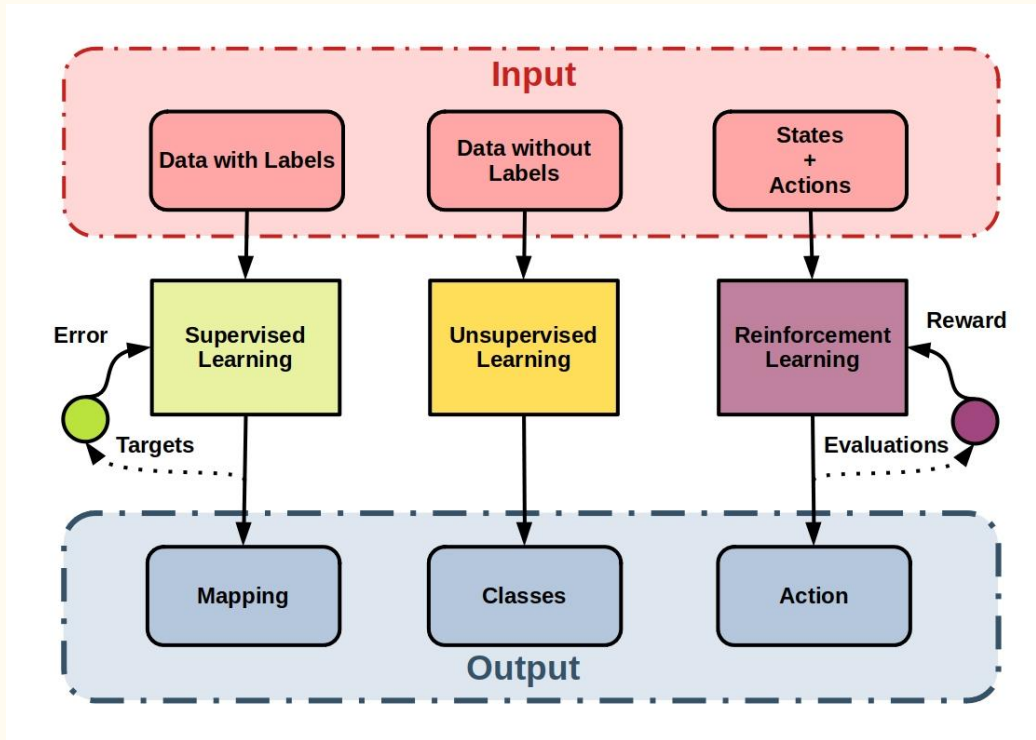
An example elliptical
galaxy in the cluster

Tagged by Wittmann et
al. 2019

<https://chandra.harvard.edu/photo/2014/perseus/>

Wittmann et al, A catalog of galaxies in the direction of the perseus cluster. The Astrophysical Journal Supplement Series, 245(1):10, nov 2019.

Types of Machine Learning



<https://starship-knowledge.com/supervised-vs-unsupervised-vs-reinforcement>

Machine Learning and Neural Networks

200x200x3
Pixel
Image
Tensor

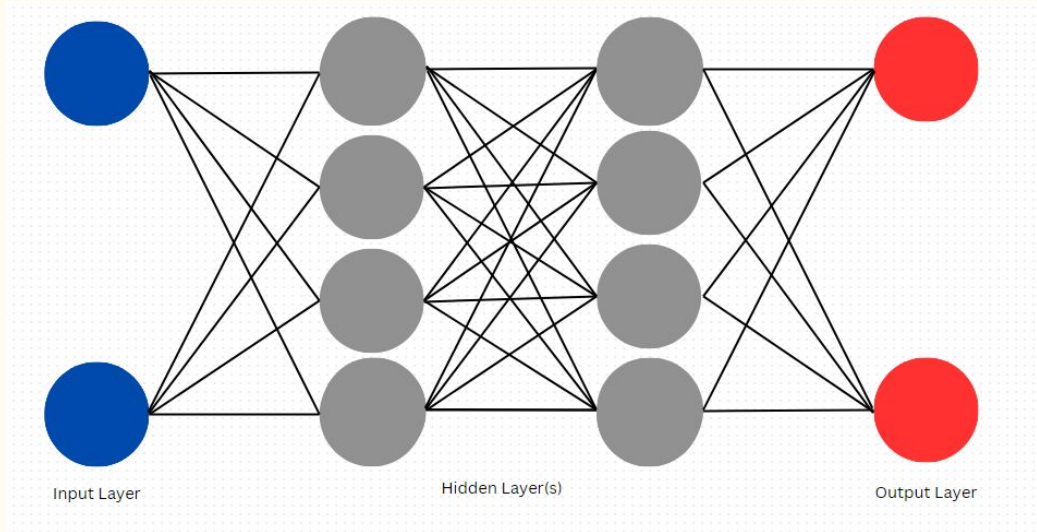
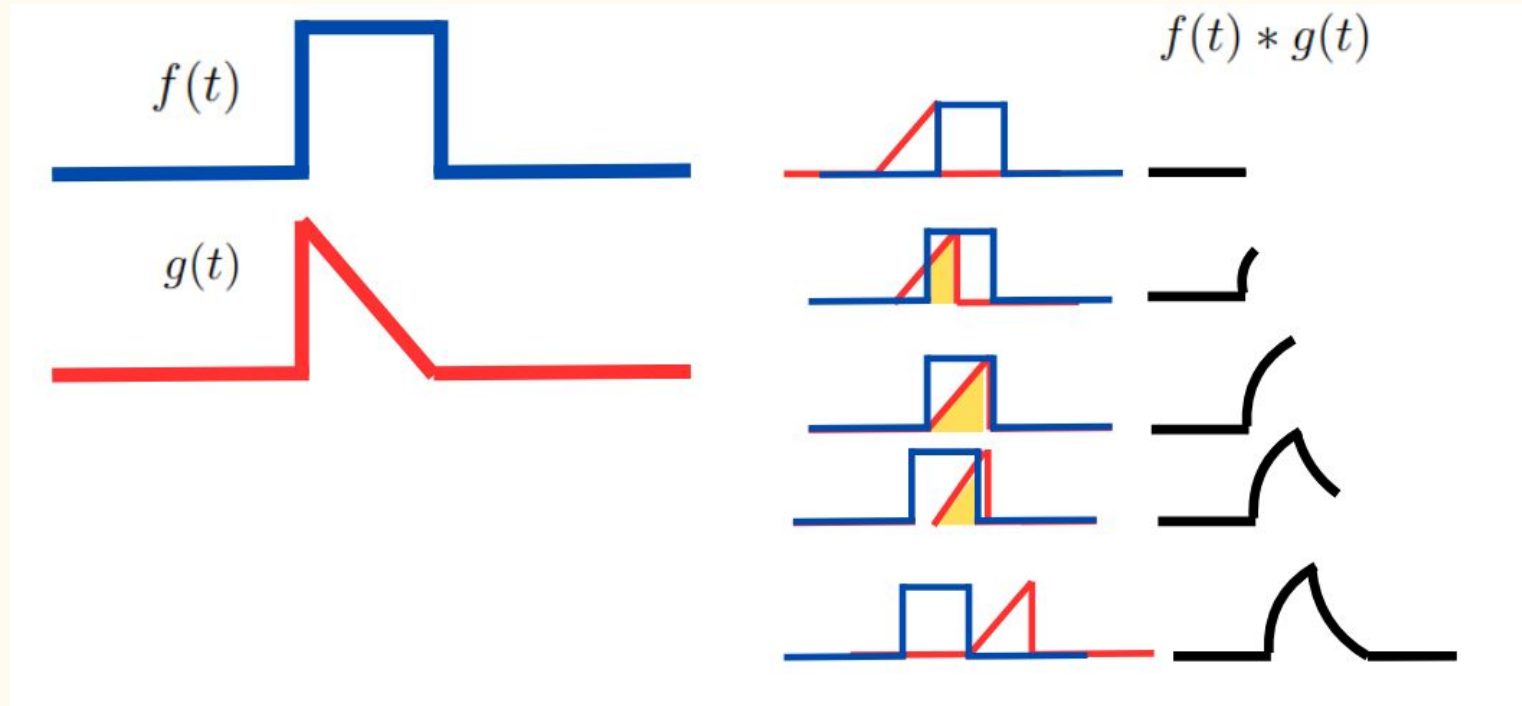


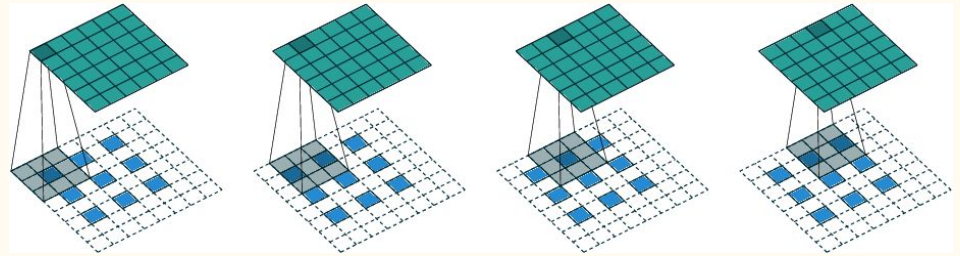
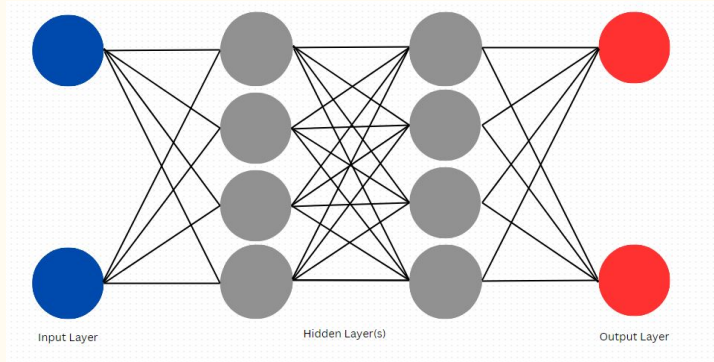
Image
Prediction

“Cluster
member”

Function Convolution in Math

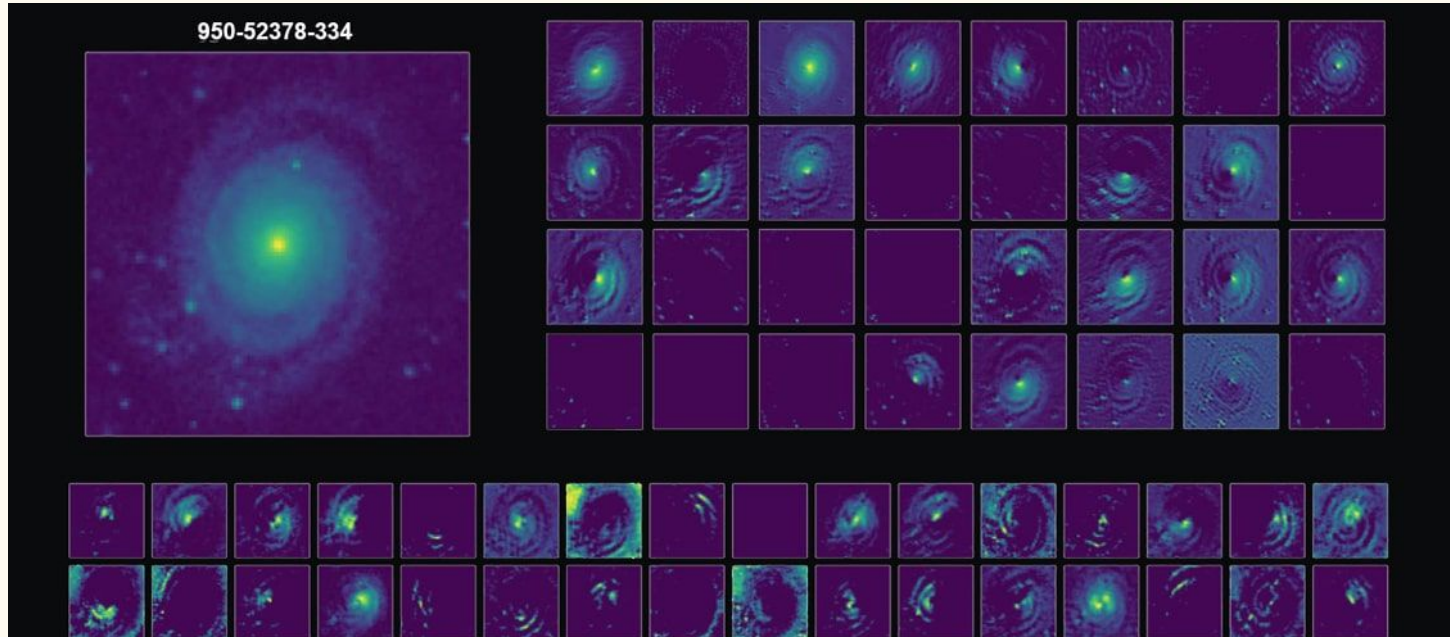


The Convolutional Layer



Dumoulin, Vincent and Francesco Visin. "A guide to convolution arithmetic for deep learning." ArXiv abs/1603.07285 (2016): n. pag.

