# **RBFN**

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# 1 ECE 657 ASSIGNMENT 2: Problem 3

#### 1.1 RBFN

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```
[1]: # importing the libraries
import numpy as np
import pandas as pd
import seaborn as sns
from sklearn.cluster import KMeans
import matplotlib.pyplot as plt
from matplotlib.colors import ListedColormap
from sklearn.model_selection import train_test_split
from IPython.display import display
```

#### Defining the RBFN class

```
[2]: class RBFN(object):
         11 11 11
         Class of a RBF Neural network with 1 input layer, 1 hidden layer and 1_{\sqcup}
      ⇔output layer
         n n n
         def __init__(self, input_size = 2, hidden_size = 2, output_size = 1,
                       activation = "sigmoid", epochs = 1000, alpha = 0.001,
                       centroids = None, sigma = 0.5):
             input_size = no of input features
             hidden_size = no of neurons in hidden layer
             output_size = no of classes for classification problem
             alpha = learning rate
             11 11 11
             self.centroids = centroids
             self.centroids set = True
             if type(centroids) != np.ndarray:
```

```
self.hiddenSize = hidden_size
           self.centroids set = False
       else:
           self.hiddenSize = centroids.shape[0]
           self.centroids = centroids
       # setting the number on neurons in each layer
       self.inputSize = input_size
       self.outputSize = output size
       self.epochs = epochs # no of training epochs
       self.sigma = sigma # width of rbf kernel
       self.alpha = alpha # learning rate
       # setting the default activation function
       self.activation = self.sigmoid
       self.activation_gradient = self.sigmoid_gradient
       # setting the activation function
       if activation == 'tanh':
           self.activation = self.tanh
           self.activation_gradient = self.tanh_gradient
       # Randomly initializing the weights (-1 to 1)
       # weight matrix from hidden to output layer
       np.random.seed(0)
       self.W2 = 2 * np.random.random((self.hiddenSize, self.outputSize)) - 1
   def forward(self, X):
       #forward propagation through the network
       self.z3 = np.dot(X, self.W2) # dot product of hidden layer output and
→hidden - output weights
       o = self.activation(self.z3) # activation function to get predicted
\hookrightarrow output
       return o
   def backward(self, X, y, o):
       # backward propagtion through the network
       self.o_error = y - o # error in output
       self.o_delta = self.o_error * self.activation_gradient(o) # applying_
→activation derivative to error
       # update only weights between hidden layer and output
       self.W2 += self.alpha * (X.T.dot(self.o_delta)) # updating (hidden -_
\rightarrow output) weights
```

```
def apply_rbf(self, X):
      new_X = []
      for centroid in self.centroids:
           new_X.append(self.rbf(X, centroid, self.sigma))
      new_X = np.array(np.mat(new_X).T)
      return new_X
  def fit(self, X, y):
      y = np.where(y < 0, 0, y)
      if self.centroids_set == False:
           self.centroids = X[:self.hiddenSize]
      X_rbf = self.apply_rbf(X)
      print("Training ", end="")
       for i in range(self.epochs): # trains the NN 'epochs' times
           if i % 10 == 0:
              print(".", end="")
           o = self.forward(X_rbf)
           self.backward(X_rbf, y, o)
      print()
       self.model_loss = self.calc_model_loss(X, y)
  def sigmoid(self, z):
       # sigmoid function
      return 1 / (1 + np.exp(-z))
  def rbf(self, x, c, s):
      return (np.exp(-1 / (2 * s**2) * np.sum((x-c)**2, axis=1))) / ((2 * np.
→pi * s**2) ** 0.5)
  def sigmoid_gradient(self, z):
       #derivative of sigmoid
      return z * (1 - z)
  def tanh(self, x):
      # tanh function
      return np.tanh(x)
```

```
def tanh_gradient(self, x):
       # derivative of tanh function
       return 1.0 - x**2
   def get_model(self):
       # returns the learned weights of the model
       return [self.W1, self.W2]
   def predict_proba(self, x_new):
       # returns the probability of input belonging to the different classes
       X_rbf = self.apply_rbf(x_new)
       y_pred_proba = self.forward(X_rbf)
       # for multiclass outputs, sum of classes probability must be 1
       if self.outputSize != 1:
           scale = y_pred_proba.sum(axis = 1).reshape(-1,1)
           y_pred_proba = y_pred_proba / scale
       return y_pred_proba
   def predict(self, x_new):
       # returns the model's class prediction of given input
       y_pred = self.predict_proba(x_new)
       # for binary classification set threshold = 0.5
       if self.outputSize == 1:
           y_pred = (y_pred >= 0.5).astype(int)
           y_pred = np.where(y_pred == 0, -1, y_pred)
       # for multiclass classification, assign to class with highest \Box
\rightarrow probability
       else:
           y_pred = (y_pred == y_pred.max(axis=1)[:,None]).astype(int)
       return y_pred
   def calc_model_loss(self, X, y):
       X_rbf = self.apply_rbf(X)
       # mean sum squared loss
       return np.mean(np.square(y - self.forward(X_rbf)))
```

