## Lucas Resck

#### PERSONAL INFORMATION

PhD student in Computation, Cognition and Language

University of Cambridge, United Kingdom

Email: ler44@cam.ac.uk

Phone: Available upon request

Homepage: https://lucasresck.github.io/

My research interests are in machine learning (ML), natural language processing

(NLP) and **explainable AI** (XAI).

#### **EDUCATION**

2024-present	PhD, Computation, Cognition and Language University of Cambridge, Girton College ELLIS PhD student, Cambridge Trust scholar
2022-2024	MSc, Mathematical Modeling Fundação Getulio Vargas
2018–2021	BSc, Applied Mathematics Fundação Getulio Vargas GPA: $3.86/4.0$ — 1st in class, $9.66/10.0$ , lowest passing grade of 6
2015–2017	Technical Education, Mechatronics Federal Center for Technological Education of Minas Gerais (CEFET-MG), Brazil

### RESEARCH EXPERIENCE

2024-present Language Technology Lab

University of Cambridge

PhD researcher

Supervisor: Anna Korhonen

Co-supervisor: Isabelle Augenstein, University of Copenhagen

2020–2024 Visual Data Science Lab

Fundação Getulio Vargas

Master's and undergraduate researcher

Supervisor: Jorge Poco

#### Improving NLP model explanations using human annotations

- Developed a novel contrastive-inspired loss to incorporate human annotations into NLP classification in a model- and explainer-agnostic way (**NAACL Findings 2024**, LatinX in NLP, MSc Thesis).
- Employed a multi-objective optimizer to explore the trade-off between the contrastive and the original losses.
- Significantly improved the plausibility of post-hoc explanations (relative increase of 3.49% for a language model) without substantially degrading model performance.

# Design of a novel explainer for GNN node classification

- "Distill n' Explain" (AISTATS 2023) first distills the original GNN into an interpretable one and then explains the latter.
- Designed and proved lemmas and theorems that guarantee the method's explanation faithfulness.
- The proposed explainer outperformed previous methods in explanation accuracy while being orders of magnitude faster.

Development of a visual analytics system to explore citations in legal documents

- "LegalVis" (TVCG 2023, VIS 2022, BSc Thesis) employs ML, NLP, XAI and data visualization to infer non-explicit citations in Brazilian legal documents.
- Tested a diverse set of NLP classifiers (Transformers, word embeddings and bag-of-words) and achieved high accuracy (96%) in identifying citations.
- Employed a model-agnostic explainer (LIME) to explain the inferred citations.

Vision, Language, and Learning Lab

Rice University, Houston, USA Visiting scholar (3 months) Supervisor: Vicente Ordóñez

Explored training data attribution methods, e.g., influence functions, and ways to improve them. This activity continued after the visit.

2016–2017 Federal Center for Technological Education of Minas Gerais

High school researcher fellow and volunteer

• Circuits for driving low power direct current motors (Fellow)

• Brazilian Robotics Olympiad (OBR) 2016, Practical Modality (Volunteer)

### **PUBLICATIONS**

#### Conferences

- Lucas Resck, Marcos M. Raimundo, and Jorge Poco. Exploring the Trade-off Between Model Performance and Explanation Plausibility of Text Classifiers Using Human Rationales. In *Findings of the Association for Computational Linguistics: NAACL 2024*, June 2024. Also presented as a poster at the LatinX in NLP at NAACL 2024 workshop. URL: https://aclanthology.org/2024.findings-naacl. 262
- 2. Tamara Pereira, Erik Nascimento, **Lucas E. Resck**, Diego Mesquita, and Amauri Souza. Distill n' Explain: explaining graph neural networks using simple surrogates. In *International Conference on Artificial Intelligence and Statistics (AISTATS)*, April 2023. URL: https://proceedings.mlr.press/v206/pereira23a.html

#### Journal Publications

1. Lucas E. Resck, Jean R. Ponciano, Luis Gustavo Nonato, and Jorge Poco. LegalVis: Exploring and Inferring Precedent Citations in Legal Documents. *Transactions on Visualization and Computer Graphics (TVCG)*, 29(6), June 2023. Presented at Visualization and Visual Analytics (VIS) 2022. URL: https://ieeexplore.ieee.org/document/9716779/

# **Preprints**

1. Raphaël Tinarrage, Henrique Ennes, **Lucas E. Resck**, Lucas T. Gomes, Jean R. Ponciano, and Jorge Poco. Empirical analysis of Binding Precedent efficiency in the Brazilian Supreme Court via Similar Case Retrieval, July 2024. URL: https://arxiv.org/abs/2407.07004

#### Theses

- 1. Lucas Emanuel Resck Domingues. Balancing performance and explanation plausibility: a multiobjective approach to text classification with human rationales. MSc thesis, Fundação Getulio Vargas, Rio de Janeiro, Brazil, May 2024. URL: https://hdl.handle.net/10438/35362
- 2. Lucas Emanuel Resck Domingues. Inferring and Explaining Potential Citations to Binding Precedents in Brazilian Supreme Court Decisions. BSc thesis, Fundação Getulio Vargas, Rio de Janeiro, Brazil, December 2021. URL: https://hdl.handle.net/10438/31845

### **Technical Reports**

1. Lucas Emanuel Resck Domingues and Júlia Gandini Blahun. Circuits for Driving Low Power Direct Current Motors. High school research project report, Federal Center for Technological Education of Minas Gerais, Varginha, Brazil, 2018

2. Júlia Gandini Blahun, Luiza de Souza Pinto Regina, and Lucas Emanuel Resck Domingues. Brazilian Robotics Olympiad – OBR'2016, Level II Practical Modality. High school research project report, Federal Center for Technological Education of Minas Gerais, Varginha, Brazil, 2016

# Contributions to Other Papers

- 1. Beatriz Sabdin Chagas, Carla Marcondes Damian, and Raphäel Tinarrage. The Impact of the Súmula Vinculante 26 on the Decrease of Similar Demands at the STF: a Quantitative Analysis With Machine Learning Models. Chile, October 2022. URL: https://raphaeltinarrage.github.io/files/Paper\_CONPEDI\_Quantitativa.pdf
- 2. Ana Clara Macedo Jaccoud, Pedro Burlini de Oliveira, and Raphäel Tinarrage. Regime Progression for Heinous Crimes in Brazilian Supreme Court (STF): an Empirical Analysis of Súmula Vinculante 26. Chile, October 2022. URL: https://raphaeltinarrage.github.io/files/Paper\_CONPEDI\_Empirica.pdf

Training Data Attribution. Exploration of methods to attribute model predictions to

# OTHER/ONGOING RESEARCH PROJECTS

All projects are in the context of the Visual Data Science Lab at Fundação Getulio Vargas.

	training data. Investigation of the intersection of attribution, datamodeling and machine unlearning.
2023-2024	Legal Language Models and Topological Data Analysis. Exploration of the intersection between NLP and topological data analysis in legal documents.
2022-2024	"LegalAnalytics" Project. Application of ML, NLP, XAI and visualization methods for the creation of the LegalAnalytics system to assist judicial experts in the application of understandings from the Brazilian Supreme Court. Publications are expected in 2024.
2021-2024	Machine Learning and Súmulas Vinculantes. Exploration of computational methods, especially ML, NLP and topological data analysis, in legal documents that cite Brazilian binding precedents ("Súmulas Vinculantes"). The project also explored the annotation of legal documents by experts. Resulted in Preprint 1 and Contributions to Other Papers 1

# TEACHING EXPERIENCE

2023 - 2024

2023	Fundação	Getulio	Vargas

and 2.

Professor of Introduction to Programming in a Web Systems Development course.

#### 2020–2021 Fundação Getulio Vargas

Teaching assistant of Ordinary Differential Equations, Calculus in Several Variables and Calculus in One Variable.

#### PROFESSIONAL EXPERIENCE

## 12/2019-02/2020 **EloGroup**

Summer intern in Data Science

Conducted time series analysis, exploratory data analysis, sanity checks on databases and data preprocessing.

## 01/2019–02/2019 PSR Power Systems Research

Summer intern in Optimization

Developed and implemented optimization models for maintenance schedules and dispatch of power plants, utilizing Julia and optimization packages.

