

# Algebraic Geometry

Jubayer Ibn Hamid

Algebraic geometry is about solutions of polynomial equations and the geometric objects on the space of those solutions. We use the language and techniques from abstract algebra on these geometric objects. A key idea in algebraic geometry is the local-global compatibility; we could study local geometry by restricting to an open neighborhood, which becomes commutative algebras on local rings.

## 1 Terminology

A field  $k$  is algebraically closed if any non-constant polynomial  $f \in k[x]$  has a root in  $k$  i.e if  $f \in k[x]$ , then  $f(x) = \mu \prod (x - \lambda_i)^{e_i}$  where  $\lambda_i \in k$  are the roots. The field  $\mathbb{R}$  is not algebraically closed as  $f(x) = x^2 + 1$  has no root in  $\mathbb{R}$ , whereas  $\mathbb{C}$  is algebraically closed.

The affine space of field  $k$  is denoted by  $\mathbb{A}_k^n$  which is the Cartesian n-product of  $k$ .

Let  $f \in k[x_1, \dots, x_n]$  be a polynomial. Then,  $V(f)$  is the set of zeros of  $f$  and is called the hypersurface defined by  $f$ . If  $S$  is a set of polynomials from  $k[x_1, \dots, x_n]$ , then  $V(S) := \{p \in \mathbb{A}_k^n \mid f(p) = 0, \forall f \in S\}$ . One can check that  $V(S) = \cap_{f \in S} V(f)$ . When  $S = \{f_1, \dots, f_r\}$ , we write  $V(S)$  as  $V(f_1, \dots, f_r)$ .

A subset  $X \subseteq \mathbb{A}_k^n$  is called an affine algebraic set if  $X = V(S)$  for some set  $S$  of polynomials in  $k[x_1, \dots, x_n]$ . Throughout these notes, we will use the term affine variety to mean the same thing as affine algebraic sets (although some texts refer to only *irreducible* algebraic sets as affine varieties). One can easily show that if  $I$  is the ideal in  $k[x_1, \dots, x_n]$  generated by polynomials in  $S$ , then  $V(S) = V(I)$ . Suppose,  $I = (f_1, \dots, f_n)$ , then,  $V(I) = \cap_{i=1}^n V(f_i)$ . Some more properties:

(1) If  $\{I_\alpha\}$  is a collection of ideals, then  $V(\cup_\alpha I_\alpha) = \cap_\alpha V(I_\alpha)$ . (2)  $I \subset J \implies V(J) \subset V(I)$   
(3)  $V(fg) = V(f) \cup V(g)$  (4) Any finite subset of  $\mathbb{A}_k^n$  is an algebraic set (5)  $V(A) = V((A))$  where  $(A)$  is the ideal generated by  $A$ .

The ideal generated by a set of functions  $f_1, \dots, f_m \in k[x_1, \dots, x_n]$  is the set  $(f_1, \dots, f_m) := \{\sum_{i=1}^m g_i f_i : g_i \in k[x_1, \dots, x_n]\}$ . For a subset  $X \subseteq \mathbb{A}_k^n$ , consider the ideal in  $k[x_1, \dots, x_n]$  generated by polynomials that vanish on  $X$ . This ideal is called the vanishing ideal of  $X$ , denoted by  $I(X)$ . So,

$I(X) = \{f \in k[x_1, \dots, x_n] : f(a) = 0, \forall a \in X\}$ . So, if  $f, g \in I$ , then  $f + g \in I$  and for any  $h \in k[x_1, \dots, x_n]$ ,  $hf \in I$ . Some more properties:

(1)  $X \subset Y \implies I(Y) \subset I(X)$  (2)  $I(\emptyset) = k[x_1, \dots, x_n]$ ,  $I(\mathbb{A}^n) = \emptyset$ ,  $I(\{a\}) = (x_1 - a_1, \dots, x_n - a_n)$ .

We say  $f_1, \dots, f_m$  scheme-theoretically define the affine variety  $X \subset \mathbb{A}^n$  if  $I(X) = (f_1, \dots, f_m)$  i.e the ideal generated by  $f_1, \dots, f_m$ . Furthermore, the ideal  $I$  is said to set-theoretically define variety  $X$  if  $X = V(I)$  if It can be easily shown that  $V(I(X)) = X$ .  $V(-)$  and  $I(-)$  allow us to switch between the geometric world and the algebraic world which is a key tool used in algebraic geometry.

A polynomial mapping  $p : V \rightarrow W$ , where  $V \subset \mathbb{A}^n$ ,  $W \subset \mathbb{A}^m$  are varieties, is a mapping such that  $(x_1, \dots, x_n) \rightarrow f(x_1, \dots, x_n) := (f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n))$ ,  $f_i \in k[x_1, \dots, x_n]$  and the image of the algebraic set  $V$  lies inside the algebraic set  $W$ . The mapping set  $\text{Map}(V, W)$  is the set of all polynomial maps from  $V$  to  $W$  and in our case this is the set of all polynomial maps from  $V$  to  $W$ .

## 2 Hilbert Basis Theorem

First, we note that for  $a := (a_1, \dots, a_n) \in \mathbb{A}_k^n$ ,  $I(\{a\}) = (x_1 - a_1, \dots, x_n - a_n) \subset k[x_1, \dots, x_n]$ . To see this, note that  $(x_1 - a_1, \dots, x_n - a_n) \subset I(\{a\})$  which is straightforward. To see the other direction, suppose  $f \in I(\{a\})$ . Since  $f \in k[x_1, \dots, x_n]$ , we can write it as  $f = \sum_{i_1, \dots, i_n \geq 0} a_{i_1 \dots i_n} x_1^{i_1} \dots x_n^{i_n}$ . Since  $f(a) = 0$ , we can write this as  $f(x) = \sum_{i_1, \dots, i_n \geq 0} b_{i_1 \dots i_n} (x_1 - a_1)^{i_1} \dots (x_n - a_n)^{i_n}$  and so  $f(x) \in (x_1 - a_1, \dots, x_n - a_n)$ .

