rew-shots learning of anatomic and oncologic 1	1
structures in radiology	2
Raidium Data Challenge Report	3
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This work is the report for a data challenge ¹ provided by RAIDIUM, as part of Stéphane	11
Mallat's class "Apprentissage et génération par échantillonnage aléatoire" at Collège	12
de France	13
Abstract	14
The objective of this challenge is to segment anatomical and oncological struc-	15
tures in 2D Computed Tomography (CT) images, utilizing a dataset that includes	16
both fully annotated and unannotated images. The dataset includes a large amount	17
of medical images, which are used for segmentation tasks where the segmented struc-	18
tures do not encompass the entire image, leaving certain pixels unaccounted for and	19
considered as part of the background. In this report, we show the different techniques	20
tried to tackle this problem. We show that the tested SSL pretraining techniques,	21
while very promising in some cases, are not well adapted to our case since the amount	22
of data available is not big enough. A very simple region-based class separation leads	23
to good results on the validation dataset of 86% for a binary classification (all the	24
classes are assimilated to one class). The Adaptive t-vMF result proved to be a good	25
regularized method.	26
Keywords: Few-shots learning, Self-supervised learning, dice metric, Medical Image	27
Segmentation	20

 $^{{}^{1}} https://challengedata.ens.fr/participants/challenges/150/$

1. Introduction

The objective of this challenge is to segment anatomical and oncological structures in Computed Tomography (CT) images, utilizing a dataset that includes both fully annotated and unannotated images.

The dataset provided comprises 2000 CT images, differentiated into two main categories: 400 images accompanied by detailed segmentation masks of individual structures, serving as the ground truth for training, and 1600 raw, unsegmented CT images that can be utilized in an unsupervised learning context.

The test set includes 500 additional images with corresponding segmentation maps, focusing on both anatomical structures and tumors. The nature of the dataset presents a unique combination of zero-shot and few-shot learning scenarios due to the incomplete overlap of structures between the training and test sets and the limited examples of some structures.

The principal challenge lies in accurately segmenting and identifying diverse anatomical structures within the CT images, where the segmented structures do not encompass the entire image, leaving certain pixels unaccounted for and considered as part of the background. This task is particularly challenging as it involves dealing with variations in structure appearance, size, and shape across different patients and images.

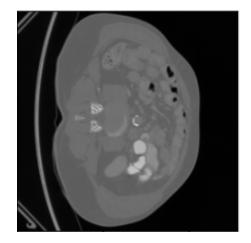
In this report, we show the different techniques tried to tackle this problem. First, we tried self- or weakly-supervised techniques. Those techniques directly came to mind due to the nature of the problem annotations (20%), but came with its set of challenges. Then we tried a Dice Loss based method. In conclusion, we show what techniques we would use if the project were to be done again.

The entire project was run on Télécom Paris' GPU servers. Those machines are made for research by both master's and PhD students, and due to low usage (many machines were unused), we ran it on an overkill machine. The machine is comprised of 3 A-100 Nvidia GPU's with 40Gb of RAM each, 48 Intel Xeon Gold CPUs and 400Gb of system RAM. The machine is controlled via SSH and using a remote jupyter notebook.

2. Challenge Presentation

The goal of the challenge is to provide a segmentation of CT scan images. The 63 segmentation provided represents anatomical and oncological structures, but does not 64 cover the entire image. The data provided are only partially annotated: the train set is 65

made up of 2000 images, 400 of which have a segmentation mask. The test consists of 500 images of different structures. Some of such structures are present in the training set (few-shot learning) but some are not (zero-shot learning). The goal of the challenge is to learn this segmentation. As usual in this kind of competition in order to prevent any attempt to overfit, there is public and a private dataset. The accuracy is measured on both sets by averaging the Rand Index between each label and its associated prediction, while also excluding background pixels (i.e. 0 in the label).



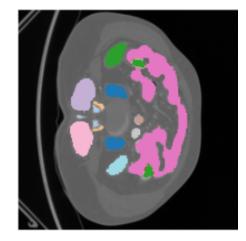


Figure 1: Example of an image and its segmentation.

2.1 Understanding the data

The input is comprised of a collection of 2D grayscale images (represented as a 3D numpy array) that depict slices of a CT scan in the transverse plane, each image being of size 512x512 pixels. The order of the slices is random, leading to a lack of 3D spatial context. The output consists of a set of 2D matrices (also a 3D numpy array) with dimensions of 512x512 pixels, containing integer (uint8) values. Each coordinate (w, h) within each matrix Yi,w,h corresponds to a specific anatomical structure.

