

Implications for High Frequency Gravitational Wave from the PTA Data

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Recently several pulsar timing array (PTA) experiments such as NANOGrav and PPTA reported detection of a gravitational wave (GW) background at nano-Hz frequency band. Enhanced curvature perturbation $P_{\mathcal{R}}$ can produce scalar induced gravitational wave (SIGW) that serves as a good source candidate for the observed GW signal. Here we show that if the PTA signal were indeed of SIGW origin, $P_{\mathcal{R}}$ amplitude required will produce primordial black holes (PBHs) in $[2 \times 10^{-5}, 2 \times 10^{-2}] m_{\odot}$ mass range. Mergers of these PBHs will produce strong gravitational wave (GW) background in $[10^{-3}, 10^8]$ Hz frequencies that falls into the sensitivity reach of various high frequency GW observatories such as the Einstein Telescope (ET), DECIGO and BBO, which can help further scrutinize the SIGW interpretation of PTA signal.

Introduction Enthusiasm in primordial black holes (PBHs) [1, 2] has grown immensely after the LIGO discovery of gravitational wave signals [3] in agreement with merger events of black holes above stellar masses [4]. With sufficiently large primordial curvature perturbation \mathcal{R} , such black holes can be produced in the early Universe from gravitational collapse of overdense regions. PBHs are under extensive searches for their astrophysical signals, see Ref. [5] for a recent review. The early process of over-density collapse is also predicted to release scalar-induced gravitational waves (SIGW) [6, 7], which offers a glimpse at valuable information of early fluctuations at late-time gravitational wave detectors.

The primordial black hole can be supermassive, to generate gravitational waves from the mergers directly. In the case of the scalar-induced gravitational waves (SIGW), the associated PBH produced in this case is a couple of orders below solar mass, and the mergers of such light PBH produced a gravitational wave signal around Mhz. Since the endeavor of the whole gravitational wave frequency spectrum searches has begun, with various proposals already in Mhz-GHz band [8], it is intriguing to link the ultra-low NanoHz gravitational waves to the ultra-high frequency gravitational searches. We may call it a multi-messenger task in the frequency spectrum space.

In recent month, several Pulsar Timing Array (PTA) observatories including NANOGrav [9], Chinese PTA (CPTA) [10], Parkes PTA (PPTA) [11] and European PTA [12, 13] reported strong evidence for gravitational wave (GW) background at nano-Hertz waveband, which verifies previous claims from [14–17]. These observations incited several studies on potential sources of observed GW background [18], such as supermassive black

holes [19, 20], phase transition [21–24] and axion topological defects [25–27].

In case of SIGW from overdensity collapse, a GW signal at PTA's nHz frequency generally requires a curvature power spectrum $P_{\mathcal{R}}$ with large amplitude at the scale of $k \sim 10^8 \text{ Mpc}^{-1}$ [18, 19]. At large scales ($k \lesssim 10 \text{ Mpc}^{-1}$), $P_{\mathcal{R}}$ has been well constrained by observations from cosmic microwave background (CMB) and large scale structures [28, 29], however at smaller scales $P_{\mathcal{R}}$ is comparably poorly constrained [7] and can potentially has amplitude large enough to explain PTA signal.

In this letter, we show that $P_{\mathcal{R}}$ amplitude required for generating SIGW compatible with PTA data will also lead to formation of PBHs with mass in $[2 \times 10^{-5}, 2 \times 10^{-2}] m_{\odot}$ range. The mergers of these PBHs can produce GW background peaked at around Mhz frequencies, which can be easily detected by various high frequency GW observatories such as Einstein Telescope (ET) [30], Deci-Hertz Interferometer Gravitational Wave Observatory (DECIGO) [31] and Big Bang Observer (BBO) [32]. This work is structured as follows, we begin by a review of our model for SIGW and merging PBH. After discussing our inference settings we present our results and conclusions.

GW from curvature perturbation and PBH mergers.

