

Algorithms and Data Structures

- Lesson 3 -

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Overview

...of things you should definitely know about if you want a very good grade

- Hashing
- String Searching
 - Naive approach
 - Knuth-Morris-Pratt
 - Boyer-Moore
 - Karp-Rabin
- Algorithms on Graphs
- Divide and Conquer
- ...

Hashing

Example: Imagine an array of Circle Objects.

```
class Circle{
```

```
    int positionX;
```

```
    int positionY;
```

```
    int radius
```

```
}
```

```
...
```

```
Circles[0].radius = 3;
```

```
Circles[1].radius = 4;
```

```
Circles[2].radius = 1;
```

```
...
```

```
Circles[46].radius = 8;
```

```
...
```

```
Circles[99].radius = 5;
```

```
Circles[100].radius = 10;
```

```
...
```

- Access through indices 1 to 100
- How do I find the Circle with radius 8? (e.g. to get its position)

Hashing

- Sort + Binary search: $O(n \log n) + O(\log n)$
- Iterating through the Array: $O(n)$
- Hashing: $O(1)$ Time
 $O(n)$ Space

Hashing

- We need a Function H like: $H(\text{value}) = \text{Index}$
Its the **Hash Funktion**. For example:

$$H(8) = 20$$

- Now we look at our **Hash Table**, where the Data is stored:

Hash Value	Data
0	empty
1	empty
2	Circle[28]
...	...
20	Circle[46]
...	...

Hash Function

- Simplest example: Digit sum („Quersumme“)

$$H(34) = 3 + 4 = 7$$

- Two Observations:
 - There is no H^{-1} cause how do we get 34 from 7 again?
 - $H(34) = H(16) = H(241) = \dots$
 - So called „collision“
- Limited table size: use modulo operation.

Hashing: Collision

- Collision: $H(a) = H(b)$; $a \neq b$
- Solutions:
 - Open Addressing: put a at $H(a)$ and b at $H(b)+1$ if this cell is empty. (If not, look further)
 - Bucketing: Each cell can hold more than one Element so Collisions are no problem.
 - Double Hashing: Take a different Hash Function H' and store b at $H'(H(b))$
- Worst case of Hashing: every Object collides $\rightarrow O(n)$

String Searching

- Simplest idea is least efficient again:

Input: Text, Pattern

```
for i = 0 ... |Text| - |Pattern|:  
  for j = 0 ... |Pattern|  
    if Pattern[j] != Text[i+j]  
      break
```

Output: "Found Match at " i

01011011000101001101101
00110
Yes Yes Yes No

- Convention: $|Text| = n$, $|Pattern| = m$
- i times we check for j letters in Pattern:
→ $O(n * m)$ in worst case.
- Let's talk about faster ones now!

Knuth – Morris – Pratt

- First Step: Preprocessing on the Pattern:

Check for each symbol, if it is (part of) a Prefix

j	0 1 2 3 4 5
symbol	a b c a a b
f(j)	0 0 0 1 1 2

- First letter is always 0
- b & c are no prefixes
- a is, so it gets 1
- ab is too, so a gets 1 and b gets 2 as it's the second letter in the prefix.

Knuth – Morris – Pratt

- Second Step: Compare Pattern with String, if there is a mismatch at j , look at the Table at Position $j - 1$.

j	0 1 2 3 4 5
symbol	a b c a a b
$f(j)$	0 0 0 1 1 2
- Take symbol at $f(j - 1)$ and align it with the place you just checked
- You never compare a letter in the Text more than twice!

Knuth – Morris – Pratt

aabcacbbabcaabcbabcbacba

✓✗

abcaab



j	0	1	2	3	4	5
symbol	a	b	c	a	a	b
f(j)	0	0	0	1	1	2

- Mismatch at
Pos. $j = 1$, so look for
 $f(j - 1) = f(0) = 0$
and align this
Position the Letter
you just checked.