**Definition 1.** A ring  $R$  is called Noetherian if every ideal in  $R$  is finitely generated.

Fields and Principal Ideal Domains (PIDs) are Noetherian rings.

One can easily verify the following:

$R$  is Noetherian if and only if every sequence of ideals  $I_1 \subset I_2 \subset \dots$  stabilizes i.e there exists  $N$  such that  $I_N \subset I_{N+1} \subset \dots$ .

*Proof.* Forward direction: If every ideal is finitely generated then the ideal  $\cup_i I_i$  is finitely generated and so the generating set of  $\cup_i I_i$  must lie in some  $I_N$ . Conversely, suppose the

sequence stabilizes but there exists an  $I$  that is not finitely generated. Then take a sequence of  $f_i \in I$  such that  $f_i \notin (f_1, \dots, f_{i-1})$  yields an increasing sequence of ideals i.e  $(f_1) \subset (f_1, f_2) \subset (f_1, f_2, f_3) \subset \dots$  that does not stabilize - contradiction.  $\square$

**Theorem 1.** (*Hilbert Basis Theorem*) *If  $R$  is a Noetherian ring, then  $R[x_1, \dots, x_n]$  is a Noetherian Ring.*

*Proof.* We know  $R[x_1, \dots, x_n] \cong R[x_1, \dots, x_{n-1}][x_n]$ . So, if we can prove that  $R$  Noetherian implies  $R[x]$  is Noetherian, by induction we will have proven that  $R[x_1, \dots, x_n]$  is also Noetherian.

Suppose  $R$  is Noetherian. Let  $I$  be an ideal in  $R[x]$ . Let  $J$  denote the set of leading coefficients of polynomials in  $I$ . Then, given  $I$  is an ideal,  $J$  is an ideal in  $R$ . Since  $R$  is Noetherian, we can write that  $J$  is generated by the leading coefficients of  $f_1, \dots, f_r \in I$ . Suppose  $N \in \mathbb{Z}$  such that  $N$  is greater than the degrees of all polynomials  $f_1, \dots, f_r$ . Then, for any  $m \leq N$ , we define  $J_m$  to be the ideal in  $R$  generated by the leading coefficients of all polynomials  $f$  in  $I$  such that  $\deg(f) \leq m$ . Once again, since  $J_m$  is an ideal in  $R$ , we can say that  $J_m$  is generated by the finite set of polynomials,  $\{f_{mj}\}$ , such that each polynomial's degree is less than or equal to  $m$ . Finally, define  $I'$  be the ideal generated by polynomials  $\{f_{jm}\}$  and  $f_i$ .

We claim  $I' = I$ . Suppose not i.e suppose there exists elements in  $I$  that are not in  $I'$ . Let  $g$  be the minimal element such that  $g \in I$ ,  $g \notin I'$ .

Case 1:  $\deg(g) > N$ . Then, there exists polynomials  $Q_i$  such that  $\sum_i Q_i f_i$  has the same leading term as  $g$ . Therefore,  $\deg(g - \sum_i Q_i f_i) < \deg(g)$ . Clearly,  $g - \sum_i Q_i f_i$  is in  $I'$ . But since  $g$  is the minimal element and  $\deg(g - \sum_i Q_i f_i) < \deg(g)$ , therefore  $g - \sum_i Q_i f_i \in I'$ , which implies  $g \in I'$ .

Case 2:  $m := \deg(g) \leq N$ . Then, there exists polynomials  $Q_j$  such that  $\sum_j Q_j f_{mj}$  and  $g$  have the same leading term. Using a similar argument, we get that  $g \in I'$ .  $\square$

**Theorem 2.** *An algebraic set is the intersection of a finite number of hypersurfaces.*

*Proof.* Let  $V(I)$  be an algebraic set. We prove that  $I$  is finitely generated since that implies  $V(I) = V(f_1, \dots, f_r) = \bigcap_{i=1}^r V(f_i)$ . Given  $k$  is a field,  $k$  is a Noetherian ring and by the Hilbert Basis Theorem,  $k[x]$  is also Noetherian. Therefore, the ideal  $I$  in  $k[x]$  is finitely generated.  $\square$

**Corollary 3.**  *$k[x_1, \dots, x_n]$  is a Noetherian ring for any field  $k$ .*

*Proof.* Follows from the Hilbert Basis Theorem.  $\square$

### 3 Modules Revision

**Definition 2.** *R-Module.*

Let  $R$  be a ring. Let  $M$  be an abelian group  $(M, +)$ . Then, an  $R$ -module is  $M$  with multiplication  $R \times M \rightarrow M$  such that for any  $a, b \in R$ ,  $m \in M$ ,  $(a + b)m = am + bm$ ,  $a(m + n) = am + an$ ,  $(ab)m = a(bm)$ ,  $1_R m = m$ .

**Definition 3.** *Submodule.*

A submodule  $N$  is a subgroup of  $R$ -module,  $M$ , such that  $an \in N$  for any  $a \in R, n \in N$ .

One can check that  $0_R m = 0_M$  by noting that  $0_R m = (x - x)m = xm - xm = 0_M$  for any  $x \in R, m \in M$ . Also, the submodule  $N$  of an  $R$ -module is an  $R$ -module itself.

**Definition 4.** *Submodule generated by  $S$ .*

Let  $S := \{s_1, s_2, \dots\}$  be a set of elements of the  $R$ -module  $M$ . Then the submodule generated by  $S$  is  $\{\sum_i r_i s_i \mid r_i \in R, s_i \in S\}$ .

When  $S$  is finite, we denote the submodule generated by  $S$  as  $\sum_i R s_i$ .

**Definition 5.** *Finiteness conditions of subrings of a ring.*

Let  $S$  be a ring and let  $R$  be a subring of  $S$ .

(1)  $S$  is module-finite over  $R$  if  $S$  is finitely-generated as an  $R$ -module i.e  $S = \sum R v_i$  where  $v_1, \dots, v_n \in S$ .

(2)  $S$  is ring-finite over  $R$  if  $S = R[v_1, \dots, v_n] = \{\sum_i a_i v_1^{i_1} \cdots v_n^{i_n} \mid a_i \in R\}$  where  $v_1, \dots, v_n \in S$ .

(3)  $S$  is a finitely-generated field extension of  $R$  if  $S$  and  $R$  are fields and  $S = R(v_1, \dots, v_n)$  (the quotient field of  $R[v_1, \dots, v_n]$ ) where  $v_1, \dots, v_n \in S$ .

Properties:

1. If  $S$  is module-finite over  $R$ , then  $S$  is ring-finite over  $R$ . (This is straightforwardly seen from the definitions)
2. If  $L = K(x)$ , then  $L$  is a finitely-generated field extension of  $K$  but  $L$  is not ring-finite over  $K$ .

*Proof.* Using the definition,  $K(X)$  is a finitely-generated field extension of  $K$ . Now, suppose  $K$  is ring-finite over  $K$ . Then,  $L = K[v_1, \dots, v_n]$ . Then, there exists  $\frac{s_i}{t_i} \in K(X)$  that generate

$L$  where  $i = 1, \dots, n$ . Define  $p := 1/q$ . Then, as  $p \in K(X)$ ,  $p = \frac{h}{t_1^{e_1} \dots t_n^{e_n}}$ . Now, if we choose  $q$  to be an irreducible polynomial that has a higher degree than all  $t_i$ 's, we see that  $p$  cannot be equal to  $\frac{1}{q}$ .  $\square$

**Definition 6.** *Integral elements*

Let  $R$  be a subring of the ring  $S$ . Then,  $v \in S$  is integral over  $R$  if there exists a monic polynomial  $f = x^n + a_1x^{n-1} + \dots + a_n \in R[x]$  such that  $f(v) = 0$  and  $a_i \in R$ .

When all elements of  $S$  is integral over  $R$ , we say  $S$  is integral over  $R$ . When  $S$  and  $R$  are fields and  $S$  is integral over  $R$ , we call  $S$  an algebraic extension of  $R$ .

**Theorem 4.** Let  $R$  be a subring over an integral domain  $S$  and let  $v \in S$ . Then, the following are equivalent:

- (1)  $v$  is integral over  $R$ .
- (2)  $R[v]$  is module-finite over  $R$ .
- (3) There exists a subring  $R'$  of  $S$  such that  $R'$  contains  $R[v]$  and it is module-finite over  $R$ .

*Proof.* We see (2) implies (3) readily. Now, (1) implies (2): Suppose  $v$  is integral over  $R$  with the monic polynomial  $f(x) = x^n + a_1x^{n-1} + \dots + a_n$ . Then,  $f(x) = 0 \implies v^n \in \sum_{i=0}^{n-1} Rv^i$ . Therefore, for any integer  $m$ ,  $v^m \in \sum_{i=0}^{n-1} Rv^i$ . This implies  $R[v]$ . Lastly, (3) implies (1) as follows: Suppose  $R'$  is module-finite over  $R$ . Then,  $R' = \sum R w_i$ , where  $w_i \in R'$ . Then,  $v w_i \in R[v] \subset R'$ , so  $v w_i = \sum_j a_{ij} w_j$  where  $a_{ij} \in R$ .

Now,  $v w_i - \sum_j a_{ij} w_j = 0$  implies  $\sum_{j=1}^n \delta_{ij} v w_j - \sum_j a_{ij} w_j = 0$  which then implies  $\sum_{j=1}^n (\delta_{ij} v - a_{ij}) w_j = 0$  (here  $\delta_{ij} = 1\{i = j\}$ ). Write this in matrix notation and consider these equations in the quotient field of  $S$  and note that  $(w_1, \dots, w_n)$  is a non-trivial solution to these equations (as we see, they give 0). Therefore,  $\det(\delta_{ij} v - a_{ij}) = 0$  from which we get  $v^n + a_1 v^{n-1} + \dots + a_n = 0$ . Therefore,  $v$  is integral over  $R$ .  $\square$

**Corollary 5.** *The set of elements of  $S$  that are integral over  $R$  is a subring of  $R$  that contains  $R$ .*

*Proof.* Suppose  $a, b$  are elements in  $S$  that are integral over  $R$ . Now,  $b$  is integral over  $R$  implies  $b$  is integral over  $R[a]$  as  $R \subset R[a]$ . Therefore, by the previous theorem,  $R[a, b]$  is module-finite over  $R$ . Then by the previous theorem  $a + b, a - b, ab \in R[a, b]$  and so they are all integral over  $R$ .  $\square$

We will require the following results:

**Theorem 6.** *Suppose an integral domain  $S$  is ring-finite over  $R$ . Then,  $S$  is module-finite over  $R$  if and only if  $S$  is integral over  $R$ .*

*Proof.* For the forward direction, write  $S = \sum Rv_i$ . Then consider any  $s \in S$ . So,  $s = \sum Rv_i$ . Consider the monic polynomial  $f(x) = x - s$ . Conversely, suppose  $S$  is integral over  $R$ . Then consider any  $s \in S$  for which we have, using the monic polynomial,  $s + a_1s^{n-1} + \dots + a_n = 0$ . From this, we write  $s = -a_1s^{n-1} - \dots - a_n$ .  $\square$

**Theorem 7.** *Let  $L$  be a field and let  $k$  be an algebraically closed subfield of  $L$ . Then an element of  $L$  that is algebraic over  $k$  is in  $k$ . Furthermore, an algebraically closed field has no module-finite field extension except itself.*

*Proof.* Proof of the first part - suppose  $p \in L$  that is algebraic over  $k$ . Therefore,  $p^n + a_1p^{n-1} + \dots + a_n = 0$  with  $a_i \in k$ . This is a polynomial in  $k[x]$  with a root  $p$  in  $k$ , so  $p \in k$ .

Now, we prove the second part. Suppose  $L$  is module-finite over  $k$ . Then, by the previous theorem,  $L$  is integral over  $k$ . Then, by the first part  $L = k$ .  $\square$

Lastly,

**Theorem 8.** *Let  $k$  be a field. Let  $L = k(x)$  be the field of rational functions over  $k$ . Then, (a) any element of  $L$  that is integral over  $k[x]$  is also in  $k[x]$ . (b) There is no non-zero element  $f \in k[x]$  such that  $\forall z \in L$ ,  $f^n z$  is integral over  $k[x]$  for some  $n > 0$ .*

*Proof.* (a)  $p$  is integral over  $k[x]$  implies there exists the following polynomial  $p^n + a_1p^{n-1} + \dots = 0$ . Now, since  $p \in k(x)$ , we may write it as  $p = \frac{s}{t}$  where  $s, t \in k[x], t \neq 0$ . Then, we get  $s^n + a_1s^{n-1}t + \dots + a_nt^n = 0$ . Rearranging, we get  $s^n = -a_1s^{n-1}t - \dots - a_nt^n$ . Since  $t$  divides the right hand side,  $t$  divides  $s$ . This means,  $s/t$  is a polynomial in  $k[x]$ . Therefore,  $p \in k[x]$ .

(b) Suppose, not. Let  $f$  be such a function. Let  $p(x) \in k[x]$  such that  $p(x)$  does not divide  $f^m$  for any  $m$ . Set  $z = \frac{1}{p}$ , so  $z \in L = k(x)$ . Then,  $f^n z = \frac{f^n}{p}$  is integral over  $k[x]$ . This means, there exists  $a_i \in k[x]$  such that  $(\frac{f^n}{p})^d + \sum_{i=1}^{d-1} a_i(\frac{f^n}{p})^i = 0$ . From this, we get  $f^{nd} = \sum_{i=1}^{d-1} a_i p^{d-i} f^{in}$ . Since  $p$  divides the right hand side, we get that  $p$  divides  $f^{nd}$  which contradicts our definition of  $p$ .  $\square$

## 4 Nullstellensatz Version 1

First, we prove the following:

**Theorem 9.** (*Zariski*) *If a field  $L$  is ring-finite over a subfield  $k$ , then  $L$  is module finite (and, hence, algebraic) over  $k$ .*

Note that  $L$  is module finite over  $k$  if and only if  $L$  is integral over  $k$  which means  $L$  is algebraic over  $k$ .

*Proof.* Suppose  $L$  is ring-finite over  $k$ . Then,  $L = k[v_1, \dots, v_n]$  where  $v_i \in L$ . We proceed by induction.

Suppose  $n = 1$ . We have that  $k$  is a subfield of  $L$  and  $L = k[v]$ . Let  $\psi : k[x] \rightarrow L$  be a homomorphism that takes  $x$  to  $v$ . Now  $\ker(\psi) = (f)$  for some  $f$  since  $k[x]$  is a principal ideal domain. Then,  $k[x]/(f) \cong k[v]$  by the first isomorphism theorem. This implies  $(f)$  is prime (since  $k[v]$  is an integral domain).

Now, if  $f = 0$ . Then  $k[x] \cong k[v]$ , so  $L \cong k[x]$ . However, by the second property following definition 5, this cannot be true. Therefore,  $f \neq 0$ .

Given  $f \neq 0$ , we can assume  $f$  is monic. Then,  $(f)$  prime implies  $f$  is irreducible and  $(f)$  is a maximal ideal (check Dummit and Foote). This means,  $k[v] \cong k[x]/(f)$  is a field (check Dummit and Foote). Therefore,  $k[v] = k(v)$ . Since  $f(v) = 0$ , so  $v$  is algebraic over  $k$  and so, by theorem 4,  $L = k[v]$  is module-finite over  $k$ . This concludes the proof for  $n = 1$ .

Now, for the inductive step, assume true for  $n - 1$  i.e  $k[v_1, \dots, v_{n-1}]$  is module-finite over  $k$ . Let  $L = k_1[v_2, \dots, v_n]$  where  $k_1 = k(v_1)$ . Then, by the inductive hypothesis,  $k_1[v_2, \dots, v_n]$  is module-finite over  $k_1$ .

We show that  $v_1$  is algebraic over  $k$  which would say  $k[v_1]$  is module-finite over  $k$  concluding the proof. Suppose,  $v_1$  is not algebraic over  $k$ . Then, using the inductive hypothesis, for each  $i = 2, \dots, n$ , we have an equation  $v_i^{n_i} + a_{i1}v_i^{n_i-1} + \dots = 0$  where  $a_{ij} \in k_1$ .

Let  $a \in k[v_1]$  such that  $a$  is a multiple of all the denominators of  $a_{ij} \in k(v_1)$ . We get  $av_i^{n_i} + aa_{i1}(av_1)^{n_i-1} + \dots = 0$ . Then, by corollary 5, for any  $z \in L = k[v_1, \dots, v_n]$ , there exists  $N$  such that  $a^N z$  is integral over  $k[v_1]$  (since the set of integral elements forms a subring). Since this holds for any  $z \in L$ , this also holds for any  $z \in k(v_1)$ . But by theorem 8, this is impossible. This gives us the contradiction.  $\square$

Assume  $k$  is algebraically closed.

**Theorem 10.** (*Nullstellensatz Version I*) If  $I$  is a proper ideal in  $k[x_1, \dots, x_n]$ , then  $V(I) \neq \emptyset$ .

*Proof.* For any ideal  $I$ , there exists a maximal ideal  $J$  containing  $I$  (since we are assuming our ring has an identity  $1 \neq 0$ , see Dummit and Foote). So, for simplicity, we assume  $I$  is the maximal ideal itself since  $V(J) \subset V(I)$ . Then,  $L = k[x_1, \dots, x_n]/I$  is a field (since  $I$  is maximal, see Dummit and Foote) and  $k$  is an algebraically closed subfield of  $L$ . Note that there is a ring-homomorphism from  $k[x_1, \dots, x_n]$  onto  $L$ , which is the identity. This means,  $L$  is ring-finite over  $k$ . Then, by theorem 9,  $L$  is module-finite over  $k$ . Then, by theorem 7,  $L = k$  i.e  $k = k[x_1, \dots, x_n]/I$ .

Now, since  $k = L$ , in particular this means  $k \cong k[x_1, \dots, x_n]/I$ . Suppose  $x_i \in k[x_1, \dots, x_n]$  is mapped to  $a_i$  by the homomorphism  $\psi$  whose kernel is  $I$ . Then,  $x_i - a_i$  is mapped to 0, so  $x_i - a_i \in I$ . Now, note that  $(x_1 - a_1, \dots, x_n - a_n)$  is a maximal ideal as one can easily verify and it contains  $I$ , so  $I = (x_1 - a_1, \dots, x_n - a_n)$ . So,  $(a_1, \dots, a_n) \in V(I)$ . Therefore,  $V(I) \neq \emptyset$ .  $\square$

The fact that every proper ideal in the polynomial ring over  $n$  variables is of the form  $(x_1 - a_1, \dots, x_n - a_n)$  is an interesting takeaway.

Next, we find irreducible decompositions of algebraic sets of an affine space.



## 5 Irreducible Components of Algebraic Sets

**Definition 7.** *Irreducible decomposition of a set. Let  $V \in \mathbb{A}_k^n$  be an algebraic set. Then,  $V$  is reducible if  $V = V_1 \cup V_2$  where  $V_1, V_2$  are non-empty, algebraic sets in  $\mathbb{A}_k^n$  i.e  $V_i \neq V$  for  $i = 1, 2$ . If  $V$  is not irreducible, we call it reducible.*

**Theorem 11.** *The algebraic set  $V$  is irreducible if and only if  $I(V)$  is prime.*

*Proof.* Suppose,  $V$  is irreducible. Now, suppose for contradiction,  $I(V)$  is not prime. Therefore, by definition of prime, there exists  $f_1 f_2 \in I(V)$  such that  $f_1 \notin I(V)$  and  $f_2 \notin I(V)$ . Now,  $V = (V \cap V(f_1)) \cup (V \cap V(f_2))$  and  $V \cap V(f_i) \subset V, V \cap V(f_i) \neq V$  - to see this, note that for any  $p \in V$  such that  $p$  is a zero of  $f_1 f_2$ ,  $p$  has to be a root of either  $f_1$  or  $f_2$  since  $f_i$  belong to an integral domain, therefore,  $p \in (V \cap V(f_1)) \cup (V \cap V(f_2))$  (the other direction is obvious). Then,  $V = (V \cap V(f_1)) \cup (V \cap V(f_2))$  is decomposition of  $V$  which means  $V$  is not irreducible - contradiction.

Conversely, suppose  $I(V)$  is prime. For contradiction, suppose  $V$  is reducible with  $V = V_1 \cup V_2$ ,  $V_i$  non-empty. Then, consider  $f_i \in I(V_i)$  such that  $f_i \notin I(V)$ . Clearly,  $f_1 f_2 \in I(V)$ , so  $I(V)$  is not prime - contradiction.  $\square$

**Theorem 12.** *Let  $A$  be a non-empty collection of ideals in a Noetherian ring  $R$ . Then,  $A$  has a maximal ideal i.e an ideal  $I$  such that  $I \in A$  and no other ideal in  $A$  contains  $I$ .*

*Proof.* Given our collection of ideals,  $A$ , choose an ideal  $I_0 \in A$ . Then, define  $A_1 = \{I \in A : I_0 \subsetneq I\}$  and  $I_1 \in A_1$ ,  $A_2 = \{I \in A : I_1 \subsetneq I\}$  and  $I_2 \in A_2$  and so on. Then, the statement in the theorem is equivalent to saying that there exists positive integer  $n$  such that  $A_n$  is empty since that would mean there exists no ideal containing  $I_{n-1}$ . Suppose this is not true. Then, with  $I := \cup_{n=0}^{\infty} I_n$ , since  $R$  is Noetherian, therefore there exists  $f_1, \dots, f_m$  that generates the ideal  $I$  where each  $f_i \in I_n$  for  $n$  sufficiently large. But since the generates are all in  $I_n$ ,  $I = I_n$  and so  $I_{n'} = I_n$  for any  $n' > n$  (since  $I = \cup_{n=0}^{\infty} I_n$  by definition) - contradiction.  $\square$

