

# A Portable Low-Cost Archaeological 3D Imaging and Cataloging System

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**Abstract**—This paper introduces a cross-platform low-cost system for 3D object reconstruction using a projection-based laser scanner. It uses contact-free measurement techniques for 3D object reconstruction and fast surface registration using Iterative Closest Point (ICP) [1]. The only hardware requirements are a simple hand-held laser line projector and a standard camera. The camera is initially calibrated using Zhang’s camera calibration method so that its external and internal parameters are exactly known. The visible intersection with the known background is used to find the exact 3D pose of the laser plane. This laser plane is used to triangulate new 3D point coordinates of the object’s surface. The point clouds obtained are processed using the “3DTK - The 3D Toolkit” [2] which includes an automatic high-accurate registration process and a fast 3D viewer.

## I. INTRODUCTION

A projection of a real-world object to an image annihilates its depth information. This is because the 3D points in the same viewing direction inherently yield a single 2D point in the image. 3D object scanning and reconstruction is a technique to acquire enough information in order to regenerate the 3D shape of such a real-world object. This is achieved by taking multiple scans of the object from different angles to subsequently register them in a common coordinate system. The generated 3D models are widely used for medical diagnosis, archaeological analysis and documentation, industrial design and production and in the entertainment industry.

3D scanning techniques can be broadly divided into two categories depending on whether or not the scanning system is in contact with the target object. The contact-free techniques themselves can be either active or passive. Active contact-free techniques emit a radiation to calculate the deviation from the object, while passive techniques use the visible light itself for such an estimation. The authors in [3] provide a solid review of the most important 3D laser scanning methods developed in the last 20 years.

This paper uses the active contact-free technique by using a hand-held projector to emit a laser line. The recovery of the object surface is done by triangulating the laser with the rays that were projected back to the camera. We use the real-time self-calibration approach [4] to eliminate the need of an external sensor to track the position of the scanner. The pipeline initially begins with the data acquisition using an inexpensive web camera that captures multiple runs of a laser sweeping across an object as shown in Fig. 1. Camera calibration using a chessboard pattern is performed next,



Fig. 1. Data Acquisition Setup

that helps estimate the internal parameters of the camera to establish a mapping between its natural units to the units in the physical world. We also use the chessboard patterns as our reference double-frames to calculate the extrinsic parameters of the camera. These parameters are later used to calculate the pose<sup>1</sup> of the laser plane.

The quality of the final 3D shape depends largely on how accurately the laser lines and the object points are identified. We use a reference image without a laser line to calculate an image difference with each frame of the laser sweeping the object as used in [4]. The difference image is then smoothened and filtered with a red color threshold to remove the outliers. Hough transform on the result helps isolate the two laser lines on each side of the object from the object points.

The extrinsic parameters of the camera calculated using the chessboard patterns are used with the laser pixels from the hough lines to calculate the laser plane equation. The laser plane equation is finally intersected with the object points to determine the 3D surface points (point cloud) of the objects. The point clouds from each scan (with a different orientation of the object) are registered using ICP [1]. We use Simultaneous Localization and Mapping (SLAM) from 3D Toolkit (3DTK) [2] for automatic scan registration.

<sup>1</sup>combination of position and orientation

## II. RELATED WORK

Stereophotogrammetry [5], [6] is a technique to generate 3D models using a collection of complementary 2D images of an object taken at different angles. It uses perspective methods and illumination rules to recover basic geometric models of the photographed scenes. The hardware requirements of the setup are usually two digital cameras, however the quality of the digitized 3D models is generally low.

Structured Light is an active contact-free technique where a known pattern is projected onto the surface and a separate perspective is used to observe the resulting deformed pattern. The 2D deformed image of the pattern acquired is used to extract the 3D information of the surface. Microsoft Kinect<sup>2</sup> internally this technique to compute a depth of the object by projecting an infrared laser. The major limitation of the Kinect is its inability to work well in outdoor environments.

The time-of-flight scanning approach [7] is also an active contact-free technique where the camera measures the round trip time of the light signal from the object surface to determine its distance. Such an approach can capture depth information over a long-range and are fairly quick in operation. Unfortunately the equipments are expensive and the accuracy is not high.