The segmentation is only partial: the background and unidentified structures are not segmented. The number of segmented regions varies from image to image. Segmented areas are not labeled and are identified with a random integer greater than 1, 0 being reserved for background information.

The number of segmented areas varies greatly from one image to the next, as seen in Figure 2. There are on average 18 areas per image but with a high standard deviation of 7. The size of each region also varies greatly, with very small regions and much larger regions. One fact that simplifies the task is that every region is continuous and that most but not all regions are separated by a background.

At first glance, we can guess that some regions will be very easy to segment (very

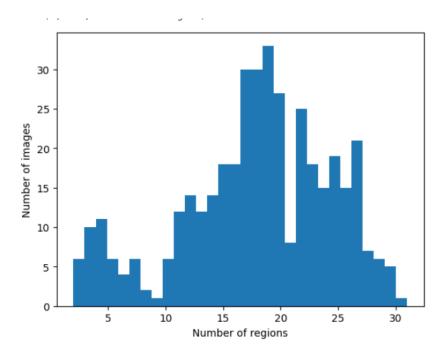


Figure 2: Histogram of the number of segmented regions per image.

round regions with a high contrast compared to the background) and some others will be much more complex (the small intestine in Figure 1). This is due to the fact that this structure is not homogeneous and non-convex.

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Most regions are very small (the average size taken by a region is 1%), but a fifth of the images have at least one region that takes more than 10% of the image (Figure 3). Still in average, 19% of each image is covered by a segmentation mask.

2.2 Accuracy measure

There are many different ways of measuring the performance of segmentation al- 102 gorithms, each having its specific advantages and purposes.

Pixel Accuracy is the simplest measure, calculating the percentage of pixels in the 105 image that are correctly classified. It is straight forward but can be misleading if the class 106 distribution is unbalanced, as it might favor larger segments.

Intersection over Union (IoU), also known as the Jaccard Index, measures the overlap
between the predicted and ground truth segments. It is defined as the size of the intersection divided by the size of the union of the predicted and true segments. This measure
is more robust than pixel accuracy, especially in the presence of class imbalance.

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Dice Coefficient (F1 Score) measures the overlap but is calculated as the size of the 114 intersection divided by the average size of the predicted and true segments. This is par-115

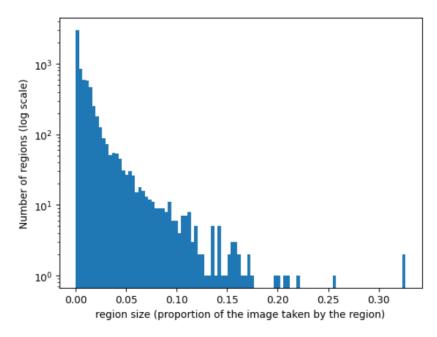


Figure 3: Histogram of the size of segmented regions per image.

ticularly used in medical image segmentation because it balances false positives and false negatives.

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Precision and Recall are used when the focus is on a particular segment or class. Precision measures how many of the pixels classified as a specific class are actually that class, while recall measures how many of the actual class pixels were correctly classified. These are important when false positives and false negatives have different costs.

While all of the metrics presented above could be adapted for unlabeled segmentation, 124 matching one area to the other could be a challenge. The measure used in this challenge, 125 rand Index (RI), evaluates the similarity between two data clusterings. Given a set of 126 elements and two partitions of these elements, the Rand Index measures the percentage 127 of agreements (both being in the same segment or in different segments) between the two 128 partitions, ignoring permutations. It's a measure of how similar the clusters are, irrespective of the actual labels.

It values both the true positive and true negative decisions of segmentation, which 132 makes it balanced especially in applications where all types of correct decisions (segmenting together and apart correctly) are equally important. Once again, this makes it 134 particularly suitable for medical applications such as the one we are working with.

The Rand Index metric is calculated as follows:

$$RI = \frac{TP + TN}{TP + FP + FN + TN} \tag{1}$$

Where RI is the Rand Index metric, TP is the True Positive Rate, TN is the True 141 Negative rate, FP is the False Positive rate and FN is the False Negative rate 142

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3. Proposed Solutions

3.1 Analysis of the provided baseline

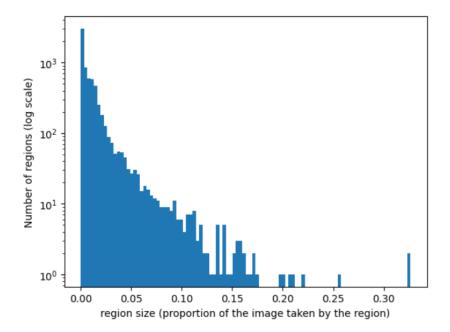


Figure 4: Example of baseline result.

