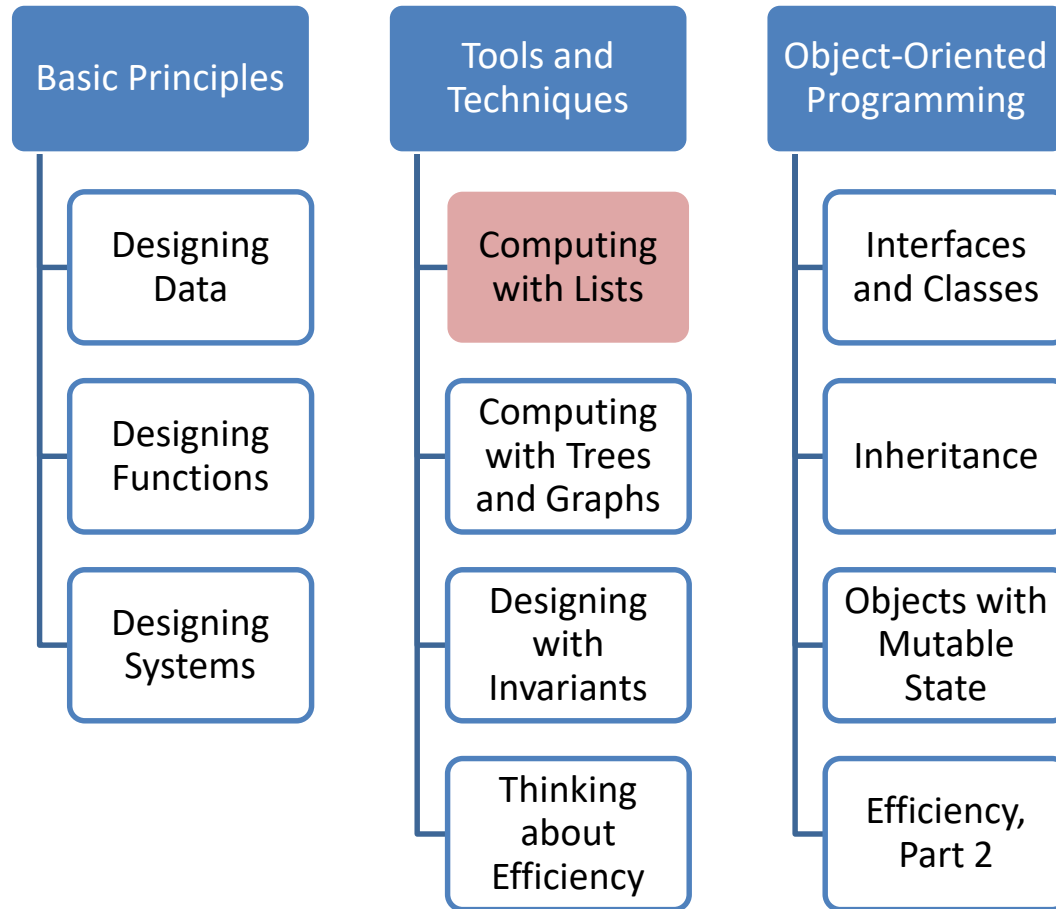


# Module 4: Lists

CS 5010 Program Design Paradigms  
“Bootcamp”  
Combined Module 4 Lessons



# Module 04



# Module Introduction

- This week, we will learn about list data, which is a natural representation for sequences.
- We will learn about
  - the arithmetic of lists
  - the observer template for list data
  - lists of structures

# Learning Objectives for Lesson 4.1

At the end of this lesson, you should be able to:

- Write down a data definition for information represented as a list
- Notate lists using constructor, list, and write notations.
- Explain how lists are represented as singly-linked data structures, and how **cons**, **first**, and **rest** work on these structures
- Calculate with the basic operations on lists: **cons**, **first**, and **rest** .

So let's get started...

# Sequence Information

- a phone book, which is a sequence of listings
- a presentation, which is a sequence of slides
- In Racket, these can be represented as *lists*.
  - for some applications, there are more efficient representations, but we'll start with lists.

