

# Convolutional Neural Network using TensorFlow

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- Dataset: notMNIST
- TensorFlow Basics
- Softmax Regression
- Neural Network
- Regularization
- Convolutional Neural Network
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**Dataset: notMNIST**

# Dataset: notMNIST

- Similar to MNIST, but much more complex
- 10 classes with letters A to J



# Dataset: notMNIST

- Each image has  $28 \times 28 = 784$  pixels.
- Consists of two parts
  - Large (Train): ~500k uncleaned images
  - Small (Test): ~19k hand-cleaned images
- <http://commondatastorage.googleapis.com/books1000/>
  - notMNIST\_large.tar.gz
  - notMNIST\_small.tar.gz

# Dataset: notMNIST

- Download compressed files (tar.gz)
- Extract and get the dataset
  - notMNIST\_{large|small}/{A-J}/
  - Each directory contains images
- Save images as 3-D array
  - Scale the pixel values (-0.5 to 0.5)
  - Remove invalid images
- Merge and make train/validation/test sets
  - Set the manageable size of each dataset
  - Distribute labels uniformly (train/validation from large, test from small)

**Dataset: notMNIST**

Let's check the code.

**1\_dataprocess.ipynb**

# TensorFlow Basics



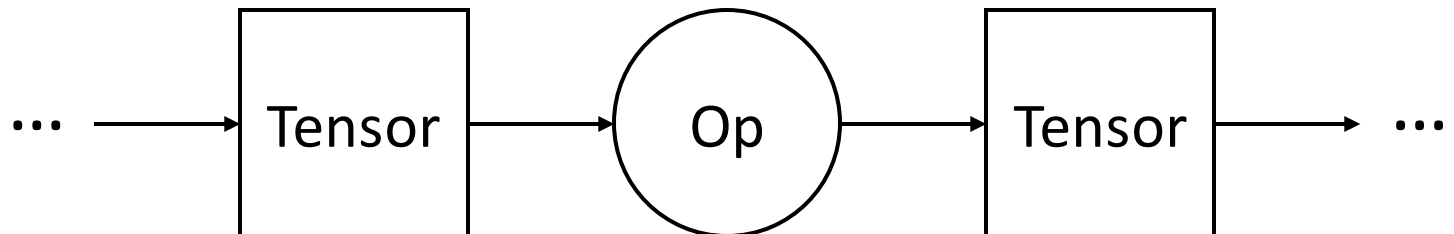
# TensorFlow Basics

- Graph
  - A TensorFlow computation, represented as a dataflow graph
  - Contains a set of Operation and Tensor objects.
- Session
  - Encapsulates the environment in which Operation objects are executed, and Tensor objects are evaluated.

```
g = tf.Graph()  
with g.as_default():  
    # Define operations and tensors in `g`  
  
with tf.Session(graph=g) as sess:  
    sess.run(...)
```

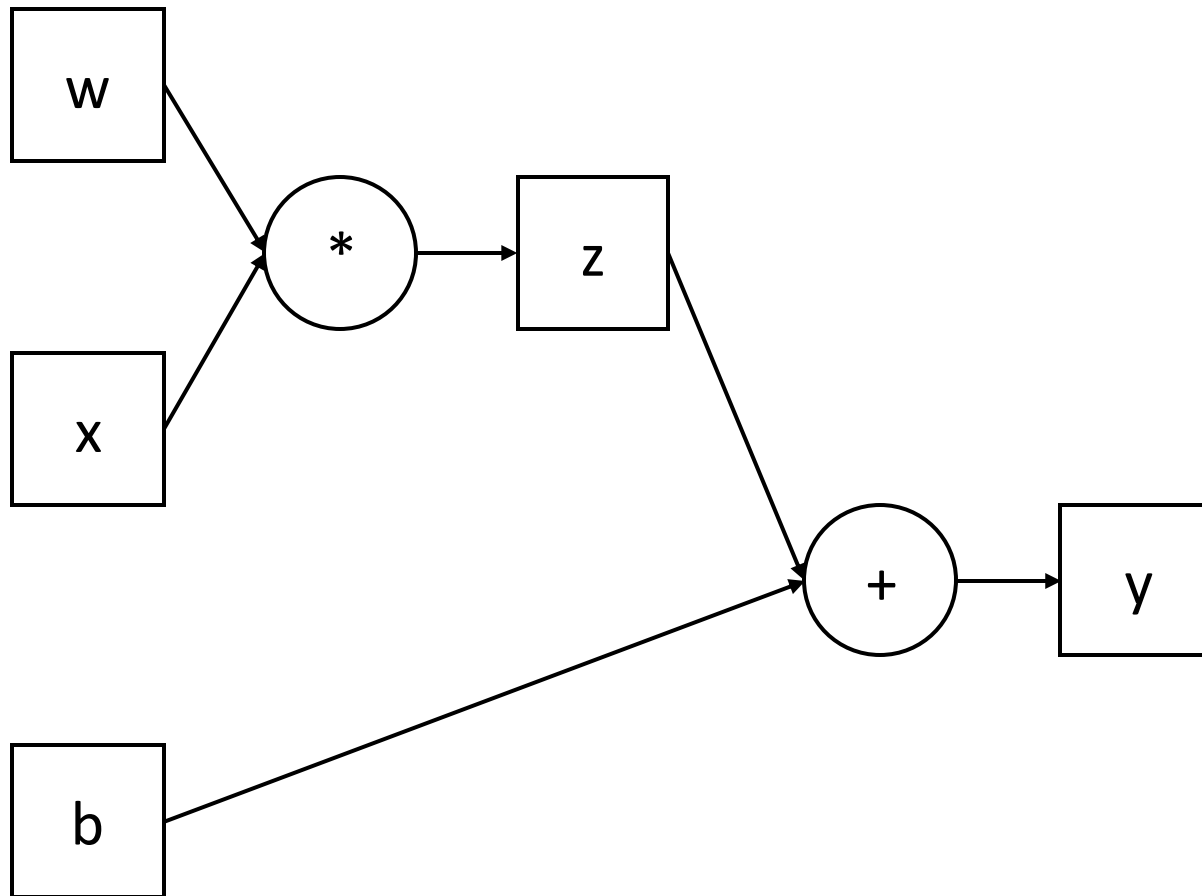
# TensorFlow Basics

- Tensor
  - Represents a value produced by an Operation.
- Operation
  - Represents a graph node that performs computation on tensors.



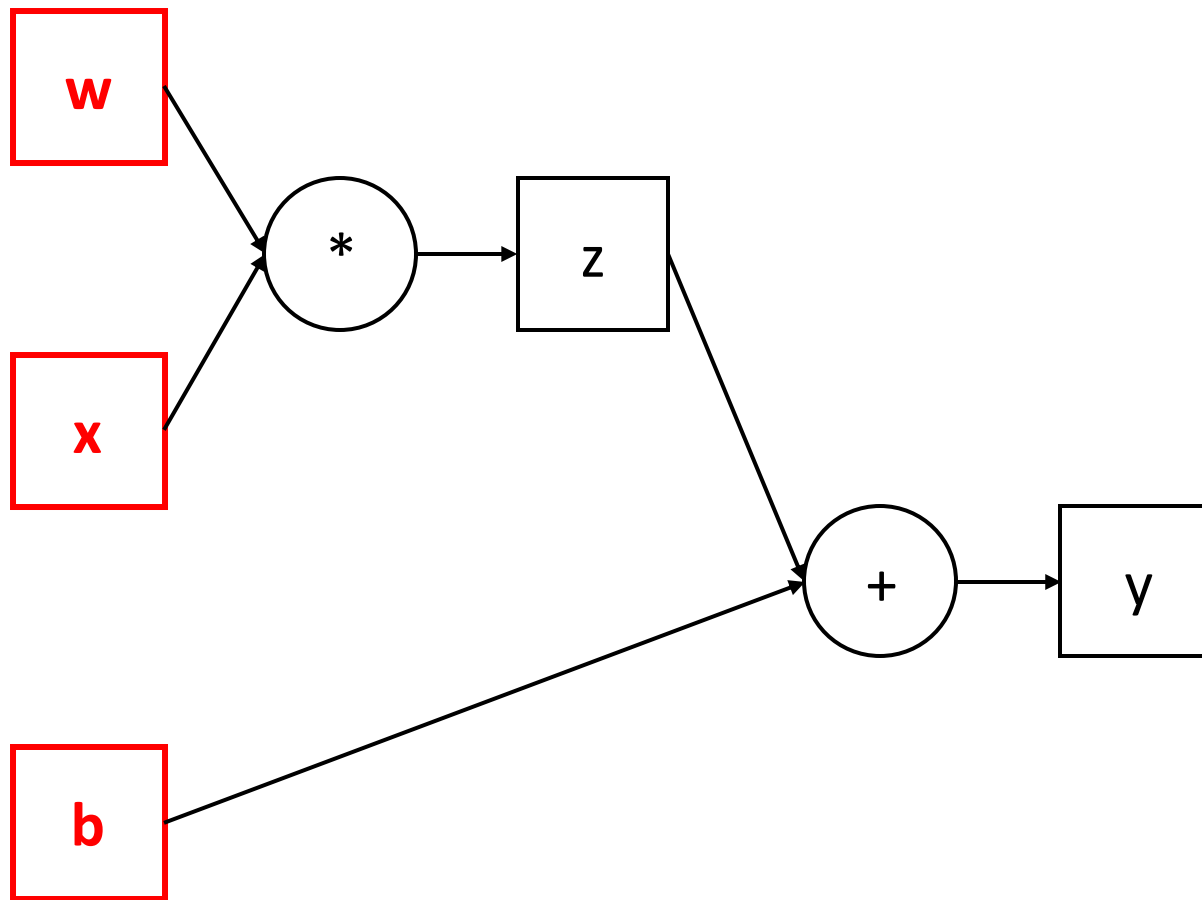
# TensorFlow Basics

- Graph example



# TensorFlow Basics

- Graph example



# TensorFlow Basics

- Constant
  - `tf.constant(value, dtype, ...)`
  - e.g. `tf.constant([1, 2, 3])`
- Variable
  - `tf.Variable(initial-value, ...)`
  - e.g. `tf.Variable(tf.zeros(shape=(2,2)))`
- Placeholder
  - `tf.placeholder(dtype, shape, ...)`
  - e.g. `tf.placeholder(tf.float32, shape=(10, 10))`

# TensorFlow Basics

- Session
  - Encapsulates the environment in which Operation objects are executed, and Tensor objects are evaluated.
  - When you launch the graph, variables have to be explicitly initialized.
  - Placeholder tensor's value must be fed using the `feed_dict` optional argument to `Session.run()`, `Tensor.eval()`, or `Operation.run()`.

```
with tf.Session(graph=g) as sess:  
    tf.initialize_all_variables().run()  
    feed_dict = {x: [1]}  
    y, z = sess.run([y, z], feed_dict=feed_dict)
```

# TensorFlow Basics

Let's check the code.

**2\_tfbasics.ipynb**

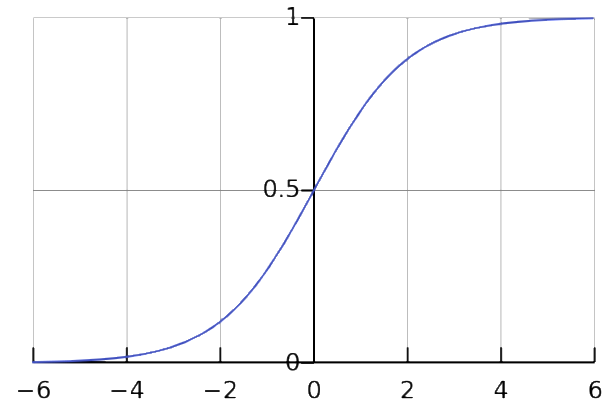
# Softmax Regression



# Softmax Regression

- Binary classification (logistic regression)

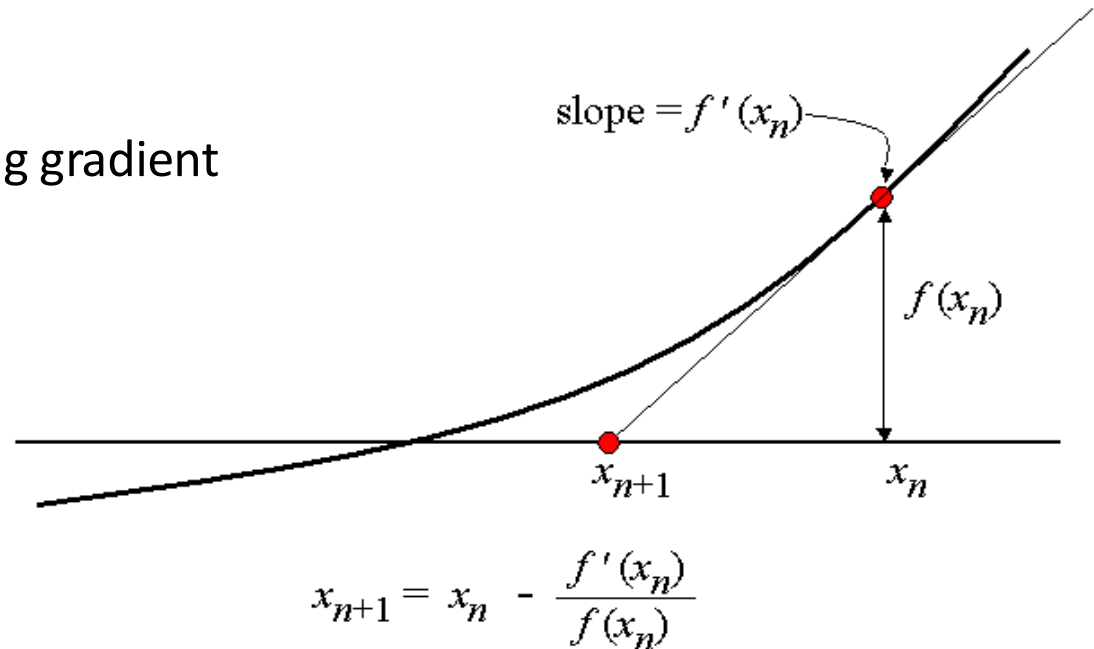
- Logistic function:  $\sigma(t) = \frac{e^t}{1+e^t} = \frac{1}{1+e^{-t}}$



- $t = \alpha + \beta x$
- $h_{\theta}(x) = \sigma(\alpha + \beta x) = \frac{e^{\alpha + \beta x}}{1 + e^{\alpha + \beta x}} = \frac{1}{1 + e^{-(\alpha + \beta x)}}$
- May regard  $e^{\alpha + \beta x}$  as point for  $y = 1$ ,  $\theta = (\alpha, \beta)$  (1 for  $y = 0$ )
  - If we normalize (divide) it by sum of all points, we can get the probability.

# Softmax Regression

- Two-class classification (logistic regression)
  - Cost function
    - Cross entropy (negative log-likelihood)
    - $J(\theta) = -\sum_{i=1}^n (y_i \log h_{\theta}(x_i) + (1 - y_i) \log(1 - h_{\theta}(x_i)))$
    - $\hat{\theta}^{MLE} = \underset{\theta}{\operatorname{argmin}} J(\theta)$
  - Need to optimize it using gradient



# Softmax Regression

- Multinomial logistic regression

- Model

$$h_{\theta}(x) = \begin{bmatrix} P(y = 1|x; \theta) \\ P(y = 2|x; \theta) \\ \vdots \\ P(y = K|x; \theta) \end{bmatrix} = \frac{1}{\sum_{j=1}^K \exp(\theta^{(j)\top} x)} \begin{bmatrix} \exp(\theta^{(1)\top} x) \\ \exp(\theta^{(2)\top} x) \\ \vdots \\ \exp(\theta^{(K)\top} x) \end{bmatrix}$$

- Cost function

$$\begin{aligned} J(\theta) &= - \left[ \sum_{i=1}^m (1 - y^{(i)}) \log(1 - h_{\theta}(x^{(i)})) + y^{(i)} \log h_{\theta}(x^{(i)}) \right] \\ &= - \left[ \sum_{i=1}^m \sum_{k=0}^1 1 \left\{ y^{(i)} = k \right\} \log P(y^{(i)} = k|x^{(i)}; \theta) \right] \end{aligned}$$

# Softmax Regression

- Multinomial logistic regression

- Model

$$h_{\theta}(x) = \begin{bmatrix} P(y = 1|x; \theta) \\ P(y = 2|x; \theta) \\ \vdots \\ P(y = K|x; \theta) \end{bmatrix} = \frac{1}{\sum_{j=1}^K \exp(\theta^{(j)\top} x)} \begin{bmatrix} \exp(\theta^{(1)\top} x) \\ \exp(\theta^{(2)\top} x) \\ \vdots \\ \exp(\theta^{(K)\top} x) \end{bmatrix}$$

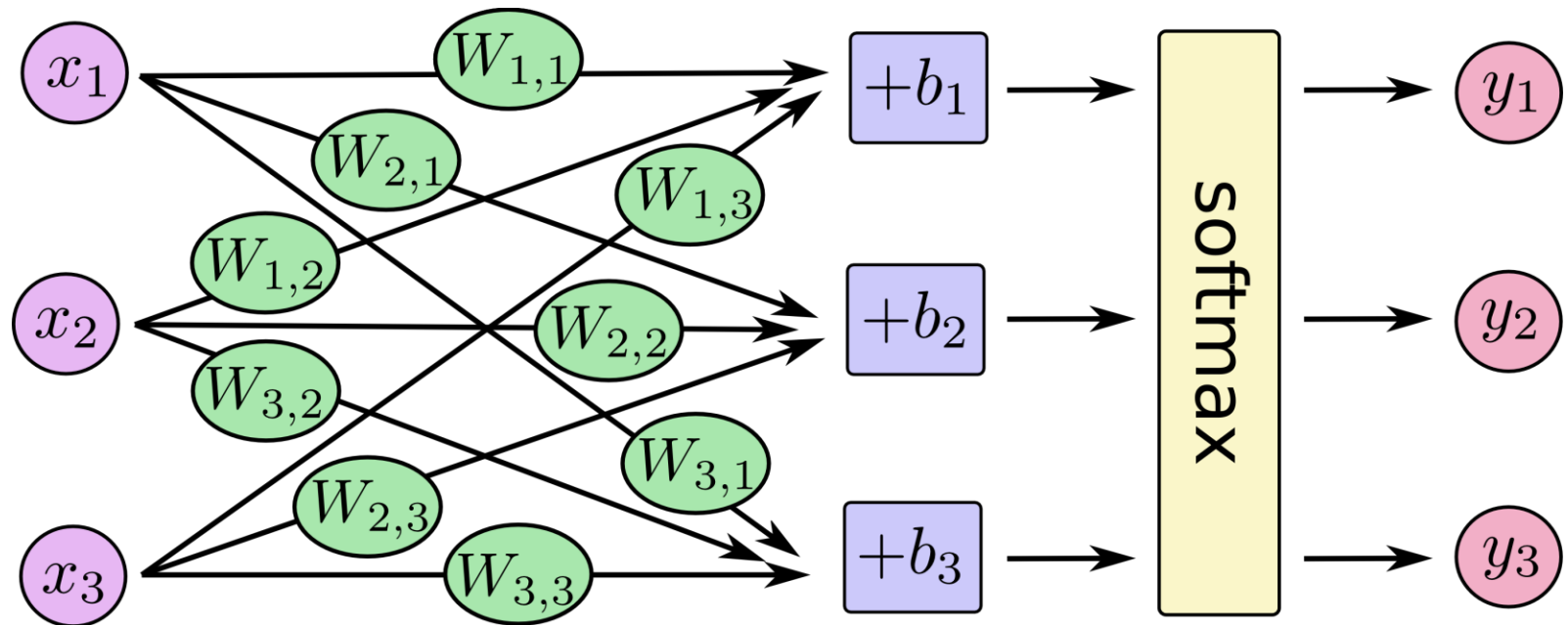
The diagram illustrates the Softmax operation. At the top, a vector of dot products  $[\theta^{(1)\top} x, \theta^{(2)\top} x, \dots, \theta^{(K)\top} x]$  is shown in a red dashed box. A red arrow labeled "Softmax" points from this box to the denominator of the probability vector  $h_{\theta}(x)$  in the equation below, which is also enclosed in a red dashed box.

- Cost function

$$J(\theta) = - \left[ \sum_{i=1}^m (1 - y^{(i)}) \log(1 - h_{\theta}(x^{(i)})) + y^{(i)} \log h_{\theta}(x^{(i)}) \right]$$
$$= - \left[ \sum_{i=1}^m \sum_{k=0}^1 1 \left\{ y^{(i)} = k \right\} \log P(y^{(i)} = k|x^{(i)}; \theta) \right]$$

# Softmax Regression

- Multinomial logistic regression



# Softmax Regression

- Example:  $K = 4$ 
  - True label:  $y = 2$ 
    - if we represent it as one-hot encoding,  $y = [0, 1, 0, 0]$
  - Prediction result
    - $\theta^T x = [-5.0, 4.0, 1.0, -3.0]$
    - $\text{softmax}(\theta^T x) = [1.17e - 04, 9.51e - 01, 4.73e - 02, 8.67e - 04]$
    - $\log(\text{softmax}(\theta^T x)) = [-9.04, -0.05, -3.05, -7.05]$
  - Cost function
    - $-y * \log(\text{softmax}(\theta^T x)) = [0, 1, 0, 0] * [9.04, 0.05, 3.05, 7.05]$

# Softmax Regression

Let's check the code.

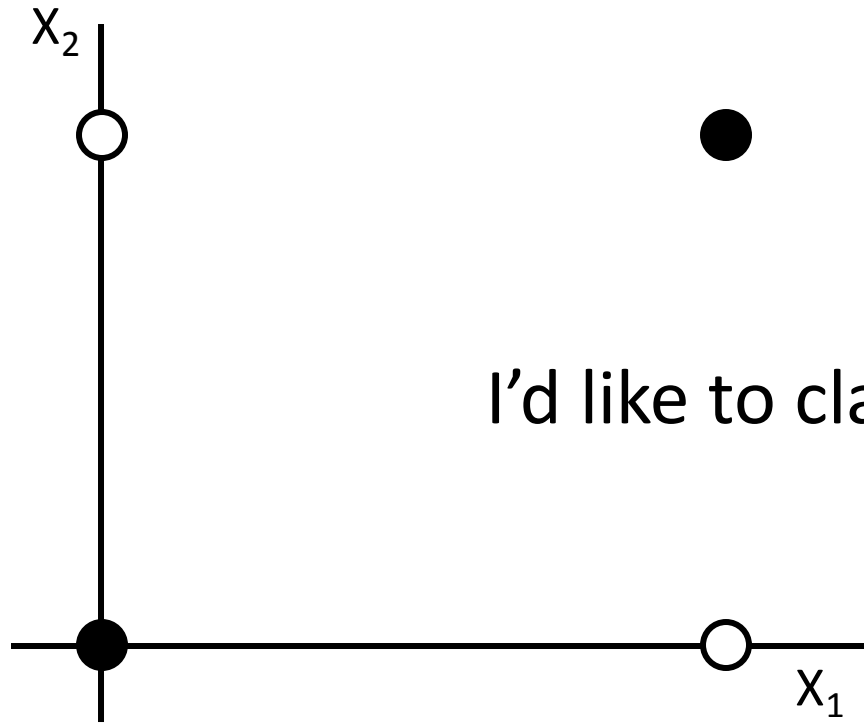
**3\_softmax\_nn.ipynb**

# Neural Network



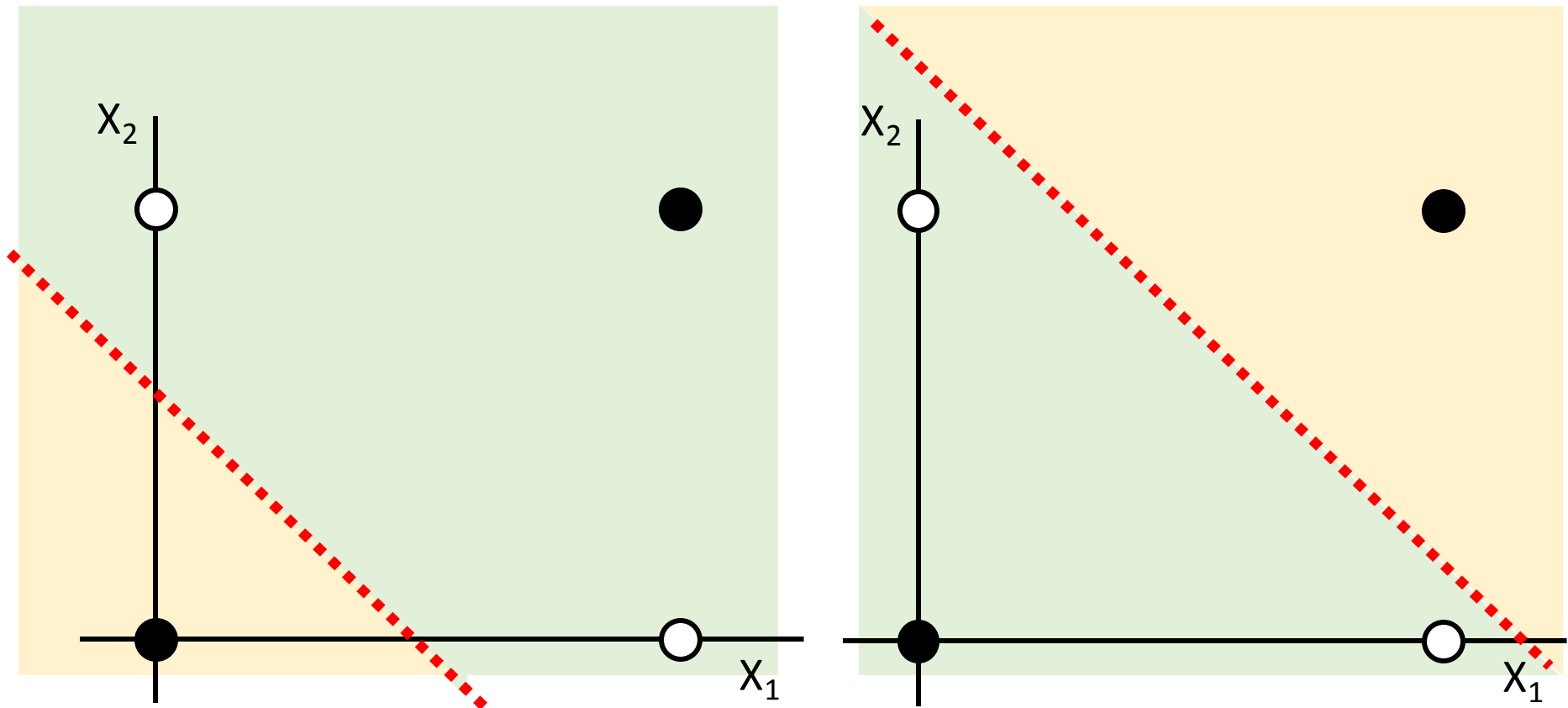
# Neural Network

- XOR Problem



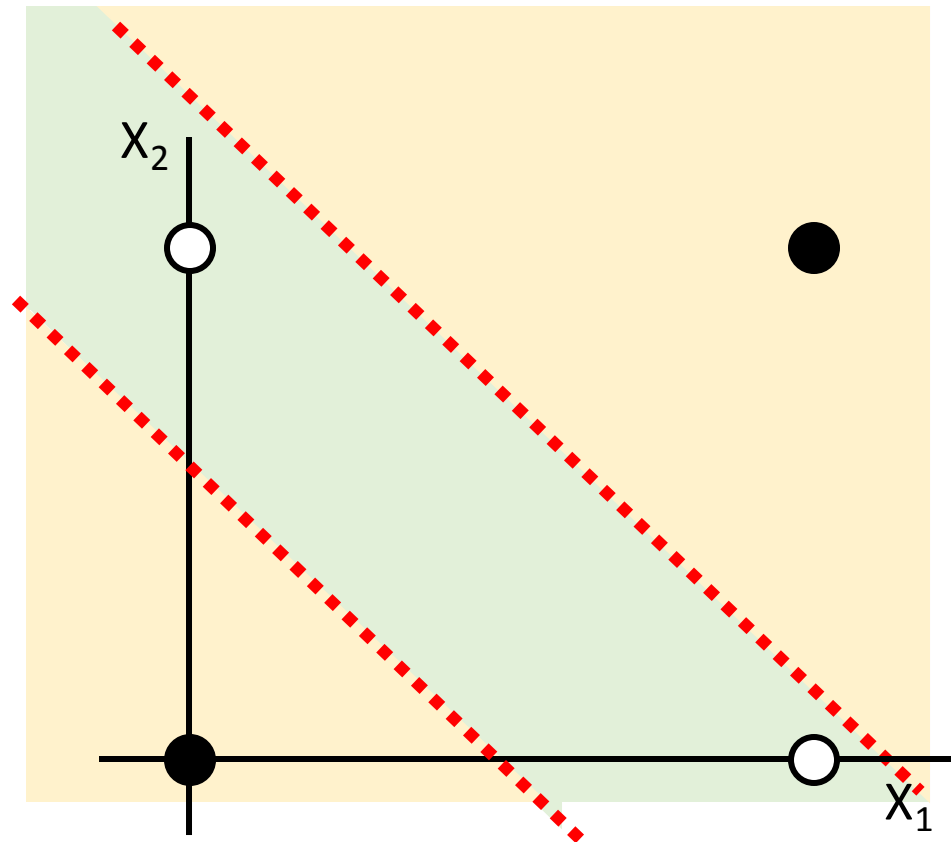
# Neural Network

- If only with linear classifier (e.g. logistic regression model), ...



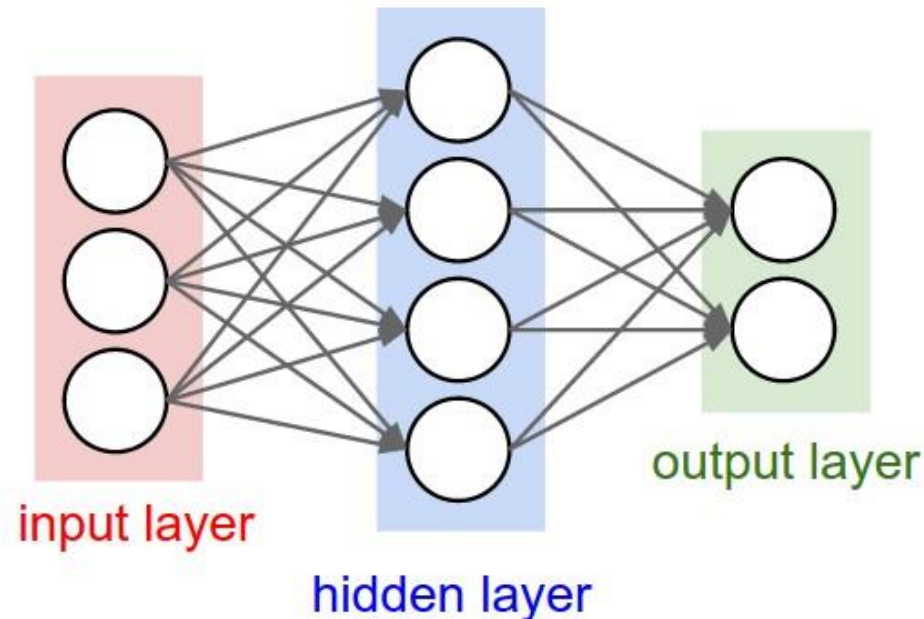
# Neural Network

- But if we combine them, ...



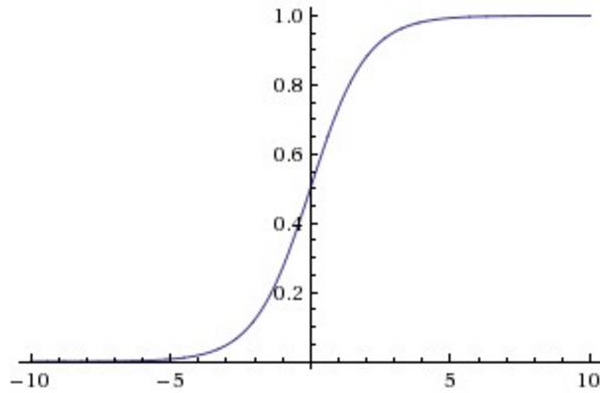
# Neural Network

- Put hidden layer between input and output layer!
- Consists of fully-connected layers in which neurons between two adjacent layers are fully pairwise connected.
- Neurons within a single layer share no connections.

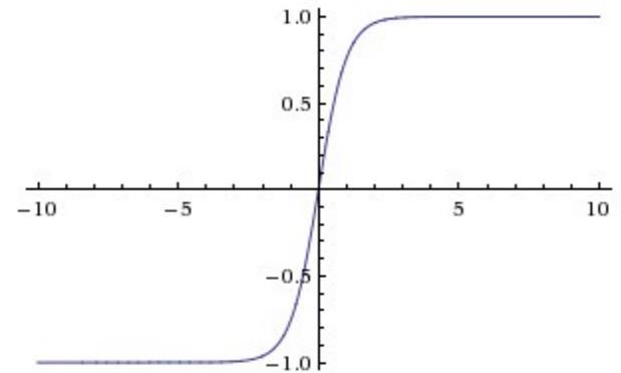


# Neural Network

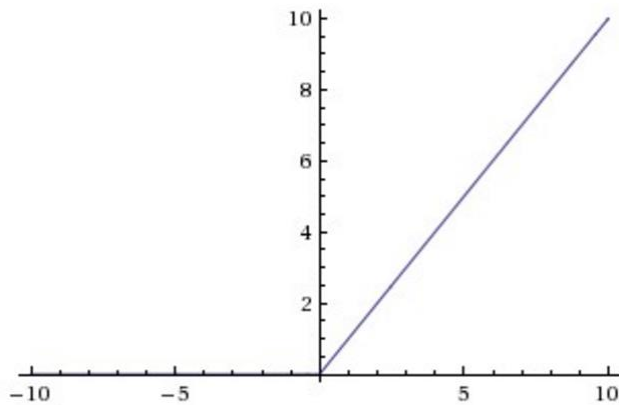
- Activation Functions



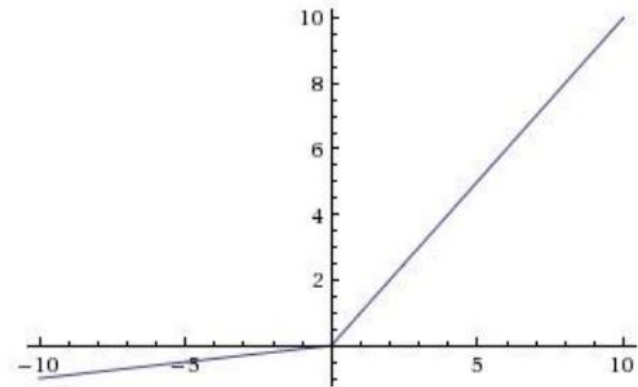
Sigmoid



tanh



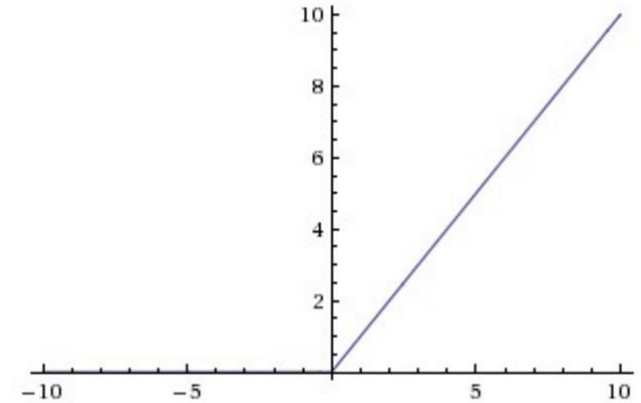
ReLU



Leaky ReLU

# Neural Network

- `tf.nn.relu(features, ...)`



```
with graph.as_default():  
    ...  
  
    weights_1 = tf.Variable(tf.truncated_normal([image_size,  
    biases_1 = tf.Variable(tf.zeros([size_of_hidden])))  
    logits_1 = tf.matmul(tf_train_dataset, weights_1) + bi  
    output_1 = tf.nn.relu(logits_1)  
  
    ...
```

# Neural Network

Let's check the code again.

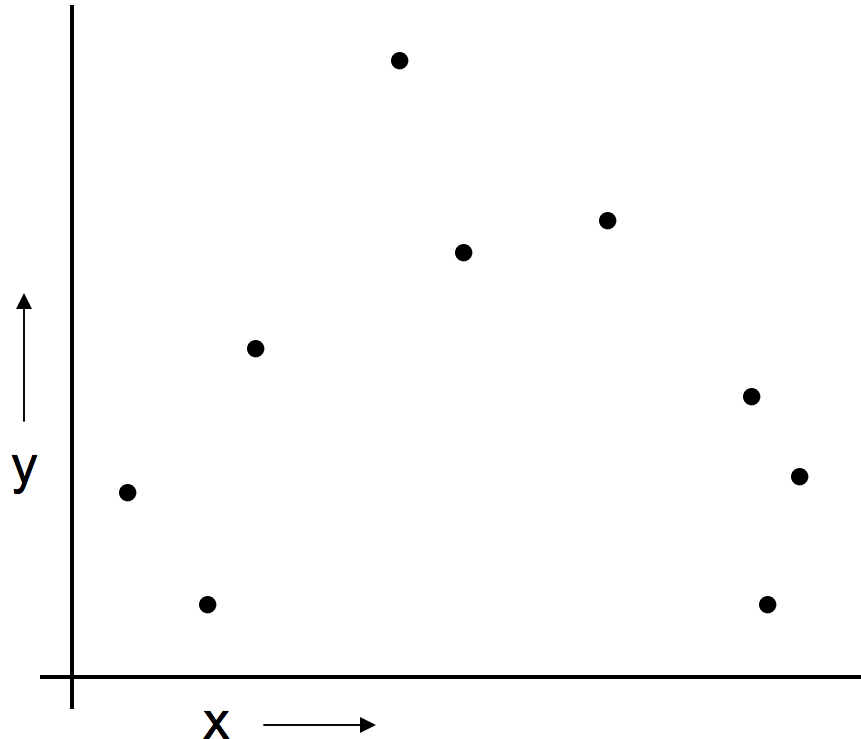
**3\_softmax\_nn.ipynb**

# Regularization



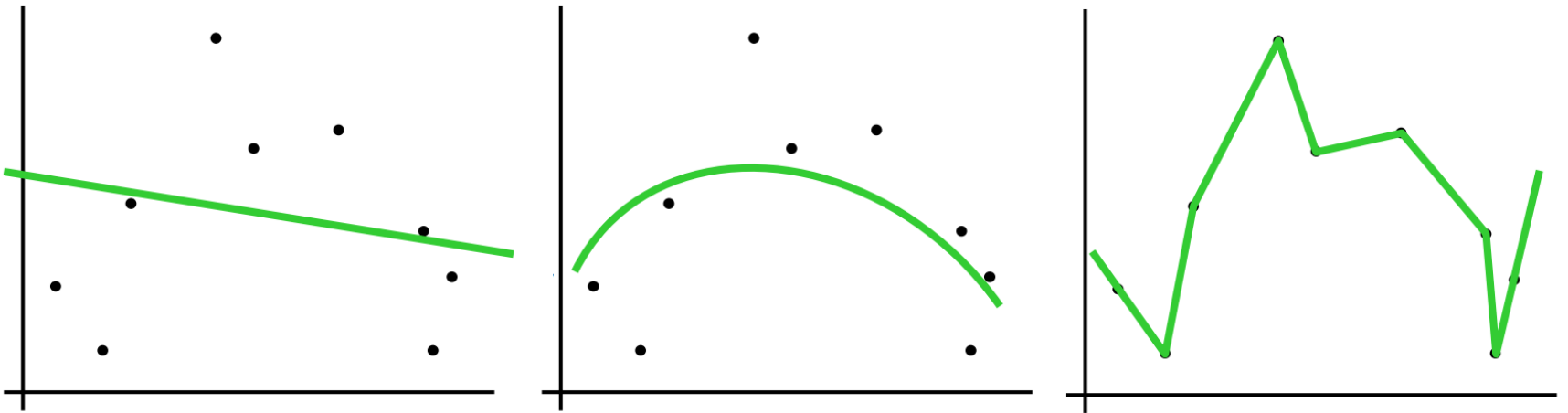
# Regularization

- Let's make the model which explains the data below.
- $y = f(x) + \epsilon$



# Regularization

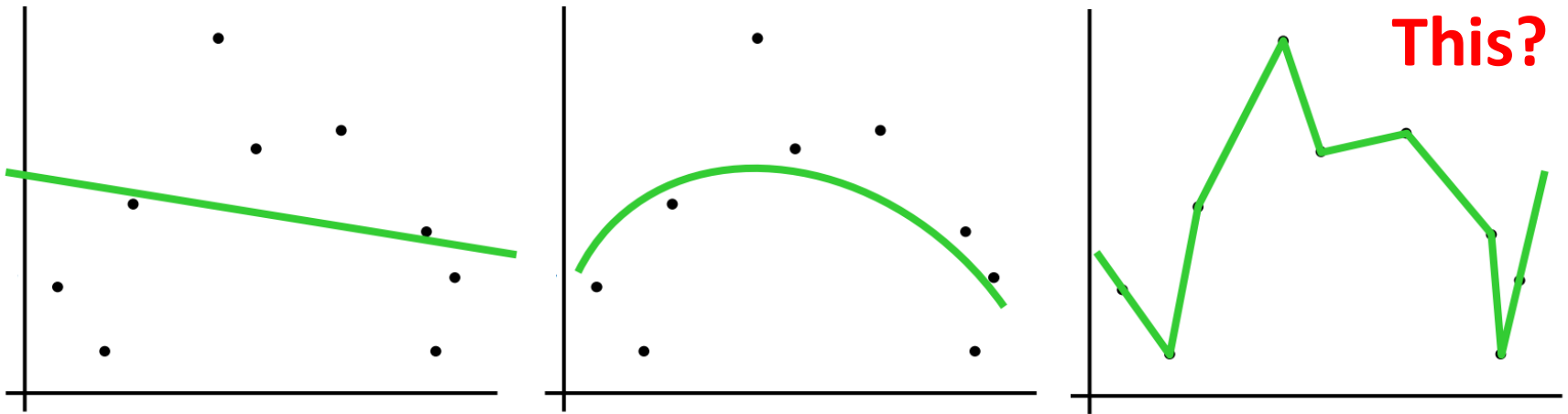
- Three candidates



Need to choose one of them... Which one is the best?

# Regularization

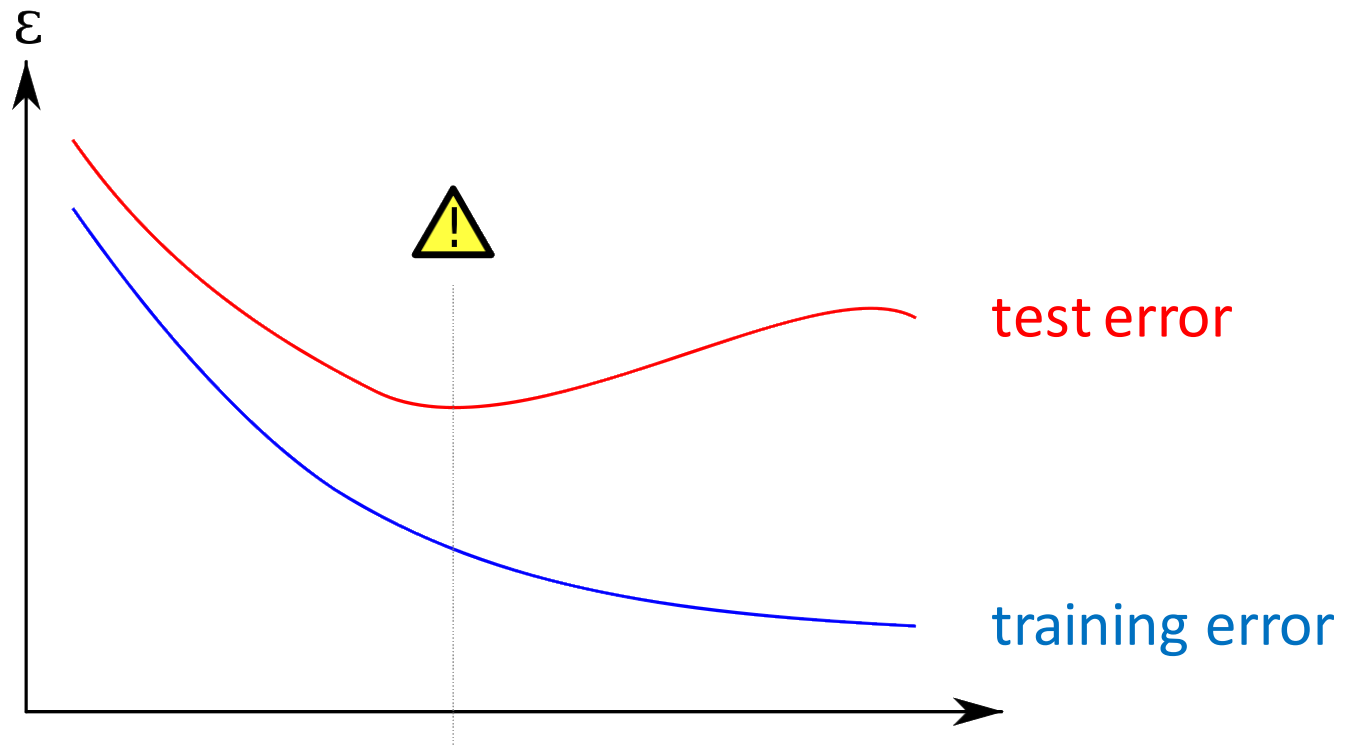
- Three candidates



Need to choose one of them... Which one is the best?

# Regularization

- Overfitting problem



# Regularization

- Several methods to avoid overfitting problem
  - L2 regularization
  - Dropout
  - ...

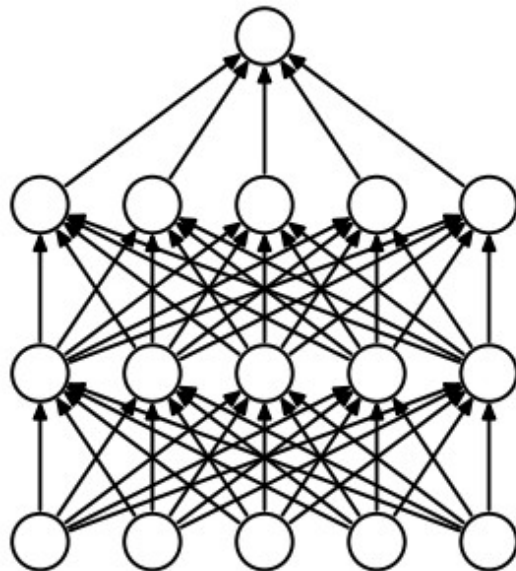
# Regularization

- L2 regularization
  - Add L2 penalty ( $\lambda w^2$ ) to cost function
  - `tf.nn.l2_loss(t, ...)`

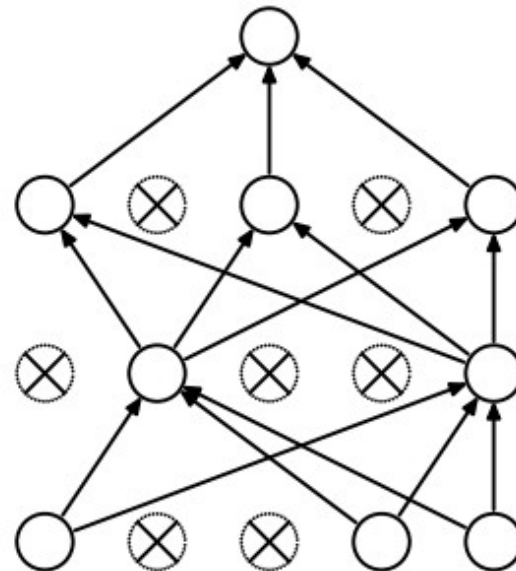
```
with graph.as_default():  
    ...  
  
    loss = tf.reduce_mean(  
        tf.nn.softmax_cross_entropy_with_logits(logits,  
        + l2_lambda * tf.nn.l2_loss(weights)  
    )  
    ...
```

# Regularization

- Dropout
  - Sampling a Neural Network within the full Neural Network, and only updating the parameters of the sampled network based on the input data



(a) Standard Neural Net



(b) After applying dropout.

# Regularization

- Dropout
  - `tf.nn.dropout(x, keep_prob, ...)`
  - `keep_prob`: The probability that each element is kept

[illegible]



# Learning Rate

- When training a model, it is often recommended to lower the learning rate as the training progresses.
- Here, we apply exponential decay function to a initial learning rate.

$$learning\_rate = initial\_learning\_rate * decay\_rate^{global\_step/decay\_steps}$$

```
with graph.as_default():  
    ...  
  
    global_step = tf.Variable(0)  
    learning_rate = tf.train.exponential_decay(learning_rate=initial_le  
                                                global_step=global_step,  
                                                decay_steps=decay_steps,  
                                                decay_rate=decay_rate)  
    optimizer = tf.train.GradientDescentOptimizer(learning_rate).minimi  
    ...
```

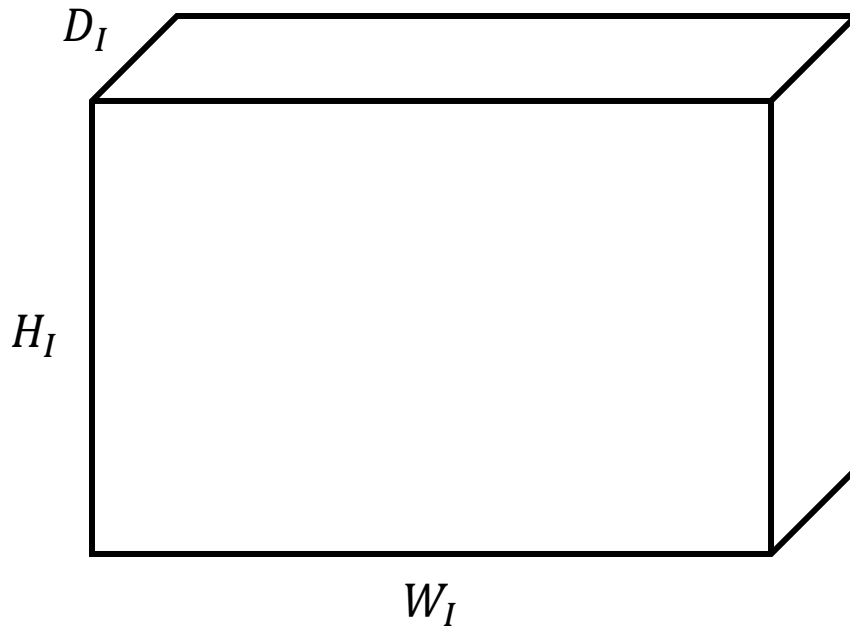
# Regularization

Let's check the code.

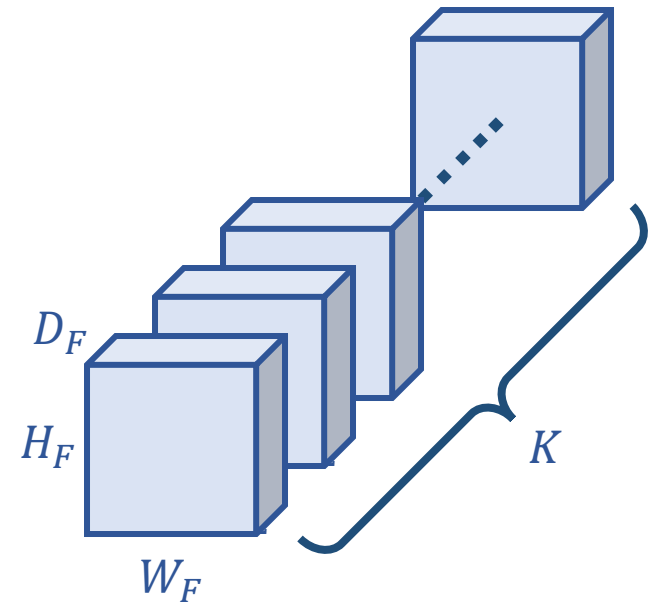
**4\_regularization.ipynb**

# **Convolutional Neural Network**

# Convolution

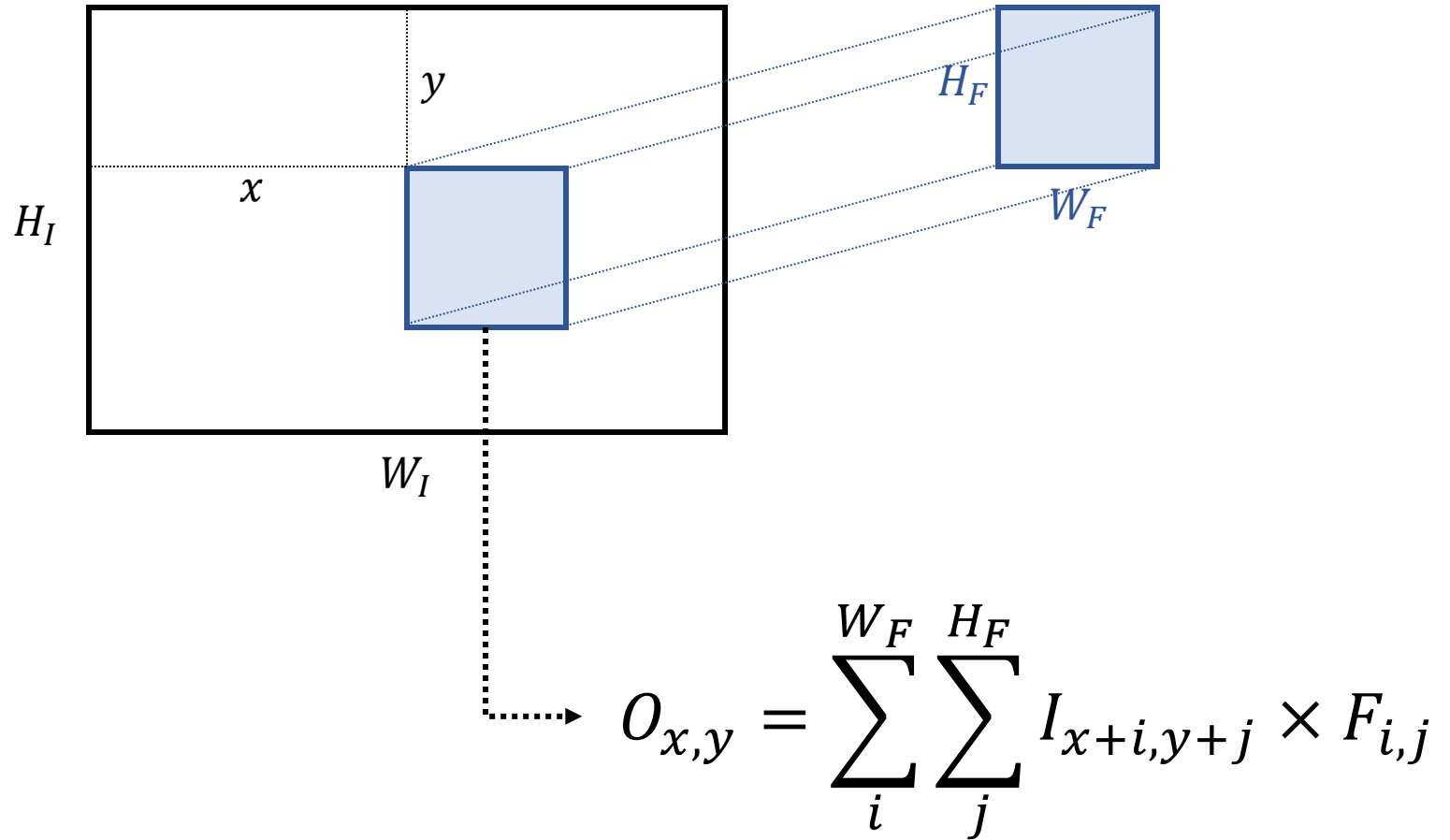


**Input**  $I$ :  $[W_I, H_I, D_I] \in \mathbb{R}^3$

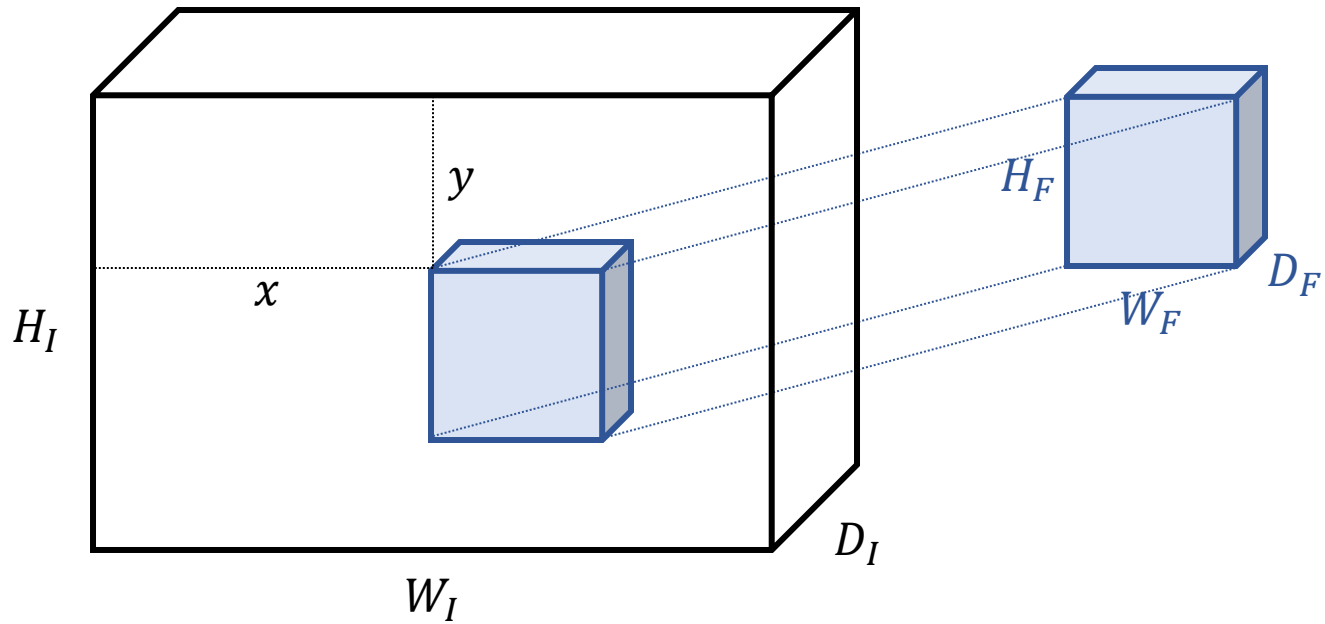


**Filter**  $F$ :  $[W_F, H_F, D_F, K] \in \mathbb{R}^4$

# Convolution

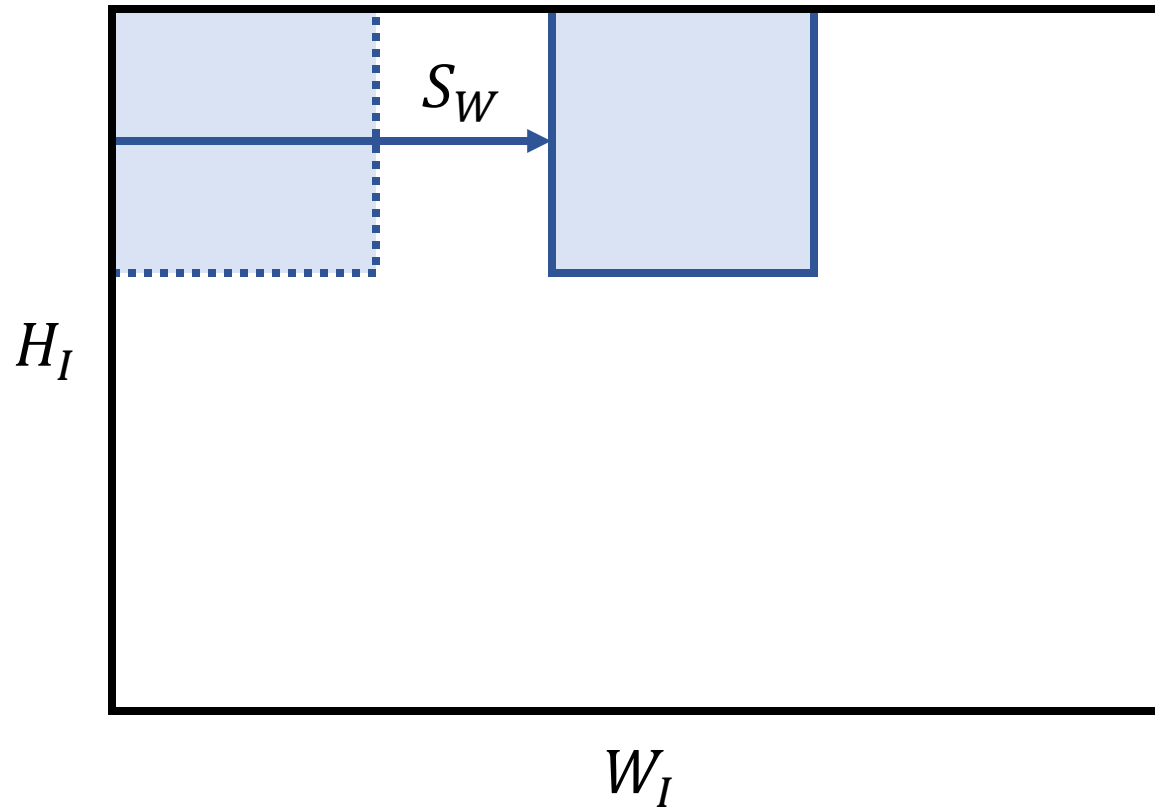


# Convolution



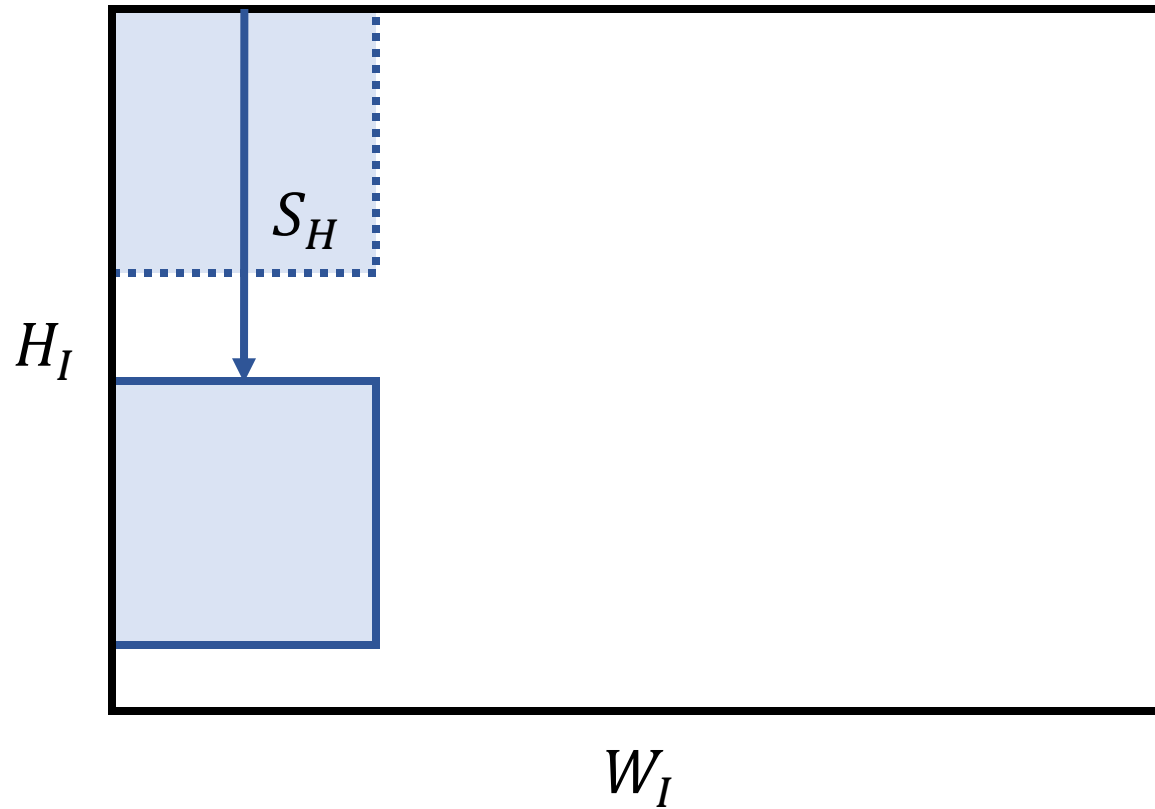
# Convolution

- Stride



# Convolution

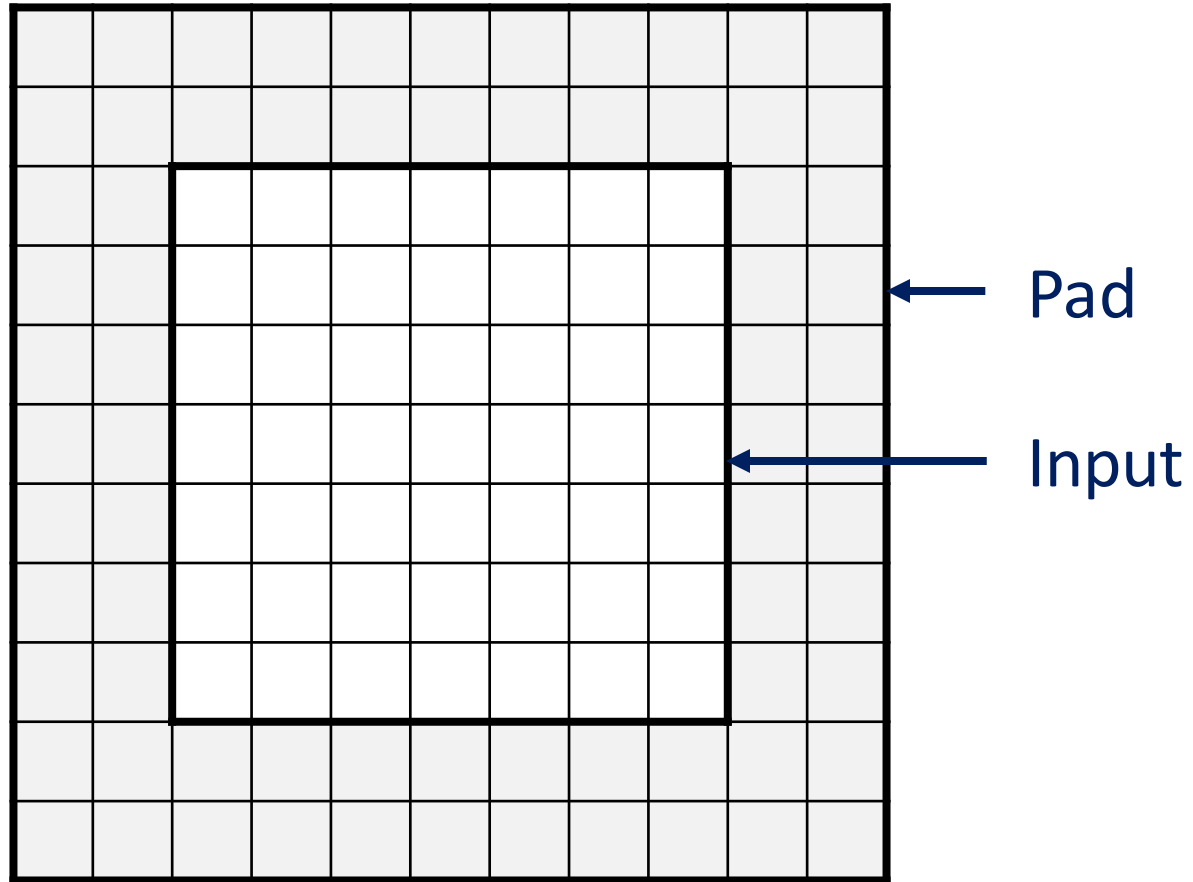
- Stride





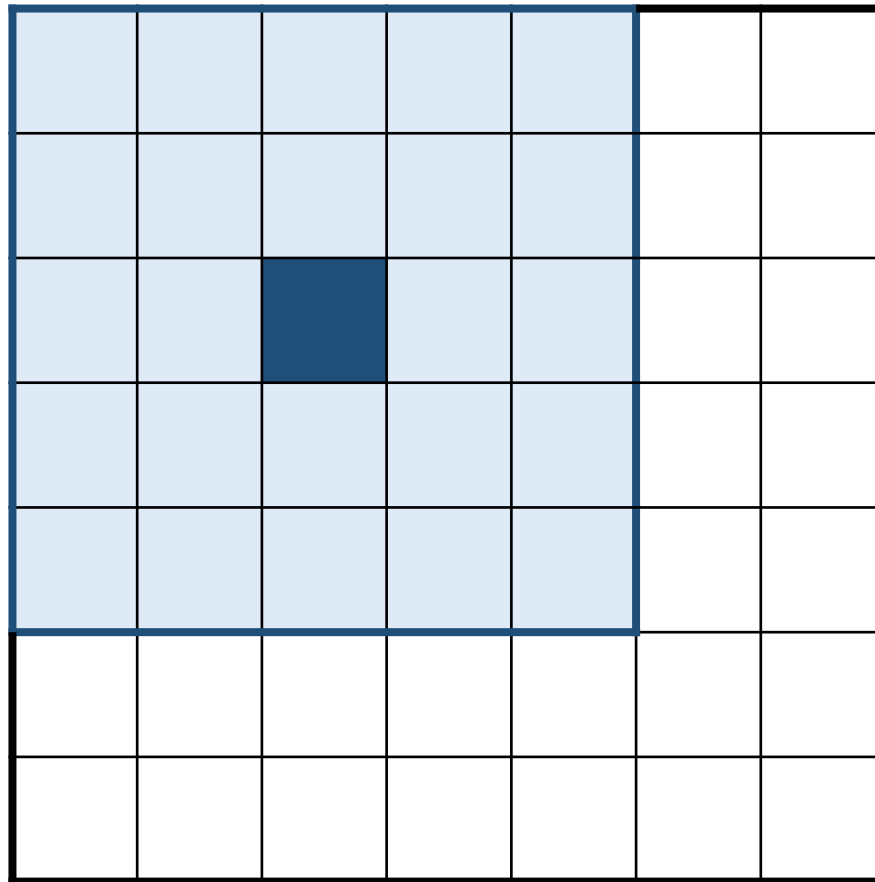
# Convolution

- Padding



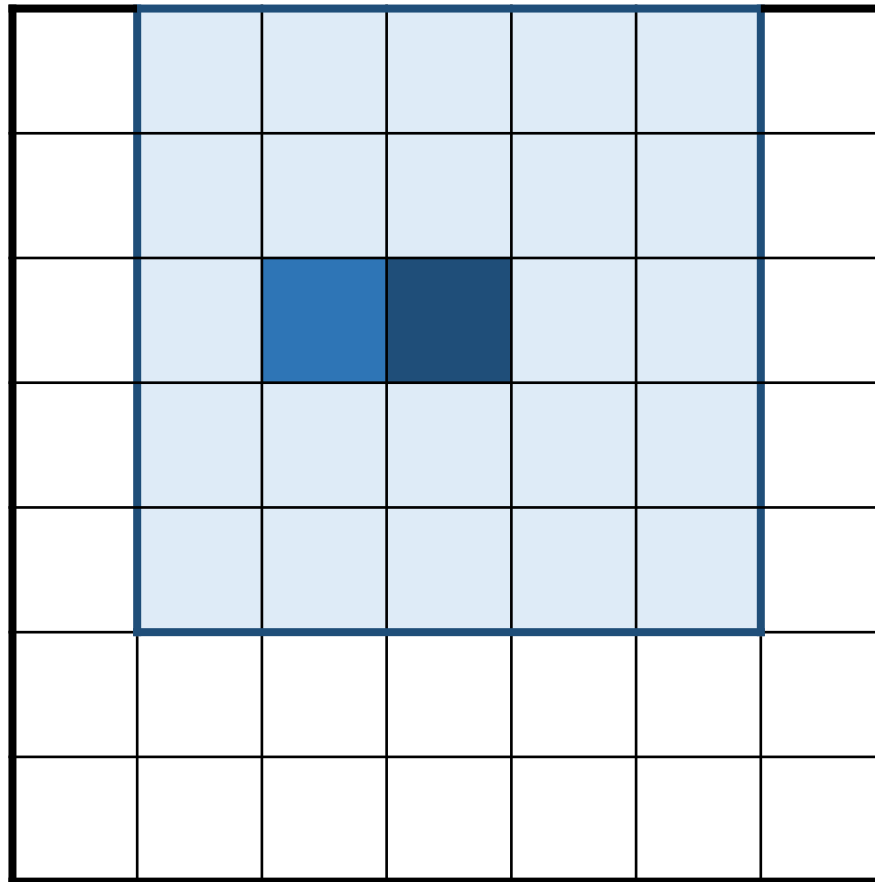
# Convolution

- Padding



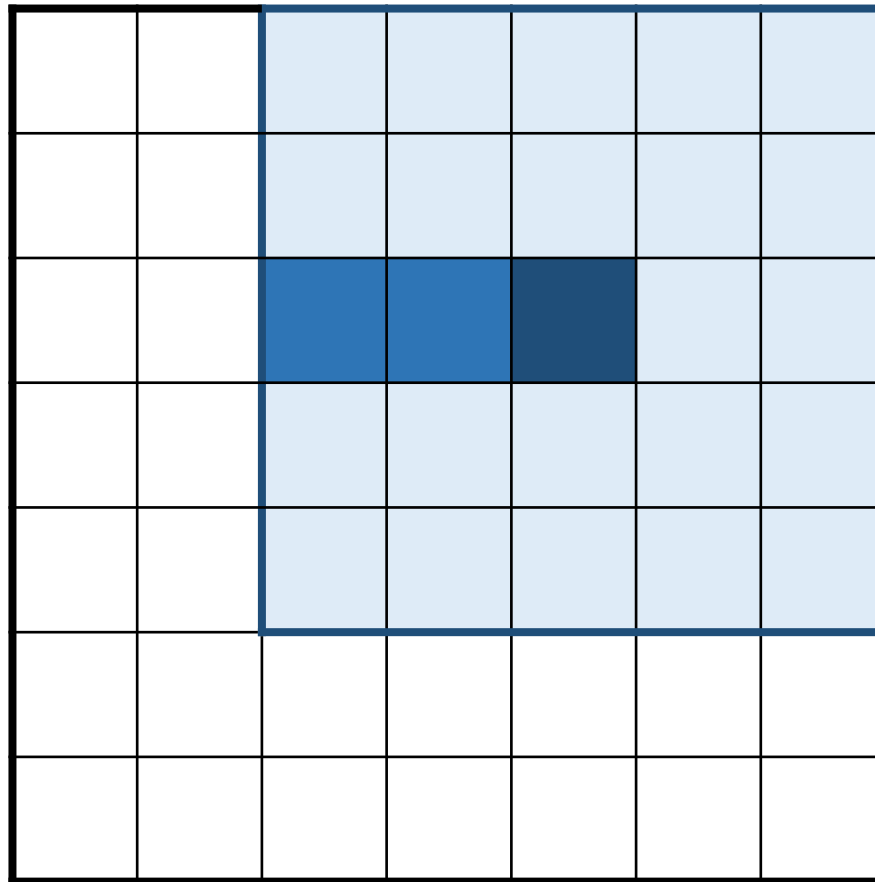
# Convolution

- Padding



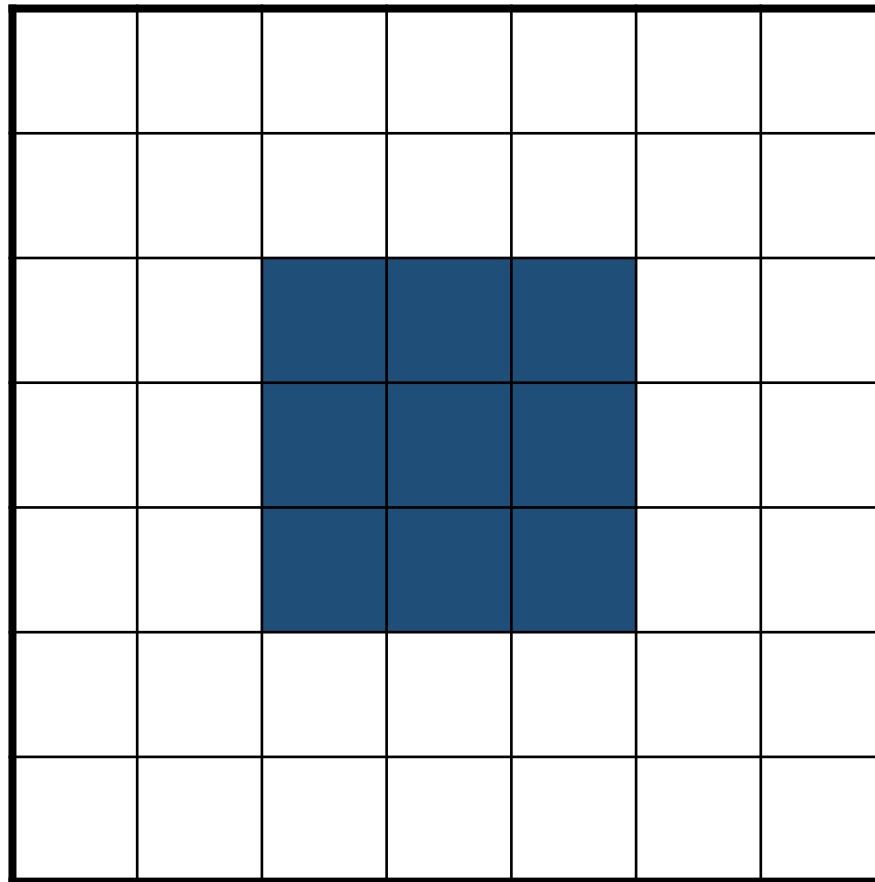
# Convolution

- Padding



# Convolution

- Padding



# Convolution

- Padding

0	0	0	0
0	0	0	0
0	1	4	0
0	2	5	0
0	3	6	0
0	0	0	0
0	0	0	0

Zero

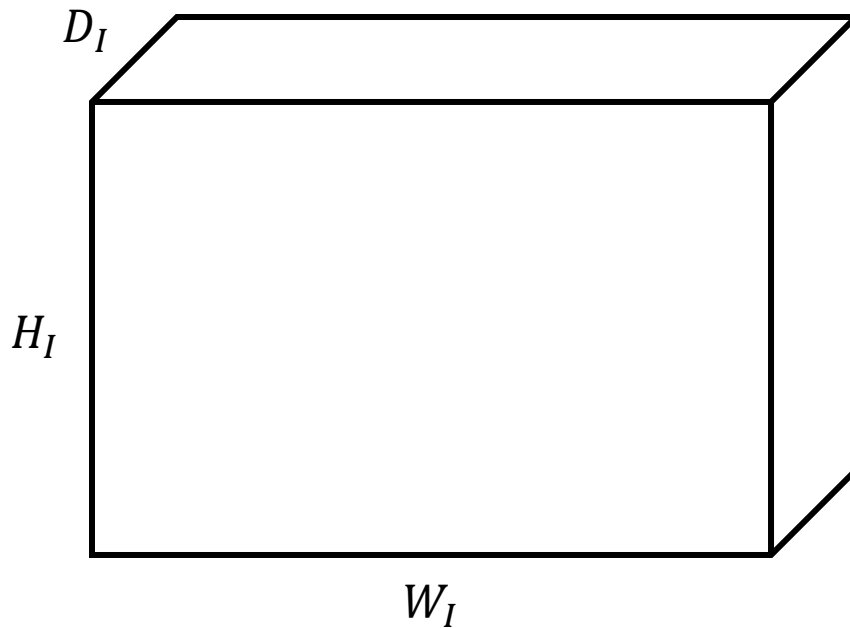
6	3	6	3
5	2	5	2
4	1	4	1
5	2	5	2
6	3	6	3
5	2	5	2
4	1	4	1

Reflect

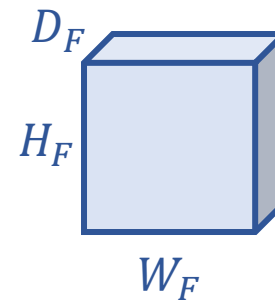
2	2	5	5
1	1	4	4
1	1	4	4
2	2	5	5
3	3	6	6
3	3	6	6
2	2	5	5

Symmetric

# Pooling

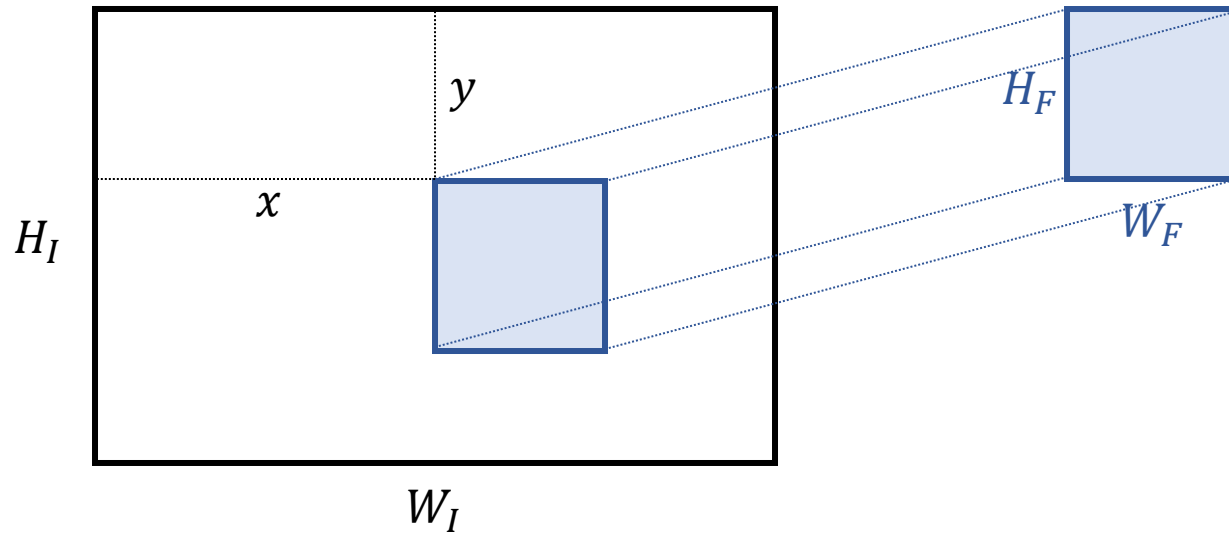


**Input**  $I$ :  $[W_I, H_I, D_I] \in \mathbb{R}^3$



**Filter**  $F$ :  $[W_F, H_F, D_F] \in \mathbb{R}^3$

# Pooling



$$\text{Max: } O_{x,y} = \max_{i,j} I_{x+i,y+j}$$

$$\text{Average: } O_{x,y} = \frac{1}{W_F \times H_F} \sum_i^{W_F} \sum_j^{H_F} I_{x+i,y+j}$$



# Convolutional Neural Network

- `tf.nn.conv2d(input, filter, strides, padding, ...)`
  - `input`: 4-D Tensor with shape `[batch, in_height, in_width, in_channels]`
  - `filter`: 4-D Tensor with shape `[filter_height, filter_width, in_channels, out_channels]`
  - `stride`: The stride of the sliding window for each dimension of input.
  - `padding`: "SAME" or "VALID"

# Convolutional Neural Network

- `tf.nn.conv2d(input, filter, strides, padding, ...)`

```
with graph.as_default():  
    ...  
  
    layer1_weights = tf.Variable(tf.truncated_normal([patch_size, patch_size, in_channel, out_channel]))  
    layer1_biases = tf.Variable(tf.zeros([out_channel]))  
  
    ...  
  
    def model(data):  
        conv = tf.nn.conv2d(data, filter=layer1_weights, strides=[1, 1, 1, 1], padding='SAME')  
        hidden = tf.nn.relu(conv + layer1_biases)  
  
        pool = tf.nn.max_pool(hidden, ksize=[1, 2, 2, 1], stride=[1, 2, 2, 1], padding='SAME')  
        dropped = tf.nn.dropout(x=pool, keep_prob=keep_prob)  
  
    ...
```

# Convolutional Neural Network

- `tf.nn.max_pool(value, ksize, strides, padding, ...)`
  - `value`: 4-D Tensor with shape `[batch, height, width, channels]`
  - `ksize`: The size of the window for each dimension of the input tensor
  - `strides`: The stride of the sliding window for each dimension of the input tensor
  - `padding`: "SAME" or "VALID"

# Convolutional Neural Network

- `tf.nn.max_pool(value, ksize, strides, padding, ...)`

```
with graph.as_default():  
    ...  
  
    layer1_weights = tf.Variable(tf.truncated_normal([patch_size, patch_size, 1, 16]))  
    layer1_biases = tf.Variable(tf.zeros([out_channel]))  
  
    ...  
  
    def model(data):  
        conv = tf.nn.conv2d(data, filter=layer1_weights, strides=[1, 1, 1, 1], padding='SAME')  
        hidden = tf.nn.relu(conv + layer1_biases)  
  
        pool = tf.nn.max_pool(hidden, ksize=[1, 2, 2, 1], stride=[1, 2, 2, 1], padding='SAME')  
        dropped = tf.nn.dropout(x=pool, keep_prob=keep_prob)  
  
    ...
```

# Convolutional Neural Network

Let's check the code.

**5\_cnn.ipynb**

# **Saving and Restoring**

# Saving and Restoring

- The easiest way to save and restore a model is to use a `tf.train.Saver` object. The constructor adds `save` and `restore` ops to the graph for all, or a specified list, of the variables in the graph.
- Saving

```
with graph.as_default():  
    ...  
    saver = tf.train.Saver()  
  
with tf.Session(graph=graph) as session:  
    ...  
    saver.save(session, ckpt_path, global_step=step)
```

# Saving and Restoring

- The easiest way to save and restore a model is to use a `tf.train.Saver` object. The constructor adds `save` and `restore` ops to the graph for all, or a specified list, of the variables in the graph.
- Restoring

```
with graph.as_default():  
    ...  
    saver = tf.train.Saver()  
  
with tf.Session(graph=graph) as session:  
    ...  
    saver.restore(session, ckpt_path)
```



# Saving and Restoring

Let's check the code.

**6\_save\_restore.ipynb**

# Reference

- TensorFlow official webpage

<https://www.tensorflow.org/>

- Stanford CS class - CS231n

<http://cs231n.github.io/>

- Udacity - Deep learning course

<https://www.udacity.com/course/deep-learning--ud730>

**Q & A**

**Thank You!**