Convolution Builds a Feature Map

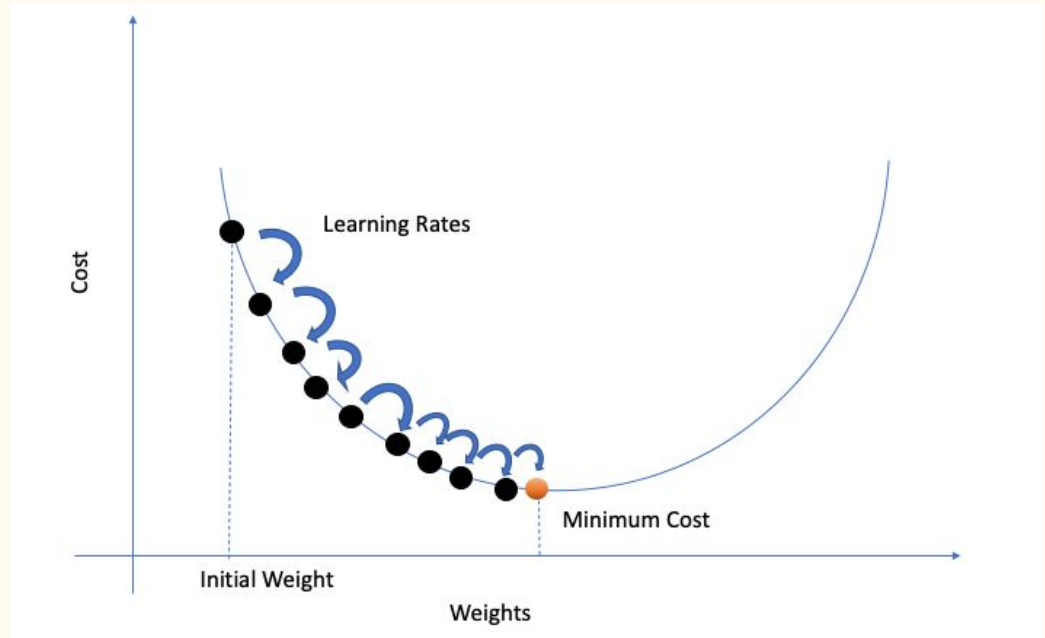


<https://phys.org/news/2021-07-thousands-galaxies-eye.html>

Large Networks Cause Problems

Balance between enough layers / parameters and the vanishing / exploding gradients

Can't just add more layers blindly



Ghosh, Bhaskar et al.. (2020). An Empirical Analysis of Generative Adversarial Network Training Times with Varying Batch Sizes. 10.1109/UEMCON51285.2020.9298092.

He et al. - Residual Learning

Target Classification: $\mathcal{H}(x)$ ex. “Cluster galaxy”

He et al. - Residual Learning

Target Classification: $\mathcal{H}(x)$ ex. “Cluster galaxy”

Residual: $\mathcal{F}(x) = \mathcal{H}(x) - x$

He et al. - Residual Learning

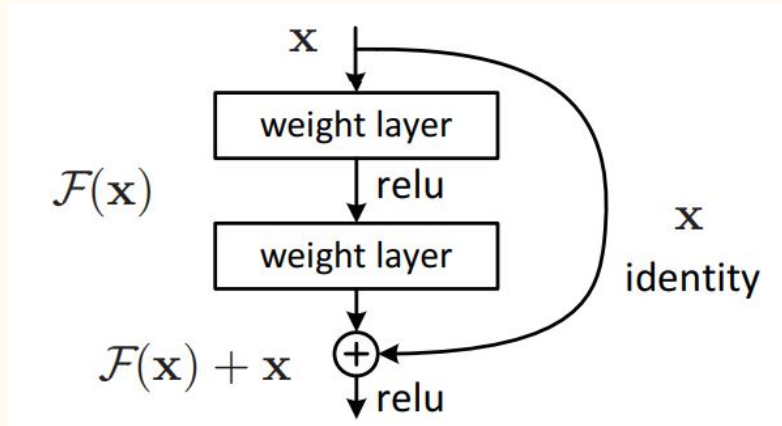
Target Classification: $\mathcal{H}(x)$ ex. “Cluster galaxy”

Residual: $\mathcal{F}(x) = \mathcal{H}(x) - x$

Remapping: $\mathcal{F}(x) + x = \mathcal{H}(x)$ Now the network only needs to learn $\mathcal{F}(x)$

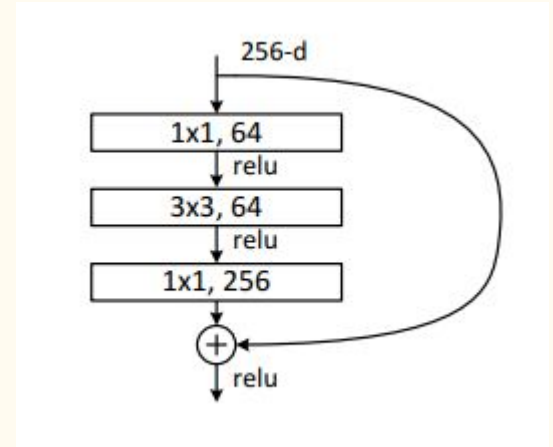
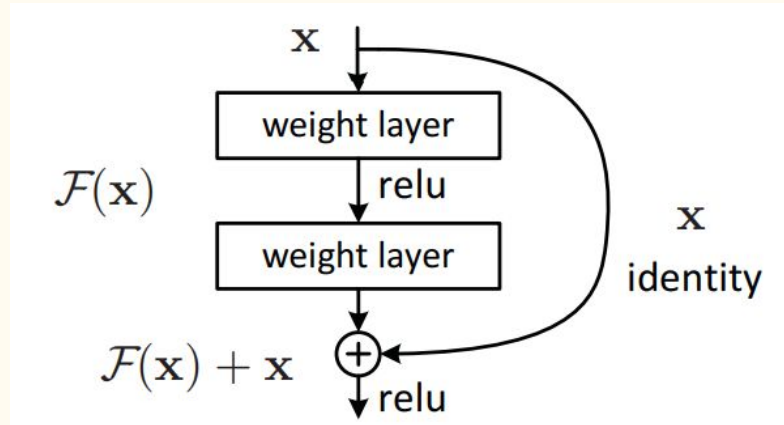
He et al. - ResNets

Remapping: $\mathcal{F}(x) + x = \mathcal{H}(x)$



He et al. - ResNets

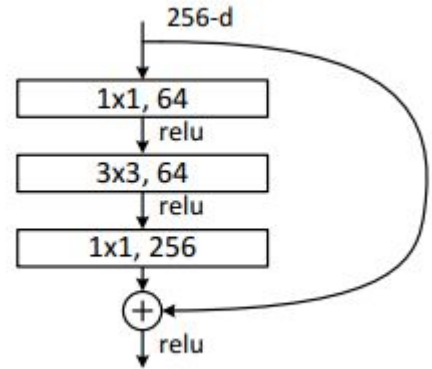
Remapping: $\mathcal{F}(x) + x = \mathcal{H}(x)$



He et al. - ResNets

Remapping: $\mathcal{F}(x) + x = \mathcal{H}(x)$

layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer
conv1	112×112			7×7, 64, stride 2		
				3×3 max pool, stride 2		
conv2_x	56×56	$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
conv3_x	28×28	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$
conv4_x	14×14	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$
conv5_x	7×7	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
	1×1	average pool, 1000-d fc, softmax				
FLOPs		1.8×10^9	3.6×10^9	3.8×10^9	7.6×10^9	11.3×10^9



Important Metrics for Classification

First consider:

- True Positive (TP)
- True Negative (TN)
- False Positive (FP)
- False Negative (FN)

Important Metrics for Classification

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- False Negative (FN)

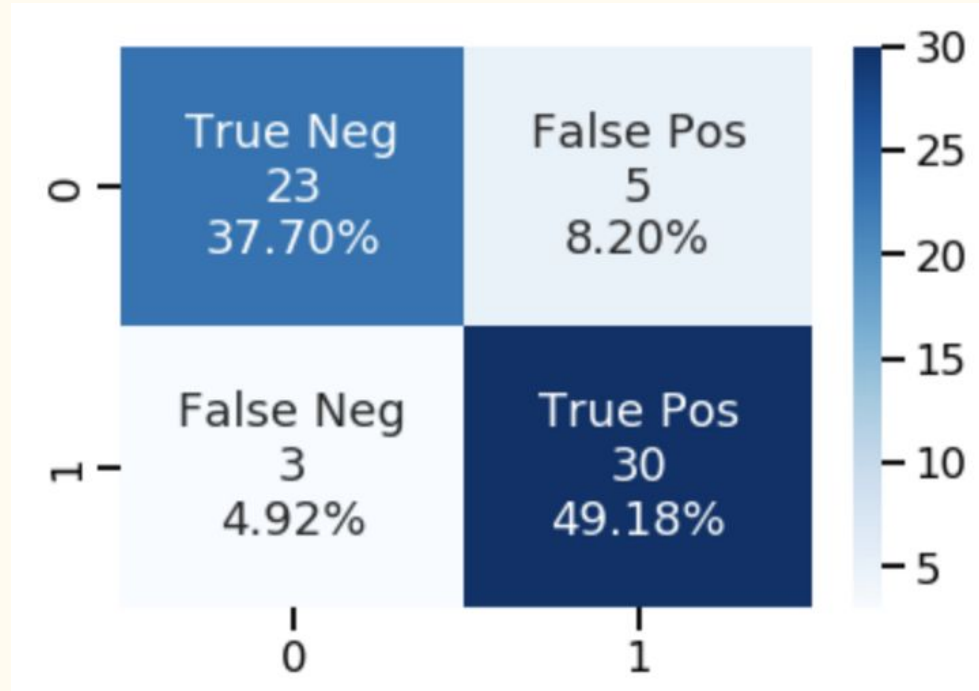
$$Accuracy = \frac{TP + TN}{P + N}$$

$$Recall = \frac{TP}{TP + FN}$$

$$Precision = \frac{TP}{TP + FP}$$

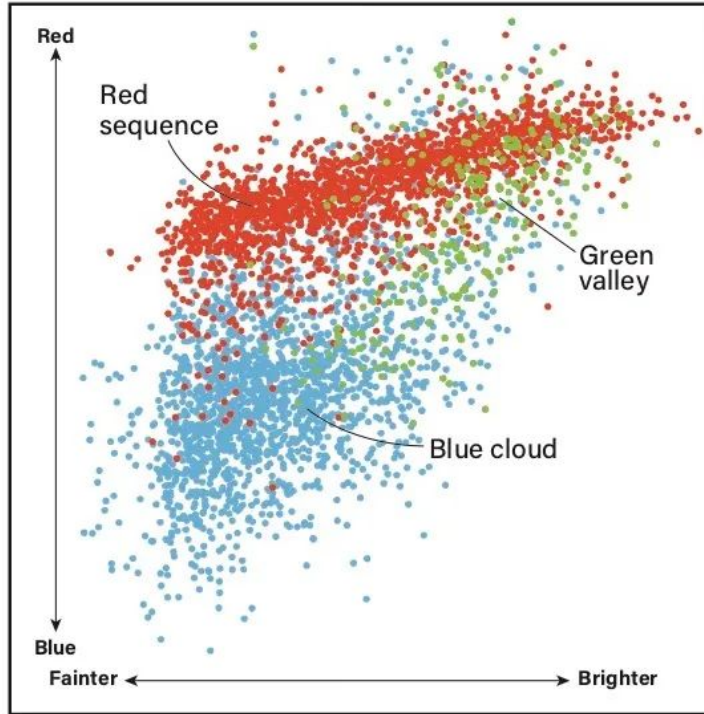
$$F1 = 2 \frac{Precision * Recall}{Precision + Recall}$$