# Lists: A Handy Representation for Sequences

- Sequences of data items arise so often that Racket has a standard way of representing them.
- Sequence information in Racket is most often represented by *lists*.
- These are implemented as singly-linked lists, which you should have learned about in your data structures course.

# Example Data Definition for Sequence

There are many ways to represent a sequence of numbers. Here we've chosen to represent a sequence as a singly-linked list.

**;; DATA DEFINITION:**

**;; A NumberSeq is represented as a list of Number.**

**;; CONSTRUCTOR TEMPLATES**

**;; empty**

**;; (cons n ns)**

**;; WHERE:**

**;; n is a Number**

**;;**

**;; ns is a NumberSeq**

**;;**

There are two ways to build a **NumberSeq**, so we have two constructor templates.

We'll deal with the observer template in the next lesson.

-- the first number  
in the sequence

-- the rest of the  
numbers in the sequence

We must give an interpretation for each possible **NumberSeq**.

**empty** and **cons** are built into Racket. We don't need any **define-structures** for them

# Examples of **NumberSeq**:

**empty**

**(cons 11 empty)**

**(cons 22 (cons 11 empty))**

**(cons 33 (cons 22 (cons 11 empty)))**

**(cons 33 empty)**

*A **NumberSeq** is one of:*

*-- **empty***

*-- (cons **Number**  
          **NumberSeq**)*

Here are some examples of **NumberSeqs**.

**empty** is a **NumberSeq** by the data definition.

**(cons 11 empty)** is a **NumberSeq** because **11** is a number and **empty** is a **NumberSeq**.

**(cons 22 (cons 11 empty))** is a **NumberSeq** because **22** is a number and **(cons 11 empty)** is a **NumberSeq**.

And so on.



# DigitSequence

**A Digit is one of**

**"0" | "1" | "2" | ... | "9"**

**A DigitSequence (Dseq) is represented  
as a list of Digit.**

**CONSTRUCTOR TEMPLATES:**

- empty**
- (cons Digit DSeq)**

Let's do it again, this time with digits.

We define a Digit to be one of the strings "0", "1", etc., through "9".

A DigitSequence (DSeq) is either empty or the cons of a Digit and a DSeq.

# Examples of DSeqs

`empty`

`(cons "3" empty)`

`(cons "2" (cons "3" empty))`

`(cons "4" (cons "2" (cons "3" empty)))`

- These are not DSeqs:

`(cons 4 (cons "2" (cons "3" empty)))`

`(cons (cons "3" empty)`

`(cons "2" (cons "3" empty)))`

*A DigitSequence (DSeq) is one of:*

*-- empty*

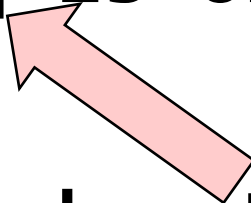
*-- (cons Digit DSeq)*

Can you explain why each of the first 4 examples are DSeq's, according to the data definition?

Can you explain why the last two are not Dseq's?

These data definitions are *self-referential*

A NumberSeq is one of:

- empty
  - (cons Number NumberSeq)
- 

The data definition for NumberSeqs contains something we haven't seen before: *self-reference*.

The second constructor uses NumberSeq, even though we haven't finished defining NumberSeqs yet. That's what we mean by self-reference.

In normal definitions, this would be a problem: you wouldn't like a dictionary that did this.

But self-reference the way we've used it is OK. We've seen in the examples how this works: once you have something that you know is a NumberSeq, you can do a cons on it to build another NumberSeq. Since that's a NumberSeq, you can use it to build still another NumberSeq.

We also call this a *recursive* data definition.