# Honors, Awards, & Scholarships

2024-present	Cambridge Trust's Cambridge International Scholarship. University fees and maintenance.
2024-present	PhD student at the ELLIS PhD & Postdoc Program.
2024	${\color{red} {\rm LxMLS}}$ 2024 scholarship by Google and Zendesk. Registration and travel grant.
2024	NAACL 2024 Diversity and Inclusion award. Registration and partial travel grant.
2022-2024	$\operatorname{MSc}$ scholarship holder at Fundação Getulio Vargas (FGV). Tuition fee and monthly stipend.
2023	Invited speaker at Colégio União, Três Corações, Brazil. Presentation "How the mathematics olympiads transformed my life" to motivate students, at the invitation of professor Aguinaldo Borba.
2022–2023	Scholarship (tuition fee) holder in the Graduate Support Program for Private Education Institutions (PROSUP) of the Coordination for the Improvement of Higher Education Personnel (CAPES).
2022	Academic distinguished undergraduate award. Ranked 1st in my undergraduate class at FGV. Recognition of academic excellence (grades and research).
2018–2021	Scholarship holder in the Undergraduate Research and Master's Program (PICME). This was possible because of mathematical olympiads medals before college. I had the opportunity to start research and take graduate courses during my undergraduate studies, while receiving a scholarship.
2018–2021	Selected by the Talent Selection program from the Center for the Development of Mathematics and Sciences (CDMC) of FGV. BSc scholarship holder (tuition fee and monthly stipend). I was selected based on my performance in mathematical olympiads and in the entrance exam.
2017	1st place at FGV's entrance exam in Applied Mathematics (out of 24 candidates).
2017	Scholarship holder at CEFET-MG and the National Council for Scientific and Technological Development (CNPq) in the High School Research Fellowship.
2012–2017	Brazilian Public School Mathematics Olympiad (OBMEP). Gold (1 medal), silver (3) and bronze (1) medals and honorable mention (1).
2012-2017	Brazilian Astronomy and Astronautics Olympiad (OBA). Silver (2) and bronze (1) medals.
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## LANGUAGES AND SKILLS

**Languages:** Portuguese (native) and English (advanced). TOEFL iBT 112, 30 reading/listening, 26 speaking/writing.

ML Frameworks: PyTorch, scikit-learn, HuggingFace Transformers, TensorFlow, Keras.

**Programming Languages:** Python, C++, Julia, R, MATLAB/Scilab, LaTeX.

Technologies: Git, Pandas, NumPy, Linux.

#### Volunteering

2024	Volunteer at FAccT 2024, in Rio de Janeiro, Brazil.
2018-2019	Treasurer at the Academic Directory of Applied Mathematics at FGV.
2018	Support team member at the International Congress of Mathematicians 2018, in Brazil, hosted by the Brazilian Institute for Pure and Applied Mathematics (IMPA).

# EVENTS, SCHOOLS, & WORKSHOPS ATTENDED

2024	NAACL 2024 in Mexico City, Mexico. Presentation of a poster of Conference 1.
2024	LatinX in NLP at NAACL 2024 workshop in Mexico City, Mexico. Presentation of a poster of Conference 1.
2024	Tropical Probabilistic AI School 2024 at FGV. Presentation of a poster of Conference 1.
2023	Seminar for Postgraduate Students at the School of Applied Mathematics of FGV (SEPEMAp). Presentation of Conference 1.
2023	XLII Brazilian Congress of Applied and Computational Mathematics (CNMAC 2023) in Bonito, Brazil.
2023	Latin American Congress on Industrial and Applied Mathematics (LACIAM) 2023 at FGV.
2023	Summer School on Data Science at FGV.
2022	IEEE VIS: Visualization & Visual Analytics 2022 in Oklahoma City, USA. Presentation of Journal Publication 1.
2022	8th Workshop on Mathematical Solutions for Industrial Problems at the Research Center in Mathematics Applied to Industry (CeMEAI) at the University of São Paulo.
2018	International Congress of Mathematicians (ICM) 2018 in Rio de Janeiro, Brazil.
2016	High school research course (Mentores) in mathematics (plane analytical geometry) for medalists of OBMEP. Scholarship holder at CNPq.
2013–2015	High School Research Program (PIC-Jr) in mathematics for medalists of OBMEP. Scholarship holder at CNPq.