All Together - Confusion Matrix



Binary classification - <https://medium.com/@dtuk81/confusion-matrix-visualization-fe31e3f30fea>

Problem to Solve - Cluster Galaxy Classification

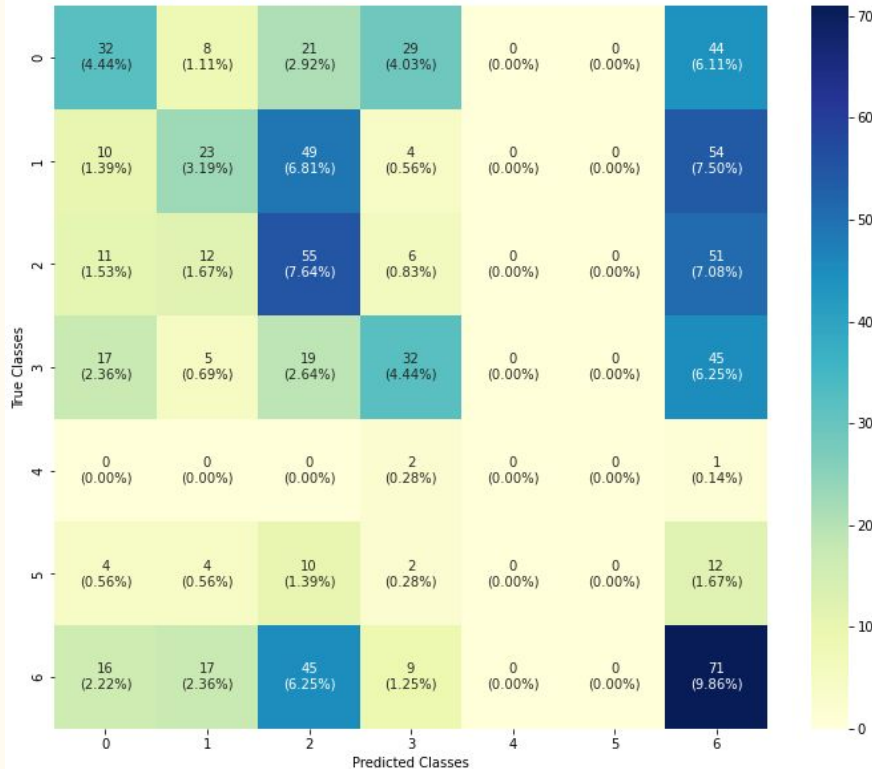


(Bakich, 2021), modified from Gavazzi et al. (2010).

Work from Wittmann et al. 2019 gives us a 5437 image training set with labels

Can we use this to classify cluster galaxies not in the red sequence?

Confusion Matrix for Visualization of Performance



Label mapping for 7 classes:

0 : Cluster or background LTG

1 : Likely background ETG or unresolved source

2 : Likely cluster or background edge-on disk galaxy

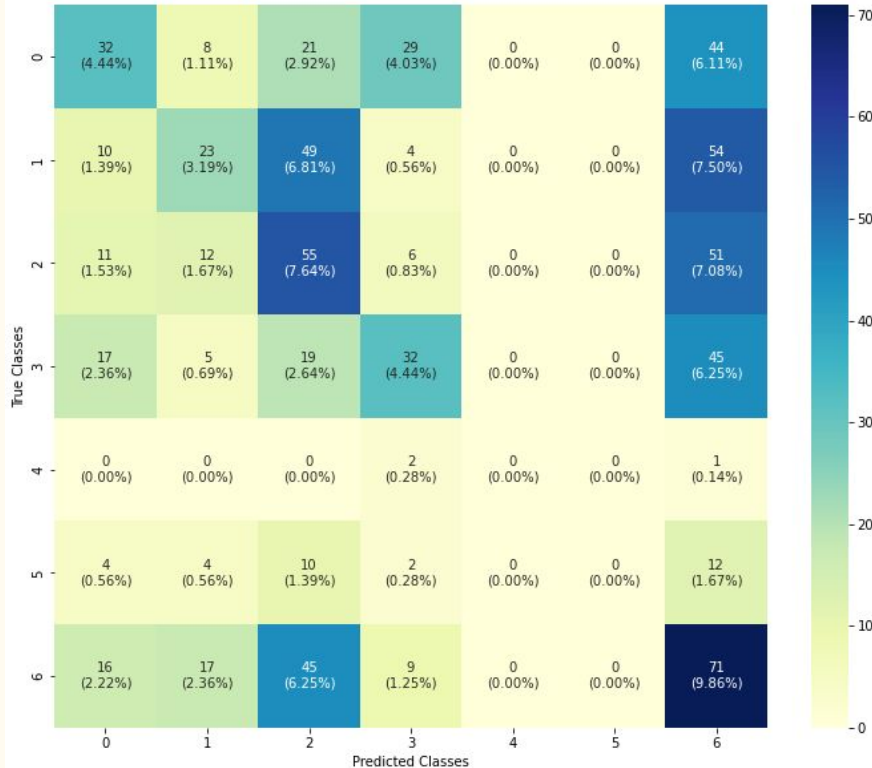
3 : Likely dE/ETGcluster candidate

4 : Likely merging system

5 : Possible dE/ETGcluster candidate

6 : Background galaxy with possibly weak substructure

Confusion Matrix for Visualization of Performance



Label mapping for 7 classes:

0 : Cluster or background LTG

0 : Likely background ETG or unresolved source

0 : Likely cluster or background edge-on disk galaxy

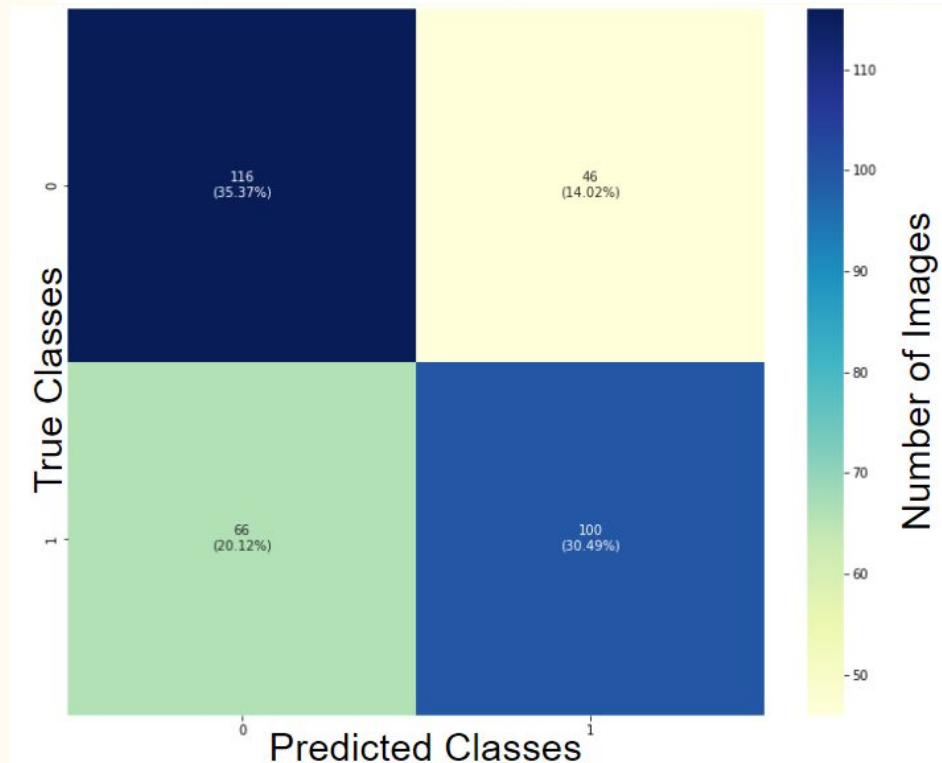
1 : Likely dE/ETGcluster candidate

~~4 : Likely merging system~~

1 : Possible dE/ETGcluster candidate

0 : Background galaxy with possibly weak substructure

Confusion Matrix for Visualization of Performance



Label mapping for 7 classes:

0 : Cluster or background LTG

0 : Likely background ETG or unresolved source

0 : Likely cluster or background edge-on disk galaxy

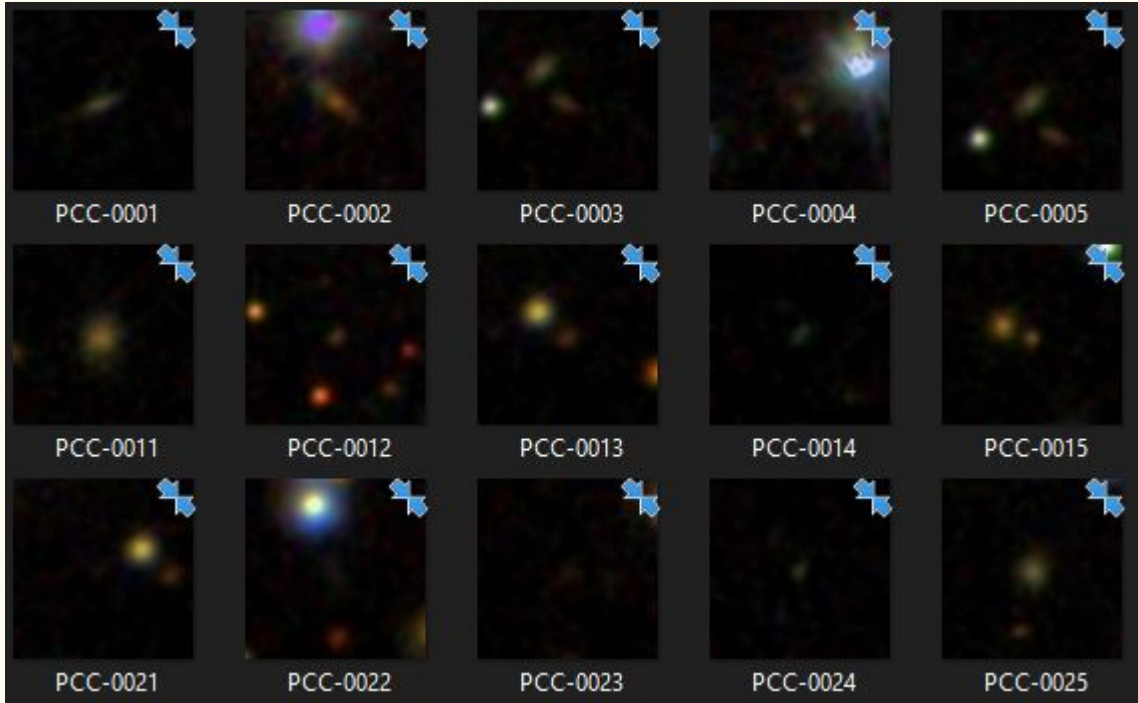
1 : Likely dE/ETGcluster candidate

~~4 : Likely merging system~~

1 : Possible dE/ETGcluster candidate

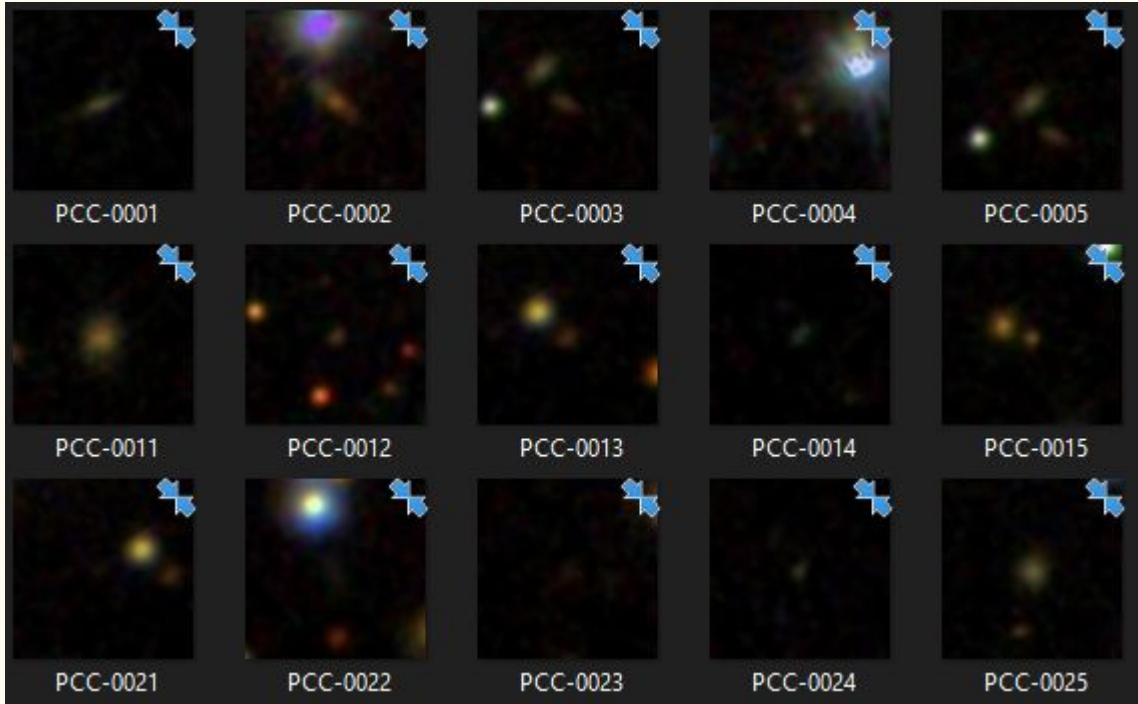
0 : Background galaxy with possibly weak substructure

