



EE3414 Multimedia Communication Systems - I

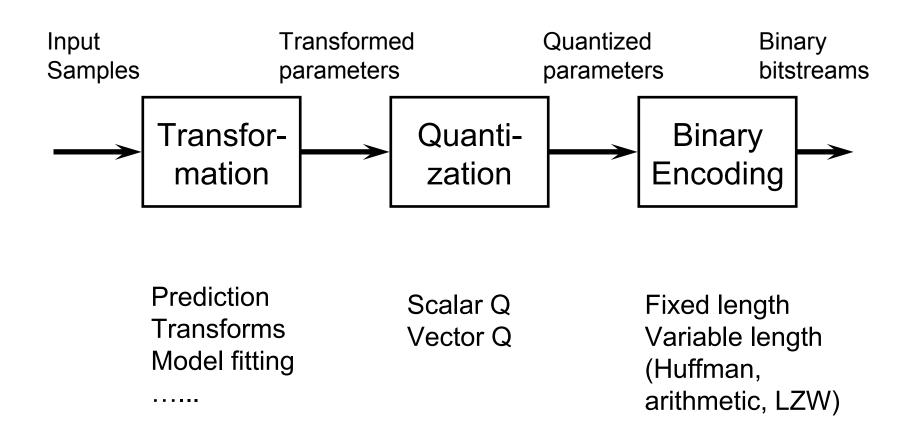
DCT and Transform Coding

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Outline

- Transform coding
 - General principle
- DCT
 - Definition, basis images
 - Energy distribution
 - Approximation with different number of basis images
 - Quantization of DCT coefficients

A Typical Compression System

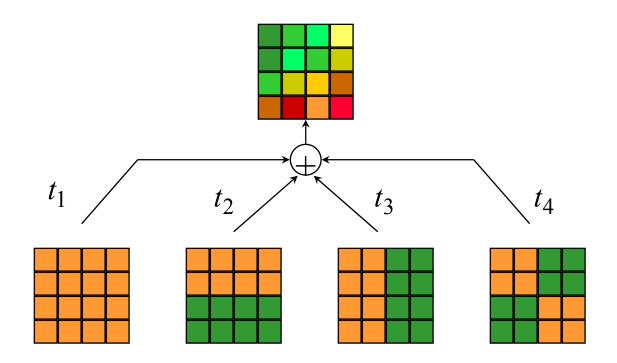


Motivation for "Transformation"

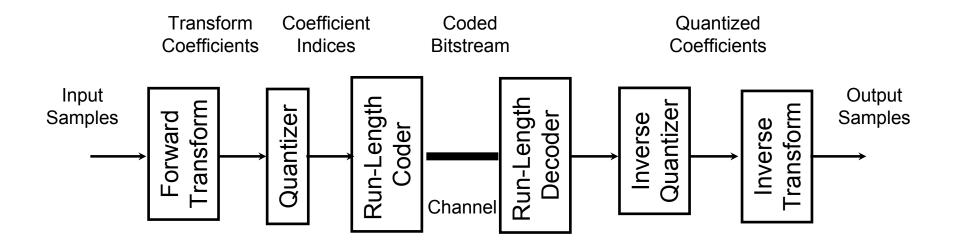
- Motivation for transformation:
 - To yield a more efficient representation of the original samples.
 - The transformed parameters should require fewer bits to code.
- Types of transformation used:
 - For speech coding: prediction
 - Code predictor and prediction error samples
 - For audio coding: subband decomposition
 - Code subband samples
 - For image coding: DCT and wavelet transforms
 - Code DCT/wavelet coefficients

Transform Coding

 Represent an image as the linear combination of some basis images and specify the linear coefficients.



A Typical Transform Coder



Transform Basis Design

- Optimality Criteria:
 - Energy compaction: a few basis images are sufficient to represent a typical image.
 - Decorrelation: coefficients for separate basis images are uncorrelated.
- Karhunen Loeve Transform (KLT) is the Optimal transform for a given covariance matrix of the underlying signal.
- Discrete Cosine Transform (DCT) is close to KLT for images that can be modeled by a first order Markov process (*i.e.*, a pixel only depends on its previous pixel).

1D Unitary Transform

Consider the N – point signal s(n) as an N - dimensional vector

$$\mathbf{s} = \begin{bmatrix} s_0 \\ s_1 \\ s_{N-1} \end{bmatrix}$$

The inverse transform says that s can be represented as the sum of N basis vectors

$$\mathbf{s} = t_0 \mathbf{u_0} + t_1 \mathbf{u_1} + ... + t_{N-1} \mathbf{u_{N-1}}$$

where \mathbf{u}_k corresponds to the k - th transform kernel:

$$\mathbf{u}_{k} = \begin{bmatrix} u_{k,0} \\ u_{k,1} \\ \dots \\ u_{k,N-1} \end{bmatrix}$$

The forward transform says that the expansion coefficient t_k can be determined by the inner product of \mathbf{s} with \mathbf{u}_k :

$$t_k = (\mathbf{u}_k, \mathbf{s}) = \sum_{n=0}^{N-1} u_{k,n}^* s_n$$

1D Discrete Cosine Transform

- Can be considered "real" version of DFT
 - Basis vectors contain only co-sinusoidal patterns

DFT

$$u_{k,n} = \frac{1}{\sqrt{N}} \exp\left(j\frac{2\pi k}{N}n\right) = \frac{1}{\sqrt{N}} \left(\cos\left(\frac{2\pi k}{N}n\right) + j\sin\left(\frac{2\pi k}{N}n\right)\right)$$

$$\mathbf{u}_{k} = \frac{1}{\sqrt{N}} \begin{bmatrix} \cos\left(\frac{2\pi k}{N}0\right) \\ \cos\left(\frac{2\pi k}{N}1\right) \\ \cdots \\ \cos\left(\frac{2\pi k}{N}(N-1)\right) \end{bmatrix} + j\frac{1}{\sqrt{N}} \begin{bmatrix} \sin\left(\frac{2\pi k}{N}0\right) \\ \sin\left(\frac{2\pi k}{N}1\right) \\ \cdots \\ \sin\left(\frac{2\pi k}{N}(N-1)\right) \end{bmatrix}$$

DCT

$$u_{k,n} = \alpha(k)\cos\left(\frac{\pi k}{2N}(2n+1)\right)$$
$$\alpha(0) = \sqrt{\frac{1}{N}}, \alpha(k) = \sqrt{\frac{2}{N}}, k = 1, 2, \dots, N-1$$

$$\mathbf{u}_{k} = \alpha(k) \begin{bmatrix} \cos\left(\frac{\pi k}{2N}1\right) \\ \cos\left(\frac{\pi k}{2N}3\right) \\ \dots \\ \cos\left(\frac{\pi k}{2N}(2N+1)\right) \end{bmatrix}$$

Example: 4-point DCT

Using
$$u_{k,n} = \alpha(k) \cos\left(\frac{k\pi}{2*4}(2n+1)\right), \alpha(0) = \sqrt{\frac{1}{4}} = \frac{1}{2}, \alpha(k) = \sqrt{\frac{2}{4}} = \sqrt{\frac{1}{2}}, k \neq 0,$$

1D DCT basis are:
$$\mathbf{u}_{0} = \frac{1}{2} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}; \mathbf{u}_{1} = \frac{1}{\sqrt{2}} \begin{bmatrix} \cos\left(\frac{\pi}{8}\right) \\ \cos\left(\frac{3\pi}{8}\right) \\ \cos\left(\frac{5\pi}{8}\right) \\ \cos\left(\frac{7\pi}{8}\right) \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 0.9239 \\ 0.3827 \\ -0.9239 \end{bmatrix}; \mathbf{u}_{2} = \frac{1}{\sqrt{2}} \begin{bmatrix} \cos\left(\frac{\pi}{4}\right) \\ \cos\left(\frac{3\pi}{4}\right) \\ \cos\left(\frac{5\pi}{4}\right) \\ \cos\left(\frac{7\pi}{4}\right) \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}; \mathbf{u}_{3} = \frac{1}{\sqrt{2}} \begin{bmatrix} \cos\left(\frac{3\pi}{8}\right) \\ \cos\left(\frac{9\pi}{8}\right) \\ \cos\left(\frac{15\pi}{8}\right) \\ \cos\left(\frac{15\pi}{8}\right) \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 0.3827 \\ -0.9239 \\ 0.9239 \\ -0.3827 \end{bmatrix}$$

For
$$\mathbf{s} = \begin{bmatrix} 2 \\ 4 \\ 5 \\ 3 \end{bmatrix}$$
, determine the transform coefficients t_k . Also determine the reconstructed vector from all coefficients and two largest coefficients.

Go through on the board

2D Separable Transform

Consider the $M \times N$ – point image S as a $M \times N$ - dimensional array (matrix)

$$\mathbf{S} = \begin{bmatrix} S_{0,0} & S_{0,1} & \dots & S_{0,N-1} \\ S_{1,0} & S_{1,1} & \dots & S_{1,N-1} \\ \dots & \dots & \dots & \dots \\ S_{M-1,0} & S_{M-1,1} & \dots & S_{M-1,N-1} \end{bmatrix}$$

The inverse transform says that s can be represented as the sum of $M \times N$ basis images

$$\mathbf{S} = T_{0,0} \mathbf{U}_{0,0} + T_{0,1} \mathbf{U}_{0,1} + \dots + T_{M-1,N-1} \mathbf{U}_{M-1,N-1}$$

where $\mathbf{U}_{k,l}$ corresponds to the (k,l) - th transform kernel :

$$\mathbf{U}_{k,l} = \mathbf{u}_{k} (\mathbf{u}_{l})^{T} = \begin{bmatrix} u_{k,0} \\ u_{k,1} \\ \vdots \\ u_{k,N-1} \end{bmatrix} \begin{bmatrix} u_{k,0}^{*} & u_{l,1}^{*} & \dots & u_{k,0}^{*} u_{l,N-1}^{*} \\ \vdots & \vdots & \vdots \\ u_{k,N-1}^{*} \end{bmatrix} = \begin{bmatrix} u_{k,0} u_{l,0}^{*} & u_{k,0} u_{l,1}^{*} & \dots & u_{k,0} u_{l,N-1}^{*} \\ u_{k,1} u_{l,0}^{*} & u_{k,1} u_{l,1}^{*} & \dots & u_{k,1} u_{l,N-1}^{*} \\ \vdots & \vdots & \vdots & \vdots \\ u_{k,N-1} u_{l,0}^{*} & u_{k,N-1} u_{l,1}^{*} & \dots & u_{k,N-1} u_{l,N-1}^{*} \end{bmatrix}$$

The forward transform says that the expansion coefficient S_k can be determined by the inner product of **S** and $\mathbf{U}_{k,l}$:

$$T_{k,l} = (\mathbf{U}_{k,l}, \mathbf{S}) = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} U_{k,l;m,n}^* S_{m,n}$$

2D Discrete Cosine Transform

Basis image = outer product of 1D DCT basis vector

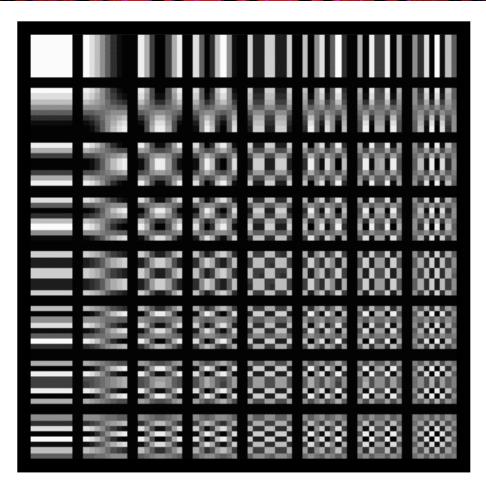
$$\mathbf{u}_{k;N} = \alpha(k) \begin{bmatrix} \cos\left(\frac{\pi k}{2N}1\right) \\ \cos\left(\frac{\pi k}{2N}3\right) \\ \vdots \\ \cos\left(\frac{\pi k}{2N}(2N+1)\right) \end{bmatrix}, \quad \alpha(0) = \sqrt{\frac{1}{N}}, \alpha(k) = \sqrt{\frac{2}{N}}, k = 1, 2, \dots, N-1$$

$$\mathbf{U}_{k,l;M,N} = \mathbf{u}_{k;M} \left(\mathbf{u}_{l;N}\right)^{T}$$

$$= \alpha(k)\alpha(l) \begin{bmatrix} \cos\left(\frac{k\pi}{2M}1\right)\cos\left(\frac{l\pi}{2N}1\right) & \cos\left(\frac{k\pi}{2M}1\right)\cos\left(\frac{l\pi}{2N}3\right) & \dots & \cos\left(\frac{k\pi}{2M}1\right)\cos\left(\frac{l\pi}{2N}1\right) \\ \cos\left(\frac{k\pi}{2M}3\right)\cos\left(\frac{l\pi}{2N}1\right) & \cos\left(\frac{k\pi}{2M}3\right)\cos\left(\frac{l\pi}{2N}3\right) & \dots & \cos\left(\frac{k\pi}{2M}3\right)\cos\left(\frac{l\pi}{2N}3\right)\cos\left(\frac{l\pi}{2N}3\right)\cos\left(\frac{l\pi}{2N}3\right) \\ \dots & \dots & \dots \\ \cos\left(\frac{k\pi}{2M}(2M+1)\right)\cos\left(\frac{l\pi}{2N}1\right) & \cos\left(\frac{k\pi}{2M}(2M+1)\right)\cos\left(\frac{l\pi}{2N}3\right) & \dots & \cos\left(\frac{k\pi}{2M}(2M+1)\right)\cos\left(\frac{l\pi}{2N}3\right) \end{bmatrix}$$

Basis Images of 8x8 DCT

Low-Low



High-Low

Low-High

High-High

Example: 4x4 DCT

Using
$$u_{k,n} = \alpha(k) \cos\left(\frac{k\pi}{2*4}(2n+1)\right), \alpha(0) = \sqrt{\frac{1}{4}} = \frac{1}{2}, \alpha(k) = \sqrt{\frac{2}{4}} = \sqrt{\frac{1}{2}}, k \neq 0,$$

1D DCT basis are:
$$\mathbf{u}_{0} = \frac{1}{2} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}; \mathbf{u}_{1} = \frac{1}{\sqrt{2}} \begin{bmatrix} \cos\left(\frac{\pi}{8}\right) \\ \cos\left(\frac{3\pi}{8}\right) \\ \cos\left(\frac{5\pi}{8}\right) \\ \cos\left(\frac{7\pi}{8}\right) \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 0.9239 \\ 0.3827 \\ -0.9239 \end{bmatrix}; \mathbf{u}_{2} = \frac{1}{\sqrt{2}} \begin{bmatrix} \cos\left(\frac{\pi}{4}\right) \\ \cos\left(\frac{3\pi}{4}\right) \\ \cos\left(\frac{5\pi}{4}\right) \\ \cos\left(\frac{7\pi}{4}\right) \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}; \mathbf{u}_{3} = \frac{1}{\sqrt{2}} \begin{bmatrix} \cos\left(\frac{3\pi}{8}\right) \\ \cos\left(\frac{9\pi}{8}\right) \\ \cos\left(\frac{15\pi}{8}\right) \\ -0.3827 \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 0.3827 \\ -0.9239 \\ 0.9239 \\ -0.3827 \end{bmatrix}$$

using $\mathbf{U}_{k,l} = \mathbf{u}_k (\mathbf{u}_l)^T$ yields:

For
$$\mathbf{S} = \begin{bmatrix} 1 & 2 & 2 & 0 \\ 0 & 1 & 3 & 1 \\ 0 & 1 & 2 & 1 \\ 1 & 2 & 2 & -1 \end{bmatrix}$$
, compute $T_{k,l}$

Using $T_{k,l} = (\mathbf{U}_{k,l}, \mathbf{S})$ yields, e.g,

Completing calculation using Matlab yields (using "dct2(S)"):

$$\mathbf{T} = \begin{bmatrix} 4.5 & -0.0793 & -3 & 1.1152 \\ 0.4619 & -0.5 & 0.1913 & 0 \\ 0 & 2.0391 & -0.5 & -0.3034 \\ -0.1913 & 0 & 0.4619 & -0.5 \end{bmatrix}$$

Reconstruction from top 2x2 coefficients only yields:

$$S = \begin{bmatrix} 1.09 & 1.54 & 1.38 & 0.86 \\ 1.44 & 1.9 & 1.63 & 0.95 \\ 1.11 & 1.38 & 1.63 & 0.95 \\ 0.61 & 0.68 & 0.31 & 0.05 \end{bmatrix}$$

The reconstructed image varies slower than the original, because we cut off some high frequency coefficients

DCT on a Real Image Block

```
>>imblock = lena256(128:135,128:135)
imblock=
182 196 199 201 203 201 199 173
 175 180 176 142 148 152 148 120
                                         >>dctblock =dct2(imblock)
 148 118 123 115 114 107 108 107
                                         dctblock=1.0e+003*
 115 110 110 112 105 109 101 100
                                           1.0550 0.0517 0.0012 -0.0246 -0.0120 -0.0258 0.0120 0.0232
 104 106 106 102 104 95
                                           0.1136  0.0070  -0.0139  0.0432  -0.0061  0.0356  -0.0134  -0.0130
 99 115 131 104 118 86 87 133
                                           112 154 154 107 140 97 88 151
                                           0.0359 -0.0243 -0.0156 -0.0208 0.0116 -0.0191 -0.0085 0.0005
 145 158 178 123 132 140 138 133
                                           0.0407 -0.0206 -0.0137 0.0171 -0.0143 0.0224 -0.0049 -0.0114
                                           0.0072 -0.0136 -0.0076 -0.0119 0.0183 -0.0163 -0.0014 -0.0035
                                          -0.0015 -0.0133 -0.0009 0.0013 0.0104 0.0161 0.0044 0.0011
                                          -0.0068 -0.0028 0.0041 0.0011 0.0106 -0.0027 -0.0032 0.0016
```

Low frequency coefficients (top left corner) are much larger than the rest!

Reconstructed Block

Original block

 182
 196
 199
 201
 203
 201
 199
 173

 175
 180
 176
 142
 148
 152
 148
 120

 148
 118
 123
 115
 114
 107
 108
 107

 115
 110
 110
 112
 105
 109
 101
 100

 104
 106
 106
 102
 104
 95
 98
 105

 99
 115
 131
 104
 118
 86
 87
 133

 112
 154
 154
 107
 140
 97
 88
 151

 145
 158
 178
 123
 132
 140
 138
 133

Reconstructed using top 4x4 coefficients

 190
 192
 195
 197
 196
 189
 179
 172

 175
 169
 163
 163
 164
 160
 150
 141

 147
 136
 124
 120
 122
 121
 114
 106

 115
 110
 103
 98
 96
 94
 93
 92

 96
 104
 109
 104
 93
 89
 96
 104

 102
 117
 130
 123
 106
 98
 109
 124

 126
 139
 148
 138
 119
 111
 121
 136

 148
 155
 156
 144
 125
 118
 126
 138

Reconstructed using top 2x2 coefficients only

 162
 161
 158
 154
 149
 146
 143
 141

 159
 157
 154
 151
 147
 143
 140
 138

 153
 151
 149
 145
 141
 137
 135
 133

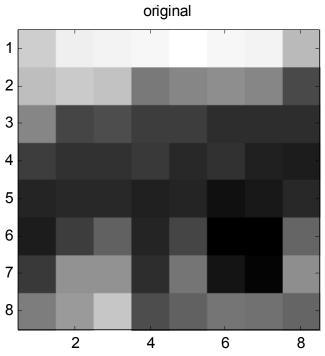
 145
 144
 141
 138
 134
 131
 128
 126

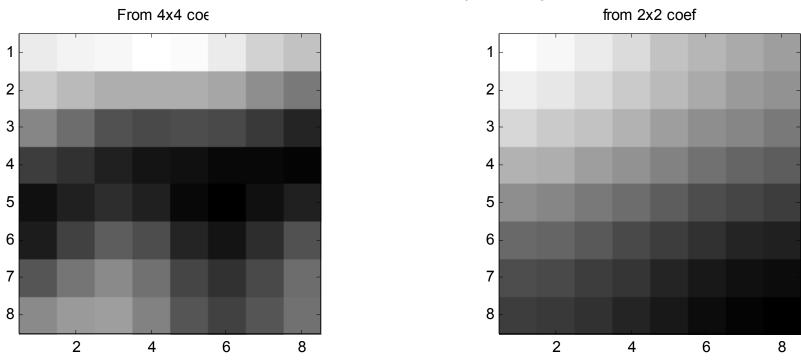
 137
 135
 133
 130
 126
 123
 121
 119

 129
 128
 125
 122
 119
 116
 114
 113

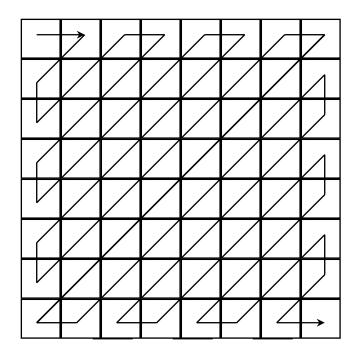
 123
 122
 119
 117
 114
 111
 109
 108

 119
 118
 116
 114
 111
 108
 106
 105





Zig-Zag Ordering of DCT Coefficients



Zig-Zag ordering: converting a 2D matrix into a 1D array, so that the frequency (horizontal+vertical) increases in this order, and the coefficient variance (average of magnitude square) decreases in this order.

DCT Coefficient Variance Distribution

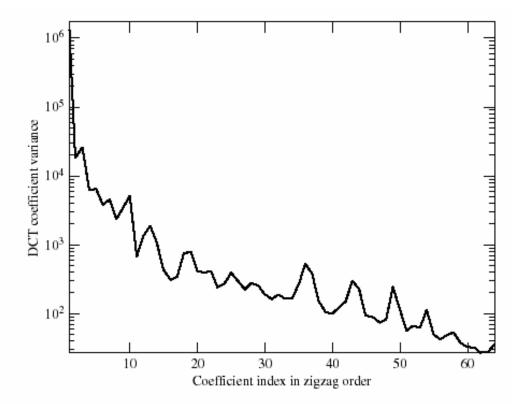


Figure 9.4 Energy distribution of the 8×8 DCT coefficients of the test image "flower."

Low frequency coefficients have much higher variances. Variance for 1 coefficient is the mean of the coefficient square over all 8x8 blocks

Approximation by DCT Basis





With 16/64 Coefficients

With 8/64 Coefficients

With 4/64 Coefficients

Matlab demo: dctdemo

VCdemo

- Can show DCT images as collection or as blocks
- Can perform quantization, show quantized image and bit rate

Quantization of DCT Coefficients

- Use uniform quantizer on each coefficient
- Different coefficient is quantized with different step-size (Q):
 - Human eye is more sensitive to low frequency components
 - Low frequency coefficients with a smaller Q
 - High frequency coefficients with a larger Q
 - Specified in a normalization matrix
 - Normalization matrix can then be scaled by a scale factor (QP)

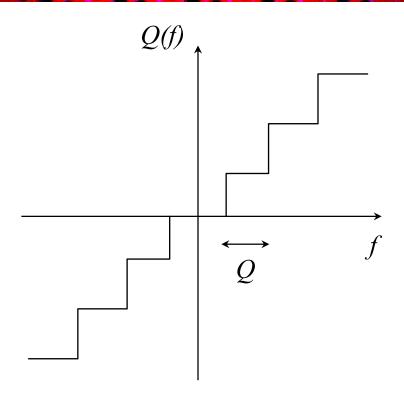
Default Normalization Matrix in JPEG

For Luminance component

16	11	10	16	24	40	51	61
12	12	14	19	26	58	60	55
14	13	16	24	40	57	69	56
14	17	22	29	51	87	80	62
18	22	37	56	68	109	103	77
24	35	55	64	81	104	113	92
49	64	78	87	103	121	120	101
72	92	95	98	112	100	103	99

Actual step size for C(i,j): Q(i,j) = QP*M(i,j)

Uniform Quantization



Example: Quantized Indices

```
>>dctblock =dct2(imblock)
dctblock=1.0e+003*
 1.0550 0.0517 0.0012 -0.0246 -0.0120 -0.0258 0.0120 0.0232
                                                                  >>OP=1;
 0.1136 0.0070 -0.0139 0.0432 -0.0061 0.0356 -0.0134 -0.0130
                                                                  >>QM=Qmatrix*QP;
 0.1956  0.0101  -0.0087  -0.0029  -0.0290  -0.0079  0.0009
                                                    0.0096
                                                                  >>qdct=floor((dctblock+QM/2)./(QM))
 0.0359 -0.0243 -0.0156 -0.0208 0.0116 -0.0191 -0.0085
                                                    0.0005
                                                                  qdct =
 0.0407 -0.0206 -0.0137 0.0171 -0.0143 0.0224 -0.0049 -0.0114
                                                                           0 -2
 0.0072 -0.0136 -0.0076 -0.0119 0.0183 -0.0163 -0.0014 -0.0035
                                                                              2
 -0.0015 -0.0133 -0.0009 0.0013 0.0104 0.0161 0.0044 0.0011
                                                                           -1 0 -1 0
 -0.0068 -0.0028 0.0041
                       0
                                                                              0
```

Only 19 coefficients are retained out of 64

0 -1

0

0 0

0

0

0

 $0 \quad 0 \quad 0 \quad 0$

0

0 0

0 0

Example: Quantized Coefficients

%dequantized DCT block													
>> iqdct=qdct.*QM													
iqdct=													
1056	55	0	-32	0	-40	0	0						
108	12	-14	38	0	58	0	0						
196	13	-16	0	-40	0	0	0						
42	-17	-22	-29	0	0	0	0	Original DCT block					
36	-22	0	0	0	0	0	0	dctblock=1.0e+003*					
0	0	0	0	0	0	0	0	1.0550 0.0517 0.0012 -0.0246 -0.0120 -0.0258 0.0120 0.0232					
0	0	0	0	0	0	0	0	0.1136 0.0070 -0.0139 0.0432 -0.0061 0.0356 -0.0134 -0.0130					
0	0	0	0	0	0	0	0	0.1956					
								0.0359 -0.0243 -0.0156 -0.0208 0.0116 -0.0191 -0.0085 0.0005					
								0.0407 -0.0206 -0.0137 0.0171 -0.0143 0.0224 -0.0049 -0.0114					
								0.0072 -0.0136 -0.0076 -0.0119 0.0183 -0.0163 -0.0014 -0.0035					
								-0.0015 -0.0133 -0.0009 0.0013 0.0104 0.0161 0.0044 0.0011					
								-0.0068 -0.0028 0.0041 0.0011 0.0106 -0.0027 -0.0032 0.0016					

Example: Reconstructed Image

%reconstructed image block

>> qimblock=round(idct2(iqdct))

qimblock=

 186
 196
 213
 207
 189
 196
 195
 166

 173
 166
 167
 161
 150
 152
 147
 126

 149
 130
 117
 116
 115
 109
 104
 101

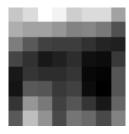
 120
 109
 97
 102
 108
 93
 91
 113

 97
 111
 107
 108
 112
 89
 87
 128

 95
 131
 127
 114
 117
 91
 84
 129

 112
 160
 146
 118
 129
 112
 98
 136

 131
 182
 158
 122
 144
 139
 121
 151



Original image block

imblock=

 182
 196
 199
 201
 203
 201
 199
 173

 175
 180
 176
 142
 148
 152
 148
 120

 148
 118
 123
 115
 114
 107
 108
 107

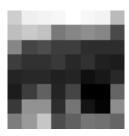
 115
 110
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 104
 118
 86
 87
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 138
 133



Matlab Implementation

```
% This function does the following processing on each block: DCT, quantize DCT,
   inverse DCT
% This function is called by dct exp
% Yao Wang 4/10/2003
function qimblock=blkdct quant(imblock,QP)
Qmatrix=[16,11,10,16,26,40,51,61;
   12, 12, 14, 19, 26, 58, 60, 55;
  14, 13, 16, 24, 40, 57, 69, 56;
  14,17,22,29,51,87,80,62;
  18, 22, 37, 56, 68, 109, 103, 77;
   24,35,55,64,81,104,113,92;
   49,64,78,87,103,121,120,101;
   72,92,95,98,112,100,103,99];
dctblock=dct2(imblock);
QM=Qmatrix*QP;
qdct=floor((dctblock+QM/2)./QM);
iqdct=qdct.*QM;
gimblock=round(idct2(igdct));
```

Matlab Implementation

```
%For each image block, perform DCT, quantization and inverse DCT
%User can specify the QP for quantization
%Yao Wang, 4/10/2003
%This program calls function "blkdct_quant"

function dct_exp(fname,QP)
img=imread(fname);
qimg=blkproc(img,[8 8],'blkdct_quant',QP);
figure;
imagesc(qimg),axis image, truesize, axis off; colormap(gray);
title('DCT Domain Quantized Image');
```

Note: the "blkproc" function in matlab performs "blkdct_quant" on each 8x8 block of the image. Using this function is much faster than using a loop that going through each block.

lena256_gray.tif



QP=0.5



QP=1



QP=2



What Should You Know

- How to perform 2D DCT: forward and inverse transform
 - Manual calculation for small sizes, using inner product notation
 - Using Matlab: dct2, idct2
- Why DCT is good for image coding
 - Real transform, easier than DFT
 - Most high frequency coefficients are nearly zero and can be ignored
 - Different coefficients can be quantized with different accuracy based on human sensitivity
- How to quantize DCT coefficients
 - Varying stepsizes for different DCT coefficients based on visual sensitivity to different frequencies
 - A quantization matrix specifies the default quantization stepsize for each coefficient
 - The matrix can be scaled using a user chosen parameter (QP) to obtain different trade-offs between quality and size

References

Gonzalez and Woods, *Digital image processing*, 2nd edition, Prentice Hall, 2002. Sec.8.5.2.

Vcdemo site:

http://www-ict.its.tudelft.nl/~inald/vcdemo/

This site also contain pdf slides of lectures on transform coding.