```
def save_model(self, filename):
    # saves model weights in specified filename
    filename = filename + ".npy"
    model = {"sigma": self.sigma, "centroids":self.centroids, "weights":⊔

⇒self.W2}
    np.save(filename, model, allow_pickle=True)

def load_model(self, model):
    # load weights into neural network
    self.W2 = model["weights"]
    self.sigma = model["sigma"]
    self.centroids = model["centroids"]
```

Defining function for calculating accuracy

```
def accuracy(y_true, y_pred):
    """ Function for calculating the accuracy """
    if not (len(y_true) == len(y_pred)):
        print('Size of predicted and true labels not equal.')
        return 0.0

corr = 0
    for i in range(0,len(y_true)):
        corr += 1 if (y_true[i] == y_pred[i]).all() else 0

return corr/len(y_true)
```

### Function for running the tests

```
# Fitting the network to the train set
    rbfn.fit(X_train, y_train)
    # Checking the performance of the model on training set and test set
    y_pred_train = rbfn.predict(X_train)
   y_pred = rbfn.predict(X_test)
   train_acc = accuracy(y_train,y_pred_train) * 100
   test_acc = accuracy(y_test,y_pred) * 100
   model_loss = rbfn.model_loss
   train_accs.append(train_acc)
   test_accs.append(test_acc)
   model_losses.append(model_loss)
   models.append(rbfn)
   print("Sigma (width): ", sigma)
   print("Training Accuracy: ", train_acc)
   print("Test Accuracy: ", test_acc)
   print("Model loss: ", model_loss)
   print()
return train_accs, test_accs, model_losses, models
```

#### **Helper Functions**

```
[5]: def accuracy_loss_plot(accuracies, model_losses, sigmas, legend_1 = ["Train", ___
      →"Test"], legend_2 = ["Model Loss"]):
         """ Function for visualizing the model performance """
         x_counts = [i for i in range(len(sigmas))]
         x_ticks = [str(sigma) for sigma in sigmas]
         if model losses == None:
             fig, ax1 = plt.subplots(1, 1, figsize=(6.5, 4))
         else:
             fig, (ax1, ax2) = plt.subplots(1, 2, figsize=(14, 4))
         for accuracy in accuracies:
             ax1.plot(accuracy)
         ax1.set_title('Model accuracy')
         ax1.set_ylabel('Accuracy')
         ax1.set_xlabel('Sigma')
         ax1.legend(legend_1, loc='lower right')
         ax1.set_xticks(x_counts)
         ax1.set_xticklabels(x_ticks)
```

```
if model_losses == None:
    return

for model_loss in model_losses:
    ax2.plot(model_loss)

ax2.set_title('Model loss (Mean Squared Error)')
ax2.set_ylabel('loss')
ax2.set_xlabel('Sigma')
ax2.legend(legend_2, loc='upper right')
ax2.set_xticks(x_counts)
ax2.set_xticklabels(x_ticks)
```

```
[6]: def class_boundary(X_set, y_set, model, title):
         """ Function for visualizing model classification boundary """
         X1, X2 = np.meshgrid(np.arange(start = -2, stop = 2, step = 0.01),
                              np.arange(start = -2, stop = 2, step = 0.01))
         plt.contourf(X1, X2, model.predict(np.array([X1.ravel(), X2.ravel()]).T).
      →reshape(X1.shape),
                      alpha = 0.75, cmap = ListedColormap(('red', 'green')))
         plt.xlim(X1.min(), X1.max())
         plt.ylim(X2.min(), X2.max())
         for i, j in enumerate(np.unique(y_set)):
             plt.scatter(X_set[y_set[:,0] == j, 0], X_set[y_set[:,0] == j, 1],
                         color = ListedColormap(('red', 'green'))(i), label = j)
         plt.title(title)
         plt.xlabel('X1')
         plt.ylabel('X2')
         plt.legend(loc="lower right")
         plt.show()
```

#### Creating the dataset