Knuth – Morris – Pratt

aabcacbbabcaabcbabcbacba

abcaab →

↑

j	0	1	2	3	4	5
symbol	a	b	c	a	a	b
f(j)	0	0	0	1	1	2

- Compare again at $j = 0$ and continue to the right until you find a Mismatch

Knuth – Morris – Pratt

aabcacbbabcaabcbabcbacba

✓✓✓✓✗
abcaab



j	0	1	2	3	4	5
symbol	a	b	c	a	a	b
f(j)	0	0	0	1	1	2

- Mismatch at $j = 4$.
 $f(3) = 1$, so move
the letter at $j = 1$
which is 'b' above
the cursor.

Knuth – Morris – Pratt

aabcacbbabcaabcbabcbacba

✓✗
abcaab
↑

j	0	1	2	3	4	5
symbol	a	b	c	a	a	b
f(j)	0	0	0	1	1	2

- Because of magic of our prefix – table, we do not have to move the cursor left to know that the letters at the left hand side match.

Knuth – Morris – Pratt

aabcacbbabcaabcbabcbacba

x

abcaab

↑

j	0 1 2 3 4 5
symbol	a b c a a b
f(j)	0 0 0 1 1 2

- Mismatch at first letter, move 1 to the right...

Knuth – Morris – Pratt

aabcacbbabcaabcbacba

nope.


abcaab



aabcacbbabcaabcbacba

nope...


abcaab



aabcacbbabcaabcbacba

got it!


abcaab

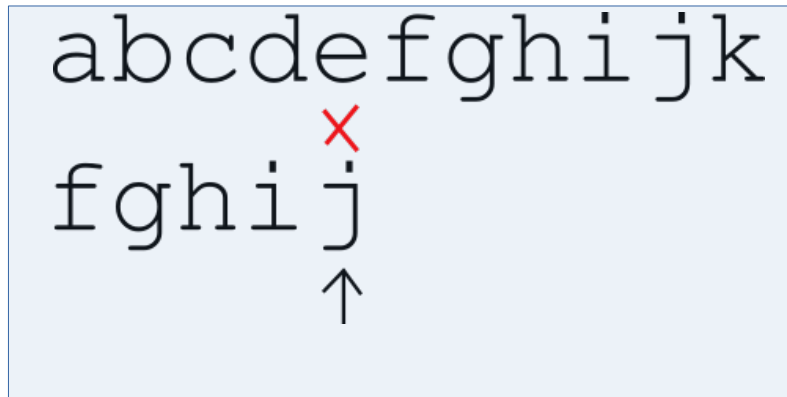


KMP - Complexity

- Table: Check every letter in pattern: $O(m)$
- Searching: Each Index not more than twice
→ $2n$ steps → $O(n)$
→ $O(n + m)$
- Easy
- Works best with repeating patterns, as you can shift a lot to the right then.

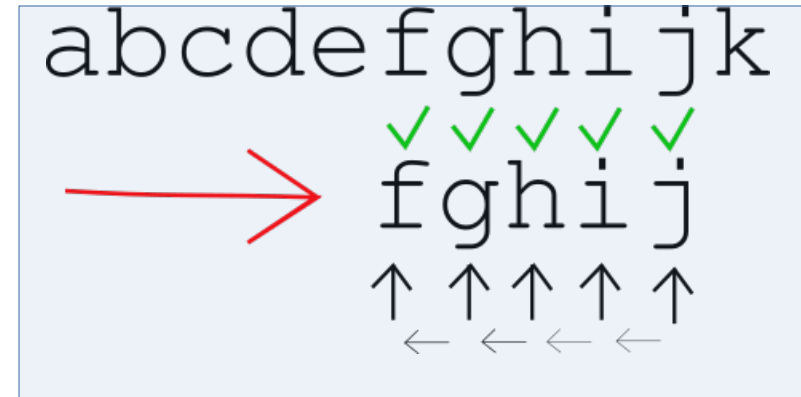
Boyer - Moore

- Align pattern with text as always, BUT
- Check Pattern from right to left!
→ In case of mismatch you can shift the pattern quite a lot.



abcdefghijk
fghij
↑

nope.



abcdefghijk
→ fghij
↑ ↑ ↑ ↑
← ← ← ←

yo! :)

- Only Question: How far shall we shift?