Data Cleaning - Brightness Threshold



PCC-0023

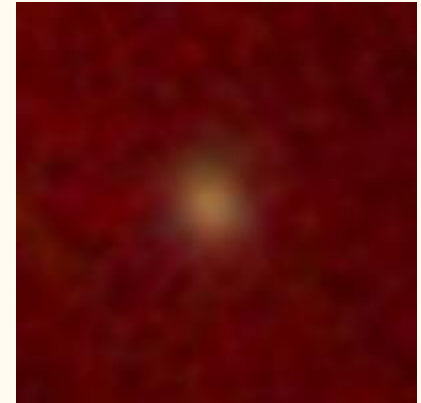
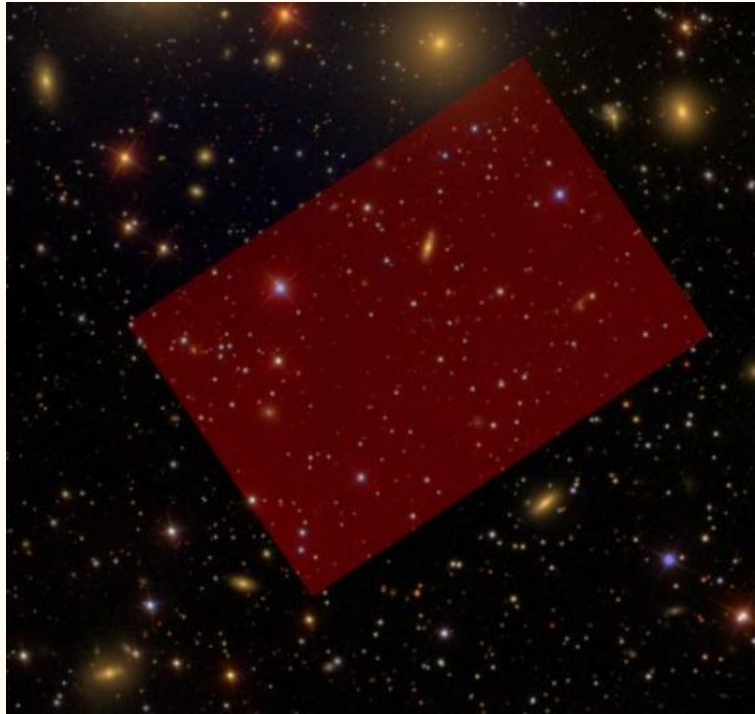
Data Cleaning - Brightness Threshold



PCC-0023

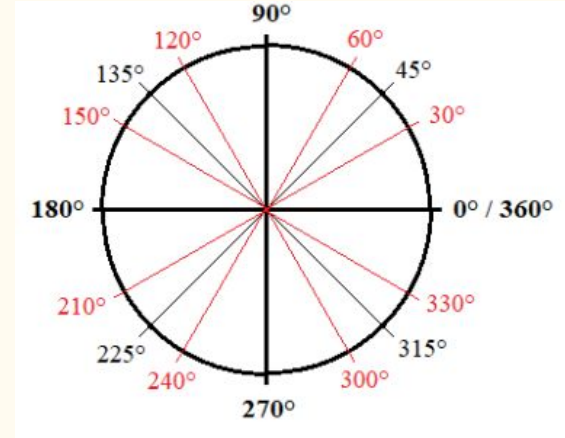
$r < 19.4$, Reduced train
set to 272 objects

Data Cleaning - Red Objects



Reduced train set to 230
objects

Data Augmentation - Rotations



Multiplied train set by 15
(Now 3450 images)

Hyperparameters

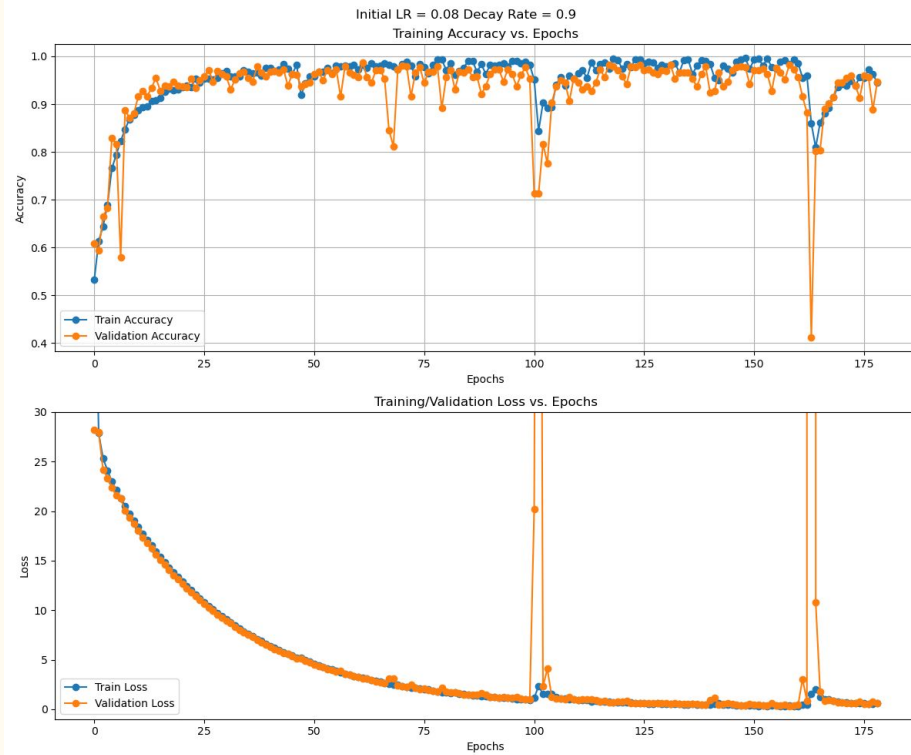
Train/test split of 75/25

Train/valid split of 75/25

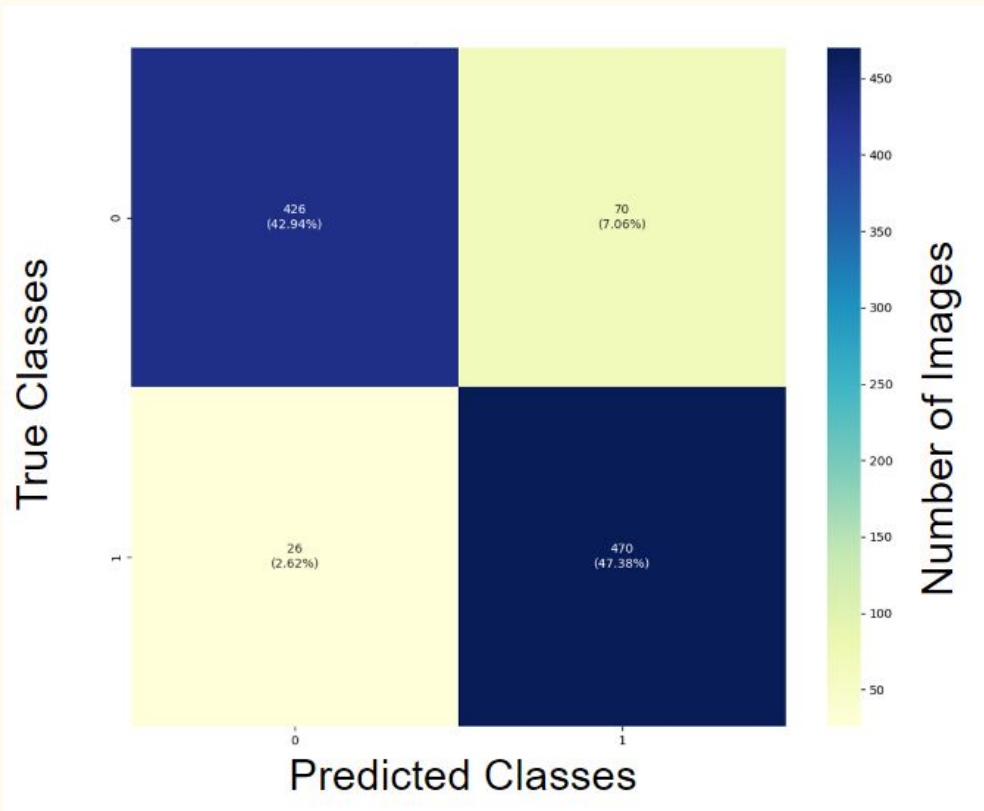
20 image batch size train and valid

200 epochs

$$LR = (0.8)e^{-0.9t}$$

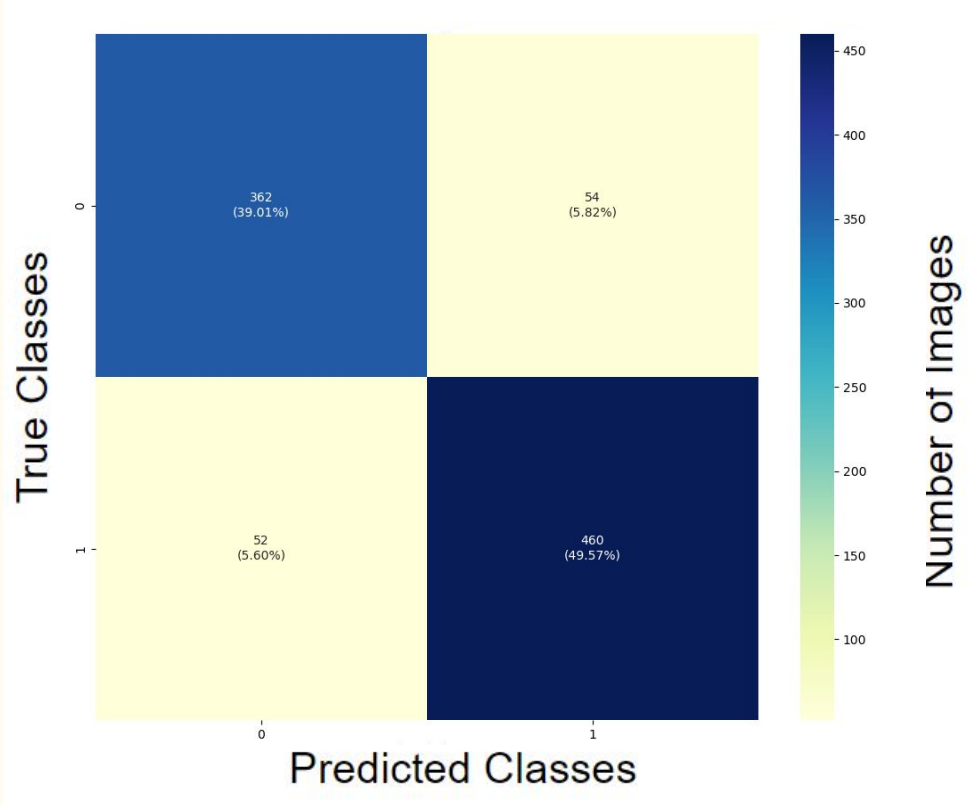


Performance on PCC Brights



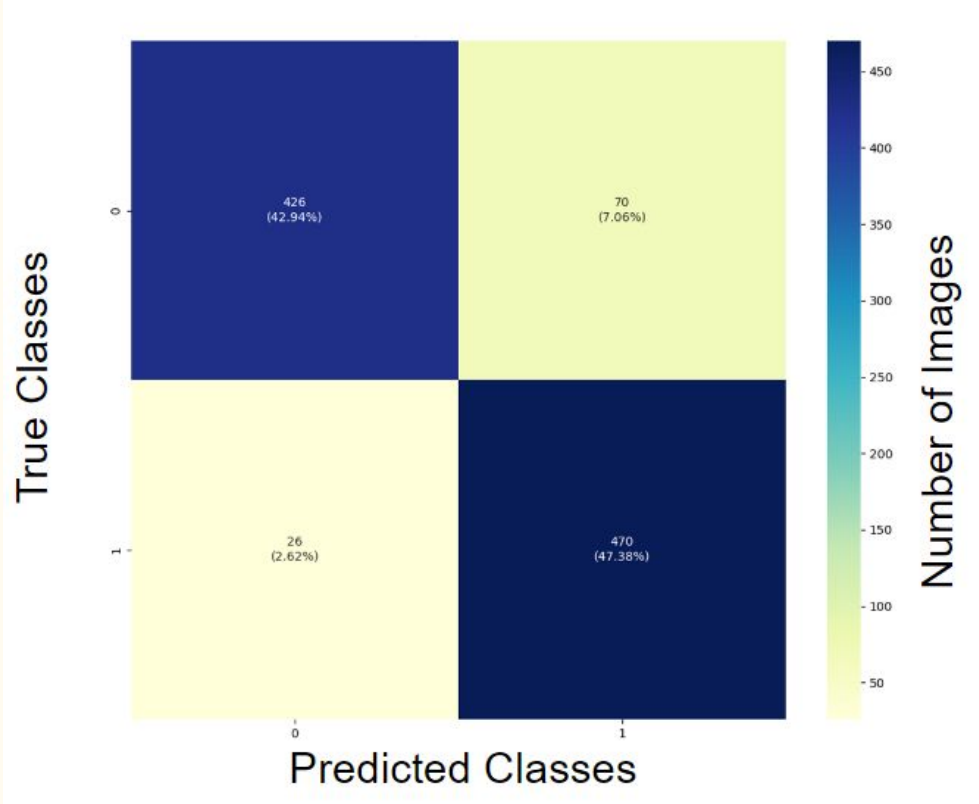
An average of 95% accuracy from every model on the test set from train/test split (balanced classes)

Performance on PCC Brights (grayscale)



An average of $\sim 5\%$ accuracy dip when trained on grayscale versions of images

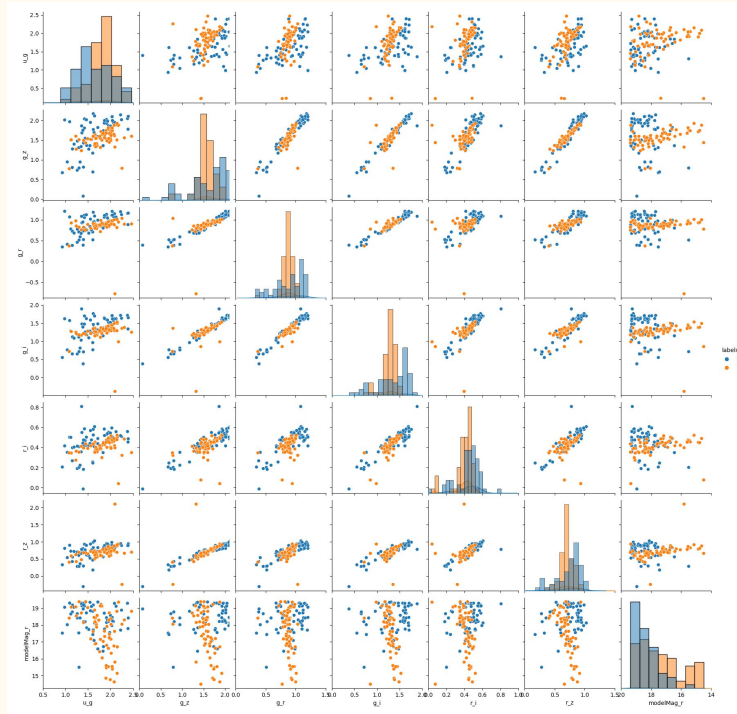
Performance on PCC Brights



An average of 95% accuracy from every model on the test set from train/test split (balanced)

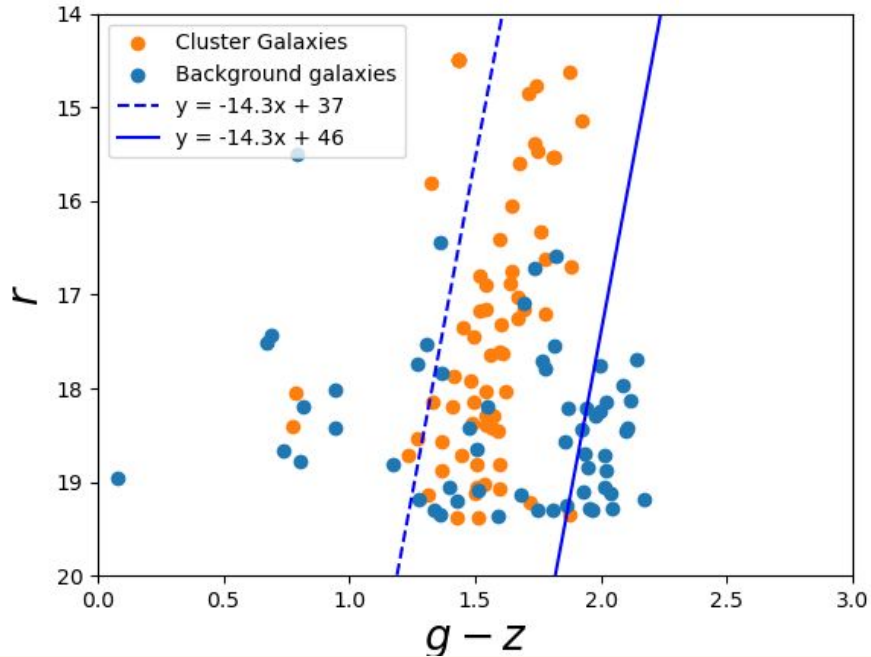
Needed a way to independently verify

Finding a New Test Set - Color Pairplots



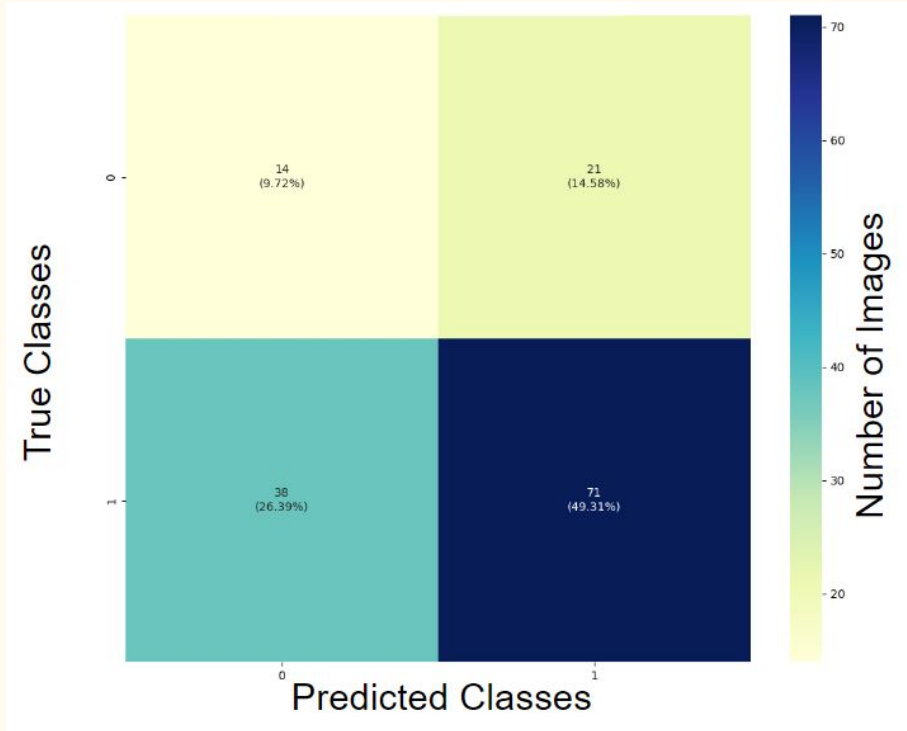
Finding an independent test set - any dividing lines between the classes?

Finding a New Test Set - Color Pairplots



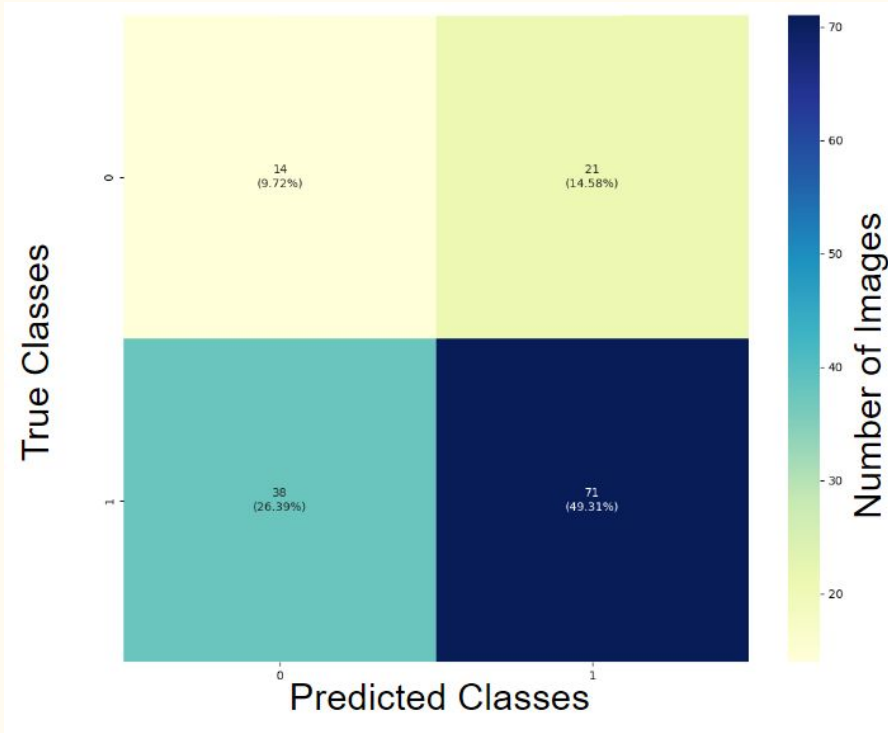
Red Sequence most obvious in r
vs $(g-z)$ color magnitude relation

First Independent Test - Low Performance

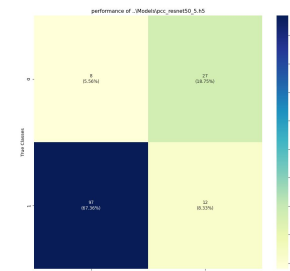
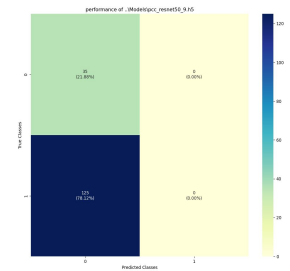
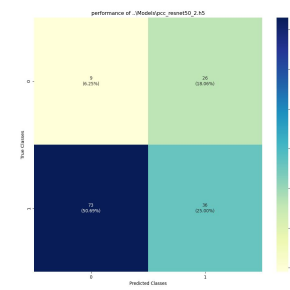
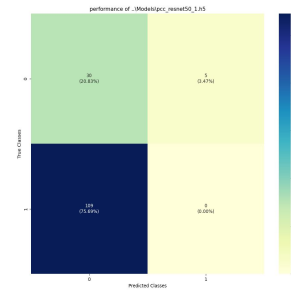


Off diagonal elements take up 40% of the total predictions

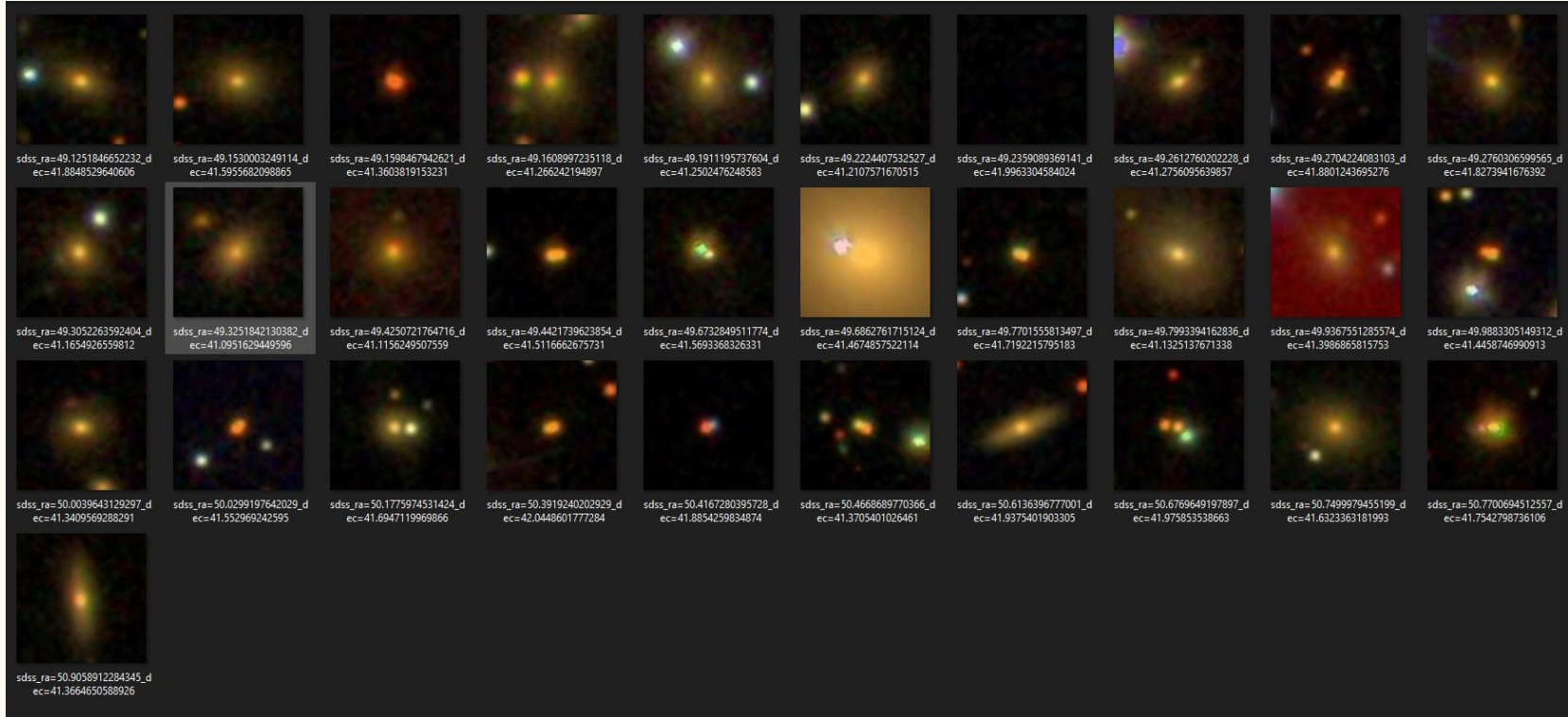
First Independent Test - Low Performance



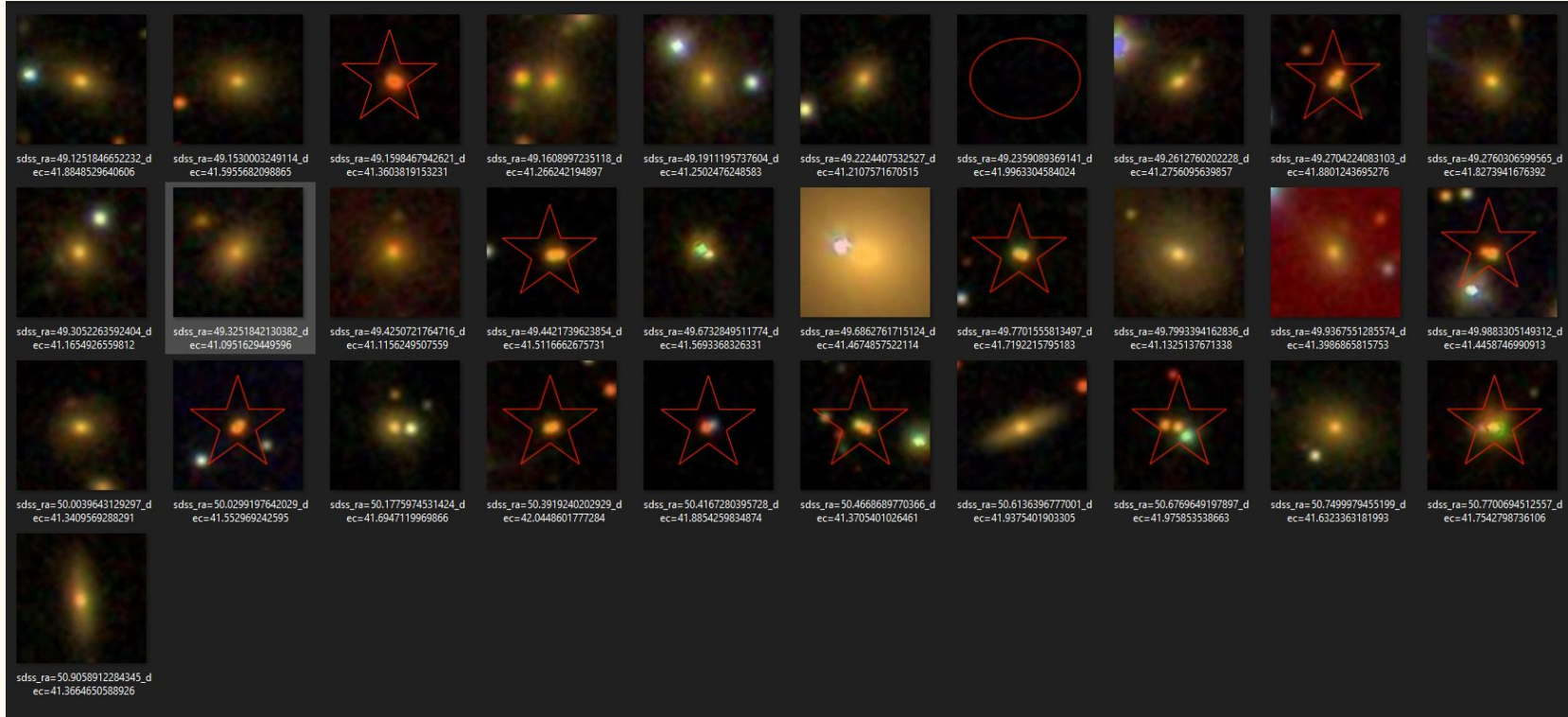
Other models with the same parameters:



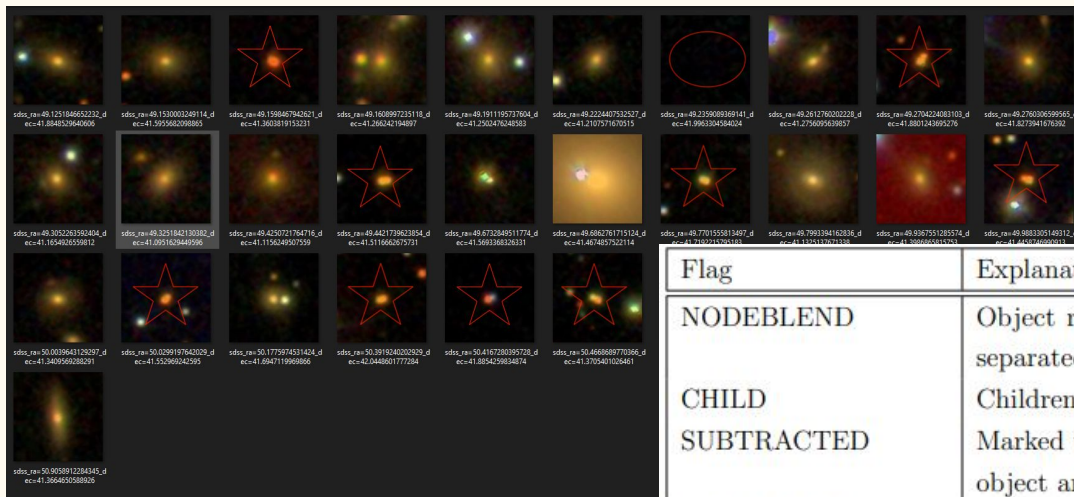
Stars Misabeled as Galaxies



Stars Misclassified as Galaxies

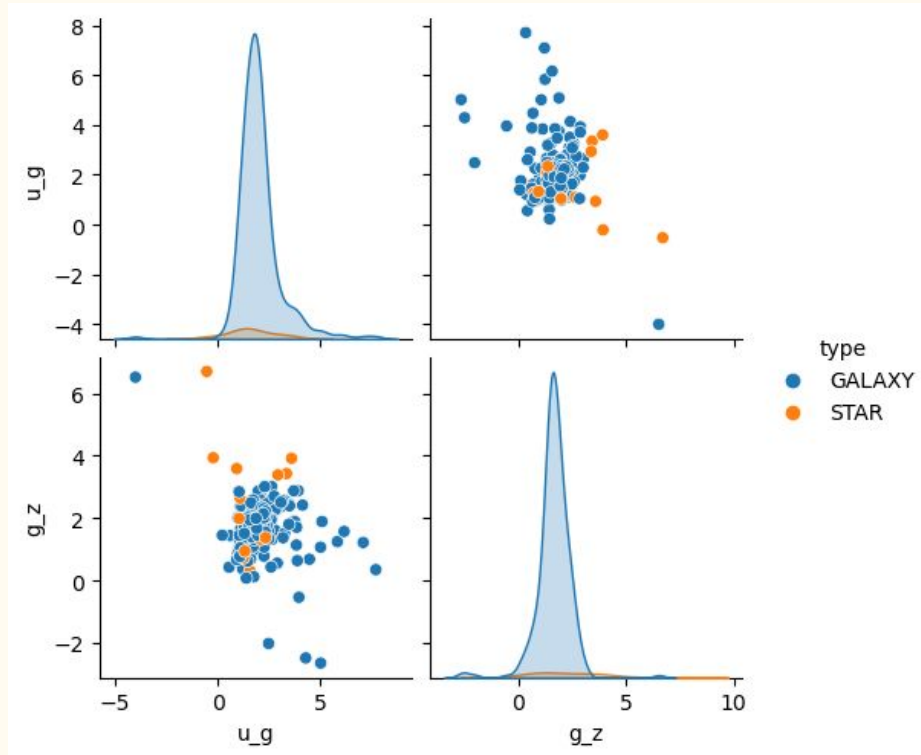


Stars Mislabeled as Galaxies - Flags?

