

# ALGORITHM DESIGN

## Algorithm types:

- |                            |                           |
|----------------------------|---------------------------|
| • Brute force ✓            | • Dynamic programming     |
| • Greedy algorithms ✓      | • Coin change X           |
| • Scheduling ✎             | • Fibonacci ✓             |
| • Bin packing ✓            | • Randomized algorithms ~ |
| • Divide & Conquer ~       | • Backtracking            |
| • Merge Sort ~             | • Maze solving ~          |
| • Closest points problem ~ | • Permutations X          |

## • BRUTE FORCE

Straightforward of solving a problem that rely on sheer computer power and trying every possibility rather than advanced techniques to improve efficiency  
↳ Almost always quadratic (often exponential)

## • GREEDY ALGORITHM

- Always choose the locally best solution ↗ called greedy cause you do what is best at the moment
- Often a bad idea, but does work sometimes
- Some of the most used graph algorithms are greedy algorithms

## \* Scheduling

Job	Time
$j_1$	15
$j_2$	8
$j_3$	3
$j_4$	10

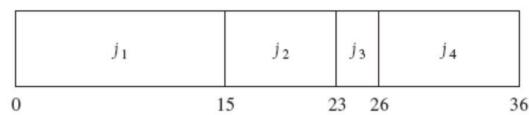
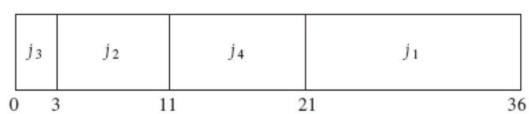


Figure 10.2 Schedule #1

First Come First Serve (FCFS)

Average time 25



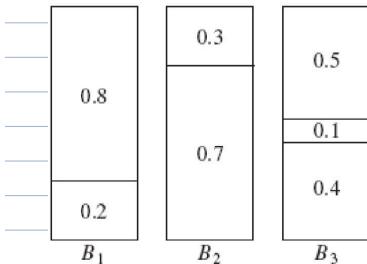
Average time 17,75

Figure 10.3 Schedule #2 (optimal)

## \*BIN PACKING

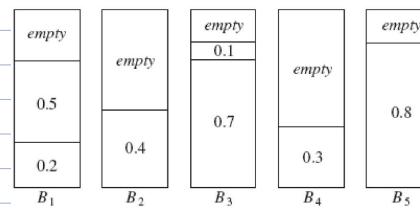
Given  $n$  items with  $B_1, B_2, \dots$  such that  $0 \leq B_i \leq 1$  for  $0 \leq i \leq n$ , pack them into the fewest number of unit capacity bins

- On/Off line

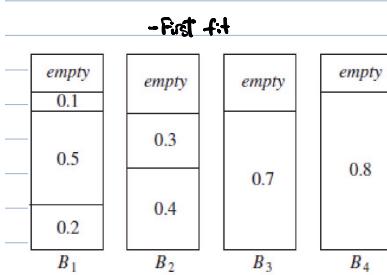


- Next fit

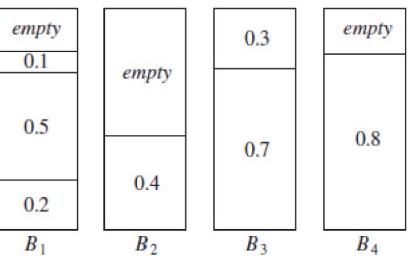
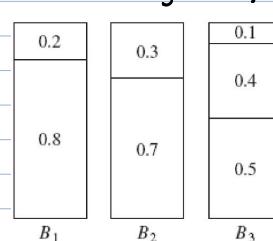
If current item fits in current bin, place it there, otherwise start new bin



- Best fit



- First fit decreasing (off-line)



Scans the bins in order and place the new item in the first bin that is large enough to hold it. A new bin is created only when an item does not fit in the previous bins.

We know the sizes of all items in advance, a natural solution is to first sort items by size, from largest to smallest, and then apply:

-First Fit Decreasing  
-Best Fit Decreasing

New items placed in a bin where it fits the tightest. If it does not fit in any bin, then start a new bin.

Can be implemented in  $O(n \log n)$  time, by using a balanced binary tree storing bins ordered by remaining capacity

## \*DIVIDE & CONQUER

• Related to recursion

- The idea is to split the problem in smaller problems, that (recursively) might be solved with the same algorithm as the main problem.
- Examples are MergeSort, QuickSort, Towers of Hanoi.
- It can utilize a parallel platform (multiprocessor, multi core systems).

## \*MergeSort

Time Complexity: Not a trivial task (due to recursion)

For each recursive step:  $t(n) = 2t(n/2) + O(n)$   $\rightarrow$  generalized to:  $t(n) = a \cdot t(n/b) + f(n)$

• The Master Theorem:

$$\begin{aligned} * \text{ if } f(n) = O(1) \\ t(n) = at(n/b) + f(n) \end{aligned}$$

REVIEW THIS!!

$$\begin{aligned} * \text{ If } f(n) = O(n) \\ t(n) = at(n/b) + f(n) \end{aligned}$$

• The master theorem recognizes 2 cases:

1. If  $a=1$  and  $b>1 \rightarrow t(n) = O(\log n)$
2. If  $a>1$  and  $b>1 \rightarrow t(n) = O(n^{\log_b a})$

• The master theorem recognizes 3 cases:

1. If  $a>b \rightarrow t(n) = O(n^{\log_b a})$
2. If  $a=b \rightarrow t(n) = O(n \log n)$
3. If  $a<b \rightarrow t(n) = O(n)$

## Application of divide & conquer

### Closest-Points problems

**REVIEW THIS !!**

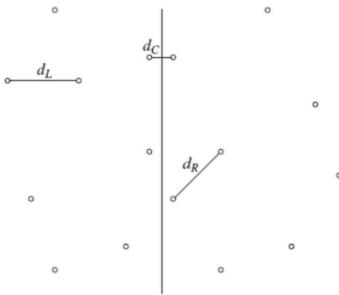


Figure 10.30  $P$  partitioned into  $P_L$  and  $P_R$ ; shortest distances are shown

- We can draw an imaginary vertical line that partitions the point set into two halves,  $P_L$  and  $P_R$ .

- Either the closest points are both in  $P_L$ , or both in  $P_R$ , or one in  $P_L$  and other in  $P_R$  (thus distances are  $d_L$ ,  $d_R$  and  $d_C$ ).

- We can compute  $d_L$  and  $d_R$  recursively. The problem then is to compute  $d_C$ .

- Since we would like an  $O(N \log N)$ , we must be able to compute  $d_C$  with only  $O(n)$

Two half-sized recursive calls and  $O(n)$  additional work  $\rightarrow O(N \log N)$

- $\delta = \min(d_L, d_R) \rightarrow$  We only need to compute  $d_C$  if  $d_C$  improves on  $\delta$ .

If  $d_C$  is such a distance, then the 2 points that define  $d_C$  must be within  $\delta$  of the dividing line (we'll define this area as strip)  
This observation limits the number of points that need to be considered. (in our case  $\delta = d_L$ )

- Two strategies to compute  $d_C$ :

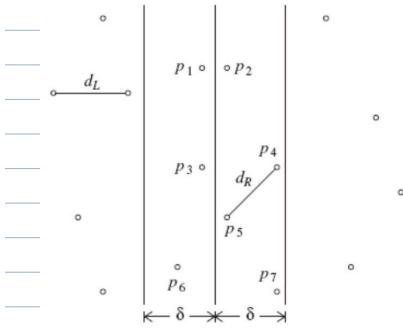


Figure 10.31 Two-lane strip, containing all points considered for  $d_C$  strip

- For large point sets that are uniformly distributed, the number of points that are expected to be in the strip is very small. Indeed, only  $O(\sqrt{n})$  points are in the strip on average.

//Points are all in the strip

```
for (i=0; i < numPointsInStrip; i++)
    for (j=i+1; j < numPointsInStrip; j++)
        if (dist(p_i, p_j) < δ)
            δ = dist(p_i, p_j)
```

→ Brute-force calculation of  $\min(\delta, d_C)$

In the worst case, all the points could be in the strip, so this strategy does not always work in linear time.

We can improve this algorithm with the following observation:

The y coordinates of the two points that define  $d_C$  can differ by at most  $\delta$ .

Otherwise,  $d_C > \delta$ . Suppose that the points in the strip are sorted by

their y coordinates. Therefore, if  $p_i$  and  $p_j$ 's y coordinates differ by more than  $\delta$ , then we can proceed to  $p_i + 1$ .

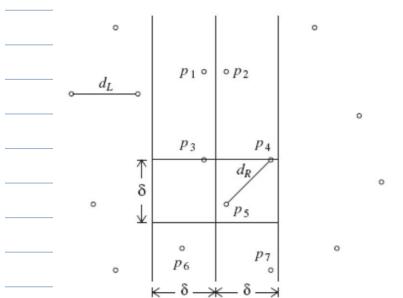


Figure 10.34 Only  $p_4$  and  $p_5$  are considered in the second for loop

→ //Points are all in the strip and sorted by y-coordinate

```
for (i=0; i < numPointsInStrip; i++)
    for (j=i+1; j < numPointsInStrip; j++)
        if ( $p_i$  and  $p_j$ 's y-coordinate differ by more than  $\delta$ )
            break; //Go to next  $p_i$ 
        else
            if (dist( $p_i$ ,  $p_j$ ) < δ)
                δ = dist( $p_i$ ,  $p_j$ );
```

→ Shows, for instance, that for point  $p_3$ , only the two points  $p_4$  and  $p_5$  lie in the strip within  $\delta$  vertical distance

(→ This is because these points must lie either in the  $\delta$  by  $\delta$  square in the left half of the strip.

On the other hand, all the points in each  $\delta$  by  $\delta$  square are separated by at least  $\delta$ .

In the worst case, each square contains four points, one at each corner. One of these points is  $p_3$ , leaving at most seven points to be considered.

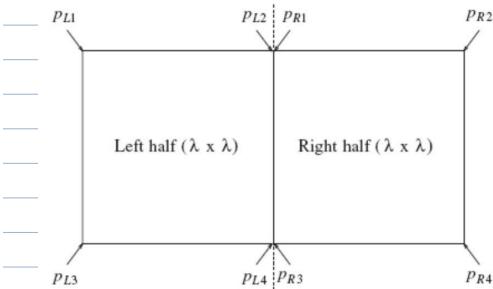


Figure 10.35 At most eight points fit in the rectangle; there are two coordinates shared by two points each

• Notice that even though  $P_{L2}$  and  $P_{R1}$  have the same coordinates, they could be different points. It is only important that the number of points in the  $\lambda$  by  $\approx\lambda$  rectangle be  $O(1)$

• Because at most seven points are considered for each  $P_i$ , the time to compute a dc that is better than  $\delta$  is  $O(N)$ . Thus, we appear to have an  $O(N \log N)$  solution, but not yet.

- The problem is that we have assumed that a list of points sorted by y-coordinate is available. If we perform this sort for each recursive call, then we have  $O(N \log N)$  extra work: this gives an  $O(N \log^2 N)$ . However it's not hard to reduce the work for each recursive call to  $O(N)$ , ensuring an  $O(N \log N)$  algorithm.

• We will maintain two lists. One is the point list sorted by x-coordinate, and the other is the point list sorted by y-coordinate. These can be obtained by a preprocessing sorting step at cost  $O(N \log N)$  and thus does not affect the time bound.  $P_L$  and  $Q_L$  are the lists passed to the left-half recursive call, and  $P_R$  and  $Q_R$  are the lists passed to the right-half recursive call. We have already seen that  $P$  is easily split in the middle. Once the dividing line is known, we step through  $Q$  sequentially, placing each element in  $Q_L$  or  $Q_R$  as appropriate. It is easy to see that  $Q_L$  and  $Q_R$  will be automatically sorted by y-coordinate. When the recursive calls return, we scan through the  $Q$  list and discard all the points whose x-coordinates are not within the strip. Then  $Q$  contains only points in the strip, and these points are guaranteed to be sorted by their y-coordinates.

### RANDOMIZED ALGORITHMS (AKA MONTE CARLO ALGORITHMS) REVIEW THIS!!

- A choice in a Monte Carlo algorithm is made randomly
- Can be used in primality testing
- They are surprisingly good for solving some optimization problems
- Randomness be used to counter "anti solver algorithm" eg. in sudoku solvers.
- Quick Sort has elements of randomness **which ones?**

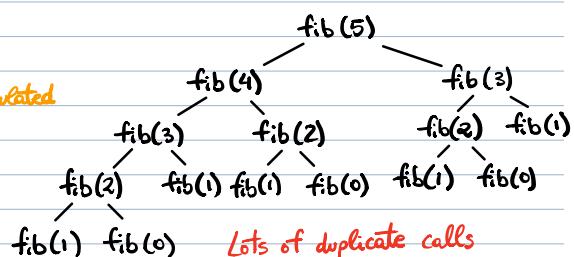
### DYNAMIC PROGRAMMING ALGORITHMS

- "Never do the same calculation twice"
- In dynamic programming, the result is stored in a table every time a calculation is done / a subproblem is solved.
- If the same calculation/problem solving is later needed it is just a matter of table lookup.
- It can be used to repair the recursive version of the Fibonacci problem.

### \*Fibonacci and dynamic programming

- Dynamic programming is useful when sub-problems share sub-sub-problems:
  - $\rightarrow \text{Fib}(8)$  has the sub-problems  $\text{Fib}(7)$  and  $\text{Fib}(6)$
  - $\rightarrow \text{Fib}(7)$  and  $\text{Fib}(6)$  both has the sub-sub-problem  $\text{Fib}(4)$
- $\Rightarrow$  Dynamic programming solves each sub-problem once, and stores the answer somehow for later re-use.

```
/** Recursive method to calculate Fibonacci numbers
pre: n >= 1
@param n The position of the Fibonacci number being calculated
@return The Fibonacci number
public static int fibonacci(int n) {
    if (n <= 2)
        return 1;
    else
        return fibonacci(n-1) + fibonacci(n-2);
}
```



What if we stored/reused values?

```
public static HashMap<Integer, Long> map;
public static long fib(int a) {
    if (map.get(a) != null)
        return map.get(a);
    if (a == 0) return 0L;
    if (a == 1) return 1L;

    long res = fib(a-1) + fib(a-2);
    map.put(a, res);
    return res;
}
```

a	Fib(a)
0	0
1	1
2	1
3	2
4	3
5	5
6	8
7	13
8	21
9	34

- Without dynamic programming:  
 $\text{fib}(8) \rightarrow 67 \text{ calls}$

- With dynamic programming:  
 $\text{fib}(8) \rightarrow \underline{\underline{15 \text{ calls}}}$

## • BACKTRACKING ALGORITHMS

- Used to remember earlier states of the problem solving, so it is possible to go back and restart the problem solving from this point
- In every step a choice has to be made before doing the next step
- A solving attempt ends as soon as it is clear, that it will not lead to a solution.

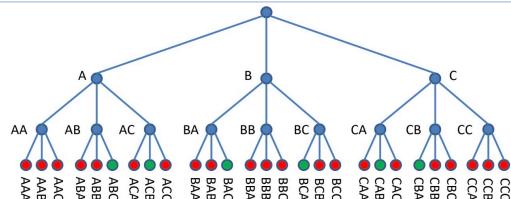
### \* Maze solving

Problem - generate all permutations of the three letters A, B and C → Solution : ABC BCA  
ACB CAB  
BAC CBA

Brute-force algorithm:

```
char c[3] = new char[3];
...
for (c[0] = 'a'; c[0] <= 'c'; ++c[0])
    for (c[1] = 'a'; c[1] <= 'c'; ++c[1])
        for (c[2] = 'a'; c[2] <= 'c'; ++c[2])
            if (c[0] != c[1] && c[0] != c[2] && c[1] != c[2])
                System.out.println(" " + c[0] + c[1] + c[2]);
```

Brute-force tree

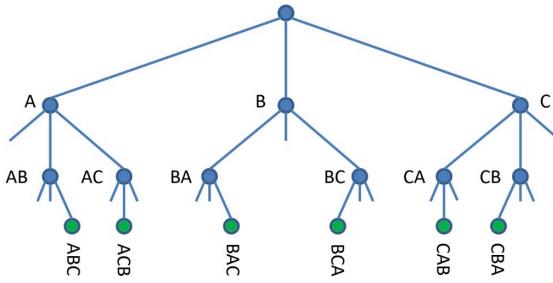


Stopping useless attempts early

```
char c[3] = new char[3];
...
for (c[0] = 'a'; c[0] <= 'c'; ++c[0])
    if (OK(0))
        for (c[1] = 'a'; c[1] <= 'c'; ++c[1])
            if (OK(1))
                for (c[2] = 'a'; c[2] <= 'c'; ++c[2])
                    if (OK(2))
                        if (c[0] != c[1] && c[0] != c[2] && c[1] != c[2])
                            System.out.println(" " + c[0] + c[1] + c[2]);
```

```
boolean OK (int position) {
    ...
    for (int i = 0; i < position; i++)
        if (c[i] == c[position])
            return false;
    return true;
```

### Reduced tree



### Backtracking version:

```

char c[3] = new char [3];
TryPosition (0);
...
void TryPosition (int position){
    if (position == 3)
        System.out.println (" " + c[0] + c[1] + c[2]);
    else
        for (c[position] = 'a'; c[position] <= 'c'; ++c[position])
            if (OK(c))
                TryPosition (position + 1);
}
  
```

`

```

boolean OK (int position) {
    for (int i=0; i < position; ++i)
        if (c[i] == c[position])
            return false;
    return true;
}
  
```

`

### General backtracking template

```

TryStep(step) {
    if (done(step))
        ReportResult();
    else
        for all possible actions
            if (OK(action)) {
                DoAction();
                TryStep(next(step));
                undoAction();
            }
}
  
```

`

### HEURISTIC ALGORITHMS

- Many of the previous algorithm types might be improved using heuristics, which means that in each step "hints" will be used to make better choices.
- The heuristics could come from guessing, analyzing, experience, statistics, etc.
- Heuristics are often used to prioritize the choices in backtracking.
- Heuristics can help solve exponential problems in almost polynomial time.

