3GPP TS 38.211 V15.2.0 (2018-06)

Technical Specification

3rd Generation Partnership Project;

Technical Specification Group Radio Access Network;

NR;

Physical channels and modulation

(Release 15)

** 

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Keywords

New Radio, Layer 1

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# Foreword

This Technical Report has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

The present document describes the physical channels and signals for 5G-NR.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.201: "NR; Physical Layer – General Description"

[3] 3GPP TS 38.202: "NR; Services provided by the physical layer"

[4] 3GPP TS 38.212: "NR; Multiplexing and channel coding"

[5] 3GPP TS 38.213: "NR; Physical layer procedures for control "

[6] 3GPP TS 38.214: "NR; Physical layer procedures for data "

[7] 3GPP TS 38.215: "NR; Physical layer measurements"

[8] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception"

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the following definitions apply:

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

 Resource element with frequency-domain index  and time-domain index  for antenna port  and subcarrier spacing configuration ; see clause 4.4.3

 Value of resource element  for antenna port and subcarrier spacing configuration ; see clause 4.4.3

 Amplitude scaling for a physical channel/signal

 PN sequence; see clause 5.2.1

 Subcarrier spacing

 Subcarrier spacing for random-access preambles

 The ratio between  and ; see clause 4.1

 Subcarrier index relative to a reference

 OFDM symbol index relative to a reference

 Subcarrier spacing configuration, 

 Number of coded bits to transmit on a physical channel [for codeword ]

 Number of modulation symbols to transmit on a physical channel [for codeword ]

 Number of modulation symbols to transmit per layer for a physical channel

 Scheduled bandwidth for uplink transmission, expressed as a number of subcarriers

 Scheduled bandwidth for uplink transmission, expressed as a number of resource blocks

 Number of modulation symbols to transmit per antenna port for a physical channel

 Number of transmission layers

 Size of bandwidth part ; see clause 4.4.4.4

 Start of bandwidth part ; see clause 4.4.4.4

 Cyclic prefix length; see clause 5.3.1

 The size of the resource grid; see clauses 4.4.2 and 5.3

 The start of the resource grid; see clause 4.4.2

 The number of PT-RS groups; see clause 6.3.1.4

 Physical layer cell identity; see clause 7.4.2.1

 Frequency-domain size of a control resource set; see clause 7.3.2.2

 Number of resrouce-element groups in a CORESET; see clause 7.3.2.2

 Number of samples per PT-RS group; see clause 6.3.1.4

 Number of subcarriers per resource block, see clause 4.4.4.1

 Number of slots per subframe for subcarrier spacing configuration , see clause 4.3.2

 Number of slots per frame for subcarrier spacing configuration , see clause 4.3.2

 Time duration of a control resource set; see clause 7.3.2.2

 Length of the PUCCH transmission in OFDM symbols; see clause 6.3.2.1

 Number of OFDM symbols per subframe for subcarrier spacing configuration ; see clause 4.3.1

 Number of symbols per slot

 Timing advance between downlink and uplink; see clause 4.3.1

 A fixed offset used to calculate the timing advance; see clause 4.3.1

Minimum time from reception to transmission for a half-duplex UE; see clause 4.3.2

 Common resource block number for subcarrier spacing configuration , see clause 4.4.4.3

 Physical resource block number; see clause 4.4.4.4

 Radio network temporary identifier

 Slot number within a subframe for subcarrier spacing configuration ; see clause 4.3.2

 Slot number within a frame for subcarrier spacing configuration ; see clause 4.3.2

 Antenna port number

 Modulation order

 Number of antenna ports

 Low-PAPR base sequence; see clause 5.2.2

 Low-PAPR sequence; see clause 5.2.2

 The time-continuous signal on antenna port  and subcarrier spacing configuration  for OFDM symbol  in a subframe; see clause 5.3.1

 Basic time unit for NR; see clause 4.1

 Radio frame duration; see clause 4.3.1

 Basic time unit for LTE

 Subframe duration; see clause 4.3.1

 Slot duration; see clause 4.3.2

 Timing advance between downlink and uplink; see clause 4.3.1

 Precoding matrix for spatial multiplexing

## 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

BWP Bandwidth part

CCE Control channel element

CORESET Control resource set

CRB Common resource block

CSI Channel-state information

CSI-RS CSI reference signal

DCI Downlink Control Information

DM-RS Demodulation reference signal

FR1 Frequency range 1 as defined in [8, TS 38.104]

FR2 Frequency range 2 as defined in [8, TS 38.104]

IE Information element

PBCH Physical broadcast channel

PDCCH Physical downlink control channel

PDSCH Physical downlink shared channel

PRACH Physical random-access channel

PRB Physical resource block

PSS Primary synchronization signal

PT-RS Phase-tracking reference signal

PUCCH Physical uplink control channel

PUSCH Physical uplink shared channel

REG Resource-element group

SRS Sounding reference signal

SSS Secondary synchronization signal

VRB Virtual resource block

# 4 Frame structure and physical resources

## 4.1 General

Throughout this specification, unless otherwise noted, the size of various fields in the time domain is expressed in time units  where  Hz and . The constant  where ,  and .

## 4.2 Numerologies

Multiple OFDM numerologies are supported as given by Table 4.2-1 where  and the cyclic prefix for a bandwidth part are obtained from the higher-layer parameter *subcarrierSpacing* and *cyclicPrefix*, respectively.

Table 4.2-1: Supported transmission numerologies.

|  |  |  |
| --- | --- | --- |
|  |  | Cyclic prefix |
| 0 | 15 | Normal |
| 1 | 30 | Normal |
| 2 | 60 | Normal, Extended |
| 3 | 120 | Normal |
| 4 | 240 | Normal |

## 4.3 Frame structure

### 4.3.1 Frames and subframes

Downlink and uplink transmissions are organized into frames with  duration, each consisting of ten subframes of  duration. The number of consecutive OFDM symbols per subframe is . Each frame is divided into two equally-sized half-frames of five subframes each with half-frame 0 consisting of subframes 0 – 4 and half-frame 1 consisting of subframes 5 – 9.

There is one set of frames in the uplink and one set of frames in the downlink on a carrier.

Uplink frame number  for transmission from the UE shall start  before the start of the corresponding downlink frame at the UE where  depends on the frequency band according to [38.133].



Figure 4.3.1-1: Uplink-downlink timing relation.

### 4.3.2 Slots

For subcarrier spacing configuration , slots are numbered  in increasing order within a subframe and  in increasing order within a frame. There are  consecutive OFDM symbols in a slot where  depends on the cyclic prefix as given by Tables 4.3.2-1 and 4.3.2-2. The start of slot  in a subframe is aligned in time with the start of OFDM symbol  in the same subframe.

OFDM symbols in a slot can be classified as 'downlink', 'flexible', or 'uplink'. Signaling of slot formats is described in subclause 11.1 of [5, TS 38.213].

In a slot in a downlink frame, the UE shall assume that downlink transmissions only occur in 'downlink' or 'flexible' symbols.

In a slot in an uplink frame, the UE shall only transmit in 'uplink' or 'flexible' symbols.

A UE not capable of full-duplex communication is not expected to transmit in the uplink earlier than after the end of the last received downlink symbol in the same cell where is given by [TS 38.101].

Table 4.3.2-1: Number of OFDM symbols per slot, slots per frame, and slots per subframe for normal cyclic prefix.

|  |  |  |  |
| --- | --- | --- | --- |
|  |  |  |  |
| 0 | 14 | 10 | 1 |
| 1 | 14 | 20 | 2 |
| 2 | 14 | 40 | 4 |
| 3 | 14 | 80 | 8 |
| 4 | 14 | 160 | 16 |

Table 4.3.2-2: Number of OFDM symbols per slot, slots per frame, and slots per subframe for extended cyclic prefix.

|  |  |  |  |
| --- | --- | --- | --- |
|  |  |  |  |
| 2 | 12 | 40 | 4 |

## 4.4 Physical resources

### 4.4.1 Antenna ports

An antenna port is defined such that the channel over which a symbol on the antenna port is conveyed can be inferred from the channel over which another symbol on the same antenna port is conveyed.

For DM-RS associated with a PDSCH, the channel over which a PDSCH symbol on one antenna port is conveyed can be inferred from the channel over which a DM-RS symbol on the same antenna port is conveyed only if the two symbols are within the same resource as the scheduled PDSCH, in the same slot, and in the same PRG as described in clause 5.1.2.3 of [6, TS 38.214].

Two antenna ports are said to be quasi co-located if the large-scale properties of the channel over which a symbol on one antenna port is conveyed can be inferred from the channel over which a symbol on the other antenna port is conveyed. The large-scale properties include one or more of delay spread, Doppler spread, Doppler shift, average gain, average delay, and spatial Rx parameters.

### 4.4.2 Resource grid

For each numerology and carrier, a resource grid of  subcarriers and  OFDM symbols is defined, starting at common resource block  indicated by higher-layer signalling. There is one set of resource grids per transmission direction (uplink or downlink) with the subscript set to DL and UL for downlink and uplink, respectively. When there is no risk for confusion, the subscript  may be dropped. There is one resource grid for a given antenna port , subcarrier spacing configuration , and transmission direction (downlink or uplink).

The carrier bandwidth for subcarrier spacing configuration is given by the higher-layer parameter *carrierBandwidth* in the *SCS-SpecificCarrier* IE. The starting position for subcarrier spacing configuration is given by the higher-layer parameter *offsetToCarrier* in the *SCS-SpecificCarrier* IE.

The frequency location of a subcarrier refers to the center frequency of that subcarrier.

For the downlink, the higher-layer parameter *DCsubcarrierDL* indicates the location of the transmitter DC subcarrier in the downlink for each of the numerologies configured in the downlink. Values in the range 0 – 3299 represent the number of the DC subcarrier and the value 3300 indicates that the DC subcarrier is located outside the resource grid.

For the uplink, the higher-layer parameter *DCsubcarrierUL* indicate the location of the transmitter DC subcarrier in the uplink for each of the configured bandwidth parts, including whether the DC subcarrier location is offset by 7.5 kHz relative to the center of the indicated subcarrier or not. Values in the range 0 – 3299 represent the number of the DC subcarrier, the value 3300 indicates that the DC subcarrier is located outside the resource grid, and the value 3301 indicates that the position of the DC subcarrier in the uplink is undetermined.

### 4.4.3 Resource elements

Each element in the resource grid for antenna port  and subcarrier spacing configuration  is called a resource element and is uniquely identified by  where  is the index in the frequency domain and  refers to the symbol position in the time domain relative to some reference point. Resource element  corresponds to a physical resource and the complex value. When there is no risk for confusion, or no particular antenna port or subcarrier spacing is specified, the indices  and  may be dropped, resulting in  or .

### 4.4.4 Resource blocks

#### 4.4.4.1 General

A resource block is defined as  consecutive subcarriers in the frequency domain.

#### 4.4.4.2 Point A

Point A serves as a common reference point for resource block grids and is obtained from:

- *offsetToPointA* for a PCell downlink represents the frequency offset between point A and the lowest subcarrier of the lowest resource block of the SS/PBCH block used by the UE for initial cell selection, expressed in units of resource blocks assuming 15 kHz subcarrier spacing for FR1 and 60 kHz subcarrier spacing for FR2;

- *absoluteFrequencyPointA* for all other cases where *absoluteFrequencyPointA* represents the frequency-location of point A expressed as in ARFCN.

#### 4.4.4.3 Common resource blocks

Common resource blocks are numbered from 0 and upwards in the frequency domain for subcarrier spacing configuration . The center of subcarrier 0 of common resource block 0 for subcarrier spacing configuration  coincides with 'point A'.

The relation between the common resource block number  in the frequency domain and resource elements  for subcarrier spacing configuration  is given by



where  is defined relative to point A such that  corresponds to the subcarrier centered around point A.

#### 4.4.4.4 Physical resource blocks

Physical resource blocks are defined within a bandwidth part and numbered from 0 to  where  is the number of the bandwidth part. The relation between the physical resource block  in bandwidth part  and the common resource block  is given by



where  is the common resource block where bandwidth part starts relative to common resource block 0.

#### 4.4.4.5 Virtual resource blocks

Virtual resource blocks are defined within a bandwidth part and numbered from 0 to  where  is the number of the bandwidth part.

### 4.4.5 Bandwidth part

A bandwidth part is a subset of contiguous common resource blocks defined in subclause 4.4.4.3 for a given numerology  in bandwidth part  on a given carrier. The starting position  and the number of resource blocks  in a bandwidth part shall fulfil  and , respectively. Configuration of a bandwidth part is described in clause 12 of [5, TS 38.213].

A UE can be configured with up to four bandwidth parts in the downlink with a single downlink bandwidth part being active at a given time. The UE is not expected to receive PDSCH, PDCCH, or CSI-RS (except for RRM) outside an active bandwidth part.

A UE can be configured with up to four bandwidth parts in the uplink with a single uplink bandwidth part being active at a given time. If a UE is configured with a supplementary uplink, the UE can in addition be configured with up to four bandwidth parts in the supplementary uplink with a single supplementary uplink bandwidth part being active at a given time. The UE shall not transmit PUSCH or PUCCH outside an active bandwidth part. For an active cell, the UE shall not transmit SRS outside an active bandwidth part.

Unless otherwise noted, the description in this specification applies to each of the bandwidth parts.

## 4.5 Carrier aggregation

Transmissions in multiple cells can be aggregated. Unless otherwise noted, the description in this specification applies to each of the serving cells.

# 5 Generic functions

## 5.1 Modulation mapper

The modulation mapper takes binary digits, 0 or 1, as input and produces complex-valued modulation symbols as output.

### 5.1.1 π/2-BPSK

In case of π/2-BPSK modulation, bit  is mapped to complex-valued modulation symbol  according to



### 5.1.2 BPSK

In case of BPSK modulation, bit  is mapped to complex-valued modulation symbol  according to



### 5.1.3 QPSK

In case of QPSK modulation, pairs of bits, , are mapped to complex-valued modulation symbols  according to



### 5.1.4 16QAM

In case of 16QAM modulation, quadruplets of bits, , are mapped to complex-valued modulation symbols  according to



### 5.1.5 64QAM

In case of 64QAM modulation, hextuplets of bits, , are mapped to complex-valued modulation symbols  according to



### 5.1.6 256QAM

In case of 256QAM modulation, octuplets of bits, , are mapped to complex-valued modulation symbols  according to



## 5.2 Sequence generation

### 5.2.1 Pseudo-random sequence generation

Generic pseudo-random sequences are defined by a length-31 Gold sequence. The output sequence  of length, where, is defined by



where  and the first m-sequence  shall be initialized with. The initialization of the second m-sequence, , is denoted by  with the value depending on the application of the sequence.

### 5.2.2 Low-PAPR sequence generation

The low-PAPR sequence  is defined by a cyclic shift  of a base sequence  according to



where  is the length of the sequence. Multiple sequences are defined from a single base sequence through different values of  and .

Base sequences  are divided into groups, where  is the group number and  is the base sequence number within the group, such that each group contains one base sequence () of each length ,  and two base sequences () of each length , . The definition of the base sequence  depends on the sequence length .

#### 5.2.2.1 Base sequences of length 36 or larger

For, the base sequence  is given by



where



The length  is given by the largest prime number such that.

#### 5.2.2.2 Base sequences of length less than 36

For  the base sequence is given by



where the value of  is given by Tables 5.2.2.2-1 to 5.2.2.2-4.

For , the base sequence  is given by



Table 5.2.2.2-1: Definition of  for.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  | | | | | |
| 0 | -3 | -1 | 3 | 3 | -1 | -3 |
| 1 | -3 | 3 | -1 | -1 | 3 | -3 |
| 2 | -3 | -3 | -3 | 3 | 1 | -3 |
| 3 | 1 | 1 | 1 | 3 | -1 | -3 |
| 4 | 1 | 1 | 1 | -3 | -1 | 3 |
| 5 | -3 | 1 | -1 | -3 | -3 | -3 |
| 6 | -3 | 1 | 3 | -3 | -3 | -3 |
| 7 | -3 | -1 | 1 | -3 | 1 | -1 |
| 8 | -3 | -1 | -3 | 1 | -3 | -3 |
| 9 | -3 | -3 | 1 | -3 | 3 | -3 |
| 10 | -3 | 1 | 3 | 1 | -3 | -3 |
| 11 | -3 | -1 | -3 | 1 | 1 | -3 |
| 12 | 1 | 1 | 3 | -1 | -3 | 3 |
| 13 | 1 | 1 | 3 | 3 | -1 | 3 |
| 14 | 1 | 1 | 1 | -3 | 3 | -1 |
| 15 | 1 | 1 | 1 | -1 | 3 | -3 |
| 16 | -3 | -1 | -1 | -1 | 3 | -1 |
| 17 | -3 | -3 | -1 | 1 | -1 | -3 |
| 18 | -3 | -3 | -3 | 1 | -3 | -1 |
| 19 | -3 | 1 | 1 | -3 | -1 | -3 |
| 20 | -3 | 3 | -3 | 1 | 1 | -3 |
| 21 | -3 | 1 | -3 | -3 | -3 | -1 |
| 22 | 1 | 1 | -3 | 3 | 1 | 3 |
| 23 | 1 | 1 | -3 | -3 | 1 | -3 |
| 24 | 1 | 1 | 3 | -1 | 3 | 3 |
| 25 | 1 | 1 | -3 | 1 | 3 | 3 |
| 26 | 1 | 1 | -1 | -1 | 3 | -1 |
| 27 | 1 | 1 | -1 | 3 | -1 | -1 |
| 28 | 1 | 1 | -1 | 3 | -3 | -1 |
| 29 | 1 | 1 | -3 | 1 | -1 | -1 |

Table 5.2.2.2-2: Definition of  for.

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | | | | | | | | | | | |
| 0 | -3 | 1 | -3 | -3 | -3 | 3 | -3 | -1 | 1 | 1 | 1 | -3 |
| 1 | -3 | 3 | 1 | -3 | 1 | 3 | -1 | -1 | 1 | 3 | 3 | 3 |
| 2 | -3 | 3 | 3 | 1 | -3 | 3 | -1 | 1 | 3 | -3 | 3 | -3 |
| 3 | -3 | -3 | -1 | 3 | 3 | 3 | -3 | 3 | -3 | 1 | -1 | -3 |
| 4 | -3 | -1 | -1 | 1 | 3 | 1 | 1 | -1 | 1 | -1 | -3 | 1 |
| 5 | -3 | -3 | 3 | 1 | -3 | -3 | -3 | -1 | 3 | -1 | 1 | 3 |
| 6 | 1 | -1 | 3 | -1 | -1 | -1 | -3 | -1 | 1 | 1 | 1 | -3 |
| 7 | -1 | -3 | 3 | -1 | -3 | -3 | -3 | -1 | 1 | -1 | 1 | -3 |
| 8 | -3 | -1 | 3 | 1 | -3 | -1 | -3 | 3 | 1 | 3 | 3 | 1 |
| 9 | -3 | -1 | -1 | -3 | -3 | -1 | -3 | 3 | 1 | 3 | -1 | -3 |
| 10 | -3 | 3 | -3 | 3 | 3 | -3 | -1 | -1 | 3 | 3 | 1 | -3 |
| 11 | -3 | -1 | -3 | -1 | -1 | -3 | 3 | 3 | -1 | -1 | 1 | -3 |
| 12 | -3 | -1 | 3 | -3 | -3 | -1 | -3 | 1 | -1 | -3 | 3 | 3 |
| 13 | -3 | 1 | -1 | -1 | 3 | 3 | -3 | -1 | -1 | -3 | -1 | -3 |
| 14 | 1 | 3 | -3 | 1 | 3 | 3 | 3 | 1 | -1 | 1 | -1 | 3 |
| 15 | -3 | 1 | 3 | -1 | -1 | -3 | -3 | -1 | -1 | 3 | 1 | -3 |
| 16 | -1 | -1 | -1 | -1 | 1 | -3 | -1 | 3 | 3 | -1 | -3 | 1 |
| 17 | -1 | 1 | 1 | -1 | 1 | 3 | 3 | -1 | -1 | -3 | 1 | -3 |
| 18 | -3 | 1 | 3 | 3 | -1 | -1 | -3 | 3 | 3 | -3 | 3 | -3 |
| 19 | -3 | -3 | 3 | -3 | -1 | 3 | 3 | 3 | -1 | -3 | 1 | -3 |
| 20 | 3 | 1 | 3 | 1 | 3 | -3 | -1 | 1 | 3 | 1 | -1 | -3 |
| 21 | -3 | 3 | 1 | 3 | -3 | 1 | 1 | 1 | 1 | 3 | -3 | 3 |
| 22 | -3 | 3 | 3 | 3 | -1 | -3 | -3 | -1 | -3 | 1 | 3 | -3 |
| 23 | 3 | -1 | -3 | 3 | -3 | -1 | 3 | 3 | 3 | -3 | -1 | -3 |
| 24 | -3 | -1 | 1 | -3 | 1 | 3 | 3 | 3 | -1 | -3 | 3 | 3 |
| 25 | -3 | 3 | 1 | -1 | 3 | 3 | -3 | 1 | -1 | 1 | -1 | 1 |
| 26 | -1 | 1 | 3 | -3 | 1 | -1 | 1 | -1 | -1 | -3 | 1 | -1 |
| 27 | -3 | -3 | 3 | 3 | 3 | -3 | -1 | 1 | -3 | 3 | 1 | -3 |
| 28 | 1 | -1 | 3 | 1 | 1 | -1 | -1 | -1 | 1 | 3 | -3 | 1 |
| 29 | -3 | 3 | -3 | 3 | -3 | -3 | 3 | -1 | -1 | 1 | 3 | -3 |

Table 5.2.2.2-3: Definition of  for 

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | | | | | | | | | | | | | | | | | |
| 0 | -1 | 3 | -1 | -3 | 3 | 1 | -3 | -1 | 3 | -3 | -1 | -1 | 1 | 1 | 1 | -1 | -1 | -1 |
| 1 | 3 | -3 | 3 | -1 | 1 | 3 | -3 | -1 | -3 | -3 | -1 | -3 | 3 | 1 | -1 | 3 | -3 | 3 |
| 2 | -3 | 3 | 1 | -1 | -1 | 3 | -3 | -1 | 1 | 1 | 1 | 1 | 1 | -1 | 3 | -1 | -3 | -1 |
| 3 | -3 | -3 | 3 | 3 | 3 | 1 | -3 | 1 | 3 | 3 | 1 | -3 | -3 | 3 | -1 | -3 | -1 | 1 |
| 4 | 1 | 1 | -1 | -1 | -3 | -1 | 1 | -3 | -3 | -3 | 1 | -3 | -1 | -1 | 1 | -1 | 3 | 1 |
| 5 | 3 | -3 | 1 | 1 | 3 | -1 | 1 | -1 | -1 | -3 | 1 | 1 | -1 | 3 | 3 | -3 | 3 | -1 |
| 6 | -3 | 3 | -1 | 1 | 3 | 1 | -3 | -1 | 1 | 1 | -3 | 1 | 3 | 3 | -1 | -3 | -3 | -3 |
| 7 | 1 | 1 | -3 | 3 | 3 | 1 | 3 | -3 | 3 | -1 | 1 | 1 | -1 | 1 | -3 | -3 | -1 | 3 |
| 8 | -3 | 1 | -3 | -3 | 1 | -3 | -3 | 3 | 1 | -3 | -1 | -3 | -3 | -3 | -1 | 1 | 1 | 3 |
| 9 | 3 | -1 | 3 | 1 | -3 | -3 | -1 | 1 | -3 | -3 | 3 | 3 | 3 | 1 | 3 | -3 | 3 | -3 |
| 10 | -3 | -3 | -3 | 1 | -3 | 3 | 1 | 1 | 3 | -3 | -3 | 1 | 3 | -1 | 3 | -3 | -3 | 3 |
| 11 | -3 | -3 | 3 | 3 | 3 | -1 | -1 | -3 | -1 | -1 | -1 | 3 | 1 | -3 | -3 | -1 | 3 | -1 |
| 12 | -3 | -1 | -3 | -3 | 1 | 1 | -1 | -3 | -1 | -3 | -1 | -1 | 3 | 3 | -1 | 3 | 1 | 3 |
| 13 | 1 | 1 | -3 | -3 | -3 | -3 | 1 | 3 | -3 | 3 | 3 | 1 | -3 | -1 | 3 | -1 | -3 | 1 |
| 14 | -3 | 3 | -1 | -3 | -1 | -3 | 1 | 1 | -3 | -3 | -1 | -1 | 3 | -3 | 1 | 3 | 1 | 1 |
| 15 | 3 | 1 | -3 | 1 | -3 | 3 | 3 | -1 | -3 | -3 | -1 | -3 | -3 | 3 | -3 | -1 | 1 | 3 |
| 16 | -3 | -1 | -3 | -1 | -3 | 1 | 3 | -3 | -1 | 3 | 3 | 3 | 1 | -1 | -3 | 3 | -1 | -3 |
| 17 | -3 | -1 | 3 | 3 | -1 | 3 | -1 | -3 | -1 | 1 | -1 | -3 | -1 | -1 | -1 | 3 | 3 | 1 |
| 18 | -3 | 1 | -3 | -1 | -1 | 3 | 1 | -3 | -3 | -3 | -1 | -3 | -3 | 1 | 1 | 1 | -1 | -1 |
| 19 | 3 | 3 | 3 | -3 | -1 | -3 | -1 | 3 | -1 | 1 | -1 | -3 | 1 | -3 | -3 | -1 | 3 | 3 |
| 20 | -3 | 1 | 1 | -3 | 1 | 1 | 3 | -3 | -1 | -3 | -1 | 3 | -3 | 3 | -1 | -1 | -1 | -3 |
| 21 | 1 | -3 | -1 | -3 | 3 | 3 | -1 | -3 | 1 | -3 | -3 | -1 | -3 | -1 | 1 | 3 | 3 | 3 |
| 22 | -3 | -3 | 1 | -1 | -1 | 1 | 1 | -3 | -1 | 3 | 3 | 3 | 3 | -1 | 3 | 1 | 3 | 1 |
| 23 | 3 | -1 | -3 | 1 | -3 | -3 | -3 | 3 | 3 | -1 | 1 | -3 | -1 | 3 | 1 | 1 | 3 | 3 |
| 24 | 3 | -1 | -1 | 1 | -3 | -1 | -3 | -1 | -3 | -3 | -1 | -3 | 1 | 1 | 1 | -3 | -3 | 3 |
| 25 | -3 | -3 | 1 | -3 | 3 | 3 | 3 | -1 | 3 | 1 | 1 | -3 | -3 | -3 | 3 | -3 | -1 | -1 |
| 26 | -3 | -1 | -1 | -3 | 1 | -3 | 3 | -1 | -1 | -3 | 3 | 3 | -3 | -1 | 3 | -1 | -1 | -1 |
| 27 | -3 | -3 | 3 | 3 | -3 | 1 | 3 | -1 | -3 | 1 | -1 | -3 | 3 | -3 | -1 | -1 | -1 | 3 |
| 28 | -1 | -3 | 1 | -3 | -3 | -3 | 1 | 1 | 3 | 3 | -3 | 3 | 3 | -3 | -1 | 3 | -3 | 1 |
| 29 | -3 | 3 | 1 | -1 | -1 | -1 | -1 | 1 | -1 | 3 | 3 | -3 | -1 | 1 | 3 | -1 | 3 | -1 |

Table 5.2.2.2-4: Definition of  for 

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | | | | | | | | | | | | | | | | | | | | | | | |
| 0 | -1 | -3 | 3 | -1 | 3 | 1 | 3 | -1 | 1 | -3 | -1 | -3 | -1 | 1 | 3 | -3 | -1 | -3 | 3 | 3 | 3 | -3 | -3 | -3 |
| 1 | -1 | -3 | 3 | 1 | 1 | -3 | 1 | -3 | -3 | 1 | -3 | -1 | -1 | 3 | -3 | 3 | 3 | 3 | -3 | 1 | 3 | 3 | -3 | -3 |
| 2 | -1 | -3 | -3 | 1 | -1 | -1 | -3 | 1 | 3 | -1 | -3 | -1 | -1 | -3 | 1 | 1 | 3 | 1 | -3 | -1 | -1 | 3 | -3 | -3 |
| 3 | 1 | -3 | 3 | -1 | -3 | -1 | 3 | 3 | 1 | -1 | 1 | 1 | 3 | -3 | -1 | -3 | -3 | -3 | -1 | 3 | -3 | -1 | -3 | -3 |
| 4 | -1 | 3 | -3 | -3 | -1 | 3 | -1 | -1 | 1 | 3 | 1 | 3 | -1 | -1 | -3 | 1 | 3 | 1 | -1 | -3 | 1 | -1 | -3 | -3 |
| 5 | -3 | -1 | 1 | -3 | -3 | 1 | 1 | -3 | 3 | -1 | -1 | -3 | 1 | 3 | 1 | -1 | -3 | -1 | -3 | 1 | -3 | -3 | -3 | -3 |
| 6 | -3 | 3 | 1 | 3 | -1 | 1 | -3 | 1 | -3 | 1 | -1 | -3 | -1 | -3 | -3 | -3 | -3 | -1 | -1 | -1 | 1 | 1 | -3 | -3 |
| 7 | -3 | 1 | 3 | -1 | 1 | -1 | 3 | -3 | 3 | -1 | -3 | -1 | -3 | 3 | -1 | -1 | -1 | -3 | -1 | -1 | -3 | 3 | 3 | -3 |
| 8 | -3 | 1 | -3 | 3 | -1 | -1 | -1 | -3 | 3 | 1 | -1 | -3 | -1 | 1 | 3 | -1 | 1 | -1 | 1 | -3 | -3 | -3 | -3 | -3 |
| 9 | 1 | 1 | -1 | -3 | -1 | 1 | 1 | -3 | 1 | -1 | 1 | -3 | 3 | -3 | -3 | 3 | -1 | -3 | 1 | 3 | -3 | 1 | -3 | -3 |
| 10 | -3 | -3 | -3 | -1 | 3 | -3 | 3 | 1 | 3 | 1 | -3 | -1 | -1 | -3 | 1 | 1 | 3 | 1 | -1 | -3 | 3 | 1 | 3 | -3 |
| 11 | -3 | 3 | -1 | 3 | 1 | -1 | -1 | -1 | 3 | 3 | 1 | 1 | 1 | 3 | 3 | 1 | -3 | -3 | -1 | 1 | -3 | 1 | 3 | -3 |
| 12 | 3 | -3 | 3 | -1 | -3 | 1 | 3 | 1 | -1 | -1 | -3 | -1 | 3 | -3 | 3 | -1 | -1 | 3 | 3 | -3 | -3 | 3 | -3 | -3 |
| 13 | -3 | 3 | -1 | 3 | -1 | 3 | 3 | 1 | 1 | -3 | 1 | 3 | -3 | 3 | -3 | -3 | -1 | 1 | 3 | -3 | -1 | -1 | -3 | -3 |
| 14 | -3 | 1 | -3 | -1 | -1 | 3 | 1 | 3 | -3 | 1 | -1 | 3 | 3 | -1 | -3 | 3 | -3 | -1 | -1 | -3 | -3 | -3 | 3 | -3 |
| 15 | -3 | -1 | -1 | -3 | 1 | -3 | -3 | -1 | -1 | 3 | -1 | 1 | -1 | 3 | 1 | -3 | -1 | 3 | 1 | 1 | -1 | -1 | -3 | -3 |
| 16 | -3 | -3 | 1 | -1 | 3 | 3 | -3 | -1 | 1 | -1 | -1 | 1 | 1 | -1 | -1 | 3 | -3 | 1 | -3 | 1 | -1 | -1 | -1 | -3 |
| 17 | 3 | -1 | 3 | -1 | 1 | -3 | 1 | 1 | -3 | -3 | 3 | -3 | -1 | -1 | -1 | -1 | -1 | -3 | -3 | -1 | 1 | 1 | -3 | -3 |
| 18 | -3 | 1 | -3 | 1 | -3 | -3 | 1 | -3 | 1 | -3 | -3 | -3 | -3 | -3 | 1 | -3 | -3 | 1 | 1 | -3 | 1 | 1 | -3 | -3 |
| 19 | -3 | -3 | 3 | 3 | 1 | -1 | -1 | -1 | 1 | -3 | -1 | 1 | -1 | 3 | -3 | -1 | -3 | -1 | -1 | 1 | -3 | 3 | -1 | -3 |
| 20 | -3 | -3 | -1 | -1 | -1 | -3 | 1 | -1 | -3 | -1 | 3 | -3 | 1 | -3 | 3 | -3 | 3 | 3 | 1 | -1 | -1 | 1 | -3 | -3 |
| 21 | 3 | -1 | 1 | -1 | 3 | -3 | 1 | 1 | 3 | -1 | -3 | 3 | 1 | -3 | 3 | -1 | -1 | -1 | -1 | 1 | -3 | -3 | -3 | -3 |
| 22 | -3 | 1 | -3 | 3 | -3 | 1 | -3 | 3 | 1 | -1 | -3 | -1 | -3 | -3 | -3 | -3 | 1 | 3 | -1 | 1 | 3 | 3 | 3 | -3 |
| 23 | -3 | -1 | 1 | -3 | -1 | -1 | 1 | 1 | 1 | 3 | 3 | -1 | 1 | -1 | 1 | -1 | -1 | -3 | -3 | -3 | 3 | 1 | -1 | -3 |
| 24 | -3 | 3 | -1 | -3 | -1 | -1 | -1 | 3 | -1 | -1 | 3 | -3 | -1 | 3 | -3 | 3 | -3 | -1 | 3 | 1 | 1 | -1 | -3 | -3 |
| 25 | -3 | 1 | -1 | -3 | -3 | -1 | 1 | -3 | -1 | -3 | 1 | 1 | -1 | 1 | 1 | 3 | 3 | 3 | -1 | 1 | -1 | 1 | -1 | -3 |
| 26 | -1 | 3 | -1 | -1 | 3 | 3 | -1 | -1 | -1 | 3 | -1 | -3 | 1 | 3 | 1 | 1 | -3 | -3 | -3 | -1 | -3 | -1 | -3 | -3 |
| 27 | 3 | -3 | -3 | -1 | 3 | 3 | -3 | -1 | 3 | 1 | 1 | 1 | 3 | -1 | 3 | -3 | -1 | 3 | -1 | 3 | 1 | -1 | -3 | -3 |
| 28 | -3 | 1 | -3 | 1 | -3 | 1 | 1 | 3 | 1 | -3 | -3 | -1 | 1 | 3 | -1 | -3 | 3 | 1 | -1 | -3 | -3 | -3 | -3 | -3 |
| 29 | 3 | -3 | -1 | 1 | 3 | -1 | -1 | -3 | -1 | 3 | -1 | -3 | -1 | -3 | 3 | -1 | 3 | 1 | 1 | -3 | 3 | -3 | -3 | -3 |

## 5.3 OFDM baseband signal generation

### 5.3.1 OFDM baseband signal generation for all channels except PRACH

The time-continuous signal  on antenna port  and subcarrier spacing configuration  for OFDM symbol  in a subframe for any physical channel or signal except PRACH is defined by



where  is the time within the subframe,



 is given by clause 4.2,  is the subcarrier spacing configuration, and is the largest value among the subcarrier spacing configurations provided to the UE for this carrer. The starting position of OFDM symbol  for subcarrier spacing configuration in a subframe is given by



### 5.3.2 OFDM baseband signal generation for PRACH

The time-continuous signal  on antenna port  for PRACH is defined by



where  and

-  is given by clause 6.3.3;

-  is the subcarrier spacing of the initial active uplink bandwidth part during initial access. Otherwise,  is the subcarrier spacing of the active uplink bandwidth part;

- is the largest value among the subcarrier spacing configurations provided to the UE for this carrer;

- is the lowest numbered resource block of the initial active uplink bandwidth part based on common resource block indexing and is derived by the higher-layer parameter *initialUplinkBWP* during initial access. Otherwise, is the lowest numbered resource block of the active uplink bandwidth part based on common resource block indexing and is derived by the higher-layer parameter *UL-BWP*;



- is the frequency offset of lowest PRACH transmission occasion in frequency domain with respect to PRB 0 of the initial active uplink bandwidth part given by the higher-layer parameter *msg1-FrequencyStart* during initial access associated with the initial active uplink bandwidth part. Otherwise, is the frequency offset of lowest PRACH transmission occasion in frequency domain with respect to physical resource block 0 of the active uplink bandwidth part given by the higher-layer parameter *msg1-FrequencyStart* associated with the active uplink bandwidth part;



- is the PRACH transmission occasion index in frequency domain for a given PRACH transmission occasion in one time instance as given by clause 6.3.3.2;



- is the number of resource blocks occupied and is given by the parameter allocation expressed in number of RBs for PUSCH in Table 6.3.3.2-1.



-  and  are given by clause 6.3.3

-  where

- for , 

- for ,  is the number of times the interval  overlaps with either time instance 0 or time instance  in a subframe

The starting position  of the PRACH preamble in a subframe (for ) or in a 60 kHz slot (for ) is given by



where

- the subframe or 60 kHz slot is assumed to start at ;



- a timing advance value shall be assumed;



-  and  are given by clause 5.3.1;

- shall be assumed for , otherwise it is given by and the symbol position is given by



where

- is given by the parameter "starting symbol" in Tables 6.3.3.2-2 to 6.3.3.2-4;



- is the PRACH transmission occasion within the PRACH slot, numbered in increasing order from 0 to within a RACH slot where is given Tables 6.3.3.2-2 to 6.3.3.2-4 for  and fixed to 1 for ;



- is given by Tables 6.3.3.2-2 to 6.3.3.2-4;



- is given by



- if , then



- if and either of "Number of PRACH slots within a subframe" in Tables 6.3.3.2-2 to 6.3.3.2-3 or "Number of PRACH slots within a 60 kHz slot" in Table 6.3.3.2-4 is equal to 1, then



- otherwise,



If the preamble format given by Tables 6.3.3.2-2 to 6.3.3.2-4 is A1/B1, A2/B2 or A3/B3, then

- if , then the PRACH preamble with the corresponding PRACH preamble format from B1, B2 and B3 is transmitted in the PRACH transmission occasion;

- otherwise the PRACH preamble with the corresponding PRACH preamble format from A1, A2 and A3 is transmitted in the PRACH transmission occasion

## 5.4 Modulation and upconversion

Modulation and upconversion to the carrier frequency  of the complex-valued OFDM baseband signal for antenna port , subcarrier spacing configuration , and OFDM symbol  in a subframe assumed to start at  is given by



for all channels and signals except PRACH and by



for PRACH.

# 6 Uplink

## 6.1 Overview

### 6.1.1 Overview of physical channels

An uplink physical channel corresponds to a set of resource elements carrying information originating from higher layers. The following uplink physical channels are defined:

- Physical Uplink Shared Channel, PUSCH

- Physical Uplink Control Channel, PUCCH

- Physical Random Access Channel, PRACH

### 6.1.2 Overview of physical signals

An uplink physical signal is used by the physical layer but does not carry information originating from higher layers. The following uplink physical signals are defined:

- Demodulation reference signals, DM-RS

- Phase-tracking reference signals, PT-RS

- Sounding reference signal, SRS

## 6.2 Physical resources

The frame structure and physical resources the UE shall use when transmitting in the uplink transmissions are defined in Clause 4.

The following antenna ports are defined for the uplink:

- Antenna ports starting with 0 for PUSCH and associated demodulation reference signals

- Antenna ports starting with 1000 for SRS

- Antenna ports starting with 2000 for PUCCH

- Antenna port 4000 for PRACH

## 6.3 Physical channels

### 6.3.1 Physical uplink shared channel

#### 6.3.1.1 Scrambling

For the single codeword, the block of bits , where  is the number of bits in codeword  transmitted on the physical channel, shall be scrambled prior to modulation, resulting in a block of scrambled bits  according to the following pseudo code

Set *i* = 0

while 

if  // UCI placeholder bits



else

if  // UCI placeholder bits



else



end if

end if

*i* = *i* + 1

end while

where x and y are tags defined in [4, TS 38.212] and where the scrambling sequence  is given by clause 5.2.1. The scrambling sequence generator shall be initialized with



where

-  equals the higher-layer parameter *dataScramblingIdentityPUSCH* if configured and the RNTI equals the C-RNTI or CS-RNTI, and the transmission is not scheduled using DCI format 0\_0 in a common search space,

-  otherwise

and where corresponds to the RNTI associated with the PUSCH transmission as described in clause 6.1 of [6, TS 38.214].



#### 6.3.1.2 Modulation

For the single codeword , the block of scrambled bits  shall be modulated as described in clause 5.1 using one of the modulation schemes in Table 6.3.1.2-1, resulting in a block of complex-valued modulation symbols .

Table 6.3.1.2-1: Supported modulation schemes.

|  |  |  |  |
| --- | --- | --- | --- |
| **Transform precoding disabled** | | **Transform precoding enabled** | |
| **Modulation scheme** | **Modulation order** | **Modulation scheme** | **Modulation order** |
|  |  | π/2-BPSK | 1 |
| QPSK | 2 | QPSK | 2 |
| 16QAM | 4 | 16QAM | 4 |
| 64QAM | 6 | 64QAM | 6 |
| 256QAM | 8 | 256QAM | 8 |

#### 6.3.1.3 Layer mapping

For the single codeword , the complex-valued modulation symbols for the codeword to be transmitted shall be mapped onto up to four layers according to Table 7.3.1.3-1. Complex-valued modulation symbols  for codeword  shall be mapped onto the layers ,  where  is the number of layers and  is the number of modulation symbols per layer.

#### 6.3.1.4 Transform precoding

If transform precoding is not enabled according to 6.1.3 of [6, TS38.214],  for each layer .

If transform precoding is enabled according to 6.1.3 of [6, TS38.214],  and  depends on the configuration of phase-tracking reference signals.

If the procedure in [6, TS 38.214] indicates that phase-tracking reference signals are not being used, the block of complex-valued symbols  for the single layer  shall be divided into  sets, each corresponding to one OFDM symbol and .

If the procedure in [6, TS 38.214] indicates that phase-tracking reference signals are being used, the block of complex-valued symbols  shall be divided into sets, each set corresponding to one OFDM symbol, and where set  contains  symbols and is mapped to the complex-valued symbols  corresponding to OFDM symbol  prior to transform precoding, with  and . The index  of PT-RS samples in set , the number of samples per PT-RS group , and the number of PT-RS groups  are defined in clause 6.4.1.2.2.2. The quantity  when OFDM symbol  contains one or more PT-RS samples, otherwise .

Transform precoding shall be applied according to



resulting in a block of complex-valued symbols . The variable, where  represents the bandwidth of the PUSCH in terms of resource blocks, and shall fulfil



where  is a set of non-negative integers.

#### 6.3.1.5 Precoding

The block of vectors ,  shall be precoded according to



where , . The set of antenna ports  shall be determined according to the procedure in [6, TS 38.214].

For non-codebook-based transmission, the precoding matrix  equals the identity matrix.

For codebook-based transmission, the precoding matrix  is given by  for single-layer transmission on a single antenna port, otherwise by Tables 6.3.1.5-1 to 6.3.1.5-7 with the TPMI index obtained from the DCI scheduling the uplink transmission.

Table 6.3.1.5-1: Precoding matrix  for single-layer transmission using two antenna ports.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TPMI index | (ordered from left to right in increasing order of TPMI index) | | | | | | | |
| 0 – 5 |  |  |  |  |  |  | - | - |

Table 6.3.1.5-2: Precoding matrix  for single-layer transmission using four antenna ports with transform precoding enabled.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TPMI index | (ordered from left to right in increasing order of TPMI index) | | | | | | | |
| 0 – 7 |  |  |  |  |  |  |  |  |
| 8 – 15 |  |  |  |  |  |  |  |  |
| 16 – 23 |  |  |  |  |  |  |  |  |
| 24 – 27 |  |  |  |  | - | - | - | - |

Table 6.3.1.5-3: Precoding matrix  for single-layer transmission using four antenna ports with transform precoding disabled.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TPMI index | (ordered from left to right in increasing order of TPMI index) | | | | | | | |
| 0 – 7 |  |  |  |  |  |  |  |  |
| 8 – 15 |  |  |  |  |  |  |  |  |
| 16 – 23 |  |  |  |  |  |  |  |  |
| 24 – 27 |  |  |  |  | - | - | - | - |

Table 6.3.1.5-4: Precoding matrix  for two-layer transmission using two antenna ports with transform precoding disabled.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| TPMI index | (ordered from left to right in increasing order of TPMI index) | | | |
| 0 – 2 |  |  |  |  |

Table 6.3.1.5-5: Precoding matrix  for two-layer transmission using four antenna ports with transform precoding disabled.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| TPMI index | (ordered from left to right in increasing order of TPMI index) | | | |
| 0 – 3 |  |  |  |  |
| 4 – 7 |  |  |  |  |
| 8 – 11 |  |  |  |  |
| 12 – 15 |  |  |  |  |
| 16 – 19 |  |  |  |  |
| 20 – 21 |  |  | - | - |

Table 6.3.1.5.4-6: Precoding matrix  for three-layer transmission using four antenna ports with transform precoding disabled.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| TPMI index | (ordered from left to right in increasing order of TPMI index) | | | |
| 0 – 3 |  |  |  |  |
| 4 – 6 |  |  |  | - |

Table 6.3.1.5-7: Precoding matrix  for four-layer transmission using four antenna ports with transform precoding disabled.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| TPMI index | (ordered from left to right in increasing order of TPMI index) | | | |
| 0 – 3 |  |  |  |  |
| 4 |  | - | - | - |

#### 6.3.1.6 Mapping to virtual resource blocks

For each of the antenna ports used for transmission of the PUSCH, the block of complex-valued symbols  shall be multiplied with the amplitude scaling factor  in order to conform to the transmit power specified in [5, TS 38.213] and mapped in sequence starting with  to resource elements  in the virtual resource blocks assigned for transmission which meet all of the following criteria:

- they are in the virtual resource blocks assigned for transmission, and

- the corresponding resource elements in the corresponding physical resource blocks are not used for transmission of the associated DM-RS, PT-RS, or DM-RS intended for other co-scheduled UEs as described in clause 6.4.1.1.3

The mapping to resource elements  allocated for PUSCH according to [6, TS 38.214] shall be in increasing order of first the index  over the assigned virtual resource blocks, where  is the first subcarrier in the lowest-numbered virtual resource block assigned for transmission, and then the index , with the starting position given by [6, TS 38.214].

#### 6.3.1.7 Mapping from virtual to physical resource blocks

Virtual resource blocks shall be mapped to physical resource blocks according to non-interleaved mapping.

For non-interleaved VRB-to-PRB mapping, virtual resource block  is mapped to physical resource block .

### 6.3.2 Physical uplink control channel

#### 6.3.2.1 General

The physical uplink control channel supports multiple formats as shown in Table 6.3.2.1-1. In case intra-slot frequency hopping is configured for PUCCH formats 1, 3, or 4 according to clause 9.2.1 of [5, TS38.213], the number of symbols in the first hop is given by  where  is the length of the PUCCH transmission in OFDM symbols.

Table 6.3.2.1-1: PUCCH formats.

|  |  |  |
| --- | --- | --- |
| PUCCH format | Length in OFDM symbols | Number of bits |
| 0 | 1 – 2 | ≤2 |
| 1 | 4 – 14 | ≤2 |
| 2 | 1 – 2 | >2 |
| 3 | 4 – 14 | >2 |
| 4 | 4 – 14 | >2 |

#### 6.3.2.2 Sequence and cyclic shift hopping

PUCCH formats 0, 1, 3, and 4 use sequences  given by clause 5.2.2 with  where the sequence group  and the sequence number  depend on the sequence hopping in clause 6.3.2.2.1 and the cyclic shift  depends on the cyclic shift hopping in clause 6.3.2.2.2.

##### 6.3.2.2.1 Group and sequence hopping

The sequence group  and the sequence number  within the group depends on the higher-layer parameter *pucch-GroupHopping*:

- if *pucch-GroupHopping* equals 'neither'



where  is given by the higher-layer parameter *hoppingId*.

- if *pucch-GroupHopping* equals 'enable'



where the pseudo-random sequence  is defined by clause 5.2.1 and shall be initialized at the beginning of each radio frame with  where  is given by the higher-layer parameter *hoppingId*.

- if *pucch-GroupHopping* equals 'disable'



where the pseudo-random sequence  is defined by clause 5.2.1 and shall be initialized at the beginning of each radio frame with where  is given by the higher-layer parameter *hoppingId*.

The frequency hopping index if intra-slot frequency hopping is disabled by the higher-layer parameter *PUCCH-frequency-hopping*. If frequency hopping is enabled by the higher-layer parameter *PUCCH-frequency-hopping*, for the first hop and for the second hop.



##### 6.3.2.2.2 Cyclic shift hopping

The cyclic shift varies as a function of the symbol and slot number according to



where

- is the slot number in the radio frame

- is the OFDM symbol number in the PUCCH transmission where corresponds to the first OFDM symbol of the PUCCH transmission,

- is the index of the OFDM symbol in the slot that corresponds to the first OFDM symbol of the PUCCH transmission in the slot given by [5, TS 38.213]

-  is given by [5, TS 38.213] for PUCCH format 0 and 1 while for PUCCH format 3 and 4 is defined in subclause 6.4.1.3.3.1

-  except for PUCCH format 0 when it depends on the information to be transmitted according to subclause 9.2 of [5, TS 38.213].

The function  is given by



where the pseudo-random sequence  is defined by subclause 5.2.1. The pseudo-random sequence generator shall be initialized with , where  is given by the higher-layer parameter *hoppingId*.

#### 6.3.2.3 PUCCH format 0

##### 6.3.2.3.1 Sequence generation

The sequence  shall be generated according to



where  is given by clause 6.3.2.2 with  depending on the information to be transmitted according to subclause 9.2 of [5, TS 38.213].

##### 6.3.2.3.2 Mapping to physical resources

The sequence  shall be multiplied with the amplitude scaling factor  in order to conform to the transmit power specified in [5, TS 38.213] and mapped in sequence starting with  to resource elements assigned for transmission according to subclause 9.2.1 of [5, TS 38.213] in increasing order of first the index  over the assigned physical resources, and then the index  on antenna port .

#### 6.3.2.4 PUCCH format 1

##### 6.3.2.4.1 Sequence modulation

The block of bits  shall be modulated as described in clause 5.1 using BPSK if  and QPSK if , resulting in a complex-valued symbol .   
The complex-valued symbol  shall be multiplied with a sequence  according to



where  is given by clause 6.3.2.2. The block of complex-valued symbols  shall be block-wise spread with the orthogonal sequence  according to



where  is given by Table 6.3.2.4.1-1. Intra-slot frequency hopping shall be assumed when the higher-layer parameter *intraSlotFrequencyHopping* is provided, regardless of whether the frequency-hop distance is zero or not, otherwise no intra-slot frequency hopping shall be assumed.

The orthogonal sequence  is given by Table 6.3.2.4.1-2 where  is the index of the orthogonal sequence to use according to subclause 9.2.1 of [5, TS 38.213]. In case of a PUCCH transmission spanning multiple slots according to subclause 9.2.6 of [5, TS38.213], the complex-valued symbol  is repeated for the subsequent slots.

Table 6.3.2.4.1-1: Number of PUCCH symbols and the corresponding .

|  |  |  |  |
| --- | --- | --- | --- |
| PUCCH length, |  | | |
| No intra-slot hopping | Intra-slot hopping | |
|  |  |
| 4 | 2 | 1 | 1 |
| 5 | 2 | 1 | 1 |
| 6 | 3 | 1 | 2 |
| 7 | 3 | 1 | 2 |
| 8 | 4 | 2 | 2 |
| 9 | 4 | 2 | 2 |
| 10 | 5 | 2 | 3 |
| 11 | 5 | 2 | 3 |
| 12 | 6 | 3 | 3 |
| 13 | 6 | 3 | 3 |
| 14 | 7 | 3 | 4 |

Table 6.3.2.4.1-2: Orthogonal sequences  for PUCCH format 1.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | | | | | | |
|  |  |  |  |  |  |  |
| 1 | [0] | - | - | - | - | - | - |
| 2 | [0 0] | [0 1] | - | - | - | - | - |
| 3 | [0 0 0] | [0 1 2] | [0 2 1] | - | - | - | - |
| 4 | [0 0 0 0] | [0 2 0 2] | [0 0 2 2] | [0 2 2 0] | - | - | - |
| 5 | [0 0 0 0 0] | [0 1 2 3 4] | [0 2 4 1 3] | [0 3 1 4 2] | [0 4 3 2 1] | - | - |
| 6 | [0 0 0 0 0 0] | [0 1 2 3 4 5] | [0 2 4 0 2 4] | [0 3 0 3 0 3] | [0 4 2 0 4 2] | [0 5 4 3 2 1] | - |
| 7 | [0 0 0 0 0 0 0] | [0 1 2 3 4 5 6] | [0 2 4 6 1 3 5] | [0 3 6 2 5 1 4] | [0 4 1 5 2 6 3] | [0 5 3 1 6 4 2] | [0 6 5 4 3 2 1] |

##### 6.3.2.4.2 Mapping to physical resources

The sequence  shall be multiplied with the amplitude scaling factor  in order to conform to the transmit power specified in [5, TS 38.213] and mapped in sequence starting with  to resource elements which meet all of the following criteria:

- they are in the resource blocks assigned for transmission according to subclause 9.2.1 of [5, TS 38.213],

- they are not used by the associated DM-RS

The mapping to resource elements not reserved for other purposes shall be in increasing order of first the index  over the assigned physical resource block, and then the index  on antenna port .

In case of intra-slot frequency hopping enabled, regardless of whether the the frequency-hop distance is zero or not, the phase of the transmitted signal in the two hops may be uncorrelated.

#### 6.3.2.5 PUCCH format 2

##### 6.3.2.5.1 Scrambling

The block of bits , where  is the number of bits transmitted on the physical channel, shall be scrambled prior to modulation, resulting in a block of scrambled bits  according to



where the scrambling sequence  is given by clause 5.2.1. The scrambling sequence generator shall be initialized with



where

-  equals the higher-layer parameter *dataScramblingIdentityPUSCH* if configured,

-  otherwise

##### 6.3.2.5.2 Modulation

The block of scrambled bits  shall be modulated as described in clause 5.1 using QPSK, resulting in a block of complex-valued modulation symbols  where .

##### 6.3.2.5.3 Mapping to physical resources

The block of modulation symbols  shall be multiplied with the amplitude scaling factor  in order to conform to the transmit power specified in [5, TS 38.213] and mapped in sequence starting with  to resource elements which meet all of the following criteria:

- they are in the resource blocks assigned for transmission,

- they are not used by the associated DM-RS.

The mapping to resource elements not reserved for other purposes shall be in increasing order of first the index  over the assigned physical resource blocks according to subclause 9.2.1 of [5, TS 38.213], and then the index  on antenna port .

#### 6.3.2.6 PUCCH formats 3 and 4

##### 6.3.2.6.1 Scrambling

The block of bits , where  is the number of bits transmitted on the physical channel, shall be scrambled prior to modulation, resulting in a block of scrambled bits  according to



where the scrambling sequence  is given by clause 5.2.1. The scrambling sequence generator shall be initialized with



where

-  equals the higher-layer parameter *dataScramblingIdentityPUSCH* if configured,

-  otherwise

##### 6.3.2.6.2 Modulation

The block of scrambled bits  shall be modulated as described in clause 5.1 using QPSK unless π/2-BPSK is configured, resulting in a block of complex-valued modulation symbols  where  for QPSK and  for π/2-BPSK.

##### 6.3.2.6.3 Block-wise spreading

For both PUCCH format 3 and 4,  with  representing the bandwidth of the PUCCH in terms of resource blocks according to subclause 9.2.1 of [5, TS 38.213] and shall fulfil



where  is a set of non-negative integers and .

For PUCCH format 3, no block-wise spreading is applied and



where  is given by subclause 9.2.1 of [5, TS 38.213] and .

For PUCCH format 4, block-wise spreading shall be applied according to



where , and  are given by Tables 6.3.2.6.3-1 and 6.3.2.6.3-2 where  is the index of the orthogonal sequence to use according to subclause 9.2.1 of [5, TS 38.213].

Table 6.3.2.6.3-1: Orthogonal sequences  for PUCCH format 4 when .

|  |  |
| --- | --- |
|  |  |
| 0 |  |
| 1 |  |

Table 6.3.2.6.3-2: Orthogonal sequences  for PUCCH format 4 when .

|  |  |
| --- | --- |
|  |  |
| 0 |  |
| 1 |  |
| 2 |  |
| 3 |  |

##### 6.3.2.6.4 Transform precoding

The block of complex-valued symbols  shall be transform precoded according to



resulting in a block of complex-valued symbols .

##### 6.3.2.6.5 Mapping to physical resources

The block of modulation symbols  shall be multiplied with the amplitude scaling factor  in order to conform to the transmit power specified in [5, TS 38.213] and mapped in sequence starting with  to resource elements  which meet all of the following criteria:

- they are in the resource blocks assigned for transmission,

- they are not used by the associated DM-RS

The mapping to resource elements  not reserved for other purposes shall be in increasing order of first the index  over the assigned physical resource blocks according to subclause 9.2.1 of [5, TS 38.213], and then the index  on antenna port .

In case of intra-slot frequency hopping according to clause 9.2.1 of [5, TS 38.213],  OFDM symbols shall be transmitted in the first hop and  symbols in the second hop where  is the total number of OFDM symbols used in one slot for PUCCH transmission.

### 6.3.3 Physical random-access channel

#### 6.3.3.1 Sequence generation

The set of random-access preambles  shall be generated according to



from which the frequency-domain representation shall be generated according to



where  or  depending on the PRACH preamble format as given by Tables 6.3.3.1-1 and 6.3.3.1-2.

There are 64 preambles defined in each time-frequency PRACH occasion, enumerated in increasing order of first increasing cyclic shift  of a logical root sequence, and then in increasing order of the logical root sequence index, starting with the index obtained from the higher-layer parameter *prach-RootSequenceIndex*. Additional preamble sequences, in case 64 preambles cannot be generated from a single root Zadoff-Chu sequence, are obtained from the root sequences with the consecutive logical indexes until all the 64 sequences are found. The logical root sequence order is cyclic; the logical index 0 is consecutive to 837 when  and is consecutive to 137 when . The sequence number  is obtained from the logical root sequence index according to Tables 6.3.3.1-3 and 6.3.3.1-4.

The cyclic shift  is given by



where  is given by Tables 6.3.3.1-5 to 6.3.3.1-7, the higher-layer parameter *restrictedSetConfig* determines the type of restricted sets (unrestricted, restricted type A, restricted type B), and Tables 6.3.3.1-1 and 6.3.3.1-2 indicate the type of restricted sets supported for the different preamble formats.

The variable  is given by



where  is the smallest non-negative integer that fulfils . The parameters for restricted sets of cyclic shifts depend on .

For restricted set type A, the parameters are given by:

- for 



- for 



For restricted set type B, the parameters are given by:

- for 



- for 



- for 



- for 



- for 



- for 



For all other values of , there are no cyclic shifts in the restricted set.

Table 6.3.3.1-1: PRACH preamble formats for  and .

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Format |  |  |  |  | Support for restricted sets |
| 0 | 839 | 1.25 kHz |  |  | Type A, Type B |
| 1 | 839 | 1.25 kHz |  |  | Type A, Type B |
| 2 | 839 | 1.25 kHz |  |  | Type A, Type B |
| 3 | 839 | 5 kHz |  |  | Type A, Type B |

Table 6.3.3.1-2: Preamble formats for  and  where .

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Format |  |  |  |  | Support for restricted sets |
| A1 | 139 |  |  |  | - |
| A2 | 139 |  |  |  | - |
| A3 | 139 |  |  |  | - |
| B1 | 139 |  |  |  | - |
| B2 | 139 |  |  |  | - |
| B3 | 139 |  |  |  | - |
| B4 | 139 |  |  |  | - |
| C0 | 139 |  |  |  | - |
| C2 | 139 |  |  |  |  |

Table 6.3.3.1-3: Mapping from *logical index*  to sequence number  for preamble formats with .

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Sequence number in increasing order of | | | | | | | | | | | | | | | | | | | |
| 0 – 19 | 129 | 710 | 140 | 699 | 120 | 719 | 210 | 629 | 168 | 671 | 84 | 755 | 105 | 734 | 93 | 746 | 70 | 769 | 60 | 779 |
| 20 – 39 | 2 | 837 | 1 | 838 | 56 | 783 | 112 | 727 | 148 | 691 | 80 | 759 | 42 | 797 | 40 | 799 | 35 | 804 | 73 | 766 |
| 40 – 59 | 146 | 693 | 31 | 808 | 28 | 811 | 30 | 809 | 27 | 812 | 29 | 810 | 24 | 815 | 48 | 791 | 68 | 771 | 74 | 765 |
| 60 – 79 | 178 | 661 | 136 | 703 | 86 | 753 | 78 | 761 | 43 | 796 | 39 | 800 | 20 | 819 | 21 | 818 | 95 | 744 | 202 | 637 |
| 80 – 99 | 190 | 649 | 181 | 658 | 137 | 702 | 125 | 714 | 151 | 688 | 217 | 622 | 128 | 711 | 142 | 697 | 122 | 717 | 203 | 636 |
| 100 – 119 | 118 | 721 | 110 | 729 | 89 | 750 | 103 | 736 | 61 | 778 | 55 | 784 | 15 | 824 | 14 | 825 | 12 | 827 | 23 | 816 |
| 120 – 139 | 34 | 805 | 37 | 802 | 46 | 793 | 207 | 632 | 179 | 660 | 145 | 694 | 130 | 709 | 223 | 616 | 228 | 611 | 227 | 612 |
| 140 – 159 | 132 | 707 | 133 | 706 | 143 | 696 | 135 | 704 | 161 | 678 | 201 | 638 | 173 | 666 | 106 | 733 | 83 | 756 | 91 | 748 |
| 160 – 179 | 66 | 773 | 53 | 786 | 10 | 829 | 9 | 830 | 7 | 832 | 8 | 831 | 16 | 823 | 47 | 792 | 64 | 775 | 57 | 782 |
| 180 – 199 | 104 | 735 | 101 | 738 | 108 | 731 | 208 | 631 | 184 | 655 | 197 | 642 | 191 | 648 | 121 | 718 | 141 | 698 | 149 | 690 |
| 200 – 219 | 216 | 623 | 218 | 621 | 152 | 687 | 144 | 695 | 134 | 705 | 138 | 701 | 199 | 640 | 162 | 677 | 176 | 663 | 119 | 720 |
| 220 – 239 | 158 | 681 | 164 | 675 | 174 | 665 | 171 | 668 | 170 | 669 | 87 | 752 | 169 | 670 | 88 | 751 | 107 | 732 | 81 | 758 |
| 240 – 259 | 82 | 757 | 100 | 739 | 98 | 741 | 71 | 768 | 59 | 780 | 65 | 774 | 50 | 789 | 49 | 790 | 26 | 813 | 17 | 822 |
| 260 – 279 | 13 | 826 | 6 | 833 | 5 | 834 | 33 | 806 | 51 | 788 | 75 | 764 | 99 | 740 | 96 | 743 | 97 | 742 | 166 | 673 |
| 280 – 299 | 172 | 667 | 175 | 664 | 187 | 652 | 163 | 676 | 185 | 654 | 200 | 639 | 114 | 725 | 189 | 650 | 115 | 724 | 194 | 645 |
| 300 – 319 | 195 | 644 | 192 | 647 | 182 | 657 | 157 | 682 | 156 | 683 | 211 | 628 | 154 | 685 | 123 | 716 | 139 | 700 | 212 | 627 |
| 320 – 339 | 153 | 686 | 213 | 626 | 215 | 624 | 150 | 689 | 225 | 614 | 224 | 615 | 221 | 618 | 220 | 619 | 127 | 712 | 147 | 692 |
| 340 – 359 | 124 | 715 | 193 | 646 | 205 | 634 | 206 | 633 | 116 | 723 | 160 | 679 | 186 | 653 | 167 | 672 | 79 | 760 | 85 | 754 |
| 360 – 379 | 77 | 762 | 92 | 747 | 58 | 781 | 62 | 777 | 69 | 770 | 54 | 785 | 36 | 803 | 32 | 807 | 25 | 814 | 18 | 821 |
| 380 – 399 | 11 | 828 | 4 | 835 | 3 | 836 | 19 | 820 | 22 | 817 | 41 | 798 | 38 | 801 | 44 | 795 | 52 | 787 | 45 | 794 |
| 400 – 419 | 63 | 776 | 67 | 772 | 72 | 767 | 76 | 763 | 94 | 745 | 102 | 737 | 90 | 749 | 109 | 730 | 165 | 674 | 111 | 728 |
| 420 – 439 | 209 | 630 | 204 | 635 | 117 | 722 | 188 | 651 | 159 | 680 | 198 | 641 | 113 | 726 | 183 | 656 | 180 | 659 | 177 | 662 |
| 440 – 459 | 196 | 643 | 155 | 684 | 214 | 625 | 126 | 713 | 131 | 708 | 219 | 620 | 222 | 617 | 226 | 613 | 230 | 609 | 232 | 607 |
| 460 – 479 | 262 | 577 | 252 | 587 | 418 | 421 | 416 | 423 | 413 | 426 | 411 | 428 | 376 | 463 | 395 | 444 | 283 | 556 | 285 | 554 |
| 480 – 499 | 379 | 460 | 390 | 449 | 363 | 476 | 384 | 455 | 388 | 451 | 386 | 453 | 361 | 478 | 387 | 452 | 360 | 479 | 310 | 529 |
| 500 – 519 | 354 | 485 | 328 | 511 | 315 | 524 | 337 | 502 | 349 | 490 | 335 | 504 | 324 | 515 | 323 | 516 | 320 | 519 | 334 | 505 |
| 520 – 539 | 359 | 480 | 295 | 544 | 385 | 454 | 292 | 547 | 291 | 548 | 381 | 458 | 399 | 440 | 380 | 459 | 397 | 442 | 369 | 470 |
| 540 – 559 | 377 | 462 | 410 | 429 | 407 | 432 | 281 | 558 | 414 | 425 | 247 | 592 | 277 | 562 | 271 | 568 | 272 | 567 | 264 | 575 |
| 560 – 579 | 259 | 580 | 237 | 602 | 239 | 600 | 244 | 595 | 243 | 596 | 275 | 564 | 278 | 561 | 250 | 589 | 246 | 593 | 417 | 422 |
| 580 – 599 | 248 | 591 | 394 | 445 | 393 | 446 | 370 | 469 | 365 | 474 | 300 | 539 | 299 | 540 | 364 | 475 | 362 | 477 | 298 | 541 |
| 600 – 619 | 312 | 527 | 313 | 526 | 314 | 525 | 353 | 486 | 352 | 487 | 343 | 496 | 327 | 512 | 350 | 489 | 326 | 513 | 319 | 520 |
| 620 – 639 | 332 | 507 | 333 | 506 | 348 | 491 | 347 | 492 | 322 | 517 | 330 | 509 | 338 | 501 | 341 | 498 | 340 | 499 | 342 | 497 |
| 640 – 659 | 301 | 538 | 366 | 473 | 401 | 438 | 371 | 468 | 408 | 431 | 375 | 464 | 249 | 590 | 269 | 570 | 238 | 601 | 234 | 605 |
| 660 – 679 | 257 | 582 | 273 | 566 | 255 | 584 | 254 | 585 | 245 | 594 | 251 | 588 | 412 | 427 | 372 | 467 | 282 | 557 | 403 | 436 |
| 680 – 699 | 396 | 443 | 392 | 447 | 391 | 448 | 382 | 457 | 389 | 450 | 294 | 545 | 297 | 542 | 311 | 528 | 344 | 495 | 345 | 494 |
| 700 – 719 | 318 | 521 | 331 | 508 | 325 | 514 | 321 | 518 | 346 | 493 | 339 | 500 | 351 | 488 | 306 | 533 | 289 | 550 | 400 | 439 |
| 720 – 739 | 378 | 461 | 374 | 465 | 415 | 424 | 270 | 569 | 241 | 598 | 231 | 608 | 260 | 579 | 268 | 571 | 276 | 563 | 409 | 430 |
| 740 – 759 | 398 | 441 | 290 | 549 | 304 | 535 | 308 | 531 | 358 | 481 | 316 | 523 | 293 | 546 | 288 | 551 | 284 | 555 | 368 | 471 |
| 760 – 779 | 253 | 586 | 256 | 583 | 263 | 576 | 242 | 597 | 274 | 565 | 402 | 437 | 383 | 456 | 357 | 482 | 329 | 510 | 317 | 522 |
| 780 – 799 | 307 | 532 | 286 | 553 | 287 | 552 | 266 | 573 | 261 | 578 | 236 | 603 | 303 | 536 | 356 | 483 | 355 | 484 | 405 | 434 |
| 800 – 819 | 404 | 435 | 406 | 433 | 235 | 604 | 267 | 572 | 302 | 537 | 309 | 530 | 265 | 574 | 233 | 606 | 367 | 472 | 296 | 543 |
| 820 – 837 | 336 | 503 | 305 | 534 | 373 | 466 | 280 | 559 | 279 | 560 | 419 | 420 | 240 | 599 | 258 | 581 | 229 | 610 | - | - |

Table 6.3.3.1-4: Mapping from *logical index*  to sequence number  for preamble formats with .

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Sequence number  in increasing order of | | | | | | | | | | | | | | | | | | | |
| 0 – 19 | 1 | 138 | 2 | 137 | 3 | 136 | 4 | 135 | 5 | 134 | 6 | 133 | 7 | 132 | 8 | 131 | 9 | 130 | 10 | 129 |
| 20 – 39 | 11 | 128 | 12 | 127 | 13 | 126 | 14 | 125 | 15 | 124 | 16 | 123 | 17 | 122 | 18 | 121 | 19 | 120 | 20 | 119 |
| 40 – 59 | 21 | 118 | 22 | 117 | 23 | 116 | 24 | 115 | 25 | 114 | 26 | 113 | 27 | 112 | 28 | 111 | 29 | 110 | 30 | 109 |
| 60 – 79 | 31 | 108 | 32 | 107 | 33 | 106 | 34 | 105 | 35 | 104 | 36 | 103 | 37 | 102 | 38 | 101 | 39 | 100 | 40 | 99 |
| 80 – 99 | 41 | 98 | 42 | 97 | 43 | 96 | 44 | 95 | 45 | 94 | 46 | 93 | 47 | 92 | 48 | 91 | 49 | 90 | 50 | 89 |
| 100 – 119 | 51 | 88 | 52 | 87 | 53 | 86 | 54 | 85 | 55 | 84 | 56 | 83 | 57 | 82 | 58 | 81 | 59 | 80 | 60 | 79 |
| 120 – 137 | 61 | 78 | 62 | 77 | 63 | 76 | 64 | 75 | 65 | 74 | 66 | 73 | 67 | 72 | 68 | 71 | 69 | 70 | - | - |
| 138 – 837 | N/A | | | | | | | | | | | | | | | | | | | |

Table 6.3.3.1-5:  for preamble formats with .

|  |  |  |  |
| --- | --- | --- | --- |
| *zeroCorrelationZoneConfig* | value | | |
| Unrestricted set | Restricted set type A | Restricted set type B |
| 0 | 0 | 15 | 15 |
| 1 | 13 | 18 | 18 |
| 2 | 15 | 22 | 22 |
| 3 | 18 | 26 | 26 |
| 4 | 22 | 32 | 32 |
| 5 | 26 | 38 | 38 |
| 6 | 32 | 46 | 46 |
| 7 | 38 | 55 | 55 |
| 8 | 46 | 68 | 68 |
| 9 | 59 | 82 | 82 |
| 10 | 76 | 100 | 100 |
| 11 | 93 | 128 | 118 |
| 12 | 119 | 158 | 137 |
| 13 | 167 | 202 | - |
| 14 | 279 | 237 | - |
| 15 | 419 | - | - |

Table 6.3.3.1-6:  for preamble formats with .

|  |  |  |  |
| --- | --- | --- | --- |
| *zeroCorrelationZoneConfig* | value | | |
| Unrestricted set | Restricted set type A | Restricted set type B |
| 0 | 0 | 36 | 36 |
| 1 | 13 | 57 | 57 |
| 2 | 26 | 72 | 60 |
| 3 | 33 | 81 | 63 |
| 4 | 38 | 89 | 65 |
| 5 | 41 | 94 | 68 |
| 6 | 49 | 103 | 71 |
| 7 | 55 | 112 | 77 |
| 8 | 64 | 121 | 81 |
| 9 | 76 | 132 | 85 |
| 10 | 93 | 137 | 97 |
| 11 | 119 | 152 | 109 |
| 12 | 139 | 173 | 122 |
| 13 | 209 | 195 | 137 |
| 14 | 279 | 216 | - |
| 15 | 419 | 237 | - |

Table 6.3.3.1-7:  for preamble formats with  where .

|  |  |
| --- | --- |
| *zeroCorrelationZoneConfig* | value for unrestricted set |
| 0 | 0 |
| 1 | 2 |
| 2 | 4 |
| 3 | 6 |
| 4 | 8 |
| 5 | 10 |
| 6 | 12 |
| 7 | 13 |
| 8 | 15 |
| 9 | 17 |
| 10 | 19 |
| 11 | 23 |
| 12 | 27 |
| 13 | 34 |
| 14 | 46 |
| 15 | 69 |

#### 6.3.3.2 Mapping to physical resources

The preamble sequence shall be mapped to physical resources according to



where  is an amplitude scaling factor in order to conform to the transmit power specified in [5, TS38.213], and  is the antenna port. Baseband signal generation shall be done according to clause 5.3 using the parameters in Table 6.3.3.1-1 or Table 6.3.3.1-2 with  given by Table 6.3.3.2-1.

Random access preambles can only be transmitted in the time resources given by the higher-layer parameter *prach-ConfigurationIndex* according to Tables 6.3.3.2-2 to 6.3.3.2-4 and depends on FR1 or FR2 and the spectrum type as defined in [8, TS38.104].

Random access preambles can only be transmitted in the frequency resources given by the higher-layer parameter *msg1-FrequencyStart*. The PRACH frequency resources , where M equals the higher-layer parameter *msg1-FDM*, are numbered in increasing order within the initial active uplink bandwidth part during initial access, starting from the lowest frequency. Otherwise,  are numbered in increasing order within the active uplink bandwidth part, starting from the lowest frequency.

For the purpose of slot numbering in the tables, the following subcarrier spacing shall be assumed:

- 15 kHz for FR1

- 60 kHz for FR2.

For handover purposes within the same frequency range in paired spectrum with , the UE may assume the absolute value of the time difference between radio frame in the current cell and radio frame in the target cell is less than if any of the following conditions are fulfilled:

- the entries in Tables 6.3.3.2-2 and 6.3.3.2-3 where

- the entries in Tables 6.3.3.2-2 and 6.3.3.2-3 where and and the association period in Table 8.1-1 of [38.213] is not equal to 1

Table 6.3.3.2-1: Supported combinations of  and , and the corresponding value of .

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | for PRACH | for PUSCH | , allocation expressed in number of RBs for PUSCH |  |
| 839 | 1.25 | 15 | 6 | 7 |
| 839 | 1.25 | 30 | 3 | 1 |
| 839 | 1.25 | 60 | 2 | 133 |
| 839 | 5 | 15 | 24 | 12 |
| 839 | 5 | 30 | 12 | 10 |
| 839 | 5 | 60 | 6 | 7 |
| 139 | 15 | 15 | 12 | 2 |
| 139 | 15 | 30 | 6 | 2 |
| 139 | 15 | 60 | 3 | 2 |
| 139 | 30 | 15 | 24 | 2 |
| 139 | 30 | 30 | 12 | 2 |
| 139 | 30 | 60 | 6 | 2 |
| 139 | 60 | 60 | 12 | 2 |
| 139 | 60 | 120 | 6 | 2 |
| 139 | 120 | 60 | 24 | 2 |
| 139 | 120 | 120 | 12 | 2 |

Table 6.3.3.2-2: Random access configurations for FR1 and paired spectrum/supplementary uplink.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| PRACH Configuration  Index | Preamble format |  | | Subframe number | Starting symbol | Number of PRACH slots within a subframe | , number of time-domain PRACH occasions within a PRACH slot | , PRACH duration |
|  |  |
| 0 | 0 | 16 | 1 | 1 | 0 | - | - | 0 |
| 1 | 0 | 16 | 1 | 4 | 0 | - | - | 0 |
| 2 | 0 | 16 | 1 | 7 | 0 | - | - | 0 |
| 3 | 0 | 16 | 1 | 9 | 0 | - | - | 0 |
| 4 | 0 | 8 | 1 | 1 | 0 | - | - | 0 |
| 5 | 0 | 8 | 1 | 4 | 0 | - | - | 0 |
| 6 | 0 | 8 | 1 | 7 | 0 | - | - | 0 |
| 7 | 0 | 8 | 1 | 9 | 0 | - | - | 0 |
| 8 | 0 | 4 | 1 | 1 | 0 | - | - | 0 |
| 9 | 0 | 4 | 1 | 4 | 0 | - | - | 0 |
| 10 | 0 | 4 | 1 | 7 | 0 | - | - | 0 |
| 11 | 0 | 4 | 1 | 9 | 0 | - | - | 0 |
| 12 | 0 | 2 | 1 | 1 | 0 | - | - | 0 |
| 13 | 0 | 2 | 1 | 4 | 0 | - | - | 0 |
| 14 | 0 | 2 | 1 | 7 | 0 | - | - | 0 |
| 15 | 0 | 2 | 1 | 9 | 0 | - | - | 0 |
| 16 | 0 | 1 | 0 | 1 | 0 | - | - | 0 |
| 17 | 0 | 1 | 0 | 4 | 0 | - | - | 0 |
| 18 | 0 | 1 | 0 | 7 | 0 | - | - | 0 |
| 19 | 0 | 1 | 0 | 1,6 | 0 | - | - | 0 |
| 20 | 0 | 1 | 0 | 2,7 | 0 | - | - | 0 |
| 21 | 0 | 1 | 0 | 3,8 | 0 | - | - | 0 |
| 22 | 0 | 1 | 0 | 1,4,7 | 0 | - | - | 0 |
| 23 | 0 | 1 | 0 | 2,5,8 | 0 | - | - | 0 |
| 24 | 0 | 1 | 0 | 3, 6, 9 | 0 | - | - | 0 |
| 25 | 0 | 1 | 0 | 0,2,4,6,8 | 0 | - | - | 0 |
| 26 | 0 | 1 | 0 | 1,3,5,7,9 | 0 | - | - | 0 |
| 27 | 0 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | - | - | 0 |
| 28 | 1 | 16 | 1 | 1 | 0 | - | - | 0 |
| 29 | 1 | 16 | 1 | 4 | 0 | - | - | 0 |
| 30 | 1 | 16 | 1 | 7 | 0 | - | - | 0 |
| 31 | 1 | 16 | 1 | 9 | 0 | - | - | 0 |
| 32 | 1 | 8 | 1 | 1 | 0 | - | - | 0 |
| 33 | 1 | 8 | 1 | 4 | 0 | - | - | 0 |
| 34 | 1 | 8 | 1 | 7 | 0 | - | - | 0 |
| 35 | 1 | 8 | 1 | 9 | 0 | - | - | 0 |
| 36 | 1 | 4 | 1 | 1 | 0 | - | - | 0 |
| 37 | 1 | 4 | 1 | 4 | 0 | - | - | 0 |
| 38 | 1 | 4 | 1 | 7 | 0 | - | - | 0 |
| 39 | 1 | 4 | 1 | 9 | 0 | - | - | 0 |
| 40 | 1 | 2 | 1 | 1 | 0 | - | - | 0 |
| 41 | 1 | 2 | 1 | 4 | 0 | - | - | 0 |
| 42 | 1 | 2 | 1 | 7 | 0 | - | - | 0 |
| 43 | 1 | 2 | 1 | 9 | 0 | - | - | 0 |
| 44 | 1 | 1 | 0 | 1 | 0 | - | - | 0 |
| 45 | 1 | 1 | 0 | 4 | 0 | - | - | 0 |
| 46 | 1 | 1 | 0 | 7 | 0 | - | - | 0 |
| 47 | 1 | 1 | 0 | 1,6 | 0 | - | - | 0 |
| 48 | 1 | 1 | 0 | 2,7 | 0 | - | - | 0 |
| 49 | 1 | 1 | 0 | 3,8 | 0 | - | - | 0 |
| 50 | 1 | 1 | 0 | 1,4,7 | 0 | - | - | 0 |
| 51 | 1 | 1 | 0 | 2,5,8 | 0 | - | - | 0 |
| 52 | 1 | 1 | 0 | 3,6,9 | 0 | - | - | 0 |
| 53 | 2 | 16 | 1 | 1 | 0 | - | - | 0 |
| 54 | 2 | 8 | 1 | 1 | 0 | - | - | 0 |
| 55 | 2 | 4 | 0 | 1 | 0 | - | - | 0 |
| 56 | 2 | 2 | 0 | 1 | 0 | - | - | 0 |
| 57 | 2 | 2 | 0 | 5 | 0 | - | - | 0 |
| 58 | 2 | 1 | 0 | 1 | 0 | - | - | 0 |
| 59 | 2 | 1 | 0 | 5 | 0 | - | - | 0 |
| 60 | 3 | 16 | 1 | 1 | 0 | - | - | 0 |
| 61 | 3 | 16 | 1 | 4 | 0 | - | - | 0 |
| 62 | 3 | 16 | 1 | 7 | 0 | - | - | 0 |
| 63 | 3 | 16 | 1 | 9 | 0 | - | - | 0 |
| 64 | 3 | 8 | 1 | 1 | 0 | - | - | 0 |
| 65 | 3 | 8 | 1 | 4 | 0 | - | - | 0 |
| 66 | 3 | 8 | 1 | 7 | 0 | - | - | 0 |
| 67 | 3 | 4 | 1 | 1 | 0 | - | - | 0 |
| 68 | 3 | 4 | 1 | 4 | 0 | - | - | 0 |
| 69 | 3 | 4 | 1 | 7 | 0 | - | - | 0 |
| 70 | 3 | 4 | 1 | 9 | 0 | - | - | 0 |
| 71 | 3 | 2 | 1 | 1 | 0 | - | - | 0 |
| 72 | 3 | 2 | 1 | 4 | 0 | - | - | 0 |
| 73 | 3 | 2 | 1 | 7 | 0 | - | - | 0 |
| 74 | 3 | 2 | 1 | 9 | 0 | - | - | 0 |
| 75 | 3 | 1 | 0 | 1 | 0 | - | - | 0 |
| 76 | 3 | 1 | 0 | 4 | 0 | - | - | 0 |
| 77 | 3 | 1 | 0 | 7 | 0 | - | - | 0 |
| 78 | 3 | 1 | 0 | 1,6 | 0 | - | - | 0 |
| 79 | 3 | 1 | 0 | 2,7 | 0 | - | - | 0 |
| 80 | 3 | 1 | 0 | 3,8 | 0 | - | - | 0 |
| 81 | 3 | 1 | 0 | 1,4,7 | 0 | - | - | 0 |
| 82 | 3 | 1 | 0 | 2,5,8 | 0 | - | - | 0 |
| 83 | 3 | 1 | 0 | 3, 6, 9 | 0 | - | - | 0 |
| 84 | 3 | 1 | 0 | 0,2,4,6,8 | 0 | - | - | 0 |
| 85 | 3 | 1 | 0 | 1,3,5,7,9 | 0 | - | - | 0 |
| 86 | 3 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | - | - | 0 |
| 87 | A1 | 16 | 0 | 4,9 | 0 | 1 | 6 | 2 |
| 88 | A1 | 16 | 1 | 4 | 0 | 2 | 6 | 2 |
| 89 | A1 | 8 | 0 | 4,9 | 0 | 1 | 6 | 2 |
| 90 | A1 | 8 | 1 | 4 | 0 | 2 | 6 | 2 |
| 91 | A1 | 4 | 0 | 4,9 | 0 | 1 | 6 | 2 |
| 92 | A1 | 4 | 1 | 4,9 | 0 | 1 | 6 | 2 |
| 93 | A1 | 4 | 0 | 4 | 0 | 2 | 6 | 2 |
| 94 | A1 | 2 | 0 | 4,9 | 0 | 1 | 6 | 2 |
| 95 | A1 | 2 | 0 | 1 | 0 | 2 | 6 | 2 |
| 96 | A1 | 2 | 0 | 4 | 0 | 2 | 6 | 2 |
| 97 | A1 | 2 | 0 | 7 | 0 | 2 | 6 | 2 |
| 98 | A1 | 1 | 0 | 4 | 0 | 1 | 6 | 2 |
| 99 | A1 | 1 | 0 | 1,6 | 0 | 1 | 6 | 2 |
| 100 | A1 | 1 | 0 | 4,9 | 0 | 1 | 6 | 2 |
| 101 | A1 | 1 | 0 | 1 | 0 | 2 | 6 | 2 |
| 102 | A1 | 1 | 0 | 7 | 0 | 2 | 6 | 2 |
| 103 | A1 | 1 | 0 | 2,7 | 0 | 2 | 6 | 2 |
| 104 | A1 | 1 | 0 | 1,4,7 | 0 | 2 | 6 | 2 |
| 105 | A1 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 6 | 2 |
| 106 | A1 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 6 | 2 |
| 107 | A1 | 1 | 0 | 1,3,5,7,9 | 0 | 2 | 6 | 2 |
| 108 | A1/B1 | 2 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 109 | A1/B1 | 2 | 0 | 4 | 0 | 2 | 7 | 2 |
| 110 | A1/B1 | 1 | 0 | 4 | 0 | 1 | 7 | 2 |
| 111 | A1/B1 | 1 | 0 | 1,6 | 0 | 1 | 7 | 2 |
| 112 | A1/B1 | 1 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 113 | A1/B1 | 1 | 0 | 1 | 0 | 2 | 7 | 2 |
| 114 | A1/B1 | 1 | 0 | 7 | 0 | 2 | 7 | 2 |
| 115 | A1/B1 | 1 | 0 | 1,4,7 | 0 | 2 | 7 | 2 |
| 116 | A1/B1 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 7 | 2 |
| 117 | A2 | 16 | 1 | 2,6,9 | 0 | 1 | 3 | 4 |
| 118 | A2 | 16 | 1 | 4 | 0 | 2 | 3 | 4 |
| 119 | A2 | 8 | 1 | 2,6,9 | 0 | 1 | 3 | 4 |
| 120 | A2 | 8 | 1 | 4 | 0 | 2 | 3 | 4 |
| 121 | A2 | 4 | 0 | 2,6,9 | 0 | 1 | 3 | 4 |
| 122 | A2 | 4 | 0 | 4 | 0 | 2 | 3 | 4 |
| 123 | A2 | 2 | 1 | 2,6,9 | 0 | 1 | 3 | 4 |
| 124 | A2 | 2 | 0 | 1 | 0 | 2 | 3 | 4 |
| 125 | A2 | 2 | 0 | 4 | 0 | 2 | 3 | 4 |
| 126 | A2 | 2 | 0 | 7 | 0 | 2 | 3 | 4 |
| 127 | A2 | 1 | 0 | 4 | 0 | 1 | 3 | 4 |
| 128 | A2 | 1 | 0 | 1,6 | 0 | 1 | 3 | 4 |
| 129 | A2 | 1 | 0 | 4,9 | 0 | 1 | 3 | 4 |
| 130 | A2 | 1 | 0 | 1 | 0 | 2 | 3 | 4 |
| 131 | A2 | 1 | 0 | 7 | 0 | 2 | 3 | 4 |
| 132 | A2 | 1 | 0 | 2,7 | 0 | 2 | 3 | 4 |
| 133 | A2 | 1 | 0 | 1,4,7 | 0 | 2 | 3 | 4 |
| 134 | A2 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 3 | 4 |
| 135 | A2 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 3 | 4 |
| 136 | A2 | 1 | 0 | 1,3,5,7,9 | 0 | 2 | 3 | 4 |
| 137 | A2/B2 | 2 | 1 | 2,6,9 | 0 | 1 | 3 | 4 |
| 138 | A2/B2 | 2 | 0 | 4 | 0 | 2 | 3 | 4 |
| 139 | A2/B2 | 1 | 0 | 4 | 0 | 1 | 3 | 4 |
| 140 | A2/B2 | 1 | 0 | 1,6 | 0 | 1 | 3 | 4 |
| 141 | A2/B2 | 1 | 0 | 4,9 | 0 | 1 | 3 | 4 |
| 142 | A2/B2 | 1 | 0 | 1 | 0 | 2 | 3 | 4 |
| 143 | A2/B2 | 1 | 0 | 7 | 0 | 2 | 3 | 4 |
| 144 | A2/B2 | 1 | 0 | 1,4,7 | 0 | 2 | 3 | 4 |
| 145 | A2/B2 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 3 | 4 |
| 146 | A2/B2 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 3 | 4 |
| 147 | A3 | 16 | 1 | 4,9 | 0 | 1 | 2 | 6 |
| 148 | A3 | 16 | 1 | 4 | 0 | 2 | 2 | 6 |
| 149 | A3 | 8 | 1 | 4,9 | 0 | 1 | 2 | 6 |
| 150 | A3 | 8 | 1 | 4 | 0 | 2 | 2 | 6 |
| 151 | A3 | 4 | 0 | 4,9 | 0 | 1 | 2 | 6 |
| 152 | A3 | 4 | 0 | 4 | 0 | 2 | 2 | 6 |
| 153 | A3 | 2 | 1 | 2,6,9 | 0 | 2 | 2 | 6 |
| 154 | A3 | 2 | 0 | 1 | 0 | 2 | 2 | 6 |
| 155 | A3 | 2 | 0 | 4 | 0 | 2 | 2 | 6 |
| 156 | A3 | 2 | 0 | 7 | 0 | 2 | 2 | 6 |
| 157 | A3 | 1 | 0 | 4 | 0 | 1 | 2 | 6 |
| 158 | A3 | 1 | 0 | 1,6 | 0 | 1 | 2 | 6 |
| 159 | A3 | 1 | 0 | 4,9 | 0 | 1 | 2 | 6 |
| 160 | A3 | 1 | 0 | 1 | 0 | 2 | 2 | 6 |
| 161 | A3 | 1 | 0 | 7 | 0 | 2 | 2 | 6 |
| 162 | A3 | 1 | 0 | 2,7 | 0 | 2 | 2 | 6 |
| 163 | A3 | 1 | 0 | 1,4,7 | 0 | 2 | 2 | 6 |
| 164 | A3 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 2 | 6 |
| 165 | A3 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 2 | 6 |
| 166 | A3 | 1 | 0 | 1,3,5,7,9 | 0 | 2 | 2 | 6 |
| 167 | A3/B3 | 2 | 1 | 2,6,9 | 0 | 2 | 2 | 6 |
| 168 | A3/B3 | 2 | 0 | 4 | 0 | 2 | 2 | 6 |
| 169 | A3/B3 | 1 | 0 | 4 | 0 | 1 | 2 | 6 |
| 170 | A3/B3 | 1 | 0 | 1,6 | 0 | 1 | 2 | 6 |
| 171 | A3/B3 | 1 | 0 | 4,9 | 0 | 1 | 2 | 6 |
| 172 | A3/B3 | 1 | 0 | 1 | 0 | 2 | 2 | 6 |
| 173 | A3/B3 | 1 | 0 | 7 | 0 | 2 | 2 | 6 |
| 174 | A3/B3 | 1 | 0 | 1,4,7 | 0 | 2 | 2 | 6 |
| 175 | A3/B3 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 2 | 6 |
| 176 | A3/B3 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 2 | 6 |
| 177 | B1 | 16 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 178 | B1 | 16 | 1 | 4 | 0 | 2 | 7 | 2 |
| 179 | B1 | 8 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 180 | B1 | 8 | 1 | 4 | 0 | 2 | 7 | 2 |
| 181 | B1 | 4 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 182 | B1 | 4 | 1 | 4,9 | 0 | 1 | 7 | 2 |
| 183 | B1 | 4 | 0 | 4 | 0 | 2 | 7 | 2 |
| 184 | B1 | 2 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 185 | B1 | 2 | 0 | 1 | 0 | 2 | 7 | 2 |
| 186 | B1 | 2 | 0 | 4 | 0 | 2 | 7 | 2 |
| 187 | B1 | 2 | 0 | 7 | 0 | 2 | 7 | 2 |
| 188 | B1 | 1 | 0 | 4 | 0 | 1 | 7 | 2 |
| 189 | B1 | 1 | 0 | 1,6 | 0 | 1 | 7 | 2 |
| 190 | B1 | 1 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 191 | B1 | 1 | 0 | 1 | 0 | 2 | 7 | 2 |
| 192 | B1 | 1 | 0 | 7 | 0 | 2 | 7 | 2 |
| 193 | B1 | 1 | 0 | 2,7 | 0 | 2 | 7 | 2 |
| 194 | B1 | 1 | 0 | 1,4,7 | 0 | 2 | 7 | 2 |
| 195 | B1 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 7 | 2 |
| 196 | B1 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 7 | 2 |
| 197 | B1 | 1 | 0 | 1,3,5,7,9 | 0 | 2 | 7 | 2 |
| 198 | B4 | 16 | 0 | 4,9 | 0 | 2 | 1 | 12 |
| 199 | B4 | 16 | 1 | 4 | 0 | 2 | 1 | 12 |
| 200 | B4 | 8 | 0 | 4,9 | 0 | 2 | 1 | 12 |
| 201 | B4 | 8 | 1 | 4 | 0 | 2 | 1 | 12 |
| 202 | B4 | 4 | 0 | 4,9 | 0 | 2 | 1 | 12 |
| 203 | B4 | 4 | 0 | 4 | 0 | 2 | 1 | 12 |
| 204 | B4 | 4 | 1 | 4,9 | 0 | 2 | 1 | 12 |
| 205 | B4 | 2 | 0 | 4,9 | 0 | 2 | 1 | 12 |
| 206 | B4 | 2 | 0 | 1 | 0 | 2 | 1 | 12 |
| 207 | B4 | 2 | 0 | 4 | 0 | 2 | 1 | 12 |
| 208 | B4 | 2 | 0 | 7 | 0 | 2 | 1 | 12 |
| 209 | B4 | 1 | 0 | 1 | 0 | 2 | 1 | 12 |
| 210 | B4 | 1 | 0 | 4 | 0 | 2 | 1 | 12 |
| 211 | B4 | 1 | 0 | 7 | 0 | 2 | 1 | 12 |
| 212 | B4 | 1 | 0 | 1,6 | 0 | 2 | 1 | 12 |
| 213 | B4 | 1 | 0 | 2,7 | 0 | 2 | 1 | 12 |
| 214 | B4 | 1 | 0 | 4,9 | 0 | 2 | 1 | 12 |
| 215 | B4 | 1 | 0 | 1,4,7 | 0 | 2 | 1 | 12 |
| 216 | B4 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 1 | 12 |
| 217 | B4 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 1 | 12 |
| 218 | B4 | 1 | 0 | 1,3,5,7,9 | 0 | 2 | 1 | 12 |
| 219 | C0 | 8 | 1 | 4 | 0 | 2 | 7 | 2 |
| 220 | C0 | 4 | 1 | 4,9 | 0 | 1 | 7 | 2 |
| 221 | C0 | 4 | 0 | 4 | 0 | 2 | 7 | 2 |
| 222 | C0 | 2 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 223 | C0 | 2 | 0 | 1 | 0 | 2 | 7 | 2 |
| 224 | C0 | 2 | 0 | 4 | 0 | 2 | 7 | 2 |
| 225 | C0 | 2 | 0 | 7 | 0 | 2 | 7 | 2 |
| 226 | C0 | 1 | 0 | 4 | 0 | 1 | 7 | 2 |
| 227 | C0 | 1 | 0 | 1,6 | 0 | 1 | 7 | 2 |
| 228 | C0 | 1 | 0 | 4,9 | 0 | 1 | 7 | 2 |
| 229 | C0 | 1 | 0 | 1 | 0 | 2 | 7 | 2 |
| 230 | C0 | 1 | 0 | 7 | 0 | 2 | 7 | 2 |
| 231 | C0 | 1 | 0 | 2,7 | 0 | 2 | 7 | 2 |
| 232 | C0 | 1 | 0 | 1,4,7 | 0 | 2 | 7 | 2 |
| 233 | C0 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 7 | 2 |
| 234 | C0 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 7 | 2 |
| 235 | C0 | 1 | 0 | 1,3,5,7,9 | 0 | 2 | 7 | 2 |
| 236 | C2 | 16 | 1 | 4,9 | 0 | 1 | 2 | 6 |
| 237 | C2 | 16 | 1 | 4 | 0 | 2 | 2 | 6 |
| 238 | C2 | 8 | 1 | 4,9 | 0 | 1 | 2 | 6 |
| 239 | C2 | 8 | 1 | 4 | 0 | 2 | 2 | 6 |
| 240 | C2 | 4 | 0 | 4,9 | 0 | 1 | 2 | 6 |
| 241 | C2 | 4 | 0 | 4 | 0 | 2 | 2 | 6 |
| 242 | C2 | 2 | 1 | 2,6,9 | 0 | 2 | 2 | 6 |
| 243 | C2 | 2 | 0 | 1 | 0 | 2 | 2 | 6 |
| 244 | C2 | 2 | 0 | 4 | 0 | 2 | 2 | 6 |
| 245 | C2 | 2 | 0 | 7 | 0 | 2 | 2 | 6 |
| 246 | C2 | 1 | 0 | 4 | 0 | 1 | 2 | 6 |
| 247 | C2 | 1 | 0 | 1,6 | 0 | 1 | 2 | 6 |
| 248 | C2 | 1 | 0 | 4,9 | 0 | 1 | 2 | 6 |
| 249 | C2 | 1 | 0 | 1 | 0 | 2 | 2 | 6 |
| 250 | C2 | 1 | 0 | 7 | 0 | 2 | 2 | 6 |
| 251 | C2 | 1 | 0 | 2,7 | 0 | 2 | 2 | 6 |
| 252 | C2 | 1 | 0 | 1,4,7 | 0 | 2 | 2 | 6 |
| 253 | C2 | 1 | 0 | 0,2,4,6,8 | 0 | 2 | 2 | 6 |
| 254 | C2 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 2 | 6 |
| 255 | C2 | 1 | 0 | 1,3,5,7,9 | 0 | 2 | 2 | 6 |

Table 6.3.3.2-3: Random access configurations for FR1 and unpaired spectrum.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| PRACH Configuration  Index | Preamble format |  | | Subframe number | Starting symbol | Number of PRACH slots within a subframe | , number of time-domain PRACH occasions within a PRACH slot | , PRACH duration |
|  |  |
| 0 | 0 | 16 | 1 | 9 | 0 | - | - | 0 |
| 1 | 0 | 8 | 1 | 9 | 0 | - | - | 0 |
| 2 | 0 | 4 | 1 | 9 | 0 | - | - | 0 |
| 3 | 0 | 2 | 0 | 9 | 0 | - | - | 0 |
| 4 | 0 | 2 | 1 | 9 | 0 | - | - | 0 |
| 5 | 0 | 2 | 0 | 4 | 0 | - | - | 0 |
| 6 | 0 | 2 | 1 | 4 | 0 | - | - | 0 |
| 7 | 0 | 1 | 0 | 9 | 0 | - | - | 0 |
| 8 | 0 | 1 | 0 | 8 | 0 | - | - | 0 |
| 9 | 0 | 1 | 0 | 7 | 0 | - | - | 0 |
| 10 | 0 | 1 | 0 | 6 | 0 | - | - | 0 |
| 11 | 0 | 1 | 0 | 5 | 0 | - | - | 0 |
| 12 | 0 | 1 | 0 | 4 | 0 | - | - | 0 |
| 13 | 0 | 1 | 0 | 3 | 0 | - | - | 0 |
| 14 | 0 | 1 | 0 | 2 | 0 | - | - | 0 |
| 15 | 0 | 1 | 0 | 1,6 | 0 |  |  | 0 |
| 16 | 0 | 1 | 0 | 1,6 | 7 | - | - | 0 |
| 17 | 0 | 1 | 0 | 4,9 | 0 | - | - | 0 |
| 18 | 0 | 1 | 0 | 3,8 | 0 | - | - | 0 |
| 19 | 0 | 1 | 0 | 2,7 | 0 | - | - | 0 |
| 20 | 0 | 1 | 0 | 8,9 | 0 | - | - | 0 |
| 21 | 0 | 1 | 0 | 4,8,9 | 0 | - | - | 0 |
| 22 | 0 | 1 | 0 | 3,4,9 | 0 | - | - | 0 |
| 23 | 0 | 1 | 0 | 7,8,9 | 0 | - | - | 0 |
| 24 | 0 | 1 | 0 | 3,4,8,9 | 0 | - | - | 0 |
| 25 | 0 | 1 | 0 | 6,7,8,9 | 0 | - | - | 0 |
| 26 | 0 | 1 | 0 | 1,4,6,9 | 0 | - | - | 0 |
| 27 | 0 | 1 | 0 | 1,3,5,7,9 | 0 | - | - | 0 |
| 28 | 1 | 16 | 1 | 7 | 0 | - | - | 0 |
| 29 | 1 | 8 | 1 | 7 | 0 | - | - | 0 |
| 30 | 1 | 4 | 1 | 7 | 0 | - | - | 0 |
| 31 | 1 | 2 | 0 | 7 | 0 | - | - | 0 |
| 32 | 1 | 2 | 1 | 7 | 0 | - | - | 0 |
| 33 | 1 | 1 | 0 | 7 | 0 | - | - | 0 |
| 34 | 2 | 16 | 1 | 6 | 0 | - | - | 0 |
| 35 | 2 | 8 | 1 | 6 | 0 | - | - | 0 |
| 36 | 2 | 4 | 1 | 6 | 0 | - | - | 0 |
| 37 | 2 | 2 | 0 | 6 | 7 | - | - | 0 |
| 38 | 2 | 2 | 1 | 6 | 7 | - | - | 0 |
| 39 | 2 | 1 | 0 | 6 | 7 | - | - | 0 |
| 40 | 3 | 16 | 1 | 9 | 0 | - | - | 0 |
| 41 | 3 | 8 | 1 | 9 | 0 | - | - | 0 |
| 42 | 3 | 4 | 1 | 9 | 0 | - | - | 0 |
| 43 | 3 | 2 | 0 | 9 | 0 | - | - | 0 |
| 44 | 3 | 2 | 1 | 9 | 0 | - | - | 0 |
| 45 | 3 | 2 | 0 | 4 | 0 | - | - | 0 |
| 46 | 3 | 2 | 1 | 4 | 0 | - | - | 0 |
| 47 | 3 | 1 | 0 | 9 | 0 | - | - | 0 |
| 48 | 3 | 1 | 0 | 8 | 0 | - | - | 0 |
| 49 | 3 | 1 | 0 | 7 | 0 | - | - | 0 |
| 50 | 3 | 1 | 0 | 6 | 0 | - | - | 0 |
| 51 | 3 | 1 | 0 | 5 | 0 | - | - | 0 |
| 52 | 3 | 1 | 0 | 4 | 0 | - | - | 0 |
| 53 | 3 | 1 | 0 | 3 | 0 | - | - | 0 |
| 54 | 3 | 1 | 0 | 2 | 0 | - | - | 0 |
| 55 | 3 | 1 | 0 | 1,6 | 0 | - | - | 0 |
| 56 | 3 | 1 | 0 | 1,6 | 7 | - | - | 0 |
| 57 | 3 | 1 | 0 | 4,9 | 0 | - | - | 0 |
| 58 | 3 | 1 | 0 | 3,8 | 0 | - | - | 0 |
| 59 | 3 | 1 | 0 | 2,7 | 0 | - | - | 0 |
| 60 | 3 | 1 | 0 | 8,9 | 0 | - | - | 0 |
| 61 | 3 | 1 | 0 | 4,8,9 | 0 | - | - | 0 |
| 62 | 3 | 1 | 0 | 3,4,9 | 0 | - | - | 0 |
| 63 | 3 | 1 | 0 | 7,8,9 | 0 | - | - | 0 |
| 64 | 3 | 1 | 0 | 3,4,8,9 | 0 | - | - | 0 |
| 65 | 3 | 1 | 0 | 1,4,6,9 | 0 | - | - | 0 |
| 66 | 3 | 1 | 0 | 1,3,5,7,9 | 0 | - | - | 0 |
| 67 | A1 | 16 | 1 | 9 | 0 | 2 | 6 | 2 |
| 68 | A1 | 8 | 1 | 9 | 0 | 2 | 6 | 2 |
| 69 | A1 | 4 | 1 | 9 | 0 | 1 | 6 | 2 |
| 70 | A1 | 2 | 1 | 9 | 0 | 1 | 6 | 2 |
| 71 | A1 | 2 | 1 | 4,9 | 7 | 1 | 3 | 2 |
| 72 | A1 | 2 | 1 | 7,9 | 7 | 1 | 3 | 2 |
| 73 | A1 | 2 | 1 | 7,9 | 0 | 1 | 6 | 2 |
| 74 | A1 | 2 | 1 | 8,9 | 0 | 2 | 6 | 2 |
| 75 | A1 | 2 | 1 | 4,9 | 0 | 2 | 6 | 2 |
| 76 | A1 | 2 | 1 | 2,3,4,7,8,9 | 0 | 1 | 6 | 2 |
| 77 | A1 | 1 | 0 | 9 | 0 | 2 | 6 | 2 |
| 78 | A1 | 1 | 0 | 9 | 7 | 1 | 3 | 2 |
| 79 | A1 | 1 | 0 | 9 | 0 | 1 | 6 | 2 |
| 80 | A1 | 1 | 0 | 8,9 | 0 | 2 | 6 | 2 |
| 81 | A1 | 1 | 0 | 4,9 | 0 | 1 | 6 | 2 |
| 82 | A1 | 1 | 0 | 7,9 | 7 | 1 | 3 | 2 |
| 83 | A1 | 1 | 0 | 3,4,8,9 | 0 | 1 | 6 | 2 |
| 84 | A1 | 1 | 0 | 3,4,8,9 | 0 | 2 | 6 | 2 |
| 85 | A1 | 1 | 0 | 1,3,5,7,9 | 0 | 1 | 6 | 2 |
| 86 | A1 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 7 | 1 | 3 | 2 |
| 87 | A2 | 16 | 1 | 9 | 0 | 2 | 3 | 4 |
| 88 | A2 | 8 | 1 | 9 | 0 | 2 | 3 | 4 |
| 89 | A2 | 4 | 1 | 9 | 0 | 1 | 3 | 4 |
| 90 | A2 | 2 | 1 | 7,9 | 0 | 1 | 3 | 4 |
| 91 | A2 | 2 | 1 | 8,9 | 0 | 2 | 3 | 4 |
| 92 | A2 | 2 | 1 | 7,9 | 9 | 1 | 1 | 4 |
| 93 | A2 | 2 | 1 | 4,9 | 9 | 1 | 1 | 4 |
| 94 | A2 | 2 | 1 | 4,9 | 0 | 2 | 3 | 4 |
| 95 | A2 | 16 | 1 | 2,3,4,7,8,9 | 0 | 1 | 3 | 4 |
| 96 | A2 | 1 | 0 | 2 | 0 | 1 | 3 | 4 |
| 97 | A2 | 1 | 0 | 7 | 0 | 1 | 3 | 4 |
| 98 | A2 | 2 | 1 | 9 | 0 | 1 | 3 | 4 |
| 99 | A2 | 1 | 0 | 9 | 0 | 2 | 3 | 4 |
| 100 | A2 | 1 | 0 | 9 | 9 | 1 | 1 | 4 |
| 101 | A2 | 1 | 0 | 9 | 0 | 1 | 3 | 4 |
| 102 | A2 | 1 | 0 | 2,7 | 0 | 1 | 3 | 4 |
| 103 | A2 | 1 | 0 | 8,9 | 0 | 2 | 3 | 4 |
| 104 | A2 | 1 | 0 | 4,9 | 0 | 1 | 3 | 4 |
| 105 | A2 | 1 | 0 | 7,9 | 9 | 1 | 1 | 4 |
| 106 | A2 | 1 | 0 | 3,4,8,9 | 0 | 1 | 3 | 4 |
| 107 | A2 | 1 | 0 | 3,4,8,9 | 0 | 2 | 3 | 4 |
| 108 | A2 | 1 | 0 | 1,3,5,7,9 | 0 | 1 | 3 | 4 |
| 109 | A2 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 9 | 1 | 1 | 4 |
| 110 | A3 | 16 | 1 | 9 | 0 | 2 | 2 | 6 |
| 111 | A3 | 8 | 1 | 9 | 0 | 2 | 2 | 6 |
| 112 | A3 | 4 | 1 | 9 | 0 | 1 | 2 | 6 |
| 113 | A3 | 2 | 1 | 4,9 | 7 | 1 | 1 | 6 |
| 114 | A3 | 2 | 1 | 7,9 | 7 | 1 | 1 | 6 |
| 115 | A3 | 2 | 1 | 7,9 | 0 | 1 | 2 | 6 |
| 116 | A3 | 2 | 1 | 4,9 | 0 | 2 | 2 | 6 |
| 117 | A3 | 2 | 1 | 8,9 | 0 | 2 | 2 | 6 |
| 118 | A3 | 2 | 1 | 2,3,4,7,8,9 | 0 | 1 | 2 | 6 |
| 119 | A3 | 1 | 0 | 2 | 0 | 1 | 2 | 6 |
| 120 | A3 | 1 | 0 | 7 | 0 | 1 | 2 | 6 |
| 121 | A3 | 2 | 1 | 9 | 0 | 1 | 2 | 6 |
| 122 | A3 | 1 | 0 | 9 | 0 | 2 | 2 | 6 |
| 123 | A3 | 1 | 0 | 9 | 7 | 1 | 1 | 6 |
| 124 | A3 | 1 | 0 | 9 | 0 | 1 | 2 | 6 |
| 125 | A3 | 1 | 0 | 2,7 | 0 | 1 | 2 | 6 |
| 126 | A3 | 1 | 0 | 8,9 | 0 | 2 | 2 | 6 |
| 127 | A3 | 1 | 0 | 4,9 | 0 | 1 | 2 | 6 |
| 128 | A3 | 1 | 0 | 7,9 | 7 | 1 | 1 | 6 |
| 129 | A3 | 1 | 0 | 3,4,8,9 | 0 | 1 | 2 | 6 |
| 130 | A3 | 1 | 0 | 3,4,8,9 | 0 | 2 | 2 | 6 |
| 131 | A3 | 1 | 0 | 1,3,5,7,9 | 0 | 1 | 2 | 6 |
| 132 | A3 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 7 | 1 | 1 | 6 |
| 133 | B1 | 4 | 1 | 9 | 2 | 1 | 6 | 2 |
| 134 | B1 | 2 | 1 | 9 | 2 | 1 | 6 | 2 |
| 135 | B1 | 2 | 1 | 7,9 | 2 | 1 | 6 | 2 |
| 136 | B1 | 2 | 1 | 4,9 | 8 | 1 | 3 | 2 |
| 137 | B1 | 2 | 1 | 4,9 | 2 | 2 | 6 | 2 |
| 138 | B1 | 1 | 0 | 9 | 2 | 2 | 6 | 2 |
| 139 | B1 | 1 | 0 | 9 | 8 | 1 | 3 | 2 |
| 140 | B1 | 1 | 0 | 9 | 2 | 1 | 6 | 2 |
| 141 | B1 | 1 | 0 | 8,9 | 2 | 2 | 6 | 2 |
| 142 | B1 | 1 | 0 | 4,9 | 2 | 1 | 6 | 2 |
| 143 | B1 | 1 | 0 | 7,9 | 8 | 1 | 3 | 2 |
| 144 | B1 | 1 | 0 | 1,3,5,7,9 | 2 | 1 | 6 | 2 |
| 145 | B4 | 16 | 1 | 9 | 0 | 2 | 1 | 12 |
| 146 | B4 | 8 | 1 | 9 | 0 | 2 | 1 | 12 |
| 147 | B4 | 4 | 1 | 9 | 2 | 1 | 1 | 12 |
| 148 | B4 | 2 | 1 | 9 | 0 | 1 | 1 | 12 |
| 149 | B4 | 2 | 1 | 9 | 2 | 1 | 1 | 12 |
| 150 | B4 | 2 | 1 | 7,9 | 2 | 1 | 1 | 12 |
| 151 | B4 | 2 | 1 | 4,9 | 2 | 1 | 1 | 12 |
| 152 | B4 | 2 | 1 | 4,9 | 0 | 2 | 1 | 12 |
| 153 | B4 | 2 | 1 | 8,9 | 0 | 2 | 1 | 12 |
| 154 | B4 | 2 | 1 | 2,3,4,7,8,9 | 0 | 1 | 1 | 12 |
| 155 | B4 | 1 | 0 | 1 | 0 | 1 | 1 | 12 |
| 156 | B4 | 1 | 0 | 2 | 0 | 1 | 1 | 12 |
| 157 | B4 | 1 | 0 | 4 | 0 | 1 | 1 | 12 |
| 158 | B4 | 1 | 0 | 7 | 0 | 1 | 1 | 12 |
| 159 | B4 | 1 | 0 | 9 | 0 | 1 | 1 | 12 |
| 160 | B4 | 1 | 0 | 9 | 2 | 1 | 1 | 12 |
| 161 | B4 | 1 | 0 | 9 | 0 | 2 | 1 | 12 |
| 162 | B4 | 1 | 0 | 4,9 | 2 | 1 | 1 | 12 |
| 163 | B4 | 1 | 0 | 7,9 | 2 | 1 | 1 | 12 |
| 164 | B4 | 1 | 0 | 8,9 | 0 | 2 | 1 | 12 |
| 165 | B4 | 1 | 0 | 3,4,8,9 | 2 | 1 | 1 | 12 |
| 166 | B4 | 1 | 0 | 1,3,5,7,9 | 2 | 1 | 1 | 12 |
| 167 | B4 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 0 | 2 | 1 | 12 |
| 168 | B4 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 2 | 1 | 1 | 12 |
| 169 | C0 | 16 | 1 | 9 | 2 | 2 | 6 | 2 |
| 170 | C0 | 8 | 1 | 9 | 2 | 2 | 6 | 2 |
| 171 | C0 | 4 | 1 | 9 | 2 | 1 | 6 | 2 |
| 172 | C0 | 2 | 1 | 9 | 2 | 1 | 6 | 2 |
| 173 | C0 | 2 | 1 | 8,9 | 2 | 2 | 6 | 2 |
| 174 | C0 | 2 | 1 | 7,9 | 2 | 1 | 6 | 2 |
| 175 | C0 | 2 | 1 | 7,9 | 8 | 1 | 3 | 2 |
| 176 | C0 | 2 | 1 | 4,9 | 8 | 1 | 3 | 2 |
| 177 | C0 | 2 | 1 | 4,9 | 2 | 2 | 6 | 2 |
| 178 | C0 | 2 | 1 | 2,3,4,7,8,9 | 2 | 1 | 6 | 2 |
| 179 | C0 | 1 | 0 | 9 | 2 | 2 | 6 | 2 |
| 180 | C0 | 1 | 0 | 9 | 8 | 1 | 3 | 2 |
| 181 | C0 | 1 | 0 | 9 | 2 | 1 | 6 | 2 |
| 182 | C0 | 1 | 0 | 8,9 | 2 | 2 | 6 | 2 |
| 183 | C0 | 1 | 0 | 4,9 | 2 | 1 | 6 | 2 |
| 184 | C0 | 1 | 0 | 7,9 | 8 | 1 | 3 | 2 |
| 185 | C0 | 1 | 0 | 3,4,8,9 | 2 | 1 | 6 | 2 |
| 186 | C0 | 1 | 0 | 3,4,8,9 | 2 | 2 | 6 | 2 |
| 187 | C0 | 1 | 0 | 1,3,5,7,9 | 2 | 1 | 6 | 2 |
| 188 | C0 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 8 | 1 | 3 | 2 |
| 189 | C2 | 16 | 1 | 9 | 2 | 2 | 2 | 6 |
| 190 | C2 | 8 | 1 | 9 | 2 | 2 | 2 | 6 |
| 191 | C2 | 4 | 1 | 9 | 2 | 1 | 2 | 6 |
| 192 | C2 | 2 | 1 | 9 | 2 | 1 | 2 | 6 |
| 193 | C2 | 2 | 1 | 8,9 | 2 | 2 | 2 | 6 |
| 194 | C2 | 2 | 1 | 7,9 | 2 | 1 | 2 | 6 |
| 195 | C2 | 2 | 1 | 7,9 | 8 | 1 | 1 | 6 |
| 196 | C2 | 2 | 1 | 4,9 | 8 | 1 | 1 | 6 |
| 197 | C2 | 2 | 1 | 4,9 | 2 | 2 | 2 | 6 |
| 198 | C2 | 2 | 1 | 2,3,4,7,8,9 | 2 | 1 | 2 | 6 |
| 199 | C2 | 8 | 1 | 9 | 8 | 2 | 1 | 6 |
| 200 | C2 | 4 | 1 | 9 | 8 | 1 | 1 | 6 |
| 201 | C2 | 1 | 0 | 9 | 2 | 2 | 2 | 6 |
| 202 | C2 | 1 | 0 | 9 | 8 | 1 | 1 | 6 |
| 203 | C2 | 1 | 0 | 9 | 2 | 1 | 2 | 6 |
| 204 | C2 | 1 | 0 | 8,9 | 2 | 2 | 2 | 6 |
| 205 | C2 | 1 | 0 | 4,9 | 2 | 1 | 2 | 6 |
| 206 | C2 | 1 | 0 | 7,9 | 8 | 1 | 1 | 6 |
| 207 | C2 | 1 | 0 | 3,4,8,9 | 2 | 1 | 2 | 6 |
| 208 | C2 | 1 | 0 | 3,4,8,9 | 2 | 2 | 2 | 6 |
| 209 | C2 | 1 | 0 | 1,3,5,7,9 | 2 | 1 | 2 | 6 |
| 210 | C2 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 8 | 1 | 1 | 6 |
| 211 | A1/B1 | 2 | 1 | 9 | 2 | 1 | 6 | 2 |
| 212 | A1/B1 | 2 | 1 | 4,9 | 8 | 1 | 3 | 2 |
| 213 | A1/B1 | 2 | 1 | 7,9 | 8 | 1 | 3 | 2 |
| 214 | A1/B1 | 2 | 1 | 7,9 | 2 | 1 | 6 | 2 |
| 215 | A1/B1 | 2 | 1 | 4,9 | 2 | 2 | 6 | 2 |
| 216 | A1/B1 | 2 | 1 | 8,9 | 2 | 2 | 6 | 2 |
| 217 | A1/B1 | 1 | 0 | 9 | 2 | 2 | 6 | 2 |
| 218 | A1/B1 | 1 | 0 | 9 | 8 | 1 | 3 | 2 |
| 219 | A1/B1 | 1 | 0 | 9 | 2 | 1 | 6 | 2 |
| 220 | A1/B1 | 1 | 0 | 8,9 | 2 | 2 | 6 | 2 |
| 221 | A1/B1 | 1 | 0 | 4,9 | 2 | 1 | 6 | 2 |
| 222 | A1/B1 | 1 | 0 | 7,9 | 8 | 1 | 3 | 2 |
| 223 | A1/B1 | 1 | 0 | 3,4,8,9 | 2 | 2 | 6 | 2 |
| 224 | A1/B1 | 1 | 0 | 1,3,5,7,9 | 2 | 1 | 6 | 2 |
| 225 | A1/B1 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 8 | 1 | 3 | 2 |
| 226 | A2/B2 | 2 | 1 | 9 | 0 | 1 | 3 | 4 |
| 227 | A2/B2 | 2 | 1 | 4,9 | 6 | 1 | 2 | 4 |
| 228 | A2/B2 | 2 | 1 | 7,9 | 6 | 1 | 2 | 4 |
| 229 | A2/B2 | 2 | 1 | 4,9 | 0 | 2 | 3 | 4 |
| 230 | A2/B2 | 2 | 1 | 8,9 | 0 | 2 | 3 | 4 |
| 231 | A2/B2 | 1 | 0 | 9 | 0 | 2 | 3 | 4 |
| 232 | A2/B2 | 1 | 0 | 9 | 6 | 1 | 2 | 4 |
| 233 | A2/B2 | 1 | 0 | 9 | 0 | 1 | 3 | 4 |
| 234 | A2/B2 | 1 | 0 | 8,9 | 0 | 2 | 3 | 4 |
| 235 | A2/B2 | 1 | 0 | 4,9 | 0 | 1 | 3 | 4 |
| 236 | A2/B2 | 1 | 0 | 7,9 | 6 | 1 | 2 | 4 |
| 237 | A2/B2 | 1 | 0 | 3,4,8,9 | 0 | 1 | 3 | 4 |
| 238 | A2/B2 | 1 | 0 | 3,4,8,9 | 0 | 2 | 3 | 4 |
| 239 | A2/B2 | 1 | 0 | 1,3,5,7,9 | 0 | 1 | 3 | 4 |
| 240 | A2/B2 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 6 | 1 | 2 | 4 |
| 241 | A3/B3 | 2 | 1 | 9 | 0 | 1 | 2 | 6 |
| 242 | A3/B3 | 2 | 1 | 4,9 | 2 | 1 | 2 | 6 |
| 243 | A3/B3 | 2 | 1 | 7,9 | 0 | 1 | 2 | 6 |
| 244 | A3/B3 | 2 | 1 | 7,9 | 2 | 1 | 2 | 6 |
| 245 | A3/B3 | 2 | 1 | 4,9 | 0 | 2 | 2 | 6 |
| 246 | A3/B3 | 2 | 1 | 8,9 | 0 | 2 | 2 | 6 |
| 247 | A3/B3 | 1 | 0 | 9 | 0 | 2 | 2 | 6 |
| 248 | A3/B3 | 1 | 0 | 9 | 2 | 1 | 2 | 6 |
| 249 | A3/B3 | 1 | 0 | 9 | 0 | 1 | 2 | 6 |
| 250 | A3/B3 | 1 | 0 | 8,9 | 0 | 2 | 2 | 6 |
| 251 | A3/B3 | 1 | 0 | 4,9 | 0 | 1 | 2 | 6 |
| 252 | A3/B3 | 1 | 0 | 7,9 | 2 | 1 | 2 | 6 |
| 253 | A3/B3 | 1 | 0 | 3,4,8,9 | 0 | 2 | 2 | 6 |
| 254 | A3/B3 | 1 | 0 | 1,3,5,7,9 | 0 | 1 | 2 | 6 |
| 255 | A3/B3 | 1 | 0 | 0,1,2,3,4,5,6,7,8,9 | 2 | 1 | 2 | 6 |

Table 6.3.3.2-4: Random access configurations for FR2 and unpaired spectrum.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| PRACH Config.  Index | Preamble format |  | | Slot number | Starting symbol | Number of PRACH slots within a 60 kHz slot | , number of time-domain PRACH occasions within a PRACH slot | , PRACH duration |
|  |  |
| 0 | A1 | 16 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 6 | 2 |
| 1 | A1 | 16 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 6 | 2 |
| 2 | A1 | 8 | 1,2 | 9,19,29,39 | 0 | 2 | 6 | 2 |
| 3 | A1 | 8 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 6 | 2 |
| 4 | A1 | 8 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 6 | 2 |
| 5 | A1 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 6 | 2 |
| 6 | A1 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 6 | 2 |
| 7 | A1 | 4 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 6 | 2 |
| 8 | A1 | 2 | 1 | 7,15,23,31,39 | 0 | 2 | 6 | 2 |
| 9 | A1 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 6 | 2 |
| 10 | A1 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 6 | 2 |
| 11 | A1 | 2 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 6 | 2 |
| 12 | A1 | 1 | 0 | 19,39 | 7 | 1 | 3 | 2 |
| 13 | A1 | 1 | 0 | 3,5,7 | 0 | 1 | 6 | 2 |
| 14 | A1 | 1 | 0 | 24,29,34,39 | 7 | 1 | 3 | 2 |
| 15 | A1 | 1 | 0 | 9,19,29,39 | 7 | 2 | 3 | 2 |
| 16 | A1 | 1 | 0 | 17,19,37,39 | 0 | 1 | 6 | 2 |
| 17 | A1 | 1 | 0 | 9,19,29,39 | 0 | 2 | 6 | 2 |
| 18 | A1 | 1 | 0 | 4,9,14,19,24,29,34,39 | 0 | 1 | 6 | 2 |
| 19 | A1 | 1 | 0 | 4,9,14,19,24,29,34,39 | 7 | 1 | 3 | 2 |
| 20 | A1 | 1 | 0 | 3,5,7,9,11,13 | 7 | 1 | 3 | 2 |
| 21 | A1 | 1 | 0 | 23,27,31,35,39 | 7 | 1 | 3 | 2 |
| 22 | A1 | 1 | 0 | 7,15,23,31,39 | 0 | 1 | 6 | 2 |
| 23 | A1 | 1 | 0 | 23,27,31,35,39 | 0 | 1 | 6 | 2 |
| 24 | A1 | 1 | 0 | 13,14,15, 29,30,31,37,38,39 | 7 | 2 | 3 | 2 |
| 25 | A1 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 7 | 1 | 3 | 2 |
| 26 | A1 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 6 | 2 |
| 27 | A1 | 1 | 0 | 1,3,5,7,…,37,39 | 0 | 1 | 6 | 2 |
| 28 | A1 | 1 | 0 | 0,1,2,…,39 | 7 | 1 | 3 | 2 |
| 29 | A2 | 16 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 3 | 4 |
| 30 | A2 | 16 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 3 | 4 |
| 31 | A2 | 8 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 3 | 4 |
| 32 | A2 | 8 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 3 | 4 |
| 33 | A2 | 8 | 1,2 | 9,19,29,39 | 0 | 2 | 3 | 4 |
| 34 | A2 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 3 | 4 |
| 35 | A2 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 3 | 4 |
| 36 | A2 | 4 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 3 | 4 |
| 37 | A2 | 2 | 1 | 7,15,23,31,39 | 0 | 2 | 3 | 4 |
| 38 | A2 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 3 | 4 |
| 39 | A2 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 3 | 4 |
| 40 | A2 | 2 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 3 | 4 |
| 41 | A2 | 1 | 0 | 19,39 | 5 | 1 | 2 | 4 |
| 42 | A2 | 1 | 0 | 3,5,7 | 0 | 1 | 3 | 4 |
| 43 | A2 | 1 | 0 | 24,29,34,39 | 5 | 1 | 2 | 4 |
| 44 | A2 | 1 | 0 | 9,19,29,39 | 5 | 2 | 2 | 4 |
| 45 | A2 | 1 | 0 | 17,19,37,39 | 0 | 1 | 3 | 4 |
| 46 | A2 | 1 | 0 | 9, 19, 29, 39 | 0 | 2 | 3 | 4 |
| 47 | A2 | 1 | 0 | 7,15,23,31,39 | 0 | 1 | 3 | 4 |
| 48 | A2 | 1 | 0 | 23,27,31,35,39 | 5 | 1 | 2 | 4 |
| 49 | A2 | 1 | 0 | 23,27,31,35,39 | 0 | 1 | 3 | 4 |
| 50 | A2 | 1 | 0 | 3,5,7,9,11,13 | 5 | 1 | 2 | 4 |
| 51 | A2 | 1 | 0 | 3,5,7,9,11,13 | 0 | 1 | 3 | 4 |
| 52 | A2 | 1 | 0 | 4,9,14,19,24,29,34,39 | 5 | 1 | 2 | 4 |
| 53 | A2 | 1 | 0 | 4,9,14,19,24,29,34,39 | 0 | 1 | 3 | 4 |
| 54 | A2 | 1 | 0 | 13,14,15, 29,30,31,37,38,39 | 5 | 2 | 2 | 4 |
| 55 | A2 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 5 | 1 | 2 | 4 |
| 56 | A2 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 3 | 4 |
| 57 | A2 | 1 | 0 | 1,3,5,7,…,37,39 | 0 | 1 | 3 | 4 |
| 58 | A2 | 1 | 0 | 0,1,2,…,39 | 5 | 1 | 2 | 4 |
| 59 | A3 | 16 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 2 | 6 |
| 60 | A3 | 16 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 61 | A3 | 8 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 2 | 6 |
| 62 | A3 | 8 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 63 | A3 | 8 | 1,2 | 9,19,29,39 | 0 | 2 | 2 | 6 |
| 64 | A3 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 2 | 6 |
| 65 | A3 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 2 | 6 |
| 66 | A3 | 4 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 67 | A3 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 2 | 6 |
| 68 | A3 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 2 | 6 |
| 69 | A3 | 2 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 70 | A3 | 1 | 0 | 19,39 | 7 | 1 | 1 | 6 |
| 71 | A3 | 1 | 0 | 3,5,7 | 0 | 1 | 2 | 6 |
| 72 | A3 | 1 | 0 | 9,11,13 | 2 | 1 | 2 | 6 |
| 73 | A3 | 1 | 0 | 24,29,34,39 | 7 | 1 | 1 | 6 |
| 74 | A3 | 1 | 0 | 9,19,29,39 | 7 | 2 | 1 | 6 |
| 75 | A3 | 1 | 0 | 17,19,37,39 | 0 | 1 | 2 | 6 |
| 76 | A3 | 1 | 0 | 9,19,29,39 | 0 | 2 | 2 | 6 |
| 77 | A3 | 1 | 0 | 7,15,23,31,39 | 0 | 1 | 2 | 6 |
| 78 | A3 | 1 | 0 | 23,27,31,35,39 | 7 | 1 | 1 | 6 |
| 79 | A3 | 1 | 0 | 23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 80 | A3 | 1 | 0 | 3,5,7,9,11,13 | 0 | 1 | 2 | 6 |
| 81 | A3 | 1 | 0 | 3,5,7,9,11,13 | 7 | 1 | 1 | 6 |
| 82 | A3 | 1 | 0 | 4,9,14,19,24,29,34,39 | 0 | 1 | 2 | 6 |
| 83 | A3 | 1 | 0 | 4,9,14,19,24,29,34,39 | 7 | 1 | 1 | 6 |
| 84 | A3 | 1 | 0 | 13,14,15, 29,30,31,37,38,39 | 7 | 2 | 1 | 6 |
| 85 | A3 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 7 | 1 | 1 | 6 |
| 86 | A3 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 87 | A3 | 1 | 0 | 1,3,5,7,…,37,39 | 0 | 1 | 2 | 6 |
| 88 | A3 | 1 | 0 | 0,1,2,…,39 | 7 | 1 | 1 | 6 |
| 89 | B1 | 16 | 1 | 4,9,14,19,24,29,34,39 | 2 | 2 | 6 | 2 |
| 90 | B1 | 8 | 1 | 4,9,14,19,24,29,34,39 | 2 | 2 | 6 | 2 |
| 91 | B1 | 8 | 1,2 | 9,19,29,39 | 2 | 2 | 6 | 2 |
| 92 | B1 | 4 | 1 | 4,9,14,19,24,29,34,39 | 2 | 2 | 6 | 2 |
| 93 | B1 | 2 | 1 | 4,9,14,19,24,29,34,39 | 2 | 2 | 6 | 2 |
| 94 | B1 | 2 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 6 | 2 |
| 95 | B1 | 1 | 0 | 19,39 | 8 | 1 | 3 | 2 |
| 96 | B1 | 1 | 0 | 3,5,7 | 2 | 1 | 6 | 2 |
| 97 | B1 | 1 | 0 | 24,29,34,39 | 8 | 1 | 3 | 2 |
| 98 | B1 | 1 | 0 | 9,19,29,39 | 8 | 2 | 3 | 2 |
| 99 | B1 | 1 | 0 | 17,19,37,39 | 2 | 1 | 6 | 2 |
| 100 | B1 | 1 | 0 | 9,19,29,39 | 2 | 2 | 6 | 2 |
| 101 | B1 | 1 | 0 | 7,15,23,31,39 | 2 | 1 | 6 | 2 |
| 102 | B1 | 1 | 0 | 23,27,31,35,39 | 8 | 1 | 3 | 2 |
| 103 | B1 | 1 | 0 | 23,27,31,35,39 | 2 | 1 | 6 | 2 |
| 104 | B1 | 1 | 0 | 3,5,7,9,11,13 | 8 | 1 | 3 | 2 |
| 105 | B1 | 1 | 0 | 4,9,14,19,24,29,34,39 | 8 | 1 | 3 | 2 |
| 106 | B1 | 1 | 0 | 4,9,14,19,24,29,34,39 | 2 | 1 | 6 | 2 |
| 107 | B1 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 8 | 1 | 3 | 2 |
| 108 | B1 | 1 | 0 | 13,14,15, 29,30,31,37,38,39 | 8 | 2 | 3 | 2 |
| 109 | B1 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 6 | 2 |
| 110 | B1 | 1 | 0 | 1,3,5,7,…,37,39 | 2 | 1 | 6 | 2 |
| 111 | B1 | 1 | 0 | 0,1,2,…,39 | 8 | 1 | 3 | 2 |
| 112 | B4 | 16 | 1,2 | 4,9,14,19,24,29,34,39 | 0 | 2 | 1 | 12 |
| 113 | B4 | 16 | 1,2 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 1 | 12 |
| 114 | B4 | 8 | 1,2 | 4,9,14,19,24,29,34,39 | 0 | 2 | 1 | 12 |
| 115 | B4 | 8 | 1,2 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 1 | 12 |
| 116 | B4 | 8 | 1,2 | 9,19,29,39 | 0 | 2 | 1 | 12 |
| 117 | B4 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 1 | 12 |
| 118 | B4 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 1 | 12 |
| 119 | B4 | 4 | 1,2 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 1 | 12 |
| 120 | B4 | 2 | 1 | 7,15,23,31,39 | 2 | 2 | 1 | 12 |
| 121 | B4 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 1 | 12 |
| 122 | B4 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 1 | 12 |
| 123 | B4 | 2 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 1 | 12 |
| 124 | B4 | 1 | 0 | 19, 39 | 2 | 2 | 1 | 12 |
| 125 | B4 | 1 | 0 | 17, 19, 37, 39 | 0 | 1 | 1 | 12 |
| 126 | B4 | 1 | 0 | 24,29,34,39 | 2 | 1 | 1 | 12 |
| 127 | B4 | 1 | 0 | 9,19,29,39 | 2 | 2 | 1 | 12 |
| 128 | B4 | 1 | 0 | 9,19,29,39 | 0 | 2 | 1 | 12 |
| 129 | B4 | 1 | 0 | 7,15,23,31,39 | 0 | 1 | 1 | 12 |
| 130 | B4 | 1 | 0 | 7,15,23,31,39 | 0 | 2 | 1 | 12 |
| 131 | B4 | 1 | 0 | 23,27,31,35,39 | 0 | 1 | 1 | 12 |
| 132 | B4 | 1 | 0 | 23,27,31,35,39 | 2 | 2 | 1 | 12 |
| 133 | B4 | 1 | 0 | 9,11,13,15,17,19 | 0 | 1 | 1 | 12 |
| 134 | B4 | 1 | 0 | 3,5,7,9,11,13 | 02 | 1 | 1 | 12 |
| 135 | B4 | 1 | 0 | 4,9,14,19,24,29,34,39 | 0 | 1 | 1 | 12 |
| 136 | B4 | 1 | 0 | 4,9,14,19,24,29,34,39 | 2 | 2 | 1 | 12 |
| 137 | B4 | 1 | 0 | 13,14,15, 29,30,31,37,38,39 | 2 | 2 | 1 | 12 |
| 138 | B4 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 1 | 12 |
| 139 | B4 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 1 | 12 |
| 140 | B4 | 1 | 0 | 3, 5, 7, …, 23,25 | 2 | 1 | 1 | 12 |
| 141 | B4 | 1 | 0 | 3, 5, 7, …, 23,25 | 0 | 2 | 1 | 12 |
| 142 | B4 | 1 | 0 | 1,3,5,7,…,37,39 | 0 | 1 | 1 | 12 |
| 143 | B4 | 1 | 0 | 0, 1, 2,…, 39 | 2 | 1 | 1 | 12 |
| 144 | C0 | 16 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 7 | 2 |
| 145 | C0 | 16 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 7 | 2 |
| 146 | C0 | 8 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 7 | 2 |
| 147 | C0 | 8 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 7 | 2 |
| 148 | C0 | 8 | 1,2 | 9,19,29,39 | 0 | 2 | 7 | 2 |
| 149 | C0 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 7 | 2 |
| 150 | C0 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 7 | 2 |
| 151 | C0 | 4 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 7 | 2 |
| 152 | C0 | 2 | 1 | 7,15,23,31,39 | 0 | 2 | 7 | 2 |
| 153 | C0 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 7 | 2 |
| 154 | C0 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 7 | 2 |
| 155 | C0 | 2 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 7 | 2 |
| 156 | C0 | 1 | 0 | 19,39 | 8 | 1 | 3 | 2 |
| 157 | C0 | 1 | 0 | 3,5,7 | 0 | 1 | 7 | 2 |
| 158 | C0 | 1 | 0 | 24,29,34,39 | 8 | 1 | 3 | 2 |
| 159 | C0 | 1 | 0 | 9,19,29,39 | 8 | 2 | 3 | 2 |
| 160 | C0 | 1 | 0 | 17,19,37,39 | 0 | 1 | 7 | 2 |
| 161 | C0 | 1 | 0 | 9,19,29,39 | 0 | 2 | 7 | 2 |
| 162 | C0 | 1 | 0 | 23,27,31,35,39 | 8 | 1 | 3 | 2 |
| 163 | C0 | 1 | 0 | 7,15,23,31,39 | 0 | 1 | 7 | 2 |
| 164 | C0 | 1 | 0 | 23,27,31,35,39 | 0 | 1 | 7 | 2 |
| 165 | C0 | 1 | 0 | 3,5,7,9,11,13 | 8 | 1 | 3 | 2 |
| 166 | C0 | 1 | 0 | 4,9,14,19,24,29,34,39 | 8 | 1 | 3 | 2 |
| 167 | C0 | 1 | 0 | 4,9,14,19,24,29,34,39 | 0 | 1 | 7 | 2 |
| 168 | C0 | 1 | 0 | 13,14,15, 29,30,31,37,38,39 | 8 | 2 | 3 | 2 |
| 169 | C0 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 8 | 1 | 3 | 2 |
| 170 | C0 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 7 | 2 |
| 171 | C0 | 1 | 0 | 1,3,5,7,…,37,39 | 0 | 1 | 7 | 2 |
| 172 | C0 | 1 | 0 | 0,1,2,…,39 | 8 | 1 | 3 | 2 |
| 173 | C2 | 16 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 2 | 6 |
| 174 | C2 | 16 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 175 | C2 | 8 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 2 | 6 |
| 176 | C2 | 8 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 177 | C2 | 8 | 1,2 | 9,19,29,39 | 0 | 2 | 2 | 6 |
| 178 | C2 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 2 | 6 |
| 179 | C2 | 4 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 2 | 6 |
| 180 | C2 | 4 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 181 | C2 | 2 | 1 | 7,15,23,31,39 | 2 | 2 | 2 | 6 |
| 182 | C2 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 1 | 2 | 6 |
| 183 | C2 | 2 | 1 | 4,9,14,19,24,29,34,39 | 0 | 2 | 2 | 6 |
| 184 | C2 | 2 | 1 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 185 | C2 | 1 | 0 | 19,39 | 2 | 1 | 2 | 6 |
| 186 | C2 | 1 | 0 | 3,5,7 | 0 | 1 | 2 | 6 |
| 187 | C2 | 1 | 0 | 24,29,34,39 | 7 | 1 | 1 | 6 |
| 188 | C2 | 1 | 0 | 9,19,29,39 | 7 | 2 | 1 | 6 |
| 189 | C2 | 1 | 0 | 17,19,37,39 | 0 | 1 | 2 | 6 |
| 190 | C2 | 1 | 0 | 9,19,29,39 | 2 | 2 | 2 | 6 |
| 191 | C2 | 1 | 0 | 7,15,23,31,39 | 2 | 1 | 2 | 6 |
| 192 | C2 | 1 | 0 | 3,5,7,9,11,13 | 7 | 1 | 1 | 6 |
| 193 | C2 | 1 | 0 | 23,27,31,35,39 | 7 | 2 | 1 | 6 |
| 194 | C2 | 1 | 0 | 23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 195 | C2 | 1 | 0 | 4,9,14,19,24,29,34,39 | 7 | 2 | 1 | 6 |
| 196 | C2 | 1 | 0 | 4,9,14,19,24,29,34,39 | 2 | 1 | 2 | 6 |
| 197 | C2 | 1 | 0 | 13,14,15, 29,30,31,37,38,39 | 7 | 2 | 1 | 6 |
| 198 | C2 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 7 | 1 | 1 | 6 |
| 199 | C2 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 0 | 1 | 2 | 6 |
| 200 | C2 | 1 | 0 | 1,3,5,7,…,37,39 | 0 | 1 | 2 | 6 |
| 201 | C2 | 1 | 0 | 0,1,2,…,39 | 7 | 1 | 1 | 6 |
| 202 | A1/B1 | 16 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 6 | 2 |
| 203 | A1/B1 | 16 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 6 | 2 |
| 204 | A1/B1 | 8 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 6 | 2 |
| 205 | A1/B1 | 8 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 6 | 2 |
| 206 | A1/B1 | 4 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 6 | 2 |
| 207 | A1/B1 | 4 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 6 | 2 |
| 208 | A1/B1 | 2 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 6 | 2 |
| 209 | A1/B1 | 1 | 0 | 19,39 | 8 | 1 | 3 | 2 |
| 210 | A1/B1 | 1 | 0 | 9,19,29,39 | 8 | 1 | 3 | 2 |
| 211 | A1/B1 | 1 | 0 | 17,19,37,39 | 2 | 1 | 6 | 2 |
| 212 | A1/B1 | 1 | 0 | 9,19,29,39 | 2 | 2 | 6 | 2 |
| 213 | A1/B1 | 1 | 0 | 23,27,31,35,39 | 8 | 1 | 3 | 2 |
| 214 | A1/B1 | 1 | 0 | 7,15,23,31,39 | 2 | 1 | 6 | 2 |
| 215 | A1/B1 | 1 | 0 | 23,27,31,35,39 | 2 | 1 | 6 | 2 |
| 216 | A1/B1 | 1 | 0 | 4,9,14,19,24,29,34,39 | 8 | 1 | 3 | 2 |
| 217 | A1/B1 | 1 | 0 | 4,9,14,19,24,29,34,39 | 2 | 1 | 6 | 2 |
| 218 | A1/B1 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 6 | 2 |
| 219 | A1/B1 | 1 | 0 | 1,3,5,7,…,37,39 | 2 | 1 | 6 | 2 |
| 220 | A2/B2 | 16 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 3 | 4 |
| 221 | A2/B2 | 16 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 3 | 4 |
| 222 | A2/B2 | 8 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 3 | 4 |
| 223 | A2/B2 | 8 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 3 | 4 |
| 224 | A2/B2 | 4 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 3 | 4 |
| 225 | A2/B2 | 4 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 3 | 4 |
| 226 | A2/B2 | 2 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 3 | 4 |
| 227 | A2/B2 | 1 | 0 | 19,39 | 6 | 1 | 2 | 4 |
| 228 | A2/B2 | 1 | 0 | 9,19,29,39 | 6 | 1 | 2 | 4 |
| 229 | A2/B2 | 1 | 0 | 17,19,37,39 | 2 | 1 | 3 | 4 |
| 230 | A2/B2 | 1 | 0 | 9,19,29,39 | 2 | 2 | 3 | 4 |
| 231 | A2/B2 | 1 | 0 | 23,27,31,35,39 | 6 | 1 | 2 | 4 |
| 232 | A2/B2 | 1 | 0 | 7,15,23,31,39 | 2 | 1 | 3 | 4 |
| 233 | A2/B2 | 1 | 0 | 23,27,31,35,39 | 2 | 1 | 3 | 4 |
| 234 | A2/B2 | 1 | 0 | 4,9,14,19,24,29,34,39 | 6 | 1 | 2 | 4 |
| 235 | A2/B2 | 1 | 0 | 4,9,14,19,24,29,34,39 | 2 | 1 | 3 | 4 |
| 236 | A2/B2 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 3 | 4 |
| 237 | A2/B2 | 1 | 0 | 1,3,5,7,…,37,39 | 2 | 1 | 3 | 4 |
| 238 | A3/B3 | 16 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 2 | 6 |
| 239 | A3/B3 | 16 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 2 | 6 |
| 240 | A3/B3 | 8 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 2 | 6 |
| 241 | A3/B3 | 8 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 2 | 6 |
| 242 | A3/B3 | 4 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 2 | 6 |
| 243 | A3/B3 | 4 | 1 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 2 | 6 |
| 244 | A3/B3 | 2 | 1 | 4,9,14,19,24,29,34,39 | 2 | 1 | 2 | 6 |
| 245 | A3/B3 | 1 | 0 | 19,39 | 2 | 1 | 2 | 6 |
| 246 | A3/B3 | 1 | 0 | 9,19,29,39 | 2 | 1 | 2 | 6 |
| 247 | A3/B3 | 1 | 0 | 17,19,37,39 | 2 | 1 | 2 | 6 |
| 248 | A3/B3 | 1 | 0 | 9,19,29,39 | 2 | 2 | 2 | 6 |
| 249 | A3/B3 | 1 | 0 | 7,15,23,31,39 | 2 | 1 | 2 | 6 |
| 250 | A3/B3 | 1 | 0 | 23,27,31,35,39 | 2 | 1 | 2 | 6 |
| 251 | A3/B3 | 1 | 0 | 23,27,31,35,39 | 2 | 2 | 2 | 6 |
| 252 | A3/B3 | 1 | 0 | 4,9,14,19,24,29,34,39 | 2 | 1 | 2 | 6 |
| 253 | A3/B3 | 1 | 0 | 4,9,14,19,24,29,34,39 | 2 | 2 | 2 | 6 |
| 254 | A3/B3 | 1 | 0 | 3,7,11,15,19,23,27,31,35,39 | 2 | 1 | 2 | 6 |
| 255 | A3/B3 | 1 | 0 | 1,3,5,7,…,37,39 | 2 | 1 | 2 | 6 |

## 6.4 Physical signals

### 6.4.1 Reference signals

#### 6.4.1.1 Demodulation reference signal for PUSCH

##### 6.4.1.1.1 Sequence generation

6.4.1.1.1.1 Sequence generation when transform precoding is disabled

If transform precoding for PUSCH is not enabled, the sequence  shall be generated according to

.

where the pseudo-random sequence  is defined in clause 5.2.1. The pseudo-random sequence generator shall be initialized with



where  is the OFDM symbol number within the slot,  is the slot number within a frame, and

-  are given by the higher-layer parameters *scramblingID0* and *scramblingID1*, respectively, in the *DMRS-UplinkConfig* IE if provided and the PUSCH is scheduled by DCI format 0\_1;

-  is given by the higher-layer parameter *scramblingID0* in the *DMRS-UplinkConfig* IE if provided and the PUSCH is scheduled by DCI format 0\_0 with the CRC scrambled by C-RNTI;

-  otherwise.

The quantity is indicated by the DM-RS initialization field, if present, in the DCI associated with the PUSCH transmission if DCI format 0\_1 in [4, TS 38.212] is used, otherwise .

6.4.1.1.1.2 Sequence generation when transform precoding is enabled

If transform precoding for PUSCH is enabled, the reference-signal sequence  shall be generated according to



where  is given by clause 5.2.2 with  and  for a PUSCH transmission dynamically scheduled by DCI.

The sequence group , where is given by



- if is configured by the higher-layer parameter *nPUSCH-Identity* in the *DMRS-UplinkConfig* IE and the PUSCH is not a msg3 PUSCH according to clause 8.3 in [5, TS 38.213].



- otherwise

where and the sequence number  are given by:



- if neither group, nor sequence hopping shall be used



- if group hopping but not sequence hopping shall be used



where the pseudo-random sequence  is defined by clause 5.2.1 and shall be initialized with at the beginning of each radio frame



- if sequence hopping but not group hopping shall be used



where the pseudo-random sequence  is defined by clause 5.2.1 and shall be initialized with at the beginning of each radio frame



The quantity above is the OFDM symbol number except for the case of double-symbol DMRS in which case is the OFDM symbol number of the first symbol of the double-symbol DMRS.

##### 6.4.1.1.2 (void)

##### 6.4.1.1.3 Precoding and mapping to physical resources

The sequence  shall be mapped to the intermediate quantity  according to

- if transform precoding is not enabled,



- if transform precoding is enabled



where , , and  are given by Tables 6.4.1.1.3-1 and 6.4.1.1.3-2 and the configuration type is given by the higher-layer parameter *DMRS-UplinkConfig*, and both and correspond to . The intermediate quantity if either or does not correspond to .

The intermediate quantity  shall be precoded, multiplied with the amplitude scaling factor in order to conform to the transmit power specified in [6, TS 38.214], and mapped to physical resources according to



where

- the precoding matrix  is given by clause 6.3.1.5,

- the set of antenna ports  is given by clause 6.3.1.5, and

- the set of antenna ports is given by [6, TS 38.214];



and the following conditions are fulfilled:

- the resource elements  are within the common resource blocks allocated for PUSCH transmission.

The reference point for  is

- subcarrier 0 in common resource block 0 if transform precoding is not enabled, and

- subcarrier 0 of the lowest-numbered resource block of the scheduled PUSCH allocation if transform precoding is enabled.

The reference point for  and the position  of the first DM-RS symbol depends on the mapping type:

- for PUSCH mapping type A:

-  is defined relative to the start of the slot if frequency hopping is disabled and relative to the start of each hop in case frequency hopping is enabled

-  is given by the higher-layer parameter *dmrs-TypeA-Position*

- for PUSCH mapping type B:

-  is defined relative to the start of the scheduled PUSCH resources if frequency hopping is disabled and relative to the start of each hop in case frequency hopping is enabled

- 

The position(s) of the DM-RS symbols is given by  and

- the signalled duration between the first OFDM symbol of the slot and the last OFDM symbol of the scheduled PUSCH resources in the slot for PUSCH mapping type A according to Tables 6.4.1.1.3-3 and 6.4.1.1.3-4 if frequency hopping is not used, or

- the signalled duration of scheduled PUSCH resources for PUSCH mapping type B according to Tables 6.4.1.1.3-3 and 6.4.1.1.3-4 if frequency hopping is not used, or

- the signalled duration per hop according to Table 6.4.1.1.3-6 if frequency hopping is used.

For PUSCH mapping type A, the case *dmrs-AdditionalPosition* equal to 3 is only supported when *dmrs-TypeA-Position* is equal to 2. For PUSCH mapping type A, duration of 4 symbols in Table 6.4.1.1.3-4 is only applicable for *dmrs-AdditionalPosition* equals 2.

The time-domain index  and the supported antenna ports  are given by Tables 6.4.1.1.3-3 through 6.4.1.1.3-6:

- if the higher-layer parameter *maxLength* in *DMRS-UplinkConfig* is equal to 1 and frequency hopping is disabled, the tables shall be used according to single-symbol DM-RS

- if the higher-layer parameter *maxLength* in *DMRS-UplinkConfig* is equal to 2 and frequency hopping is disabled, the associated DCI determines whether single-symbol or double-symbol DM-RS shall be used

- if the higher-layer parameter *dmrs-AdditionalPosition* is equal to or more than 1 and frequency hopping is enabled according to clause 7.3.1.1.2 in [4, TS 38.212], Tables 6.4.1.1.3-6 and 6.4.1.1.3-7 shall be used assuming *dmrs-AdditionalPosition* is 1 for each hop.

Table 6.4.1.1.3-1: Parameters for PUSCH DM-RS configuration type 1.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | CDM group |  |  | |  | |
|  |  |  |  |
| 0 | 0 | 0 | +1 | +1 | +1 | +1 |
| 1 | 0 | 0 | +1 | -1 | +1 | +1 |
| 2 | 1 | 1 | +1 | +1 | +1 | +1 |
| 3 | 1 | 1 | +1 | -1 | +1 | +1 |
| 4 | 0 | 0 | +1 | +1 | +1 | -1 |
| 5 | 0 | 0 | +1 | -1 | +1 | -1 |
| 6 | 1 | 1 | +1 | +1 | +1 | -1 |
| 7 | 1 | 1 | +1 | -1 | +1 | -1 |

Table 6.4.1.1.3-2: Parameters for PUSCH DM-RS configuration type 2.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | CDM group |  |  | |  | |
|  |  |  |  |
| 0 | 0 | 0 | +1 | +1 | +1 | +1 |
| 1 | 0 | 0 | +1 | -1 | +1 | +1 |
| 2 | 1 | 2 | +1 | +1 | +1 | +1 |
| 3 | 1 | 2 | +1 | -1 | +1 | +1 |
| 4 | 2 | 4 | +1 | +1 | +1 | +1 |
| 5 | 2 | 4 | +1 | -1 | +1 | +1 |
| 6 | 0 | 0 | +1 | +1 | +1 | -1 |
| 7 | 0 | 0 | +1 | -1 | +1 | -1 |
| 8 | 1 | 2 | +1 | +1 | +1 | -1 |
| 9 | 1 | 2 | +1 | -1 | +1 | -1 |
| 10 | 2 | 4 | +1 | +1 | +1 | -1 |
| 11 | 2 | 4 | +1 | -1 | +1 | -1 |

Table 6.4.1.1.3-3: PUSCH DM-RS positions  for single-symbol DM-RS and frequency hopping disabled.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Duration in symbols | DM-RS positions | | | | | | | |
| PUSCH mapping type A | | | | PUSCH mapping type B | | | |
| *dmrs-AdditionalPosition* | | | | *dmrs-AdditionalPosition* | | | |
| *0* | *1* | *2* | *3* | *0* | *1* | *2* | *3* |
| <4 | - | - | - | - |  |  |  |  |
| 4 |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  | , 4 | , 4 | , 4 |
| 6 |  |  |  |  |  | , 4 | , 4 | , 4 |
| 7 |  |  |  |  |  | , 4 | , 4 | , 4 |
| 8 |  | , 7 | , 7 | , 7 |  | , 6 | , 3, 6 | , 3, 6 |
| 9 |  | , 7 | , 7 | , 7 |  | , 6 | , 3, 6 | , 3, 6 |
| 10 |  | , 9 | , 6, 9 | , 6, 9 |  | , 8 | , 4, 8 | , 3, 6, 9 |
| 11 |  | , 9 | , 6, 9 | , 6, 9 |  | , 8 | , 4, 8 | , 3, 6, 9 |
| 12 |  | , 9 | , 6, 9 | , 5, 8, 11 |  | , 10 | , 5, 10 | , 3, 6, 9 |
| 13 |  | , 11 | , 7, 11 | , 5, 8, 11 |  | , 10 | , 5, 10 | , 3, 6, 9 |
| 14 |  | , 11 | , 7, 11 | , 5, 8, 11 |  | , 10 | , 5, 10 | , 3, 6, 9 |

Table 6.4.1.1.3-4: PUSCH DM-RS positions  for double-symbol DM-RS and frequency hopping disabled.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Duration in symbols** | **DM-RS positions** | | | | | | | |
| **PUSCH mapping type A** | | | | **PUSCH mapping type B** | | | |
| ***dmrs-AdditionalPosition*** | | | | ***dmrs-AdditionalPosition*** | | | |
| ***0*** | ***1*** | ***2*** | ***3*** | ***0*** | ***1*** | ***2*** | ***3*** |
| <4 | - | - |  |  | - | - |  |  |
| 4 |  |  |  |  | - | - |  |  |
| 5 |  |  |  |  |  |  |  |  |
| 6 |  |  |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |  |  |
| 8 |  |  |  |  |  | , 5 |  |  |
| 9 |  |  |  |  |  | , 5 |  |  |
| 10 |  | , 8 |  |  |  | , 7 |  |  |
| 11 |  | , 8 |  |  |  | , 7 |  |  |
| 12 |  | , 8 |  |  |  | , 9 |  |  |
| 13 |  | , 10 |  |  |  | , 9 |  |  |
| 14 |  | , 10 |  |  |  | , 9 |  |  |

Table 6.4.1.1.3-5: PUSCH DM-RS time index .

|  |  |  |  |
| --- | --- | --- | --- |
| DM-RS duration |  | *Supported antenna ports* | |
| *Configuration type 1* | *Configuration type 2* |
| single-symbol DM-RS | 0 | 0 – 3 | 0 – 5 |
| double-symbol DM-RS | 0, 1 | 0 – 7 | 0 – 11 |

Table 6.4.1.1.3-6: PUSCH DM-RS positions  for single-symbol DM-RS and frequency hopping enabled.

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Duration in symbols** | **DM-RS positions** | | | | | | | | | | | |
| **PUSCH mapping type A** | | | | | | | | **PUSCH mapping type B** | | | |
|  | | | |  | | | |
| **dmrs-AdditionalPosition** | | | | **dmrs-AdditionalPosition** | | | | **dmrs-AdditionalPosition** | | | |
| **0** | | **1** | | **0** | | **1** | | **0** | | **1** | |
| **1st hop** | **2nd hop** | **1st hop** | **2nd hop** | **1st hop** | **2nd hop** | **1st hop** | **2nd hop** | **1st hop** | **2nd hop** | **1st hop** | **2nd hop** |
| ≤3 | - | - | - | - | - | - | - | - | 0 | 0 |  | 0 |
| 4 | 2 | 0 | 2 | 0 | 3 | 0 | 3 | 0 | 0 | 0 |  | 0 |
| 5, 6 | 2 | 0 | 2 | 0, 4 | 3 | 0 | 3 | 0, 4 | 0 | 0 |  | 0, 4 |
| 7 | 2 | 0 | 2, 6 | 0, 4 | 3 | 0 | 3 | 0, 4 | 0 | 0 |  | 0, 4 |

#### 6.4.1.2 Phase-tracking reference signals for PUSCH

##### 6.4.1.2.1 Sequence generation

###### 6.4.1.2.1.1 Sequence generation if transform precoding is not enabled

If transform precoding is not enabled, the precoded phase-tracking reference signal for subcarrier  on layer  is given by



where

- antenna ports or associated with PT-RS transmission are given by clause 6.2.3 of [6, TS 38.214]



- is given by clause 6.4.1.1.1.1



- at the position of the first DM-RS symbol in absence of PUSCH intra-slot frequency hopping

- at the position of the first DM-RS symbol in hop in presence of PUSCH intra-slot frequency hopping



###### 6.4.1.2.1.2 Sequence generation if transform precoding is enabled

If transform precoding is enabled, the phase-tracking reference signal  to be mapped in position  before transform precoding, where  depends on the number of PT-RS groups , the number of samples per PT-RS group , and  according to Table 6.4.1.2.2.2-1, shall be generated according to

.

where the pseudo-random sequence  is defined in clause 5.2.1 and  is given by Table 6.4.1.2.1.2-1. The pseudo-random sequence generator shall be initialized with



where  is the lowest OFDM symbol number in the PUSCH allocation in slot that contains PT-RS according to clause 6.4.1.2.2.2 and is given by the higher-layer parameter *nPUSCH-Identity*.

Table 6.4.1.2.1.2-1: The orthogonal sequence .

|  |  |  |
| --- | --- | --- |
|  |  |  |
| 0 |  |  |
| 1 |  |  |
| 2 | - |  |
| 3 | - |  |

##### 6.4.1.2.2 Mapping to physical resources

###### 6.4.1.2.2.1 Precoding and mapping to physical resources if transform precoding is not enabled

The UE shall transmit phase-tracking reference signals only in the resource blocks used for the PUSCH, and only if the procedure in [6, TS 38.214] indicates that phase-tracking reference signals are being used.

The PUSCH PT-RS shall be mapped to resource elements according to

when all the following conditions are fulfilled

-  is within the OFDM symbols allocated for the PUSCH transmission

- resource element  is not used for DM-RS

-  and  correspond to

The quantities and  are given by Tables 6.4.1.1.3-1 and 6.4.1.1.3-2, the configuration type is given by the higher-layer parameter *DMRS-UplinkConfig*, and the precoding matrix is given by clause 6.3.1.5*.* The quantity  is an amplitude scaling factor to conform with the transmit power specified in clause 6.2.2 of [6, TS 38.214].

The set of time indices  defined relative to the start of the PUSCH allocation is defined by

1. set  and 

2. if any symbol in the interval  overlaps with a symbol used for DM-RS according to clause 6.4.1.1.3

- set 

- set  to the symbol index of the DM-RS symbol in case of a single-symbol DM-RS or to the symbol index of the second DM-RS symbol in case of a double-symbol DM-RS

- repeat from step 2 as long as  is inside the PUSCH allocation

3. add  to the set of time indices for PT-RS

4. increment  by one

5. repeat from step 2 above as long as  is inside the PUSCH allocation

where  is defined in Table 6.2.3.1-1 of [6, TS 38.214].

For the purpose of PT-RS mapping, the resource blocks allocated for PUSCH transmission are numbered from 0 to  from the lowest scheduled resource block to the highest. The corresponding subcarriers in this set of resource blocks are numbered in increasing order starting from the lowest frequency from 0 to . The subcarriers to which the PT-RS shall be mapped are given by



where

-



-  is given by Table 6.4.1.2.2.1-1 for the DM-RS port associated with the PT-RS port according to clause 6.2.3 in [6, TS 38.214]. If the higher-layer parameter *resourceElementOffset* in *PTRS-UplinkConfig* is not configured, the column corresponding to '00' shall be used.

- is the RNTI associated with the DCI scheduling the transmission using C-RNTI, SP-CSI-RNTI, or the CS-RNTI in case of dynamic scheduling, and CS-RNTI in case of scheduling using configured grant type 1

-  is the number of resource blocks scheduled

- is given by [6, TS 38.214].

Table 6.4.1.2.2.1-1: The parameter  .

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| DM-RS antenna port |  | | | | | | | |
| DM-RS Configuration type 1 | | | | DM-RS Configuration type 2 | | | |
| *resourceElementOffset* | | | | *resourceElementOffset* | | | |
| 00 | 01 | 10 | 11 | 00 | 01 | 10 | 11 |
| 0 | 0 | 2 | 6 | 8 | 0 | 1 | 6 | 7 |
| 1 | 2 | 4 | 8 | 10 | 1 | 6 | 7 | 0 |
| 2 | 1 | 3 | 7 | 9 | 2 | 3 | 8 | 9 |
| 3 | 3 | 5 | 9 | 11 | 3 | 8 | 9 | 2 |
| 4 | - | - | - | - | 4 | 5 | 10 | 11 |
| 5 | - | - | - | - | 5 | 10 | 11 | 4 |

###### 6.4.1.2.2.2 Mapping to physical resources if transform precoding is enabled

The UE shall transmit phase-tracking reference signals only in the resource blocks and OFDM symbols used for the PUSCH, and only if the procedure in [6, TS 38.214] indicates that phase-tracking reference signals are being used.

The sequence  shall be multiplied by  and mapped to  complex valued symbols in  where

-  are the complex-valued symbols in OFDM symbol  before transform precoding according to Subclause 6.3.1.4

-  depends on the number of PT-RS groups , the number of samples per PT-RS group , and  according to Table 6.4.1.2.2.2-1

-  is the ratio between amplitude of one of the outermost constellation points for the modulation scheme used for PUSCH and one of the outermost constellation points for π/2-BPSK as defined in clause 6.2.3 of [TS 38.214]

The set of time indices  for which PT-RS shall be transmitted is defined relative to the start of the PUSCH allocation and is defined by

1. set  and 

2. if any symbol in the interval  overlaps with a symbol used for DM-RS according to clause 6.4.1.1.3

- set 

- set  to the symbol index of the DM-RS symbol in case of a single-symbol DM-RS and to the symbol index of the second DM-RS symbol in case of a double-symbol DM-RS

- repeat from step 2 as long as  is inside the PUSCH allocation

3. add  to the set of time indices for PT-RS

4. increment  by one

5. repeat from step 2 above as long as  is inside the PUSCH allocation

where  is given by the higher-layer parameter *timeDensity* in *PTRS-UplinkConfig*.

Table 6.4.1.2.2.2-1: PT-RS symbol mapping.

|  |  |  |
| --- | --- | --- |
| Number of  PT-RS groups | Number of samples per PT-RS group | Index  of PT-RS samples in OFDM symbol  prior to transform precoding |
| 2 | 2 | where |
| 2 | 4 | where |
| 4 | 2 | where |
| 4 | 4 | where |
| 8 | 4 | where |

#### 6.4.1.3 Demodulation reference signal for PUCCH

##### 6.4.1.3.1 Demodulation reference signal for PUCCH format 1

###### 6.4.1.3.1.1 Sequence generation

The reference signal sequence is defined by



where  is given by Table 6.4.1.3.1.1-1. The sequence  is given by clause 5.2.2.

The orthogonal sequence  is given by Table 6.3.2.4.1.-2 with the same index  as used in clause 6.3.2.4.1.

Table 6.4.1.3.1.1-1: Number of DM-RS symbols and the corresponding .

|  |  |  |  |
| --- | --- | --- | --- |
| PUCCH length, |  | | |
| No intra-slot hopping | Intra-slot hopping | |
|  |  |
| 4 | 2 | 1 | 1 |
| 5 | 3 | 1 | 2 |
| 6 | 3 | 2 | 1 |
| 7 | 4 | 2 | 2 |
| 8 | 4 | 2 | 2 |
| 9 | 5 | 2 | 3 |
| 10 | 5 | 3 | 2 |
| 11 | 6 | 3 | 3 |
| 12 | 6 | 3 | 3 |
| 13 | 7 | 3 | 4 |
| 14 | 7 | 4 | 3 |

###### 6.4.1.3.1.2 Mapping to physical resources

The sequence shall be multiplied with the amplitude scaling factor  in order to conform to the transmit power specified in [5, 38.213] and mapped in sequence starting with  to resource elements  in a slot on antenna port  according to



where  corresponds to the first OFDM symbol of the PUCCH transmission and  shall be within the resource blocks assigned for PUCCH transmission according to [5, TS 38.213].

##### 6.4.1.3.2 Demodulation reference signal for PUCCH format 2

###### 6.4.1.3.2.1 Sequence generation

The reference-signal sequence  shall be generated according to

.

where the pseudo-random sequence  is defined in clause 5.2 and  is given by clause 6.3.2.5.2. The pseudo-random sequence generator shall be initialized with



where  is the OFDM symbol number within the slot,  is the slot number within the radio frame, and is given by the higher-layer parameter *scramblingID0* in the *DMRS-UplinkConfig* IE if provided and by otherwise*.*

###### 6.4.1.3.2.2 Mapping to physical resources

The sequence shall be multiplied with the amplitude scaling factor  in order to conform to the transmit power specified in [5, 38.213] and mapped in sequence starting with  to resource elements  in a slot on antenna port  according to



where  is defined relative to subcarrier 0 of common resource block 0 and  shall be within the resource blocks assigned for PUCCH transmission according to [5, TS 38.213].

##### 6.4.1.3.3 Demodulation reference signal for PUCCH formats 3 and 4

###### 6.4.1.3.3.1 Sequence generation

The reference-signal sequence  shall be generated according to



where  is given by clause 6.3.2.6.3 and  is given by clause 6.3.2.2.

The cyclic shift  varies with the symbol number and slot number according to clause 6.3.2.2.2 with for PUCCH format 3 and obtained from Table 6.4.1.3.3.1-1 with the orthogonal sequence index  given by clause 6.3.2.6.3 for PUCCH format 4.



Table 6.4.1.3.3.1-1: Cyclic shift index for PUCCH format 4.

|  |  |  |
| --- | --- | --- |
| **Orthogonal sequence index** | **Cyclic shift index** | |
|  |  |
| 0 | 0 | 0 |
| 1 | 6 | 6 |
| 2 | - | 3 |
| 3 | - | 9 |

###### 6.4.1.3.3.2 Mapping to physical resources

The sequence shall be multiplied with the amplitude scaling factor , , in order to conform to the transmit power specified in [5, 38.213] and mapped in sequence starting with  to resource elements  on antenna port  according to



where

-  is defined relative to subcarrier 0 of the lowest-numbered resource block assigned for PUCCH transmission,

-  is given by Table 6.4.1.3.3.2-1 for the case with and without intra-slot frequency hopping and with and without additional DM-RS as described in clause 9.2.1 of [TS 38.213], where  corresponds to the first OFDM symbol of the PUCCH transmission.

The resource elements  shall be within the resource blocks assigned for PUCCH transmission according to [5, TS 38.213].

Table 6.4.1.3.3.2-1: DM-RS positions for PUCCH format 3 and 4.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| PUCCH length | DM-RS position  within PUCCH span | | | |
| No additional DM-RS | | Additional DM-RS | |
| No hopping | Hopping | No hopping | Hopping |
| 4 | 1 | 0, 2 | 1 | 0, 2 |
| 5 | 0, 3 | | 0, 3 | |
| 6 | 1, 4 | | 1, 4 | |
| 7 | 1, 4 | | 1, 4 | |
| 8 | 1, 5 | | 1, 5 | |
| 9 | 1, 6 | | 1, 6 | |
| 10 | 2, 7 | | 1, 3, 6, 8 | |
| 11 | 2, 7 | | 1, 3, 6, 9 | |
| 12 | 2, 8 | | 1, 4, 7, 10 | |
| 13 | 2, 9 | | 1, 4, 7, 11 | |
| 14 | 3, 10 | | 1, 5, 8, 12 | |

#### 6.4.1.4 Sounding reference signal

##### 6.4.1.4.1 SRS resource

An SRS resource is configured by the *SRS-Resource* IE and consists of

-  antenna ports , , given by the higher layer parameter *nrofSRS-Ports*

-  consecutive OFDM symbols given by the field *nrofSymbols* contained in the higher layer parameter *resourceMapping*

- , the starting position in the time domain given by  where the offset counts symbols backwards from the end of the slot and is given by the field *startPosition* contained in the higher layer parameter *resourceMapping* and 

- , the frequency-domain starting position of the sounding reference signal

##### 6.4.1.4.2 Sequence generation

The sounding reference signal sequence for an SRS resource shall be generated according to



where  is given by clause 6.4.1.4.3,  is given by clause 5.2.2 with  and the transmission comb number  is contained in the higher-layer parameter *transmissionComb*. The cyclic shift  for antenna port  is given as

,

where  is contained in the higher layer parameter *transmissionComb*. The maximum number of cyclic shifts is  if  and  if .

The sequence group  and the sequence number  in clause 5.2.2 depends on the higher-layer parameter *groupOrSequenceHopping* in the *SRS-Config* IE*.* The SRS sequence identity  is given by the higher layer parameter *sequenceId* in the *SRS-Config* IE and  is the OFDM symbol number within the SRS resource.

- if *groupOrSequenceHopping* equals 'neither', neither group, nor sequence hopping shall be used and



- if *groupOrSequenceHopping* equals 'groupHopping', group hopping but not sequence hopping shall be used and



where the pseudo-random sequence  is defined by clause 5.2.1 and shall be initialized with at the beginning of each radio frame.

- if *groupOrSequenceHopping* equals 'sequenceHopping', sequence hopping but not group hopping shall be used and



where the pseudo-random sequence  is defined by clause 5.2.1 and shall be initialized with  at the beginning of each radio frame.

##### 6.4.1.4.3 Mapping to physical resources

When SRS is transmitted on a given SRS resource, the sequence  for each OFDM symbol  and for each of the antenna ports of the SRS resource shall be multiplied with the amplitude scaling factor  in order to conform to the transmit power specified in [5, 38.213] and mapped in sequence starting with  to resource elements  in a slot for each of the antenna ports  according to



The length of the sounding reference signal sequence is given by



where is given by a selected row of Table 6.4.1.4.3-1 with  where  is given by the field *b-SRS* contained in the higher-layer parameter *freqHopping.* The row of the table is selected according to the index  given by the field *c-*SRS contained in the higher-layer parameter *freqHopping*.

The frequency-domain starting position  is defined by



where



The frequency domain shift value  adjusts the SRS allocation to align with the common resource block grid in multiples of four and is contained in the higher-layer parameter *freqDomainShift* in the *SRS-Config* IE. The transmission comb offset  is contained in the higher-layer parameter *transmisisonComb* in the *SRS-Config* IE and  is a frequency position index.

Frequency hopping of the sounding reference signal is configured by the parameter , given by the field *b-hop* contained in the higher-layer parameter *freqHopping.*

If , frequency hopping is disabled and the frequency position index  remains constant (unless re-configured) and is defined by



for all  OFDM symbols of the SRS resource. The quantity  is given by the higher-layer parameter *freqDomainPosition* and the values of  and  for  are given by the selected row of Table 6.4.1.4.3-1 corresponding to the configured value of .

If , frequency hopping is enabled and the frequency position indices  are defined by



where is given by Table 6.4.1.4.3-1,



and where  regardless of the value of . The quantity  counts the number of SRS transmissions. For the case of an SRS resource configured as aperiodic by the higher-layer parameter *resourceType*, it is given by  within the slot in which the  symbol SRS resource is transmitted. The quantity  is the repetition factor given by the field *repetitionFactor* contained in the higher-layer parameter *resourceMapping*.

For the case of an SRS resource configured as periodic or semi-persistent by the higher-layer parameter *resourceType*, the SRS counter is given by



for slots that satisfy . The periodicity  in slots and slot offset  are given in clause 6.4.1.4.4.

Table 6.4.1.4.3-1: SRS bandwidth configuration.

|  |  | |  | |  | |  | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |  |  |
| 0 | 4 | 1 | 4 | 1 | 4 | 1 | 4 | 1 |
| 1 | 8 | 1 | 4 | 2 | 4 | 1 | 4 | 1 |
| 2 | 12 | 1 | 4 | 3 | 4 | 1 | 4 | 1 |
| 3 | 16 | 1 | 4 | 4 | 4 | 1 | 4 | 1 |
| 4 | 16 | 1 | 8 | 2 | 4 | 2 | 4 | 1 |
| 5 | 20 | 1 | 4 | 5 | 4 | 1 | 4 | 1 |
| 6 | 24 | 1 | 4 | 6 | 4 | 1 | 4 | 1 |
| 7 | 24 | 1 | 12 | 2 | 4 | 3 | 4 | 1 |
| 8 | 28 | 1 | 4 | 7 | 4 | 1 | 4 | 1 |
| 9 | 32 | 1 | 16 | 2 | 8 | 2 | 4 | 2 |
| 10 | 36 | 1 | 12 | 3 | 4 | 3 | 4 | 1 |
| 11 | 40 | 1 | 20 | 2 | 4 | 5 | 4 | 1 |
| 12 | 48 | 1 | 16 | 3 | 8 | 2 | 4 | 2 |
| 13 | 48 | 1 | 24 | 2 | 12 | 2 | 4 | 3 |
| 14 | 52 | 1 | 4 | 13 | 4 | 1 | 4 | 1 |
| 15 | 56 | 1 | 28 | 2 | 4 | 7 | 4 | 1 |
| 16 | 60 | 1 | 20 | 3 | 4 | 5 | 4 | 1 |
| 17 | 64 | 1 | 32 | 2 | 16 | 2 | 4 | 4 |
| 18 | 72 | 1 | 24 | 3 | 12 | 2 | 4 | 3 |
| 19 | 72 | 1 | 36 | 2 | 12 | 3 | 4 | 3 |
| 20 | 76 | 1 | 4 | 19 | 4 | 1 | 4 | 1 |
| 21 | 80 | 1 | 40 | 2 | 20 | 2 | 4 | 5 |
| 22 | 88 | 1 | 44 | 2 | 4 | 11 | 4 | 1 |
| 23 | 96 | 1 | 32 | 3 | 16 | 2 | 4 | 4 |
| 24 | 96 | 1 | 48 | 2 | 24 | 2 | 4 | 6 |
| 25 | 104 | 1 | 52 | 2 | 4 | 13 | 4 | 1 |
| 26 | 112 | 1 | 56 | 2 | 28 | 2 | 4 | 7 |
| 27 | 120 | 1 | 60 | 2 | 20 | 3 | 4 | 5 |
| 28 | 120 | 1 | 40 | 3 | 8 | 5 | 4 | 2 |
| 29 | 120 | 1 | 24 | 5 | 12 | 2 | 4 | 3 |
| 30 | 128 | 1 | 64 | 2 | 32 | 2 | 4 | 8 |
| 31 | 128 | 1 | 64 | 2 | 16 | 4 | 4 | 4 |
| 32 | 128 | 1 | 16 | 8 | 8 | 2 | 4 | 2 |
| 33 | 132 | 1 | 44 | 3 | 4 | 11 | 4 | 1 |
| 34 | 136 | 1 | 68 | 2 | 4 | 17 | 4 | 1 |
| 35 | 144 | 1 | 72 | 2 | 36 | 2 | 4 | 9 |
| 36 | 144 | 1 | 48 | 3 | 24 | 2 | 12 | 2 |
| 37 | 144 | 1 | 48 | 3 | 16 | 3 | 4 | 4 |
| 38 | 144 | 1 | 16 | 9 | 8 | 2 | 4 | 2 |
| 39 | 152 | 1 | 76 | 2 | 4 | 19 | 4 | 1 |
| 40 | 160 | 1 | 80 | 2 | 40 | 2 | 4 | 10 |
| 41 | 160 | 1 | 80 | 2 | 20 | 4 | 4 | 5 |
| 42 | 160 | 1 | 32 | 5 | 16 | 2 | 4 | 4 |
| 43 | 168 | 1 | 84 | 2 | 28 | 3 | 4 | 7 |
| 44 | 176 | 1 | 88 | 2 | 44 | 2 | 4 | 11 |
| 45 | 184 | 1 | 92 | 2 | 4 | 23 | 4 | 1 |
| 46 | 192 | 1 | 96 | 2 | 48 | 2 | 4 | 12 |
| 47 | 192 | 1 | 96 | 2 | 24 | 4 | 4 | 6 |
| 48 | 192 | 1 | 64 | 3 | 16 | 4 | 4 | 4 |
| 49 | 192 | 1 | 24 | 8 | 8 | 3 | 4 | 2 |
| 50 | 208 | 1 | 104 | 2 | 52 | 2 | 4 | 13 |
| 51 | 216 | 1 | 108 | 2 | 36 | 3 | 4 | 9 |
| 52 | 224 | 1 | 112 | 2 | 56 | 2 | 4 | 14 |
| 53 | 240 | 1 | 120 | 2 | 60 | 2 | 4 | 15 |
| 54 | 240 | 1 | 80 | 3 | 20 | 4 | 4 | 5 |
| 55 | 240 | 1 | 48 | 5 | 16 | 3 | 8 | 2 |
| 56 | 240 | 1 | 24 | 10 | 12 | 2 | 4 | 3 |
| 57 | 256 | 1 | 128 | 2 | 64 | 2 | 4 | 16 |
| 58 | 256 | 1 | 128 | 2 | 32 | 4 | 4 | 8 |
| 59 | 256 | 1 | 16 | 16 | 8 | 2 | 4 | 2 |
| 60 | 264 | 1 | 132 | 2 | 44 | 3 | 4 | 11 |
| 61 | 272 | 1 | 136 | 2 | 68 | 2 | 4 | 17 |
| 62 | 272 | 1 | 68 | 4 | 4 | 17 | 4 | 1 |
| 63 | 272 | 1 | 16 | 17 | 8 | 2 | 4 | 2 |

##### 6.4.1.4.4 Sounding reference signal slot configuration

For an SRS resource configured as periodic or semi-persistent by the higher-layer parameter *resourceType*, a periodicity  (in slots) and slot offset  are configured according to the higher-layer parameter *periodicityAndOffset-p* or *periodicityAndOffset-ap*. Candidate slots in which the configured SRS resource may be used for SRS transmission are the slots satisfying



SRS may be transmitted only if all OFDM symbols corresponding to the configured SRS resource are semi-statically configured as 'uplink' or 'flexible' in the candidate slot and SRS transmission is allowed by the procedure in clause 11.1 of [5, TS 38.213].

# 7 Downlink

## 7.1 Overview

### 7.1.1 Overview of physical channels

A downlink physical channel corresponds to a set of resource elements carrying information originating from higher layers. The following downlink physical channels are defined:

- Physical Downlink Shared Channel, PDSCH

- Physical Broadcast Channel, PBCH

- Physical Downlink Control Channel, PDCCH.

### 7.1.2 Overview of physical signals

A downlink physical signal corresponds to a set of resource elements used by the physical layer but does not carry information originating from higher layers.

The following downlink physical signals are defined:

- Demodulation reference signals, DM-RS

- Phase-tracking reference signals, PT-RS

- Channel-state information reference signal, CSI-RS

- Primary synchronization signal, PSS

- Secondary synchronization signal, SSS

## 7.2 Physical resources

The frame structure and physical resources the UE shall assume when receiving downlink transmissions are defined in Clause 4.

The following antenna ports are defined for the downlink:

- Antenna ports starting with 1000 for PDSCH

- Antenna ports starting with 2000 for PDCCH

- Antenna ports starting with 3000 for channel-state information reference signals

- Antenna ports starting with 4000 for SS/PBCH block transmission

The UE shall not assume that two antenna ports are quasi co-located with respect to any QCL type unless specified otherwise.

## 7.3 Physical channels

### 7.3.1 Physical downlink shared channel

#### 7.3.1.1 Scrambling

Up to two codewords  can be transmitted. In case of single-codeword transmission, .

For each codeword , the UE shall assume the block of bits , where  is the number of bits in codeword  transmitted on the physical channel, are scrambled prior to modulation, resulting in a block of scrambled bits according to



where the scrambling sequence  is given by clause 5.2.1. The scrambling sequence generator shall be initialized with



where

-  equals the higher-layer parameter *dataScramblingIdentityPDSCH* if configured and the RNTI equals the C-RNTI or CS-RNTI, and the transmission is not scheduled using DCI format 1\_0 in a common search space,

-  otherwise

and where corresponds to the RNTI associated with the PDSCH transmission as described in clause 5.1 of [6, TS 38.214].



#### 7.3.1.2 Modulation

For each codeword , the UE shall assume the block of scrambled bits  are modulated as described in clause 5.1 using one of the modulation schemes in Table 7.3.1.2-1, resulting in a block of complex-valued modulation symbols .

Table 7.3.1.2-1: Supported modulation schemes.

|  |  |
| --- | --- |
| Modulation scheme | Modulation order |
| QPSK | 2 |
| 16QAM | 4 |
| 64QAM | 6 |
| 256QAM | 8 |

#### 7.3.1.3 Layer mapping

The UE shall assume that complex-valued modulation symbols for each of the codewords to be transmitted are mapped onto one or several layers according to Table 7.3.1.3-1. Complex-valued modulation symbols  for codeword  shall be mapped onto the layers ,  where  is the number of layers and  is the number of modulation symbols per layer.

Table 7.3.1.3-1: Codeword-to-layer mapping for spatial multiplexing.

|  |  |  |  |
| --- | --- | --- | --- |
| Number of layers | Number of codewords | Codeword-to-layer mapping | |
| 1 | 1 |  |  |
| 2 | 1 |  |  |
| 3 | 1 |  |  |
| 4 | 1 |  |  |
| 5 | 2 |  |  |
|  |
| 6 | 2 |  |  |
|  |
| 7 | 2 |  |  |
|  |
| 8 | 2 |  |  |
|  |

#### 7.3.1.4 Antenna port mapping

The block of vectors ,  shall be mapped to antenna ports according to



where , . The set of antenna ports  shall be determined according to the procedure in [6, TS 38.214].

#### 7.3.1.5 Mapping to virtual resource blocks

The UE shall, for each of the antenna ports used for transmission of the physical channel, assume the block of complex-valued symbols  conform to the downlink power allocation specified in [6, TS 38.214] and are mapped in sequence starting with  to resource elements  in the virtual resource blocks assigned for transmission which meet all of the following criteria:

- they are in the virtual resource blocks assigned for transmission.

- they are declared as available for PDSCH according to clause 5.1.4 of [6, TS 38.214]

- the corresponding resource elements in the corresponding physical resource blocks are

- not used for transmission of the associated DM-RS or DM-RS intended for other co-scheduled UEs as described in clause 7.4.1.1.2

- not used for non-zero-power CSI-RS according to clause 7.4.1.5, except for non-zero-power CSI-RSs configured by the higher-layer parameter *CSI-RS-Resource-Mobility* in the *MeasObjectNR* IE.

- not used for PT-RS according to clause 7.4.1.2

- not declared as 'not available for PDSCH according to clause 5.1.4 of [6, TS 38.214]

Any common resource block partially or fully overlapping with an SS/PBCH block shall be viewed as occupied and assumed not used for transmission of PDSCH in the OFDM symbols where SS/PBCH block is transmitted.

The mapping to resource elements  allocated for PDSCH according to [6, TS 38.214] and not reserved for other purposes shall be in increasing order of first the index  over the assigned virtual resource blocks, where  is the first subcarrier in the lowest-numbered virtual resource block assigned for transmission, and then the index .

#### 7.3.1.6 Mapping from virtual to physical resource blocks

The UE shall assume the virtual resource blocks are mapped to physical resource blocks according to the indicated mapping scheme, non-interleaved or interleaved mapping. If no mapping scheme is indicated, the UE shall assume non-interleaved mapping.

For non-interleaved VRB-to-PRB mapping, virtual resource block  is mapped to physical resource block , except for PDSCH transmissions scheduled with DCI format 1\_0 in a common search space in which case virtual resource block is mapped to physical resource block where is the lowest-numbered physical resource block in the control resource set where the corresponding DCI was received.

For interleaved VRB-to-PRB mapping, the mapping process is defined in terms of resource block bundles:

- The set of  resource blocks in bandwidth part  with starting position  are divided into  resource-block bundles in increasing order of the resource-block number and bundle number where  is the bundle size for bandwidth part  provided by the higher-layer parameter *vrb-ToPRB-Interleaver* and

- resource block bundle 0 consists of resource blocks,



- resource block bundle consists of resource blocks if and resource blocks otherwise,



- all other resource block bundles consists of resource blocks.



- Virtual resource blocks in the interval are mapped to physical resource blocks according to



- virtual resource block bundle is mapped to physical resource block bundle



- virtual resource block bundle  is mapped to physical resource block bundle  where



- The UE is not expected to be configured with simultaneosuly with a PRG size of 4 as defined in [6, TS 38.214]



- If no bundle size is configured, the UE shall assume .



The UE may assume that the same precoding in the frequency domain is used within a PRB bundle and the bundle size is determined by clause 5.1.2.3 in [6, TS 38.214]. The UE shall not make any assumption that the same precoding is used for different bundles of common resource blocks.

### 7.3.2 Physical downlink control channel (PDCCH)

#### 7.3.2.1 Control-channel element (CCE)

A physical downlink control channel consists of one or more control-channel elements (CCEs) as indicated in Table 7.3.2.1-1.

Table 7.3.2.1-1: Supported PDCCH aggregation levels.

|  |  |
| --- | --- |
| Aggregation level | Number of CCEs |
| 1 | 1 |
| 2 | 2 |
| 4 | 4 |
| 8 | 8 |
| 16 | 16 |

#### 7.3.2.2 Control-resource set (CORESET)

A control-resource set consists of  resource blocks in the frequency domain, given by the higher-layer parameter *frequencyDomainResources* in the *ControlResourceSet* IE, and  symbols in the time domain, given by the higher-layer parameter *duration* in the *ControlResourceSet* IE, where  is supported only if higher-layer parameter *dmrs-TypeA-Position* equals 3.

A control-channel element consists of 6 resource-element groups (REGs) where a resource-element group equals one resource block during one OFDM symbol. Resource-element groups within a control-resource set are numbered in increasing order in a time-first manner, starting with 0 for the first OFDM symbol and the lowest-numbered resource block in the control resource set.

A UE can be configured with multiple control-resource sets. Each control-resource set is associated with one CCE-to-REG mapping only.

The CCE-to-REG mapping for a control-resource set can be interleaved or non-interleaved, configured by the higher-layer parameter *cce-REG-MappingType* in the *ControlResourceSet* IE, and is described by REG bundles:

- REG bundle  is defined as REGs  where  is the REG bundle size, , and  is the number of REGs in the CORESET

- CCE  consists of REG bundles  where  is an interleaver

For non-interleaved CCE-to-REG mapping,  and .

For interleaved CCE-to-REG mapping, for  and  for  where  is configured by the higher-layer parameter *reg-BundleSize*. The interleaver is defined by



where  is given by the higher-layer parameter *interleaverSize* and where

-  for a PDCCH transmitted in a CORESET configured by the PBCH or SIB1, and

- otherwise  is given by the higher-layer parameter *shiftIndex*.

The UE is not expected to handle configurations resulting in the quantity  not being an integer.

For both interleaved and non-interleaved mapping, the UE may assume

- the same precoding being used within a REG bundle if the higher-layer parameter *precoderGranularity* equals *sameAsREG-bundle*

- the same precoding being used across the all resource-element groups within the set of contiguous resource blocks in the CORESET if the higher-layer parameter *precoderGranularity* equals *allContiguousRBs*

For a CORESET configured by PBCH the UE may assume interleaved mapping, , , and the same precoding being used within a REG bundle.

#### 7.3.2.3 Scrambling

The UE shall assume the block of bits , where  is the number of bits transmitted on the physical channel, is scrambled prior to modulation, resulting in a block of scrambled bits  according to



where the scrambling sequence  is given by clause 5.2.1. The scrambling sequence generator shall be initialized with



where

- for a UE-specific search space as defined in clause 10 of [5, TS 38.213], equals the higher-layer parameter *pdcch-DMRS-ScramblingID* if configured,



-  otherwise

and where

- is given by the C-RNTI for a PDCCH in a UE-specific search space if the higher-layer parameter *pdcch-DMRS-ScramblingID* is configured, and



- otherwise.



#### 7.3.2.4 PDCCH modulation

The UE shall assume the block of bits  to be QPSK modulated as described in clause 5.1.3, resulting in a block of complex-valued modulation symbols .

#### 7.3.2.5 Mapping to physical resources

The UE shall assume the block of complex-valued symbols  to be scaled by a factor  and mapped to resource elements  used for the monitored PDCCH and not used for the associated PDCCH DMRS in increasing order of first , then . The antenna port .

### 7.3.3 Physical broadcast channel

#### 7.3.3.1 Scrambling

The UE shall assume the block of bits, where  is the number of bits transmitted on the physical broadcast channel, are scrambled prior to modulation, resulting in a block of scrambled bits according to



where the scrambling sequence  is given by clause 5.2. The scrambling sequence shall be initialized with  at the start of each SS/PBCH block where

- for ,  is the two least significant bits of the SS/PBCH block index

- for  or ,  is the three least significant bits of the SS/PBCH block index

with  being the maximum number of SS/PBCH blocks in an SS/PBCH period for a particular band as given by [38.104].

#### 7.3.3.2 Modulation

The UE shall assume the block of bits  are QPSK modulated as described in clause 5.1.3, resulting in a block of complex-valued modulation symbols .

#### 7.3.3.3 Mapping to physical resources

Mapping to physical resources is described in clause 7.4.3.

## 7.4 Physical signals

### 7.4.1 Reference signals

#### 7.4.1.1 Demodulation reference signals for PDSCH

##### 7.4.1.1.1 Sequence generation

The UE shall assume the sequence  is defined by

.

where the pseudo-random sequence  is defined in clause 5.2.1. The pseudo-random sequence generator shall be initialized with



where  is the OFDM symbol number within the slot,  is the slot number within a frame, and

-  are given by the higher-layer parameters *scramblingID0* and *scramblingID1*, respectively, in the *DMRS-DownlinkConfig* IE if provided and the PDSCH is scheduled by PDCCH using DCI format 1\_1 with the CRC scrambled by C-RNTI or CS-RNTI

-  is given by the higher-layer parameter *scramblingID0* in the *DMRS-DownlinkConfig* IE if provided and the PDSCH is scheduled by PDCCH using DCI format 1\_0 with the CRC scrambled by C-RNTI or CS-RNTI;

-  otherwise;

The quantity is given by the DM-RS sequence initialization field, if present, in the DCI associated with the PDSCH transmission if DCI format 1\_1 in [4, TS 38.212] is used, otherwise .

##### 7.4.1.1.2 Mapping to physical resources

The UE shall assume the PDSCH DM-RS being mapped to physical resources according to configuration type 1 or configuration type 2 as given by the higher-layer parameter *dmrs-Type*.

The UE shall assume the sequence  is scaled by a factor  to conform with the transmission power specified in [6, TS 38.214] and mapped to resource elements  according to



where , , , and  are given by Tables 7.4.1.1.2-1 and 7.4.1.1.2-2 and the following conditions are fulfilled:

- the resource elements are within the common resource blocks allocated for PDSCH transmission

The reference point for  is

- for PDSCH transmission carrying SIB1, subcarrier 0 of the lowest-numbered common resource block in the CORESET configured by the PBCH

- otherwise, subcarrier 0 in common resource block 0

The reference point for  and the position  of the first DM-RS symbol depends on the mapping type:

- for PDSCH mapping type A:

-  is defined relative to the start of the slot

- if the higher-layer parameter *dmrs-TypeA-Position* equals 3 and  otherwise

- for PDSCH mapping type B:

-  is defined relative to the start of the scheduled PDSCH resources

- 

The position(s) of the DM-RS symbols is given by  and

- for PDSCH mapping type A, the duration is between the first OFDM symbol of the slot and the last OFDM symbol of the scheduled PDSCH resources in the slot

- for PDSCH mapping type B, the duration is the number of OFDM symbols of the scheduled PDSCH resources as signalled

and according to Tables 7.4.1.1.2-3 and 7.4.1.1.2-4. The case *dmrs-AdditionalPosition*equal to 3 is only supported when *dmrs-TypeA-Position* is equal to 2. For PDSCH mapping type A, duration of 3 and 4 symbols in Tables 7.4.1.1.2-3 and 7.4.1.1.2-4 respectively is only applicable for *dmrs-TypeA-Position* equals 2.

For PDSCH mapping type B

- if the PDSCH duration is 2, 4, or 7 OFDM symbols for normal cyclic prefix or 2, 4, 6 OFDM symbols for extended cyclic prefix, and the PDSCH allocation collides with resources reserved for a CORESET,  shall be incremented such that the first DM-RS symbol occurs immediately after the CORESETand

- if the PDSCH duration is 4 symbols, the UE is not expected to receive a DM-RS symbol beyond the third symbol,

- if the PDSCH duration is 7 symbols for normal cyclic prefix or 6 symbols for extended cyclic prefix,

- the UE is not expected to receive the first DM-RS beyond the fourth symbol, and

- if one additional single-symbol DM-RS is configured, the UE only expects the additional DM-RS to be transmitted on the 5th or 6th symbol when the front-loaded DM-RS symbol is in the 1st or 2nd symbol, respectively, of the PDSCH duration, otherwise the UE should expect that the additional DM-RS is not transmitted.

- if the PDSCH duration is 2 or 4 OFDM symbols, only single-symbol DM-RS is supported.

The time-domain index  and the supported antenna ports  are given by Table 7.4.1.1.2-5 where

- single-symbol DM-RS is used if the higher-layer parameter *maxLength* in the *DMRS-DownlinkConfig* IE is equal to 1

- single-symbol or double-symbol DM-RS is determined by the associated DCI if the higher-layer parameter *maxLength* in the *DMRS-DownlinkConfig* IE is equal to 2.

In absence of CSI-RS configuration, and unless otherwise configured, the UE may assume PDSCH DM-RS and SS/PBCH block to be quasi co-located with respect to Doppler shift, Doppler spread, average delay, delay spread, and, when applicable, spatial Rx parameters. The UE may assume that the PDSCH DM-RS within the same CDM group are quasi co-located with respect to Doppler shift, Doppler spread, average delay, delay spread, and spatial Rx. The UE may assume that DMRS ports associated with a PDSCH are QCL with QCL Type A, Type D (when applicable) and average gain.

The UE may assume that no DM-RS collides with the SS/PBCH block.

Table 7.4.1.1.2-1: Parameters for PDSCH DM-RS configuration type 1.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | CDM group |  |  | |  | |
|  |  |  |  |
| 1000 | 0 | 0 | +1 | +1 | +1 | +1 |
| 1001 | 0 | 0 | +1 | -1 | +1 | +1 |
| 1002 | 1 | 1 | +1 | +1 | +1 | +1 |
| 1003 | 1 | 1 | +1 | -1 | +1 | +1 |
| 1004 | 0 | 0 | +1 | +1 | +1 | -1 |
| 1005 | 0 | 0 | +1 | -1 | +1 | -1 |
| 1006 | 1 | 1 | +1 | +1 | +1 | -1 |
| 1007 | 1 | 1 | +1 | -1 | +1 | -1 |

Table 7.4.1.1.2-2: Parameters for PDSCH DM-RS configuration type 2.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | CDM group |  |  | |  | |
|  |  |  |  |
| 1000 | 0 | 0 | +1 | +1 | +1 | +1 |
| 1001 | 0 | 0 | +1 | -1 | +1 | +1 |
| 1002 | 1 | 2 | +1 | +1 | +1 | +1 |
| 1003 | 1 | 2 | +1 | -1 | +1 | +1 |
| 1004 | 2 | 4 | +1 | +1 | +1 | +1 |
| 1005 | 2 | 4 | +1 | -1 | +1 | +1 |
| 1006 | 0 | 0 | +1 | +1 | +1 | -1 |
| 1007 | 0 | 0 | +1 | -1 | +1 | -1 |
| 1008 | 1 | 2 | +1 | +1 | +1 | -1 |
| 1009 | 1 | 2 | +1 | -1 | +1 | -1 |
| 1010 | 2 | 4 | +1 | +1 | +1 | -1 |
| 1011 | 2 | 4 | +1 | -1 | +1 | -1 |

Table 7.4.1.1.2-3: PDSCH DM-RS positions  for single-symbol DM-RS.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Duration in symbols** | **DM-RS positions** | | | | | | | |
| **PDSCH mapping type A** | | | | **PDSCH mapping type B** | | | |
| ***dmrs-AdditionalPosition*** | | | | ***dmrs-AdditionalPosition*** | | | |
| ***0*** | ***1*** | ***2*** | ***3*** | ***0*** | ***1*** | ***2*** | ***3*** |
| 2 | - | - | - | - |  |  |  |  |
| 3 |  |  |  |  | - | - |  |  |
| 4 |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  | - | - |  |  |
| 6 |  |  |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |  |  |
| 8 |  | , 7 | , 7 | , 7 | - | - |  |  |
| 9 |  | , 7 | , 7 | , 7 | - | - |  |  |
| 10 |  | , 9 | , 6, 9 | , 6, 9 | - | - |  |  |
| 11 |  | , 9 | , 6, 9 | , 6, 9 | - | - |  |  |
| 12 |  | , 9 | , 6, 9 | , 5, 8, 11 | - | - |  |  |
| 13 |  | , 11 | , 7, 11 | , 5, 8, 11 | - | - |  |  |
| 14 |  | , 11 | , 7, 11 | , 5, 8, 11 | - | - |  |  |

Table 7.4.1.1.2-4: PDSCH DM-RS positions  for double-symbol DM-RS.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Duration in symbols** | **DM-RS positions** | | | | | |
| **PDSCH mapping type A** | | | **PDSCH mapping type B** | | |
| **dmrs-AdditionalPosition** | | | **dmrs-AdditionalPosition** | | |
| **0** | **1** | **2** | **0** | **1** | **2** |
| <4 |  |  |  | - | - |  |
| 4 |  |  |  | - | - |  |
| 5 |  |  |  | - | - |  |
| 6 |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |
| 8 |  |  |  | - | - |  |
| 9 |  |  |  | - | - |  |
| 10 |  | , 8 |  | - | - |  |
| 11 |  | , 8 |  | - | - |  |
| 12 |  | , 8 |  | - | - |  |
| 13 |  | , 10 |  | - | - |  |
| 14 |  | , 10 |  | - | - |  |

Table 7.4.1.1.2-5: PDSCH DM-RS time index  and antenna ports .

|  |  |  |  |
| --- | --- | --- | --- |
| *Single or double symbol DM-RS* |  | *Supported antenna ports* | |
| *Configuration type 1* | *Configuration type 2* |
| single | 0 | 1000 – 1003 | 1000 – 1005 |
| double | 0, 1 | 1000 – 1007 | 1000 – 1011 |

#### 7.4.1.2 Phase-tracking reference signals for PDSCH

##### 7.4.1.2.1 Sequence generation

The phase-tracking reference signal for subcarrier  is given by



where  is the demodulation reference signal given by clause 7.4.1.1.2 at position  and subcarrier 

##### 7.4.1.2.2 Mapping to physical resources

The UE shall assume phase-tracking reference signals being present only in the resource blocks used for the PDSCH, and only if the procedure in [6, TS 38.214] indicates phase-tracking reference signals being used.

If present, the UE shall assume the PDSCH PT-RS is scaled by a factor to conform with the transmission power specified in clause 4.1 of [6, TS 38.214] and mapped to resource elements according to

when all the following conditions are fulfilled

-  is within the OFDM symbols allocated for the PDSCH transmission

- resource element  is not used for DM-RS, non-zero-power CSI-RS not configured for mobility measurements, zero-power CSI-RS, SS/PBCH block, a detected PDCCH, or is declared as 'not available' by clause 5.1.4.1 of [6, TS 38.214]

The set of time indices  defined relative to the start of the PDSCH allocation is defined by

1. set  and 

2. if any symbol in the interval overlaps with a symbol used for DM-RS according to clause 7.4.1.1.2

- set 

- set  to the symbol index of the DM-RS symbol in case of a single-symbol DM-RS and to the symbol index of the second DM-RS symbol in case of a double-symbol DM-RS

- repeat from step 2 as long as is inside the PDSCH allocation

3. add to the set of time indices for PT-RS

4. increment  by one

5. repeat from step 2 above as long as is inside the PDSCH allocation

where .

For the purpose of PT-RS mapping, the resource blocks allocated for PDSCH transmission are numbered from 0 to  from the lowest scheduled resource block to the highest. The corresponding subcarriers in this set of resource blocks are numbered in increasing order starting from the lowest frequency from 0 to . The subcarriers to which the UE shall assume the PT-RS is mapped are given by



where

- 

- is given by Table 7.4.1.2.2-1 for the DM-RS port associated with the PT-RS port according to clause 5.1.6.2 in [6, TS 38.214]. If the higher-layer parameter *resourceElementOffset* in the *PTRS-DownlinkConfig* IE is not configured, the column corresponding to '00' shall be used.



-  is the RNTI associated with the DCI scheduling the transmission

-  is the number of resource blocks scheduled

- is given by [6, TS 38.214].

Table 7.4.1.2.2-1: The parameter .

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| DM-RS antenna port |  | | | | | | | |
| DM-RS Configuration type 1 | | | | DM-RS Configuration type 2 | | | |
| *resourceElementOffset* | | | | *resourceElementOffset* | | | |
| 00 | 01 | 10 | 11 | 00 | 01 | 10 | 11 |
| 1000 | 0 | 2 | 6 | 8 | 0 | 1 | 6 | 7 |
| 1001 | 2 | 4 | 8 | 10 | 1 | 6 | 7 | 0 |
| 1002 | 1 | 3 | 7 | 9 | 2 | 3 | 8 | 9 |
| 1003 | 3 | 5 | 9 | 11 | 3 | 8 | 9 | 2 |
| 1004 | - | - | - | - | 4 | 5 | 10 | 11 |
| 1005 | - | - | - | - | 5 | 10 | 11 | 4 |

#### 7.4.1.3 Demodulation reference signals for PDCCH

##### 7.4.1.3.1 Sequence generation

The UE shall assume the reference-signal sequence  for OFDM symbol  is defined by

.

where the pseudo-random sequence  is defined in clause 5.2.1. The pseudo-random sequence generator shall be initialized with



where  is the OFDM symbol number within the slot,  is the slot number within a frame, and

- is given by the higher-layer parameter *pdcch-DMRS-ScramblingID* if provided



- otherwise.



##### 7.4.1.3.2 Mapping to physical resources

The UE shall assume the sequence  is mapped to resource elements  according to



where the following conditions are fulfilled

- they are within the resource element groups constituting the PDCCH the UE attempts to decode if the higher-layer parameter *precoderGranularity* equals the REG bundle size defined in subclause 7.3.2.2,

- all resource-element groups within the set of contiguous resource blocks in the CORESET where the UE attempts to decode the PDCCH if the higher-layer parameter *precoderGranularity* equals the size of the CORESET in the frequency domain.

The reference point for  is

- subcarrier 0 of the lowest-numbered resource block in the CORESET if the CORESET is configured by the PBCH or SIB1,

- subcarrier 0 in common resource block 0 otherwise

The quantity  is the OFDM symbol number within the slot.

The antenna port .

A UE not attempting to detect a PDCCH in a CORESET shall not make any assumptions on the presence or absence of DM-RS in the CORESET.

In absence of CSI-RS configuration, and unless otherwise configured, the UE may assume PDCCH DM-RS and SS/PBCH block to be quasi co-located with respect to Doppler shift, Doppler spread, average delay, delay spread, and, when applicable, spatial Rx parameters.

#### 7.4.1.4 Demodulation reference signals for PBCH

##### 7.4.1.4.1 Sequence generation

The UE shall assume the reference-signal sequence  for an SS/PBCH block is defined by



where  is given by clause 5.2. The scrambling sequence generator shall be initialized at the start of each SS/PBCH block occasion with



where

- for ,  where  is the number of the half-frame in which the PBCH is transmitted in a frame with  for the first half-frame in the frame and  for the second half-frame in the frame, and  is the two least significant bits of the SS/PBCH block index as defined in [5, TS 38.213]

- for or ,  where  is the three least significant bits of the SS/PBCH block index as defined in [5, TS 38.213]

with being the maximum number of SS/PBCH beams in an SS/PBCH period for a particular band as given by [38.104].

##### 7.4.1.4.2 Mapping to physical resources

Mapping to physical resources is described in clause 7.4.3.

#### 7.4.1.5 CSI reference signals

##### 7.4.1.5.1 General

Zero-power (ZP) and non-zero-power (NZP) CSI-RS are defined

- for a non-zero-power CSI-RS configured by the *NZP-CSI-RS-Resource* IE, the sequence shall be generated according to clause 7.4.1.5.2 and mapped to resource elements according to clause 7.4.1.5.3

- for a zero-power CSI-RS configured by the *ZP-CSI-RS-Resource* IE, the UE shall assume that the resource elements defined in clause 7.4.1.5.3 are not used for PDSCH transmission. The UE performs the same measurement/reception on channels/signals except PDSCH regardless of whether they collide with ZP CSI-RS or not.

##### 7.4.1.5.2 Sequence generation

The UE shall assume the reference-signal sequence  is defined by



where the pseudo-random sequence  is defined in clause 5.2.1. The pseudo-random sequence generator shall be initialised with

at the start of each OFDM symbol where is the slot number within a radio frame,  is the OFDM symbol number within a slot, and  equals the higher-layer parameter s*cramblingID*.

##### 7.4.1.5.3 Mapping to physical resources

For each CSI-RS configured, the UE shall assume the sequence  being mapped to resources elements  according to



when the following conditions are fulfilled:

- the resource element  is within the resource blocks occupied by the CSI-RS resource for which the UE is configured

The reference point for is subcarrier 0 in common resource block 0.



The value of is given by the higher-layer parameter *density* in the *CSI-RS-ResourceMapping* IE and the number of ports is given by the higher-layer parameter *nrofPorts*.



The UE is not expected to receive CSI-RS and DM-RS on the same resource elements.

The UE shall assume  for a non-zero-power CSI-RS where  is selected such that the power offset specified by the higher-layer parameter *powerControlOffsetSS* in the *NZP-CSI-RS-Resource* IE, if provided, is fulfilled.

The quantities , , , and  are given by Tables 7.4.1.5.3-1 to 7.4.1.5.3-6 where each  in a given row of Table 7.4.1.5.3-1 corresponds to a CDM group of size 1 (no CDM) or size 2, 4, or 8. The CDM type is provided by the higher layer parameter *cdmType* in the *CSI-RS-ResourceMapping* IE. The indices  and  index resource elements within a CDM group.

The time-domain locations and are provided by the higher-layer parameters *firstOFDMSymbolInTimeDomain* and *firstOFDMSymbolInTimeDomain2*, respectively, in the *CSI-RS-ResourceMapping* IE and defined relative to the start of a slot.

The frequency-domain location is given by a bitmap provided by the higher-layer parameter *frequencyDomainAllocation* in the *CSI-RS-ResourceMapping* IE with the bitmap and value of  in Table 7.4.1.5.3-1 given by

- ,  for row 1 of Table 7.4.1.5.3-1

- ,  for row 2 of Table 7.4.1.5.3-1

- ,  for row 4 of Table 7.4.1.5.3-1

- ,  for all other cases

where  is the bit number of the  bit in the bitmap set to one, repeated across every  of the resource blocks configured for CSI-RS reception by the UE when . The starting position and number of the resource blocks in which the UE shall assume that CSI-RS is transmitted are given by the higher-layer parameters *freqBand* and *density* in the *CSI-RS-ResourceMapping* IE for the bandwidth part given by the higher-layer parameter *bwp-Id* in the *CSI-ResourceConfig* IE*.*

The UE shall assume that a CSI-RS is transmitted using antenna ports  numbered according to



where  is the sequence index provided by Tables 7.4.1.5.3-2 to 7.4.1.5.3-5,  is the CDM group size, and  is the number of CSI-RS ports. The CDM group index  given in Table 7.4.1.5.3-1 corresponds to the time/frequency locations  for a given row of the table. The CDM groups are numbered in order of increasing frequency domain allocation first and then increasing time domain allocation. For a CSI-RS resource configured as periodic or semi-persistent by the higher-layer parameter *resourceType*, the UE shall assume that the CSI-RS is transmitted in slots satisfying



where the periodicity  (in slots) and slot offset  are obtained from the higher-layer parameter *CSI-ResourcePeriodicityAndOffset*. The UE shall assume that CSI-RS is transmitted in a candidate slot only if all OFDM symbols of that slot corresponding to the configured CSI-RS resource are classified as 'downlink'.

The UE may assume that antenna ports within a CSI-RS resource are quasi-colocated with QCL Type A, Type D (when applicable), and average gain.

Table 7.4.1.5.3-1: CSI-RS locations within a slot.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Row** | **Ports** | **Density** | ***cdm-Type*** |  | **CDM group index** |  |  |
| 1 | 1 | 3 | No CDM | , , | 0,0,0 | 0 | 0 |
| 2 | 1 | 1, 0.5 | No CDM | , | 0 | 0 | 0 |
| 3 | 2 | 1, 0.5 | FD-CDM2 | , | 0 | 0, 1 | 0 |
| 4 | 4 | 1 | FD-CDM2 | , | 0,1 | 0, 1 | 0 |
| 5 | 4 | 1 | FD-CDM2 | , | 0,1 | 0, 1 | 0 |
| 6 | 8 | 1 | FD-CDM2 | , , , | 0,1,2,3 | 0, 1 | 0 |
| 7 | 8 | 1 | FD-CDM2 | , ,, | 0,1,2,3 | 0, 1 | 0 |
| 8 | 8 | 1 | CDM4 (FD2,TD2) | , | 0,1 | 0, 1 | 0, 1 |
| 9 | 12 | 1 | FD-CDM2 | , , , ,, | 0,1,2,3,4,5 | 0, 1 | 0 |
| 10 | 12 | 1 | CDM4 (FD2,TD2) | , , | 0,1,2 | 0, 1 | 0, 1 |
| 11 | 16 | 1, 0.5 | FD-CDM2 | , , , ,, , , | 0,1,2,3,  4,5,6,7 | 0, 1 | 0 |
| 12 | 16 | 1, 0.5 | CDM4 (FD2,TD2) | , , , | 0,1,2,3 | 0, 1 | 0, 1 |
| 13 | 24 | 1, 0.5 | FD-CDM2 | , , , , , ,, , , , , | 0,1,2,3,4,5,  6,7,8,9,10,11 | 0, 1 | 0 |
| 14 | 24 | 1, 0.5 | CDM4 (FD2,TD2) | , , , , , | 0,1,2,3,4,5 | 0, 1 | 0, 1 |
| 15 | 24 | 1, 0.5 | CDM8 (FD2,TD4) | , , | 0,1,2 | 0, 1 | 0, 1, 2, 3 |
| 16 | 32 | 1, 0.5 | FD-CDM2 | , , , ,, , , , , , , , , , , | 0,1,2,3,  4,5,6,7,  8,9,10,11,  12,13,14,15 | 0, 1 | 0 |
| 17 | 32 | 1, 0.5 | CDM4 (FD2,TD2) | , , , , , , , | 0,1,2,3,4,5,6,7 | 0, 1 | 0, 1 |
| 18 | 32 | 1, 0.5 | CDM8 (FD2,TD4) | , , , | 0,1,2,3 | 0,1 | 0,1, 2, 3 |

Table 7.4.1.5.3-2: The sequences  and  for *cdm-Type* equal to 'no CDM'.

|  |  |  |
| --- | --- | --- |
| Index |  |  |
| 0 | 1 | 1 |

Table 7.4.1.5.3-3: The sequences  and  for *cdm-Type* equal to 'FD-CDM2'.

|  |  |  |
| --- | --- | --- |
| Index |  |  |
| 0 |  | 1 |
| 1 |  | 1 |

Table 7.4.1.5.3-4: The sequences  and  for *cdm-Type* equal to 'CDM4'.

|  |  |  |
| --- | --- | --- |
| Index |  |  |
| 0 |  |  |
| 1 |  |  |
| 2 |  |  |
| 3 |  |  |

Table 7.4.1.5.3-5: The sequences  and  for *cdm-Type* equal to 'CDM8'.

|  |  |  |
| --- | --- | --- |
| Index |  |  |
| 0 |  |  |
| 1 |  |  |
| 2 |  |  |
| 3 |  |  |
| 4 |  |  |
| 5 |  |  |
| 6 |  |  |
| 7 |  |  |

### 7.4.2 Synchronization signals

#### 7.4.2.1 Physical-layer cell identities

There are 1008 unique physical-layer cell identities given by



where  and .

#### 7.4.2.2 Primary synchronization signal

##### 7.4.2.2.1 Sequence generation

The sequence  for the primary synchronization signal is defined by



where



and



##### 7.4.2.2.2 Mapping to physical resources

Mapping to physical resources is described in clause 7.4.3.

#### 7.4.2.3 Secondary synchronization signal

##### 7.4.2.3.1 Sequence generation

The sequence  for the secondary synchronization signal is defined by



where



and



##### 7.4.2.3.2 Mapping to physical resources

Mapping to physical resources is described in clause 7.4.3.

### 7.4.3 SS/PBCH block

#### 7.4.3.1 Time-frequency structure of an SS/PBCH block

In the time domain, an SS/PBCH block consists of 4 OFDM symbols, numbered in increasing order from 0 to 3 within the SS/PBCH block, where PSS, SSS, and PBCH with associated DM-RS are mapped to symbols as given by Table 7.4.3.1-1.

In the frequency domain, an SS/PBCH block consists of 240 contiguous subcarriers with the subcarriers numbered in increasing order from 0 to 239 within the SS/PBCH block. The quantities  and  represent the frequency and time indices, respectively, within one SS/PBCH block. The UE may assume that the complex-valued symbols corresponding to resource elements denoted as 'Set to 0' in Table 7.4.3.1-1 are set to zero. The quantity  in Table 7.4.3.1-1 is given by . The quantity  is the subcarrier offset from subcarrier 0 in common resource block  to subcarrier 0 of the SS/PBCH block, where the 4 least significant bits of  are given by the higher-layer parameter *ssb-SubcarrierOffset* and for SS/PBCH block type A the most significant bit of  is given by  in the PBCH payload as defined in subclause 7.1.1 of [4, TS 38.212]. If *ssb-SubcarrierOffset* is not provided, is derived from the frequency difference between the SS/PBCH block and Point A.

The UE may assume that the complex-valued symbols corresponding to resource elements that are part of a common resource block partially or fully overlapping with an SS/PBCH block and not used for SS/PBCH transmission are set set to zero in the OFDM symbols where SS/PBCH block is transmitted.

For an SS/PBCH block, the UE shall assume

- antenna port  is used for transmission of PSS, SSS and PBCH,

- the same cyclic prefix length and subcarrier spacing for the PSS, SSS, and PBCH,

- for SS/PBCH block type A,  and  with the quantities , and  expressed in terms of 15 kHz subcarrier spacing, and

- for SS/PBCH block type B,  and  with the quantity  expressed in terms of the subcarrier spacing provided by the higher-layer parameter *subCarrierSpacingCommon* and  is expressed in terms of 60 kHz subcarrier spacing.

The UE may assume that SS/PBCH blocks transmitted with the same block index on the same center frequency location are quasi co-located with respect to Doppler spread, Doppler shift, average gain, average delay, delay spread, and, when applicable, spatial Rx parameters. The UE shall not assume quasi co-location for any other SS/PBCH block transmissions.

Table 7.4.3.1-1: Resources within an SS/PBCH block for PSS, SSS, PBCH, and DM-RS for PBCH.

|  |  |  |
| --- | --- | --- |
| Channel or signal | OFDM symbol number  relative to the start of an SS/PBCH block | Subcarrier number  relative to the start of an SS/PBCH block |
| PSS | 0 | 56, 57, …, 182 |
| SSS | 2 | 56, 57, …, 182 |
| Set to 0 | 0 | 0, 1, …, 55, 183, 184, …, 239 |
| 2 | 48, 49, …, 55, 183, 184, …, 191 |
| PBCH | 1, 3 | 0, 1, …, 239 |
| 2 | 0, 1, …, 47,  192, 193, …, 239 |
| DM-RS for PBCH | 1, 3 |  |
| 2 |  |

##### 7.4.3.1.1 Mapping of PSS within an SS/PBCH block

The UE shall assume the sequence of symbols constituting the primary synchronization signal to be scaled by a factor  to conform to the PSS power allocation specified in [5, TS 38.213] and mapped to resource elements  in increasing order of  where  and  are given by Table 7.4.3.1-1 and represent the frequency and time indices, respectively, within one SS/PBCH block.

##### 7.4.3.1.2 Mapping of SSS within an SS/PBCH block

The UE shall assume the sequence of symbols  constituting the secondary synchronization signal to be scaled by a factor  and mapped to resource elements  in increasing order of  where  and  are given by Table 7.4.3.1-1 and represent the frequency and time indices, respectively, within one SS/PBCH block.

##### 7.4.3.1.3 Mapping of PBCH and DM-RS within an SS/PBCH block

The UE shall assume the sequence of complex-valued symbols  constituting the physical broadcast channel to be scaled by a factor  to conform to the PBCH power allocation specified in [5, TS 38.213] and mapped in sequence starting with  to resource elements  which meet all the following criteria:

- they are not used for PBCH demodulation reference signals

The mapping to resource elements  not reserved for PBCH DM-RS shall be in increasing order of first the index  and then the index , where  and  represent the frequency and time indices, respectively, within one SS/PBCH block and are given by Table 7.4.3.1-1.

The UE shall assume the sequence of complex-valued symbols  constituting the demodulation reference signals for the SS/PBCH block to be scaled by a factor of  to conform to the PBCH power allocation specified in [5, TS 38.213] and to be mapped to resource elements  in increasing order of first  and then  where  and  are given by Table 7.4.3.1-1 and represent the frequency and time indices, respectively, within one SS/PBCH block.

#### 7.4.3.2 Time location of an SS/PBCH block

The locations in the time domain where a UE shall monitor for a possible SS/PBCH block are described in clause 4.1 of [5, TS 38.213].

Annex A:  
Change history

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Change history** | | | | | | | |
| **Date** | **Meeting** | **TDoc** | **CR** | **Rev** | **Cat** | **Subject/Comment** | **New version** |
| 2017-04 | RAN1#89 | R1-1708219 |  |  |  | Draft skeleton | 0.0.0 |
| 2017-05 | AH\_1706 | R1-1711366 |  |  |  | Inclusion of agreements up to and including RAN1#89 | 0.0.1 |
| 2017-06 | AH\_1706 | R1-1711886 |  |  |  | Updated editor's version | 0.0.2 |
| 2017-06 | AH\_1706 | R1-1712004 |  |  |  | Clean version further to RAN1's endorsement | 0.1.0 |
| 2017-07 | AH\_1706 | R1-1712011 |  |  |  | Inclusion of agreements up to and including RAN1 NR AdHoc #2 | 0.1.1 |
| 2017-08 | AH\_1706 | R1-1712950 |  |  |  | Updated editor's version | 0.1.2 |
| 2017-08 | RAN1#90 | R1-1713296 |  |  |  | Updated editor's version | 0.1.3 |
| 2017-08 | RAN1#90 | R1-1714656 |  |  |  | Endorsed by RAN1#90 | 0.2.0 |
| 2017-08 | RAN1#90 | R1-1715321 |  |  |  | Inclusion of agreements from RAN1#90 | 0.2.1 |
| 2017-09 | RAN1#90 | R1-1715329 |  |  |  | Updated editor's version | 0.2.2 |
| 2017-09 | RAN#77 | RP-171994 |  |  |  | For information to plenary | 1.0.0 |
| 2017-09 | AH\_1709 | R1-1716927 |  |  |  | Inclusion of agreements from AdHoc#3 | 1.0.1 |
| 2017-09 | AH\_1709 | R1-1718318 |  |  |  | Updated editor's version | 1.0.2 |
| 2017-10 | RAN1#90b | R1-1719105 |  |  |  | Endorsed by RAN1#90bis | 1.1.0 |
| 2017-10 | RAN1#90b | R1-1719224 |  |  |  | Inclusion of agreements from RAN1#90bis | 1.1.1 |
| 2017-11 | RAN1#90b | R1-1719685 |  |  |  | Updated editor's version | 1.1.2 |
| 2017-11 | RAN1#90b | R1-1720850 |  |  |  | Updated editor's version | 1.1.3 |
| 2017-11 | RAN1#90b | R1-1721048 |  |  |  | Endorsed by RAN1#90bis | 1.2.0 |
| 2017-12 | RAN1#91 | R1-17xxxxx |  |  |  | Inclusion of agreements from RAN1#91 | 1.2.1 |
| 2017-12 | RAN1#91 | R1-1721341 |  |  |  | Endorsed by RAN1#91 | 1.3.0 |
| 2017-12 | RAN#78 | RP-172284 |  |  |  | For approval by plenary | 2.0.0 |
| 2017-12 | RAN#78 |  |  |  |  | Approved by plenary – Rel-15 spec under change control | 15.0.0 |
| 2018-03 | RAN#79 | RP-180200 | 0001 | - | F | CR capturing the Jan18 ad-hoc and RAN1#92 meeting agreements | 15.1.0 |
| 2018-06 | RAN#80 | RP-181172 | 0002 | 1 | F | CR to 38.211 capturing the RAN1#92bis and RAN1#93 meeting agreements | 15.2.0 |