Boyer – Moore: Strategy 1

- „Bad – Character – Rule“

If mismatch happens: Check if mismatched Letter in Text is existing again in Pattern
→ align the first one left from the mismatch:

abdcabdcbacabdccdc

 X✓✓
cbaca

 ⋮
→ cbaca

- Mismatch at „d“:
No „d“ in pattern:
→ shift whole thing
beyond mismatch.

Boyer – Moore: Strategy 1

- „Bad – Character – Rule“

If mismatch happens: Check if mismatched Letter in Text is existing again in Pattern
→ align the first one left from the mismatch:

abdcabdcbacabdcddcd

X

cbaca

→ cbaca

- Mismatch at „c“
→ align with „c“

Boyer – Moore: Strategy 1

- „Bad – Character – Rule“

If mismatch happens: Check if mismatched Letter in Text is existing again in Pattern
→ align the first one left from the mismatch:

abdcabdc**b**acabdccdc

cbaca

→ cbaca

- Mismatch at „b“
→ align with „b“

Boyer – Moore: Strategy 1

- „Bad – Character – Rule“

If mismatch happens: Check if mismatched Letter in Text is existing again in Pattern
→ align the first one left from the mismatch:

abdcabdcbacabdcddcd

✓✓✓✓✓

cbaca

- Got it!

Boyer – Moore: Strategy 2

- „Good – Suffix – Rule“ (Suffix: Ending of a Word)

If mismatch happens: Check if a suffix of matched letters is substring of pattern.

If it is: align it!

abdcabacbadbadbacd

x ✓ ✓

adbadba

→ adbadba

- „ba“ matches.
„ba“ is also part
of the Pattern
→ align!

Boyer – Moore: Strategy 2

- „Good – Suffix – Rule“ (Suffix: Ending of a Word)

If mismatch happens: Check if a suffix of matched letters is substring of pattern.

If it is: align it!

abdcabacbadbadbacd

✗ ✓ ✓

adbadba

- Same thing again

→ adbadba

Boyer – Moore: Strategy 2

- „Good – Suffix – Rule“ (Suffix: Ending of a Word)

If mismatch happens: Check if a suffix of matched letters is substring of pattern.

If it is: align it!

abdcabacbadbadbacd

x ✓ ✓ ✓ ✓ ✓

adbadba

- „badba“ isn't again in Pattern though, but it's suffix „adba“ is.

→ adbadba

Boyer – Moore: Strategy 2

- „Good – Suffix – Rule“ (Suffix: Ending of a Word)

If mismatch happens: Check if a suffix of matched letters is substring of pattern.

If it is: align it!

abdcabacbadbadbacd

✓✓✓✓✓✓✓

adbadb

- There it is!

Boyer – Moore

- Depending on strategy, you shift more or less.
In each step: Choose strategy which shifts more to the right!
- Works best in big alphabets;
Imagine this Algorithm in Binary String
→ barely shifts more than 2 positions.
- One more good example:
<https://youtu.be/4Xyhb72LCX4> - ADS1: Boyer-Moore basics
<https://youtu.be/Wj606N0IAsw> - ADS1: Boyer-Moore: putting it all together

BM - Complexity

- Figuring out suffixes or reappearing letters works best with preprocessing as in KMP.
→ $O(m)$
- In worst case the leftmost character in Pattern mismatches and we have to check every letter in Text: → $O(n)$
- Worst case: $O(n+m)$
- Average & best: we skip m Letters each step in a Text of length n :
→ $O(n/m)$

Karp – Rabin (Assignment)

- Idea:

Pattern: c b a

A Hash function: H

$H(\text{Pattern}) = H(c\ b\ a) = 13$

Text: a b c c b a a b c

$H(a\ b\ c) = 8$

$H(b\ c\ c) = 2$

$H(c\ c\ b) = 19$

$H(c\ b\ a) = 13$

$H(b\ a\ a) = 21$

$H(a\ a\ b) = 5$

$H(a\ b\ c) = 8$

- Hash the Pattern
- Hash every substring of the text which has the length of the pattern
- Compare Hashvalues here: Search for the 13
- If match: check for every letter

