Assignment 2: Deep Q Learning and Policy Gradient

CS260R 2023Fall: Reinforcement Learning. Department of Computer Science at University of California, Los Angeles. Course Instructor: Professor Bolei ZHOU. Assignment author: Zhenghao PENG, Yiran WANG.

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Welecome to the assignment 2 of our RL course. This assignment consisits of three parts:

- Section 2: Implement Q learning in tabular setting (20 points)
- Section 3: Implement Deep Q Network with pytorch (30 points)
- Section 4: Implement policy gradient method REINFORCE with pytorch (30 points)
- Section 5: Implement policy gradient method with baseline (20 points) (+20 points bonus)

Section 0 and Section 1 set up the dependencies and prepare some useful functions.

The experiments we'll conduct and their expected goals:

```
1. Naive Q learning in FrozenLake (should solve)
```

- 2. DQN in CartPole (should solve)
- 3. DQN in MetaDrive-Easy (should solve)
- 4. Policy Gradient w/o baseline in CartPole (w/ and w/o advantage normalization) (should solve)
- 5. Policy Gradient w/o baseline in MetaDrive-Easy (should solve)
- 6. Policy Gradient w/ baseline in CartPole (w/ advantage normalization) (should solve)
- 7. Policy Gradient w/ baseline in MetaDrive-Easy (should solve)
- 8. Policy Gradient w/ baseline in MetaDrive-Hard (>20 return) (Optional, +20 points bonus can be earned)

NOTE: MetaDrive does not support python=3.12. If you are in python=3.12, we suggest to recreate a new conda environment:

```
conda env remove -n cs260r
conda create -n cs260r python=3.11 -y
pip install notebook # Install jupyter notebook
jupyter notebook # Run jupyter notebook
```

Section 0: Dependencies

Please install the following dependencies.

Notes on MetaDrive

MetaDrive is a lightweight driving simulator which we will use for DQN and Policy Gradient methods. It can not be run on M1-chip Mac. We suggest using Colab or Linux for running MetaDrive.

Please ignore this warning from MetaDrive: WARNING:root:BaseEngine is not launched, fail to sync seed to engine!

Notes on Colab

We have several cells used for installing dependencies for Colab only. Please make sure they are run properly.

You don't need to install python packages again and again after **restarting the runtime**, since the Colab instance still remembers the python environment after you installing packages for the first time. But you do need to rerun those packages installation script after you **reconnecting to the runtime** (which means Google assigns a new machine to you and thus the python environment is new).

```
In [1]: RUNNING_IN_COLAB = 'google.colab' in str(get_ipython()) # Detect if it is running in Colab
In [2]: # Similar to A51
!pip install -U pip
!pip install numpy scipy "gymnasium<0.29"
!pip install torch torchvision
!pip install mediapy</pre>
```

```
Looking in indexes: http://mirrors.aliyun.com/pypi/simple/
Requirement already satisfied: pip in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (23.3.1)
Looking in indexes: http://mirrors.aliyun.com/pypi/simple/
Requirement already satisfied: numpy in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (1.24.2)
Requirement already satisfied: scipy in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (1.11.3)
Requirement already \ satisfied: \ gymnasium < 0.29 \ in \ c:\users \ 18646\ anaconda \ envs \ cs260 r \ lib\ site-packages \ (0.28.1)
Requirement already satisfied: jax-jumpy>=1.0.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from gymnasium<0.29) (1.0.0)
Requirement already satisfied: cloudpickle>=1.2.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from gymnasium<0.29) (3.0.0)
Requirement already satisfied: typing-extensions>=4.3.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from gymnasium<0.29) (4.8.0)
Requirement already satisfied: farama-notifications>=0.0.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from gymnasium<0.29) (0.0.4)
Looking in indexes: http://mirrors.aliyun.com/pypi/simple/
Requirement already satisfied: torch in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (2.1.0)
Requirement already satisfied: torchvision in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (0.16.0)
Requirement already satisfied: filelock in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torch) (3.13.1)
Requirement already satisfied: typing-extensions in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torch) (4.8.0)
Requirement already satisfied: sympy in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torch) (1.12)
Requirement already satisfied: networkx in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torch) (3.2.1)
Requirement already satisfied: jinja2 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torch) (3.1.2)
Requirement already satisfied: fsspec in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torch) (2023.10.0)
Requirement already satisfied: numpy in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torchvision) (1.24.2)
Requirement already satisfied: requests in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torchvision) (2.31.0)
Requirement already satisfied: pillow!=8.3.*,>=5.3.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from torchvision) (10.1.0)
Requirement already satisfied: MarkupSafe>=2.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from jinja2->torch) (2.1.3)
Requirement already satisfied: charset-normalizer<4,>=2 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from requests->torchvision) (3.
Requirement already satisfied: idna<4,>=2.5 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from requests->torchvision) (3.4)
Requirement already satisfied: urllib3<3,>=1.21.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from requests->torchvision) (2.0.7)
Requirement already satisfied: certifi>=2017.4.17 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from requests->torchvision) (2023.7.2
Requirement already satisfied: mpmath>=0.19 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from sympy->torch) (1.3.0)
Looking in indexes: http://mirrors.aliyun.com/pypi/simple/
Requirement already satisfied: mediapy in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (1.1.9)
Requirement already satisfied: ipython in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from mediapy) (8.17.2)
Requirement already satisfied: matplotlib in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from mediapy) (3.8.1)
Requirement already satisfied: numpy in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from mediapy) (1.24.2)
Requirement already \ satisfied: \ Pillow \ in \ c: \ users \ 18646 \ anaconda \ envs \ cs260 r \ lib \ site-packages \ (from mediapy) \ (10.1.0)
Requirement already satisfied: decorator in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from ipython->mediapy) (5.1.1)
Requirement already satisfied: jedi>=0.16 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from ipython->mediapy) (0.19.1)
Requirement already satisfied: matplotlib-inline in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from ipython->mediapy) (0.1.6)
Requirement already satisfied: prompt-toolkit!=3.0.37,<3.1.0,>=3.0.30 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from ipython->med
iapy) (3.0.39)
Requirement already satisfied: pygments>=2.4.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from ipython->mediapy) (2.16.1)
Requirement already satisfied: stack-data in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from ipython->mediapy) (0.6.3)
Requirement already satisfied: traitlets>=5 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from ipython->mediapy) (5.13.0)
Requirement already satisfied: colorama in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from ipython->mediapy) (0.4.6)
Requirement already satisfied: contourpy>=1.0.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->mediapy) (1.2.0)
Requirement already satisfied: cycler>=0.10 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->mediapy) (0.12.1)
Requirement already satisfied: fonttools>=4.22.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->mediapy) (4.44.0)
Requirement already satisfied: kiwisolver>=1.3.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->mediapy) (1.4.5)
Requirement already satisfied: packaging>=20.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->mediapy) (23.2)
Requirement already satisfied: pyparsing>=2.3.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->mediapy) (3.1.1)
Requirement already satisfied: python-dateutil>=2.7 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->mediapy) (2.8.2)
Requirement already satisfied: parso<0.9.0,>=0.8.3 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from jedi>=0.16->ipython->mediapy)
(0.8.3)
Requirement already satisfied: wcwidth in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from prompt-toolkit!=3.0.37,<3.1.0,>=3.0.30->ipy
thon->mediapy) (0.2.9)
Requirement already satisfied: six>=1.5 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from python-dateutil>=2.7->matplotlib->mediapy)
(1.16.0)
Requirement already satisfied: executing>=1.2.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from stack-data->ipython->mediapy) (2.
Requirement already satisfied: asttokens>=2.1.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from stack-data->ipython->mediapy) (2.
Requirement already satisfied: pure-eval in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from stack-data->ipython->mediapy) (0.2.2)
 import sys
 if sys.version info.minor >= 12:
      raise ValueError("MetaDrive only supports python<3.12.0.")</pre>
  !pip install "git+https://github.com/metadriverse/metadrive"
```

```
In [3]: # Install MetaDrive, a lightweight driving simulator
```

```
Looking in indexes: http://mirrors.aliyun.com/pypi/simple/
Collecting git+https://github.com/metadriverse/metadrive
 {\tt Cloning\ https://github.com/metadriverse/metadrive\ to\ c:\users\ 18646\ appdata\ local\ temp\ pip-req-build-dltqpi6m}
  Resolved https://github.com/metadriverse/metadrive to commit 0d437097399b0b5cb7cde32880da30673eb8b435
 Preparing metadata (setup.py): started
 Preparing metadata (setup.py): finished with status 'done'
Requirement already satisfied: requests in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (2.31.0)
Requirement already satisfied: gymnasium<0.29,>=0.28 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2)
Requirement already satisfied: numpy<=1.24.2,>=1.21.6 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.
2) (1.24.2)
Requirement already satisfied: matplotlib in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (3.8.1)
Requirement already satisfied: pandas in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (2.1.2)
Requirement already satisfied: pygame in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (2.5.2)
Requirement already satisfied: tqdm in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (4.66.1)
Requirement already satisfied: yapf in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (0.40.2)
Requirement already satisfied: seaborn in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (0.13.0)
Requirement already satisfied: progressbar in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (2.5)
Requirement already satisfied: panda3d==1.10.13 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (1.1
Requirement already satisfied: panda3d-gltf==0.13 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2)
(0.13)
Requirement already satisfied: panda3d-simplepbr in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (0.
Requirement already satisfied: pillow in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (10.1.0)
Requirement already satisfied: pytest in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (7.4.3)
Requirement already satisfied: opencv-python in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (4.8.1.
Requirement already satisfied: lxml in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (4.9.3)
Requirement already satisfied: scipy in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (1.11.3)
Requirement already satisfied: psutil in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (5.9.6)
Requirement already satisfied: geopandas in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (0.14.0)
Requirement already satisfied: shapely in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (2.0.2)
Requirement already satisfied: filelock in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from metadrive-simulator==0.4.1.2) (3.13.1)
Requirement already satisfied: jax-jumpy>=1.0.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from gymnasium<0.29,>=0.28->metadrive-s
imulator==0.4.1.2) (1.0.0)
Requirement already satisfied: cloudpickle>=1.2.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from gymnasium<0.29.>=0.28->metadrive
-simulator==0.4.1.2) (3.0.0)
Requirement already satisfied: typing-extensions>=4.3.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from gymnasium<0.29,>=0.28->met
adrive-simulator==0.4.1.2) (4.8.0)
Requirement already satisfied: farama-notifications>=0.0.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from gymnasium<0.29,>=0.28->
metadrive-simulator==0.4.1.2) (0.0.4)
Requirement already satisfied: fiona>=1.8.21 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from geopandas->metadrive-simulator==0.4.
1.2) (1.9.5)
Requirement already satisfied: packaging in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from geopandas->metadrive-simulator==0.4.1.2)
(23.2)
Requirement already satisfied: pyproj>=3.3.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from geopandas->metadrive-simulator==0.4.
1.2) (3.6.1)
Requirement already satisfied: python-dateutil>=2.8.2 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from pandas->metadrive-simulator=
=0.4.1.2) (2.8.2)
Requirement already satisfied: pytz>=2020.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from pandas->metadrive-simulator==0.4.1.2)
(2023.3.post1)
Requirement already satisfied: tzdata>=2022.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from pandas->metadrive-simulator==0.4.1.
2) (2023.3)
Requirement already satisfied: contourpy>=1.0.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->metadrive-simulator==
0.4.1.2) (1.2.0)
Requirement already satisfied: cycler>=0.10 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->metadrive-simulator==0.4.
1.2) (0.12.1)
Requirement already satisfied: fonttools>=4.22.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->metadrive-simulator==
0.4.1.2) (4.44.0)
Requirement already satisfied: kiwisolver>=1.3.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->metadrive-simulator==
0.4.1.2) (1.4.5)
Requirement already satisfied: pyparsing>=2.3.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from matplotlib->metadrive-simulator==
0.4.1.2) (3.1.1)
Requirement already satisfied: iniconfig in c: \users 18646 \an a conda 3 \envs \cs 260 r \lib \site-packages (from pytest-> metadrive-simulator == 0.4.1.2) (2.
0.0)
Requirement already satisfied: pluggy<2.0,>=0.12 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from pytest->metadrive-simulator==0.4.
Requirement already satisfied: colorama in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from pytest->metadrive-simulator==0.4.1.2) (0.
4.6)
Requirement already satisfied: charset-normalizer<4,>=2 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from requests->metadrive-simula
tor==0.4.1.2) (3.3.2)
Requirement already satisfied: idna<4,>=2.5 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from requests->metadrive-simulator==0.4.1.
2) (3.4)
Requirement already satisfied: urllib3<3,>=1.21.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from requests->metadrive-simulator-
0.4.1.2) (2.0.7)
Requirement already satisfied: certifi>=2017.4.17 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from requests->metadrive-simulator==
0.4.1.2) (2023.7.22)
Requirement already satisfied: importlib-metadata>=6.6.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from yapf->metadrive-simulator
==0.4.1.2) (6.8.0)
Requirement already satisfied: platformdirs>=3.5.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from yapf->metadrive-simulator==0.4.
1.2) (3.11.0)
Requirement already satisfied: tomli>=2.0.1 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from yapf->metadrive-simulator==0.4.1.2)
(2.0.1)
Requirement already satisfied: attrs>=19.2.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from fiona>=1.8.21->geopandas->metadrive-s
imulator==0.4.1.2) (23.1.0)
Requirement already satisfied: click~=8.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from fiona>=1.8.21->geopandas->metadrive-simu
lator==0.4.1.2) (8.1.7)
Requirement already satisfied: click-plugins>=1.0 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from fiona>=1.8.21->geopandas->metadr
ive-simulator==0.4.1.2) (1.1.1)
Requirement already satisfied: cligj>=0.5 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from fiona>=1.8.21->geopandas->metadrive-simu
lator==0.4.1.2) (0.7.2)
Requirement already satisfied: six in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from fiona>=1.8.21->geopandas->metadrive-simulator==
0.4.1.2) (1.16.0)
Requirement already satisfied: setuptools in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from fiona>=1.8.21->geopandas->metadrive-simu
lator==0.4.1.2) (68.0.0)
Requirement already satisfied: zipp>=0.5 in c:\users\18646\anaconda3\envs\cs260r\lib\site-packages (from importlib-metadata>=6.6.0-yyapf->metadriv
e-simulator==0.4.1.2) (3.17.0)
 Running command git clone --filter=blob:none --quiet https://github.com/metadriverse/metadrive 'C:\Users\18646\AppData\Local\Temp\pip-req-build-
```

```
In [4]: # Test whether MetaDrive is properly installed. No error means the test is passed.
!python -m metadrive.examples.profile_metadrive --num-steps 100

Start to profile the efficiency of MetaDrive with 1000 maps and ~4 vehicles!
Finish 100/100 simulation steps. Time elapse: 0.4126. Average FPS: 553.7262, Average number of vehicles: 4.0000

Total Time Elapse: 0.413, average FPS: 553.726, average number of vehicles: 4.000.

[INFO] MetaDrive version: 0.4.1.2

[INFO] Sensors: [lidar: Lidar(50,), side_detector: SideDetector(), lane_line_detector: LaneLineDetector()]

[INFO] Render Mode: none

[INFO] Assets version: 0.4.1.2

[INFO] Episode ended! Scenario Index: 1141 Reason: out_of_road.
```