As a good approximation for a large class of curvature perturbation models, we consider a log-normal parameterization for curvature power spectrum $P_{\mathcal{R}}$ [18, 19, 33–35],

$$P_{\mathcal{R}} = \frac{A}{\sqrt{2\pi\Delta^2}} \exp \left[-\frac{(\ln k/k_*)^2}{2\Delta^2} \right] \quad (1)$$

where A , k_* and Δ are model parameters, which describe the amplitude, peak location and the width of $P_{\mathcal{R}}$ respectively. Upon horizon crossing, $P_{\mathcal{R}}$ will modify the radiation quadrupole moment and generate SIGW at second order. If sufficiently large, $P_{\mathcal{R}}$ will also generate overdense regions that can gravitationally collapse and form PBHs [7]. Here we find that the PBHs generated by $P_{\mathcal{R}}$

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spectrum in Eq. (1) has a mass distribution that can be well fit by a log-normal profile [7],

$$\psi = f_{\text{bh}} \frac{1}{\sqrt{2\pi}\sigma_{\text{bh}}} \exp \left[-\frac{\ln^2(m/m_c)}{2\sigma_{\text{bh}}^2} \right], \quad (2)$$

where $\psi \equiv df_{\text{bh}}/d \ln m$, $f_{\text{bh}} \equiv \rho_{\text{bh}}/\rho_{\text{dm}}$ is the fraction of DM made of PBHs, ρ_{bh} and ρ_{dm} denotes mass densities of PBH and DM respectively, m denotes PBH mass, m_c and σ_{bh} are peak and width of the distribution respectively. For convenience, in what follows we will parameterise our PBH distribution using Eq. (2).

In addition to SIGW, merger events of PBH binaries created by $P_{\mathcal{R}}$ can also emit GW at higher frequencies. The comoving merger rate R of a pair of PBHs with mass m_1 and m_2 is given by [36],

$$\begin{aligned} \frac{dR}{dm_1 dm_2} &\simeq \frac{1.6 \times 10^6}{\text{Gpc}^3 \text{yr}} f_{\text{bh}}^{-\frac{21}{37}} \eta^{-\frac{34}{37}} \left(\frac{M}{m_{\odot}} \right)^{-\frac{32}{37}} \\ &\times \left(\frac{t}{t_0} \right)^{-\frac{34}{37}} S \psi(m_1) \psi(m_2) \end{aligned} \quad (3)$$

where $M = m_1 + m_2$, $\eta = m_1 m_2 / M^2$, t is the time of merger, $t_0 = 13.8 \text{Gyr}$ is the current age of the Universe. S is a suppression factor which takes the form [36, 37],

$$S = \frac{e^{-\bar{N}(y)}}{\Gamma(21/37)} \int dv v^{-\frac{16}{37}} \exp \left[-\phi - \frac{3\sigma_M^2 v^2}{10f_{\text{bh}}^2} \right] \quad (4)$$

$$\phi = \frac{\bar{N}(y) \langle m \rangle}{f_{\text{bh}}} \int \frac{dm}{m} \psi(m) F \left(\frac{M}{\langle m \rangle} \frac{v}{\bar{N}(y)} \right) \quad (5)$$

where $\sigma_M \simeq 0.004$, $\bar{N}(y)$ is the expected number of PBHs within a comoving radius of y around the binary [38], and we take $\bar{N}(y) \simeq M f_{\text{bh}} / [\langle m \rangle (f_{\text{bh}} + \sigma_M)]$ following [36–38], which has been shown to agree well with numerical simulation for $f_{\text{bh}} \leq 0.1$ [36, 38]. $\langle m \rangle$ is the mean of PBH mass over number density distribution [37], which equals $m_c e^{-\sigma_{\text{bh}}^2/2}$ for our log-normal mass distribution in Eq. (2). $F(z) = {}_1F_2(-1/2, 3/4, 5/4; -9z^2/16) - 1$, ${}_1F_2$ is the generalized hypergeometric function.