Contact-free triangulation based techniques to generate 3D models of real-world objects have been known for more than decade [8], [9]. The traditional systems however, use high-precision expensive actuators for rotating/translating the laser plane and the object. They also depend on external sensors to track the position of the scanner.

The underpinnings of our cataloging system are largely inspired from The David Laser Scanner [4] project. It is a software package that uses the triangulation technique, however with a self-calibration method for the hand-held laser plane to keep the cost to a minimum. It is a generalization of [10] which instead uses four visual intersection points of laser with a double reference frame to calibrate the laser. The software package although originally free, is now available at a price of €299. In addition, it can only run on Windows since currently it is dependent on the .NET framework. We in this paper present a free alternative to the David Laser Scanner. It is written in C++ and is based on OpenCV and the "3DTK - The 3D Toolkit" [2] making it widely-available as a cross-platform solution.

## III. APPROACH

The complete pipeline starting from capturing the video of the laser sweeping across an object to the end result of visualizing the 3D model of reconstructed point cloud is primarily divided into five major steps as shown in Fig. 2.

### A. Data Acquisition

We used an inexpensive web camera to capture multiple runs of a hand-held laser sweeping across the object as shown in Fig. 1. Since the videos were stored in raw format

<sup>2</sup><http://www.xbox.com/en-us/kinect>

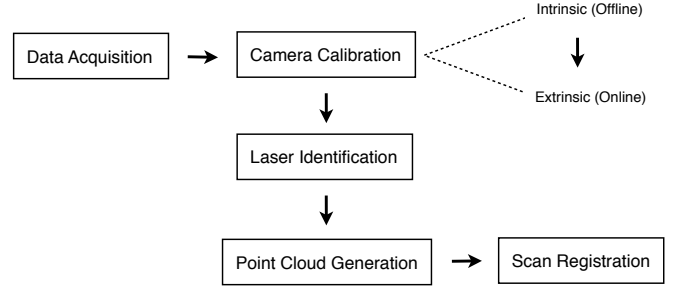


Fig. 2. Software Pipeline

which cannot be directly processed by OpenCV [11], we used mplayer to extract individual frames at the rate of 5 frames per second.

```
$ mplayer -demuxer rawvideo \
    -rawvideo fps=5:w=1600:h=1200:yuy2 \
    -vo pnm:ppm $FILE
```

These frames were then later read into memory by calling the OpenCV routine `cvLoadImage()` with a `CV_LOAD_IMAGE_UNCHANGED` flag. The routine allocates an image data structure and returns a pointer to a struct of type `IplImage`

### B. Camera Calibration

The first step in the process of reconstructing the 3D geometry of the object is to establish a mathematical relationship between the natural units of the camera with the physical units of the 3D world. We used camera calibration to learn the internal parameters of the camera and its distortion coefficients. The geometry is described in terms of camera's optical center and focal length.

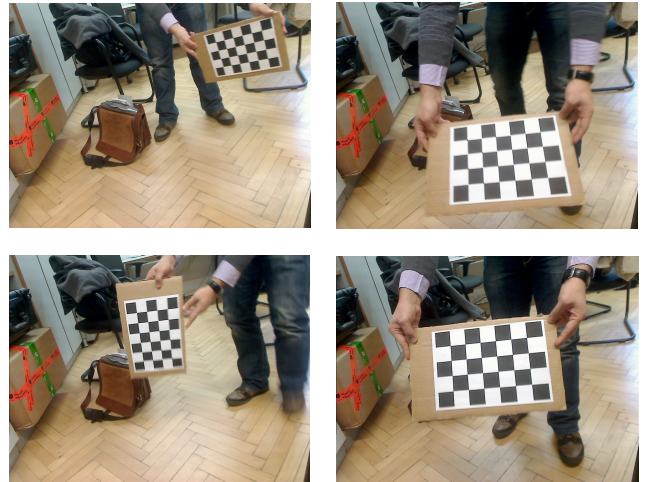


Fig. 3. Calculating the Camera's Intrinsic Parameters

We used OpenCV routines that are based on [12] [13] and used a planar chessboard pattern as our calibration object. We rotated and translated the pattern to provide multiple views

to get the precise information about the intrinsic parameters of the camera as shown in Fig. 3. The OpenCV routine `cvFindChessboardCorners()` is used to locate the corners and once we had enough corners from multiple view images, we used `cvCalibrateCamera2()` to get the intrinsic matrix  $A$  as shown in equation 1.