Section 1: Building abstract class and helper functions

```
In [5]: # Run this cell without modification
         # Import some packages that we need to use
         import mediapy as media
         import gymnasium as gym
         import numpy as np
         import pandas as pd
         import seaborn as sns
         from gymnasium.error import Error
         from gymnasium import logger
         import torch.nn as nn
         from IPython.display import clear_output
         import copy
         import time
         import pygame
         import logging
         logging.basicConfig(format='[%(levelname)s] %(message)s')
         logger = logging.getLogger()
logger.setLevel(logging.INFO)
         def wait(sleep=0.2):
             clear_output(wait=True)
             time.sleep(sleep)
         def merge_config(new_config, old_config):
               "Merge the user-defined config with default config"""
             config = copy.deepcopy(old_config)
             if new_config is not None:
                 config.update(new_config)
             return config
         def test_random_policy(policy, env):
             _acts = set()
for i in range(1000):
                 act = policy(0)
                 _acts.add(act)
                 assert env.action_space.contains(act), "Out of the bound!"
             if len(_acts) != 1:
                      "[HINT] Though we call self.policy 'random policy', " \backslash "we find that generating action randomly at the beginning " \backslash
                      "and then fixing it during updating values period lead to better " \
                      "performance. Using purely random policy is not even work! " \
                      "We encourage you to investigate this issue."
         # We register a non-slippery version of FrozenLake environment.
                 id='FrozenLakeNotSlippery-v1',
                 entry_point='gymnasium.envs.toy_text:FrozenLakeEnv',
                 kwargs={'map_name': '4x4', 'is_slippery': False},
                 max_episode_steps=200,
                 reward threshold=0.78, # optimum = .8196
             print("The environment is registered already.")
         def _render_helper(env, sleep=0.1):
             ret = env.render()
             if sleep:
                 wait(sleep=sleep)
             return ret
         def animate(img_array, fps=None):
               ""A function that can generate GIF file and show in Notebook."""
             media.show_video(img_array, fps=fps)
         def evaluate(policy, num_episodes=1, seed=0, env_name='FrozenLake8x8-v1',
                       render=None, existing_env=None, max_episode_length=1000,
sleep=0.0, verbose=False):
             """This function evaluate the given policy and return the mean episode
             :param policy: a function whose input is the observation
             :param num_episodes: number of episodes you wish to run
```

```
:param seed: the random seed
:param env_name: the name of the environment
:param render: a boolean flag indicating whether to render policy
:return: the averaged episode reward of the given policy.
if existing_env is None:
   render_mode = render if render else None
   env = gym.make(env_name, render_mode=render)
else:
   env = existing_env
try:
   rewards = []
    frames = []
    succ_rate = []
   if render:
       num_episodes = 1
    for i in range(num_episodes):
       obs, info = env.reset(seed=seed + i)
       act = policy(obs)
       ep_reward = 0
       for step_count in range(max_episode_length):
   obs, reward, terminated, truncated, info = env.step(act)
           done = terminated or truncated
           act = policy(obs)
           ep_reward += reward
           if verbose and step_count % 50 == 0:
               if render == "ansi":
               print(_render_helper(env, sleep))
              frames.append(_render_helper(env, sleep))
           if done:
              break
       rewards.append(ep_reward)
       if "arrive_dest" in info:
           succ_rate.append(float(info["arrive_dest"]))
    if render:
       env.close()
except Exception as e:
   env.close()
    raise e
finally:
   env.close()
eval_dict = {"frames": frames}
if succ_rate:
   eval_dict["success_rate"] = sum(succ_rate) / len(succ_rate)
return np.mean(rewards), eval_dict
```

```
In [6]: # Run this cell without modification
         DEFAULT_CONFIG = dict(
             seed=0,
              max_iteration=20000,
              max_episode_length=200,
              evaluate_interval=10,
              evaluate_num_episodes=10,
             learning rate=0.001,
             gamma=0.8,
              eps=0.3,
             env_name='FrozenLakeNotSlippery-v1'
         class AbstractTrainer:
              """This is the abstract class for value-based RL trainer. We will inherent
              the specify algorithm's trainer from this abstract class, so that we can
              reuse the codes.
             def __init__(self, config):
                  self.config = merge_config(config, DEFAULT_CONFIG)
                  # Create the environment
                  self.env_name = self.config['env_name']
                  self.env = gym.make(self.env_name)
                  # Apply the random seed
                  self.seed = self.config["seed"]
                  np.random.seed(self.seed)
                  self.env.reset(seed=self.seed)
                  # We set self.obs_dim to the number of possible observation # if observation space is discrete, otherwise the number # of observation's dimensions. The same to self.act_dim.
                  if isinstance(self.env.observation_space, gym.spaces.box.Box):
    assert len(self.env.observation space.shape) == 1
                       self.obs_dim = self.env.observation_space.shape[0]
                       self.discrete_obs = False
                  self.discrete_obs = True
                  else:
                       raise ValueError("Wrong observation space!")
```

```
if isinstance(self.env.action_space, gym.spaces.box.Box):
         assert len(self.env.action_space.shape) == 1
         self.act_dim = self.env.action_space.shape[0]
    {\bf elif}\ is instance (self.env.action\_space,\ gym.spaces.discrete.Discrete):
         self.act_dim = self.env.action_space.n
         raise ValueError("Wrong action space! {}".format(self.env.action_space))
    self.eps = self.config['eps']
def process_state(self, state):
    Process the raw observation. For example, we can use this function to
    convert the input state (integer) to a one-hot vector.
\label{lem:def_compute_action} \textbf{def} \ \ \mathsf{compute\_action}(\mathsf{self}, \ \mathsf{processed\_state}, \ \mathsf{eps}\text{=}\mathbf{None}) \colon
        "Compute the action given the processed state."""
     raise NotImplementedError(
          "You need to override the Trainer.compute_action() function.")
def evaluate(self, num_episodes=50, *args, **kwargs):
    """Use the function you write to evaluate current policy.
Return the mean episode reward of 50 episodes."""
    if "MetaDrive" in self.env_name:
    kwargs["existing_env"] = self.env
    result, eval_infos = evaluate(self.policy, num_episodes, seed=self.seed,
                                          env_name=self.env_name, *args, **kwargs)
    return result, eval infos
def policy(self, raw_state, eps=0.0):
    """A wrapper function takes raw state as input and output action."""
return self.compute_action(self.process_state(raw_state), eps=eps)
def train(self, iteration=None):
        "Conduct one iteration of learning."""
    raise NotImplementedError("You need to override the "
"Trainer.train() function.")
```

```
In [7]: # Run this cell without modification
          \label{lem:def-config} \textbf{def} \ \ \texttt{run}(\texttt{trainer\_cls}, \ \ \texttt{config=None}, \ \ \texttt{reward\_threshold=None}):
                   "Run the trainer and report progress, agnostic to the class of trainer
                :param trainer_cls: A trainer class
                :param config: A dict
                :param reward threshold: the reward threshold to break the training
               :return: The trained trainer and a dataframe containing learning progress
               if config is None:
               config = {}
trainer = trainer_cls(config)
               config = trainer.config
               start = now = time.time()
stats = []
               try:
                    for i in range(config['max_iteration'] + 1):
                         stat = trainer.train(iteration=i)
                         stat = stat or {}
                         stats.append(stat)
                         if "episode_len" in stat:
    total_steps += stat["episode_len"]
                         if i % config['evaluate_interval'] == 0 or \
    i == config["max_iteration"]:
    reward, _ = trainer.evaluate(
                                   config.get("evaluate_num_episodes", 50),
max_episode_length=config.get("max_episode_length", 1000)
                              logger.info("Iter {}, {}episodic return is {:.2f}. {}".format(
                                  i,
"" if total_steps == θ else "Step {}, ".format(total_steps),
                                   {k: round(np.mean(v), 4) for k, v in stat.items()
  if not np.isnan(v) and k != "frames"
                                   if stat else ""
                              ))
                              now = time.time()
                         if reward_threshold is not None and reward > reward_threshold:
                             logger.info("Iter {}, episodic return {:.3f} is "
"greater than reward threshold {}. Congratulation! Now we "
                                             "exit the training process.".format(i, reward, reward_threshold))
               except Exception as e:
                    print("Error happens during training: ")
                    raise e
               finally:
                    if hasattr(trainer.env, "close"):
                         trainer.env.close()
                         print("Environment is closed.")
               return trainer, stats
```

Section 2: Q-Learning

(20/100 points)

Q-learning is an off-policy algorithm who differs from SARSA in the computing of TD error.

Unlike getting the TD error by running policy to get $\ensuremath{\mathsf{next_act}}\ensuremath{\ensuremath{a'}}$ and compute:

```
r + \gamma Q(s', a') - Q(s, a)
```

as in SARSA, in Q-learning we compute the TD error via:

```
r + \gamma \max_{a'} Q(s', a') - Q(s, a).
```

The reason we call it "off-policy" is that the next-Q value is not computed for the "behavior policy", instead, it is a "virtural policy" that always takes the best action given current Q values.