The baseline method provided is a widely used baseline for medical image segmentation tasks. It starts with Sobel edge detection to highlight edges. This filter employs
horizontal and vertical convolution kernels to compute the image's gradient, highlighting
edges by emphasizing regions of high spatial frequency. This step is crucial for identifying
the boundaries within the image. Following this, the image undergoes median filtering,
where a median filter is applied over a circular structuring element. This step helps to
reduce noise while preserving important edge information, which is essential for accurate
segmentation.

After denoising, the method computes the gradient of the denoised image and creates
binary markers by applying a threshold. These markers help to distinguish between the
objects to be segmented and the background. Subsequently, these markers are labeled to
identify distinct objects in preparation for segmentation.

Finally, the watershed segmentation algorithm is applied. This technique uses the previously detected edges and the labeled markers to segment the image. The compactness

parameter in this step controls the shape of the regions, influencing how the segmentation 161 adapts to the image's features.

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The baseline is very simple, fits in a few lines of code, and has a not too shaby result (metric: 0.14). However, there are several drawbacks. The method can lead to 165 over-segmentation, dividing single objects into multiple segments. It's also sensitive to 166 hyperparameter settings, which might require manual adjustment for different datasets. 167 But of course the main drawback is that it there is no learning. It only works with simple 168 operations on the image, but it does not necessarly match with what experts are interested in. Without this crucial information it makes many errors. For example, the smaller 170 regions of the body, which are considered as background in the annotation, is considered 171 as a region in the baseline.

General Purpose Pretrained Model 3.2

The second baseline we can take is the result of a general purpose pretrainted model. 175 In our case, we tried the Segment Anything Model (SAM) Kirillov et al., 2023. SAM is 176 a novel AI approach from Meta AI designed for image segmentation. It's a promptable 177 segmentation system that showcases zero-shot generalization capabilities, meaning it can 178 segment objects in images it has never seen before without additional training. SAM 179 stands out because of its ability to accurately "cut out" or segment any object within any 180 image with just a single click, based on the prompts given. This makes it highly versatile 181 and powerful for various image segmentation tasks.

SAM was trained using a large dataset comprising 11 million images and over 1 billion 184 masks. SAM consists of three main components: an image encoder, a prompt encoder, 185 and a mask decoder. The image encoder processes input images and produces a single 186 image embedding. The prompt encoder is designed for efficient encoding of different 187 prompt modes, enabling the model to understand and respond to various segmentation 188 tasks based on textual prompts. Lastly, the mask decoder combines the image embedding 189 148 with the prompt encodings to generate segmentation masks quickly and accurately. 190

The training process allowed SAM to learn from a vast amount of visual information 192 and corresponding segmentation masks, enabling it to understand complex relationships 193 between images and segmentation tasks. As a result, SAM demonstrates zero-shot transfer abilities, which means that it can perform segmentation on new images and tasks it 195 has not explicitly seen during training. This broad capability is due to the comprehensive nature 155 of its training dataset and the effectiveness of its architectural design.

We use the prompt-free version of the model. We obtain 0.14 on the RI metric, the 199

same as the baseline. This shows something very important: the difficulty here is not 200 to segment the different areas of the image (in contrary to hair in a natural image for 201 example, which is very hard to segment). The difficulty here is to understand the interests 202 of doctors. And this information can only come from learning the dataset.

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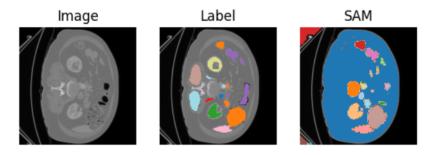


Figure 5: SAM Results.

3.3 Self-Supervised Learning: a pretraining of the network

In this problem, we only have 20% of annotations. This means that to make the best use of all the available information, any algorithm should try to learn from unsegmented 206 images. Hence, the use of Self-Supervised Learning (SSL).

Such SSL methods have become increasingly prevalent, particularly for segmentation 209 tasks where annotated datasets are limited and very hard to build. SSL methods exploit 210 unlabeled data to learn meaningful representations that are beneficial for downstream 211 tasks such as segmentation. A key component of SSL is the use of pretext tasks, which 212 are learning objectives designed to train a model without the need for annotated examples. 213 These tasks are constructed to encourage the model to understand the inherent structures 214 and patterns in the data that are relevant for the main task but do not require manually 215 labeled data.

At the heart of SSL, pretext tasks are engineered challenges that a model must solve, 218 thereby learning useful features from the data. These tasks are created from the data 219 itself, without relying on external annotations. The design of a pretext task is critical, as 220 it guides the model to discover and leverage the intrinsic properties of the data relevant 221 to the medical segmentation challenges. The effectiveness of an SSL approach largely 222 depends on the relevance of the chosen pretext tasks to the primary task of interest.

If we had access to more specific informations about the data, such as the entirety of 225 the slices for a patient or each slice number, we could use slice number prediction as a 226 pretext task. In such architectures, the model is trained to predict the relative or absolute 227 position of a given slice within the entire volume. This task does not require any external 228 labels, as the slice position serves as a self-generated label based on the slice's order in 229

the scan. By predicting the position of a slice, the model learns to capture anatomical 230 features and variations along the axis of the scan, which are crucial for understanding the 231 spatial relationships and structures within the body. 232

3.4 SimCLR 233

An example of a pretext task which we can use is contrastive learning, an SSL ap- 234 proach where the model learns by trying to find, in a batch of images, the pairs that 235 originally are the same and went trough two different transformations. This serves as a 236 pre-training before the downstream task of segmentation. The pre-training has nothing 237 to do with the main task but helps with learning the data distributions and good repres- 238 entations of the images. This idea was introduced with the SimCLR paper Chen et al., 239 2020, which we will use.

We first take a mini-batch of images, 64 in our case. And we augment each image 241 through two different augmentations, leading to 128 images. As augmentations, we tried 242 a mix of random cropping, random Gaussian noise, random Gaussian blur. A pair of 243 images that are two different augmentations of the same image are called positive pairs 244 and are called negative pairs if they come from two different images. The goal is to ensure 245 that the representation learned by the network is close for positive pairs and lower for 246 negative pairs.

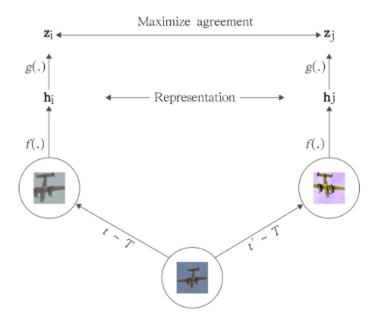


Figure 6: SimCLR Results.

In practice, we want to train a large base encoder, in our case ResNet-50. To adapt it 249 to the specific SimCLR task, we remove the last layer and add a small projection head. 250 We use the NT-Xent loss to measure the similarity between representations:

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$$\ell(i,j) = -\log \frac{\exp(s_{i,j}/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k\neq i]} \exp(s_{i,k}/\tau)}$$
(2)

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This allows pre-training of the ResNet-50 encoder. If this had worked, we would have 255 been able to use this as an encoder and then add a decoder, a class-equivariant loss and 256 train this Auto-Encoder on the labeled dataset.

Unfortunatly, SimCLR requires a lot of images. The number of images we had was not 258 sufficient to appropriately train the network without just overfitting. On the validation 259 dataset, we did not obtain a much better contrastive result than pure randomness.

Even if it had worked, we would have pretrained without supervision the Encoder, but 262 not the decoder. Having to learn the decoder only on labeled data would of course have been a huge downside to this method.

3.5 Swin MAE 265

The second idea which I kept is image reconstruction, where models are tasked with 266 reconstructing images from their corrupted versions. This approach, forces the model 267 to identify and learn the essential anatomical features while discarding irrelevant noise 268 or artifacts. Through this process, the model gains an understanding of the underlying 269 structures within the medical images, which are crucial for accurate segmentation.

In my case, I used masking as a pretext task, in a method called MAE He et al., 2021. 272 This method consists in training an autoencoder to reconstruct images that are partially 273 masked.

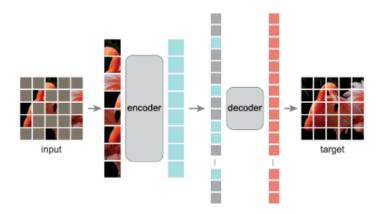


Figure 7: MAE Architecture.

Therefore, we mask the image, and just train it to try and "guess" or reconstruct 276

the areas hidden under the masks. This method is very interesting since it allows us to 277 pre-train an entire auto-encoder, which can then much more easily be adapted for the 278 segmentation task.

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Unfortunately, once again we do not have enough images to correctly train the MAE 281 8. We tried Swin MAE Xu et al., 2023, a special version made for small datasets, but 282 even then the results were not good enough. The paper tries its method on at least 10k 283 color images, much more than our 4k images.

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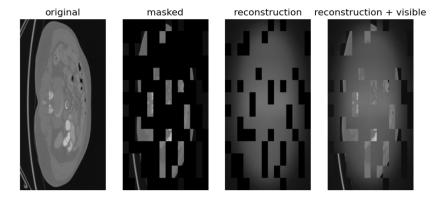


Figure 8: Example of Swin MAE results.

3.6 Conclusion on SSL pretraining techniques

We conclude that the tested SSL pretraining techniques, while very promising in some 287 cases, are not well adapted to our case since the amount of data available is not big enough. 288

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We could also have tested methods similar to other big Foundation Models for images, 290 such as the one proposed by Fair, DINOv2 Oquab et al., 2024. The amazing thing about 291 those models is that they can be easily adapted to do many different tasks (classification, 292 regression, segmentation, depth estimation, ...). The problem is that it requires a lot of 293 data. Estimates are that a good DINOv2 for a foundation model in radiology requires at 294 least between 50k and 100K images.

3.7 Dice loss based methods

Due to the unsuccessful nature of my trials on SSL methods, I tried to return to the 297 basics. Once again, finding the structures and segmenting them did not seem like the 298 most difficult part of the challenge, but understanding which parts are important and 299 seperating them was. Hence, I decided to focus on "simpler" methods.

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This return to the basics came in the form of the very basic segmentation loss, very widely used especially in medicine, the Dice loss:

Dice loss
$$=\frac{1}{C} \sum_{i=1}^{C} \left(1 - \frac{2\sum_{i} A_{in} B_{in} + \gamma}{\sum_{i} A_{in}^{2} + \sum_{i} B_{in}^{2} + \gamma} \right)$$
 (3)

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Where C indicates the number of classes, n indicates the number of class samples, A_{in} indicates the vectors that contain all positive examples predicted by a specific model, and B_{in} indicates the vectors that contain all positive examples of the ground truth in the data set.

To make it more adaptable, an Adaptive t-vMF Dice Loss has been proposed Kato & 311 Hotta, 2022.

We can then normalize each class' segmentation so that $\sqrt{\sum_n A_{in}^2} = \sqrt{\sum_n B_{in}^2} = 1$ 314 and use cosine similarity to obtain this new formulation of the dice loss:

Dice loss _{norm} =
$$\frac{1}{C} \sum_{i=1}^{C} (1 - \cos \theta_i)$$
 (4)

Where
$$\cos \theta_i = \sum_n A_{in} B_{in}$$
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Finally, we extend the cosine similarity by using the t-vMF similarity:

$$\phi_t(\cos\theta;\kappa) = \frac{1+\cos\theta}{1+\kappa(1-\cos\theta)} - 1 \tag{5}$$

The parameter κ allows us to have more flexibility in the compactness of the similarity. If $\kappa = 0$, we have the original cosine similarity. Including this t-vMF, we obtain the following final t-vMF Dice Loss:

t-vMF Dice loss =
$$\frac{1}{C} \sum_{i=1}^{C} (1 - \phi_t (\cos \theta_i; \kappa))^2$$
 (6)

Like in the original paper, we trained a U-net from Shibuya & Hotta, 2020 it on 4000 326 iterations, with a train-test data split of 80%. A very simple region-based class separation 327 leads to good results on the validation dataset of 86% for a binary classification (all the 328 classes are assimilated to one class). The test set is comprised of few-shots and zero-shots 329 tasks (some structures are observed in the training set and some are not). This lowers the 330 score for the test dataset. The final public test score was 76.19% and private test score 331 was 76.16%

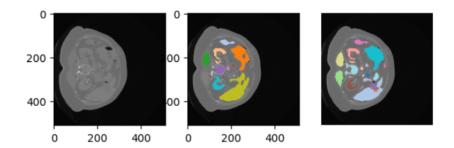


Figure 9: Example of Adaptive t-vMF Dice Loss result.

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The benefits of this method is that it is very simple and, therefore, very robust. This 334 is especially useful for zero-shot applications. The main problem that affects our results 335 is that some classes are adjacent. In our method, those classes are merged and, therefore, 336 not taken separately. This is the main point if we are searching for improvements: find a 337 way to make it multi class but permutation invariant Asai, 2018. Lots of hyper parameter 338 and model fine-tuning is also left to be done

Conclusion 4. 340

Throught this challenge we tested numerous different methods. Self-Supervised and 341 Weakly-Supervised methods did not yield good results due to the limited number of training images (2000). The Adaptive t-vMF result proved to be a good regularized method.

If this challenge were to be done again, I would focus on class-agnostic specific methods. I believe that a simple CNN AE but with a good loss that is permutation-equivariant 346 and yet differentiable would do the job perfectly. This AE could first be trained to reconstruct the images. Then the taks could be changed to class-agnostic segmentation loss 348 minimization. Such a method would probably lead to very respectable results.

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