The energy density for merging PBHs is calculated as [39],

$$\Omega_{\text{GW}} = \frac{f}{\rho_{\text{cr}}} \int \frac{dz dR}{(1+z)H} \frac{dE_{\text{GW}}(f_r)}{df_r} \quad (6)$$

here $\Omega_{\text{GW}} \equiv \rho_{\text{cr}}^{-1} d\rho_{\text{GW}}/d \ln f$ is fractional GW density per log frequency interval, ρ_{GW} and ρ_{cr} are GW density and current critical density respectively, $f_r = (1+z)f$ is the source frequency, $dE_{\text{GW}}(f_r)/df_r$ is the source energy spectrum for each individual PBH merger event, for which we adopt [40], $H = H_0[\Omega_{\Lambda} + \Omega_{\text{m}}(1+z)^3 + \Omega_{\text{r}}(1+z)^4]^{1/2}$ denotes Hubble parameter, and we use the Planck 2018 [29] of $H_0 = 67.66 \text{ kms}^{-1} \text{Mpc}^{-1}$, $\Omega_{\Lambda} = 0.6903$ and $\Omega_{\text{m}} = 0.3096$. We assume massless neutrino such that the radiation density fraction $\Omega_{\text{r}} = 9.1 \times 10^{-5}$.

Inference Settings To find the credible region for PBHs and the corresponding merger GW signal, we analyzed the PTA datasets using our SIGW model. Our log-likelihood takes the form,

$$\ln \mathcal{L} = -\frac{1}{2} \sum_i \frac{(x_i - u_i)^2}{\sigma_i^2} \quad (7)$$

here the index i denotes frequency, x is Ω_{GW} for our SIGW model, u is the measured median for Ω_{GW} , σ is the error bar for Ω_{GW} , which equals σ_u for $x > u$ and σ_l otherwise, where σ_u and σ_l are upper and lower error bars respectively. We used PTA GW datasets from NanoGrav [9], IPTA [17] and PPTA [11] in our inference. For convenience, we follow [18] and estimate the signal median and error bars for each experiment directly using the Ω_{GW} posterior summarised in [41, 42], and we have checked that our results (when using NG15 data only) agrees very well with that shown in [18] and [19].

As a form of dark radiation, the extra energy budget from SIGW will also change the effective degree of relativistic degrees of freedom N_{eff} . In Planck 2018 results (hereafter PLK) [29], a joint analysis of datasets from CMB, baryon acoustic oscillations (BAO) and Big Bang Nucleosynthesis (BBN) constrain N_{eff} to [7, 29],

$$\Delta N_{\text{eff}} \equiv N_{\text{eff}} - 3.046 \leq 0.175, \text{ 95\% C.L.} \quad (8)$$

this translates into an upper bound on integrated GW density of $\int d \ln f \Omega_{\text{GW}} < 2.1 \times 10^{-6}$ [7], here 3.046 is the value of N_{eff} predicted by the standard model of particle physics [7, 29, 43]. To accomodate the PLK N_{eff} limits, we added a prior of $\Delta N_{\text{eff}} < 0.175$ to our inference. Since f_{bh} in our mass range has been constrained to $\mathcal{O}(0.1)$ [44], we also use a prior of $f_{\text{bh}} \in [10^{-20}, 10^{-1}]$, this ensures that PBH does not violate the existing abundance constraints while still has physically meaningful abundance for appreciable merger GW production. For completeness, we consider a total of 3 inference settings:

- **GW**: Use PTA GW data alone.
- **GW** + ΔN_{eff} : Use PTA GW data and PLK ΔN_{eff} limits in Eq. (8).
- **GW** + ΔN_{eff} + **PBH**: Use PTA GW data and PLK ΔN_{eff} limits along with the prior of $f_{\text{bh}} \in [10^{-20}, 10^{-1}]$.