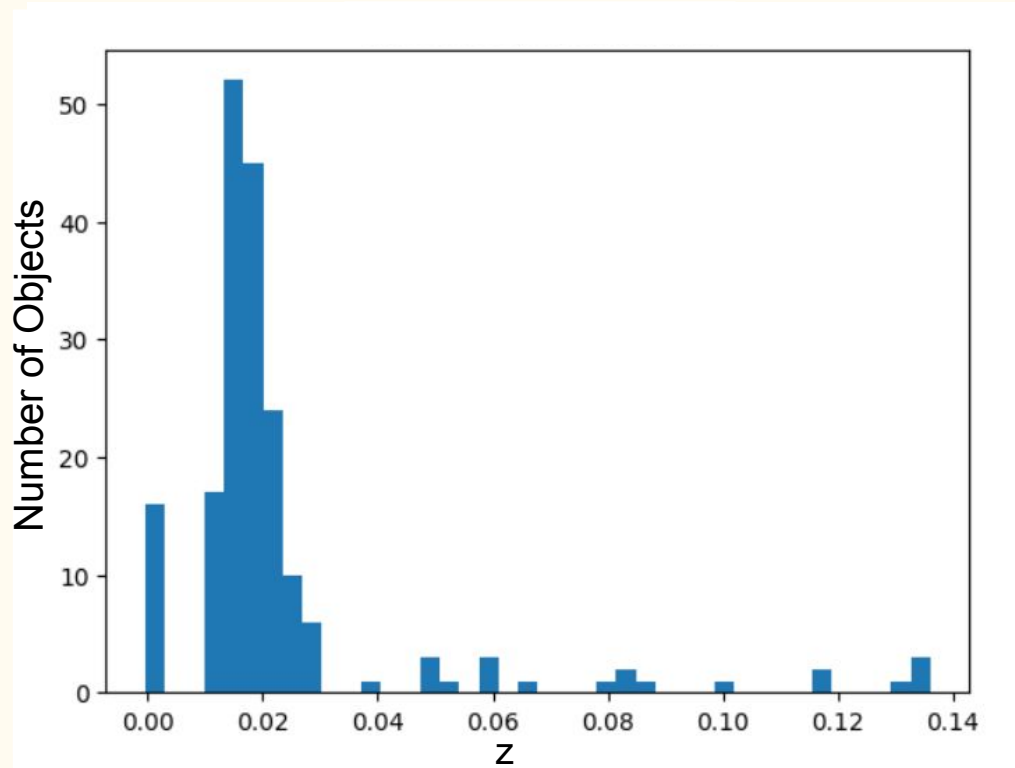


Flag	Explanation
NODEBLEND	Object recognized as composite and was unable to be separated (Deblended).
CHILD	Children of a deblended object.
SUBTRACTED	Marked when the extended wings around a bright star object are subtracted out.
SATURATED	Questionable photometry, i.e. one of the bands was found to have saturated pixels.
CR	Image in question contains a cosmic ray that was interpolated over.
NOPETRO	The object's Petrosian radius was unable to be determined.

Stars Misclassified as Galaxies



Spectroscopic Redshift (z)

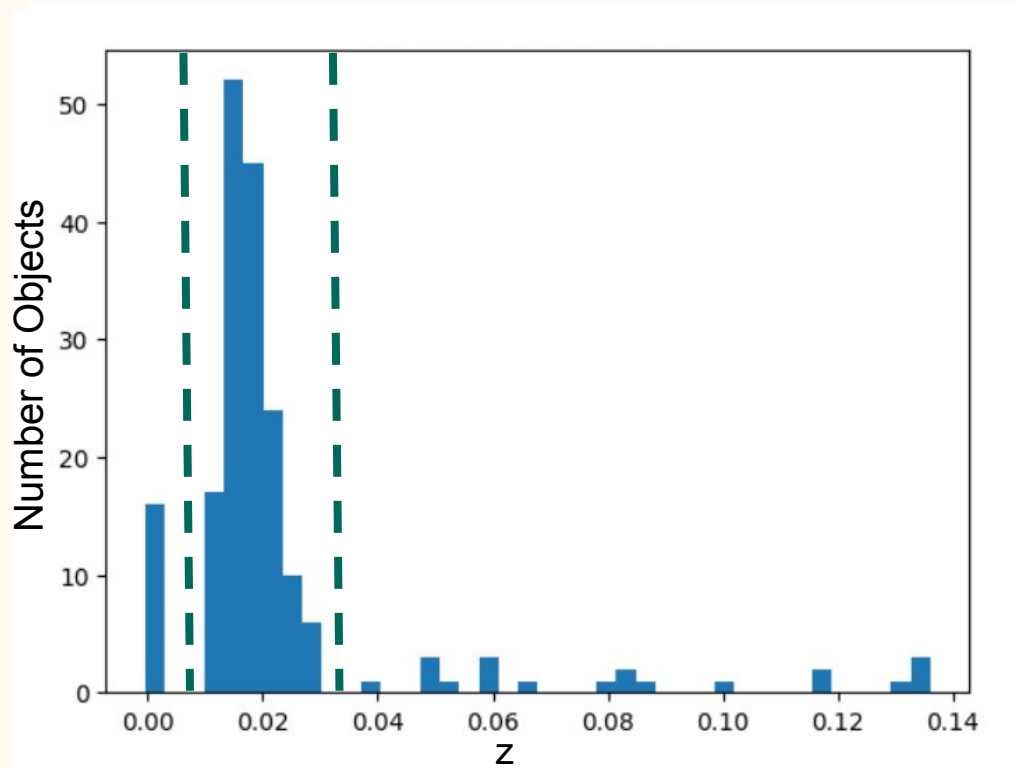


Cluster Galaxies:
 $0.01 < z < 0.033$

Stars:
 $z < 0.01$

Background Galaxies:
 $z > 0.033$

Spectroscopic Redshift (z)

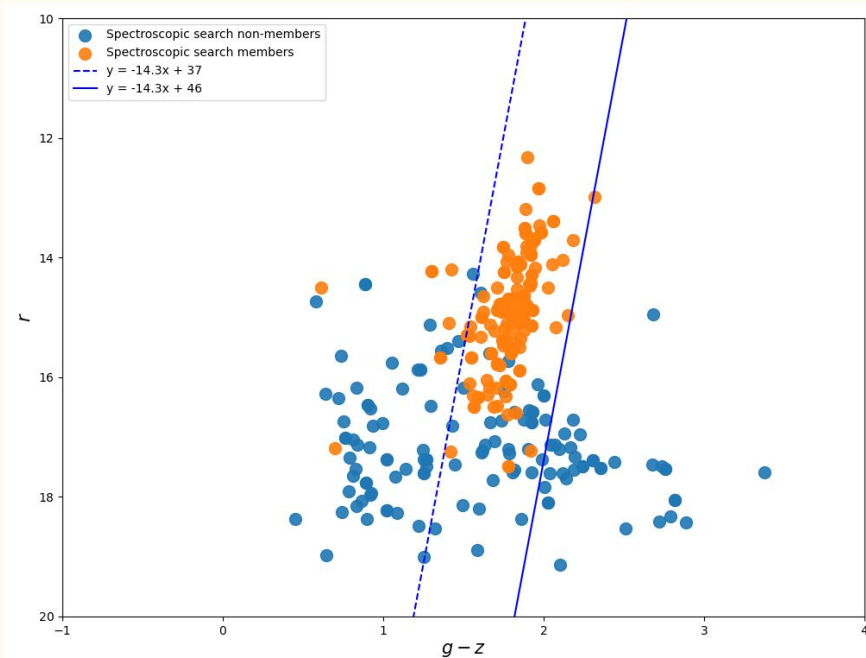
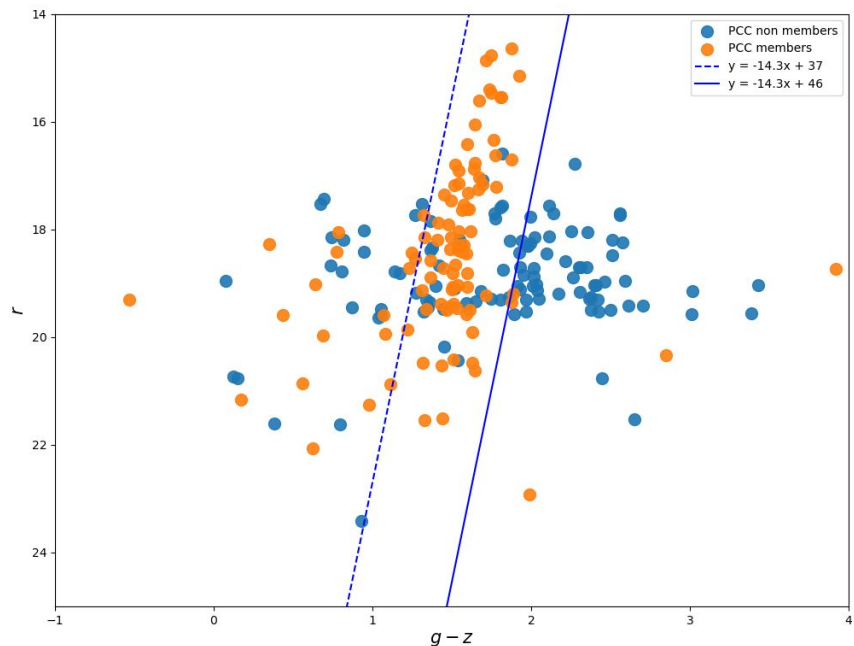


Cluster Galaxies:
 $0.01 < z < 0.033$

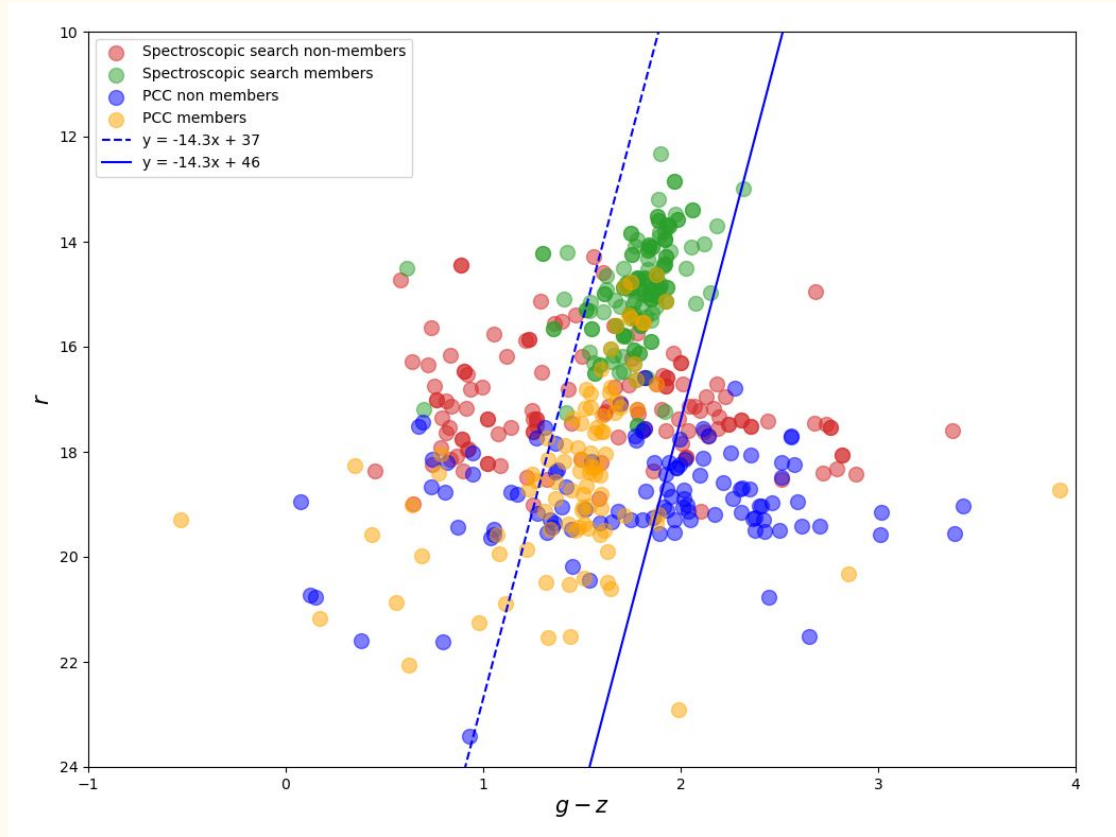
Stars:
 $z < 0.01$

Background Galaxies:
 $z > 0.033$

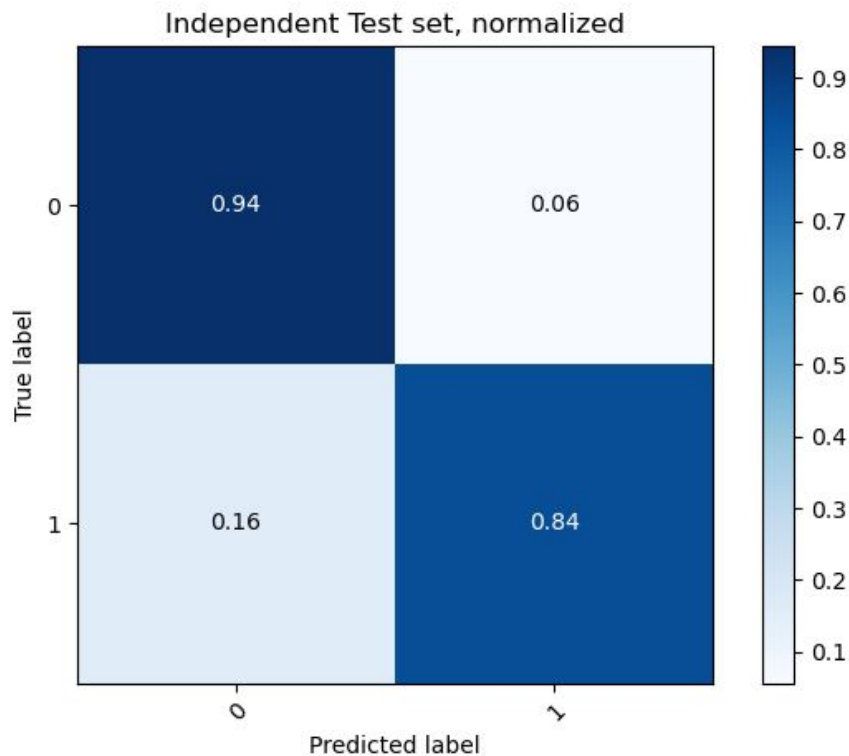
Adding the Radial “Spectroscopic Search” to Training Set



Adding the Radial “Spectroscopic Search” to Training Set



Independent Test Set Results



	precision	recall	f1-score	support
0	0.94	0.94	0.94	247
1	0.84	0.84	0.84	88
accuracy			0.92	335
macro avg	0.89	0.89	0.89	335
weighted avg	0.92	0.92	0.92	335

Performance in Different Color Regions

Blue Cloud - Indep. Test Set

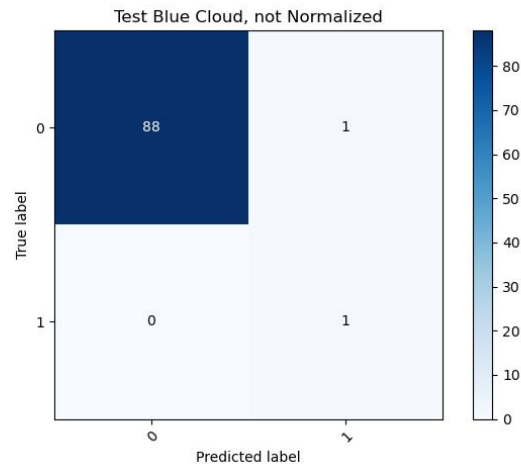
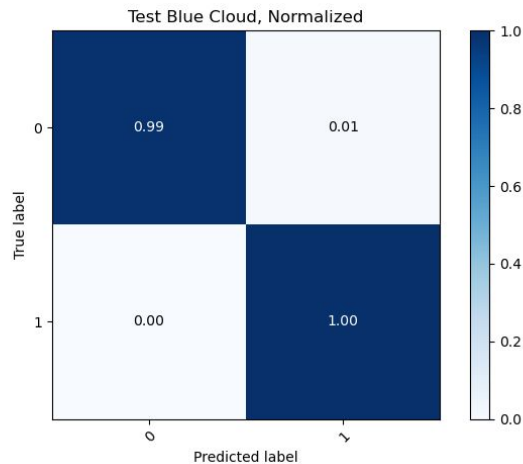
	precision	recall	f1-score	support
0	1.00	0.99	0.99	89
1	0.50	1.00	0.67	1
accuracy			0.99	90
macro avg	0.75	0.99	0.83	90
weighted avg	0.99	0.99	0.99	90

Red Sequence - Indep. Test Set

	precision	recall	f1-score	support
0	0.85	0.88	0.87	78
1	0.87	0.83	0.85	71
accuracy			0.86	149
macro avg	0.86	0.86	0.86	149
weighted avg	0.86	0.86	0.86	149

Red Shoulder - Indep. Test Set

	precision	recall	f1-score	support
0	0.97	0.95	0.96	80
1	0.78	0.88	0.82	16
accuracy			0.94	96
macro avg	0.88	0.91	0.89	96
weighted avg	0.94	0.94	0.94	96



Performance in Different Color Regions

Blue Cloud - Indep. Test Set

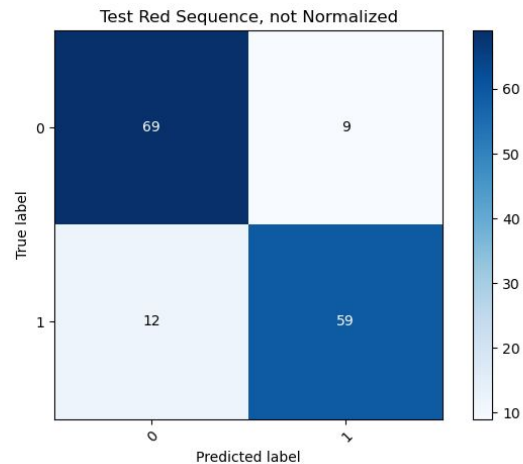
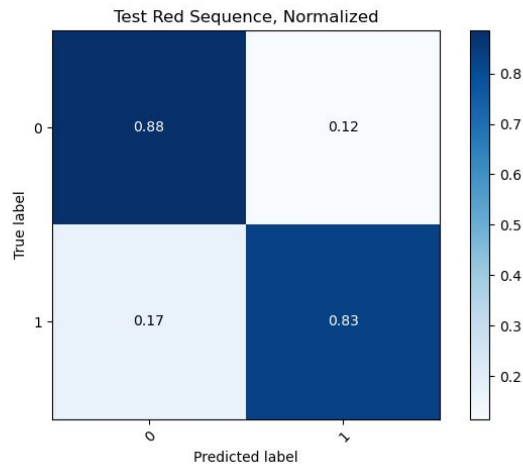
	precision	recall	f1-score	support
0	1.00	0.99	0.99	89
1	0.50	1.00	0.67	1
accuracy			0.99	90
macro avg	0.75	0.99	0.83	90
weighted avg	0.99	0.99	0.99	90

Red Sequence - Indep. Test Set

	precision	recall	f1-score	support
0	0.85	0.88	0.87	78
1	0.87	0.83	0.85	71
accuracy			0.86	149
macro avg	0.86	0.86	0.86	149
weighted avg	0.86	0.86	0.86	149

Red Shoulder - Indep. Test Set

	precision	recall	f1-score	support
0	0.97	0.95	0.96	80
1	0.78	0.88	0.82	16
accuracy			0.94	96
macro avg	0.88	0.91	0.89	96
weighted avg	0.94	0.94	0.94	96



Performance in Different Color Regions

Blue Cloud - Indep. Test Set

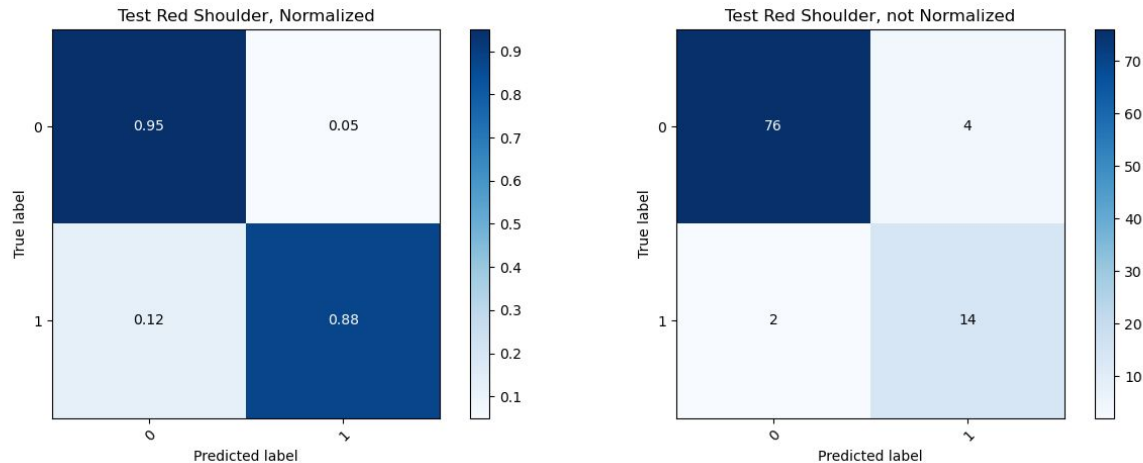
	precision	recall	f1-score	support
0	1.00	0.99	0.99	89
1	0.50	1.00	0.67	1
accuracy			0.99	90
macro avg	0.75	0.99	0.83	90
weighted avg	0.99	0.99	0.99	90

Red Sequence - Indep. Test Set

	precision	recall	f1-score	support
0	0.85	0.88	0.87	78
1	0.87	0.83	0.85	71
accuracy			0.86	149
macro avg	0.86	0.86	0.86	149
weighted avg	0.86	0.86	0.86	149

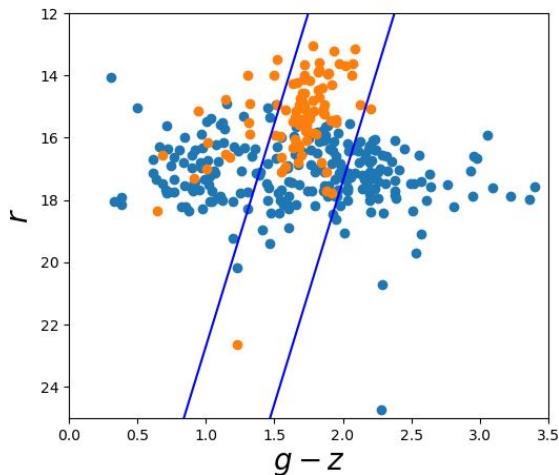
Red Shoulder - Indep. Test Set

	precision	recall	f1-score	support
0	0.97	0.95	0.96	80
1	0.78	0.88	0.82	16
accuracy			0.94	96
macro avg	0.88	0.91	0.89	96
weighted avg	0.94	0.94	0.94	96

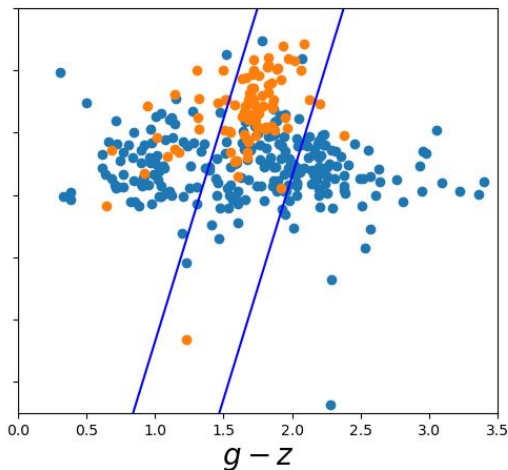


Independent Test Set Results

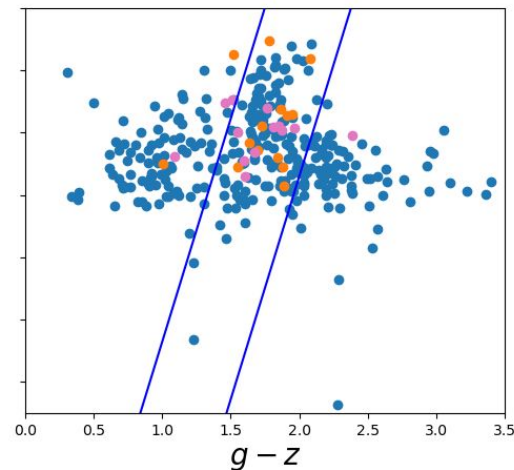
True Labels



Predicted Labels



Differences



	precision	recall	f1-score	support
0	0.94	0.94	0.94	247
1	0.84	0.84	0.84	88
accuracy			0.92	335
macro avg	0.89	0.89	0.89	335
weighted avg	0.92	0.92	0.92	335

Concluding Remarks and Future Work

Add more images from blue cloud/red shoulder regions

Subaru Hyper Suprime-Cam imaging

European Sky Agency (ESA) Euclid imaging

ResNet-15 or potentially more efficient models

Transformers/visual attention maps

Different hyperparameters