```
[7]: """ Creating the dataset """
x = []
for i in range(21):
    for j in range(21):
        x.append([i,j])

x = np.array(x)

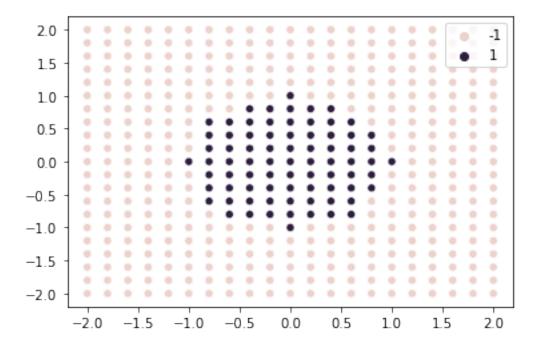
X = -2 + 0.2 * x

y = np.where((X[:,0] ** 2 + X[:,1] ** 2 <= 1), 1, -1)</pre>
```

#### Visualizing the dataset

```
[8]: sns.scatterplot(x=X[:,0], y=X[:,1], hue = y)
```

# [8]: <AxesSubplot:>



```
[9]: """ splitting the data into training set and test set """

# training set and test set with 80:20 ratio

y = y.reshape((-1,1))

X_train, X_test, y_train, y_test = train_test_split(X, y, test_size=0.2, □

→random_state=275)
```

# 1.2 Experiment 1

Experiment using all input points as centroids with constant widths for all RBF and varying the widths to see the effects of changing widths on model loss and accuracy of RBFN.

```
[10]: sigmas = [0.01, 0.05, 0.1, 0.2, 0.3, 0.4, 0.5, 1, 2, 5, 10, 100]
```

Training ...

Sigma (width): 0.01

Training Accuracy: 57.67045454545454 Test Accuracy: 19.101123595505616 Model loss: 0.4231336031473347

Training ...

Sigma (width): 0.05

Training Accuracy: 69.886363636364

Test Accuracy: 65.1685393258427 Model loss: 0.28772297115062073

Training ...

Sigma (width): 0.1

Training Accuracy: 72.1590909090909
Test Accuracy: 71.91011235955057
Model loss: 0.2180173848703248

Training ...

Sigma (width): 0.2

Training Accuracy: 91.76136363636364
Test Accuracy: 88.76404494382022
Model loss: 0.0715807124130645

Training ...

Sigma (width): 0.3

Training Accuracy: 96.02272727272727 Test Accuracy: 92.13483146067416 Model loss: 0.04361041193312453

Training ...

Sigma (width): 0.4

Training Accuracy: 97.1590909090909
Test Accuracy: 97.75280898876404
Model loss: 0.03345460191662005

Training ...

Sigma (width): 0.5

Training Accuracy: 97.44318181818183 Test Accuracy: 96.62921348314607 Model loss: 0.02923081776136471

Training ...

Sigma (width): 1

Training Accuracy: 97.44318181818183
Test Accuracy: 96.62921348314607
Model loss: 0.04470002999110812

Training ...

Sigma (width): 2

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.15633114148647856

Training ...

Sigma (width): 5

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.1447270102776108

Training ...

Sigma (width): 10

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.14241164201255466

Training ...

Sigma (width): 100

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.2334901832069611

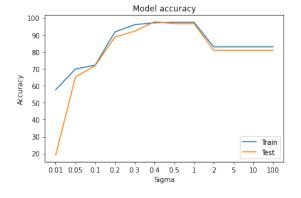
Wall time: 1.78 s

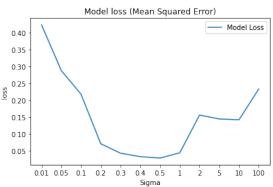
```
[12]: columns = ["Sigma", "Train Acc", "Test Acc", "Model Loss"]

results_1 = pd.DataFrame(np.array([sigmas, train_acc_1, test_acc_1, 

→model_losses_1]).T, columns = columns)

accuracy_loss_plot([train_acc_1, test_acc_1], [model_losses_1], sigmas)
```





#### 1.2.1 Observations

In this experiment, all the training datapoints were used as centroids and the RBF width was varied through 0.01, 0.05, 0.1, 0.2, 0.3, 0.4, 0.5, 1, 2, 5, 10, 100 and the following results were gotten.

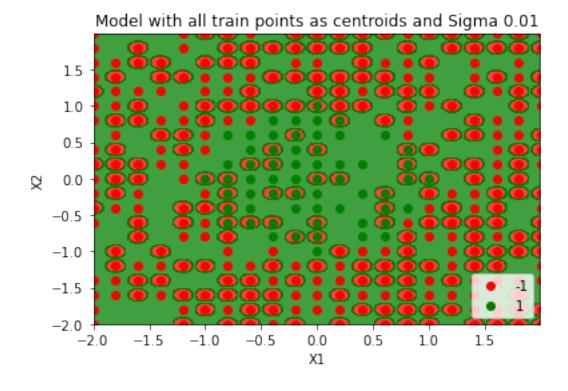
## [13]: display(results\_1)

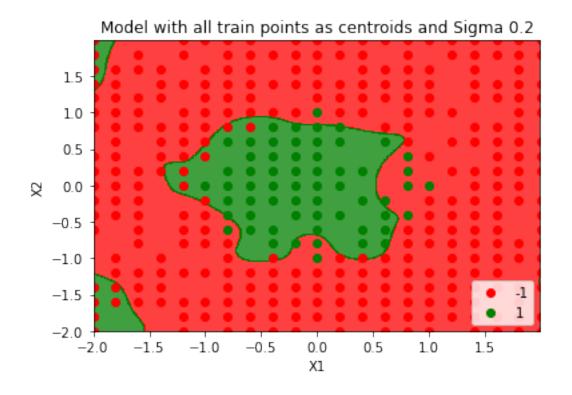
```
Sigma Train Acc Test Acc Model Loss
0 0.01 57.670455 19.101124 0.423134
1 0.05 69.886364 65.168539 0.287723
2 0.10 72.159091 71.910112 0.218017
```

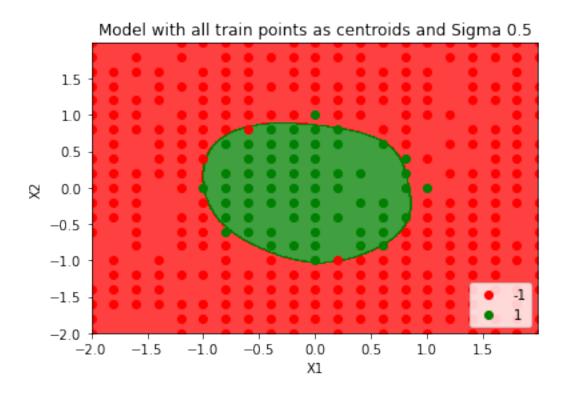
```
3
      0.20
           91.761364
                       88.764045
                                     0.071581
4
      0.30
           96.022727
                       92.134831
                                     0.043610
5
      0.40
           97.159091
                       97.752809
                                     0.033455
6
      0.50
           97.443182
                       96.629213
                                     0.029231
7
      1.00
           97.443182
                       96.629213
                                     0.044700
8
      2.00
           82.954545
                       80.898876
                                     0.156331
9
      5.00
            82.954545
                       80.898876
                                     0.144727
10
     10.00
            82.954545
                       80.898876
                                     0.142412
    100.00
            82.954545
                       80.898876
                                     0.233490
```

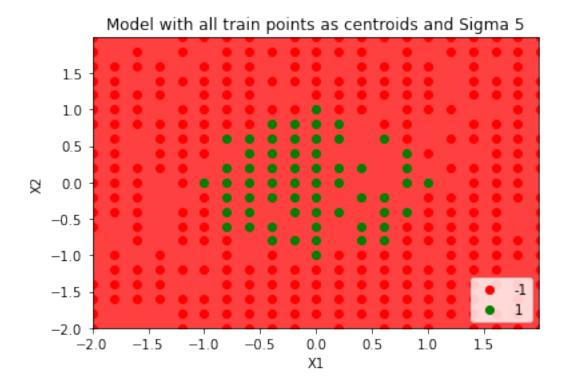
From the table of results and the accuracy and loss plots it can be observed that the model perform poorly in terms of training accuracy, testing accuracy and model loss for very low values of sigma (widths) and as the width increases, the performance of the model improves until it reaches a peak and starts to drop again. The model performed best with maximum accuracy and minimum loss with sigma (width) between 0.4 and 0.5.

# 1.2.2 Further investigation using classification boundary









From the plots showing the classification boundaries, it can be seen that for very low values of sigma, the model tends to memorize the centroid locations and since the training points were used as centroid locations, it tends to memorize a particular class of the training data thus performing poorly on the other class and not generalizing well.

For optimal values of sigma, the model performs great and learns an appropriate ovoidal boundary at the center of the plot showing that the model has learnt an appropriate approximate function for mapping the inputs to the classes. The model also generalizes well as it performs well on new observations (test set).

For large values of sigma, the model again performs poorly as it only classifies one class. It assumes all inputs belong to one class and is unable to classify samples belonging to the other class.

#### 1.3 Experiment 2a

Experiment selecting 150 random samples from the training data without replacement as centroids with constant widths for all RBF and varying the widths to see the effects of changing widths on model loss and accuracy of RBFN.

Training ...

Sigma (width): 0.01

Training Accuracy: 34.375

Test Accuracy: 19.101123595505616 Model loss: 0.31677466069564725

Training ...

Sigma (width): 0.05

Training Accuracy: 65.05681818181817 Test Accuracy: 56.17977528089888 Model loss: 0.2614622820594803

Training ...

Sigma (width): 0.1