Results We sampled the likelihoods in Eq. (7) using the `multinest` sampler [45], and we compute constraints for our $P_{\mathcal{R}}$ parameters and various derived observables (e.g. ΔN_{eff} , PBH parameters and merger GW) by analyzing the `multinest` chains using the `GetDist` package [46]. Tab.I summarises the prior ranges for our parameters along with their marginalised confidence region. Fig. 1 visualises the marginalised posterior from our inference, the left panel shows the results for our $P_{\mathcal{R}}$ model parameters and the derived ΔN_{eff} from different inference settings, the right panel shows the results for PBH parameters f_{bh} , m_c and σ_{bh} .

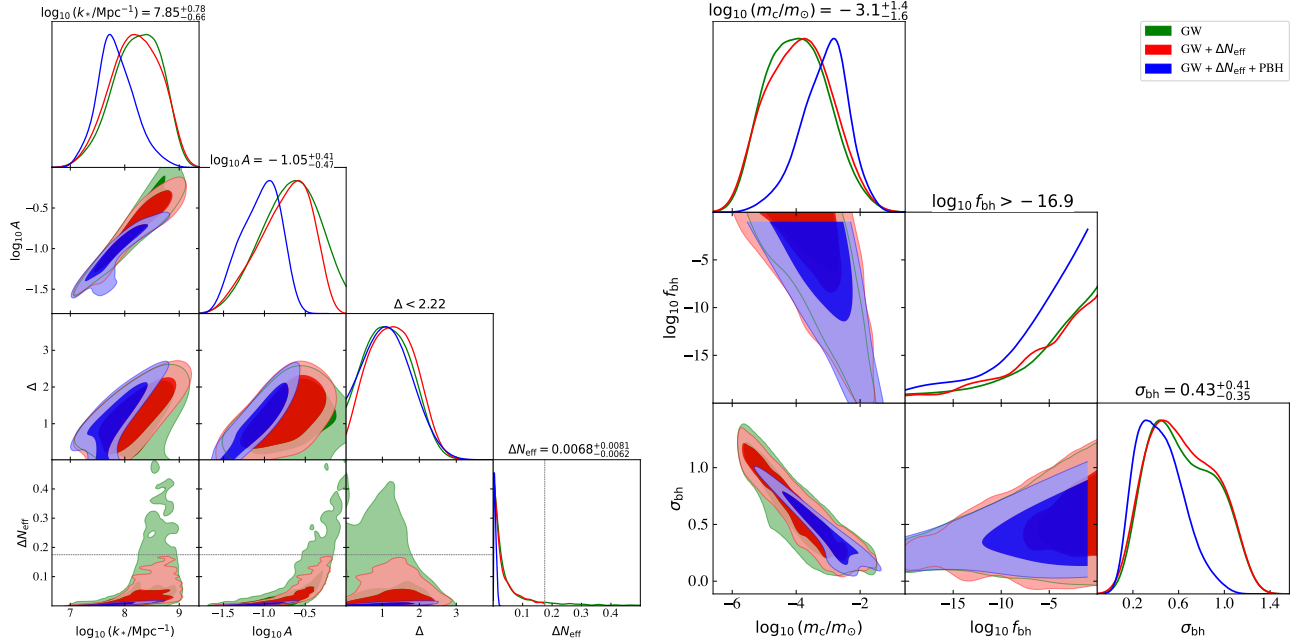


FIG. 1: Marginalised posteriors for our $P_{\mathcal{R}}$ parameters (k_* , A , Δ) and the derived ΔN_{eff} and PBH parameters (m_c , f_{bh} , σ_{bh}). The green, red and blue contours correspond to GW, GW + ΔN_{eff} , GW + ΔN_{eff} + PBH inference settings respectively. Light and dark shaded regions correspond to 68% and 95% confidence levels respectively. The dotted line in the ΔN_{eff} panels indicates the PLK upper limit of $\Delta N_{\text{eff}} < 0.175$, numbers on the diagonal panels show the marginalised mean and 95% confidence region from our main GW + ΔN_{eff} + PBH setting.

