

ENTITY RELATIONSHIP MODEL

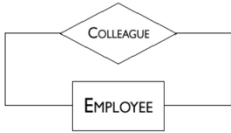
- Modeling = mapping entities and relationships of world into concepts of a DB
 - Relational Model represent entities, relationships w/ relations
 - Mapping is not deterministic
- Entity Relationship Model Elements
 - Entity Set** = a class of objects that have properties in common, and autonomous existence
 - ex. City, Employee
 - An **Entity** is an instance of an entity set
 - ex. Stockholm is a City, Johansen is an Employee



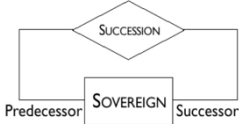
- Relationship Sets** = association btwn 2+ entity sets
 - ex. Residence (btwn entity sets City and Employee)
 - A Relationship is an instance of n-ary relationship set
 - ex. <Johansen, Stockholm> is a Residence relationship



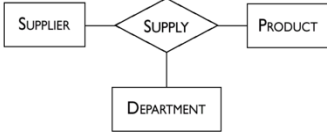
- Recursive Relationship** – relationships relate an entity self to itself
 - Ex. Colleague relation btwn Employees



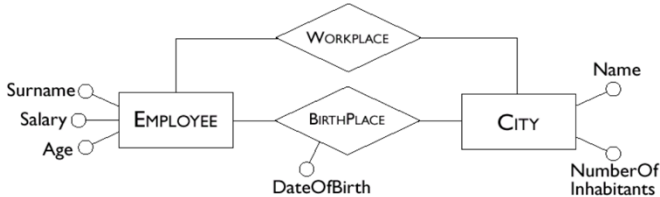
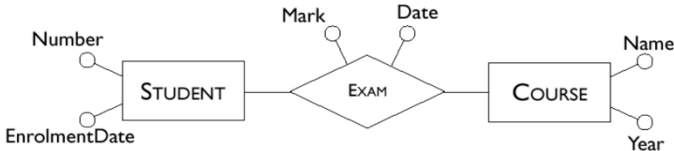
- Recursive Relationship doesn't hav eto be symmetric, need to indicate the two roles that an Entity can be



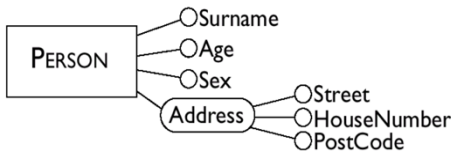
- Ternary Relationships** – relationships between 3 entity sets
 - Ex. Supply relationship btwn Supplier, Product, Department



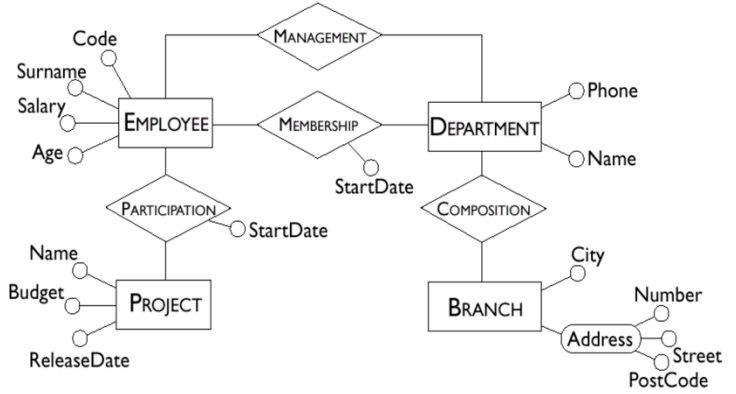
- Attributes** – describe elementary properties of entites and relationships
 - Single-Valued** or **Multi-Valued**



- Composite attributes** – grouped attributes of the same entity or relationship that have closely connected meaning or uses



find more resources at www.oneclass.com



- Cardinalities** – constrain how an Entity Instance participate in a Relationship Instance = (min, max)

- Ex. Assignment relationship btwn Employee and Task
 - Employee → Assignment → Task: An Employee can be assigned to btwn 1 – 5 Tasks
 - Task → Assignment → Employee: A Task can be assigned to 0 – 50 Employees



- Cardinality** = pairs of non-negative integers (Min, Max)

- Min** =
 - 0 → entity participation in a relationship is **optional**
 - 1 → entity participation in a relationship is **mandatory**
- Max** =
 - 1 → each instance of entity is associated w/ at most **single** instance of the relationship
 - N → each instance of entity is associated w/ **many** instances of the relationships
- Multiplicity:** $(1 - 1) \subset (N - 1), (1 - N) \subset (N - N)$

- Ex1. Sale relationship btwn Order and Invoice

- 1. An Order of a Sale can have 0 to 1 Invoices
- 2. An Invoice for a Sale is solely for an Order



- Ex2. Residence relationship btwn Person and City

- 1. Person Resides in soley one City
- 2. A City can have 0 to N Residences



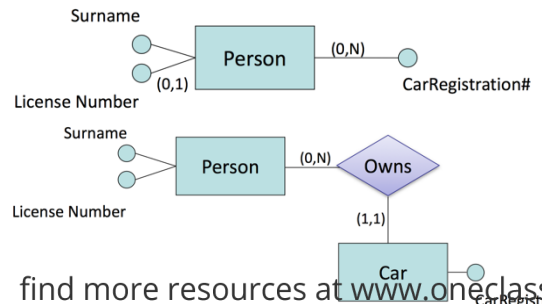
- Ex3. Reservation relationship btwn Tourist and Voyage

- 1. A Tourist can Reserve 1 to N Voyages
- 2. A Voyage can have 0 to N registered Tourists

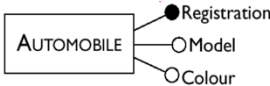


- Cardinality of Attributes**

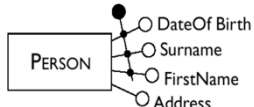
- Single-valued attributes** (1, 1) can be omitted
- Optional attributes** (0, 1) or **Multi-valued attributes** (1, N), (0, N) have to be specified
 - Multi-valued attributes may represent situations th at can be modeled w/ addition entities



- Keys** = minimal sets of attributes which identify unique instances of entity sets
 - Ex. SIN# attribute = key for entity set Person
 - Internal Keys** = keys forms by one or more attributes from entity itself
 - Ex. Internal, single-attribute



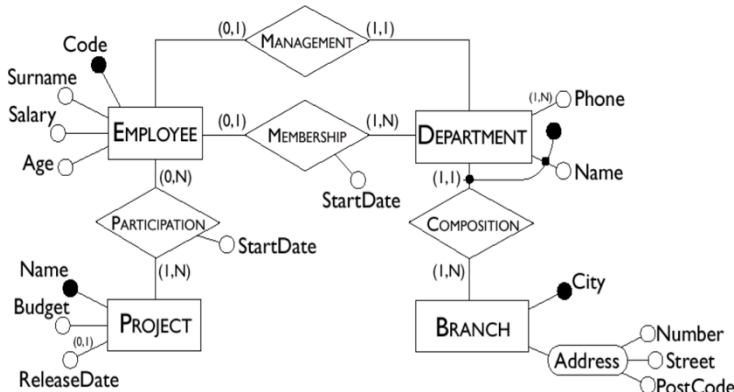
- Ex. Internal, multi-attribute



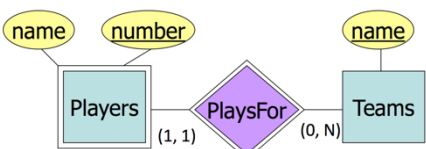
- Foreign Keys** = keys forms by attributes of the entity itself and attributes of other entities it has relations with
 - Ex. Foreign, multi-attribute



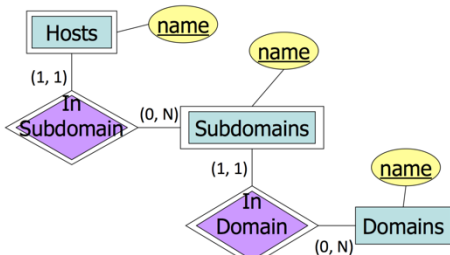
- Example ER Diagram with Keys



- Weak entities** = entities that requires other entities to identify itself uniquely
 - Need to follow one or more many-one relationships from the entity itself, and include the key of the related entities from the connected entity sets (foreign keys)
 - Weak entities never exist alone
 - Always require 1 **supporting** relationship for unique identification
 - Example: uniquely identify a player in the Players entity set
 - Player.name cannot be a key b/c players may share same name
 - Player.number cannot be a key b/c players on multiple teams may share numbers
 - Key: Player.number with Team.name through relationship PlaysFor

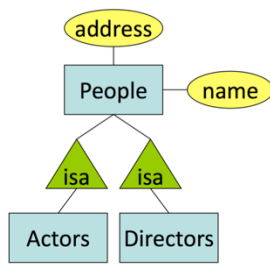


- Chained weak entity sets
 - Example: Host, Subdomain, Domain for URL

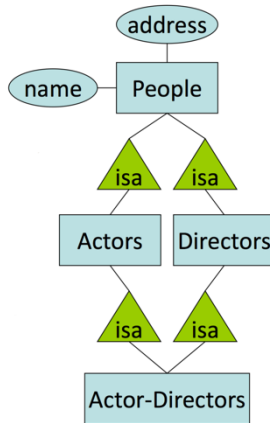


Weak entities usually not used in practice

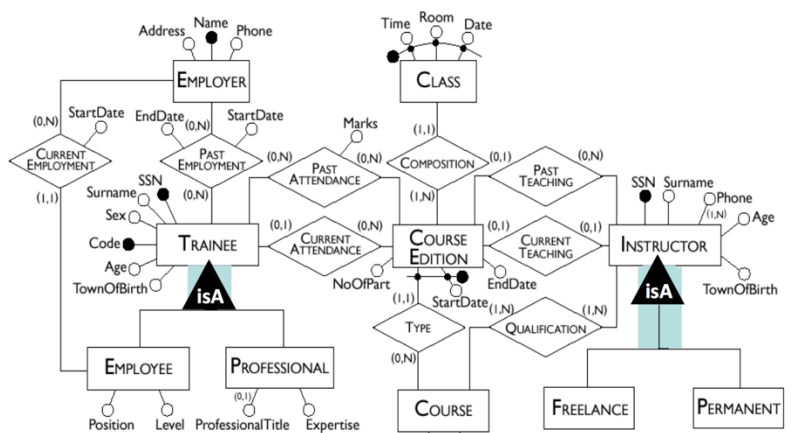
- Subclass in ER diagrams
 - Subclass = inheritance (usually special case)
 - Fewer instances, more attributes
 - One-one relationship btwn classes



- Multiple Inheritance not usually necessary
 - i.e. an entity can be many classes
 - Usually not good idea → naming collision
 - Usable classes usually form a tree



- Example: Real World problem to ER Model
 - We wish to create a database for a company that runs training courses. For this, we must store data about **trainees** and **instructors**. For each **course participant** (about 5,000), identified by a code, we want to store her **social security number, surname, age, sex, place of birth, employer's name, address and telephone number, previous employers (and periods employed), courses attended** (there are about 200 courses) and the **final assessment** for each course. We need also to represent **seminars** that each participant is attending at present and, for each **day, the places and times** the classes are held.
 - Each **course** has a code and a title and any course can be given any number of times. Each time a particular course is given, we will call it an 'edition' of the course. For each edition, we represent the **start date, the end date, and the number of participants**. If a **trainee** is self-employed, we need to know her **area of expertise**, and, if appropriate, **her title**. For **somebody who works for a company**, we store the **level and position** held. For each **instructor** (about 300), we will show the **surname, age, place of birth, the edition of the course taught, those taught in the past and the courses that the tutor is qualified to teach**. All the instructors' **telephone numbers** are also stored. An instructor can be **permanently employed** by the training company or **freelance**.

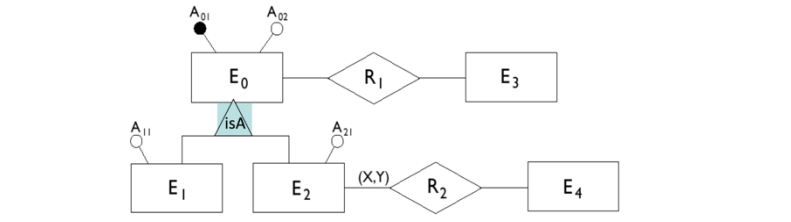


- Given conceptual schema (ER Diagram) generate a logical (relational) schema
 - Not a simple translation b/c:
 - 1. Not all constructs of ER model can be translated naturally into the relational model
 - 2. Schema must be restructured in such a way to make the execution of projected operations as efficient as possible

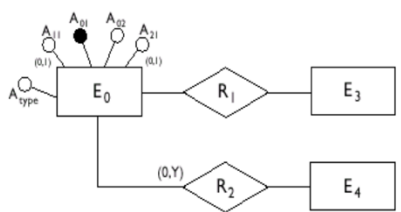
1. Restructure ER schema based on criteria for optimization of the schema

- Input: ER Schema → Output: Restructured E/R Schema
- 1. Analysis of Redundancies
 - Redundancy = saying the same thing in two or more different ways
 - Wastes space, encourage inconsistency, indicates design flaw
 - Type 1: Repeated Information
 - Type 2: Repeated Design (same or similar attributes)
 - Entity Set vs. Attributes → an entity set satisfy one of the following conditions:
 - 1. It is more than the name of something, it has atleast one nonkey attribute
 - 2. It is "many" in a many-one or many-many relationship
 - Rules:
 - A "thing" in its own right → Entity Set
 - A "detail" about some other "thing" → attribute
 - A "detail" correlated among many "things" → Entity Set
 - Presence of Redundancy in a DB can be
 - Advantage → a reduction in the number of accesses necessary to obtain derived information
 - Disadvantage → need larger storage requirements, and need additional operations to keep data consistent

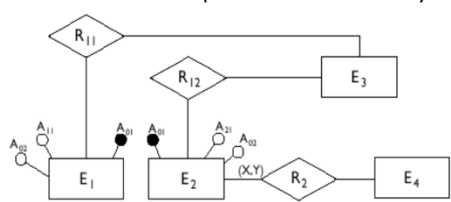
2. Removing Generalizations



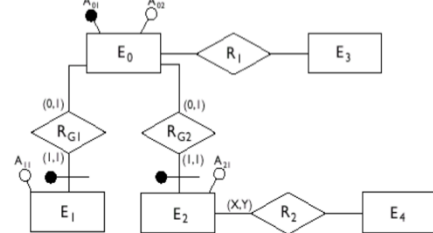
- Option 1: Merge all generalizing entity sets in a single entity set with attributes of all the merged entity sets



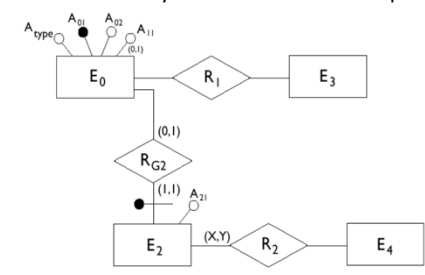
- Option 2: Remove the entity set that has subclass entity sets, reroute the relationship to the subclass entity sets



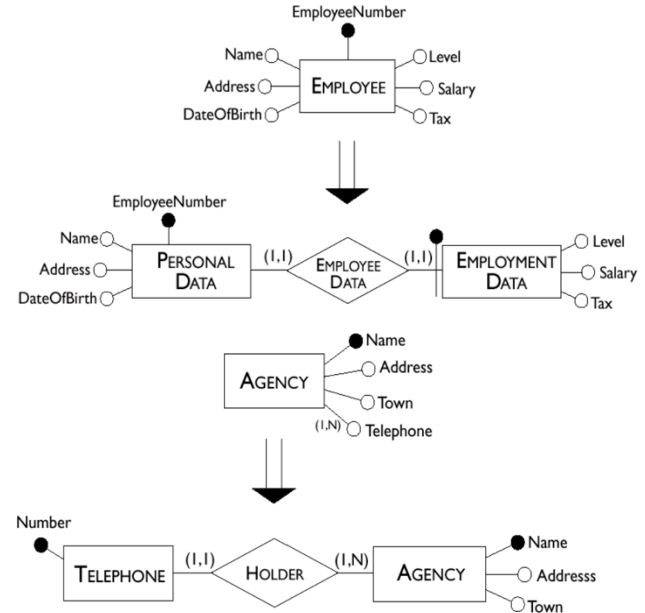
- Option 3: Replace subclassing with a relationship



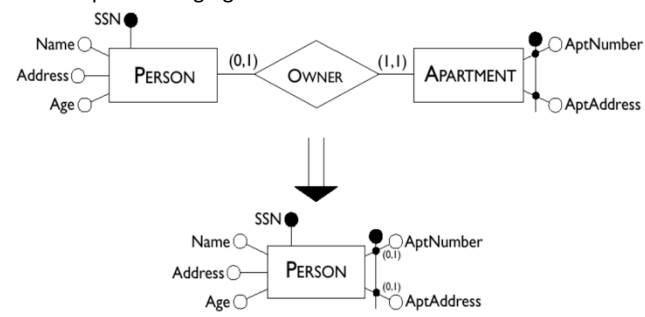
- Option 4: Remove the entity set that has subclass entity sets, merge the subclass entity sets with a relationship



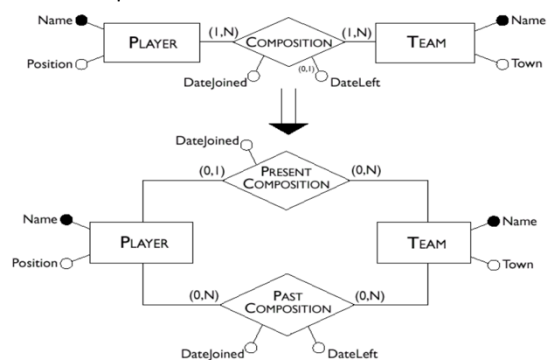
- 3. Partitioning/Merging of Entities and Relations
 - Entities and Relationships can be partitioned/merged to improve efficiency, DB accesses can be reduced by
 - Separating Attributes of the same concept that are accessed by different operations
 - Merging Attributes of different concept that are accessed by the same operation
 - Example of Partitioning Entities



- Example of Merging Entities



- Example Partitoning a Relationship
- Suppose that Composition represents current and past compositions of a Team



1. Limit the Use of Weak Entity Sets

- When to use Weak Entity Sets → when there's no global authority capable of creating unique IDs
 - Ex. unlikely to assign unique player numbers across all football teams in the world
- In reality there's a way to identify each entity uniquely anyways

5. Selection of Keys

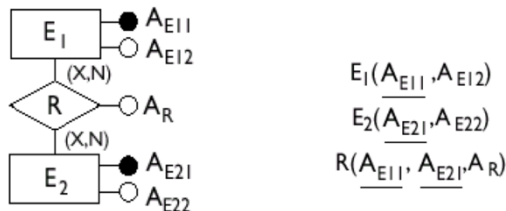
- Every relation must have a unique primary key
- Criteria for selecting primary keys
 - Attributes w/ null values cannot form primary keys
 - One/few attributes is preferable to many attributes
 - Internal keys preferable to external ones (weak entities)
 - A key that is used by many operations to access instances of an entity is preferable to others
- Use IDs (integers) b/c easier than multi-attribute and/or string keys:

2. Translate into the relational model

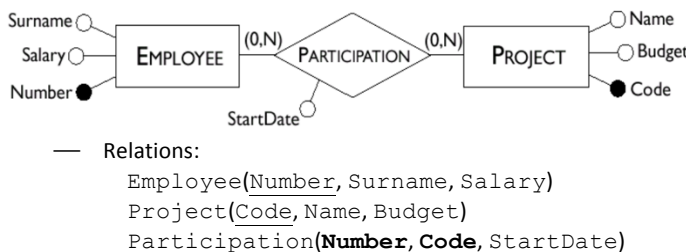
- Input: ER Schema → Output: Relational Schema

Binary Many-to-Many Relationship

- Both Entity Sets and Relationship are all relations
 - Key of the Entity Set relation is the key of the Entity Set
 - Keys of the Relationship relation is the two keys from the Entity Sets as foreign keys

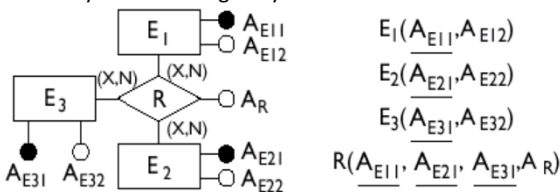


Example:

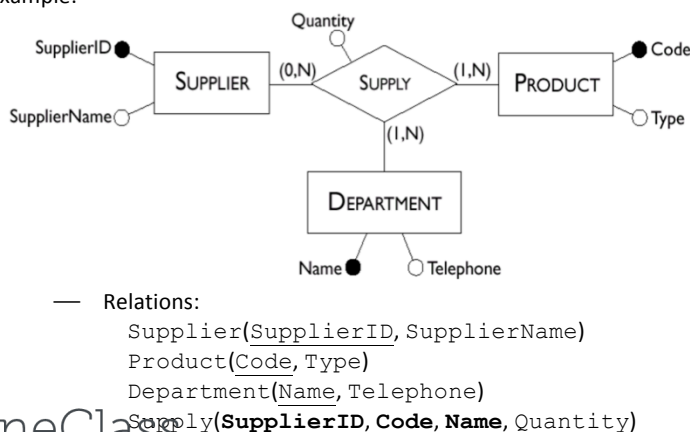


Ternary Relationship

- Entity Sets and Relationship are all relations
 - Key of the Entity Set relation is the key of the Entity Set
 - Keys of the Relationship relation is the two keys from the Entity Sets as foreign keys

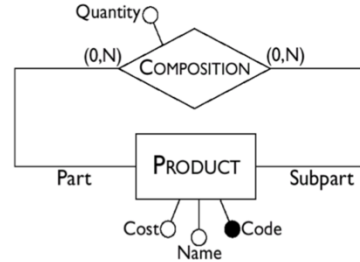


Example:



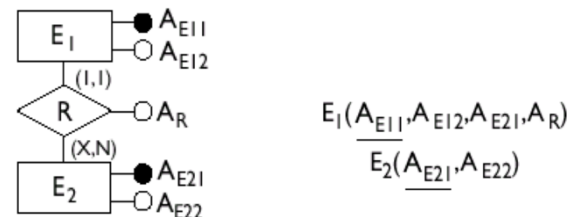
Many-to-Many Relationship

- Entity Set and Relationship are all relations
 - Key of the Entity Set relation is the key of the Entity Set
 - Key of the Relationship relation is the keys from the two instances of the Entity Set as foreign keys
- Example:

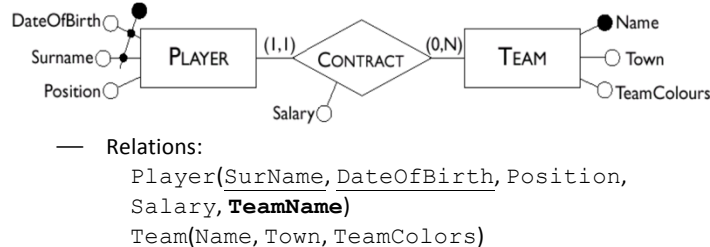


One-to-Many Relationship (Mandatory)

- Entity Sets are relations, merge the Relationship with the mandatory "one" Entity Set
 - Key of the Entity Set relation is the key of the Entity Set
 - Mandatory "one" Entity Set given a foreign key of the "many" entity, and Relationship attributes

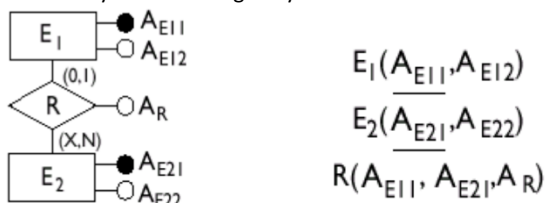


Example:

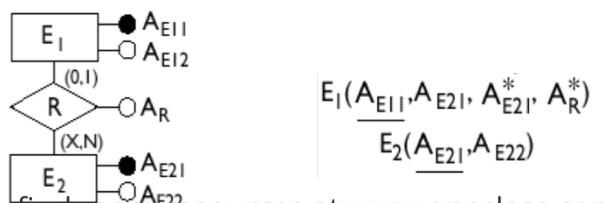


One-to-Many Relationship (Optional)

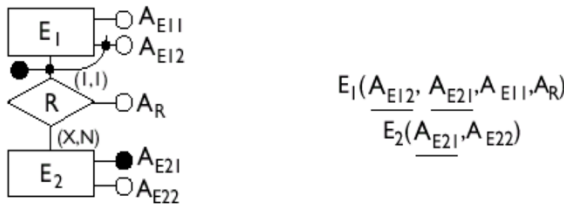
- Option 1: Entity Sets and Relationships are all relations
 - Key of the Entity Set relation is the key of the Entity Set
 - Keys of the Relationship relation is the two keys from the Entity Sets as foreign keys



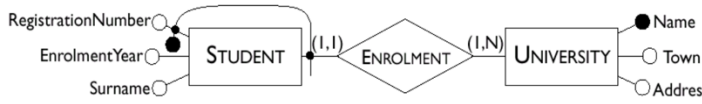
- Option 2: Entity Sets are relations, merge the Relationship with the optional "one" Entity Set
 - Key of the Entity Set relation is the key of the Entity Set
 - Optional "one" Entity Set given a foreign key of the "many" entity (or NULL), and Relationship attributes (or NULL)



- Entity Sets are relations, merge Supporting Relationship into the "one" Entity Set
 - Key of the Entity Set relation is the key of the Entity Set
 - A key of the Weak Entity is a foreign key of "many" Entity Set
 - Relationship attributes given to Weak Entity



Example:

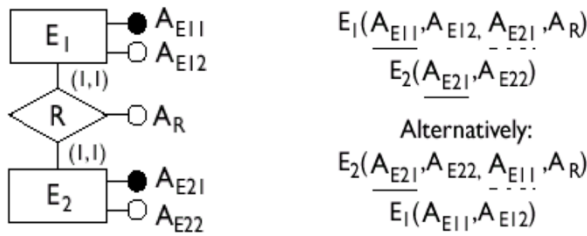


Relations:

University(Name, Town, Address)
 Student(RegistrationNumber, UniversityName, Surname, EnrolmentYear)

One-to-One Relationship (Mandatory)

- Both Entity Sets are relations
 - Key of the Entity Set relation is the key of the Entity Set
 - Merge the Relationship attributes into either one of the Entity Set and give it a foreign key of the other Entity Set



Example:

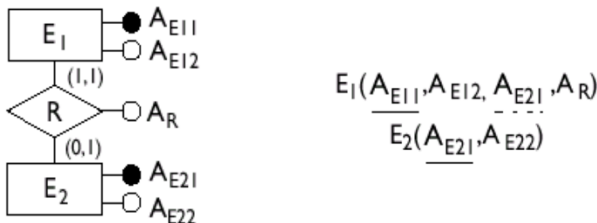


Relations:

Head(Number, Name, Salary, StartDate, DepartmentName)
 Department(Name, Telephone, Branch)

One-to-One Relationship (One Optional)

- Both Entity Sets are relations
 - Key of the Entity Set relation is the key of the Entity Set
 - Merge the Relationship attributes into the mandatory Entity Set and give it the foreign key of the optional Entity Set



Example:



Relations:

Employee(Number, Name, Salary)
 Department(Name, Telephone, Branch, StartDate, HeadEmployeeNumber)

Design Theory for Relational DBs: Functional Dependencies, Decompositions, Normal Forms

Introduction to Databases

Manos Papagelis

Thanks to Ryan Johnson, John Mylopoulos, Arnold Rosenbloom
and Renee Miller for material in these slides

Goal #2: expressing constraints

- Consider the following sets of schemas:
Students(utorid, name, email)
vs.
Students(utorid, name)
Emails(utorid, address)
- Consider also:
House(street, city, value, owner, propertyTax)
vs.
House(street, city, value, owner)
TaxRates(city, value, propertyTax)

Dependencies, constraints are domain-dependent

Database Design Theory

- Guides systematic improvements to database schemas
- General idea:
 - Express constraints on the data
 - Use these to decompose the relations
- Ultimately, get a schema that is in a "normal form" that guarantees certain desirable properties
- "Normal" in the sense of conforming to a standard
- The process of converting a schema to a normal form is called *normalization*

2

PART 1: FUNCTIONAL DEPENDENCIES

Goal #1: remove redundancy

- Consider this schema

Student Name	Student Email	Course	Instructor
Xiao	xiao@gmail	CSC333	Smith
Xiao	xiao@gmail	CSC444	Brown
Jaspreet	jaspreet@gmail	CSC333	Smith

- What if...
 - Xiao changes email addresses? (update anomaly)
 - Xiao drops CSC444? (deletion anomaly)
 - Need to create a new course, CSC222 (insertion anomaly)

Multiple relations => exponentially worse

Functional dependencies

- Let X, Y be sets of attributes from relation R
- $X \rightarrow Y$ is an assertion about tuples in R
 - Any tuples which agree in all attributes of X must also agree in all attributes of Y
- "X functionally determines Y"
 - Or, "The values of attributes Y are a function of those in X"
 - Not necessarily an easy function to compute, mind you
 - => Consider $X \rightarrow h$, where h is the hash of attributes in X
- Notational conventions
 - "a", "b", "c" – specific attributes
 - "A", "B", "C" – sets of (unnamed) attributes
 - $abc \rightarrow def$ – same as $\{a,b,c\} \rightarrow \{d,e,f\}$

Most common to see singletons ($X \rightarrow y$ or $abc \rightarrow d$)



Rules and principles about FDs

- Rules
 - The splitting/combining rule
 - Trivial FDs
 - The transitive rule
- Algorithms related to FDs
 - the closure of a set of attributes of a relation
 - a minimal basis of a relation



The Splitting/Combining rule of FDs

- Attributes on right independent of each other
 - Consider $a, b, c \rightarrow d, e, f$
 - “Attributes a, b, and c functionally determine d, e, and f”
 - => No mention of d relating to e or f directly
- Splitting rule (Useful to split up right side of FD)
 - $abc \rightarrow def$ becomes $abc \rightarrow d$, $abc \rightarrow e$ and $abc \rightarrow f$
- No safe way to split left side
 - $abc \rightarrow def$ is NOT the same as $ab \rightarrow def$ and $c \rightarrow def$!
- Combining rule (Useful to combine right sides):
 - if $abc \rightarrow d$, $abc \rightarrow e$, $abc \rightarrow f$ holds, then $abc \rightarrow def$ holds



Splitting FDs – example

- Consider the relation and FD
 - EmailAddress(user, domain, firstName, lastName)
 - user, domain \rightarrow firstName, lastName
- The following hold
 - user, domain \rightarrow firstName
 - user, domain \rightarrow lastName
- The following do NOT hold!
 - user \rightarrow firstName, lastName
 - domain \rightarrow firstName, lastName

Gotcha: “doesn’t hold” = “not all tuples” != “all tuples not”



Trivial FDs

- Not all functional dependencies are useful
 - $A \rightarrow A$ always holds
 - $abc \rightarrow a$ also always holds (right side is subset of left side)
- FD with an attribute on both sides is “trivial”
 - Simplify by removing $L \cap R$ from R
 $abc \rightarrow ad$ becomes $abc \rightarrow d$
 - Or, in singleton form, delete trivial FDs
 $abc \rightarrow a$ and $abc \rightarrow d$ becomes just $abc \rightarrow d$



Transitive rule

- The transitive rule holds for FDs
 - Consider the FDs: $a \rightarrow b$ and $b \rightarrow c$; then $a \rightarrow c$ holds
 - Consider the FDs: $ad \rightarrow b$ and $b \rightarrow cd$; then $ad \rightarrow cd$ holds or just $ad \rightarrow c$ (because of the trivial dependency rule)



Identifying functional dependencies

- FDs are domain knowledge
 - Intrinsic features of the data you’re dealing with
 - Something you know (or assume) about the data
- Database engine cannot identify FDs for you
 - Designer must specify them as part of schema
 - DBMS can only enforce FDs when told to
- DBMS cannot safely “optimize” FDs either
 - It has only a finite sample of the data
 - An FD constrains the entire domain

Coincidence or FD?

ID	Email	City	Country	Surname
1983	tom@gmail.com	Toronto	Canada	Fairgrieve
8624	mar@bell.com	London	Canada	Samways
9141	scotty@gmail.com	Winnipeg	Canada	Samways
1204	birds@gmail.com	Aachen	Germany	Lakemeyer

- What if we try to infer FDs from the data?
 - ID \rightarrow email, city, country, surname
 - email \rightarrow city, country, surname
 - city \rightarrow country
 - surname \rightarrow country

Domain knowledge required to validate FDs

Keys and FDs

- Consider relation R with attributes A
- Superkey
 - Any $S \subseteq A$ s.t. $S \rightarrow A$
 - \Rightarrow Any subset of A which determines all remaining attributes in A
- Candidate key (or key)
 - $C \subseteq A$ s.t. $C \rightarrow A$ and $X \rightarrow A$ does not hold for any $X \subset C$
 - \Rightarrow A superkey which contains no other superkeys
 - \Rightarrow Remove any attribute and you no longer have a key
- Primary key
 - The candidate key we use to identify the relation
 - \Rightarrow Always exists, only one allowed, doesn't matter which C we use
- Prime attribute
 - \exists candidate key C s.t. $x \in C$ (attribute that participates in at least one key)

FD: relaxes the concept of a "key"

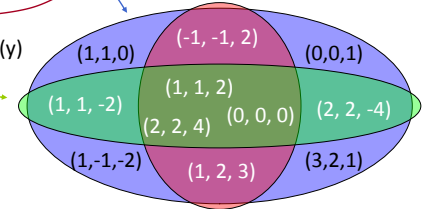
- Functional dependency: $X \rightarrow Y$
- Superkey: $X \rightarrow R$
- A superkey must include all remaining attributes of the relation on the RHS
- An FD can involve **just a subset** of them
- Example:
 - Houses(street, city, value, owner, tax)
 - street, city \rightarrow value, owner, tax (*both FD and key*)
 - city, value \rightarrow tax (*FD only*)

Cyclic functional dependencies?

- Attributes on right side of one FD may appear on left side of another!
 - Simple example: assume relation (A, B) & FDs: $A \rightarrow B$, $B \rightarrow A$
 - What does this say about A and B?
- Example
 - studentID \rightarrow email email \rightarrow studentID

Geometric view of FDs

- Let D be the domain of tuples in R
 - Every possible tuple is a point in D
- FD X on R restricts tuples in R to a subset of D
 - Points in D which violate X cannot be in R
- Example: $D(x, y, z)$
 - $xy \rightarrow z$
 - $\Rightarrow z = \text{abs}(x) + \text{abs}(y)$
 - $z \rightarrow x, y$
 - $\Rightarrow x = y = \text{abs}(z)/2$



Inferring functional dependencies

- Problem
 - Given FDs $X_1 \rightarrow a_1$, $X_2 \rightarrow a_2$, etc.
 - Does some FD $Y \rightarrow B$ (not given) also hold?
- Consider the dependencies
 - $A \rightarrow B$ $B \rightarrow C$
 - Intuitively, $A \rightarrow C$ also holds
 - The given FDs entail (imply) it (transitivity rule)

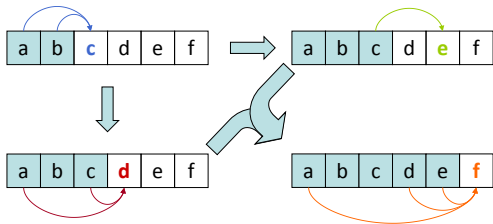
How to prove it in the general case?

Closure test for FDs

- Given attribute set A and FD set F
 - Denote A_F^+ as the closure of A relative to F
 - $\Rightarrow A_F^+$ = set of all FDs given or implied by A
- Computing the [transitive] closure of A
 - Start: $A_F^+ = A$, $F' = F$
 - While $\exists X \in F'$ s.t. $LHS(X) \subseteq A_F^+$:
 - $A_F^+ = A_F^+ \cup RHS(X)$
 - $F' = F' - X$
 - At end: $A \rightarrow B \forall B \in A_F^+$

Closure test – example

- Consider $R(a,b,c,d,e,f)$ with FDs $ab \rightarrow c$, $ac \rightarrow d$, $c \rightarrow e$, $ade \rightarrow f$
- Find A^+ if $A = ab$ or find $\{a,b\}^+$



$\{a,b\}^+ = \{a,b,c,d,e,f\}$ or $ab \rightarrow cdef$ -- ab is a candidate key!

Example : Closure Test

$F: AB \rightarrow C$
 $A \rightarrow D$
 $D \rightarrow E$
 $AC \rightarrow B$

X	X_F^+
A	{A, D, E}
AB	{A, B, C, D, E}
AC	{A, C, B, D, E}
B	{B}
D	{D, E}

Is $AB \rightarrow E$ entailed by F? Yes
 Is $D \rightarrow C$ entailed by F? No

Result: X_F^+ allows us to determine all FDs of the form
 $X \rightarrow Y$ entailed by F

Discarding redundant FDs

- Minimal basis: opposite extreme from closure
- Given a set of FDs F, want to minimize F' s.t.
 - $F' \subseteq F$
 - F' entails $X \forall X \in F$
- Properties of a minimal basis F'
 - RHS is always singleton
 - If any FD is removed from F' , F' is no longer a minimal basis
 - If for any FD in F' we remove one or more attributes from the LHS of $X \in F'$, the result is no longer a minimal basis

Constructing a minimal basis

- Straightforward but time-consuming
 - Split all RHS into singletons
 - $\forall X \in F'$, test whether $J = (F' - X)^+$ is still equivalent to F^+
- \Rightarrow Might make F' too small
- $\forall i \in LHS(X) \forall X \in F'$, let $LHS(X') = LHS(X) - i$
 Test whether $(F' - X + X')^+$ is still equivalent to F^+
 \Rightarrow Might make F' too big
- Repeat (2) and (3) until neither makes progress

Minimal Basis: Example

- Relation R: $R(A, B, C, D)$
- Defined FDs:
 - $F = \{A \rightarrow AC, B \rightarrow ABC, D \rightarrow ABC\}$

Find the minimal Basis M of F



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Minimal Basis: Example (cont.)

1st Step

- $H = \{A \rightarrow A, A \rightarrow C, B \rightarrow A, B \rightarrow B, B \rightarrow C, D \rightarrow A, D \rightarrow B, D \rightarrow C\}$

2nd Step

- $A \rightarrow A, B \rightarrow B$: **can** be removed as trivial
- $A \rightarrow C$: **can't** be removed, as there is no other LHS with A
- $B \rightarrow A$: **can't** be removed, because for $J = H - \{B \rightarrow A\}$ is $B^+ = BC$
- $B \rightarrow C$: **can** be removed, because for $J = H - \{B \rightarrow C\}$ is $B^+ = ABC$
- $D \rightarrow A$: **can** be removed, because for $J = H - \{D \rightarrow A\}$ is $D^+ = DBA$
- $D \rightarrow B$: **can't** be removed, because for $J = H - \{D \rightarrow B\}$ is $D^+ = DC$
- $D \rightarrow C$: **can** be removed, because for $J = H - \{D \rightarrow C\}$ is $D^+ = DBAC$

Step outcome $\Rightarrow H = \{A \rightarrow C, B \rightarrow A, D \rightarrow B\}$



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Minimal Basis: Example (cont.)

3rd Step

- H doesn't change as all LHS in H are single attributes

4th Step

- H doesn't change

Minimal Basis: $M = H = \{A \rightarrow C, B \rightarrow A, D \rightarrow B\}$



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Minimal Basis: Example 2

- Relation R: $R(A, B, C)$
- Defined FDs:
 - $A \rightarrow B, A \rightarrow C, B \rightarrow C, B \rightarrow A, C \rightarrow A, C \rightarrow B$
 - $AB \rightarrow, AC \rightarrow B, BC \rightarrow A$
 - $A \rightarrow BC$
 - $A \rightarrow A$
- Possible Minimal Bases:
 - $\{A \rightarrow B, B \rightarrow A, B \rightarrow C, C \rightarrow B\}$ or
 - $\{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$
 - ...