This one is self-referential, too

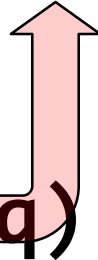
A **Digit** is one of

"0" | "1" | "2" | ... | "9"

A **DigitSequence** (**DSeq**) is one of:

-- empty

-- (cons Digit DSeq)



# How Lists Represent Sequences

- If  $X$  is some data definition, we define a list of  $X$ 's as either empty or the cons of an  $X$  and a list of  $X$ 's.
- So a list of sardines is either **empty** or the **cons** of a sardine and a list of sardines.
- The interpretation is always "a sequence of  $X$ 's".
  - **empty** represents a sequence with no elements
  - **(cons  $x$  lst)** represents a sequence whose first element is  $x$  and whose other elements are represented by **lst**.
- If we had some information that we wanted to represent as a list of  $X$ 's (say a list of people), we would have to specify the order in which the  $X$ 's appear (say "in increasing order of height"), or else say "in any order."

# The constructor template for list data

## CONSTRUCTOR TEMPLATES for XList


-- empty

interp: a sequence of X's with no elements

-- (cons X XList)

interp: (cons x xs) represents a sequence of X's whose first element is x and whose other elements are represented by xs.

We've written XList here, but there is no such thing as an XList. There are only NumberLists, or DigitLists, or SardineLists. We'll write XList when we mean a list of X's for some X, but we don't care which it is.



We use xs (pronounced "ex's") as the plural of "x".

# Collection Information

- Sometimes we are interested in representing a set, which may not have a built-in notion of order
  - a space-invaders game with many invaders
  - a book-store inventory with many books
- You can use lists to represent these as well.
  - You will need to specify the order (or lack thereof)
  - This will be part of the data definition

# Example Data Definition for Collection Information

```
;; An Inventory is represented as a list of BookStatus,  
;; in increasing ISBN order, with at most one entry per  
;; ISBN.
```

```
;; CONSTRUCTOR TEMPLATES
```

```
;; empty  
;; (cons bs inv)  
  -- WHERE  
    bs is a BookStatus  
    inv is an Inventory  
    and  
    (bookstatus-isbn bs) is less than the ISBN  
    of any book in inv.
```

Note that here we've put the constraints on order and multiplicity right in the data definition.



# List Notation

- There are several ways to write down lists.
- We've been using the *constructor notation*, since that is the most important one for use in data definitions.
- The second most important notation we will use is *list notation*. In Racket, this is the standard notation in the Intermediate Student Language.
- Internally, lists are represented as singly-linked lists.
- On output, lists may be notated in *write notation*.

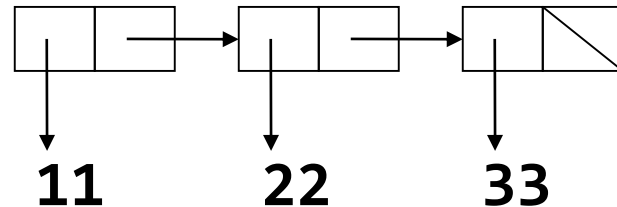
# Examples of List Notation

Constructor notation:

```
(cons 11  
      (cons 22  
            (cons 33  
                  empty))))
```

List notation: `(list 11 22 33)`

Internal representation:



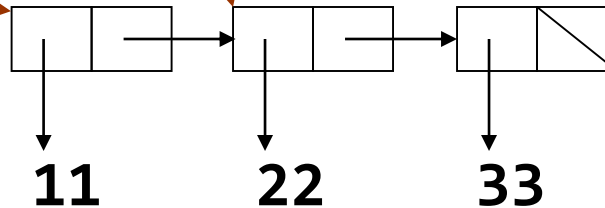
**write-style** (output only): `(11 22 33)`

# Implementation of **cons**

Now that we've seen the internal representation of lists, we can see how **cons** creates a new list: it simply adds a new node to the front of the list. This operation takes a short, fixed amount of time.

**(cons 11 lst)**

**lst**



**lst = (list 22 33)**

**(cons 11 lst) = (list 11 22 33)**

# Operations on Lists

**empty? : XList -> Boolean**

**Given a list of X's, returns true  
iff the list is empty**

Racket provides 3 functions for inspecting lists and taking them apart. These are **empty?**, **first**, and **rest**.