Section 2.1: Building Q Learning Trainer

```
In [8]: # Solve the TODOs and remove `pass`
           # Managing configurations of your experiments is important for your research.
         Q_LEARNING_TRAINER_CONFIG = merge_config(dict(
              eps=0.3.
          ), DEFAULT_CONFIG)
          class QLearningTrainer(AbstractTrainer):
              def __init__(self, config=None):
                   config = merge_config(config, Q_LEARNING_TRAINER_CONFIG)
                  coning = merge_coning(coning)
super(QlearningTrainer, self).__init__(config=config)
self.gamma = self.config["gamma"]
self.eps = self.config["eps"]
self.max_episode_length = self.config["max_episode_length"]
self.learning_rate = self.config["learning_rate"]
                   # build the Q table
                   self.table = np.zeros((self.obs_dim, self.act_dim))
              def compute_action(self, obs, eps=None):
                    """Implement epsilon-greedy policy
                   It is a function that take an integer (state / observation)
                   as input and return an interger (action).
                  if eps is None:
                       eps = self.eps
                   # TODO: You need to implement the epsilon-greedy policy here.
                   if np.random.rand() < eps:</pre>
                       action = np.random.randint(self.act_dim)
                   else:
                       action = np.argmax(self.table[obs, :])
               def train(self, iteration=None):
                      "Conduct one iteration of learning."""
                   obs, info = self.env.reset()
                   for t in range(self.max_episode_length):
                       act = self.compute_action(obs)
                        next_obs, reward, terminated, truncated, info = self.env.step(act)
                        done = terminated or truncated
                        # TODO: compute the TD error, based on the next observation
                        td_error = reward + self.gamma * np.max(self.table[next_obs, :]) - self.table[obs][act]
                        # TODO: compute the new Q value
                        # hint: use TD error, self.learning_rate and old Q value
new_value = self.table[obs][act] + self.learning_rate * td_error
                        self.table[obs][act] = new_value
                        obs = next_obs
                        if done:
                            break
```

Section 2.2: Use Q Learning to train agent in FrozenLake

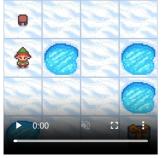
```
In [9]: # Run this cell without modification

q_learning_trainer, _ = run(
    trainer_cls=QlearningTrainer,
    config=dict(
        max_iteration=5000,
        evaluate_interval=50,
        evaluate_num_episodes=50,
        env_name='FrozenLakeNotSlippery-v1'
    ),
    reward_threshold=0.99
)
```

```
[INFO] Iter 0, episodic return is 0.00.
[INFO] Iter 50, episodic return is 0.00.
[INFO] Iter 100, episodic return is 0.00.
[INFO] Iter 150, episodic return is 0.00.
[INFO] Iter 200, episodic return is 0.00.
[INFO] Iter 250, episodic return is 0.00.
[INFO] Iter 300, episodic return is 0.00.
[INFO] Iter 350, episodic return is 0.00.
[INFO] Iter 400, episodic return is 0.00
[INFO] Iter 450, episodic return is 0.00.
[INFO] Iter 500, episodic return is 0.00.
[INFO] Iter 550, episodic return is 0.00.
[INFO] Iter 600, episodic return is 0.00.
[INFO] Iter 650, episodic return is 0.00.
[INFO] Iter 700, episodic return is 0.00.
[INFO] Iter 750, episodic return is 0.00.
[INFO] Iter 800, episodic return is 0.00.
[INFO] Iter 850, episodic return is 0.00.
[INFO] Iter 900, episodic return is 0.00.
[INFO] Iter 950, episodic return is 0.00.
[INFO] Iter 1000, episodic return is 0.00.
[INFO] Iter 1050, episodic return is 0.00.
[INFO] Iter 1100, episodic return is 0.00.
[INFO] Iter 1150, episodic return is 0.00.
[INFO] Iter 1200, episodic return is 0.00.
[INFO] Iter 1250, episodic return is 0.00.
[INFO] Iter 1300, episodic return is 0.00.
[INFO] Iter 1350, episodic return is 0.00.
[INFO] Iter 1400, episodic return is 0.00.
[INFO] Iter 1450, episodic return is 0.00.
[INFO] Iter 1500, episodic return is 0.00.
[INFO] Iter 1550, episodic return is 0.00.
[INFO] Iter 1600, episodic return is 0.00.
[INFO] Iter 1650, episodic return is 0.00.
[INFO] Iter 1700, episodic return is 0.00.
[INFO] Iter 1750, episodic return is 0.00.
[INFO] Iter 1800, episodic return is 0.00.
[INFO] Iter 1850, episodic return is 0.00.
[INFO] Iter 1900, episodic return is 0.00.
[INFO] Iter 1950, episodic return is 0.00.
[INFO] Iter 2000, episodic return is 0.00.
[INFO] Iter 2050, episodic return is 0.00.
[INFO] Iter 2100, episodic return is 0.00.
[INFO] Iter 2150, episodic return is 1.00.
[INFO] Iter 2150, episodic return 1.000 is greater than reward threshold 0.99. Congratulation! Now we exit the training process.
Environment is closed.
```

```
In [10]: # Run this cell without modification

# Render the Learned behavior
_, eval_info = evaluate(
    policy=q_learning_trainer.policy,
    num_episodes=1,
    env_name=q_learning_trainer.env_name,
    render="rgb_array", # Visualize the behavior here in the cell
    sleep=0.2 # The time interval between two rendering frames
)
animate(eval_info["frames"], fps=2)
```



Section 3: Implement Deep Q Learning in Pytorch

(30 / 100 points)

In this section, we will implement a neural network and train it with Deep Q Learning with Pytorch, a powerful deep learning framework.

If you are not familiar with Pytorch, we suggest you to go through pytorch official quickstart tutorials:

1. quickstart

2. tutorial on RL

Different from the Q learning in Section 2, we will implement Deep Q Network (DQN) in this section. The main differences are summarized as follows:

DQN requires an experience replay memory to store the transitions. A replay memory is implemented in the following ExperienceReplayMemory class. It contains a certain amount of transitions: (s_t, a_t, r_t, s_t+1, done_t). When the memory is full, the earliest transition is discarded and the latest one is stored

The replay memory increases the sample efficiency (since each transition might be used multiple times) when solving complex task. However, you may find it learn slowly in this assignment since the CartPole-v1 is a relatively easy environment.

DQN has a delayed-updating target network. DQN maintains another neural network called the target network that has identical structure of the Q network. After a certain amount of steps has been taken, the target network copies the parameters of the Q network to itself. The update of the target network will be much less frequent than the update of the Q network, since the Q network is updated in each step.

The target network is used to stabilize the estimation of the TD error. In DQN, the TD error is estimated as:

$$(r_t + \gamma \max_{a_{t+1}} Q^{target}(s_{t+1}, a_{t+1}) - Q(s_t, a_t))$$

The Q value of the next state is estimated by the target network, not the Q network that is being updated. This mechanism can reduce the variance of gradient because the next Q values is not influenced by the update of current Q network.

Section 3.1: Build DQN trainer

```
In [11]: # Solve the TODOs and remove `pass
         from collections import deque
         import random
         class ExperienceReplayMemory:
                "Store and sample the transitions"""
             def __init__(self, capacity):
                 # deque is a useful class which acts like a list but only contain
                 # finite elements. When adding new element into the deque will make deque full with
                 # `maxlen` elements, the oldest element (the index 0 element) will be removed.
                 # TODO: uncomment next line.
                 self.memory = deque(maxlen=capacity)
             def push(self, transition):
                 self.memory.append(transition)
             def sample(self, batch size):
                 return random.sample(self.memory, batch_size)
             def __len__(self):
    return len(self.memory)
In [12]: # Solve the TODOs and remove `pass'
         class PytorchModel(nn.Module):
             def __init__(self, num_inputs, num_outputs, hidden_units=100):
                 super(PytorchModel, self).__init__()
                 # TODO: Build a nn.Sequential object as the neural network with two hidden layers and one output layer.
                 # The first hidden Layer takes `num inputs`-dim vector as input and has `hidden units` hidden units,
                 # followed by a ReLU activation function.
```

```
# The second hidden Layer takes `hidden units`-dim vector as input and has `hidden units` hidden units,
        # followed by a ReLU activation function.
        # The output Layer takes `hidden_units`-dim vector as input and return `num_outputs`-dim vctor as output.
        self.action_value = nn.Sequential(
    nn.Linear(num_inputs, hidden_units),
             nn.ReLU()
             nn.Linear(hidden units, hidden units),
             nn.ReLU()
             nn.Linear(hidden_units, num_outputs),
    def forward(self, obs):
        return self.action_value(obs)
test_pytorch_model = PytorchModel(num_inputs=3, num_outputs=7, hidden_units=123)
{\tt assert \ isinstance} ({\tt test\_pytorch\_model.action\_value, \ nn.Module})
assert len(test pytorch model.state dict()) == 6
assert test_pytorch_model.state_dict()["action_value.0.weight"].shape == (123, 3)
print("Name of each parameter vectors: ", test_pytorch_model.state_dict().keys())
print("Test passed!")
```

Name of each parameter vectors: odict_keys(['action_value.0.weight', 'action_value.0.bias', 'action_value.2.weight', 'action_value.2.bias', 'action_value.2.weight', 'action_value.4.bias'])
Test passed!

```
In [13]: # Solve the TODOs and remove `nass'
         DQN_CONFIG = merge_config(dict(
             parameter_std=0.01,
             learning rate=0.001.
             hidden_dim=100,
             clip_norm=1.0,
             clip_gradient=True,
             max_iteration=1000,
             max episode length=1000,
             evaluate_interval=100,
             gamma=0.99,
             eps=0.3,
             memory_size=50000,
             learn start=5000,
             batch size=32,
             target_update_freq=500, # in steps
```

```
learn freq=1, # in steps
    env_name="CartPole-v1"
), Q_LEARNING_TRAINER_CONFIG)
def to_tensor(x):
       "A helper function to transform a numpy array to a Pytorch Tensor"""
     \textbf{if} \ is instance(x, \ np.ndarray): \\
        x = torch.from_numpy(x).type(torch.float32)
    assert isinstance(x, torch.Tensor)
if x.dim() == 3 or x.dim() == 1:
         x = x.unsqueeze(0)
    assert x.dim() == 2 \text{ or } x.dim() == 4, x.shape
    return x
class DONTrainer(AbstractTrainer):
    def __init__(self, config):
         config = merge_config(config, DQN_CONFIG)
         self.learning_rate = config["learning_rate"]
         super().__init__(config)
         self.memory = ExperienceReplayMemory(config["memory_size"])
         self.learn_start = config["learn_start"]
         self.batch_size = config["batch_size"]
         self.target_update_freq = config["target_update_freq"]
self.clip_norm = config["clip_norm"]
self.hidden_dim = config["hidden_dim"]
         self.max_episode_length = self.config["max_episode_length"]
self.learning_rate = self.config["learning_rate"]
         self.gamma = self.config["gamma"]
         self.n = self.config["n"]
         self.step_since_update = 0
         self.total step = 0
         \# You need to setup the parameter for your function approximator.
         self.initialize_parameters()
    def initialize_parameters(self):
         # TODO: Initialize the Q network and the target network using PytorchModel class.self.network = PytorchModel(self.obs dim, self.act dim, self.hidden dim)
         print("Setting up self.network with obs dim: {} and action dim: {}".format(self.obs_dim, self.act_dim))
         self.network.eval()
         self.network.share_memory()
         # Initialize target network to be identical to self.network.
        # You should put the weights of self.network into self.target_network.
# TODO: Uncomment next few lines
         self.target_network = PytorchModel(self.obs_dim, self.act_dim)
         self.target_network.load_state_dict(self.network.state_dict())
         self.target_network.eval()
         \# Build Adam optimizer and MSE Loss.
        # TODO: Uncomment next few lines
self.optimizer = torch.optim.Adam(
              self.network.parameters(), 1r=self.learning_rate
         self.loss = nn.MSELoss()
    def process state(self, state):
           ""Preprocess the state (observation).
         If the environment provides discrete observation (state), transform
         it to one-hot form. For example, the environment FrozenLake-v0 provides an integer in [0,\ \dots,\ 15] denotes the 16 possible states.
         We transform it to one-hot style:
         original state 0 -> one-hot vector [1, 0, 0, 0, 0, 0, 0, 0, ...]
         original state 1 -> one-hot vector [0, 1, 0, 0, 0, 0, 0, 0, ...]
         original state 15 -> one-hot vector [0, \ldots, 0, 0, 0, 0, 0, 1]
         If the observation space is continuous, then you should do nothing.
         if not self.discrete_obs:
             return state
         else:
             new_state = np.zeros((self.obs_dim,))
             new state[state] = 1
         return new state
    def compute_values(self, processed_state):
    """Compute the value for each potential action. Note that you
         should NOT preprocess the state here.
         values = self.network(processed_state).detach().numpy()
         return values
    def compute_action(self, processed_state, eps=None):
             Compute the action given the state. Note that the input
         is the processed state.
         values = self.compute_values(processed_state)
         assert values.ndim == 1, values.shape
         if eps is None:
             eps = self.eps
```

```
if np.random.uniform(0, 1) < eps:</pre>
        action = self.env.action_space.sample()
    else:
       action = np.argmax(values)
    return action
def train(self, iteration=None):
    iteration_string = "" if iteration is None else f"Iter {iteration}: "
    obs, info = self.env.reset()
    processed obs = self.process state(obs)
    act = self.compute_action(processed_obs)
    stat = {"loss": [], "success_rate": np.nan}
    for t in range(self.max_episode_length):
        next_obs, reward, terminated, truncated, info = self.env.step(act)
        done = terminated or truncated
        next_processed_obs = self.process_state(next_obs)
        # Push the transition into memory.
        self.memory.push(
            (processed_obs, act, reward, next_processed_obs, done)
        processed_obs = next_processed_obs
        act = self.compute_action(next_processed_obs)
        self.step\_since\_update += 1
        self.total step += 1
        if done:
            if "arrive_dest" in info:
                 stat["success_rate"] = info["arrive_dest"]
        if t % self.config["learn freq"] != 0:
             # It's not necessary to update policy in each environmental interaction.
            continue
        if len(self.memory) < self.learn_start:</pre>
        elif len(self.memory) == self.learn_start:
            logging.info(
                 "{}Current memory contains {} transitions, "
"start learning!".format(iteration_string, self.learn_start)
        batch = self.memory.sample(self.batch_size)
        # Transform a batch of elements in transitions into tensors.
        state_batch = to_tensor(
            np.stack([transition[0] for transition in batch])
        action batch = to tensor(
            np.stack([transition[1] for transition in batch])
        reward_batch = to_tensor(
            np.stack([transition[2] for transition in batch])
        next_state_batch = torch.stack(
            [transition[3] for transition in batch]
        done_batch = to_tensor(
            np.stack([transition[4] for transition in batch])
        with torch.no_grad():
             # TODO: Compute the Q values for the next states.
            Q_t_plus_one: torch.Tensor = self.target_network(next_state_batch).max(dim=1)[0]
            assert isinstance(Q_t_plus_one, torch.Tensor)
            assert Q_t_plus_one.dim() == 1
            # TODO: Compute the target value of Q.
            Q_target = (reward_batch + (1 - done_batch) * (self.gamma ** self.n) * Q_t_plus_one).squeeze()
            assert 0 target.shape == (self.batch size,)
        self.network.train() # Set the network to "train" mode.()
        # TODO: Collect the Q values in batch.
        # Hint: The network will return the Q values for all actions at a given state.
# So we need to "extract" the Q value for the action we've taken.
        \# You need to use torch.gather to manipuate the 2nd dimension of the return \# tensor from the network and extract the desired Q values.
         Q\_t: \ torch. Tensor = self.network(state\_batch). gather(1, \ action\_batch.view(-1, \ 1).long()). squeeze(1).type\_as(action\_batch) 
        assert Q_t.shape == Q_target.shape
        # Update the network
        self.optimizer.zero_grad()
        loss = self.loss(input=Q_t, target=Q_target)
stat['loss'].append(loss.item())
        loss.backward()
        # TODO: Apply gradient clipping with pytorch utility. Uncomment next line.
        nn.utils.clip_grad_norm_(self.network.parameters(), self.clip_norm)
        self.optimizer.step()
```