Karp – Rabin: Hashfunction

- First: represent symbols as Integers. (\rightarrow ascii)
- Text: $(a[0], a[1], \dots, a[n-1])$
- Pattern: $(b[0], b[1], \dots, b[m-1])$
- d : number of different symbols we use (Base)
- p : quite big prime number
- Let $k[i] = (a[i] * d^{m-1}) + (a[i+1] * d^{m-2}) + \dots$
 $\dots + (a[i+m-2] * d^1) + (a[i+m-1])$
 \rightarrow Maps a Substring on a number k

Karp – Rabin: Hashfunction

- Second: do $H(k[i]) = k[i] \bmod p$
→ Until here we needed about m steps
- To calculate next Hash however, just:
$$H(k[i+1]) = ((k[i] - a[i] \cdot d^{m-1}) \cdot d + a[i+m]) \bmod p$$

→ Constant time for every Substring :)
- Don't panic: only looks cryptic

Karp – Rabin: Hashfunction

- **Example:**

102321312 → Base is 4. ({0,1,2,3} => 4 digits)

- Calculate k for Substring „213“:

$$k = 2 * 4^2 + 1 * 4^1 + 3 * 4^0$$

$$k = 32 + 4 + 3$$

$$\underline{k = 39}$$

- Let $p = 37$ (Usually bigger, just for example)

- So $H(k) = 39 \bmod 37 = 2$

Karp – Rabin: Hashfunction

- **Example:**

102321312 → Base is 4. ({0,1,2,3} => 4 digits)

- Calculate k for Substring „131“:

- $k[i+1] = (39 - 2 \cdot 4^2) \cdot 4 + 1$

$$k[i] \quad a[i] \quad d^{m-1} \quad d \quad a[i+m]$$

- $k[i+1] = 29$

- $H(149) = 29 \bmod 37 = 29$

Karp – Rabin: Hashfunction

- If you like to try yourself:

$$\underline{1023}21312 \rightarrow 18$$

$$10\underline{232}1312 \rightarrow 11$$

$$102\underline{32}1312 \rightarrow 9$$

$$1023\underline{21}312 \rightarrow 20$$

$$1023\underline{21}312 \rightarrow 2$$

$$10232\underline{13}12 \rightarrow 29$$

$$102321\underline{312} \rightarrow 17$$

- Let's search for 322:
 $k = 58$
 $H(k) = 21$
21 is not in the List.
- $O(n)$ Runtime for excluding

Karp – Rabin: Hashfunction

- If you like to try yourself:

$$\underline{1023}21312 \rightarrow 18$$

$$10\underline{232}1312 \rightarrow 11$$

$$102\underline{32}1312 \rightarrow 9$$

$$1023\underline{21}312 \rightarrow 20$$

$$10232\underline{13}12 \rightarrow 2$$

$$102321\underline{31}2 \rightarrow 29$$

$$1023213\underline{12} \rightarrow 17$$

- Let's search for 232:
 $k = 46$
 $H(k) = 9$
9 is in the List!
- Compare:
232 matches 232
- $O(n + m)$ Runtime for finding

KR: Complexity

- Hashing substrings: $O(n)$
- Hashing Pattern: $O(m)$
- Searching: $O(n)$
 - Average/ Best Case: $O(n+m)$
- Very unlikely: Most hashes cause collision:
 - Worst Case: $O(m*n)$
(Gets even less likely if you choose a bigger prime number p)

In Case of Exam

- Hashing
 - How does it work?
 - What is it for?
 - Problems: Collision (What's this?)
 - Open Addressing, Bucketing, Double Hashing
- String Searching:
For each of the 4 algorithms shown:
 - Explain in detail
 - Discuss complexity

Assignment

- Don't forget the conditions! (next Slide)
- Karp – Rabin might be not the most efficient string search algorithm, but it gives a good example about hashing and string searching!

Write a program, which takes a text and a pattern as string, uses Karp – Rabin to figure out if, where and how often the pattern exists in the text.

Good luck!

Assignment Conditions

- Code comes from nowhere else than your brain!
- .java / .c / .cpp → NO .docx .pdf etc!!
- Good comments make the difference between „alright“ and „very good“!
- Put Matriculation number as comment above
- Deadline: 12 June 2018, 23:59
- Mail: michael.schwarzkopf@uni-weimar.de