



Spectral network based on lattice convolution and adversarial training for noise-robust speech super-resolution

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ABSTRACT:

Speech super-resolution aims to predict a high-resolution speech signal from its low-resolution counterpart. The previous models usually perform this task at a fixed sampling rate, reconstructing only high-frequency spectrogram components and merging them with low-frequency ones in noise-free cases. These methods achieve high accuracy, but they are less effective in real-world settings, where ambient noise and flexible sampling rates are presented. To develop a robust model that fits practical applications, in this work, we introduce Super Denoise Net (SDNet), a neural network for noise-robust super-resolution with flexible input sampling rates. To this end, SDNet's design includes gated and lattice convolution blocks for enhanced repair and temporal-spectral information capture. The frequency transform blocks are employed to model long frequency dependencies, and a multi-scale discriminator is proposed to facilitate the multi-adversarial loss training. The experiments show that SDNet outperforms current state-of-the-art noise-robust speech super-resolution models on multiple test sets, indicating its robustness and effectiveness in real-world scenarios. © 2024 Acoustical Society of America. https://doi.org/10.1121/10.0034364

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I. INTRODUCTION

Speech super-resolution (SSR) aims to reconstruct missing high-frequency components from known low-resolution speech signals, thereby rendering speech clearer, more natural, and easier to comprehend. For speech communication, the application of SSR technology can effectively enhance call quality, mitigate distortion, and augment the intelligibility and comfort of speech. Furthermore, for numerous downstream tasks, this technique can also aid machines in better understanding human language, thus improving the accuracy and efficiency of tasks, such as speech recognition (Haws and Cui, 2019) and speech synthesis (Yoneyama *et al.*, 2023).

Early SSR approaches primarily employed signal processing methods grounded in source-filter theory (Taylor and Reby, 2010), which models the speech signal as a product of the source signal passing through a vocal tract filter. These methods effectively extend the low-frequency signal by mapping low-frequency to high-frequency features using statistical techniques. However, with the advent and development of deep learning technology, neural network-based methods have emerged as the predominant approach in this field, demonstrating superior performance.

Despite substantial progress in deep learning-based SSR in recent years, current frequency domain-based approaches typically keep the low-frequency components and predict only the high-frequency components before

combining the two. This method performs well in noise-free environments but fails to remove noise from the low-frequency part, introducing distortions in high-frequency prediction due to noise interference. As most existing networks are not designed to handle noise, retraining them with noisy data will be ineffective. Additionally, existing SSR models operate under fixed configurations, transforming specific low-sample-rate inputs to specific high-sample-rate outputs, and do not generalize well across different low-sampling rates. Performance degrades with varying speech databases or low-resolution signals generated by different downsampling schemes (Wang and Wang, 2021).

Recent studies have achieved high-quality SSR with flexible sampling rate inputs, often using Mel spectroscopy followed by neural vocoder synthesis. While these methods produce high-quality speech at 44.1 or 48 kHz in noise-free environments, their large model sizes and numerous parameters complicate training and inference. Some efforts have focused on noise robustness in SSR, employing multi-stage training strategies or intermediate variable adjustments to jointly remove noise and increase the sampling rate from 8 to 16 kHz. However, these methods suffer significant performance drops with certain noise types or low signal-tonoise ratios, and their complexity affects model's reproducibility.

For image super-resolution, convolutional neural networks with lattice blocks have demonstrated remarkable superiority (Luo *et al.*, 2020a, 2022), and this design has been successfully applied to more complex image restoration tasks. Despite their success in computer vision, these

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networks have not yet been explored for audio restoration tasks, including SSR, noise suppression, and packet loss concealment.

To improve SSR performance in noisy environments, we propose Super Denoise Net (SDNet), a neural network designed to remove noise while extending bandwidth. Drawing inspiration from image restoration, we incorporate gated convolution to boost the network's generative capability and introduce lattice convolution blocks in the bottleneck layer to capture more information in the time-frequency domain. Training the model with large, noise-containing datasets, our experiments show that SDNet significantly outperforms existing SSR models in both objective and subjective evaluations. An ablation study further highlights the impact of our design on model performance.

Our main contributions are summarized as follows:

- We introduced lattice blocks and gated convolution structures, which have proven effective in image restoration, to the SSR task, enhancing the network's recovery capabilities.
- Through data augmentation, we achieved greater noise robustness compared to existing noise-robust SSR models, without requiring prior knowledge of the input signal's sampling rate.
- Within an adversarial training framework, we developed a multi-scale discriminator strategy to optimize multiple loss functions
- Our model outperforms the baseline in both noise-free and noisy environments, employing a simpler training strategy and resulting in negligible artifacts in the transition frequency band.

The rest of the paper is organized as follows. In Sec. II, we introduce the settings of the task addressed in this article and its related works. In Sec. III, we describe the details of the proposed network and the data processing method. We document the details of the experimental settings as well as the different baselines in Sec. IV. In Sec. V, we report and analyze the experimental results, followed by the conclusion and future works in Sec. VI.

II. PROBLEM FORMULATION AND RELATED WORKS

A. Modeling of SSR task

SSR is also known as the bandwidth extension of speech signals in many previous works. In the time domain, low-sampling-rate speech contains fewer sample points for the same duration, and the super-resolution model predicts extra sample points based on the information from the low-sampling-rate speech waveform, so that they are converted into high-sampling-rate speech with better sound quality. From the aspect of frequency domain, due to the increase in the number of sampling points in the same duration, the missing high-frequency portion of the low-sampling-rate speech signal is supplemented.

In a formal setting, noted in previous work's description (Kuleshov *et al.*, 2017), we represent a low-resolution

speech waveform as x(t), t = 0, 1, ..., T, $T \in \mathbb{R}$, where T is the duration (in seconds) of this signal and x(t) is the amplitude at time t. When it is sampled at a sampling rate of R_1 Hz, $t = 1/R_1, 2/R_1, ..., T$, and the goal of SSR is to generate a high-resolution version $\hat{y}(t)$ of x(t) that has a sampling rate $R_2 > R_1$ and the same duration as x(t), where $t = 1/R_2, 2/R_2, ..., T$.

In noisy environments, the speech signal is corrupted by noises, which can be expressed by the following:

$$x_n(t) = x(t) + n(t), \tag{1}$$

where n(t) is the noise with a sampling rate of R_1 Hz. The noise-robustness of SSR means the capability to restore the high-resolution clean version $\hat{y}_c(t)$ of $x_n(t)$, i.e., removing the noise of low-frequency part and predicting the clean high-frequency part at the same time.

B. Noise-free SSR methods

Most early SSR approaches are bandwidth extension methods based on traditional signal processing theory and the source-filter speech generation model (Taylor and Reby, 2010). Within this framework, various techniques have been developed to estimate wideband spectral envelopes (Cheng et al., 1994; Park and Kim, 2000), including methods based on Gaussian mixture models (Nour-Eldin and Kabal, 2009), hidden Markov models (Bauer and Fingscheidt, 2008), and codebook mapping (Pulakka, 2013).

With the current developments of deep learning, new methods and models to further improve the performance of SSR tasks have been proposed (Birnbaum *et al.*, 2019; Li and Lee, 2015; Ling *et al.*, 2018; Wang and Wang, 2021). TFNet (Lim *et al.*, 2018) enhances the SSR quality by jointly optimizing both the time and frequency domain. AFiLM (Rakotonirina, 2021) introduces self-attention based on TFiLM (Kuleshov *et al.*, 2017) and achieves a better performance with a faster inference speed. Utilizing a U-Net, Li *et al.* (2021) and Nguyen *et al.* (2022) improve the SSR accuracy under a constraint of low complexity, with pretraining and self-supervised learning methods, respectively.

These previous studies have been centered on transforming the speech signal from narrow-band to wideband, and super-resolution to a higher resolutions was still not achieved. With the background of a general promotion in the quality of network communications, recent works mainly focus on generating high-fidelity and full-band speech (Zhang et al., 2021). As has been verified in computer vision, generative model has high the potential in generative tasks like image super-resolution and reconstruction, so generative adversarial network (GAN) and diffusion based methods are widely adopted in current SSR works (Han and Lee, 2022; Moliner and Välimäki, 2023; Shuai et al., 2023; Yoneyama et al., 2023; Yu et al., 2023). Mandel et al. (2023) proposed a GAN operating in the frequency domain to eliminate the artifacts at the transition region between existing and generated frequency bands. BAE-Net (Yu et al., 2024) addresses the fluctuations of

effective bandwidth in real-world audio for SSR. With a latent diffusion model and a neural vocoder, AudioSR (Liu *et al.*, 2024) handles the super-resolution of speech, music recording, and sound effects. A similar two-stage vocoder-based structure was also used by Fre-Painter (Kim *et al.*, 2024).

However, many of these studies are limited by fixed sampling rates and the concatenation of different bands, leading to degraded performance in noisy environments [see Fig. 1(d)] and artifacts in transition parts [see Fig. 1(d)]. These issues also hinder retraining the original models with noisy data.

C. Noise-robust SSR methods

In practical scenarios, speech signals are often corrupted different noises and present various bandwidth ranges, which makes it hard to directly improve their quality by most noise-free SSR models, and this issue is visualized in Fig. 1. In order to make SSR techniques more practical, it is crucial to investigate the robustness and bandwidth adaptation in complex environments.

A typical approach to solve the noise problem in SSR task is to first perform speech enhancement on noisy narrow-band signals and then followed by a bandwidth extension under noise-free conditions. For example, Moreno et al. (1996) applied an iterative vector Taylor series approximation algorithm on feature enhancement, and then reconstruct the wideband signal with a Gaussian mixture model or a maximum a posterior estimation (Seltzer et al., 2005; Seo et al., 2014). The same approach also applies to two-stage neural network (Chen et al., 2022; Liu et al., 2018; Taher et al., 2023). These methods, although simple and straightforward, face difficulties in phase estimation. In addition, some multi-task models (Hernandez-Olivan et al., 2024; Moliner et al., 2023) consider noise, clipping, and bandwidth loss simultaneously, but such approaches deal with different single tasks separately with a versatile framework and are not effective for the case where multiple distortions co-exist.

To further develop the noise-robust SSR model, Hou et al. (2020) proposed a multitasking framework that

reconstructs clean wideband signals directly from noisy narrow-band signals by introducing intermediate variables into the loss function. VoiceFixer (Liu et al., 2022) fixes multiple distortions simultaneously in the Mel-spectrum domain and then reconstructs the waveform with a neural vocoder. Lin et al. (2023) proposed EP-WUN based on the WaveUNet backbone (Stoller et al., 2018). To treat noise suppression and super-resolution jointly, the method uses three stages of training and introduces intermediate variables into the improved triplet loss. The authors claim that the model achieves the state-of-the-art performance on noise-robust SSR task currently and introduce a large positive impact on the accuracy of the speech recognition task.

In summary, there are relatively few studies on noise-robust SSR compared to noise-free SSR. Most models extend 8 kHz recordings to 16 kHz for clean speech, leaving room for improvement in robust bandwidth adaptation. Additionally, there is a need for the development of simple and effective training algorithms.

III. PROPOSED NETWORK

Figure 2 illustrates the SSR model proposed in this paper. It employs a GAN architecture comprising pre- and post-processing modules, a generator operating in the spectral domain, and a multi-scale discriminator. Initially, we perform a short-time Fourier transform (STFT) on the narrow-band speech and obtain the wideband speech by zero-padding the high-frequency part through resampling. Unlike conventional noise-free methods, our resampling step maintains the same scale for input and output, enabling the network to make comprehensive end-to-end predictions across the entire bandwidth, thus overcoming the limitations of previous splicing methods that fail to eliminate lowfrequency noise and produce artifacts. The generator features a traditional U-shaped structure with encoder and decoder modules and a bottleneck layer. Notably, we incorporate lattice convolution blocks (LBs) in the bottleneck layer to capture both local and global dependencies effectively, reducing computational complexity while preserving modeling capability through sparse connectivity. Detailed descriptions of each module are given below.

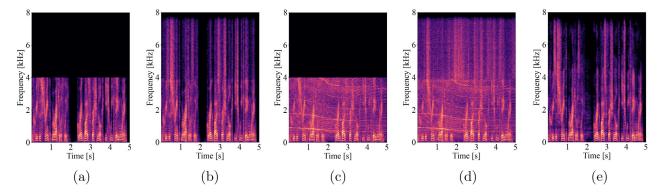


FIG. 1. (Color online) Spectrograms of reconstructed and original speech. (a) Narrow-band speech without noise. (b) Wide-band version of (a) generated by a noise-free SSR model. (c) Narrow-band speech containing noise. (d) Wide-band version of (c) generated by a noise-free SSR model. (e) Wide-band version of (c) generated by a noise-robust SSR model.

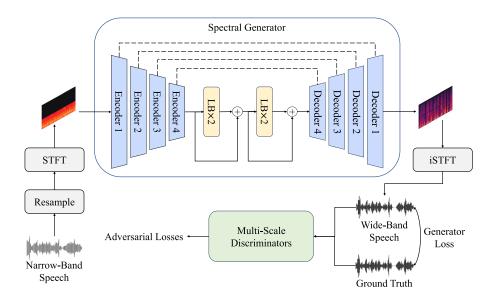


FIG. 2. (Color online) The structure of proposed generative adversarial network.

A. Spectral generator

1. Encoder and decoder layer

As depicted in Fig. 2, the encoder-decoder framework consists of four layers each, facilitating the transformation of input data into a latent representation and its subsequent reconstruction. With the encoder, depicted in Fig. 3(a), the initial layer undertakes the reshaping of the input via a 2D convolution operation. Following this, a pivotal frequency transform block (FTB) (Yin et al., 2020) intervenes to capture non-local correlations within the spectrogram, traversing along the frequency axis. The operations within each FTB can be succinctly represented by a distinct formula, indicated in Fig. 4(a):

$$X_{O} = Conv(Concat(Linear(X_{I} \otimes Attn(X_{I})))),$$
 (2)

where X_I , X_O , and \otimes represent input, output spectrogram tensor, and point-wise multiplication, with the $Attn(\cdot)$ operation highlighted in the dotted box. In the context of timefrequency domain, non-local correlations manifest along the frequency axis. A prominent example of such correlations pertains to harmonics, which have been demonstrated to aid in reconstructing distorted spectrograms. However, a direct concatenation of 2D convolution layers with small kernels fails to adequately capture these global correlations. In this work, we set the incorporation of FTBs at the beginning of the residual branches to address this limitation, ensuring that the resulting features encompass a comprehensive frequency receptive field. The gated convolution (GConv) was first proposed in free-form image inpainting (Yu et al., 2019), which has similar points with SSR task in uncertain sampling rates. In Fig. 4(b), with a soft-masking and a featuring branch, gated convolution layer learns a dynamic feature selection mechanism for each channel and each spatial location, promoting the adaptation of our model for various bandwidth distortion in complex environments. It is formulated by the following:

$$M = \sum \sum W_{MC} \cdot X_{in}, \tag{3}$$

$$F = \sum \sum W_{FC} \cdot X_{in}, \tag{4}$$

$$X_{out} = \phi(\mathbf{F}) \otimes \sigma(\mathbf{M}), \tag{5}$$

where σ is sigmoid function and ϕ can be any activation functions. In our study, ϕ is LeakyReLU (slope = 0.2, inplace = True), W_{MC} and W_{FC} are convolutional filters of soft-masking and feature branches, M and F denote mask and feature tensor.

Within the inner encoder architecture, a dual residual branch is employed, with the insertion of two 1D gated convolutions at the ingress and egress points. Situated centrally, crucial components, including bidirectional long short-term

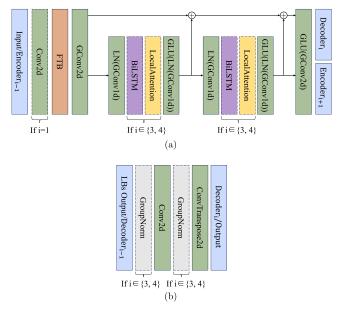


FIG. 3. (Color online) The structure of encoder layer (a) and decoder layer (b).

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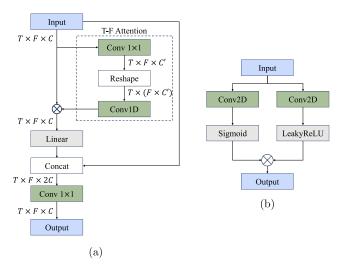


FIG. 4. (Color online) The structure of FTB module (a) and gated convolution (b).

memory units and attention module, serve to model longrange dependencies, enriching the model's capacity to discern temporal correlations across the spectral latent space. Sequentially, each encoder layer is succeeded by a corresponding decoder layer [see Fig. 3(b)], which aims to reconstruct latent vectors commensurate with the spectrogram's dimensions after they passed through the encoder layers. Specifically, a concatenated residual connection is set between each encoder and decoder layer, facilitating the seamless flow of information across the encoding-decoding. Conversely, within the encoder layer, a summative residual connection between two residual branches is instantiated, consolidating information flow and mitigating the vanishing gradient phenomenon across the network. These architectural designs collectively contribute to the model's efficacy in capturing intricate spectral dependencies intrinsic to the noisy speech data.

2. Lattice convolution blocks

The bottleneck layers of our model include four LBs, a novel concept initially introduced in the domain of image restoration tasks (Luo *et al.*, 2020a, 2022). When integrated with gated convolution, this architectural arrangement presents a fusion of structured interpolation alongside adaptive and expansive context modeling capabilities. As depicted in Fig. 5, each LB module consists of paired lattice structures. Input data traverse through two distinct branches, each comprising multiple convolutional layers, with a subsequent LeakyReLU activation layer following each convolutional operation. Notably, these two branches engage in mutual interaction facilitated by learnable combination coefficients, fostering collaborative feature extraction and representation. Specifically, given an input feature *I*, the first combination is as follows:

$$M_1(I) = I + a_1 J(I),$$
 (6)

$$N_1(I) = a_2 I + J(I), \tag{7}$$

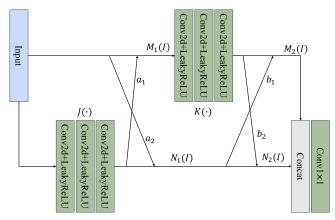


FIG. 5. (Color online) The structure of lattice block.

where $J(\cdot)$ denotes the implicit non-linear function of several layers shown in Fig. 5. Similarly, the second combination is as follows:

$$M_2(I) = b_1 N_1 + K(M_1(I)),$$
 (8)

$$N_2(I) = N_1 + b_2 K(M_1(I)). (9)$$

Then, the outputs of two branches are merged in channel dimension and then compressed by a 1×1 convolution layer. The final output is as follows:

$$O = Conv(Concat(M_2(I), N_2(I))).$$
(10)

The combination coefficients are mainly determined in the following way. The mean and standard deviation in channel dimension are first obtained by global mean pooling in the upper branch and global standard deviation pooling in the lower branch. Then, those statistics in two branches are passed through two fully connected layers, each followed by ReLU and Sigmoid activation functions, respectively. Finally, the outputs of the two branches are averaged to obtain the combined coefficients.

B. Multi-scale discriminator

To implement multi-loss training in an adversarial framework for enhancing SSR speech quality, we leverage multi-scale discriminators, illustrated in Fig. 6. These discriminators are integral components of the system, analyzing inputs comprising SR speech and high-resolution reference signals, both synthesized by the generator. Comprising a trio of discriminators denoted by D_1 , D_2 , and D_3 , each adheres to the structural design in MelGAN. In particular, each discriminator consists of 7 convolutional layers, with 4 layers equipped with downsampling capabilities. As data traverse through these layers, they yield real and fake features across distinct scales, pivotal for computing the feature loss. Additionally, the discriminator's outputs contribute to the computation of adversarial losses for both the generator and discriminator. Moreover, it is worth highlighting that the inputs provided to D_1 , D_2 , and D_3

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represent original waveforms, 2 times down-sampled waveforms, and 4 times down-sampled waveforms, respectively, augmenting the discriminators' capability to discern features at varied resolutions. For in-depth insights into the discriminators' architectural specifics, readers are encouraged to refer to Kumar *et al.* (2019).

C. Loss function

The model is trained with an adversarial approach. We use a multi-scale STFT loss with FFT bins \in {512, 1024, 2048} and hop length \in {50, 120, 240} to form one part of the loss function. The window lengths are {240, 600, 1200}. On the other hand, the multi-scale adversarial and feature losses in the time domain are also added in. The total loss is as follows:

$$\mathcal{L} = \mathcal{L}_{MSTFT} + \mathcal{L}_{G}^{adv} + \lambda_{f} \mathcal{L}_{f}, \tag{11}$$

where $\lambda_f = 100$, \mathcal{L}_{MSTFT} , \mathcal{L}_G^{adv} , and \mathcal{L}_f are multi-scale STFT loss, adversarial loss of generator, and feature loss, respectively. Let $s(x, \theta_m)$ denote |STFT(x)| with the m-th hyperparameters θ_m , the multi-scale STFT loss is defined as follows:

$$\mathcal{L}_{MSTFT} = \mathbb{E}_{(x,y) \sim p_{data}} \times \left[\sum_{m=1}^{3} \left(\frac{||s(y,\theta_m) - s(x,\theta_m)||_F}{||s(y,\theta_m)||_F} + \frac{1}{N} \left| \left| log \frac{s(y,\theta_m)}{s(x,\theta_m)} \right| \right| \right) \right], \tag{12}$$

where $||\cdot||_F$ and $||\cdot||_1$ are Frobenius and ℓ_1 -norms, and N is the number of elements in the magnitude.

As shown in Fig. 6, the latter two loss functions can be depicted as follows:

$$\mathcal{L}_{G}^{adv} = \mathbb{E}_{x \sim p_{data}} \left[\frac{1}{K} \sum_{k} max(0, 1 - D_{k}(G(x))) \right], \tag{13}$$

ALGORITHM 1. Downsampling algorithm for flexible sampling rates cases.

Data: $y \in \mathbb{Y}$ Result: The high-quality speech y and its downsampled version x x = s; type = random type (Chebyshev, Elliptic, Butterworth, Boxcar); $f_{cut} \sim U(C_{low}, C_{high})$; $order \sim U(O_{low}, O_{high})$; $x = x * Filter(type, f_{cut}, order)$; if resample, then $x = Resample(Resample(x, 16000, f_{cut} \times 2), f_{cut} \times 2, 16000)$; end if

$$\mathcal{L}_{f} = \mathbb{E}_{(x,y) \sim p_{data}} \left[\frac{1}{KL} \sum_{k,l} ||D_{k}^{l}(y) - D_{k}^{l}(G(x))||_{1} \right], \quad (14)$$

where k = 1, ..., K is the number of discriminators, l = 1, ..., and L is the number of layers in one discriminator.

IV. EXPERIMENTS

A. Data augmentation

In this study, we use the dataset from the Deep Noise Suppression (DNS) Challenge presented at the International Conference on Acoustics, Speech and Signal Processing 2023 (Dubey *et al.*, 2024) and the corpus compiled by Valentini-Botinhao *et al.* (2016). This combination provides comprehensive training data with diverse noise profiles, representative of real-world scenarios. We constructed the training data by synthesizing clean and noisy speech pairs through random mixing of speech and noise components, resulting in a 500-h audio dataset. Each sample is standardized to 5 s, with controlled signal-to-noise ratios ranging from -5 to 20 dB to mimic real-world conditions. Additionally, 16 kHz sampling rate is applied for all

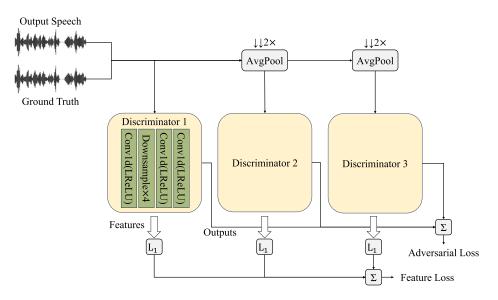


FIG. 6. (Color online) The multi-scale discriminators architecture.

samples, ensuring compatibility with contemporary audio processing frameworks

In most existing SSR training dataset generation, a fixed filter with a fixed sampling rate or a direct resampling function is used (Xu et al., 2023), leading to the artifacts in the generated spectrogram. Inspired by Liu et al. (2022), we employ a filtering mechanism with stochastic parameters. As outlined in Algorithm 1, the filter types include Chebyshev, Elliptic, Butterworth, and Boxcar, each offering distinct characteristics. The filter order is determined by a randomly generated integer ranging from 2 to 10, ensuring variability and robustness. The cutoff frequency, essential for defining the filter's behavior, ranges from 2 to 8 kHz, covering a bandwidth relevant to our study. This data augmentation strategy preserves the transition region between high- and low-frequency bands, as shown in Fig. 7. In addition, by leveraging varied filters and downsampling factors, our approach prevents the model from being overly tailored to any single type of filtering or downsampling process. This diversity in training conditions equips the model to perform well across a wider range of real-world scenarios, enhancing its generalization capability.

B. Implementation settings

In contrast to the complex training strategies in prior research, which often involve multi-stage training, variable learning rates, and warm-up procedures, our proposed methodology adopts a streamlined, single-stage approach. Specifically, we use the Adam optimizer with parameters $\beta_1 = 0.8$ and $\beta_2 = 0.999$, maintaining a consistent learning rate of 1×10^{-4} for both the generator and discriminator components. Training spans 200 epochs on NVIDIA RTX3090 GPUs. To evaluate the model's generalization and performance, we use a validation dataset and select the checkpoint from the epoch with the best performance for further testing. This protocol aims to create a robust and straightforward pipeline yielding promising results across varied evaluation metrics. For more details on our parameter

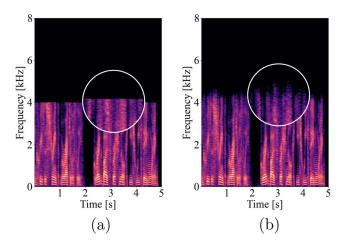


FIG. 7. (Color online) Spectrograms of the downsampled speech using resample function (a) and our proposed method (b).

setup, please refer to our demo page (https://sdnetdemo.github.io/).

C. Baselines

For the noise-free SSR task, we selected the following baselines:

- WSRGlow (Zhang et al., 2021): It combines glow model and WaveNet (Stoller et al., 2018) for audio superresolution, introducing low-resolution and STFT encoders to generate full-band audio.
- NU-Wave 2 (Han and Lee, 2022): NU-Wave 2 is a diffusion model that generates high-quality 48 kHz audio from various input sampling rates using fewer parameters.
- VoiceFixer (Liu et al., 2022): VoiceFixer is a two-stage neural vocoder framework designed for general speech restoration, capable of handling multiple distortions, such as denoising, dereverberation, super-resolution, and declipping in a unified model.
- AERO (Mandel et al., 2023): It is a spectral-domain GAN based model using a U-Net generator to predict highfrequency content, surpassing state-of-the-art methods.
- AudioSR (Liu et al., 2024): AudioSR is a diffusion-based model for versatile audio super-resolution which can handle various audio types (speech, music, and sound effects) to in Mel domain and using a HiFi-GAN (Kong et al., 2020) neural vocoder to generate audio waveforms.

However, some of these do not support flexible input sampling rates, so comparisons were made only with Nu-Wave 2, VoiceFixer, and AudioSR when the sampling rate was flexible.

For the noise-robust SSR task, we compared our model with the previous state-of-the-art methods:

- UEE (Liu et al., 2018): This is a unified framework for speech enhancement and bandwidth extension using jointly trained BLSTM-RNNs, with multi-task transfer learning for model compression.
- MTL-MBE (Hou et al., 2020): It is a noise-robust bandwidth extension framework using multi-task learning and time-domain masking for joint speech enhancement and bandwidth extension.
- EP-WUN (Lin *et al.*, 2023): EP-WUN is a noise-robust bandwidth extension model that enhances Wave-U-Net (Stoller *et al.*, 2018) with a speech quality classifier and a modified triplet loss to improve speech representation for 8 kHz speech.
- I-DTLN + AFiLM (Chen et al., 2022): The proposed model integrates Unet+AFiLM and I-DTLN to create a system for audio super-resolution and noise cancellation in low sampling rate and noisy environments.

As the authors did not provide source code, we reimplemented the method proposed in Chen *et al.* (2022) to produce the results. For uncertain input sampling rates, VoiceFixer was used as the baseline, being the only model currently supporting this case.

TABLE I. Levels of MOS score.

Score	Description
5	Excellent (near-perfect quality)
4	Good (clear and pleasant)
3	Fair (acceptable but not ideal)
2	Poor (noticeably degraded)
1	Bad (unintelligible or severely distorted)

To evaluate the denoising performance of our method, we conducted a 16–16 kHz denoise task on the same dataset using various popular denoise neural models, including the following:

- TSTNN (Wang *et al.*, 2021): A two-stage transformer-based neural network for time-domain speech enhancement.
- DPRNN (Luo et al., 2020b): A dual-path recurrent neural network, splitting input sequences into chunks for local and global processing.
- TFT-Net (Tang *et al.*, 2020): A cross-domain speech enhancement model that uses a dual-path attention block to enhance spectrogram-to-waveform conversion.
- DCCRN (Hu et al., 2020): A deep complex convolution recurrent network for phase-aware speech enhancement using complex CNNs and LSTMs.
- FullSubNet (Hao *et al.*, 2021): A real-time speech enhancement model that fuses full-band and sub-band information to capture both global spectral context and local signal details.
- DPT-FSNet (Dang et al., 2022): A dual-path transformerbased network that fuses full-band and sub-band information for improved speech enhancement in the frequency domain.

The baseline system for all experiments in this article is from the above model. For all the baselines, we follow the original settings, and they will be re-trained in our experiments if necessary. The audio clips are stored in ".wav" format with 16 bit depth unless otherwise specified.

D. Objective evaluation metrics

The following objective evaluation metrics are employed:

1. Perceptual evaluation of speech quality (PESQ)

PESQ (Rix *et al.*, 2001) is a metric for assessing speech quality, with a range from -0.5 to 4.5. The closer the value is to this upper limit, the higher quality the speech has. It also has two versions, including both narrow-band (PESQ-NB, 0–8 kHz) and wideband (PESQ-WB, 8–16 kHz).

2. Short-Time Objective Intelligibility (STOI)

STOI (Taal *et al.*, 2011) evaluates the objective intelligibility of a degraded speech signal by computing the correlation of the temporal envelopes of the degraded speech signal and its clean reference (Zhao *et al.*, 2024). It ranges from 0 to 1, and the higher value represents the better quality.

3. CSIG (Composite Mean Opinion Score of Signal Distortion), CBAK (Composite Mean Opinion Score of Background Intrusiveness), and COVL (Composite Mean Opinion Score of Overall Quality)

The CSIG, CBAK, and COVL (Hu and Loizou, 2008) are the Mean Opinion Score (MOS) prediction of signal distortion, intrusiveness of background noise, and overall effect, and they all range from 0 to 5. CSIG predicts the rating of speech distortion. Higher CSIG values indicate better performance in reducing distortion. CBAK evaluates the intrusiveness of background noise distortion. Higher CBAK values indicate better noise suppression. COVL combines CSIG and CBAK to provide an overall score of processed speech quality.

4. Log spectral distance (LSD)

LSD is defined by the following:

$$S = 10\log_{10}|s(t,k)|^2,$$

$$\hat{S} = 10\log_{10}|s(t,k)|^2$$

$$LSD(\hat{S}, S) = \frac{1}{T} \sum_{t=1}^{T} \sqrt{\frac{1}{K} \sum_{k=1}^{K} (S - \hat{S})^2},$$
 (15)

where s(t,k) and s(t,k) represent the spectrogram of the ground truth and the reconstructed speech, respectively, T and K denote the number of time frames and bins in the spectrogram. LSD is a distance measure between two spectra, so the lower LSD means the produced speech has more similarity to the ground truth.

TABLE II. Test results of noise-free 8k to 16k SSR task on DNS no-reverb test set.

	DEGG NE	DEGG WE	CTOX (OL)	Gara	GD LII	G0117		
Method	PESQ-NB	PESQ-WB	STOI (%)	CSIG	CBAK	COVL	LSD	MOS
WSRGlow (Zhang et al., 2021)	4.365	2.811	99.4 ^a	3.946	4.068	3.433	0.929	4.21
NU-Wave 2 (Han and Lee, 2022)	4.353	2.646	99.4ª	3.663	2.869	3.209	1.328	4.08
VoiceFixer (Liu et al., 2022)	2.999	1.983	85.9	2.937	2.095	2.416	1.140	4.18
AERO (Mandel et al., 2023)	4.369	3.295	98.5	4.287 ^a	4.273	3.844	0.802	4.27
AudioSR (Liu et al., 2024)	4.368	2.299	98.8	3.464	2.952	2.937	1.141	4.29
Current study	4.377 ^a	3.611 ^a	98.6	4.103	4.553 ^a	3.935 ^a	0.783 ^a	4.55 ^a

^aThe values that perform best in the comparison.

TABLE III. Test results of noise-free SSR task with uncertain input sampling rates on DNS no-reverb test set.

Method	PESQ-NB	PESQ-WB	STOI (%)	CSIG	CBAK	COVL	LSD	MOS
NU-Wave 2 (Han and Lee, 2022)	4.397	3.407	98.4	4.102	3.274	3.819	1.193	4.23
VoiceFixer (Liu et al., 2022)	2.974	2.179	85.9	3.191	2.191	2.641	1.086	4.21
AudioSR (Liu et al., 2024)	4.262	2.911	98.0	3.920	3.271	3.504	1.012	4.36
Current study	4.436 ^a	3.885 ^a	99.1 ^a	4.151 ^a	4.633 ^a	4.075 ^a	0.695 ^a	4.59 ^a

^aThe values that perform best in the comparison.

E. Subjective evaluation metrics

The subjective evaluation metric is overall MOS (International Telecommunication Union, 2003), which is a widely used metric for evaluating speech quality. It provides a subjective assessment of how well a listener perceives the quality of a speech signal. We randomly selected 50 samples from each test set and asked 15 people to provide overall MOS score of each sample in a range of 5 levels (see Table I). These listeners are native speakers and represent the target audience. The final MOS score is the average of these evaluations.

V. RESULTS AND ANALYSIS

A. Noise-free cases

For noise-free cases, we first conducted comparison on DNS no-reverb test set with fixed sampling rate, i.e., 8–16 kHz super-resolution, and the results are in Table II. We compare five state-of-the-art SSR methods using their original implementations alongside the proposed method as follows.

In noise-free cases, our method presents significant advantages compared to other deep SSR baselines, with better performance in most metrics. In particular, in wideband PESQ, our method outperforms the best baseline model by 0.316, which indicates that our method substantially improves speech quality over the entire bandwidth range and is not limited to the original or generated part. In CBAK, our method reaches 4.553, outperforming the baseline model by 0.28, which demonstrates that our special network design and data simulation methods for background noise are very effective. The performance of our method in CSIG is slightly lower than that of AERO. This may be due to the slight impairment of the speech component when removing noise, which is a common issue for all denoising neural models.

However, our method is still the best performing one among the methods in the table due to overall sights.

Table III illustrates the test results when the sampling rates of input data are flexible, and the test set also does not contain noises. For this case, all speech clips in test set were downsampled using the method proposed in Sec. IV, with the random sampling rates from 4 to 16 kHz. Due to the random downsampling, the sampling rates of the narrow-band speech data are overall higher than that in Table I, which also causes the objective metrics to be increased. Similarly, the number of baseline models under this experiment setup drops because most models do not support inference for data with flexible sampling rates. In a comparison with all baseline models, our method performs best across all objective metrics. Our model improves over the baseline by 0.974 on the broadband PESQ, and we achieve a performance of 4 or more in the CSIG, CBAK, and COVL metrics, which measure the effectiveness of the proposed method. The improvement in the objective metrics indicates that the reconstructed speech using our method without prior sampling rates knowledge is already of high quality, and even for some narrow-band speech containing fewer distortion, and the reconstruction is very close to the ground truth.

B. Noise-robust cases

Table IV comprehensively compares our proposed model with existing deep noise-robust SSR methods, including the SOTA models. From the table, our model consistently outperforms other methods in most metrics, which validates its effectiveness in handling the joint task of SSR and noise suppression. In particular, for PESQ-WB and COVL, we observe excellent performance, ahead of the current SOTA method by 0.13 and 0.06, respectively. These results are in line with our initial expectations, verifying that

TABLE IV. Test results of noise-robust SSR tasks. The 8 kHz source speeches are from Valentini-Botinhao noisy test set, and the 4-16 kHz source speeches are from DNS no-reverb noisy test set.

Method	Source (kHz)	PESQ-NB	PESQ-WB	STOI (%)	CSIG	CBAK	COVL	LSD
UEE (Liu et al., 2018)		_	2.23	93	2.27	2.39	2.17	2.72
MTL-MBE (Hou et al., 2020)		_	2.55	94	2.64	3.21	2.46	2.29
EP-WUN (Lin et al., 2023)	8	_	2.25	92	3.50 ^a	2.94	2.86	1.23
AFiLM + I-DTLN (Chen et al., 2022)		_	2.54	90	2.63	2.87	2.18	1.54
Current study		_	2.67 ^a	95 ^a	3.29	3.32 ^a	2.92 ^a	1.16 ^a
VoiceFixer (Liu et al., 2022)		2.54	1.82	84.2	2.74	1.98	2.22	1.28
Current study	4–16	3.55 ^a	3.01 ^a	97.3ª	3.66 ^a	3.73 ^a	3.36 ^a	1.11 ^a

^aThe values that perform best in the comparison.

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our improvements to the network architecture and the use of novel simulations for the data not only improve the quality of the reconstructed high-frequency part, but also suppress the noise to a better extent. However, it is worth noting that our method exhibits a slight degradation in the CSIG metric. This is because the suppression of noise, although beneficial to the overall quality, may unintentionally affect the speech parts, as we mentioned before. In conclusion, in addition to CSIG, our SDNet shows significant promise in noise-robust SSR. These findings highlight that our model is a balanced approach that optimizes both noise reduction and SSR tasks for better results.

For the noise-robust SSR, when the sampling rates of source are flexible, we retrained VoiceFixer, a general speech restoration model, as our baseline model since current comparable models only support 8 kHz input signals. As shown in the following section of Table IV, in a comparison with VoiceFixer, our method outperforms it in all metrics for both 8-16 kHz and 4-16 kHz to 16 kHz noise-robust bandwidth extension tasks. VoiceFixer aims to repair many distortions, such as clipping, reverberation, and we find the speeches produced mismatch with the reference signal in terms of loudness, etc., which causes the degradation of its performance in objective metrics, but in subjective metrics, the scores of these speeches are still very high, which shows its repair is still very effective. We also summarize the number of parameters in each baseline model, and the results are in Table V. It is observed that our proposed model results in an increase in parameters, but this is acceptable due to the significant performance gain achieved.

Additionally, we used our model to process DNS test set under wideband environment at 16 kHz sampling rate, where the speech has the full bandwidth but contains noise in both the high- and low-frequency parts. To validate the facilitation of our joint optimization on a single task, we compare its performance with neural baseline models for only noise reduction. The results are depicted in Table VI. We observe that the proposed method improves both PESQ and STOI compared to the baseline models. Specifically, the narrow-band PESQ is slightly ahead of the best baseline model by 0.01, while the wideband PESQ improves by 0.082, and the STOI achieves a performance of 96.0%, which is at least 0.7% higher than the baselines. Although the quality of the speech generated by our model is degraded because it was not trained on 16 kHz

TABLE V. Comparison on the number of parameters of different models.

Model	No. of parameters (M)
UEE (Liu et al., 2018)	22.42
MTL-MBE (Hou et al., 2020)	6.82
EP-WUN (Lin et al., 2023)	4.58
WSRGlow (Zhang et al., 2021)	229
NU-Wave 2 (Han and Lee, 2022)	1.70 ^a
VoiceFixer (Liu et al., 2022)	122.07
AERO (Mandel et al., 2023)	19.43
AudioSR (Liu et al., 2024)	258.20
Current study	25.04

^aThe value that performs best in the comparison.

noisy-clean data pairs compared to the noise-free and noise-robust SSR tasks, it still outperforms all the baselines. This indicates on the one hand that our model has high generalization capabilities and is able to repair unseen distortion types well, and on the other hand that our optimization for the joint task also benefits the single task.

C. Generalization test

In order to better observe the generalization capability of the model, we tested the baseline models and proposed method using data from different source compared to training stage. In this case, for the noise-free case, we use the test set of the TIMIT (Texas Instruments and Massachusetts Institute of Technology Acoustic-Phonetic Continuous Speech Corpus) (Garofolo *et al.*, 1993) and LibriTTS (Library Text-to-Speech Corpus) (Zen *et al.*, 2019) to perform 8–16 kHz noise-free SSR task; and for the noise-robust case, we use the test set from Voicebank-DEMAND (Veaux *et al.*, 2013). It is worth mentioning that all models involved in this comparison have not been trained with the data from these two datasets.

Tables VII–IX show the test results for the noise-free case and the noise-robust case, respectively. Our model presents better performance on wideband, with PESQ-WB significantly higher than the other baselines, and maintains the lead in other metrics as well. This indicates that our data augmentation approach allows the model to show a better generalization performance for speech features from other channels, and this performance gain is observed in both the noisy and noise-free environments.

D. Performance on compressed speeches

Speech signal compression in real-world conditions involves reducing the data required to represent speech, which mainly include the following:

- Bit compression: Reducing the depth of bit, leading to a loss of detail and fidelity.
- Sampling rate reduction: Lowering the sampling rate, which reduces audio resolution and high-frequency details.
- Data compression algorithms: Applying lossy compression techniques that remove parts of the audio signal deemed less perceptually important, often introducing artifacts (e.g., MP3, AAC).

TABLE VI. Test results of denoise-only task on DNS no-reverb noisy test set sampling at 16 kHz.

Method	PESQ-NB	PESQ-WB	STOI (%)
TSTNN (Wang et al., 2021)	2.61	2.55	91.9
DPRNN (Luo et al., 2020b)	2.68	2.57	92.5
TFT-Net (Tang et al., 2020)	2.74	2.60	92.7
DCCRN (Hu et al., 2020)	3.17	2.64	92.9
FullSubNet (Hao et al., 2021)	3.28	2.72	95.3
DPT-FSNet (Dang et al., 2022)	3.28	2.72	95.3
Current study	3.29 ^a	2.80 ^a	96.0ª

^aThe values that perform best in the comparison.

TABLE VII. Generalization test results on TIMIT.

Method	PESQ-NB	PESQ-WB	STOI (%)	CSIG	CBAK	COVL	LSD
WSRGlow (Zhang et al., 2021)	4.087	2.180	98.5	3.558	3.425	2.916	1.146
NU-Wave2 (Han and Lee, 2022)	4.479	2.327	97.5	3.705	2.122	3.070	2.110
VoiceFixer (Liu et al., 2022)	2.890	1.884	88.5	2.965	1.753	2.375	1.190
AudioSR (Liu et al., 2024)	4.491 ^a	2.939	99.3	3.904	2.607	3.480	1.430
AERO (Mandel et al., 2023)	4.481	3.401	99.7ª	4.226	4.261	3.870	1.176
Current study	4.489	4.029 ^a	99.7ª	4.228 ^a	4.644 ^a	4.188 ^a	1.137 ^a

^aThe values that perform best in the comparison.

TABLE VIII. Generalization test results on LibriTTS.

Method	PESQ-NB	PESQ-WB	STOI (%)	CSIG	CBAK	COVL	LSD
WSRGlow (Zhang et al., 2021)	4.051	2.694	98.1	3.914	4.142	3.359	1.039
NU-Wave2 (Han and Lee, 2022)	4.237	2.682	94.1	3.108	2.811	2.932	1.391
VoiceFixer (Liu et al., 2022)	3.194	2.773	93.9	3.186	2.813	2.890	1.137
AudioSR (Liu et al., 2024)	4.293	2.728	98.6	3.774	3.533	3.308	1.113
AERO (Mandel et al., 2023)	4.308	3.500	99.4ª	4.386	4.656	4.005	0.988 ^a
Current study	4.377 ^a	3.647 ^a	99.4ª	4.412 ^a	4.752 ^a	4.118 ^a	1.085

^aThe values that perform best in the comparison.

TABLE IX. Generalization test results on Voiceband-DEMAND.

Method	PESQ-NB	PESQ-WB	STOI (%)	CSIG	CBAK	COVL	LSD
VoiceFixer (Liu et al., 2022)	3.062	2.369	88.6	3.432	2.327	2.901	1.081
I-DTLN+AFiLM (Chen et al., 2022)	3.059	2.090	89.3	1.877	2.827 ^a	1.925	1.460
Current study	3.295 ^a	2.380 ^a	93.4 ^a	3.526 ^a	2.356	2.915 ^a	1.003 ^a

^aThe values that perform best in the comparison.

TABLE X. Performance valuation on compressed speech clips and downstream task.

Input	Format	Bit depth (bit)	Sampling rate (kHz)	PESQ-NB	PESQ-WB	STOI (%)	LSD	Word accuracy (%)
Noisy	Lossless (.wav/flac)	8	8	3.103	1.981	87.9	1.004	
Predict			16	3.253	2.192	89.1	0.820	_
Noisy		16	8	2.879	1.910	92.0	2.721	90.90
Predict			16	3.295	2.369	93.4	1.003	92.62
Reference				_	_	_	_	95.98
Noisy	Lossy (.mp3)	16	8	2.975	1.939	90.9	2.790	_
Predict			16	3.296	2.274	92.4	1.476	_

TABLE XI. Results of ablation studies.

Method	PESQ-NB	PESQ-WB	LSD
Without LBs	3.442	2.633	1.256
Without GConv	3.445	2.630	1.262
Without LBs and GConv	3.372	2.538	1.293
Without adversarial training	3.453	2.658	1.200 ^a
Without adversarial loss	3.313	2.484	1.242
Without feature loss	2.941	1.840	1.309
FFT bins = 128	3.274	2.459	1.272
FFT bins $= 256$	3.238	2.369	1.301
Without Algorithm 1	3.341	2.483	1.240
Original settings	3.554 ^a	2.777 ^a	1.218

^aThe values that perform best in the comparison.

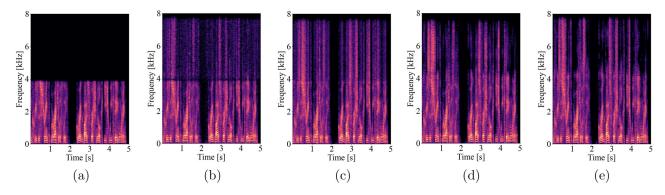


FIG. 8. (Color online) Spectrograms of noise-free SSR task results. (a) Input; (b) Nu-Wave 2; (c) WSRGlow; (d) our method; (e) ground truth.

These methods are crucial for efficient storage and transmission, especially in environments with limited bandwidth. However, they can affect the clarity and naturalness of the compressed speech.

The main objective of an SSR model is specifically designed to address the second type of compression, where the objective is to convert low sampling rate audio into high sampling rate audio, which means the tasks, such as transforming low bit depth speech clip to the high one or restoring the lossless speech from its lossy compression version, are out of its capacity. However, our model can be robust under these conditions involving compression, namely, our system supports to deal with the speech with lower bit depth and lossy encoding format, but the system only predicts its lossy band, keeping the bit depth and format the same.

We have normalized the test set of Voicebank-DEMAND (Veaux *et al.*, 2013) to a lower bit depth (8 bit) and a lossy compression format (MP3), respectively; the test results are in Table X. In this test, we upsample the 8 kHz signal to 16 kHz to calculate PESQ-WB and LSD. Table X shows that the performance of the model is not affected, and it still significantly improves the signal quality.

E. Downstream task evaluation

In order to assess the effectiveness of proposed model in enhancing the performance of downstream tasks. By taking Automatic Speech Recognition (ASR) as an instance, we evaluate the ASR performance on original low-

resolution, enhanced and a reference speech clips of Voicebank-DEMAND (Veaux *et al.*, 2013) test set, where we use the base version of Whisper (https://github.com/openai/whisper) (Radford *et al.*, 2023) as the pre-trained ASR system in all cases. The results are also provided in Table X.

The experiment concludes that our method enhances the performance of ASR compared to the original lossy speech. These results demonstrate the model's potential to improve ASR robustness and reliability, confirming its value as a pre-processing step in real-world speech processing applications.

F. Ablation studies

We conduct the ablation studies using the DNS noreverb test set and 8–16 kHz noise-robust SSR task, and the experiments are set to verify the influences of network components, loss functions, FFT bins, and resampling algorithm to the final performance. The results are listed in Table XI. From the network structure point of view, when the gated convolution ('Without GConv' in the table) is replaced by the general convolution, the network performance degrades due to the lack of 6–8 kHz details. If the LB is removed, the performance also decreases due to not utilizing the time dimension information in the spectrogram tensor. When both of these changes work together, the accuracy of the model drops even more. The network achieves the best LSD performance when using only the MSTFT loss, but the

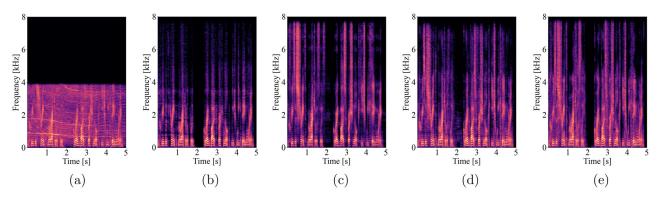


FIG. 9. (Color online) Spectrograms of noise-robust SSR task results. (a) Input; (b) I-DTLN + AFiLM; (c) VoiceFixer; (d) our method; (e) ground truth.

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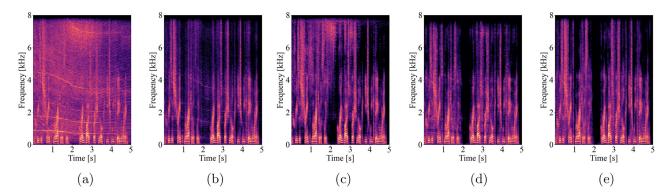


FIG. 10. (Color online) Spectrograms of 16 to 16 kHz denoise results. (a) Input; (b) DPRNN; (c) DCCRN; (d) our method; (e) ground truth.

PESQ is not as good as the optimal setting for either narrow-band or wideband, being lower by 0.101 and 0.119, respectively. On top of this, the introduction of either the feature loss or the adversarial loss alone deteriorates the performance and moves the model even further away from the optimal performance. Also, if the number of FFT bins during the STFT operation is chosen larger or a direct downsampling function is used to produce the training data, the performance of the network is degraded as the input features become coarser. Therefore, the results of the ablation experiments illustrate that our proposed modules, adversarial training policy, and data augmentation approach improve the overall performance of the model on the test set, and also show that the network performs best with FFT bins of 512, which is exactly the setting we used.

G. Spectrogram comparison

Figures 8–10 are the comparisons of the spectrograms that are generated by different models on different tasks. On noise-free SSR task (see Fig. 8), the result of our method is closer to the ground truth and presents no artifacts at the 4 kHz band, while other methods produce some bias at high-frequency part and has the unnatural transition band.

The similar situation also exists in the noise-robust SSR task (see Fig. 9). Compared to our method, I-DTLN + AFiLM model [Fig. 9(b)] only predicts a small part of the whole high frequency band and the VoiceFixer [Fig. 9(c)] generates a spectrogram with a larger amplitude than the ground truth, causing the deviation. For 16–16 kHz denoise task (Fig. 10), baselines' results still produce residual noises in either low- or high-frequency parts, while our model generates a better result.

VI. CONCLUSION

This paper proposes a novel noise-robust SSR model, termed SDNet. We introduce a U-shaped neural architecture generator, employing FTB, gated convolution, lattice blocks, and other modules, some of which are employed in the SSR field for the first time. Adversarial training is achieved through multi-scale discriminators with multiple loss functions, building robust reconstruction capability for the generator, augmented by a specialized data augmentation algorithm. The proposed model

demonstrates superior performance in noise-free SSR, noise-robust SSR, and denoise-only tasks, for both fixed and flexible input sampling rates. Ablation studies demonstrate the effectiveness of our design choices. However, when training the model at higher resolutions such as 48 kHz, achieving denoising and SSR simultaneously becomes challenging, a common issue encountered by many models. Furthermore, the model's parameter count (25.04 M) remains substantial. Future work will focus on lightweight, high resolution SSR, and considering the inclusion of music and other personalized datasets.

AUTHOR DECLARATIONS Conflict of Interest

We declare that we have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

DATA AVAILABILITY

Data are available on request to the authors.

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