Training Accuracy: 69.60227272727273
Test Accuracy: 60.67415730337079
Model loss: 0.22958887082276147

Training ...

Sigma (width): 0.2

Training Accuracy: 85.79545454545455
Test Accuracy: 76.40449438202246
Model loss: 0.11616569908886198

Training ...

Sigma (width): 0.3

Training Accuracy: 94.318181818183 Test Accuracy: 93.25842696629213 Model loss: 0.0721640784003872

Training ...

Sigma (width): 0.4

Training Accuracy: 95.45454545454545 Test Accuracy: 95.50561797752809 Model loss: 0.05445130294687071

Training ...

Sigma (width): 0.5

Training Accuracy: 96.022727272727 Test Accuracy: 96.62921348314607 Model loss: 0.04822992790369985

Training ...

Sigma (width): 1

Training Accuracy: 92.32954545454545 Test Accuracy: 85.39325842696628 Model loss: 0.08623358563461897 Training ...

Sigma (width): 2

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.15888789919458313

Training ...

Sigma (width): 5

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.14492804320430894

Training ...

Sigma (width): 10

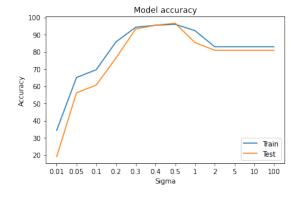
Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.14590682554053058

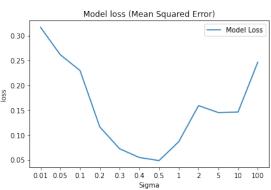
Training ...

Sigma (width): 100

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.24637916695991013

Wall time: 1.59 s





#### 1.3.1 Observations

In this experiment, all the training datapoints were used as centroids and the RBF width was varied through 0.01, 0.05, 0.1, 0.2, 0.3, 0.4, 0.5, 1, 2, 5, 10, 100 and the following results were gotten.

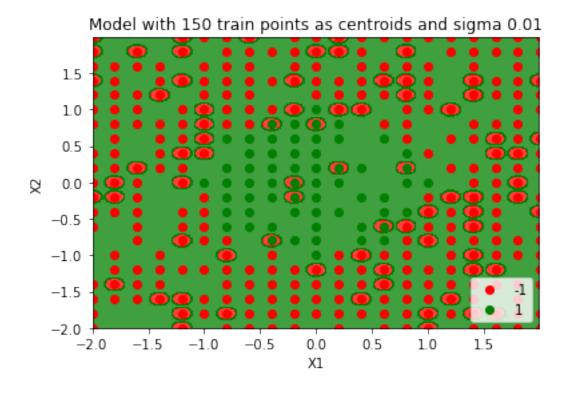
# [17]: display(results\_2)

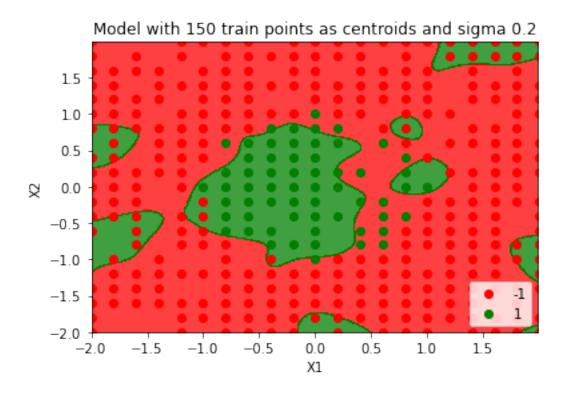
```
Sigma
            Train Acc
                         Test Acc
                                   Model Loss
      0.01
0
            34.375000
                        19.101124
                                     0.316775
1
      0.05
            65.056818
                       56.179775
                                     0.261462
2
      0.10
            69.602273
                       60.674157
                                     0.229589
3
      0.20 85.795455
                       76.404494
                                     0.116166
4
      0.30 94.318182
                       93.258427
                                     0.072164
      0.40 95.454545
                                     0.054451
5
                       95.505618
6
      0.50 96.022727
                       96.629213
                                     0.048230
7
      1.00 92.329545
                       85.393258
                                     0.086234
8
      2.00 82.954545
                       80.898876
                                     0.158888
9
      5.00
            82.954545
                       80.898876
                                     0.144928
10
     10.00
            82.954545
                       80.898876
                                     0.145907
    100.00
            82.954545
                       80.898876
                                     0.246379
```

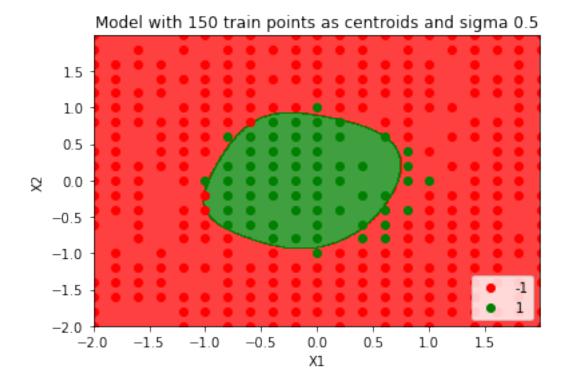
From the table of results and the accuracy and loss plots it can be observed that the model perform poorly in terms of training accuracy, testing accuracy and model loss for very low values of sigma (widths) and as the width increases, the performance of the model improves until it reaches a peak and starts to drop again. The model performed best with maximum accuracy and minimum loss with sigma (width) between 0.4 and 0.5.

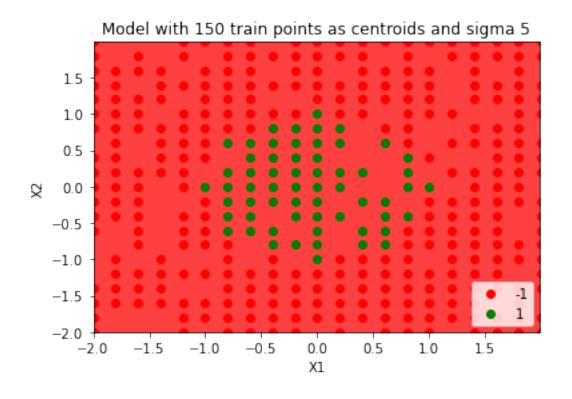
#### 1.3.2 Further investigation using classification boundary

```
[18]: for i in range(len(model_2)):
    if i % 3 == 0:
        title = "Model with 150 train points as centroids and sigma " +
        ⇒str(sigmas[i])
        class_boundary(X_train, y_train, model_2[i], title)
```









From the plots showing the classification boundaries, it can be seen that for very low values of sigma, the model tends to memorize the centroid locations and since the training points were used as centroid locations, it tends to memorize a particular class of the training data thus performing poorly on the other class and not generalizing well.

For optimal values of sigma, the model performs great and learns an appropriate ovoidal boundary at the center of the plot showing that the model has learnt an appropriate approximate function for mapping the inputs to the classes. The model also generalizes well as it performs well on new observations (test set).

For large values of sigma, the model again performs poorly as it only classifies one class. It assumes all inputs belong to one class and is unable to classify samples belonging to the other class.

#### 1.4 Experiment 2b

Experiment using KMeans clustering to find 150 centroid points with constant widths for all RBF and varying the widths to see the effects of changing widths on model loss and accuracy of RBFN.

```
[19]: %%time
      # using KNN to find the centroids
      kmeans = KMeans(n clusters = 150)
      kmeans.fit(X_train)
      centroids = kmeans.cluster_centers_
      train_acc_3, test_acc_3, model_losses_3, model_3 = run_test(X_train, y_train,_
       →X_test, y_test, centroids, sigmas)
     Training ...
     Sigma (width): 0.01
     Training Accuracy: 20.454545454545457
     Test Accuracy: 19.101123595505616
     Model loss: 0.26137137879208877
     Training ...
     Sigma (width): 0.05
     Training Accuracy: 49.14772727272727
     Test Accuracy: 60.67415730337079
     Model loss: 0.260569272113858
     Training ...
     Sigma (width): 0.1
     Training Accuracy: 67.61363636363636
     Test Accuracy: 69.66292134831461
     Model loss: 0.22065808127896885
     Training ...
     Sigma (width): 0.2
```

Training Accuracy: 86.64772727272727 Test Accuracy: 85.39325842696628 Model loss: 0.10651291594067548

Training ...

Sigma (width): 0.3