The predicate **empty?** returns true if and only if the list is empty.

# Operations on Lists

**first : XList -> X**

**GIVEN: a non-empty list of X's**

**RETURNS: the first element in the list.**

When we write down the template for lists, we will see that when we call **first**, its argument will always be non-empty.

# Operations on Lists

**rest : XList -> XList**

**GIVEN: a non-empty list of X's.**

**RETURNS: the list of all its  
elements except the first**

When we write down the template for lists, we will see that when we call **rest**, its argument will always be non-empty.

# Examples

```
(empty? empty) = true
(empty? (cons 11 empty)) = false
(empty? (cons 22 (cons 11 empty))) = false
```

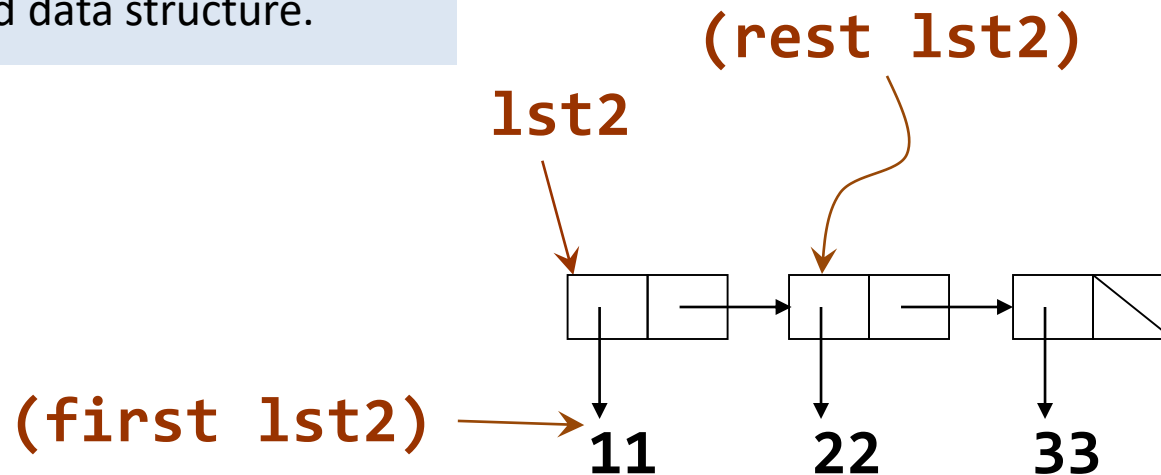
```
(first (cons 11 empty)) = 11
(rest (cons 11 empty)) = empty
```

```
(first (cons 22 (cons 11 empty))) = 22
(rest (cons 22 (cons 11 empty))) = (cons 11 empty)
```

```
(first empty) ➔ Error! (Precondition failed)
(rest empty) ➔ Error! (Precondition failed)
```

# Implementation of **first** and **rest**

**first** and **rest** simply follow a pointer in the singly-linked data structure.



```
lst2 = (list 11 22 33)
(first lst2) = 11
(rest lst2) = (list 22 33)
```



# Properties of **cons**, **first**, and **rest**

$(\text{first } (\text{cons } v \text{ } l)) = v$

$(\text{rest } (\text{cons } v \text{ } l)) = l$

If  $l$  is non-empty, then

$(\text{cons } (\text{first } l) (\text{rest } l)) = l$

Here are some useful facts about **first**, **rest**, and **cons**. Can you see why they are true?

These facts tell us that if we want to build a list whose **first** is **x** and whose **rest** is **lst**, we can do this by writing **(cons x lst)**.

# Summary

At this point, you should be able to:

- Write down a data definition for information represented as a list
- Notate lists using constructor, list, and write notations.
- Explain how lists are represented as singly-linked data structures, and how **cons**, **first**, and **rest** work on these structures
- Calculate with the basic operations on lists: **cons**, **first**, and **rest** .

# Next Steps

- If you have questions about this lesson, ask them on the Discussion Board
- Do Guided Practices 4.1 and 4.2
- Go on to the next lesson