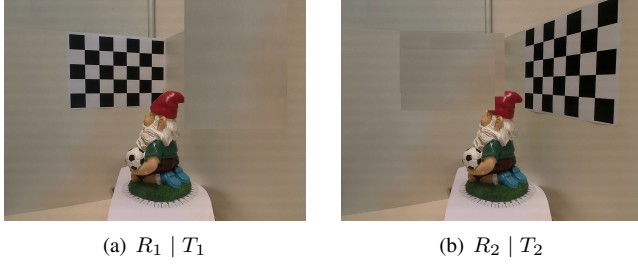


Fig. 4. Calculating the Camera's Extrinsic Parameters

$$s \times \begin{bmatrix} u \\ v \\ 1 \end{bmatrix} = A \cdot [R | T] \cdot \begin{bmatrix} x_w \\ y_w \\ z_w \\ 1 \end{bmatrix} \quad (1)$$

$$\text{where } A = \begin{bmatrix} f_x & 0 & c_x \\ 0 & f_y & c_y \\ 0 & 0 & 1 \end{bmatrix}$$

The intrinsic matrix  $A$  was later used to describe the pose<sup>3</sup> of the objects being scanned by the laser relative to the coordinate system of the camera. In order to determine this pose on both sides of the target object, the patterns were masked out to allow individual calculation as shown in Fig. 4. The parameters represented by  $[R | T]$  could then be separately calculated for both the sides by calling the OpenCV routine `cvFindExtrinsicCameraParams2()`.

#### C. Identification of 2D Laser Lines and Object Points

We used OpenCV routine `cvAbsDiff()` to calculate the image difference of the laser image from the reference image using equation 2. The resulted image difference is shown in Fig. 5

$$C = A - B \quad (2)$$

where  $A$  is the laser image in figure 5(a) and

$B$  is the reference image in figure 5(b) and

$C$  is the difference image in figure 5(c)

To reduce the noise in the difference image, we used the OpenCV routine `cvSmooth()` to convolve the image with a Gaussian kernel function as shown in Fig. 6. It not only helped us to remove the camera artifacts but also reduce the information content in the image.

In order to remove all outliers and keep just the pixels representing the red laser line as shown in Fig. 7, we used

<sup>3</sup>combination of position and orientation

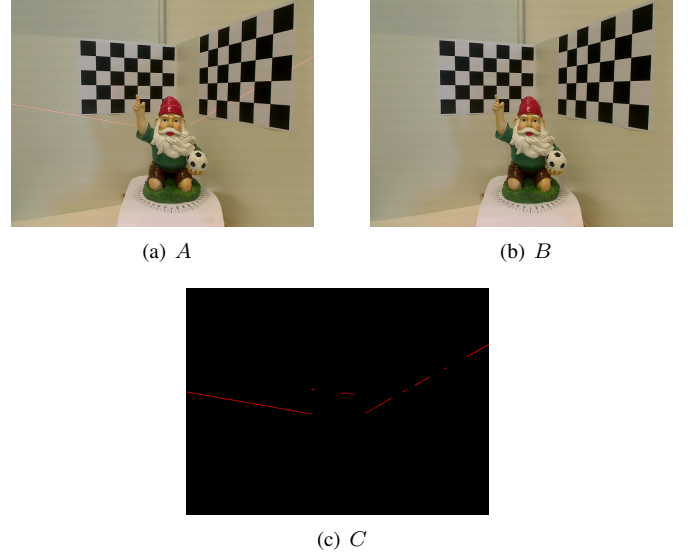


Fig. 5. Using Image Difference to Find the Laser

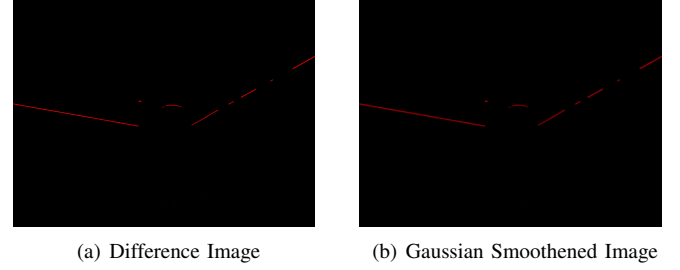


Fig. 6. Gaussian Smoothing the Difference Image

a pre-defined threshold value for the intensity of the red pixels. In order to restrict this thresholding only along the red channel, we used `cvSplit()` to split the three-channel (R,G,B) difference image into separate one-channel image planes. We used `cvGet2D()` and `cvSet2D()` to work on the scalar intensity values of the pixels.

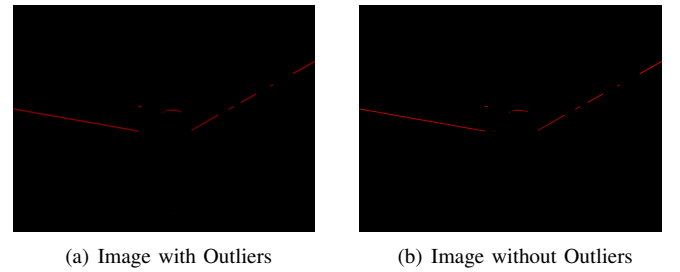


Fig. 7. Color Thresholding to Remove Outliers

We use Probabilistic Progressive Hough Transform (PPHT) [14], [15] using OpenCV routine `cvHoughLines2()` to detect the laser lines on both sides of the target object. The line end points of each line thus obtained were used to draw the line using `cvLine()` as shown in Fig. 8. The difference image was initially passed through an edge detection phase,

since hough transform not only expects a gray-scale image as input but the input is also treated as binary information where the non-zero points are edge points of the image. Therefore, we used OpenCV routine `cvtColor` to convert the RGB difference image to gray scale and `canny()` to perform the Canny Edge Detection [16] before PPHT. The two hough line equations on either side of the object were used to learn the laser line points, while the points not identified as part of the hough line were taken as target object points.

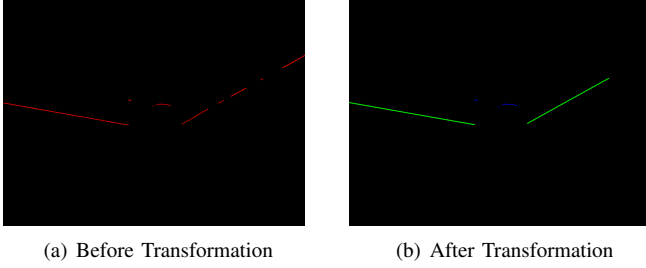


Fig. 8. Hough Transformation

#### D. Generation of Point Cloud

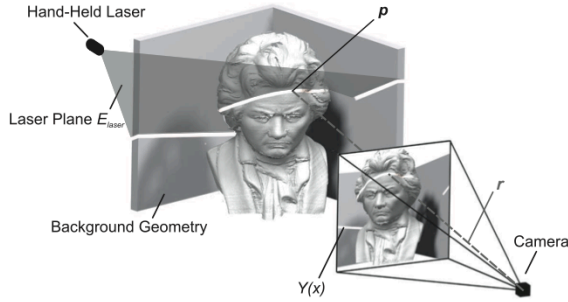


Fig. 9. Laser Triangulation [4]

The first step is to use the calculated camera extrinsics ( $R \mid T$ ) for each side of the target object along with the intrinsic parameters ( $A$ ) to transform each laser pixel ( $P_c$ ) into 3D laser surface points ( $P_w$ ) using equation 3. The laser pixel points are expressed in the homogenous coordinate system.

$$P_w = \underbrace{s \cdot R^{-1} \cdot A^{-1} \cdot P_c}_{\vec{b}} - \underbrace{R^{-1} \cdot T}_{\vec{a}} \quad (3)$$

where  $P_c = \begin{pmatrix} u \\ v \\ 1 \end{pmatrix}$ ,  $\vec{a} = \begin{pmatrix} a_x \\ a_y \\ a_z \end{pmatrix}$ ,  $\vec{b} = \begin{pmatrix} b_x \\ b_y \\ b_z \end{pmatrix}$   
and  $s = \frac{a_z}{b_z}$

Next in order to bring all the laser surface points into a common coordinate system, we transformed all the 3D laser points from the right side of the target object to the coordinate system of the left side using equation 4

$$P_l = R_1^{-1} \times P_r - R_1^{-1} T_1 \quad (4)$$

where  $P_r = [R_2 \mid T_2] \times P_w$

With all the 3D laser surface points in a common coordinate system, we randomly choose 3 points to generate the laser plane equation. Using the coefficients of this equation, we defined the normal to the plane ( $\vec{N}$ ) using equation 5.

$$A_x + B_y + C_z + D = 0 \quad (5)$$

where  $\vec{N} = \begin{pmatrix} A \\ B \\ C \end{pmatrix}$

The last step is to use the target object pixels ( $P_c$ ) and intersect them with the laser plane equation represented by the normal ( $\vec{N}$ ) to obtain the 3D surface points of the target object ( $P_w$ ) as shown in Fig. 9 using equation 6

$$P_w = s \times R^{-1} \times A^{-1} \times P_c - R^{-1} \times T \quad (6)$$

where  $s = \frac{\vec{N} \times \vec{a} - D}{\vec{b} \times \vec{N}}$  and  $P_c = \begin{pmatrix} u \\ v \\ 1 \end{pmatrix}$

In addition, we used OpenCV routine `cvGet2D()` to retrieve the RGB color information for each object pixel and mapped it to the calculated corresponding 3D object surface point. We used the reference image as the source for retrieving the original color information since that image does not have a laser line sweeping the target object.

#### E. Point Cloud Processing and Registration

The generated point cloud was saved as a text file in the UOS\_RGB file format. The first line represented the number of 3D object surface points and the following lines had  $(x, y, z)$  coordinates of each point respectively with their  $(R, G, B)$  information defined in a left-handed coordinate system. Each complete scan of the target object was in this way stored as a new `scanXXX.3d` file where XXX determines the index of the scan.

We used ICP [1] to register the two points from different scans into a common coordinate system using equation 7. The algorithm requires an initial starting guess of relative poses  $(x, y, z, \theta_x, \theta_y, \theta_z)$  to compute the rotation and translation to fit the 3D geometrical models together. Since our system did not have an odometer, we set the initial pose to 0 and let it extrapolate further.

$$E(R, t) = \sum_{i=1}^{N_m} \sum_{j=1}^{N_d} w_{i,j} \|m_i - (Rd_j + t)\|^2$$

where  $N_m$  = number of points in model set  $M$

$N_d$  = number of points in data set  $D$

$w_{i,j} = 1$ , if  $m_i$  is closest to  $d_j$

$w_{i,j} = 0$ , otherwise (7)



We used 6D SLAM from 3DTK [2] for automatic scan registration that uses cached kd-trees for fast iterative ICP match [17]. The slam6D component produced a frames file that was used by the show component to visualize the 3D model of the target object along with its color information.

#### IV. EXPERIMENTAL RESULTS



Fig. 10. Results

The imaging system in addition to the source directory, expects a reference image without the laser stripe and two images of the individual background patterns used for calibration as input. The program saves the point cloud thus obtained in a destination directory which is used by the 3DTK components for processing and visualization. The results obtained from the show program are shown in Fig. 10.

#### V. CONCLUSION AND FUTURE WORK

We presented our implementation of an archaeological system for 3D object reconstruction using a hand-held laser line projector and a web camera. This work provides a free and cross-platform alternative to the David Laser Scanner.

It uses contact-free triangulation with self-calibration of the laser plane to generate the 3D object surface points. The point clouds obtained from each scan are registered using SLAM from 3DTK. The process of data acquisition, point cloud generation and scan registrations are performed offline. A foreseeable future work item is to make it real time to allow the results to be viewed as they are being processed.

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