Training Accuracy: 96.30681818181817 Test Accuracy: 96.62921348314607 Model loss: 0.062126582465003306

Training ...

Sigma (width): 0.4

Training Accuracy: 97.44318181818183 Test Accuracy: 95.50561797752809 Model loss: 0.04905218306367383

Training ...

Sigma (width): 0.5

Training Accuracy: 96.5909090909091
Test Accuracy: 96.62921348314607
Model loss: 0.04447392434576394

Training ...

Sigma (width): 1

Training ...

Sigma (width): 2

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.1609492977993744

Training ...

Sigma (width): 5

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.1448481354567191

Training ...

Sigma (width): 10

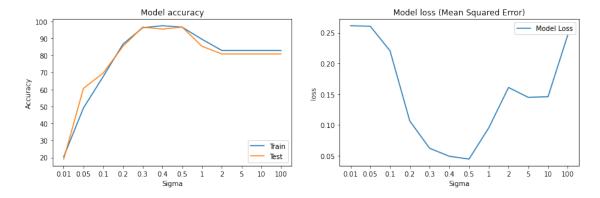
Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.1458768257963121

Training ...

Sigma (width): 100

Training Accuracy: 82.95454545454545 Test Accuracy: 80.89887640449437 Model loss: 0.24637878208976052

Wall time: 2.55 s



#### 1.4.1 Observations

In this experiment, all the training datapoints were used as centroids and the RBF width was varied through 0.01, 0.05, 0.1, 0.2, 0.3, 0.4, 0.5, 1, 2, 5, 10, 100 and the following results were gotten.

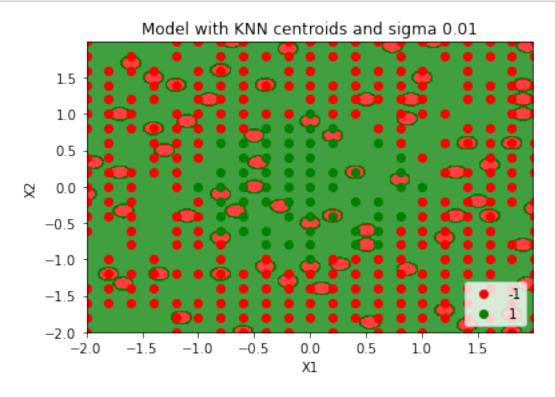
# [21]: display(results\_3)

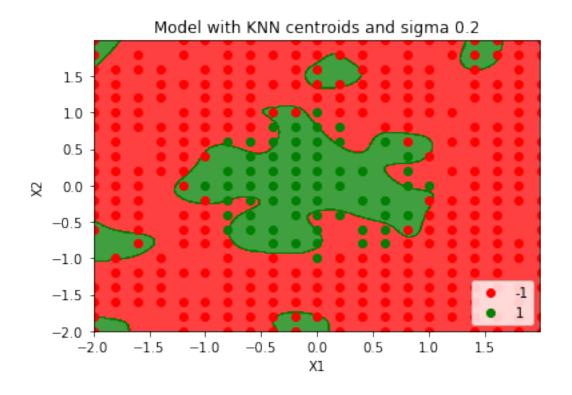
	Sigma	Train Acc	Test Acc	Model Loss
0	0.01	20.454545	19.101124	0.261371
1	0.05	49.147727	60.674157	0.260569
2	0.10	67.613636	69.662921	0.220658
3	0.20	86.647727	85.393258	0.106513
4	0.30	96.306818	96.629213	0.062127
5	0.40	97.443182	95.505618	0.049052
6	0.50	96.590909	96.629213	0.044474
7	1.00	89.488636	85.393258	0.095258
8	2.00	82.954545	80.898876	0.160949
9	5.00	82.954545	80.898876	0.144848
10	10.00	82.954545	80.898876	0.145877
11	100.00	82.954545	80.898876	0.246379

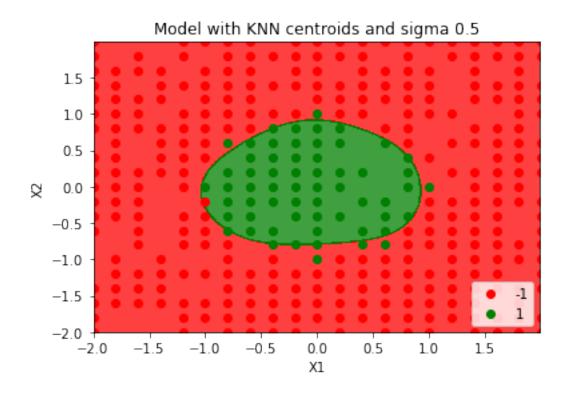
From the table of results and the accuracy and loss plots it can be observed that the model perform poorly in terms of training accuracy, testing accuracy and model loss for very low values of sigma (widths) and as the width increases, the performance of the model improves until it reaches a peak and starts to drop again. The model performed best with maximum accuracy and minimum loss with sigma (width) between 0.4 and 0.5.

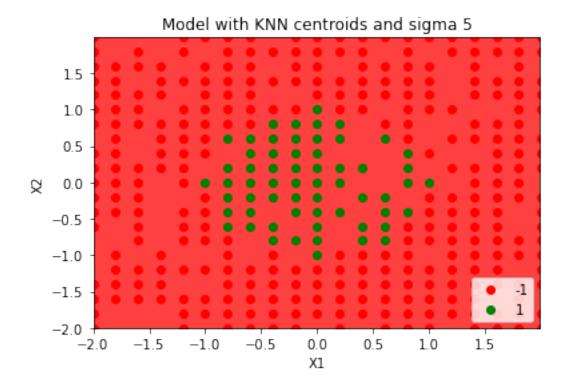
# 1.4.2 Further investigation using classification boundary