Section 3.2: Test DQN trainer

```
In [14]: # Run this cell without modification
                       # Build the test trainer
                      test trainer = DONTrainer({})
                       # Test compute values
                       fake_state = test_trainer.env.observation_space.sample()
                      processed_state = test_trainer.process_state(fake_state)
assert processed_state.shape == (test_trainer.obs_dim,), processed_state.shape
                       values = test_trainer.compute_values(processed_state)
                      assert values.shape == (test_trainer.act_dim,), values.shape
                       test_trainer.train()
                      print("Now your codes should be bug-free.")
                       _ = run(DQNTrainer, dict(
                                max_iteration=20,
                                evaluate interval=10,
                                learn start=100,
                                env_name="CartPole-v1",
                      ))
                      test_trainer.save("test_trainer.pt")
                      test_trainer.load("test_trainer.pt")
                      print("Test passed!")
                    Setting up self.network with obs dim: 4 and action dim: 2
                   C: \label{linear_control} C: \label{linear_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_control_c
                         return _methods._mean(a, axis=axis, dtype=dtype,
                    C:\Users\\\\\\8646\anaconda\\envs\\cs260r\Lib\\\\site-packages\numpy\core\_methods.py:192: RuntimeWarning: invalid value encountered in scalar divide
                        ret = ret.dtype.type(ret / rcount)
                    [INFO] Iter 0, Step 9, episodic return is 9.40. {'episode_len': 9.0}
                    [INFO] Iter 10, Step 110, episodic return is 9.40. {'loss': 0.2468, 'episode_len': 8.0}
                   Now your codes should be bug-free.
Setting up self.network with obs dim: 4 and action dim: 2
                  [INFO] Iter 20, Step 253, episodic return is 9.60. {'loss': 0.0003, 'episode_len': 8.0}
                    Environment is closed.
                    Test passed!
```

Section 3.3: Train DQN agents in CartPole

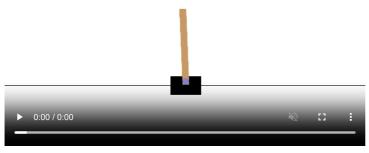
First, we visualize a random agent in CartPole environment.

```
In [15]: # Run this cell without modification

eval_reward, eval_info = evaluate(
    policy=lambda x: np.random.randint(2),
    num_episodes=1,
    env_name="CartPole-v1",
        render="rgb_array", # Visualize the behavior here in the cell
)

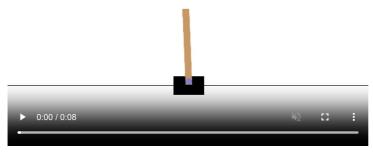
animate(eval_info["frames"])

print("A random agent achieves {} return.".format(eval_reward))
```



A random agent achieves 16.0 return.

```
In [16]: # Run this cell without modification
                   pytorch_trainer, pytorch_stat = run(DQNTrainer, dict(
                            max_iteration=5000
                           evaluate interval=100,
                           learning_rate=0.001,
                           clip_norm=10.0,
                            memory_size=50000
                           learn start=1000,
                           eps=0.1,
                           target_update_freq=2000,
                           batch size=128.
                           learn freq=32,
                           env_name="CartPole-v1",
                   ), reward_threshold=450.0)
                   reward, = pytorch trainer.evaluate()
                   assert reward > 400.0, "Check your codes. " \
                                                                   "Your agent should achieve {} reward in 5000 iterations." \
"But it achieve {} reward in evaluation.".format(400.0, reward)
                   pytorch_trainer.save("dqn_trainer_cartpole.pt")
                    # Should solve the task in 10 minutes
                [INFO] Iter 0, Step 9, episodic return is 9.40. {'episode_len': 9.0}
                Setting up self.network with obs dim: 4 and action dim: 2
                 [INFO] Iter 99: Current memory contains 1000 transitions, start learning
                [INFO] Iter 100, Step 916, episodic return is 9.40. {'loss': 0.8607, 'episode_len': 9.0} [INFO] Iter 200, Step 1847, episodic return is 9.40. {'loss': 0.6026, 'episode_len': 9.0}
                [INFO] Iter 200, Step 1847, episodic return is 9.40. {'loss': 0.6026, 'episode_len': 9.0} [INFO] Iter 300, Step 2787, episodic return is 10.00. {'loss': 0.0646, 'episode_len': 10.0} [INFO] Iter 400, Step 3664, episodic return is 9.40. {'loss': 0.2918, 'episode_len': 8.0} [INFO] Iter 500, Step 4591, episodic return is 9.50. {'loss': 0.1262, 'episode_len': 7.0} [INFO] Iter 600, Step 5560, episodic return is 11.60. {'loss': 0.2027, 'episode_len': 10.0} [INFO] Iter 700, Step 7182, episodic return is 15.30. {'loss': 0.2608, 'episode_len': 14.0} [INFO] Iter 800, Step 9341, episodic return is 19.50. {'loss': 0.5393, 'episode_len': 23.0} [INFO] Iter 1000, Step 12834, episodic return is 107.50. {'loss': 0.5393, 'episode_len': 117.0} [INFO] Iter 1000, Step 24514, episodic return is 141.00. {'loss': 0.5332, 'episode_len': 151.0} [INFO] Iter 1100, Step 41360, episodic return is 234.00. {'loss': 0.7847, 'episode_len': 25.0} [INFO] Iter 1200, Step 6234. episodic return is 281.10. {'loss': 0.7847, 'episode_len': 187.0}
                [INFO] Iter 1200, Step 62234, episodic return is 201.10. {'loss': 0.7847, 'episode_len': 187.0} [INFO] Iter 1300, Step 83052, episodic return is 221.80. {'loss': 0.2321, 'episode_len': 192.0} [INFO] Iter 1400, Step 104660, episodic return is 224.50. {'loss': 0.3631, 'episode_len': 169.0}
                [INFO] Iter 1500, Step 124684, episodic return is 205.00. {'loss': 0.4701, 'episode_len': 217.0} [INFO] Iter 1600, Step 145382, episodic return is 211.80. {'loss': 0.0348, 'episode_len': 218.0}
                [INFO] Iter 1700, Step 165682, episodic return is 207.20. { 'loss': 0.0335, 'episode_len': 212.0} [INFO] Iter 1800, Step 185833, episodic return is 211.30. { 'loss': 0.0423, 'episode_len': 206.0}
                [INFO] Iter 1900, Step 206789, episodic return is 211.00. ('loss': 0.0692, 'episode_len': 175.0} [INFO] Iter 2000, Step 229335, episodic return is 241.60. ('loss': 0.0204, 'episode_len': 177.0} [INFO] Iter 2100, Step 252799, episodic return is 250.90. ('loss': 0.0147, 'episode_len': 278.0)
                [INFO] Iter 2300, Step 302351, episodic return is 282.50. {'loss': 0.0355, 'episode_len': 358.0} [INFO] Iter 2400, Step 302351, episodic return is 229.60. {'loss': 0.2586, 'episode_len': 353.0} [INFO] Iter 2400, Step 330468, episodic return is 216.20. {'loss': 0.12, 'episode_len': 380.0}
                [INFO] Iter 2700, Step 436863, episodic return is 388.50. {'loss': 2.964, 'episode_len': 499.0} [INFO] Iter 2700, Step 436863, episodic return is 460.90. {'loss': 0.1168, 'episode_len': 499.0} [INFO] Iter 2700, Step 436863, episodic return is 460.90. {'loss': 0.4697, 'episode_len': 499.0}
                [INFO] Iter 2700, episodic return 460.900 is greater than reward threshold 450.0. Congratulation! Now we exit the training process.
                Environment is closed.
In [17]: # Run this cell without modification
                   # Render the Learned behavior
                   eval reward, eval info = evaluate(
                           policy=pytorch_trainer.policy,
                            num_episodes=1
                           env_name=pytorch_trainer.env_name,
render="rgb_array", # Visualize the behavior here in the cell
                   animate(eval info["frames"])
                   print("DQN agent achieves {} return.".format(eval_reward))
```



DQN agent achieves 500.0 return.

Section 3.4: Train DQN agents in MetaDrive

```
In [18]: # Run this cell without modification
         def register_metadrive():
                  from metadrive.envs import MetaDriveEnv
from metadrive.utils.config import merge_config_with_unknown_keys
              except ImportError as e:
                  print("Please install MetaDrive through: pip install git+https://github.com/decisionforce/metadrive")
                  raise e
              env_names = []
                  class MetaDriveEnvTut(gym.Wrapper):
                      def __init__(self, config, *args, render_mode=None, **kwargs):
                           # Ignore render_mode
                           self. render mode = render mode
                           super().__init__(MetaDriveEnv(config))
                           self.action_space = gym.spaces.Discrete(int(np.prod(self.env.action_space.n)))
                      {\tt def \ reset(self, \ *args, \ seed=None, \ render\_mode=None, \ options=None, \ **kwargs):}
                           return self.env.reset(*args, **kwargs)
                      def render(self):
                           return self.env.render(mode=self._render_mode)
                  def _make_env(*args, **kwargs):
                      return MetaDriveEnvTut(*args, **kwargs)
                  env_name = "MetaDrive-Tut-Easy-v0"
                  gym.register(id=env_name, entry_point=_make_env, kwargs={"config": dict(
                      map="S",
                      start_seed=0,
                      num scenarios=1,
                      horizon=200.
                      discrete_action=True,
                      discrete_steering_dim=3,
                      {\tt discrete\_throttle\_dim=3}
                  env_names.append(env_name)
                  env name = "MetaDrive-Tut-Hard-v0"
                  gym.register(id=env_name, entry_point=_make_env, kwargs={"config": dict(
                      map="CCC",
                      start seed=0.
                      num_scenarios=10,
                      discrete_action=True,
                      discrete_steering_dim=5,
                      discrete_throttle_dim=5
                  env_names.append(env_name)
              except gym.error.Error as e:
    print("Information when registering MetaDrive: ", e)
                  print("Successfully registered MetaDrive environments: ", env_names)
In [19]: # Run this cell without modification
         register metadrive()
        Successfully registered MetaDrive environments: ['MetaDrive-Tut-Easy-v0', 'MetaDrive-Tut-Hard-v0']
In [20]: # Run this cell without modification
          # Build the test trainer
         test_trainer = DQNTrainer(dict(env_name="MetaDrive-Tut-Easy-v0"))
          for _ in range(10):
    fake_state = test_trainer.env.observation_space.sample()
              processed_state = test_trainer.process_state(fake_state)
```

```
assert processed_state.shape == (test_trainer.obs_dim,), processed_state.shape
               values = test_trainer.compute_values(processed_state)
               assert values.shape == (test_trainer.act_dim,), values.shape
               test_trainer.train()
          print("Now your codes should be bug-free.")
test_trainer.env.close()
          del test_trainer
         [INFO] MetaDrive version: 0.4.1.2
         [INFO] Sensors: [lidar: Lidar(50,), side_detector: SideDetector(), lane_line_detector: LaneLineDetector()]
         [INFO] Render Mode: none
         [INFO] Assets version: 0.4.1.2
         Setting up self.network with obs dim: 259 and action dim: 9
         [INFO] Episode ended! Scenario Index: 0 Reason: out_of_road.
         [INFO] Episode ended! Scenario Index: 0 Reason: out_of_road. [INFO] Episode ended! Scenario Index: 0 Reason: out_of_road.
         [INFO] Episode ended! Scenario Index: 0 Reason: out_of_road.
         [INFO] Episode ended! Scenario Index: 0 Reason: max step
[INFO] Episode ended! Scenario Index: 0 Reason: max step
         [INFO] Episode ended! Scenario Index: 0 Reason: out_of_road.
         [INFO] Episode ended! Scenario Index: 0 Reason: out_of_road.
         [INFO] Episode ended! Scenario Index: 0 Reason: max step
[INFO] Episode ended! Scenario Index: 0 Reason: max step
         Now your codes should be bug-free.
In [21]: # Run this cell without modification
          env_name = "MetaDrive-Tut-Easy-v0"
          pytorch_trainer2, _ = run(DQNTrainer, dict(
               max_episode_length=200,
               max_iteration=5000,
               evaluate_interval=10,
               evaluate_num_episodes=10,
               learning_rate=0.0001,
               clip norm=10.0,
               memory size=1000000,
               learn_start=2000,
               eps=0.1,
               target_update_freq=5000,
learn_freq=16,
               batch_size=256,
               env_name=env_name
          ), reward threshold=120)
          pytorch_trainer2.save("dqn_trainer_metadrive_easy.pt")
          # Run this cell without modification
          # Render the Learned behavior
          # NOTE: The Learned agent is marked by green color.
          eval_reward, eval_info = evaluate(
               policy=pytorch_trainer2.policy,
               num_episodes=1,
               env name=pytorch trainer2.env name.
               render="topdown", # Visualize the behaviors in top-down view
               verbose=True
          frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval_info["frames"]]
          animate(frames)
          print("DQN agent achieves {} return in MetaDrive easy environment.".format(eval_reward))
         Setting up self.network with obs dim: 259 and action dim: 9
         [INFO] Iter 0, Step 199, episodic return is 0.01. {'episode_len': 199.0}
         [INFO] Iter 10, Step 2189, episodic return is -0.60. {'loss': 0.0025, 'episode_len': 199.0} [INFO] Iter 20, Step 3194, episodic return is 125.54. {'loss': 0.2302, 'episode_len': 83.0, 'success_rate': 0.0}
         [INFO] Iter 20, episodic return 125.539 is greater than reward threshold 120. Congratulation! Now we exit the training process.
         Environment is closed.
         Evaluating 1/1 episodes. We are in 1/1000 steps. Current episode reward: 0.000 \,
         Evaluating 1/1 episodes. We are in 51/1000 steps. Current episode reward: 35.980
```





DQN agent achieves 125.53851204681443 return in MetaDrive easy environment.

Section 4: Policy gradient methods - REINFORCE

(30 / 100 points)

Unlike the supervised learning, in RL the optimization objective, the episodic return, is not differentiable w.r.t. the neural network parameters. This can be solved via *Policy Gradient*. It can be proved that policy gradient is an unbiased estimator of the gradient of the objective.

Concretely, let's consider such optimization objective:

$$Q = \mathbb{E}_{\text{possible trajectories}} \sum_t r(a_t, s_t) = \sum_{s_0, a_0, \dots} p(s_0, a_0, \dots, s_t, a_t) r(s_0, a_0, \dots, s_t, a_t) = \sum_{\tau} p(\tau) r(\tau)$$

wherein $\sum_t r(a_t,s_t)=r(\tau)$ is the return of trajectory $\tau=(s_0,a_0,\dots)$. We remove the discount factor for simplicity. Since we want to maximize Q, we can simply compute the gradient of Q w.r.t. parameter θ (which is implicitly included in $p(\tau)$):

$$abla_{ heta}Q =
abla_{ heta} \sum_{ au} p(au) r(au) = \sum_{ au} r(au)
abla_{ heta} p(au)$$

wherein we've applied a famous trick: $\nabla_{\theta} p(\tau) = p(\tau) \frac{\nabla_{\theta} p(\tau)}{p(\tau)} = p(\tau) \nabla_{\theta} \log p(\tau)$. Here the $r(\tau)$ will be determined when τ is determined. So it has nothing to do with the policy. We can move it out from the gradient.

Introducing a log term can change the product of probabilities to sum of log probabilities. Now we can expand the log of product above to sum of log.

$$p_{ heta}(au) = p(s_0, a_0, \dots) = p(s_0) \prod_t \pi_{ heta}(a_t|s_t) p(s_{t+1}|s_t, a_t)$$

$$\log p_{ heta}(au) = \log p(s_0) + \sum_t \log \pi_{ heta}(a_t|s_t) + \sum_t \log p(s_{t+1}|s_t,a_t)$$

You can find that the first and third term are not correlated to the parameter of policy $\pi_{\theta}(\cdot)$. So when we compute $\nabla_{\theta}Q$, we find

$$\nabla_{\theta}Q = \sum_{\tau} r(\tau)\nabla_{\theta}p(\tau) = \sum_{\tau} r(\tau)p(\tau)\nabla_{\theta}\log p(\tau) = \sum p_{\theta}(\tau)(\sum_{t}\nabla_{\theta}\log \pi_{\theta}(a_{t}|s_{t}))r(\tau)d\tau$$

When we sample sufficient amount of data from the environment, the above equation can be estimated via:

$$\nabla_{\theta}Q = \frac{1}{N}\sum_{i=1}^{N}[(\sum_{t}\nabla_{\theta}\log\pi_{\theta}(a_{i,t}|s_{i,t})(\sum_{t'=t}\gamma^{t'-t}r(s_{i,t'},a_{i,t'}))]$$

This algorithm is called REINFORCE algorithm, which is a Monte Carlo Policy Gradient algorithm with long history. In this section, we will implement the it using pytorch.

The policy network is composed by two parts:

- 1. A basic neural network serves as the function approximator. It output raw values parameterizing the action distribution given current observation. We will reuse PytorchModel here.
- 2. A distribution layer builds upon the neural network to wrap the raw logits output from neural network to a distribution and provides API for sampling action and computing log probability.

Section 4.1: Build REINFORCE

```
In [22]: # Solve the TODOs and remove `pass`
          class PGNetwork(nn.Module):
              def __init__(self, obs_dim, act_dim, hidden_units=128):
    super(PGNetwork, self).__init__()
                   self.network = PytorchModel(obs_dim, act_dim, hidden_units)
              def forward(self, obs):
                  logit = self.network(obs)
                  # TODO: Create an object of the class "torch.distributions.Categorical"
                   # Then sample an action from it.
                  probs = torch.softmax(logit, dim=-1)
                  action = torch.distributions.Categorical(probs).sample()
                   return action
              def log prob(self, obs, act):
                  logits = self.network(obs)
                  # TODO: Create an object of the class "torch.distributions.Categorical"
                  # Then get the log probability of the action `act` in this distribution.
probs = torch.softmax(logits, dim=-1)
                  log_prob = torch.distributions.Categorical(probs).log_prob(act)
                  return log_prob
          # Note that we do not implement GaussianPolicy here. So we can't
          # apply our algorithm to the environment with continous action.
In [23]: # Solve the TODOs and remove `pass
```

```
PG_DEFAULT_CONFIG = merge_config(dict(
    normalize_advantage=True,
    clip_norm=10.0,
    clip_gradient=True,
   hidden units=100.
   max_iteration=1000,
    train batch size=1000,
    learning_rate=0.001,
    env_name="CartPole-v1",
), DEFAULT_CONFIG)
class PGTrainer(AbstractTrainer):
   def __init__(self, config=None):
       config = merge_config(config, PG_DEFAULT_CONFIG)
super().__init__(config)
       self.iteration = 0
       self.start_time = time.time()
       self.iteration_time = self.start_time
       self.total_timesteps = 0
       self.total_episodes = 0
       # build the model
       self.initialize_parameters()
    def initialize_parameters(self):
         ""Build the policy network and related optimizer"""
       # Detect whether you have GPU or not. Remember to call X.to(self.device)
        # if necessary.
       self.device = torch.device(
            "cuda" if torch.cuda.is_available() else "cpu"
       # TODO Build the policy network using CategoricalPolicy
       # Hint: Remember to pass config["hidden_units"], and set policy network
       # to the device you are using.
       self.network = PGNetwork(
```

```
self.obs_dim, self.act_dim,
        hidden_units=self.config["hidden_units"]
   ).to(self.device)
    # Build the Adam optimizer.
self.optimizer = torch.optim.Adam(
         self.network.parameters(),
         lr=self.config["learning_rate"]
def to_tensor(self, array):
        Transform a numpy array to a pytorch tensor"""
    return torch.from_numpy(array).type(torch.float32).to(self.device)
def to array(self, tensor):
      ""Transform a pytorch tensor to a numpy array"""
    ret = tensor.cpu().detach().numpy()
    if ret.size == 1:
        ret = ret.item()
    return ret
def save(self, loc="model.pt"):
    torch.save(self.network.state dict(), loc)
def load(self, loc="model.pt"):
    self.network.load state dict(torch.load(loc))
def compute_action(self, observation, eps=None):
    """Compute the action for single observation. eps is useless here.""" assert observation.ndim == 1 \,
    # TODO: Sample an action from the action distribution given by the policy.
    # Hint: The input of policy network is a tensor with the first dimension to the
    # batch dimension. Therefore you need to expand the first dimension of the observation
# and converte it to a tensor before feeding it to the policy network.
    obs = self.to_tensor(observation)
    action = self.network(obs).item()
    return action
def compute_log_probs(self, observation, action):
      "Compute the log probabilities of a batch of state-action pair""
    # TODO: Use the function of the policy network to get log probs.
    # Hint: Remember to transform the data into tensor before feeding it into the network.
    obs = self.to_tensor(observation)
act = self.to_tensor(action)
    log_probs = self.network.log_prob(obs, act)
    return log_probs
def update_network(self, processed_samples):
    """Update the policy network"""
    advantages = self.to_tensor(processed_samples["advantages"])
    flat_obs = np.concatenate(processed_samples["obs"])
flat_act = np.concatenate(processed_samples["act"])
    self.network.train()
    self.optimizer.zero grad()
    log_probs = self.compute_log_probs(flat_obs, flat_act)
    advantages.shape)
    # TODO: Compute the policy gradient loss.
    loss = -torch.mean(log_probs * advantages)
    loss.backward()
    # Clip the gradient
    torch.nn.utils.clip_grad_norm_(
        self.network.parameters(), self.config["clip_gradient"]
    self.optimizer.step()
    self.network.eval()
    update_info = {
         "molicy_loss": loss.item(),
"mean_log_prob": torch.mean(log_probs).item(),
"mean_advantage": torch.mean(advantages).item()
    return update info
# ===== Training-related functions =====
def collect_samples(self):
    """Here we define the pipeline to collect sample even though
    any specify functions are not implemented yet.
    iter timesteps = 0
    iter_episodes = 0
    episode_lens = []
    episode_rewards = []
    episode obs list = []
    episode_act_list = []
    episode_reward_list = []
    success list = []
    while iter_timesteps <= self.config["train_batch_size"]:</pre>
         obs_list, act_list, reward_list = [], [], []
         obs, info = self.env.reset()
         steps = 0
         episode_reward = 0
```

```
while True:
               act = self.compute_action(obs)
               \begin{tabular}{lll} next\_obs, reward, terminated, truncated, step\_info = self.env.step(act) \\ done = terminated or truncated \end{tabular}
               obs_list.append(obs)
act_list.append(act)
               reward_list.append(reward)
               obs = next_obs.copy()
               steps += 1
               episode_reward += reward
               if done or steps > self.config["max_episode_length"]:
    if "arrive_dest" in step_info:
                         success_list.append(step_info["arrive_dest"])
                    hreak
          iter\_timesteps += steps
          iter episodes += 1
          episode_rewards.append(episode_reward)
          episode_lens.append(steps)
          episode_obs_list.append(np.array(obs_list, dtype=np.float32))
          episode_act_list.append(np.array(act_list, dtype=np.float32))
episode_reward_list.append(np.array(reward_list, dtype=np.float32))
     # The return `samples` is a dict that contains several key-value pair.
# The value of each key-value pair is a list storing the data in one episode.
     samples = {
    "obs": episode_obs_list,
    "act": episode_act_list,
          "reward": episode_reward_list
     sample info = {
          "iter_timesteps": iter_timesteps,

"iter_episodes": iter_episodes,

"performance": np.mean(episode_rewards), # help drawing figures
          "ep_len": float(np.mean(episode_lens))
          "ep_ret": float(np.mean(episode_rewards)),
          "episode_len": sum(episode_lens),
"success_rate": np.mean(success_list)
     return samples, sample_info
def process_samples(self, samples):
       ""Process samples and add advantages in it"""
     values = []
     for reward_list in samples["reward"]:
          # reward_list contains rewards in one episode
          returns = np.zeros_like(reward_list, dtype=np.float32)
          0 = 0
          # TODO: Scan the reward_list in a reverse order and compute the
          # discounted return at each time step. Fill the array `returns'
for i in reversed(range(len(reward_list))):
               Q = reward_list[i] + self.config["gamma"] * Q
                returns[i] = Q
          values.append(returns)
     # We call the values advantage here.
advantages = np.concatenate(values)
     if self.config["normalize_advantage"]:
          # TODO: normalize the advantage so that it's mean is
# almost 0 and the its standard deviation is almost 1.
          advantages = (advantages - np.mean(advantages)) / (np.std(advantages) + 1e-8)
     samples["advantages"] = advantages
     return samples, {}
# ===== Training iteration =====
def train(self, iteration=None):
      ""Here we defined the training pipeline using the abstract
     functions."
     info = dict(iteration=iteration)
     # Collect samples
     samples, sample_info = self.collect_samples()
     info.update(sample_info)
     # Process samples
     processed_samples, processed_info = self.process_samples(samples)
     info.update(processed_info)
     # Update the model
update_info = self.update_network(processed_samples)
     info.update(update_info)
     now = time.time()
     self.iteration += 1
     self.total_timesteps += info.pop("iter_timesteps")
     self.total_episodes += info.pop("iter_episodes")
     # info["iter_time"] = now - self.iteration_time
     # info["total_time"] = now - self.start_time
info["total_episodes"] = self.total_episodes
info["total_timesteps"] = self.total_timesteps
     self.iteration_time = now
```

```
# print("INFO: ", info)
return info
```

Section 4.2: Test REINFORCE

```
In [24]: # Run this cell without modification
          # Test advantage computing
         test_trainer = PGTrainer({"normalize_advantage": False})
         test_trainer.train()
fake_sample = {"reward": [[2, 2, 2, 2, 2]]}
         np.testing.assert_almost_equal(
              test_trainer.process_samples(fake_sample)[0]["reward"][0],
fake_sample["reward"][0]
         np.testing.assert_almost_equal(
              test_trainer.process_samples(fake_sample)[0]["advantages"],
              np.array([9.80199, 7.880798, 5.9402, 3.98, 2.], dtype=np.float32)
         test_adv = test_trainer.process_samples(fake_sample)[0]["advantages"]
          np.testing.assert_almost_equal(test_adv.mean(), 0.0)
         np.testing.assert_almost_equal(test_adv.std(), 1.0)
         # Test the shape of functions' returns
fake_observation = np.array([
              test_trainer.env.observation_space.sample() for i in range(10)
          fake_action = np.array([
             test_trainer.env.action_space.sample() for i in range(10)
          assert test_trainer.to_tensor(fake_observation).shape == torch.Size([10, 4])
         assert np.array(test_trainer.compute_action(fake_observation[0])).shape == ()
assert test_trainer.compute_log_probs(fake_observation, fake_action).shape == \
                 torch.Size([10])
         print("Test Passed!")
        Test Passed!
```

Section 4.3: Train REINFORCE in CartPole and see the impact of advantage normalization

```
In [25]: # Run this cell without modification

pg_trainer_no_na, pg_result_no_na = run(PGTrainer, dict(
    learning_rate=0.001,
    max_episode_length=200,
    train_batch_size=200,
    env_name="CartPole-v1",
    normalize_advantage=False, # <<== Here!

    evaluate_interval=10,
    evaluate_num_episodes=10,
), 195.0)</pre>
```

| Internation | 18.00 | Step 225, episodic return is 13.40 | State 126. | episodicy 18. | episodicy

```
In [26]: # Run this cell without modification

pg_trainer_with_na, pg_result_with_na = run(PGTrainer, dict(
    learning_rate=0.001,
    max_episode_length=200,
    train_batch_size=200,
    env_name="CartPole-v1",
    normalize_advantage=True, # <<== Here!

    evaluate_interval=10,
    evaluate_num_episodes=10,
), 195.0)</pre>
```

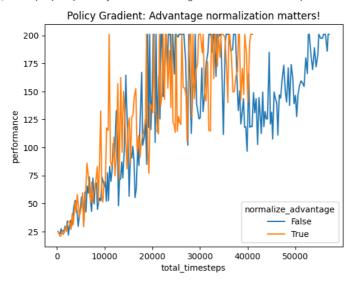
[INFO] Iter 0, Step 227, episodic return is 22.80. {'iteration': 0.0, 'performance': 25.2222, 'ep_len': 25.2222, 'ep_ret': 25.2222, 'episode_len': 27.0, 'policy_loss': 0.8002, 'mean_log_prob': -0.6925, 'mean_advantage': 0.0, 'total_ejisodes': 9.0, 'total_timesteps': 227.0, 'policy_loss': -0.0224, 'mean_log_prob': -0.6812, 'mean_advantage': -0.0, 'total_episodes': 96.0, 'total_timesteps': 2397.0, 'policy_loss': -0.0224, 'mean_log_prob': -0.6812, 'mean_advantage': -0.0, 'total_episodes': 96.0, 'total_timesteps': 2397.0, 'policy_loss': -0.0224, 'mean_log_prob': -0.6812, 'mean_advantage': -0.0, 'total_episodes': 155.0, 'total_timesteps': 4680.0, 'performance': 38.1667, 'ep_len': 38.1667, 'epert': 38.1667, 'episode_len': 229.0, 'policy_loss': -0.0002, 'mean_log_prob': -0.6142, 'mean_advantage': -0.0, 'total_episodes': 155.0, 'total_timesteps': 4680.0, 'policy_loss': -0.0003, 'mean_log_prob': -0.6142, 'mean_advantage': -0.0, 'total_episodes': 201.0, 'total_timesteps': 4680.0, 'policy_loss': -0.0003, 'mean_log_prob': -0.6142, 'mean_advantage': -0.0, 'total_episodes': 201.0, 'total_timesteps': 7075.0, 'policy_loss': -0.0003, 'mean_log_prob': -0.6293, 'mean_advantage': -0.0, 'total_episodes': 201.0, 'total_timesteps': 7075.0, 'policy_loss': -0.0274, 'mean_log_prob': -0.6293, 'mean_advantage': -0.0, 'total_episodes': 235.0, 'total_timesteps': 4003.0, 'policy_loss': -0.0273, 'mean_log_prob': -0.6176, 'mean_advantage': -0.0, 'total_episodes': 264.0, 'total_timesteps': 4003.0, 'policy_loss': -0.0273, 'mean_log_prob': -0.6176, 'mean_advantage': -0.0, 'total_episodes': 264.0, 'total_timesteps': 1194.0, 'policy_loss': -0.0273, 'mean_log_prob': -0.5176, 'mean_advantage': -0.0, 'total_episodes': 264.0, 'total_timesteps': 1194.0, 'policy_loss': -0.0273, 'mean_log_prob': -0.5176, 'mean_advantage': -0.0, 'total_episodes': 264.0, 'total_timesteps': 1194.0, 'policy_loss': -0.0273, 'mean_log_prob': -0.5176, 'mean_advantage': -0.0, 'total_episodes': 264.0, 'total_timesteps': 1194.0, 'policy_loss': -0.0273, 'mean_log_prob': -0.5578, 'me

```
In [27]: # Run this cell without modification

pg_result_no_na_df = pd.DataFrame(pg_result_no_na)
pg_result_with_na_df = pd.DataFrame(pg_result_with_na)
pg_result_no_na_df["normalize_advantage"] = False
pg_result_with_na_df["normalize_advantage"] = True

ax = sns.lineplot(
    x="total_timesteps",
    y="performance",
    data=pd.concat([pg_result_no_na_df, pg_result_with_na_df]).reset_index(), hue="normalize_advantage",
)
ax.set_title("Policy Gradient: Advantage normalization matters!")
```

Out[27]: Text(0.5, 1.0, 'Policy Gradient: Advantage normalization matters!')



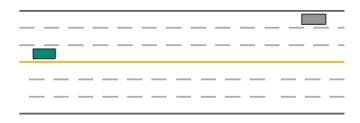
Section 4.4: Train REINFORCE in MetaDrive-Easy

```
In [28]: # Run this cell without modification
              env name = "MetaDrive-Tut-Easy-v0"
              pg_trainer_metadrive_easy, pg_trainer_metadrive_easy_result = run(PGTrainer, dict(
                    train batch size=2000
                    normalize advantage=True,
                    max_episode_length=200,
                    max_iteration=5000
                    evaluate interval=10,
                    evaluate_num_episodes=10,
                    learning_rate=0.001,
                    clip_norm=10.0,
                    env name=env name
              ), reward_threshold=120)
              pg_trainer_metadrive_easy.save("pg_trainer_metadrive_easy.pt")
            [INFO] Iter 0, Step 2066, episodic return is 1.88. {'iteration': 0.0, 'performance': 1.3436, 'ep_len': 187.8182, 'ep_ret': 1.3436, 'episode_len': 2066.0, 'success_rate': 0.0, 'policy_loss': 0.0019, 'mean_log_prob': -2.1897, 'mean_advantage': -0.0, 'total_episodes': 11.0, 'total_timesteps': 2
            066.0}
            [IMFO] Iter 10, Step 22321, episodic return is 5.33. {'iteration': 10.0, 'performance': 5.1098, 'ep_len': 201.0, 'ep_ret': 5.1098, 'episode_len': 2010.0, 'success_rate': 0.0, 'policy_loss': -0.0181, 'mean_log_prob': -2.1376, 'mean_advantage': -0.0, 'total_episodes': 112.0, 'total_timesteps':
            22321.0}
            [INFO] Iter 20, Step 42734, episodic return is 16.44. {'iteration': 20.0, 'performance': 8.699, 'ep_len': 98.4762, 'ep_ret': 8.699, 'episode_len': 2068.0, 'success_rate': 0.0, 'policy_loss': -0.0163, 'mean_log_prob': -1.6301, 'mean_advantage': -0.0, 'total_episodes': 242.0, 'total_timesteps':
            42734.0}
            [IMF0] Iter 30, Step 63184, episodic return is 97.94. {'iteration': 30.0, 'performance': 68.6048, 'ep_len': 78.0385, 'ep_ret': 68.6048, 'episode_l en': 2029.0, 'success_rate': 0.1154, 'policy_loss': -0.0197, 'mean_log_prob': -0.481, 'mean_advantage': 0.0, 'total_episodes': 489.0, 'total_times
            teps': 63184.0}
            [INFO] Iter 40, Step 83560, episodic return is 125.61. {'iteration': 40.0, 'performance': 125.5325, 'ep_len': 92.2727, 'ep_ret': 125.5325, 'episod e_len': 2030.0, 'success_rate': 1.0, 'policy_loss': 0.0016, 'mean_log_prob': -0.0353, 'mean_advantage': 0.0, 'total_episodes': 725.0, 'total_times teps': 83560.0}
            [INFO] Iter 40, episodic return 125.613 is greater than reward threshold 120. Congratulation! Now we exit the training process.
            Environment is closed.
In [29]: # Run this cell without modification
              # Render the Learned behavior
```

```
# Render the Learned behavior
# NOTE: The Learned agent is marked by green color.
eval_reward, eval_info = evaluate(
   policy=pg_trainer_metadrive_easy.policy,
   num_episodes=1,
   env_name=pg_trainer_metadrive_easy.env_name,
   render="topdown", # Visualize the behaviors in top-down view
   verbose=True
)

frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval_info["frames"]]
animate(frames)
print("REINFORCE agent achieves {} return in MetaDrive easy environment.".format(eval_reward))
```

Evaluating 1/1 episodes. We are in 1/1000 steps. Current episode reward: 0.000 Evaluating 1/1 episodes. We are in 51/1000 steps. Current episode reward: 35.980





REINFORCE agent achieves 125.53851204681443 return in MetaDrive easy environment.

Section 5: Policy gradient with baseline

(20 / 100 points)

We compute the gradient of $Q=\mathbb{E}\sum_t r(a_t,s_t)$ w.r.t. the parameter to update the policy. Let's consider this case: when you take a so-so action that lead to positive expected return, the policy gradient is also positive and you will update your network toward this action. At the same time a potential better action is ignored.

To tackle this problem, we introduce the "baseline" when computing the policy gradient. The insight behind this is that we want to optimize the policy toward an action that are better than the "average action".

We introduce $b_t = \mathbb{E}_{a_t} \sum_{t'} \gamma^{t'-t} r(s_{t'}, a_{t'})$ as the baseline. It average the expected discount return of all possible actions at state s_t . So that the "advantage" achieved by action a_t can be evaluated via $\sum_{t'=t} \gamma^{t'-t} r(a_{t'}, s_{t'}) - b_t$

Therefore, the policy gradient becomes:

$$abla_{ heta}Q = rac{1}{N}\sum_{i=1}^{N}[(\sum_{t}
abla_{ heta}\log\pi_{ heta}(a_{i,t}|s_{i,t})(\sum_{t'}\gamma^{t'-t}r(s_{i,t'},a_{i,t'})-b_{i,t})]$$

In our implementation, we estimate the baseline via an extra network self-baseline, which has same structure of policy network but output only a scalar value. We use the output of this network to serve as the baseline, while this network is updated by fitting the true value of expected return of current state: $\mathbb{E}_{a_t} \sum_{t'} \gamma^{t'-t} r(s_{t'}, a_{t'})$

The state-action values might have large variance if the reward function has large variance. It is not easy for a neural network to predict targets with large variance and extreme values. In implementation, we use a trick to match the distribution of baseline and values. During training, we first collect a batch of target values: $\{t_i = \mathbb{E}_{a_i} \sum_{t'} \gamma^{t'-t} r(s_{t'}, a_{t'})\}_i$. Then we normalize all targets to a standard distribution with mean = 0 and std = 1. Then we ask the baseline network to fit such normalized targets.

When computing the advantages, instead of using the output of baseline network as the baseline b, we firstly match the baseline distribution with state-action values, that is we "de-standarize" the baselines. The transformed baselines b' = f(b) should has the same mean and STD with the action

values

After that, we compute the advantage of current action: $adv_{i,t} = \sum_{t'} \gamma^{t'-t} r(s_{i,t'}, a_{i,t'}) - b'_{i,t}$

By doing this, we mitigate the instability of training baseline.

Hint: We suggest to normalize an array via: (x - x.mean()) / max(x.std(), 1e-6) . The max term can mitigate numeraical instability.

Section 5.1: Build PG method with baseline

```
In [30]: class PolicyGradientWithBaselineTrainer(PGTrainer):
              def initialize_parameters(self):
    # Build the actor in name of self.policy
                  super().initialize_parameters()
                  # TODO: Build the baseline network using PytorchModel class.
                  self.baseline = PytorchModel(self.obs_dim, 1, hidden_units=self.config["hidden_units"])
                  self.baseline.to(self.device)
                  self.baseline_loss = nn.MSELoss()
                  self.baseline_optimizer = torch.optim.Adam(
                       self.baseline.parameters(),
                       lr=self.config["learning_rate"]
              def process_samples(self, samples):
                   # Call the original process_samples function to get advantages
                  tmp_samples, _ = super().process_samples(samples)
values = tmp_samples["advantages"]
                  samples["values"] = values # We add q_values into samples
                  # Flatten the observations in all trajectories (still a numpy array)
                  obs = np.concatenate(samples["obs"])
                  assert obs.ndim == 2
                  assert obs.shape[1] == self.obs dim
                  obs = self.to_tensor(obs)
                  samples["flat_obs"] = obs
                  # TODO: Compute the baseline by feeding observation to baseline network
                  # Hint: baselines turns out to be a numpy array with the same shape of `values`,
                   # that is: (batch size, )
                  baselines = self.to_array(self.baseline(samples["flat_obs"]).squeeze())
                  assert baselines.shape == values.shape
                  # TODO: Match the distribution of baselines to the values.
                  # Hint: We expect to see baselines.std almost equals to values.std,
                  # and baselines.mean almost equals to values.mean.
baselines = (baselines - baselines.mean()) / baselines.std()
baselines = baselines * values.std() + values.mean()
                  # Compute the advantage
                  advantages = values - baselines
samples["advantages"] = advantages
                  process_info = {"mean_baseline": float(np.mean(baselines))}
                  return samples, process_info
               def update_network(self, processed_samples):
                  update_info = super().update_network(processed_samples)
                  update_info.update(self.update_baseline(processed_samples))
                   return update_info
              def update_baseline(self, processed_samples):
                   self.baseline.train()
                  obs = processed_samples["flat_obs"]
                  # TODO: Normalize `values` to have mean=0, std=1.
                  values = processed_samples["values"]
                  values = (values - values.mean()) / values.std()
                  values = self.to tensor(values[:, np.newaxis])
                  baselines = self.baseline(obs)
                  self.baseline optimizer.zero grad()
                   loss = self.baseline_loss(input=baselines, target=values)
                  loss.backward()
                  # Clip the gradient
                  torch.nn.utils.clip_grad_norm_(
                       self.baseline.parameters(), self.config["clip_gradient"]
                  self.baseline_optimizer.step()
                  self.baseline.eval()
                  return dict(baseline_loss=loss.item())
```

Section 5.2: Run PG w/ baseline in CartPole

```
In [31]: # Run this cell without modification

pg_trainer_wb_cartpole, pg_trainer_wb_cartpole_result = run(PolicyGradientWithBaselineTrainer, dict(
    learning_rate=0.001,
    max_episode_length=200,
```

train batch size=200,

```
env_name="CartPole-v1"
         normalize advantage=True,
         evaluate interval=10,
         evaluate_num_episodes=10,
 ), 195.0)
return methods. mean(a, axis=axis, dtype=dtype,
C:\Users\18646\anaconda3\envs\cs260r\Lib\site-packages\numpy\core\_methods.py:192: RuntimeWarning: invalid value encountered in scalar divide
   ret = ret.dtype.type(ret / rcount)
[INFO] Iter 0, Step 228, episodic return is 35.70. {'iteration': 0.0, 'performance': 25.3333, 'ep_len': 25.3333, 'ep_ret': 25.3333, 'episode_len': 28.0, 'mean_baseline': -0.0, 'policy_loss': -0.0044, 'mean_log_prob': -0.6888, 'mean_advantage': 0.0, 'baseline_loss': 1.0699, 'total_episodes': 9.0, 'total_timesteps': 228.0}
[IMFO] Iter 10, Step 2475, episodic return is 17.80. {'iteration': 10.0, 'performance': 29.0, 'ep_len': 29.0, 'ep_ret': 29.0, 'episode_len': 261. 0, 'mean_baseline': 0.0, 'policy_loss': -0.0253, 'mean_log_prob': -0.6965, 'mean_advantage': 0.0, 'baseline_loss': 0.9545, 'total_episodes': 105. 0, 'total_timesteps': 2475.0}
[INFO] Iter 20, Step 4652, episodic return is 38.10. {'iteration': 20.0, 'performance': 29.0, 'ep_len': 29.0, 'ep_ret': 29.0, 'episode_len': 232.
0, 'mean_baseline': 0.0, 'policy_loss': -0.0238, 'mean_log_prob': -0.6689, 'mean_advantage': 0.0, 'baseline_loss': 0.9404, 'total_episodes': 166. 0, 'total_timesteps': 4652.0}
[INFO] Iter 30, Step 6964, episodic return is 47.30. {'iteration': 30.0, 'performance': 33.5714, 'ep_len': 33.5714, 'ep_ret': 33.5714, 'episode_le n': 235.0, 'mean_baseline': -0.0, 'policy_loss': -0.0809, 'mean_log_prob': -0.6582, 'mean_advantage': -0.0, 'baseline_loss': 0.8676, 'total_episod es': 227.0, 'total_timesteps': 6964.0}
[IMF0] Iter 40, Step 9411, episodic return is 75.70. {'iteration': 40.0, 'performance': 74.0, 'ep_len': 74.0, 'ep_ret': 74.0, 'episode_len': 222.
0, 'mean_baseline': 0.0, 'policy_loss': -0.0253, 'mean_log_prob': -0.6176, 'mean_advantage': 0.0, 'baseline_loss': 0.773, 'total_episodes': 275.0,
 'total_timesteps': 9411.0}
[INFO] Iter 50, Step 11866, episodic return is 98.40. {'iteration': 50.0, 'performance': 85.0, 'ep_len': 85.0, 'ep_ret': 85.0, 'episode_len': 255.0, 'mean_baseline': -0.0, 'policy_loss': -0.0578, 'mean_log_prob': -0.6342, 'mean_advantage': -0.0, 'baseline_loss': 0.8318, 'total_episodes': 31
0.0, 'total_timesteps': 11866.0}
[INFO] Iter 60, Step 14362, episodic return is 118.30. {'iteration': 60.0, 'performance': 129.5, 'ep_len': 129.5, 'ep_ret': 129.5, 'episode_len': 259.0, 'mean_baseline': -0.0, 'policy_loss': -0.0014, 'mean_log_prob': -0.5772, 'mean_advantage': 0.0, 'baseline_loss': 0.3668, 'total_episodes':
335.0, 'total timesteps': 14362.0}
[INFO] Iter 70, Step 17056, episodic return is 119.50. {'iteration': 70.0, 'performance': 82.75, 'ep_len': 82.75, 'ep_ret': 82.75, 'episode_len': 331.0, 'mean_baseline': -0.0, 'policy_loss': -0.0493, 'mean_log_prob': -0.5482, 'mean_advantage': -0.0, 'baseline_loss': 0.7256, 'total_episodes': 361.0, 'total_timesteps': 17056.0}
[INFO] Iter 80, Step 19523, episodic return is 159.70. {'iteration': 80.0, 'performance': 187.5, 'ep_len': 187.5, 'ep_ret': 187.5, 'episode_len': 375.0, 'mean_baseline': 0.0, 'policy_loss': -0.0154, 'mean_log_prob': -0.5707, 'mean_advantage': 0.0, 'baseline_loss': 0.6637, 'total_episodes': 378.0, 'total_timesteps': 19523.0}
[INFO] Iter 90, Step 22256, episodic return is 174.60. {'iteration': 90.0, 'performance': 201.0, 'ep_len': 201.0, 'ep_ret': 201.0, 'episode_len': 201.0, 'mean_baseline': -0.0, 'policy_loss': -0.0003, 'mean_log_prob': -0.5288, 'mean_advantage': 0.0, 'baseline_loss': 0.7738, 'total_episodes': 394.0, 'total_timesteps': 22256.0}
[INFO] Iter 100, Step 24532, episodic return is 196.80. {'iteration': 100.0, 'performance': 201.0, 'ep_len': 201.0, 'ep_ret': 201.0, 'episode_le n': 201.0, 'mean_baseline': -0.0, 'policy_loss': -0.021, 'mean_log_prob': -0.5575, 'mean_advantage': -0.0, 'baseline_loss': 0.2144, 'total_episode
 s': 407.0, 'total_timesteps': 24532.0}
[INFO] Iter 100, episodic return 196.800 is greater than reward threshold 195.0. Congratulation! Now we exit the training process.
Environment is closed.
```

Section 5.3: Run PG w/ baseline in MetaDrive-Easy

```
In [32]: # Run this cell without modification
                     env name = "MetaDrive-Tut-Easy-v0"
                    pg_trainer_wb_metadrive_easy, pg_trainer_wb_metadrive_easy_result = run(
   PolicyGradientWithBaselineTrainer,
                              dict(
                                      train batch size=2000.
                                      normalize advantage=True,
                                      max_episode_length=200,
                                      max iteration=5000
                                      evaluate interval=10,
                                      evaluate_num_episodes=10,
                                      learning_rate=0.001,
                                      clip_norm=10.0,
                                      env_name=env_name
                               reward threshold=120
                    pg_trainer_wb_metadrive_easy.save("pg_trainer_wb_metadrive_easy.pt")
                 [INFO] Iter 0, Step 2141, episodic return is 2.55. {'iteration': 0.0, 'performance': 2.0995, 'ep_len': 194.6364, 'ep_ret': 2.0995, 'episode_len': 2141.0, 'success_rate': 0.0, 'mean_baseline': -0.0, 'policy_loss': -0.0074, 'mean_log_prob': -2.1903, 'mean_advantage': 0.0, 'baseline_loss': 1.01
                  2141.0, 'succes_rate': 0.0, 'mean_baseline': -0.0, 'pc
74, 'total_episodes': 11.0, 'total_timesteps': 2141.0}
                 [INFO] Iter 10, Step 22644, episodic return is 22.50. {'iteration': 10.0, 'performance': 17.58, 'ep_len': 190.8182, 'ep_ret': 17.58, 'episode_le n': 2099.0, 'success_rate': 0.0, 'mean_baseline': 0.0, 'policy_loss': -0.0678, 'mean_log_prob': -1.6756, 'mean_advantage': -0.0, 'baseline_loss': 0.997, 'total_episodes': 114.0, 'total_timesteps': 22644.0}
[INFO] Iter 20, Step 42182, episodes article ar
                  [INFO] Iter 20, Step 43180, episodic return is 83.29. {'iteration': 20.0, 'performance': 95.7809, 'ep_len': 83.52, 'ep_ret': 95.7809, 'episode_le
                 n': 2088.0, 'success_rate': 0.52, 'mean_baseline': -0.0, 'policy_loss': -0.0512, 'mean_log_prob': -0.1561, 'mean_advantage': 0.0, 'baseline_loss': 0.9825, 'total_episodes': 342.0, 'total_timesteps': 43180.0}
                  [INFO] Iter 30, Step 63554, episodic return is 125.54. {'iteration': 30.0, 'performance': 125.5385, 'ep_len': 92.0, 'ep_ret': 125.5385, 'episode_l
                 en': 2024.0, 'success_rate': 1.0, 'mean_baseline': 0.0, 'policy_loss': 0.0001, 'mean_log_prob': -0.0002, 'mean_advantage': -0.0, 'baseline_loss': 0.8357, 'total_episodes': 566.0, 'total_timesteps': 63554.0}
                  [INFO] Iter 30, episodic return 125.539 is greater than reward threshold 120. Congratulation! Now we exit the training process.
                  Environment is closed.
In [33]: # Run this cell without modification
                     # Render the Learned behavior
                     # NOTE: The learned agent is marked by green color.
                     eval reward, eval info = evaluate(
                             policy=pg_trainer_wb_metadrive_easy.policy,
                              num episodes=1.
                              env_name=pg_trainer_wb_metadrive_easy.env_name,
                              render="topdown", # Visualize the behaviors in top-down view
                              verhose=True
```

Evaluating 1/1 episodes. We are in 1/1000 steps. Current episode reward: 0.000 Evaluating 1/1 episodes. We are in 51/1000 steps. Current episode reward: 35.980 PG agent achieves 125.53851204681443 return and 1.0 success rate in MetaDrive easy environment.



Section 5.4: Run PG with baseline in MetaDrive-Hard

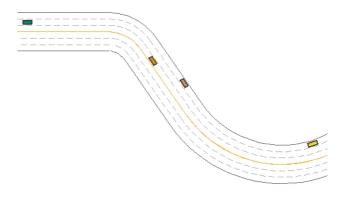
The minimum goal to is to achieve episodic return > 20, which costs nearly 20 iterations and \sim 100k steps.

Bonus

BONUS can be earned if you can improve the training performance by adjusting hyper-parameters and optimizing code. Improvement means achieving > 0.0 success rate. However, I can't guarentee it is feasible to solve this task with PG via simplying tweaking the hyper-parameters more carefully. Please creates a independent markdown cell to highlight your improvement.

```
learning rate=0.001,
                            clip_norm=10.0,
                            env_name=env_name
                      reward_threshold=20 # We just set the reward threshold to 20. Feel free to adjust it.
              pg_trainer_wb_metadrive_hard.save("pg_trainer_wb_metadrive hard.pt")
             [INFO] Iter 0, Step 4781, episodic return is 9.08. {'iteration': 0.0, 'performance': 11.5818, 'ep_len': 956.2, 'ep_ret': 11.5818, 'episode_len': 4781.0, 'success_rate': 0.0, 'mean_baseline': -0.0, 'policy_loss': -0.0006, 'mean_log_prob': -3.2098, 'mean_advantage': 0.0, 'baseline_loss': 1.000 9, 'total_episodes': 5.0, 'total_timesteps': 4781.0}
             [INFO] Iter 5, Step 26686, episodic return is 13.35. {'iteration': 5.0, 'performance': 10.6221, 'ep_len': 730.6667, 'ep_ret': 10.6221, 'episode_le n': 4384.0, 'success_rate': 0.0, 'mean_baseline': -0.0, 'policy_loss': -0.0046, 'mean_log_prob': -3.173, 'mean_advantage': 0.0, 'baseline_loss': 1.0008, 'total_episodes': 32.0, 'total_timesteps': 26686.0}
            1.0008, 'total_episodes': 32.0, 'total_timesteps': 26686.0}

[INFO] Iter 10, Step 48249, episodic return is 12.74. {'iteration': 10.0, 'performance': 7.3063, 'ep_len': 466.0, 'ep_ret': 7.3063, 'episode_len': 4194.0, 'success_rate': 0.0, 'mean_baseline': 0.0, 'policy_loss': -0.0091, 'mean_log_prob': -3.1143, 'mean_advantage': -0.0, 'baseline_loss': 0.98
99, 'total_episodes': 63.0, 'total_timesteps': 48249.0}
[INFO] Iter 15, Step 69004, episodic return is 20.14. {'iteration': 15.0, 'performance': 18.3007, 'ep_len': 483.1111, 'ep_ret': 18.3007, 'episode_len': 4348.0, 'success_rate': 0.0, 'mean_baseline': 0.0, 'policy_loss': 0.0134, 'mean_log_prob': -2.9499, 'mean_advantage': -0.0, 'baseline_loss': 0.9871, 'total_episodes': 108.0, 'total_timesteps': 69004.0}
[INFO] Iter 15, episodic return 20.143 is greater than reward threshold 20. Congratulation! Now we exit the training process.
Environment is closed.
In [35]: # Run this cell without modification
              # Render the Learned behavior
               # NOTE: The Learned agent is marked by green color.
               eval_reward, eval_info = evaluate(
                     policy=pg_trainer_wb_metadrive_hard.policy,
                      num_episodes=10,
                     env name=pg trainer wb metadrive hard.env name,
                      verbose=False
               _, eval_info_render = evaluate(
                     policy=pg_trainer_wb_metadrive_hard.policy,
                      num episodes=1,
                      env name=pg trainer wb metadrive hard.env name,
                      render="topdown", # Visualize the behaviors in top-down view
                     verbose=True
               frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval_info_render["frames"]]
              print(
                      "PG agent achieves {} return and {} success rate in MetaDrive easy environment.".format(
                            eval_reward, eval_info["success_rate"]
              animate(frames)
             Evaluating 1/1 episodes. We are in 1/1000 steps. Current episode reward: 0.000
             Evaluating 1/1 episodes. We are in 51/1000 steps. Current episode reward: 3.237
             Evaluating 1/1 episodes. We are in 101/1000 steps. Current episode reward: 5.368
             Evaluating 1/1 episodes. We are in 151/1000 steps. Current episode reward: 8.174
             Evaluating 1/1 episodes. We are in 201/1000 steps. Current episode reward: 10.494
             Evaluating 1/1 episodes. We are in 251/1000 steps. Current episode reward: 13.910
             Evaluating 1/1 episodes. We are in 301/1000 steps. Current episode reward: 15.988 PG agent achieves 26.93138374835876 return and 0.0 success rate in MetaDrive easy environment.
```





```
In [36]: # Modify the config parameters, and update_network function
            from scipy.special import lambertw
            class PolicyGradientWithBaselineTrainer(PGTrainer):
                 def initialize_parameters(self):
    # Build the actor in name of self.policy
                       super().initialize_parameters()
                      self.config["hidden_units"] = 512  # <=== Modify the number of hidden_units in nn
self.config["gamma"] = 0.9  # <=== Modify gamma
self.config["clip_norm"] = 5.0  # <=== Modify the clip_norm
self.config["learning_rate"] = 5e-3  # <=== Modify the Lr</pre>
                       self.config["learning_rate"] = 5e-3
                      # TODO: Build the baseline network using PytorchModel class.
self.baseline = PytorchModel(self.obs_dim, 1, hidden_units=self.config["hidden_units"])
                       self.baseline.to(self.device)
                       self.baseline_loss = nn.MSELoss()
                       self.baseline_optimizer = torch.optim.Adam(
                            self.baseline.parameters(),
                            lr=self.config["learning_rate"]
                 def process_samples(self, samples):
                       # Call the original process_samples function to get advantages
                      tmp_samples, _ = super().process_samples(samples)
values = tmp_samples["advantages"]
samples["values"] = values  # We add q_values into samples
                      # Flatten the observations in all trajectories (still a numpy array)
                      obs = np.concatenate(samples["obs"])
                       assert obs.ndim == 2
                       assert obs.shape[1] == self.obs_dim
                       obs = self.to_tensor(obs)
                       samples["flat_obs"] = obs
```

```
# TODO: Compute the baseline by feeding observation to baseline network
    # Hint: baselines turns out to be a numpy array with the same shape of `values`,
    # that is: (batch size, )
    baselines = self.to_array(self.baseline(samples["flat_obs"]).squeeze())
    assert baselines.shape == values.shape
    # TODO: Match the distribution of baselines to the values.
    # Hint: We expect to see baselines.std almost equals to values.std,
    # and baselines.mean almost equals to values.mean
   baselines = (baselines - baselines.mean()) / baselines.std()
baselines = baselines * values.std() + values.mean()
    # Compute the advantage
    advantages = values - baselines
samples["advantages"] = advantages
    process_info = {"mean_baseline": float(np.mean(baselines))}
    {\bf return} \ {\bf samples}, \ {\bf process\_info}
       ===== Modify update_network =======
# include calculation of entrophy and increase the weight of entrophy when calculateing loss
def update_network(self, processed_samples):
       "Update the policy network"
    advantages = self.to_tensor(processed_samples["advantages"])
    flat_obs = np.concatenate(processed_samples["obs"])
    flat act = np.concatenate(processed samples["act"])
    self.network.train()
    self.optimizer.zero_grad()
    log_probs = self.compute_log_probs(flat_obs, flat_act)
    assert log_probs.shape == advantages.shape, "log_probs shape {} is not " \
                                                      "compatible with advantages {}".format(log_probs.shape,
                                                                                                advantages.shape)
    # TODO: Compute the policy gradient loss.
    loss = -torch.mean(log_probs * advantages)
   # ====== Entrophy regularization ========
log_probs_np = log_probs.detach().cpu().numpy()
    entrophy = lambertw(log_probs_np - 1e-8) * log_probs_np
entrophy = -np.mean(np.abs(entrophy))
    loss -= entrophy * 5
    loss.backward()
    # Clip the gradient
    torch.nn.utils.clip_grad_norm_(
        self.network.parameters(), self.config["clip_gradient"]
    self.optimizer.step()
    self.network.eval()
    update_info = {
        "policy_loss": loss.item(),
         "mean_log_prob": torch.mean(log_probs).item(),
"mean_advantage": torch.mean(advantages).item()
    update_info.update(self.update_baseline(processed_samples))
    return update_info
def update_baseline(self, processed_samples):
    self.baseline.train()
    obs = processed_samples["flat_obs"]
    # TODO: Normalize `values` to have mean=0, std=1.
    values = processed_samples["values"]
values = (values - values.mean()) / values.std()
    values = self.to_tensor(values[:, np.newaxis])
    baselines = self.baseline(obs)
    self.baseline optimizer.zero grad()
    loss = self.baseline_loss(input=baselines, target=values)
   loss.backward()
    # Clip the gradient
    torch.nn.utils.clip_grad_norm_(
        self.baseline.parameters(), self.config["clip_gradient"]
    self.baseline_optimizer.step()
    self.baseline.eval()
    return dict(baseline loss=loss.item())
```

```
max_episode_length=1000,
                                          max_iteration=5000,
                                          evaluate_interval=5,
                                          evaluate num episodes=10,
                                          learning_rate=5e-3, # <=== Modify the lr
clip_norm=5.0, # <=== Modify the clip_norm
                                          env_name=env_name
                                 reward_threshold=50 # <=== Modify the reward threshold to 50
                      pg_trainer_wb_metadrive_hard.save("pg_trainer_wb_metadrive_hard.pt")
                  [INFO] Iter 0, Step 4004, episodic return is 14.73. {'iteration': 0.0, 'performance': 12.5558, 'ep_len': 1001.0, 'ep_ret': 12.5558, 'episode_len': 4004.0, 'success_rate': 0.0, 'mean_baseline': 0.0, 'policy_loss': 30.7768, 'mean_log_prob': -3.2122, 'mean_advantage': -0.0, 'baseline_loss': 1.02 37, 'total_episodes': 4.0, 'total_timesteps': 4004.0}
[INFO] Iter 5, Step 25022, episodic return is 46.27. {'iteration': 5.0, 'performance': 18.9153, 'ep_len': 63.3906, 'ep_ret': 18.9153, 'episode_le n': 4057.0, 'success_rate': 0.0, 'mean_baseline': 0.0, 'policy_loss': 16.2084, 'mean_log_prob': -1.8542, 'mean_advantage': -0.0, 'baseline_loss': 1.0114, 'total_episodes': 166.0, 'total_timesteps': 25022.0}
[INFO] Iter 10, Step 45329, episodic return is 53.27 ('iteration': 0.0, 'performance': 12.5558, 'ep_len': 1001.0, 'ep_ret': 12.5558, 'ep_len': 1001.0, 'ep_ret': 12.5558, 'ep_len': 1001.0, 'ep_ret': 12.5558, 'episode_len': 1001.0, 'ep_ret': 1001.0,
                   [INFO] Iter 10, Step 45239, episodic return is 53.87. {'iteration': 10.0, 'performance': 56.2013, 'ep_len': 65.2419, 'ep_ret': 56.2013, 'episode_l en': 4045.0, 'success_rate': 0.0484, 'mean_baseline': 0.0, 'policy_loss': 0.0269, 'mean_log_prob': -0.0027, 'mean_advantage': -0.0, 'baseline_los s': 0.9899, 'total_episodes': 475.0, 'total_timesteps': 45239.0}
                   [INFO] Iter 10, episodic return 53.866 is greater than reward threshold 50. Congratulation! Now we exit the training process.
                   Environment is closed.
In [38]: # Run this cell without modification
                      # Render the Learned behavior
                       # NOTE: The Learned agent is marked by green color.
                      eval_reward, eval_info = evaluate(
                                policy=pg_trainer_wb_metadrive_hard.policy,
                                 num episodes=10.
                                env_name=pg_trainer_wb_metadrive_hard.env_name,
                                 render=None,
                                verbose=False
                      _, eval_info_render = evaluate(
                                policy=pg_trainer_wb_metadrive_hard.policy,
                                 num episodes=1,
                                 env_name=pg_trainer_wb_metadrive_hard.env_name,
                                render="topdown", # Visualize the behaviors in top-down view
                                verbose=True
                      frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval info render["frames"]]
                                 "PG agent achieves {} return and {} success rate in MetaDrive easy environment.".format(
                                         eval_reward, eval_info["success_rate"]
                      animate(frames)
```

Evaluating 1/1 episodes. We are in 1/1000 steps. Current episode reward: 0.000 Evaluating 1/1 episodes. We are in 51/1000 steps. Current episode reward: 36.563 PG agent achieves 54.63081062871858 return and 0.1 success rate in MetaDrive easy environment.



Now the success rate is bigger than 0

Conclusion

In this assignment, we learn how to build naive Q learning, Deep Q Network and Policy Gradient methods.

Following the submission instruction in the assignment to submit your assignment. Thank you!

In []: