

# Assignment 2

November 21, 2024

## 1 Problem 1

(1)

**Step 1:**

From the topic, we can get the probability  $P(y^{(n)}|x^{(n)}; \theta)$  by using  $h_\theta(x)$ :

$$P(y^{(n)}|x^{(n)}; \theta) = \begin{cases} h_\theta(x^{(n)}) & \text{if } y^{(n)} = 0, \\ 1 - h_\theta(x^{(n)}) & \text{if } y^{(n)} = 1. \end{cases}$$

This can be compactly written as:

$$P(y^{(n)}|x^{(n)}; \theta) = [h_\theta(x^{(n)})]^{1-y^{(n)}} \cdot [1 - h_\theta(x^{(n)})]^{y^{(n)}}$$

**Step 2:** Express the negative log-likelihood Taking the log of the likelihood:

$$L(\theta) = \prod_{n=1}^N P(y^{(n)} | \mathbf{x}^{(n)}; \theta) = \prod_{n=1}^N h_\theta(x^{(n)})^{1-y^{(n)}} \cdot [1 - h_\theta(x^{(n)})]^{y^{(n)}}$$

negative log-likelihood:

$$\begin{aligned} -\ln L(\theta) &= -\sum_{n=1}^N \ln P(y^{(n)} | \mathbf{x}^{(n)}; \theta) \\ &= -\sum_{n=1}^N \ln \left( h_\theta(x^{(n)})^{1-y^{(n)}} \cdot (1 - h_\theta(x^{(n)}))^{y^{(n)}} \right) \\ &= \sum_{n=1}^N \left[ -\ln h_\theta(x^{(n)})^{1-y^{(n)}} - \ln \left( (1 - h_\theta(x^{(n)}))^{y^{(n)}} \right) \right] \\ &= \sum_{n=1}^N \left[ -(1 - y^{(n)}) \ln h_\theta(x^{(n)}) - (y^{(n)}) \ln(1 - h_\theta(x^{(n)})) \right] \\ &= \sum_{n=1}^N \left[ -y^{(n)} \ln \left( 1 - h_\theta(x^{(n)}) \right) - (1 - y^{(n)}) \ln \left( h_\theta(x^{(n)}) \right) \right] \end{aligned}$$

**Step 3:**

Relate  $L_\theta$  to the likelihood:

$$L_\theta(\text{cross entropy}) = -\frac{1}{N} \ln L(\theta)(\text{likelihood}).$$

It can be seen that the cross entropy loss is the average of the negative log-likelihood.

(2)

$$L_\theta = \frac{1}{N} \sum_{n=1}^N \left[ -y^{(n)} \ln \left( 1 - h_\theta(x^{(n)}) \right) - (1 - y^{(n)}) \ln \left( h_\theta(x^{(n)}) \right) \right]$$

**Step 1:** Derivative of the first term  $-y^{(n)} \ln(1 - h_\theta(x^{(n)}))$ :

$$\frac{\partial}{\partial \theta} \left( -y^{(n)} \ln(1 - h_\theta(x^{(n)})) \right) = \frac{-y^{(n)} \frac{\partial}{\partial \theta} h_\theta(x^{(n)})}{1 - h_\theta(x^{(n)})}$$

where,

$$\frac{\partial}{\partial \theta} h_\theta(x^{(n)}) = h_\theta(x^{(n)}) (1 - h_\theta(x^{(n)})) \cdot \frac{\partial f_\theta(x^{(n)})}{\partial \theta}$$

**Step 2:** Derivative of the second term  $(1 - y^{(n)}) \ln(h_\theta(x^{(n)}))$ :

$$\frac{\partial}{\partial \theta} \left[ -(1 - y^{(n)}) \ln(h_\theta(x^{(n)})) \right] = \frac{-(1 - y^{(n)}) \frac{\partial}{\partial \theta} h_\theta(x^{(n)})}{h_\theta(x^{(n)})}$$

**Step 3:** Combine the results:

$$\frac{\partial L_\theta}{\partial \theta} = \frac{-y^{(n)} \frac{\partial}{\partial \theta} h_\theta(x^{(n)})}{1 - h_\theta(x^{(n)})} + \frac{-(1 - y^{(n)}) \frac{\partial}{\partial \theta} h_\theta(x^{(n)})}{h_\theta(x^{(n)})}$$

where,

$$\frac{\partial}{\partial \theta} h_\theta(x^{(n)}) = h_\theta(x^{(n)}) (1 - h_\theta(x^{(n)})) \cdot \frac{\partial f_\theta(x^{(n)})}{\partial \theta}$$

Factorizing:

$$\frac{\partial L_\theta}{\partial \theta} = \frac{1}{N} \sum_{n=1}^N \left[ (h_\theta(x^{(n)}) + y^{(n)} - 1) \cdot \frac{\partial f_\theta(x^{(n)})}{\partial \theta} \right]$$

## 2 Problem 2

(1)

**Step 1:**

$$\begin{cases} h_1 = f(w_1 i_1 + w_3 i_2 + b_1 \text{bias}_1), \\ h_2 = f(w_2 i_1 + w_4 i_2 + b_1 \text{bias}_1) \end{cases} \implies \begin{cases} h_1 = f(0.2 \cdot 0.1 + 0.3 \cdot 0.15 + 0.2 \cdot 1) \\ h_2 = f(0.15 \cdot 0.1 + 0.25 \cdot 0.15 + 0.2 \cdot 1) \end{cases}$$

**Step 2:** Activation function

$$f(x) = \frac{1}{1 + e^{-x}}$$

$$\begin{cases} h_1 = f(0.2650) \\ h_2 = f(0.2525) \end{cases} \implies \begin{cases} h_1 = \frac{1}{1 + e^{-0.2650}} \\ h_2 = \frac{1}{1 + e^{-0.2525}} \end{cases} \implies \begin{cases} h_1 \approx 0.5659 \\ h_2 \approx 0.5628 \end{cases}$$

**Step 3:**

$$\begin{cases} o_1 = w_5 h_1 + w_7 h_2 + b_2 \text{bias}_2 \\ o_2 = w_6 h_1 + w_8 h_2 + b_2 \text{bias}_2 \end{cases} \implies \begin{cases} o_1 = 0.8 \cdot 0.5659 + 0.55 \cdot 0.5628 + 0.4 \cdot 1 \\ o_2 = 0.2 \cdot 0.5659 + 0.3 \cdot 0.5628 + 0.4 \cdot 1 \end{cases}$$

Therefore,

$$\begin{cases} o_1 \approx 1.1623 \\ o_2 \approx 0.6820 \end{cases}$$

(2)

Step 1:

$$\text{Squared error function} = (y - \hat{y}^2)$$

Step 2:

$$\begin{aligned} E_{\text{total}} &= E_{o_1} + E_{o_2} \\ &= (y_1 - o_1)^2 + (y_2 - o_2)^2 \\ &= (0.99 - 1.1623)^2 + (0.01 - 0.6820)^2 \\ &= 0.0297 + 0.4516 \\ &= 0.4813 \end{aligned}$$

So, we get the  $E_{\text{total}} = 0.4813$ 

(3)

Step 1: the gradient is

$$\frac{\delta E_{\text{total}}}{\delta w_5} = \frac{\delta E_{\text{total}}}{\delta \text{out}_{o_1}} \cdot \frac{\delta \text{out}_{o_1}}{\delta w_5}$$

where,

$$\begin{aligned} \frac{\delta E_{\text{total}}}{\delta \text{out}_{o_1}} &= \frac{(y_1 - o_1)^2 + (y_2 - o_2)^2}{\delta \text{out}_{o_1}} = -2(y_1 - o_1) \\ \frac{\delta \text{out}_{o_1}}{\delta w_5} &= \frac{w_5 h_1 + w_7 h_2 + b_2 \text{bias}_2}{\delta w_5} = h_1 \end{aligned}$$

So, we can get:

$$\frac{\delta E_{\text{total}}}{\delta w_5} = -2(y - o_1)h_1 = -2 \cdot (0.99 - 1.1623) \cdot 0.5659 = 0.1950$$

Step 2:

$$\begin{aligned} w_5^{\text{new}} &= w_5 - \alpha \frac{\delta E_{\text{total}}}{\delta w_5} \\ &= 0.8 - 0.1 \cdot 0.1950 \\ &= 0.7805 \end{aligned}$$

### 3 Problem 3

Step 1:

Entropy is

$$\begin{aligned} H(Y) &= - \sum_{i=1}^N p_i \cdot \log_2(p_i) \\ &= -\left(\frac{3}{9} \log_2 \frac{3}{9} + \frac{3}{9} \log_2 \frac{3}{9} + \frac{3}{9} \log_2 \frac{3}{9}\right) \\ &= \log_2 3 \end{aligned}$$

Step 2:

Conditional entropy are:

$$H(Y|X) = \sum_{i=1}^N p(X = x_i) \cdot H(Y|X = x_i)$$

Since attributes “height” and “weight” are numeric, we should select the split boundary according to

$$t^{(i)} = \frac{x^{(i)} + x^{(i+1)}}{2}, \quad i = 1, \dots, n-1$$

$$H(Y | X = t) = p(X < t) \cdot H(Y | X < t) + p(X \geq t) \cdot H(Y | X \geq t)$$

For height:

$$x_1^{(n)} = \{165, 168, 171, 175, 177, 180, 182\}$$

$$t_1^{(n)} = \{166.5, 169.5, 173, 176, 178.5, 181\}$$

$$\begin{cases} H(Y | X = 166.5) = -\left(\frac{1}{9} \cdot \log_2 1 + \frac{8}{9} \left(\frac{3}{8} \log_2 \frac{3}{8} + \frac{3}{8} \log_2 \frac{3}{8} + \frac{2}{8} \log_2 \frac{2}{8}\right)\right) = \frac{22}{9} - \frac{2}{3} \log_2 3 \\ H(Y | X = 169.5) = \frac{7}{9} \log_2 7 - \frac{1}{3} \log_2 3 - \frac{2}{9} \\ H(Y | X = 173) = \frac{2}{3} \log_2 3 + \frac{2}{9} \\ H(Y | X = 176) = \frac{5}{9} \log_2 5 + \frac{2}{9} \\ H(Y | X = 178.5) = \frac{5}{9} \log_2 5 + \frac{2}{9} \\ H(Y | X = 181) = \log_2 3 \end{cases}$$

So, we can get Conditional entropy of the height:

$$t_1^{(*)} = \arg \min_{t_1^{(i)}} H(Y | \text{height} = t_1^{(i)}) = 173 \Rightarrow H(Y | \text{height} = t_1^{(*)}) = H(Y | \text{height} = 173) = \frac{2}{3} \log_2 3 + \frac{2}{9}$$

For weight:

$$x_2^{(n)} = \{61, 63, 67, 68, 72, 73, 75, 80\}$$

$$t_2^{(n)} = \{62, 65, 67.5, 70, 72.5, 74, 77.5\}$$

$$\begin{cases} H(Y | X = 62) = -\left(\frac{1}{9} \cdot \log_2 1 + \frac{8}{9} \left(\frac{3}{8} \log_2 \frac{3}{8} + \frac{3}{8} \log_2 \frac{3}{8} + \frac{2}{8} \log_2 \frac{2}{8}\right)\right) = \frac{22}{9} - \frac{2}{3} \log_2 3 \\ H(Y | X = 65) = \log_2 3 \\ H(Y | X = 67.5) = \frac{5}{9} \log_2 5 + \frac{2}{9} \\ H(Y | X = 70) = \frac{5}{9} \log_2 5 + \frac{2}{9} \\ H(Y | X = 72.5) = \log_2 3 \\ H(Y | X = 74) = \frac{7}{9} \log_2 7 - \frac{1}{3} \log_2 3 - \frac{2}{9} \\ H(Y | X = 77.5) = \frac{22}{9} - \frac{2}{3} \log_2 3 \end{cases}$$

So, we can get Conditional entropy of the weight:

$$t_2^{(*)} = \arg \min_{t_2^{(i)}} H(Y | \text{weight} = t_2^{(i)}) = 77.5; \Rightarrow H(Y | X_2 : t_2^{(*)}) = H(Y | \text{weight} = 77.5) = \frac{22}{9} - \frac{2}{3} \log_2 3$$

For eye-color:

$$\begin{cases} H(Y | \text{eye} - \text{color} = \text{hazel}) = -\left(\frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3}\right) = 0.9183 \\ H(Y | \text{eye} - \text{color} = \text{blue}) = -\left(\frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3}\right) = 0.9183 \\ H(Y | \text{eye} - \text{color} = \text{brown}) = -\left(\frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3}\right) = 0.9183 \end{cases}$$

So, we can get Conditional entropy of the eye-color:

$$H(Y | \text{eye} - \text{color}) = 3 \cdot \frac{1}{3} * 0.9183 = 0.9183$$

For hair-color:

$$\begin{cases} H(Y | \text{hair} - \text{color} = \text{black}) = -\left(\frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3}\right) = 0.9183 \\ H(Y | \text{hair} - \text{color} = \text{brown}) = -\left(\frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3}\right) = 0.9183 \\ H(Y | \text{hair} - \text{color} = \text{blond}) = -\left(\frac{1}{3} \log_2 \frac{1}{3} + \frac{1}{3} \log_2 \frac{1}{3} + \frac{1}{3} \log_2 \frac{1}{3}\right) = \log_2 3 \end{cases}$$

So, we can get Conditional entropy of the hair-color:

$$H(Y | \text{hair} - \text{color}) = \frac{1}{3} * 0.9183 + \frac{1}{3} * 0.9183 + \frac{1}{3} * \log_2 3 = 1.1405$$

**Step 3:**

Information gain is

$$IG(X) = H(Y) - H(Y|X)$$

$$\begin{cases} IG(height) = H(region) - H(region|height) = \log_2 3 - \frac{2}{3} \log_2 3 - \frac{2}{9} = 0.3061 \\ IG(weight) = H(region) - H(region|weight) = \log_2 3 - \frac{229}{229} - + \frac{2}{3} \log_2 3 = 0.1972 \\ IG(eye - color) = H(region) - H(region|eye - color) = \log_2 3 - 0.9183 = 0.6667 \\ IG(hair - color) = H(region) - H(region|hair - color) = \log_2 3 - 1.1405 = 0.4444 \end{cases}$$

**Step 4:**

Since  $IG(eye-color) > IG(hair-color) > IG(height) > IG(weight)$ , we choose **eye-color** as tree's root.