# Day 2: Descriptive statistical methods for textual analysis

Pablo Barberá & Kenneth Benoit

MY 459: Quantitative Text Analysis

January 15, 2018

#### Day 2 Outline

- Problems to watch out for
- Getting to know your texts
- Key words in context
- Revisiting feature selection
- Stemming v. lemmatization
- Feature weighting strategies
- Collocations
- Named entity recognition
- ▶ Brief preview of Lab assignment

#### Problems you are likely to encounter

- Problems with encoding
- Problems file formats
- Extraneous junk (page footers, numbers, titles, etc)
- misspelllings
- different normalizations (e.g. for Japanese)

## Simple descriptive table about texts: Describe your data!

Speaker	Party	Tokens	Types
Brian Cowen	FF	5,842	1,466
Brian Lenihan	FF	7,737	1,644
Ciaran Cuffe	Green	1,141	421
John Gormley (Edited)	Green	919	361
John Gormley (Full)	Green	2,998	868
Eamon Ryan	Green	1,513	481
Richard Bruton	FG	4,043	947
Enda Kenny	FG	3,863	1,055
Kieran ODonnell	FG	2,054	609
Joan Burton	LAB	5,728	1,471
Eamon Gilmore	LAB	3,780	1,082
Michael Higgins	LAB	1,139	437
Ruairi Quinn	LAB	1,182	413
Arthur Morgan	SF	6,448	1,452
Caoimhghin O'Caolain	SF	3,629	1,035
All Texts		49,019	4,840
Min		919	361
Max		7,737	1,644
Median		3,704	991
Hapaxes with Gormley E	Edited	67	
Hapaxes with Gormley H	-ull Speech	69	

#### Exploring Texts: Key Words in Context

KWIC Key words in context Refers to the most common format for concordance lines. A KWIC index is formed by sorting and aligning the words within an article title to allow each word (except the stop words) in titles to be searchable alphabetically in the index.

#### lime (14)

```
79[C.10] 4
              /Which was builded of lime and sand:/Until they came to
247A.6 4/That was well biggit with lime and stane.
303A.1 2
                bower./Well built wi lime and stane./And Willie came
247A 9 2
             /That was well biggit wi lime and stane,/Nor has he stoln
305A 2 1
                 a castell biggit with lime and stane /O gin it stands not
305A.71 2
            is my awin/I biggit it wi lime and stane:/The Tinnies and
79[C.10] 6
            /Which was builded with lime and stone.
305A.30 1
                   a prittie castell of lime and stone /O gif it stands not
108.15
         2 /Which was made both of lime and stone./Shee tooke him by
175A.33 2 castle then /Was made of lime and stone:/The vttermost
178[H.2] 2
             near by /Well built with lime and stone:/There is a lady
178F.18 2
                 built with stone and lime!/But far mair pittie on Lady
178G 35 2
              was biggit wi stane and lime!/But far mair pity o Lady
2D.16
                big a cart o stane and lime /Gar Robin Redbreast trail it
```

### Another KWIC Example (Seale et al (2006)

Table 3
Example of Keyword in Context (KWIC) and associated word clusters display

 $Extracts from \ Keyword \ in \ Context \ (KWIC) \ list for \ the \ word \ `scan'$ 

An MRI scan then indicated it had spread slightly

Fortunately, the MRI  $\boldsymbol{scan}$  didn't show any involvement of the lymph nodes

3 very worrying weeks later, a bone **scan** also showed up clear. The bone **scan** is to check whether or not the cancer has spread to the bones.

The bone scan is done using a type of X-ray machine.

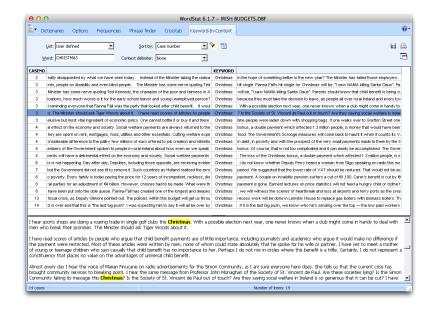
The results were terrific, CT scan and pelvic X-ray looked good Your next step appears to be to await the result of the scan and I wish you well there.

I should go and have an MRI scan and a bone scan

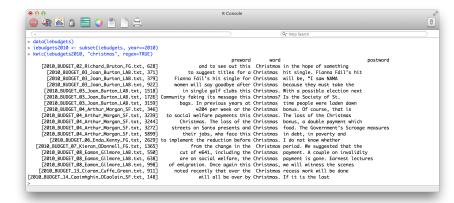
Three-word clusters most frequently associated with keyword 'scan'

N	Cluster	Freq
1	A bone scan	28
2	Bone scan and	25
3	An MRI scan	18
4	My bone scan	15
5	The MRI scan	15
6	The bone scan	14
7	MRI scan and	12
8	And Mri scan	9
9	Scan and MRI	9

#### Another KWIC Example: Irish Budget Speeches



#### Irish Budget Speeches KIWC in quanteda



#### **Defining Features**

- words
- word stems or lemmas: this is a form of defining equivalence classes for word features
- word segments, especially for languages using compound words, such as German, e.g. Rindfleischetikettierungsberwachungsaufgabenbertragungsgesetz (the law concerning the delegation of duties for the supervision of cattle marking and the labelling of beef)

Saunauntensitzer

### Defining Features (cont.)

- ▶ "word" sequences, especially when inter-word delimiters (usually white space) are not commonly used, as in Chinese 莎拉波娃现在居住在美国东南部的佛罗里达。今年4月9日,莎拉波娃在美国第一大城市纽约度过了18岁生日。生日派对上,莎拉波娃露出了甜美的微笑。
- linguistic features, such as parts of speech
- (if qualitative coding is used) coded or annotated text segments
- ▶ linguistic features: parts of speech

#### Stemming words

Lemmatization refers to the algorithmic process of converting words to their lemma forms.

stemming the process for reducing inflected (or sometimes derived) words to their stem, base or root form.

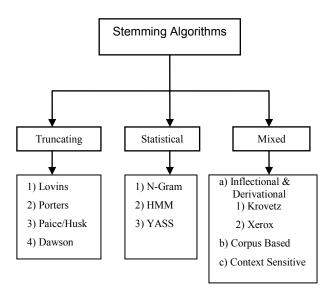
Different from *lemmatization* in that stemmers operate on single words without knowledge of the context.

both convert the morphological variants into stem or root terms

example: produc from
 production, producer, produce, produces,
 produced

Why? Reduce feature space by collapsing different words into a stem (e.g. "happier" and "happily" convey same meaning as "happy")

## Varieties of stemming algorithms



#### Issues with stemming approaches

- ▶ The most common is probably the Porter stemmer
- ▶ But this set of rules gets many stems wrong, e.g.
  - policy and police considered (wrongly) equivalent
  - general becomes gener, iteration becomes iter
- Other corpus-based, statistical, and mixed approaches designed to overcome these limitations
- Key for you is to be careful through inspection of morphological variants and their stemmed versions
- Sometimes not appropriate! e.g. Schofield and Minmo (2016) find that "stemmers produce no meaningful improvement in likelihood and coherence (of topic models) and in fact can degrade topic stability"

#### Parts of speech

#### ▶ the Penn "Treebank" is the standard scheme for tagging POS

Number	Tag	Description			
1.	CC	Coordinating conjunction			
2.	CD	Cardinal number			
3.	DT	Determiner			
4.	EX	Existential there	21	DDD	A 1 1
5.	FW	Foreign word	21.	RBR	Adverb, comparative
6.	IN	Preposition or subordinating conjunction	22.	RBS	Adverb, superlative
7.	JJ	Adjective	23.	RP	Particle
8.	JJR	Adjective, comparative	24.	SYM	Symbol
9.	JJS	Adjective, superlative	25.	TO	to
10.	LS	List item marker	26.	UH	Interjection
11.	MD	Modal	27.	VB	Verb, base form
12.	NN	Noun, singular or mass	28.	VBD	Verb, past tense
13.	NNS	Noun, plural	29.	VBG	Verb, gerund or present participle
14.	NNP	Proper noun, singular	30.	VBN	Verb, past participle
15.	NNPS	Proper noun, plural	31.	VBP	Verb, non-3rd person singular present
16.	PDT	Predeterminer	32.	VBZ	Verb, 3rd person singular present
17.	POS	Possessive ending	33.	WDT	Wh-determiner
18.	PRP	Personal pronoun	34.	WP	Wh-pronoun
19.		Possessive pronoun	35.	WP\$	Possessive wh-pronoun
20.	RB	Adverb	36.	WRB	Wh-adverb

#### Parts of speech (cont.)

```
> library("spacyr")
> txt <- "Pierre Vinken, 61 years old, will join the board as a nonexecutive
          director Nov. 29. Mr. Vinken is chairman of Elsevier N.V.,
          the Dutch publishing group."
> spacy_parse(txt)
doc_id sentence_id token_id
                                  token
                                                lemma
                                                        pos
                                                                   entity
   text1
                                     Pierre
                                                  pierre PROPN
                                                                    PERSON_B
                                     Vinken
    text1
                                                  vinken PROPN
                                                                    PERSON_I
```