```
[22]: for i in range(len(model_3)):
    if i % 3 == 0:
        title = "Model with KNN centroids and sigma " + str(sigmas[i])
        class_boundary(X_train, y_train, model_3[i], title)
```









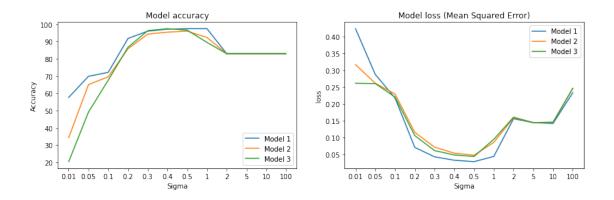
From the plots showing the classification boundaries, it can be seen that for very low values of sigma, the model tends to memorize the centroid locations and since the training points were used as centroid locations, it tends to memorize a particular class of the training data thus performing poorly on the other class and not generalizing well.

For optimal values of sigma, the model performs great and learns an appropriate ovoidal boundary at the center of the plot showing that the model has learnt an appropriate approximate function for mapping the inputs to the classes. The model also generalizes well as it performs well on new observations (test set).

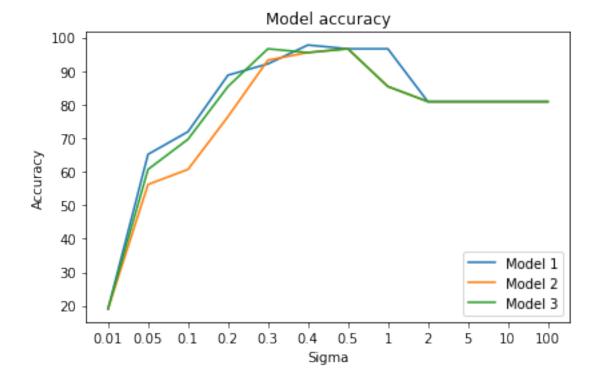
For large values of sigma, the model again performs poorly as it only classifies one class. It assumes all inputs belong to one class and is unable to classify samples belonging to the other class.

# 1.5 Comparing the different centroid initialization methods

#### Training accuracy and model loss



```
Test accuracy
```



# 1.5.1 Observations

Model 1: Model with all training datapoints as centroid locations

Model 2: Model with 150 random training datapoints as centroid locations

Model 3: Model with 150 centroids gotten using KMeans clustering

Overall, The models with the 3 different RBF centroid initializations performed comparably the same with the optimal sigma value (0.4 - 0.5). They all had training accuracies > 96, test accuracies > 96 and model loss <= 0.05. Model 1 was observed to show the minimum model loss among the three models for this sigma range.

For very small RBF widths, the Model 1 had the highest training accuracy but had the highest model loss and generalization error with is indicative of the fact the model tends to memorize a class rather than learning an approximate function to distinguish the two classes.

For very large RBF widths (sigma > 2), all the models had similar performance. Their performance was lower than that of the optimal sigma value and from the classification boundary plots previously shown, it is indicative of the model classifyin all points as the -1 class.

[]: