# 9. homework assignment; JAVA, Academic year 2015/2016; FER

This homework has two problems.

#### Problem 1.

We will consider another kind of fractal images: fractals derived from Newton-Raphson iteration. As you are surely aware, for about three-hundred years we know that each function that is k-times differentiable around a given point  $x_0$  can be approximated by a k-th order Taylor-polynomial:

$$f(x_0+\varepsilon) = f(x_0) + f'(x_0)\varepsilon + \frac{1}{2!}f''(x_0)\varepsilon^2 + \frac{1}{3!}f'''(x_0)\varepsilon^3 + \dots$$

So let  $x_1$  be that point somewhere around the  $x_0$ :

$$x_1 = x_0 + \varepsilon$$

Substituting it into previously given formula we obtain:

$$f(x_1) = f(x_0) + f'(x_0)(x_1 - x_0) + \frac{1}{2!} f''(x_0)(x_1 - x_0)^2 + \frac{1}{3!} f'''(x_0)(x_1 - x_0)^3 + \dots$$

For approximation of function f we will restrict our self on linear approximation, so we can write:

$$f(x_1) \approx f(x_0) + f'(x_0)(x_1 - x_0)$$

Now, let us assume that we are interested in finding  $x_1$  for which our function is equal to zero, i.e. we are looking for  $x_1$  for which  $f(x_1)=0$ . Plugging this into above approximation, we obtain:

$$0 = f(x_0) + f'(x_0)(x_1 - x_0)$$

and from there:

$$x_1 = x_0 - \frac{f(x_0)}{f'(x_0)}$$

However, since we used the approximation of f, it is quite possible that  $f(x_1)$  is not actually equal to zero; however, we hope that  $f(x_1)$  will be closer to zero than it was  $f(x_0)$ . So, if that is true, we can iteratively apply this expression to obtain better and better values for x for which f(x) = 0. So, we will use iterative expression:

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}$$

which is known as Newton-Raphson iteration.

For this homework we will consider complex polynomial functions. For example, lets consider the complex polynomial whose roots are +1, -1, *i* and -*i*:

$$f(z)=(z-1)(z+1)(z-i)(z+i)=z^4-1$$

After deriving we obtain:

$$f'(z) = 4z^3$$

It is easy to see that our function f becomes 0 for four distinct complex numbers z. However, we will pretend that we don't know those roots. Instead, we will start from some initial complex point c and plug it into our iterative expression:

$$z_{n+1} = z_n - \frac{f(z_n)}{f'(z_n)} = x_n - \frac{z^4 - 1}{4z^3}$$
 with  $z_0 = c$ .

We will generate iterations until we reach a predefined number of iterations (for example 16) or until module  $|z_{n+1}-z_n|$  becomes adequately small (for example, convergence threshold 1e-3). Once stopped, we will find the closest function root for final point  $z_n$ , and color the point c based on index of that root. However, if we stopped on a  $z_n$  that is further than predefined threshold from all roots, we will color the point c with a color associated with index 0.

For example, if the function roots are +1, -1, i and -i, if acceptable root-distance is 0.002, if convergence threshold equals 0.001 and if we stopped iterating after  $z_7$ =-0.9995+i0 because z7 was closer to  $z_6$ =-0.9991+i0 then convergence threshold, we will determine that  $z_7$  is closest to second function root (first is +1, second is -1, third is +i, fourth is -i) and that  $z_7$  is within predetermined root-distance (0.002) to -1, so we will color pixel c based on color associated with index 2.

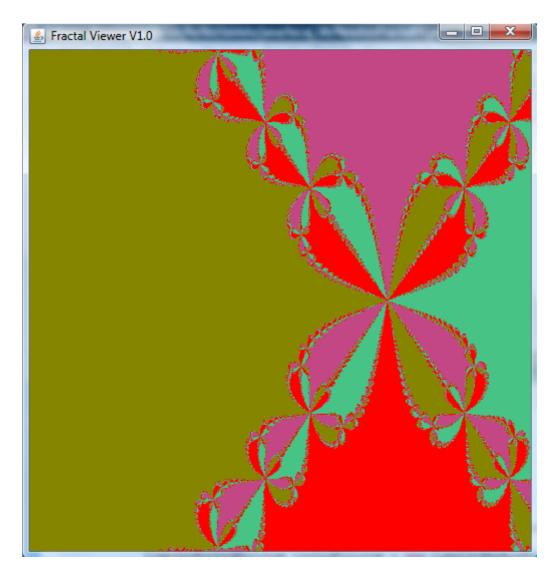
So, we will proceed just as with Mandelbrot fractal:

```
for(y in y<sub>min</sub> to y<sub>max</sub>) {
  for(x in x<sub>min</sub> to x<sub>max</sub>) {
    c = map_to_complex_plain(x, y, x<sub>min</sub>, x<sub>max</sub>, y<sub>min</sub>, y<sub>max</sub>, re<sub>min</sub>, re<sub>max</sub>, im<sub>min</sub>, im<sub>max</sub>);
    zn = c;
    iter = 0;
    iterate {
        zn1 = zn - f(zn)/f'(zn);
        iter++;
    } while(|zn1-zn|>convergenceTreshold && iter<maxIter);
    index = findClosestRootIndex(zn1, rootTreshold);
    if(index==-1) { data[offset++]=0; } else { data[offset++]=index; }
}</pre>
```

We use <code>data[]</code> array same way as we did for Mandelbrot fractal and the GUI component will handle the rest; the only difference here is that content of <code>data[]</code> array does not represent the speed of divergence but instead holds the indexes of roots in which observed complex point <code>c</code> has converged or 0 if no convergence to a root occurred. Another difference is that the upper limit to <code>data[i]</code> is number of roots, so we won't call observer with:

```
observer.acceptResult(data, (short)(m), requestNo);
but instead with:
observer.acceptResult(data, (short)(polynom.order()+1), requestNo);
```

If you completed this correct, for our first example with roots +1, -1, +i and -i you will get the following picture:



In order to solve this, you will have to download from Ferko repository fractal-viewer-1.0.jar and include it in build-path (save it into lib folder; javadoc is included as separate jar).

More verbose introduction to fractals based on Newton-Raphson iteration can be found at: <a href="http://www.chiark.greenend.org.uk/~sgtatham/newton/">http://www.chiark.greenend.org.uk/~sgtatham/newton/</a>

## **Details**

In order to complete this problem, you are required to write the following:

- **immutable** model of complex number denoted Complex,
- immutable model of root-based complex polynomial denoted ComplexRootedPolynomial,
- **immutable** model of coefficient-based complex polynomial denoted ComplexPolynomial.

Here are the skeletons for these classes:

```
public static class Complex {
      public static final Complex ZERO = new Complex(0,0);
      public static final Complex ONE = new Complex(1,0);
      public static final Complex ONE NEG = new Complex(-1,0);
      public static final Complex IM = new Complex(0,1);
     public static final Complex IM NEG = new Complex(0,-1);
      public Complex() {...}
      public Complex(double re, double im) {...}
      // returns module of complex number
      public double module() {...}
      // returns this*c
      public Complex multiply(Complex c) {...}
      // returns this/c
      public Complex divide(Complex c) {...}
      // returns this+c
      public Complex add(Complex c) {...}
      // returns this-c
      public Complex sub(Complex c) {...}
      // returns -this
      public Complex negate() {...}
      // returns this^n, n is non-negative integer
      public Complex power(int n) {...}
      // returns n-th root of this, n is positive integer
      public List<Complex> root(int n) {...}
     @Override
      public String toString() {...}
}
```

```
public static class ComplexPolynomial {
     // ...
      // constructor
      public ComplexPolynomial(Complex ...factors) {...}
      // returns order of this polynom; eg. For (7+2i)z^3+2z^2+5z+1 returns 3
      public short order() {...}
      // computes a new polynomial this*p
      public ComplexPolynomial multiply(ComplexPolynomial p) {...}
      // computes first derivative of this polynomial; for example, for
      // (7+2i)z^3+2z^2+5z+1 returns (21+6i)z^2+4z+5
      public ComplexPolynomial derive() {...}
      // computes polynomial value at given point z
      public Complex apply(Complex z) {...}
      @Override
      public String toString() {...}
}
```

Given these classes, the core of iterative loop could be written as:

```
Complex numerator = polynomial.apply(zn);
Complex denominator = derived.apply(zn);
Complex fraction = numerator.divide(denominator);
Complex zn1 = zn.sub(fraction);
module = zn1.sub(zn).module();
zn = zn1;
```

Write a main program hr.fer.zemris.java.fractals.Newton. The program must ask user to enter roots as given below (observe the syntax used), and then it must start fractal viewer and display the fractal.

```
C:\somepath> java -cp bin hr.fer.zemris.java.fractals.Newton
Welcome to Newton-Raphson iteration-based fractal viewer.
Please enter at least two roots, one root per line. Enter 'done' when done.
Root 1> 1
Root 2> -1 + i0
Root 3> i
Root 4> 0 - i1
Root 5> done
Image of fractal will appear shortly. Thank you.
```

(user inputs are shown in red)

General syntax for complex numbers is of form a+ib or a-ib where parts that are zero can be dropped, but not both (empty string is not legal complex number); for example, zero can be given as 0, i0, 0+i0, 0-i0. If there is 'i' present but no b is given, you must assume that b=1.

The implementation of IFractalProducer that you will supply must use parallelization to speed up the rendering. The range of y-s must be divided into 8 \* numberOfAvailableProcessors jobs. For running your jobs you must use ExecutorService based on FixedThreadPool, and you must collect your jobs by calling get() on provided Future objects. Do not create new ExecutorService for each call of method produce. Instead, create it in producer's constructor. Use a variant of FixedThreadPool which allows you to specify a custom ThreadFactory as last argument. Implement a DaemonicThreadFactory that produces threads which have daemon flag set to true and pass an instance of this factory to the newFixedThreadPool; this way, your program won't hang once the GUI is closed.

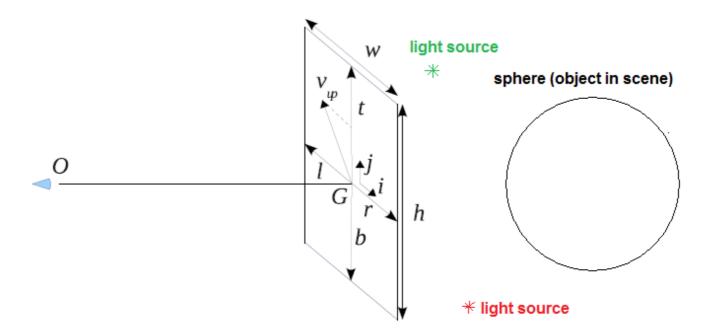
## Problem 2.

You will write a simplification of a ray-tracer for rendering of 3D scenes; don't worry – it's easy and fun. And also, we won't write a full-blown ray-tracer but only a ray-caster.

Please download from Ferko repository raytracer-1.0.jar; sources are available as separate jar. Save both jars in lib directory, add raytracer-1.0.jar to build.path and set raytracer-1.0-sources.jar as raytracer-1.0.jar's sources file (right click, properties, ...). **Do not unpack raytracer-1.0-sources.jar** into your project. To better understand needed theory, you are advised to download the book available at:

## http://java.zemris.fer.hr/nastava/irg/

(version knjiga-0.1.2014-02-07.pdf) and read section 9.2 (Phong model, pages 225 to 228) and section 10.2 (Ray-casting algorithm, pages 235 to 238). To render an image using ray-casting algorithm, you start by defining which object are present in the 3D scene, where are you stationed (eye-position: O), where do you look at (view position: G) and in which direction is "up" (view-up approximation). See next image.



Now imagine that you have constructed a plane perpendicular to vector that connects the eye position (O) and the view point (G). In that plane you will create a 2D coordinate system, so you will have the x-axis (as indicated by vector i on the image) and the y-axis (as indicated by vector j on the image). If you only start with an eye-position and a view point, your y-axis can be arbitrarily placed in this plane (you could rotate it for any angle). To help us fix the direction of the y-axis, it is customary to specify another vector: the *view-up* vector which does not have to lay in the plane but it also must not be co-linear with G-O vector, so that a projection of this vector onto the plane exists. If this is true, then take a look at the projection of the view-up vector into the plane: we will use the normalized version of this projection to become our j vector and hence determine the orientation of y-axis.

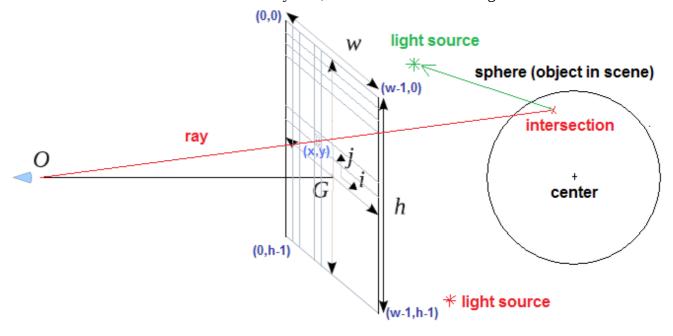
Lets start calculating. Let:  $\vec{OG} = \frac{\vec{G} - \vec{O}}{\|\vec{G} - \vec{O}\|}$ , i.e. it is the normalized vector from  $\vec{O}$  to  $\vec{G}$ ; let  $\vec{VUV}$  be normalized version of the view-up vector. Then we can obtain the  $\vec{j}$  vector as follows:

 $\vec{j}' = V\vec{U}V - \vec{OG}(\vec{OG} \cdot V\vec{U}V)$  where  $\vec{OG} \cdot V\vec{U}V$  is a scalar product. Define its normalized version to be:  $\vec{j} = \frac{\vec{j}'}{\|\vec{j}'\|}$ . Now we can calculate vector  $\vec{i}$  which will determine the orientation of the x-axis as a cross product:  $\vec{i} = \vec{OG} \times \vec{j}$  and its normalized version  $\vec{i} = \frac{\vec{i}'}{\|\vec{j}'\|}$ .

Once we have determined where the plane is and what are the vectors determining our x-asis (i.e.  $\vec{i}$ ) and y-axis (i.e.  $\vec{j}$ ), we have to decide which part of this plane will be mapped to our screen so that we can determine where inthis plaine each screen-pixel is located (where is position (0,0), (0,1), etc.). We will assume it to be a rectangle going left from  $\vec{G}$  (i.e. in direction  $-\vec{i}$ ) for l, going right from  $\vec{G}$  (i.e. in direction  $\vec{i}$ ) for r, going up from  $\vec{G}$  (i.e. in direction  $\vec{j}$ ) for t, and finally going down from  $\vec{G}$  (i.e. in direction  $-\vec{j}$ ) for b. To simplify things further, lets assume that  $l=r=\frac{horizontal}{2}$  and  $t=b=\frac{vertical}{2}$  where we introduced two parameters: horizontal and vertical.

In provided libraries I have already prepared the class Point3D with implemented methods for calculation of scalar products, cross-products, vector normalization etc. so use it.

Now we will define final screen coordinate system, as shown in the next image.



We will define (0,0) to be the upper left point of our rectangular part of the plane; the x-axis will be oriented just as  $\vec{i}$  vector is, and the y-axis will be oriented opposite from  $\vec{j}$  vector. We can obtain the 3D coordinates of our upper-left corner as follows:

$$corner = \vec{G} - \frac{horizontal}{2} \cdot \vec{i} + \frac{vertical}{2} \cdot \vec{j}$$

Now for each x from 0 to w-1 and for each y from 0 to h-1 we can calculate the 3D position of the screen-pixel (x,y) in the plane as follows:

$$po\vec{int}_{xy} = co\vec{r}ner + \frac{x}{w-1} \cdot horizontal \cdot \vec{i} - \frac{y}{h-1} \cdot vertical \cdot \vec{j}$$

And now it is simple: we define a ray of light which starts at  $\vec{O}$  and passes through  $point_{xy}$ . Then we

check if this ray which is specified by starting point  $\vec{O}$  and normalized directional vector

```
\vec{d} = \frac{po\vec{i}nt_{xy} - \vec{O}}{\|po\vec{i}nt_{xy} - \vec{O}\|} has any intersections with objects in scene! If an intersection is found, then that is
```

exactly what will determine the color of screen-pixel (x,y). If no intersection is found, the pixel will be rendered black (r=g=b=0). However, if an intersection is found, we must determine the color of the pixel. If multiple intersections are found, we must chose the closest one to eye-position since that is what the human observer will see. For coloring we will use Phongs model which assumes that there is one or more point-light-sources present in scene. In our example there are two light sources (one green and one red in the previous image). Each light source is specified with intensities of r, q and b components it radiates.

Here is the pseudo code for the above described procedure:

```
for each pixel (x,y) calculate ray r from eye-position to pixel<sub>xy</sub> determine closest intersection S of ray r and any object in the scene (in front of observer) if no S exists, color (x,y) with rgb(0,0,0) else use rbg(determineColorFor(S))
```

The procedure determineColorFor(S) is given by the following pseudocode:

```
set color = rgb(15,15,15) // i.e. ambient component for each light source ls define ray r' from ls.position to S find closest intersection S' of r' and any objects in scene if S' exists and is closer to ls.position than S, skip this light source (it is obscured by that object!) else color += diffuse component + reflective component
```

#### **Details**

Go through sources of IrayTracerProducer, IrayTracerResultObserver, GraphicalObject, LightSource, Scene, Point3D, Ray and RayIntersection. Create package hr.fer.zemris.java.raytracer.model in your homework and add class Sphere:

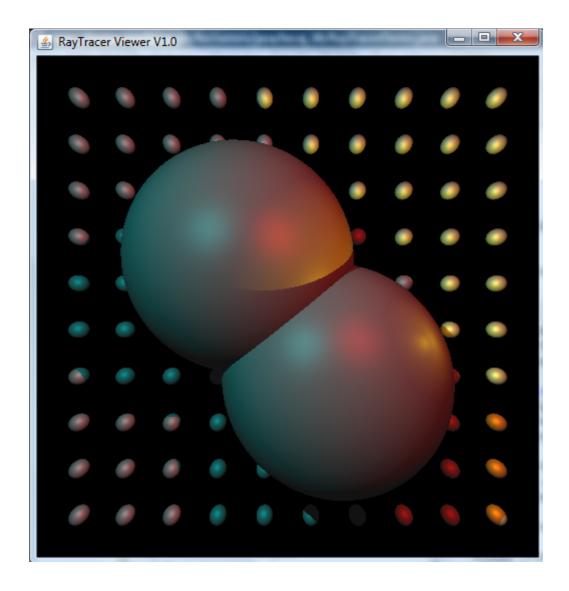
and implement all that is missing. Until you do that, the method which is used to build the default scene will not work (RayTracerViewer.createPredefinedScene()). Coeficients  $kd^*$  determine the object parameters for diffuse component and  $kr^*$  for reflective components.

Write a main program hr.fer.zemris.java.raytracer.RayCaster. The basic structure of the program

should look like this:

```
public static void main(String[] args) {
      RayTracerViewer.show(getIRayTracerProducer(),
      new Point3D(10,0,0),
      new Point3D(0,0,0),
      new Point3D(0,0,10),
      20, 20);
}
private static IRayTracerProducer getIRayTracerProducer() {
      return new IRayTracerProducer() {
            @Override
            public void produce(Point3D eye, Point3D view, Point3D viewUp,
                        double horizontal, double vertical, int width, int height,
                        long requestNo, IRayTracerResultObserver observer) {
                  System.out.println("Započinjem izračune...");
                  short[] red = new short[width*height];
                  short[] green = new short[width*height];
                  short[] blue = new short[width*height];
                  Point3D zAxis = ...
                  Point3D yAxis = ...
                  Point3D xAxis = ...
                  Point3D screenCorner = ...
                  Scene scene = RayTracerViewer.createPredefinedScene();
                  short[] rgb = new short[3];
                  int offset = 0;
                  for(int y = 0; y < height; y++) {
                        for(int x = 0; x < width; x++) {
                              Point3D screenPoint = ...
                              Ray ray = Ray.fromPoints(eye, screenPoint);
                              tracer(scene, ray, rgb);
                              red[offset] = rgb[0] > 255 ? 255 : rgb[0];
                              green[offset] = rgb[1] > 255 ? 255 : rgb[1];
                              blue[offset] = rgb[2] > 255 ? 255 : rgb[2];
                              offset++;
                        }
                  }
                  System.out.println("Izračuni gotovi...");
                  observer.acceptResult(red, green, blue, requestNo);
                  System.out.println("Dojava gotova...");
            }
      };
}
```

Fill the missing parts! If you do this OK, you will get the following image.



Now if this goes OK, please observe that calculation of color for each pixel is independent from other pixels. Using this knowledge write a main program

hr.fer.zemris.java.raytracer.RayCasterParallel which parallelizes the calculation using Fork-Join framework and RecursiveAction.

**Please note.** You can consult with your peers and exchange ideas about this homework *before* you start actual coding. Once you open you IDE and start coding, consultations with others (except with me) will be regarded as cheating. You can not use any of preexisting code or libraries for this homework (whether it is yours old code or someones else), except the libraries which I have provided as part of this homework assignment. However, you are free to use classes which are part of Java Standard Edition platform and which were covered on lectures. Document your code!

In order to solve this homework, create a blank Eclipse Java Project and write your code inside. You are not required to junit test this homework.

You must name your project's main directory (which is usually also the project name) HW09-*yourJMBAG*; for example, if your JMBAG is 0012345678, the project name and the directory name must be HW09-0012345678. Once you are done, export the project as a ZIP archive and upload this archive to Ferko before the deadline. Do not forget to lock your upload or upload will not be accepted. Deadline is May 12<sup>th</sup> 2016. at 08:00 AM.