



# **Chapter 1. Basic Concepts**



# Fundamentals of Data Structure in C

## **Chapter 1. Basic Concepts**

Chapter 2. Arrays And Structures

Chapter 3. Stacks And Queues

Chapter 4. Linked Lists

Chapter 5. Trees (Midterm exam)

Chapter 6. Graphs

Chapter 7. Sorting

Chapter 8. Hashing (Final exam)



# Chapter 1. Basic Concepts

**1.1 Overview: System Life Cycle**

**1.2 Pointers and Dynamic  
Memory Allocation**

**1.3 Algorithm Specification**

**1.4 Data Abstraction**

1.5 Performance Analysis

1.6 Performance Measurement



# 1.1 OVERVIEW: System Life Cycle

## ❖ System Life Cycle for Mobile phone



Requirement specification  
Analysis  
Design  
Implementation  
Testing



# 1.1 OVERVIEW: System Life Cycle

## ❖ Software development process

- Requirement specification
  - A set of specifications that define the purpose of the project
  - Describe input and output
- Analysis
  - Top-down
  - Bottom-up



# 1.1 OVERVIEW: System Life Cycle

- Design
  - *Data objects* that the program needs
    - *Abstract data type*
  - *Operations* performed on the data objects
    - *algorithm specifications*
  - Language independent



# 1.1 OVERVIEW: System Life Cycle

- Refinement & Coding
  - Choose representations for the data objects
  - Write algorithms for each operation on them
  - The order is crucial
    - ➔ because a data object's representation can determine the efficiency of the algorithms related to it.
- Verification
  - Correctness proofs
  - Testing
  - Error removal



# 1.2 Pointers and Dynamic Memory Allocation

## ❖ Pointers

- `&` : the address operator
- `*` : the dereferencing (or indirection) operator

## ❖ usage

- `int i, *pi;`
- `pi = &i;`
- `i = 10; *pi = 10;`
- `if (pi == NULL) ... /* equals if (!pi) */`





# 1.2 Pointers and Dynamic Memory Allocation

```
int i, *pi;
float f, *pf;
pi = (int *) malloc(sizeof(int));
pf = (float *) malloc(sizeof(float));
*pi = 1024;
*pf = 3.14;
printf("an integer = %d, a float = %f\n", *pi, *pf);
free(pi);
free(pf);
```

**Program 1.1:** Allocation and deallocation of memory

memory

i	???	100
pi	300	104
f	???	108
pf	304	112
	....	300
	1024	304
	3.14	



## 1.2 Pointers and Dynamic Memory Allocation

### ❖ A more robust version for *malloc()*

```
if ((pi = (int *) malloc(sizeof(int))) == NULL ||
    (pf = (float *) malloc(sizeof(float))) == NULL)
{fprintf(stderr, "Insufficient memory");
 exit(EXIT_FAILURE);
}
```

or by the equivalent code

```
if (!(pi = malloc(sizeof(int))) ||
    !(pf = malloc(sizeof(float))))
{fprintf(stderr, "Insufficient memory");
 exit(EXIT_FAILURE);
}
```



# 1.2 Pointers and Dynamic Memory Allocation

## ❖ A convenient way of using *malloc()*

```
#define MALLOC(p,s) \
    if (!(p) = malloc(s)) {\
        fprintf(stderr, "Insufficient memory"); \
        exit(EXIT_FAILURE);\
    }
```

```
MALLOC(pi, sizeof(int));  
MALLOC(pf, sizeof(float));
```



# 1.3 Algorithm Specification

## ❖ Definitions of algorithm

- An *algorithm* is a finite set of instructions that, if followed, accomplishes a particular task.
- All algorithms must satisfy the following criteria:
  - a. input ( $\geq 0$ )
  - b. output ( $> 0$ )
  - c. definiteness
  - d. finiteness
  - e. effectiveness



# 1.3 Algorithm Specification

## ❖ **How to describe an algorithm?**

- Use a natural language like English
  - Use graphic representations called flowcharts
  - Pseudo Code
  - Program language
- 
- In this text,
    - Mostly in C
    - Occasionally in a combination of English and C



# Find Max in Array

- Use a natural language like English

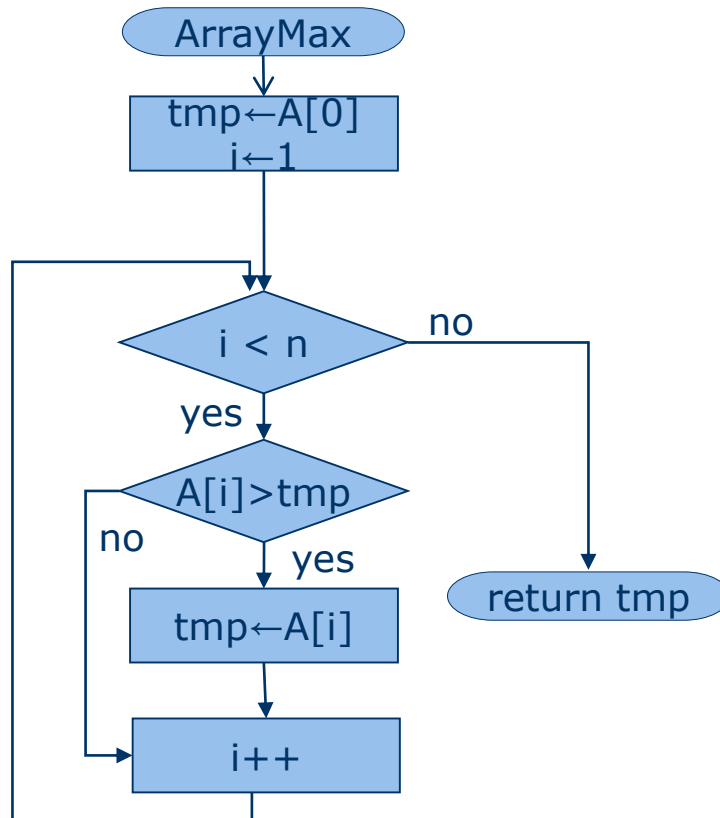
**ArrayMax (A, n)**

1. Copy the first element of array A to variable tmp
2. The following elements in array A are compared to tmp in turn, and if larger, copied to tmp
3. Returns tmp if all elements in array A are compared



# Find Max in Array

Use graphic representations called flowcharts



## Pseudo Code

```
ArrayMax(A,n)

tmp ← A[0];
for i←1 to n-1 do
    if tmp < A[i] then
        tmp ← A[i];
return tmp;
```



# Translating a Problem into an Algorithm

## ❖ **Description of a problem**

- Devise a program that sorts a set of  $n \geq 1$  integers.

## ❖ **A simple solution**

- From those integers that are currently unsorted, find the smallest.
- Place it next in the sorted list.





# Translating a Problem into an Algorithm

## ❖ First attempt at deriving a solution

- Assume that the integers are stored in an array, *list*

---

```
for (i = 0; i < n; i++) {  
    Examine list[i] to list[n-1] and suppose that the  
    smallest integer is at list[min];  
  
    Interchange list[i] and list[min];  
}
```

---

**Program 1.2:** Selection sort algorithm





# Translating a Problem into an Algorithm

## ❖ Interchanging *a* and *b*

- function
  - swap(&a, &b)

---

```
void swap(int *x, int *y)
{ /* both parameters are pointers to ints */
    int temp = *x; /* declares temp as an int and assigns
                     to it the contents of what x points to */
    *x = *y; /* stores what y points to into the location
              where x points */
    *y = temp; /* places the contents of temp in location
                pointed to by y */
}
```

---

**Program 1.3:** Swap function

- macro
  - #define SWAP(x, y, t) ((t)=(x), (x)=(y), (y)=(t))
  - SWAP(a, b, temp) // Macro works with any data type



```
#include <stdlib.h>
```

```
#include <stdio.h>
#include <math.h>
#define MAX_SIZE 101
#define SWAP(x,y,t) ((t) = (x), (x) = (y), (y) = (t))
void sort(int [],int); /*selection sort */
void main(void)
{
    int i,n;
    int list[MAX_SIZE];
    printf("Enter the number of numbers to generate: ");
    scanf("%d",&n);
    if( n < 1 || n > MAX_SIZE) {
        fprintf(stderr, "Improper value of n\n");
        exit(EXIT_FAILURE);
    }
    for( i = 0; i < n; i++) { /*randomly generate numbers*/
        list[i] = rand() % 1000;
        printf("%d ",list[i]);
    }
    sort(list,n);
    printf("\n Sorted array:\n ");
    for( i = 0; i < n; i++) /* print out sorted numbers */
        printf("%d ",list[i]);
    printf("\n");
}
void sort(int list[],int n)
{
    int i, j, min, temp;
    for( i = 0; i < n-1; i++) {
        min = i;
        for( j = i+1; j < n; j++)
            if (list[j] < list[min])
                min = j;
        SWAP(list[i],list[min],temp);
    }
}
```

**Program 1.4:** Selection sort



# Example 1.2 [ Binary search]

## ❖ Problem description

- Assume that we have  $n \geq 1$  distinct integer that are *already sorted* and stored in the array *list*. That is,  $list[0] \leq list[1] \leq \dots \leq list[n-1]$ .
- We must figure out if an integer *searchnum* is in this list. If it is, we should return an index,  $i$ , such that  $list[i] = searchnum$ . If it is not present, we should return -1.



# Binary search

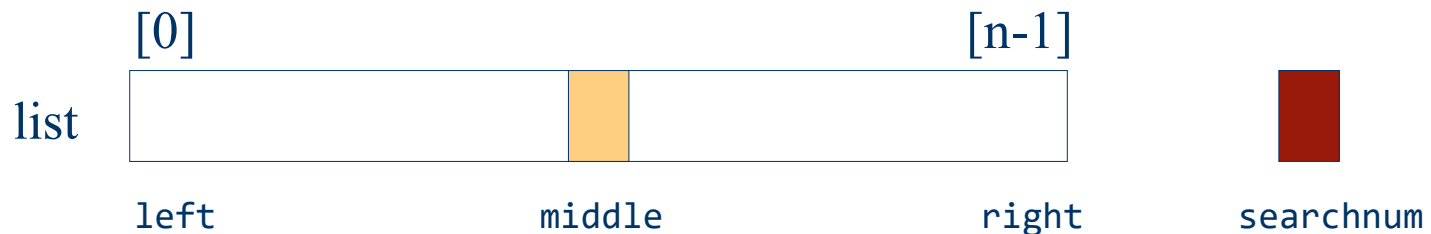
## ❖ A solution

- Compare  $list[middle]$  with  $searchnum$

(1)  $searchnum < list[middle]$  : set *right* to *middle*-1

(2)  $searchnum = list[middle]$  : return *middle*

(3)  $searchnum > list[middle]$  : set *left* to *middle*+1





# Binary search

---

```
while (there are more integers to check ) {  
    middle = (left + right) / 2;  
    if (searchnum < list[middle])  
        right = middle - 1;  
    else if (searchnum == list[middle])  
        return middle;  
    else left = middle + 1;  
}
```

---

**Program 1.5:** Searching a sorted list

Two subtasks :

- (1) determining if there are any integers left to check
- (2) comparing *searchnum* to *list[middle]*



# Binary search

## ❖ Comparison

### ■ function

---

```
int compare(int x, int y)
{
    /* compare x and y, return -1 for less than, 0 for equal,
       1 for greater */
    if (x < y) return -1;
    else if (x == y) return 0;
    else return 1;
}
```

---

**Program 1.6:** Comparison of two integers


### ■ macro

```
#define COMPARE(x,y) ((x) < (y)) ? -1: ((x) == (y)) ? 0: 1)
```



# Binary search

```
int binsearch(int list[], int searchnum, int left,
              int right)
{ /* search list[0] <= list[1] <= ... <= list[n-1] for
   searchnum. Return its position if found. Otherwise
   return -1 */
  int middle;
  if (left <= right) {
    middle = (left + right)/2;
    switch (COMPARE(list[middle], searchnum)) {
      case -1: return
        binsearch(list, searchnum, middle + 1, right);
      case 0 : return middle;
      case 1 : return
        binsearch(list, searchnum, left, middle - 1);
    }
  }
  return -1;
}
```



**Program 1.8:** Recursive implementation of binary search





## 1.4 Data Abstraction

- ❖ [Definition ] A *data type* is a collection of *objects* and a set of *operations* that act on those objects.
  - All *programming languages* provide at least a minimal set of *predefined data types*, plus the ability to construct new, or *user defined data type*.



# 1.4 Data Abstraction

## ❖ Ex) data type int in C

- Objects
  - $\{ 0, +1, -1, \dots, \text{INT\_MAX}, \text{INT\_MIN} \}$
  - Representation : 2 byte or 4 bytes of memory
- Operations
  - Arithmetic operators  $\{ +, -, *, /, \% \}$
  - Testing for equality/inequality  $\{ ==, >, >=, <, <= \}$
  - Operation that assigns an integer to a variable  $\{ = \}$



# 1.4 Data Abstraction

- ❖ **[Definition ] An *abstract data type* is a data type that is organized in such a way that**
  - the specification of the objects and the specification of the operations on the objects *is separated from* the representation of the object and the implementation of the operations.
- ❖ ***An abstract data type is implementation-independent.***



# 1.4 Data Abstraction

- ❖ **Categories of operations of an ADT**
  - Creator/constructor: These functions create a new instance of the designated type.
  - Transformers: These functions also create an instance of the designated type, generally by using one or more other instances.
  - Observers/reporters: These functions provide information about an instance of the type, but they do not change the instance.



# 1.4 Data Abstraction

## ❖ Categories of operations of integer ADT

- **Creator/constructor :**
  - `int i;`
- **Transformers :**
  - `4+5`
  - `10*20`
- **Observers/reporters**
  - `Sizeof(i)`



# 1.4 Data Abstraction

---

**ADT** *NaturalNumber* is

**objects:** an ordered subrange of the integers starting at zero and ending at the maximum integer (*INT-MAX*) on the computer

**functions:**

for all  $x, y \in \text{NaturalNumber}$ ;  $TRUE, FALSE \in \text{Boolean}$   
and where  $+$ ,  $-$ ,  $<$ , and  $==$  are the usual integer operations

<i>NaturalNumber</i> Zero()	::=	0
<i>Boolean</i> IsZero( $x$ )	::=	if ( $x$ ) return <i>FALSE</i> else return <i>TRUE</i>
<i>Boolean</i> Equal( $x, y$ )	::=	if ( $x == y$ ) return <i>TRUE</i> else return <i>FALSE</i>
<i>NaturalNumber</i> Successor( $x$ )	::=	if ( $x == \text{INT-MAX}$ ) return $x$ else return $x + 1$
<i>NaturalNumber</i> Add( $x, y$ )	::=	if ( $(x + y) \leq \text{INT-MAX}$ ) return $x + y$ else return <i>INT-MAX</i>
<i>NaturalNumber</i> Subtract( $x, y$ )	::=	if ( $x < y$ ) return 0 else return $x - y$

**end** *NaturalNumber*

---

**ADT 1.1:** Abstract data type *NaturalNumber*



## ❖ Nicklaus Wirth

- data structure = data type + storage structure
- program = data structure + algorithm



- ❖ **Overview: System Life Cycle**
- ❖ **Pointers and Dynamic Memory Allocation**
- ❖ **Algorithm Specification**
- ❖ **Data Abstraction**