We finally prove the main result. Note that this is pretty closely tied to the Hilbert Basis Theorem which says that every algebraic set is the intersection of a finite number of algebraic sets/hypersurfaces:

**Theorem 13.** *Let  $V$  be an algebraic set in  $\mathbb{A}_k^n$ . Then, there exists unique, irreducible algebraic sets  $V_1, \dots, V_r$  such that  $V = V_1 \cup V_2 \cdots \cup V_r$  and  $V_i \subsetneq V_j$  for any  $i \neq j$ .*

*Proof.* Proving this statement is equivalent to disproving that  $\mathcal{F}$  is non-empty where  $\mathcal{F} := \{\text{algebraic set } V \in \mathbb{A}_k^n : V \text{ is not the union of finitely many irreducible algebraic sets}\}$ .

Suppose,  $\mathcal{F}$  is not empty. Let  $V \in \mathcal{F}$  such that  $V$  is the minimal member of  $\mathcal{F}$  i.e  $V$  cannot be written as the union of sets in  $\mathcal{F}$ .

Now, since  $V \in \mathcal{F}$ ,  $V$  is reducible (if  $V$  is irreducible, then it is trivially the union of 1 irreducible subsets). Since  $V$  is reducible,  $V = V_1 \cup V_2$  where  $V_i \neq \emptyset$ . Since  $V$  is the minimal member of  $\mathcal{F}$ ,  $V_i \notin \mathcal{F}$ . Since  $V_i \notin \mathcal{F}$ , it is the union of finitely many irreducible algebraic sets, so let  $V_i = V_{i1} \cup V_{i2} \cdots \cup V_{im_i}$ . Then,  $V = \cup_{i,j} V_{ij}$ , so  $V \notin \mathcal{F}$ . So, we have shown that  $V$  can be written as  $V = V_1 \cup \cdots \cup V_m$  where each  $V_i$  is irreducible. First, remove any  $V_i$  such that  $V_i \subset V_j$ . Now we prove uniqueness. Suppose  $V = W_1 \cup \cdots \cup W_m$  be another such decomposition. Then,  $V_i = \cup_j (W_j \cap V_i)$ . Now,  $W_j \cap V_i = V_i$  since otherwise we will have found a decomposition of the irreducible set  $V_i$ . Therefore,  $V_i \subset W_{j(i)}$  for some  $j(i)$ . Similarly, by symmetry,  $W_{j(i)} \subset V_k$  for some  $k$ . But then,  $V_i \subset V_k$  implies  $i = k$  and so  $V_i = W_{j(i)}$ . Continuing this for each  $i \in \{1, \dots, m\}$ , we get that the two decompositions are equal.  $\square$

Furthermore, we use the following terms:

**Definition 8.** An idea  $I \subset k[x_1, \dots, x_n]$  set-theoretically defines a variety  $V$  if  $V = V(I)$ . An ideal  $J \subset \mathbb{A}^n$  scheme-theoretically defines a variety  $V$  if  $J = I(V)$ .

Here's a pretty straightforward result:

**Theorem 14.** For an affine variety  $X$ , if  $f_1, \dots, f_m$  scheme-theoretically define  $X$ , then  $V(I(X)) = X$

Two affine-varities can be isomorphic in the usual sense using the language of morphisms:

**Definition 9.** Isomorphic affine varieties. Two affine varieties  $V \subset \mathbb{A}^n$  and  $W \subset \mathbb{A}^m$  are isomorphic if there exists morphism  $f : V \rightarrow W$  and  $g : W \rightarrow V$  such that  $f \circ g = g \circ f = i_d$ .

Lastly, we will require the following useful result for the section on Zariski topology.

**Theorem 15.** Let  $Z \subset \mathbb{A}^n$  be an affine variety and let  $x \in \mathbb{A}^n - Z$ . Then, there exists  $f \in k[x_1, \dots, x_n]$  such that  $f(Z) = 0$  and  $f(x) \neq 0$ .

*Proof.* Suppose this is not true. Then,  $f \in I(Z) \implies f \in I(Z \cup \{x\})$ . Then,  $I(Z) = I(Z \cup \{x\})$ . Therefore,  $Z = Z \cup \{x\}$  since  $V(I(X)) = X$ . This is contradiction since this implies  $x \in Z$ .  $\square$

## 6 Zariski Topology

**Definition 10.** Let  $X \subseteq \mathbb{A}^n$  be an affine variety. Then,  $Z \subseteq X$  is closed if  $Z \subseteq X \subseteq \mathbb{A}^n$  is an affine variety i.e there exists  $f_1, \dots, f_m \in k[x_1, \dots, x_n]$  such that  $Z = V(f_1, \dots, f_m) \subset X$ .

This forms a topology.  $\emptyset$  is closed as  $\emptyset = V(1)$ .  $X$  itself is closed since  $X = V(g_1, \dots, g_m)$  by definition (since it's an affine variety). Now, suppose  $\{Z_i\}_{i \in A}$  are affine varieties. Then,  $\bigcap_{i \in A} Z_i = V(\sum_i I(Z_i))$ . Lastly,  $V(f_1, \dots, f_m) \cup V(h_1, \dots, h_r) = V(\sum_{i,j} f_i h_j)$

**Theorem 16.** The pre-image of an affine variety under a morphism  $p : V \rightarrow W$  is a variety.

*Proof.* Let  $V \subseteq \mathbb{A}_k^n$ ,  $W \subseteq \mathbb{A}_k^m$  be affine varieties. Write  $p$  as  $p = (p_1, \dots, p_m)$  where the image of each  $p_i$  is in  $k$ . Now, suppose  $Z := V(g_1, \dots, g_m) \subseteq W$  is closed. We show  $f^{-1}(Z)$  is closed.  $f^{-1}(Z) = \{x = (x_1, \dots, x_n) \in V : (p_1(x), \dots, p_m(x)) \in Z\} = \{x \in V : g_j(f(x)) = 0, \forall j\} \implies f^{-1}(Z) \text{ is closed.}$   $\square$

For an example, consider the Zariski topology on  $\mathbb{A}_k^1$  and let  $V(f_1, \dots, f_m) \subset \mathbb{A}_k^1$ . Now, given  $K$  is a field,  $k[x]$  is a principal ideal domain so  $(f_1, \dots, f_m) = (g)$  for some  $g \in k[x]$ . Then, the closed subset i.e variety of  $\mathbb{A}_k^1$  is of the form  $V(g) = \{x \in k : g(x) = 0\}$  which is finite since  $g$  is a polynomial of some degree. This means that the closed subsets of  $\mathbb{A}_k^1$  are of the form  $\emptyset, \mathbb{A}_k^1$  and finite subsets of  $\mathbb{A}_k^1$ .

**Definition 11.** *Coordinate Ring.* Let  $V \subset \mathbb{A}^n$  be an affine variety. The coordinate ring of functions on  $V$  is

$$O(V) := k[x_1, \dots, x_n]/I(V)$$

is the quotient ring of polynomials in  $n$ -variables.

Note that, for a point  $a = (a_1, \dots, a_n) \in V$  and  $f \in O(V)$ , the value of  $f(a) \in k$  is well-defined. This is because for any  $f' \in I(V)$ ,  $f'(a) = 0$ , so the value  $f(a)$  is independent of our choice of function from  $I(V)$ .

**Definition 12.** First, we define  $V(f)_X := V(f) \cap X$  where  $X \subset \mathbb{A}^n$  is an affine variety. Now, we define basic closed sets of  $X$  be sets of the form  $V(f)_X$ . Note that  $V(\{f_i\}_{i \in I}) = \bigcap_i V(f_i)$ . On the other hand, the basic open sets of  $X$  are of the form  $D(f)_X := \{x \in X : f(x) \neq 0\}$  i.e  $D(f)_X = X - V(f)$ .

Note that, by Hilbert Basis Theorem, every closed subset of  $X$  is a finite intersection of basic closed sets. Similarly, every open set is a finite union of basic open sets.

There is a particularly local nature of algebraic geometry as evident by the following:

**Corollary 17.** *Let  $U \subseteq X$  be a basic open subset of an affine variety  $X$ . Then, for any  $x \in U$ , there exists a basic open subset  $D(f) \subset X$  and  $f \in k[x_1, \dots, x_n]$  such that  $x \in D(f) \subseteq U$ .*

*Proof.* Let  $Z = X - U$  be the closed subset of  $X$  i.e an affine variety. Then, Theorem 15 allows us to conclude the statement.  $\square$

**Definition 13.** *For a subset  $X$  of  $V$ , the Zariski closure of  $X$  in  $V$  is the minimal closed subset of  $V$  that contains  $X$  which we denote by  $\bar{X} \subseteq V$ .*

Note:  $S$  is irreducible if and only if  $\bar{S} \subseteq V$  is irreducible.

## 7 Coordinate Rings

First, we recall that given  $V \subset \mathbb{A}^n$ ,  $W \subset \mathbb{A}^m$  are varieties,  $f : V \rightarrow W$  is a polynomial map if  $f(x_1, \dots, x_n) = (f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n))$ ,  $f_i \in k[x_1, \dots, x_n]$  and  $f(V) \subset W$ .

Furthermore, given the definition of coordinate ring and  $I(\mathbb{A}_k^n) = 0$ ,  $O(\mathbb{A}_k^n = k[x_1, \dots, x_n]$  is the true coordinate ring of  $\mathbb{A}_k^n$ .

**Definition 14.** Let  $k$  be a field. Let  $R$  be a vector space over  $k$  equipped with a binary operation  $R \times R \rightarrow R$  such that for any  $x, y, z \in R$  and  $a, b \in k$ , we have  $(x + y)z = xz + yz$ ,  $z(x + y) = zx + zy$ ,  $(ax)(by) = (ab)(xy)$ .

*Alternative definition:* a  $k$ -algebra  $R$  is a ring with identity 1 such that  $R$  is also a vector space and  $\alpha(ab) = (\alpha a)b = a(\alpha b)$ .

**Theorem 18.**  $O(X) \cong \text{Map}(X, \mathbb{A}^1)$ . Here,  $\text{Map}(X, \mathbb{A}^1)$  is a commutative  $k$ -algebra under addition and multiplication on  $\mathbb{A}^1$ . Furthermore,  $O(X)^m \cong \text{Map}(X, \mathbb{A}^m)$

*Proof.* Let  $\varphi : O(X) \rightarrow \text{Map}(X, \mathbb{A}^1)$ . Then, define  $\varphi(f)(a) = f(a)$  for any  $a \in X$ . This is a homomorphism by design. To show surjectivity, by definition of  $\text{Map}(X, \mathbb{A}^1)$ ,  $f \in \text{Map}(X, \mathbb{A}^1)$  implies  $f(x) \in k[x_1, \dots, x_n]$  so  $\bar{f} \in O(X)$  is mapped to  $f$ . To show injectivity, suppose  $f \in O(X)$  is mapped to 0. Then,  $f(x) = 0$  for all  $x \in X$ . This means,  $f \in I(X)$  implying  $f = 0$  in  $O(X)$ .  $\square$

**Corollary 19.** Given  $X$  and  $Y$  are affine varieties,  $X \cong Y$  implies  $O(X) \cong O(Y)$ .

Let  $\text{Mor}_k(R_1, R_2)$  be the set of morphisms between 2  $k$ -algebras  $R_1$  and  $R_2$ . More strictly:

**Definition 15.** *Finitely generated  $k$ -algebra.* A finitely generated  $k$ -algebra is a ring that is isomorphic to a quotient of a polynomial ring  $k[x_1, \dots, x_n]/I$ .

**Definition 16.**  $\text{Mor}_k(R_1, R_2)$ . A  $k$ -algebra homomorphism  $\varphi : k[y_1, \dots, y_m]/J \cong R_1 \rightarrow k[x_1, \dots, x_n]/I \cong R_2$  is a ring homomorphism such that  $\varphi(c + J) = c + I$  for any constant polynomial  $c \in k$  and  $\varphi$  is  $k$ -linear.

With this, we can define the pullback function:

**Definition 17.** Given  $X \in \mathbb{A}^n$ ,  $Y \in \mathbb{A}^m$  are affine varieties,  $p \in \text{Map}(X, Y)$ , define  $p^*$  to be the map  $p^* : \text{Mor}_k(O(Y), O(X))$ ,  $p^*(f) = f \circ p$ .

Note that  $p$  is a map from  $X$  to  $Y$  whereas  $p^*$  is a morphism from  $O(Y)$  to  $O(X)$ . In light of the previous theorem, we can also say  $p^* : \text{Map}(Y, \mathbb{A}^1) \rightarrow \text{Map}(X, \mathbb{A}^1)$ .

Next, we prove that there is a one-to-one correspondence between  $p$  and  $p^*$ :

**Theorem 20.** *Let  $V \subset \mathbb{A}^n$  and  $W \subset \mathbb{A}^m$  be affine varieties. There exists a natural 1-1 correspondence between  $\text{Map}(V, W)$  and  $\text{Mor}_k(O(W), O(V))$ .*

*Proof.* Define  $p$  and  $p^*$  as in the definition of pullbacks. We claim that the map  $p \rightarrow p^*$  is injective.

Let  $s, s' \in \text{Map}(V, W)$  with  $s = (f_1, \dots, f_m)$  and  $s' = (f'_1, \dots, f'_m)$ . We want to show that if  $s^* = s'^*$  i.e  $s^*(f) = s'^*(f)$  for all  $f \in O(W)$ , then  $s = s'$ . To see this, note that  $f_i = x_i \circ s = s^*(x_i) = s'^*(x_i) = x_i \circ s' = f'_i$ . Given  $f_i = f'_i$  for all  $i = 1, \dots, m$ , therefore  $s = s'$ .

Now we claim that the map  $p \rightarrow p^*$  is surjective. Let  $\lambda \in \text{Mor}_k(O(W), O(V))$ . We construct a map  $s \in \text{Map}(V, W)$  such that  $\lambda = s^*$ .

Let  $f_i \in k[x_1, \dots, x_n]$  such that  $\lambda(y_i) = f_i$  for  $i = 1, \dots, m$ . Define  $s : \mathbb{A}^n \rightarrow \mathbb{A}^m$  such that  $s(a_1, \dots, a_n) = (f_1(a_1, \dots, a_n), \dots, f_m(a_1, \dots, a_n))$ . Now, if  $g \in I(W)$ , then  $g(f_1, \dots, f_m) = g(\lambda(y_1), \dots, \lambda(y_m)) = \lambda g(y_1, \dots, y_m) = 0$ , where we got the last inequality by noting that  $g \in I(W)$  so it is 0 in  $O(W)$  and  $\lambda$  is a homomorphism so it must send 0s to 0s. Note that for any  $g \in k[y_1, \dots, y_m]$ ,  $\lambda(g) = g(f_1, \dots, f_m)$ ; to see this, write  $g(y_1, \dots, y_m) = \sum_i c_i y_1^{i_1} \cdots y_m^{i_m}$ , so  $\lambda(g(y_1, \dots, y_m)) = \lambda(\sum_i c_i y_1^{i_1} \cdots y_m^{i_m}) = \sum_i \lambda(c_i y_1^{i_1} \cdots y_m^{i_m}) = \lambda(c_i) \lambda(y_1^{i_1} \cdots y_m^{i_m}) = \sum_i c_i \lambda(y_1^{i_1} \cdots y_m^{i_m}) = g(f_1, \dots, f_m)$

This means, for any  $a = (a_1, \dots, a_n) \in V$ ,  $g(s(a)) = g(f_1(a), \dots, f_m(a)) = 0$ . Therefore, all  $g \in I(W)$  vanish on  $s(a)$ ,  $a \in V$ . So,  $s(a) \in W, \forall a \in V$ . This means  $s$  restricted to  $V$  is a polynomial map i.e  $s|_V \in \text{Map}(V, W)$ .

Note that  $\lambda = s^*$  on  $y_1, \dots, y_m$  because if  $s = (f_1, \dots, f_m)$ , then  $s^*(y_i) = y_i \circ s = y_i \circ (f_1, \dots, f_m) = y_i \circ (\lambda(y_1), \dots, \lambda(y_m)) = \lambda(y_i)$ . Since they agree on  $y_1, \dots, y_m$ , they agree on all of  $O(W)$ .

□

## 8 References

1. William Fulton. *Algebraic Curves. An Introduction to Algebraic Geometry*. 2008.
2. Dummit and Foote. *Abstract Algebra, 3rd Ed.*
3. Ravi Vakil. *Math 216 Lectures at Stanford University*.
4. Zhiyu Zhang. *Math 145 Lectures at Stanford University*.