

**10.1-5**

Whereas a stack allows insertion and deletion of elements at only one end, and a queue allows insertion at one end and deletion at the other end, a **deque** (double-ended queue) allows insertion and deletion at both ends. Write four  $O(1)$ -time procedures to insert elements into and delete elements from both ends of a deque implemented by an array.

**10.1-6**

Show how to implement a queue using two stacks. Analyze the running time of the queue operations.

**10.1-7**

Show how to implement a stack using two queues. Analyze the running time of the stack operations.

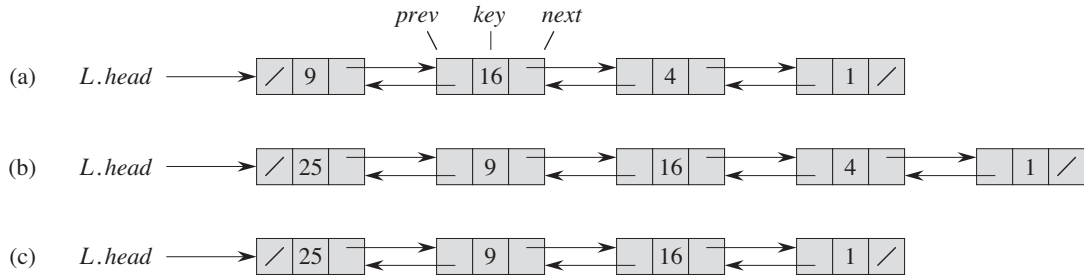
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**10.2 Linked lists**

A **linked list** is a data structure in which the objects are arranged in a linear order. Unlike an array, however, in which the linear order is determined by the array indices, the order in a linked list is determined by a pointer in each object. Linked lists provide a simple, flexible representation for dynamic sets, supporting (though not necessarily efficiently) all the operations listed on page 230.

As shown in Figure 10.3, each element of a **doubly linked list**  $L$  is an object with an attribute *key* and two other pointer attributes: *next* and *prev*. The object may also contain other satellite data. Given an element  $x$  in the list,  $x.next$  points to its successor in the linked list, and  $x.prev$  points to its predecessor. If  $x.prev = \text{NIL}$ , the element  $x$  has no predecessor and is therefore the first element, or **head**, of the list. If  $x.next = \text{NIL}$ , the element  $x$  has no successor and is therefore the last element, or **tail**, of the list. An attribute  $L.head$  points to the first element of the list. If  $L.head = \text{NIL}$ , the list is empty.

A list may have one of several forms. It may be either singly linked or doubly linked, it may be sorted or not, and it may be circular or not. If a list is **singly linked**, we omit the *prev* pointer in each element. If a list is **sorted**, the linear order of the list corresponds to the linear order of keys stored in elements of the list; the minimum element is then the head of the list, and the maximum element is the tail. If the list is **unsorted**, the elements can appear in any order. In a **circular list**, the *prev* pointer of the head of the list points to the tail, and the *next* pointer of the tail of the list points to the head. We can think of a circular list as a ring of



**Figure 10.3** (a) A doubly linked list  $L$  representing the dynamic set  $\{1, 4, 9, 16\}$ . Each element in the list is an object with attributes for the key and pointers (shown by arrows) to the next and previous objects. The *next* attribute of the tail and the *prev* attribute of the head are NIL, indicated by a diagonal slash. The attribute  $L.head$  points to the head. (b) Following the execution of  $LIST-INSERT(L, x)$ , where  $x.key = 25$ , the linked list has a new object with key 25 as the new head. This new object points to the old head with key 9. (c) The result of the subsequent call  $LIST-DELETE(L, x)$ , where  $x$  points to the object with key 4.

elements. In the remainder of this section, we assume that the lists with which we are working are unsorted and doubly linked.

### Searching a linked list

The procedure  $LIST-SEARCH(L, k)$  finds the first element with key  $k$  in list  $L$  by a simple linear search, returning a pointer to this element. If no object with key  $k$  appears in the list, then the procedure returns NIL. For the linked list in Figure 10.3(a), the call  $LIST-SEARCH(L, 4)$  returns a pointer to the third element, and the call  $LIST-SEARCH(L, 7)$  returns NIL.

$LIST-SEARCH(L, k)$

```

1   $x = L.head$ 
2  while  $x \neq NIL$  and  $x.key \neq k$ 
3       $x = x.next$ 
4  return  $x$ 
```

To search a list of  $n$  objects, the  $LIST-SEARCH$  procedure takes  $\Theta(n)$  time in the worst case, since it may have to search the entire list.

### Inserting into a linked list

Given an element  $x$  whose *key* attribute has already been set, the  $LIST-INSERT$  procedure “splices”  $x$  onto the front of the linked list, as shown in Figure 10.3(b).

```

LIST-INSERT( $L, x$ )
1   $x.next = L.head$ 
2  if  $L.head \neq \text{NIL}$ 
3       $L.head.prev = x$ 
4   $L.head = x$ 
5   $x.prev = \text{NIL}$ 

```

(Recall that our attribute notation can cascade, so that  $L.head.prev$  denotes the *prev* attribute of the object that  $L.head$  points to.) The running time for LIST-INSERT on a list of  $n$  elements is  $O(1)$ .

### Deleting from a linked list

The procedure LIST-DELETE removes an element  $x$  from a linked list  $L$ . It must be given a pointer to  $x$ , and it then “splices”  $x$  out of the list by updating pointers. If we wish to delete an element with a given key, we must first call LIST-SEARCH to retrieve a pointer to the element.

```

LIST-DELETE( $L, x$ )
1  if  $x.prev \neq \text{NIL}$ 
2       $x.prev.next = x.next$ 
3  else  $L.head = x.next$ 
4  if  $x.next \neq \text{NIL}$ 
5       $x.next.prev = x.prev$ 

```

Figure 10.3(c) shows how an element is deleted from a linked list. LIST-DELETE runs in  $O(1)$  time, but if we wish to delete an element with a given key,  $\Theta(n)$  time is required in the worst case because we must first call LIST-SEARCH to find the element.

### Sentinels

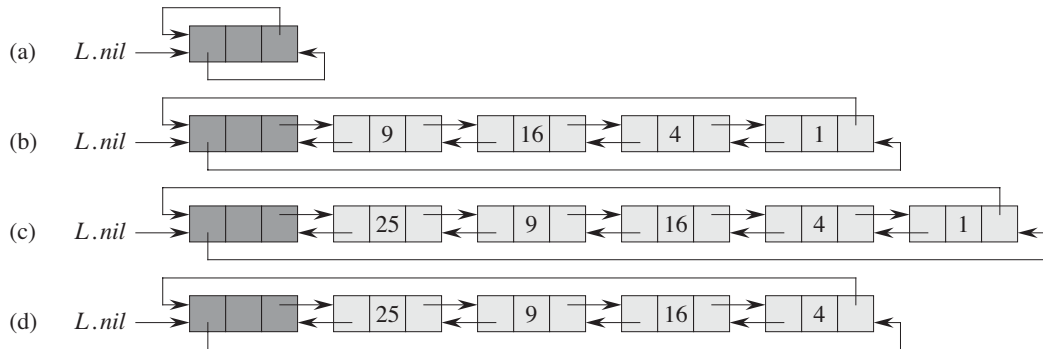
The code for LIST-DELETE would be simpler if we could ignore the boundary conditions at the head and tail of the list:

```

LIST-DELETE'( $L, x$ )
1   $x.prev.next = x.next$ 
2   $x.next.prev = x.prev$ 

```

A *sentinel* is a dummy object that allows us to simplify boundary conditions. For example, suppose that we provide with list  $L$  an object  $L.nil$  that represents NIL



**Figure 10.4** A circular, doubly linked list with a sentinel. The sentinel  $L.nil$  appears between the head and tail. The attribute  $L.head$  is no longer needed, since we can access the head of the list by  $L.nil.next$ . (a) An empty list. (b) The linked list from Figure 10.3(a), with key 9 at the head and key 1 at the tail. (c) The list after executing  $LIST-INSERT'(L, x)$ , where  $x.key = 25$ . The new object becomes the head of the list. (d) The list after deleting the object with key 1. The new tail is the object with key 4.

but has all the attributes of the other objects in the list. Wherever we have a reference to NIL in list code, we replace it by a reference to the sentinel  $L.nil$ . As shown in Figure 10.4, this change turns a regular doubly linked list into a **circular, doubly linked list with a sentinel**, in which the sentinel  $L.nil$  lies between the head and tail. The attribute  $L.nil.next$  points to the head of the list, and  $L.nil.prev$  points to the tail. Similarly, both the  $next$  attribute of the tail and the  $prev$  attribute of the head point to  $L.nil$ . Since  $L.nil.next$  points to the head, we can eliminate the attribute  $L.head$  altogether, replacing references to it by references to  $L.nil.next$ . Figure 10.4(a) shows that an empty list consists of just the sentinel, and both  $L.nil.next$  and  $L.nil.prev$  point to  $L.nil$ .

The code for  $LIST-SEARCH$  remains the same as before, but with the references to NIL and  $L.head$  changed as specified above:

```
LIST-SEARCH'(L, k)
1   $x = L.nil.next$ 
2  while  $x \neq L.nil$  and  $x.key \neq k$ 
3       $x = x.next$ 
4  return  $x$ 
```

We use the two-line procedure  $LIST-DELETE'$  from before to delete an element from the list. The following procedure inserts an element into the list:

LIST-INSERT'( $L, x$ )

```
1   $x.next = L.nil.next$   
2   $L.nil.next.prev = x$   
3   $L.nil.next = x$   
4   $x.prev = L.nil$ 
```

Figure 10.4 shows the effects of LIST-INSERT' and LIST-DELETE' on a sample list.

Sentinels rarely reduce the asymptotic time bounds of data structure operations, but they can reduce constant factors. The gain from using sentinels within loops is usually a matter of clarity of code rather than speed; the linked list code, for example, becomes simpler when we use sentinels, but we save only  $O(1)$  time in the LIST-INSERT' and LIST-DELETE' procedures. In other situations, however, the use of sentinels helps to tighten the code in a loop, thus reducing the coefficient of, say,  $n$  or  $n^2$  in the running time.

We should use sentinels judiciously. When there are many small lists, the extra storage used by their sentinels can represent significant wasted memory. In this book, we use sentinels only when they truly simplify the code.

## Exercises

### 10.2-1

Can you implement the dynamic-set operation INSERT on a singly linked list in  $O(1)$  time? How about DELETE?

### 10.2-2

Implement a stack using a singly linked list  $L$ . The operations PUSH and POP should still take  $O(1)$  time.

### 10.2-3

Implement a queue by a singly linked list  $L$ . The operations ENQUEUE and DEQUEUE should still take  $O(1)$  time.

### 10.2-4

As written, each loop iteration in the LIST-SEARCH' procedure requires two tests: one for  $x \neq L.nil$  and one for  $x.key \neq k$ . Show how to eliminate the test for  $x \neq L.nil$  in each iteration.

### 10.2-5

Implement the dictionary operations INSERT, DELETE, and SEARCH using singly linked, circular lists. What are the running times of your procedures?

**10.2-6**

The dynamic-set operation UNION takes two disjoint sets  $S_1$  and  $S_2$  as input, and it returns a set  $S = S_1 \cup S_2$  consisting of all the elements of  $S_1$  and  $S_2$ . The sets  $S_1$  and  $S_2$  are usually destroyed by the operation. Show how to support UNION in  $O(1)$  time using a suitable list data structure.

**10.2-7**

Give a  $\Theta(n)$ -time nonrecursive procedure that reverses a singly linked list of  $n$  elements. The procedure should use no more than constant storage beyond that needed for the list itself.

**10.2-8 ★**

Explain how to implement doubly linked lists using only one pointer value  $x.np$  per item instead of the usual two ( $next$  and  $prev$ ). Assume that all pointer values can be interpreted as  $k$ -bit integers, and define  $x.np$  to be  $x.np = x.next \text{ XOR } x.prev$ , the  $k$ -bit “exclusive-or” of  $x.next$  and  $x.prev$ . (The value NIL is represented by 0.) Be sure to describe what information you need to access the head of the list. Show how to implement the SEARCH, INSERT, and DELETE operations on such a list. Also show how to reverse such a list in  $O(1)$  time.

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## 10.3 Implementing pointers and objects

How do we implement pointers and objects in languages that do not provide them? In this section, we shall see two ways of implementing linked data structures without an explicit pointer data type. We shall synthesize objects and pointers from arrays and array indices.

**A multiple-array representation of objects**

We can represent a collection of objects that have the same attributes by using an array for each attribute. As an example, Figure 10.5 shows how we can implement the linked list of Figure 10.3(a) with three arrays. The array *key* holds the values of the keys currently in the dynamic set, and the pointers reside in the arrays *next* and *prev*. For a given array index  $x$ , the array entries  $key[x]$ ,  $next[x]$ , and  $prev[x]$  represent an object in the linked list. Under this interpretation, a pointer  $x$  is simply a common index into the *key*, *next*, and *prev* arrays.

In Figure 10.3(a), the object with key 4 follows the object with key 16 in the linked list. In Figure 10.5, key 4 appears in  $key[2]$ , and key 16 appears in  $key[5]$ , and so  $next[5] = 2$  and  $prev[2] = 5$ . Although the constant NIL appears in the *next*