_	001101	-	_	VIIIIOII	VIIIIOII	1 1001 10	1 1110011_1
3	text1	1	3	,	,	PUNCT	
4	text1	1	4	61	61	NUM	DATE_B
5	text1	1	5	years	year	NOUN	DATE_I
6	text1	1	6	old	old	ADJ	DATE_I
7	text1	1	7	,	,	PUNCT	
8	text1	1	8	will	will	VERB	
9	text1	1	9	join	join	VERB	
10	text1	1	10	the	the	DET	
11	text1	1	11	board	board	NOUN	
12	text1	1	12	as	as	ADP	
13	text1	1	13	a	a	DET	
14	text1	1	14	nonexecutive	nonexecutive	ADJ	
15	text1	1	15	\n	\n	SPACE	
16	text1	1	16	director	director	NOUN	
17	text1	1	17	Nov.	nov.	PROPN	DATE_B
18	text1	1	18	29	29	NUM	DATE_I
19	text1	1	19			PUNCT	

## Parts of speech (cont.)

	SPACE			20	1	text1	20
	PROPN	mr.	Mr.	1	2	text1	21
PERSON_B	PROPN	vinken	Vinken	2	2	text1	22
	VERB	be	is	3	2	text1	23
	NOUN	chairman	chairman	4	2	text1	24
	ADP	of	of	5	2	text1	25
ORG_B	PROPN	elsevier	Elsevier	6	2	text1	26
ORG_I	PROPN	n.v.	N.V.	7	2	text1	27
	PUNCT	,	,	8	2	text1	28
WORK_OF_ART_E	SPACE	\n	\n	9	2	text1	29
WORK_OF_ART_I	DET	the	the	10	2	text1	30
NORP_B	ADJ	dutch	Dutch	11	2	text1	31
	NOUN	publishing	publishing	12	2	text1	32
	NOUN	group	group	13	2	text1	33
	PUNCT			14	2	text1	34

#### Stemming v. lemmas

```
> library("quanteda")
> tokens(txt) %>% tokens_wordstem()
tokens from 1 document.
text1:
[1] "Pierr"
                 "Vinken"
                                          "61"
                                                       "year"
                                                                    "old"
[9] "join"
                 "the"
                             "board"
                                          "as"
                                                       "a"
                                                                                "di
                                                                    "nonexecut"
[17] "."
                  "29"
                              "."
                                           "Mr"
                                                        "."
                                                                     "Vinken"
                                                                                 "i
                                           " . "
                                                        ","
                                                                                 "D
[25] "of"
                  "Elsevier" "N.V"
                                                                     "the"
[33] "group"
                  "."
sp$lemma
[1] "pierre"
                                                    "61"
                    "vinken"
                                                                    "year"
[7] ","
                    "will"
                                    "join"
                                                    "the"
                                                                    "board"
[13] "a"
                     "nonexecutive" "\n
                                                 " "director"
                                                                     "nov."
[19] "."
                     11 11
                                     "mr."
                                                     "vinken"
                                                                     "be"
                                                                    "\n
[25] "of"
                     "elsevier"
                                     "n.v."
                     "publishing"
[31] "dutch"
                                     "group"
```

#### Weighting strategies for feature counting

term frequency Some approaches trim very low-frequency words.

Rationale: get rid of rare words that expand the feature matrix but matter little to substantive analysis

document frequency Could eliminate words appearing in few documents

inverse document frequency Conversely, could weight words more that appear in the most documents

tf-idf a combination of term frequency and inverse document frequency, common method for feature weighting

### Strategies for feature weighting: tf-idf

- ▶  $tf_{i,j} = \frac{n_{i,j}}{\sum_k n_{k,j}}$  where  $n_{i,j}$  is number of occurrences of term  $t_i$  in document  $d_j$ , k is total number of terms in document  $d_j$
- $idf_i = \ln \frac{|D|}{|\{d_j: t_i \in d_j\}|}$  where
  - ▶ |D| is the total number of documents in the set
  - ▶  $|\{d_j: t_i \in d_j\}|$  is the number of documents where the term  $t_i$  appears (i.e.  $n_{i,j} \neq 0$ )
- $tf-idf_i = tf_{i,j} \cdot idf_i$

#### Computation of tf-idf: Example

Example: We have 100 political party manifestos, each with 1000 words. The first document contains 16 instances of the word "environment"; 40 of the manifestos contain the word "environment".

- ▶ The term frequency is 16/1000 = 0.016
- ▶ The document frequency is 100/40 = 2.5, or ln(2.5) = 0.916
- ▶ The *tf-idf* will then be 0.016 \* 0.916 = 0.0147
- ▶ If the word had only appeared in 15 of the 100 manifestos, then the *tf-idf* would be 0.0304 (three times higher).
- ▶ A high weight in tf-idf is reached by a high term frequency (in the given document) and a low document frequency of the term in the whole collection of documents; hence the weights hence tend to filter out common terms

#### Other weighting schemes

▶ the SMART weighting scheme (Salton 1991, Salton et al): The first letter in each triplet specifies the term frequency component of the weighting, the second the document frequency component, and the third the form of normalization used (not shown). Example: *Inn* means log-weighted term frequency, no idf, no normalization

Term frequency		Docum	ent frequency
n (natural)	$tf_{t,d}$	n (no)	1
l (logarithm)	$1 + \log(tf_{t,d})$	t (idf)	$\log \frac{N}{\mathrm{df}_t}$
a (augmented)	$0.5 + rac{0.5  imes  ext{t} f_{t,d}}{\max_t ( ext{t} f_{t,d})}$	p (prob idf)	$\max\{0,\log\frac{N-\mathrm{df}_t}{\mathrm{df}_t}\}$
b (boolean)	$\begin{cases} 1 & \text{if } tf_{t,d} > 0 \\ 0 & \text{otherwise} \end{cases}$		
L (log ave)	$\frac{1 + \log(tf_{t,d})}{1 + \log(ave_{t \in d}(tf_{t,d}))}$		

Note: Mostly used in information retrieval, although some use in machine learning

#### Selecting more than words: collocations

collocations bigrams, or trigrams e.g. capital gains tax how to detect: pairs occuring more than by chance, by measures of  $\chi^2$  or mutual information measures

#### example:

Summary Judgment	Silver Rudolph	Sheila Foster
prima facie	COLLECTED WORKS	Strict Scrutiny
Jim Crow	waiting lists	Trail Transp
stare decisis	Academic Freedom	Van Alstyne
Church Missouri	General Bldg	Writings Fehrenbacher
Gerhard Casper	Goodwin Liu	boot camp
Juan Williams	Kurland Gerhard	dated April
LANDMARK BRIEFS	Lee Appearance	extracurricular activities
Lutheran Church	Missouri Synod	financial aid
Narrowly Tailored	Planned Parenthood	scored sections

Table 5: Bigrams detected using the mutual information measure.

#### Identifying collocations

- Does a given word occur next to another given word with a higher relative frequency than other words?
- ▶ If so, then it is a candidate for a collocation or "word bigram"
- We can detect these using  $\chi^2$  or likelihood ratio measures (Dunning paper)
- Implemented in quanteda as collocations()

#### Getting texts into quanteda

- text format issue
  - text files
  - zipped text files
  - spreadsheets/CSV
  - (pdfs)
  - (Twitter feed)
- encoding issue
- metadata and document variable management

#### Identifying collocations

- ▶ Does a given word occur next to another given word with a higher relative frequency than other words?
- If so, then it is a candidate for a collocation
- We can detect these using measures of association, such as a likelihood ratio, to detect word pairs that occur with greater than chance frequency, compared to an independence model
- The key is to distinguish "true collocations" from uninteresting word pairs/triplets/etc, such as "of the"
- Implemented in quanteda as collocations

#### Example

$C(w^1 \ w^2)$	$w^1$	$w^2$
80871	of	the
58841	in	the
26430	to	the
21842	on	the
21839	for	the
18568	and	the
16121	that	the
15630	at	the
15494	to	be
13899	in	a
13689	of	a
13361	by	the
13183	with	the
12622	from	the
11428	New	York
10007	he	said
9775	as	a
9231	is	a
8753	has	been
8573	for	a

**Table 5.1** Finding Collocations: Raw Frequency.  $C(\cdot)$  is the frequency of something in the corpus.

(from Manning and Schütze, FSNLP, Ch 5)

#### Example

$C(w^1 w^2)$	$w^1$	$w^2$
80871	of	the
58841	in	the
26430	to	the
21842	on	the
21839	for	the
18568	and	the
16121	that	the
15630	at	the
15494	to	be
13899	in	a
13689	of	a
13361	by	the
13183	with	the
12622	from	the
11428	New	York
10007	he	said
9775	as	a
9231	is	a
8753	has	been
8573	for	a

**Table 5.1** Finding Collocations: Raw Frequency.  $C(\cdot)$  is the frequency of something in the corpus.

(from Manning and Schütze, FSNLP, Ch 5)

### Contingency tables for bigrams

Tabulate every token against every other token as pairs, and compute for each token:

	token2	¬token2	Totals
token1	n <sub>11</sub>	n <sub>12</sub>	$n_{1p}$
¬token1	n <sub>21</sub>	n <sub>22</sub>	$n_{1p}$
Totals	n <sub>p1</sub>	n <sub>p2</sub>	n <sub>pp</sub>

## Contingency tables for trigrams

		token3	¬token3	Totals
token1	token2	n <sub>111</sub>	n <sub>112</sub>	n <sub>11p</sub>
token1	¬token2	n <sub>121</sub>	n <sub>122</sub>	n <sub>12p</sub>
¬token1	token2	n <sub>211</sub>	n <sub>212</sub>	n <sub>21p</sub>
¬token1	¬token2	n <sub>221</sub>	n <sub>222</sub>	n <sub>22p</sub>
	Totals	n <sub>pp1</sub>	n <sub>pp2</sub>	n <sub>ppp</sub>

## computing the "independence" model

bigrams

$$Pr(token1, token2) = Pr(token1)Pr(token2)$$

trigrams

$$Pr(t1, t2, t3) = Pr(t1)Pr(t2)Pr(t3)$$
  
 $Pr(t1, t2, t3) = Pr(t1, t2)Pr(t3)$   
 $Pr(t1, t2, t3) = Pr(t1)Pr(t2)Pr(t3)$   
 $Pr(t1, t2, t3) = Pr(t1, t3)Pr(t2)$ 

#### more independence models

- for 4-grams, there are 14 independence models
- ▶ generally: the number equals the *Bell number* less one, where the Bell number  $B_n$  can be computed recursively as:

$$B_{n+1} = \sum_{k=0}^{n} \binom{n}{k} B_k$$

but most of these are of limited relevance in collocation mining, as they subsume elements of earlier collocations

#### statistical association measures

where  $m_{ij}$  represents the cell frequency expected according to independence:

G<sup>2</sup> likelihood ratio statistic, computed as:

$$2*\sum_{i}\sum_{j}(n_{ij}*\log\frac{n_{ij}}{m_{ij}})$$
 (1)

 $\chi^2$  Pearson's  $\chi^2$  statistic, computed as:

$$\sum_{i} \sum_{j} \frac{(n_{ij} - m_{ij})^2}{m_{ij}} \tag{2}$$

## statistical association measures (cont.)

pmi point-wise mutual information score, computed as  $\log n_{11}/m_{11}$ 

dice the Dice coefficient, computed as

$$\frac{n_{11}}{n_{1.} + n_{.1}} \tag{3}$$

## Augmenting collocation detection with additional information

Use parts of speech information

Tag Pattern	Example
AN	linear function
NN	regression coefficients
AAN	Gaussian random variable
ANN	cumulative distribution function
NAN	mean squared error
NNN	class probability function
NPN	degrees of freedom

**Table 5.2** Part of speech tag patterns for collocation filtering. These patterns were used by Justeson and Katz to identify likely collocations among frequently occurring word sequences.

other (machine prediction) tools

#### Named Entity recognition

```
> sp <- spacy_parse(txt, tag = TRUE)
> entity_consolidate(sp)
   doc_id sentence_id token_id
                                           token
                                                            lemma
                                                                     pos
                                                                             tag e
                                  Pierre_Vinken pierre_vinken ENTITY ENTITY
    text1
2
    text1
                               2
                                                                   PUNCT
                               3
3
    text1
                                   61_years_old
                                                     61_year_old ENTITY ENTITY
                               4
                                                                   PUNCT
4
    text1
5
    text1
                               5
                                            will
                                                            will
                                                                    VERB
                                                                              MD
6
                               6
                                                                    VERB
                                                                              VВ
    text1
                                            join
                                                             join
                                             the
                                                             the
                                                                     DET
                                                                              DT
    text1
8
    text1
                               8
                                           board
                                                                    NOUN
                                                                              NN
                                                           board
    text1
                               9
                                                                     ADP
                                                                              IN
9
                                              as
                                                               as
10
    text1
                              10
                                                                     DET
                                                                              DT
                                                а
11
    text1
                              11
                                   nonexecutive
                                                    nonexecutive
                                                                     ADJ
                                                                              JJ
12
                              12
                                      \n
                                                                   SPACE
                                                                              SP
    text1
                                                      \n
13
    text1
                              13
                                        director
                                                        director
                                                                    NOUN
                                                                              NN
                              14
                                         Nov. 29
                                                         nov. 29 ENTITY ENTITY
14
    text1
15
    text1
                              15
                                                                   PUNCT
```