Parameters	Prior range	95% Limits GW	95% Limits GW+ ΔN_{eff} + PBH
$\log_{10} A$	$[-1.8, 0]$	$[-1.3, -0.0]$	$[-1.51, -0.63]$
$\log_{10}(k_*/\text{Mpc}^{-1})$	$[6.8, 9.0]$	$[7.4, 9.0]$	$[7.2, 8.6]$
Δ	$[0.02, 4]$	$[0.02, 2.2]$	$[0.02, 2.22]$
$\log_{10} f_{\text{bh}}$	$[-20, -1]$	$[-13.6, 10.2]$	$[-16.9, -1]$
$\log_{10}[m_c/m_\odot]$	—	$[-5.7, -2.1]$	$[-4.7, -1.7]$
σ_{bh}	—	$[0.15, 1.17]$	$[0.08, 0.84]$
ΔN_{eff}	≤ 0.175	$[0, 0.36]$	$[0.006, 0.014]$

TABLE I: Parameters in our inference and their allowed range and marginalised 95% C.L. limits. A , k_* and Δ are our free model parameters, whereas f_{bh} , m_c , σ_{bh} and ΔN_{eff} are parameters derived from A , k_* and Δ . Note that GW data alone overproduces PBHs by about 10 orders of magnitude.

As can be seen from the left panel of Fig. 1 and from Tab. I, using GW data alone gives a marginalised ΔN_{eff} 95% C.L. upper bound of 0.36, which is about 2σ away from the PLK upper limit, and adding the prior in Eq. (8) leads to a tighter constraints. In both these inference settings, the derived posterior for f_{bh} can reach beyond the physically forbidden region of $f_{\text{bh}} \geq 1$ by more than 10 orders of magnitude, and after adding the physically motivated $f_{\text{bh}} < 0.1$ prior, our constraints tightens significantly. In GW fitting, m_c and σ_{bh} are constrained (at 95% C.L.) to $[1.8 \times 10^{-6}, 7.5 \times 10^{-2}] m_\odot$ and $[0.15, 1.17]$

respectively, and in the GW+ ΔN_{eff} +PBH fitting, constraint tightens to $[2 \times 10^{-5}, 2 \times 10^{-2}] m_\odot$ for m_c and $[0.08, 0.84]$ for σ_{bh} .

In the green colored regions of Fig. 2, we show the 95 % C.L. posterior for the merger GW (left) and merger rate (right) derived from our main GW+ ΔN_{eff} +PBH inference, and the black solid curves correspond to the maximum likelihood best-fit values of $f_{\text{bh}} = 0.1$, $m_c = 2.6 \times 10^{-4} m_\odot$ and $\sigma_{\text{bh}} = 0.54$. Our Ω_{GW} posterior peaks at around 10 MHz with an amplitude of $\mathcal{O}(10^{-8})$, which falls into the frequency range of various high frequency GW observatories such as DMRadio and Levitated Sensors [8]. Towards lower frequencies Ω_{GW} decays as $f^{2/3}$, and below 10^4 Hz, Ω_{GW} starts to fall into the sensitivity reach of various proposed experiments [6, 47, 48], such as Cosmic Explorer (CE) [49], Einstein Telescope (ET) [30], Deci-Hertz Interferometer Gravitational Wave Observatory (DECIGO) [31] and Big Bang Observer (BBO) [32]. For DECIGO and BBO in particular, Ω_{GW} posterior strength exceeds the sensitivity reach by about a factor of 10^3 and 10^5 , indicating a positive prospect for experimental searches. The right panel of Fig. 2 shows that our inference constrains the merger rate today to $R \lesssim 4 \times 10^7 \text{ Gpc}^3 \text{ yr}^{-1}$. Since $R \propto t^{-34/37}$ (see Eq. (3)) and that $t \propto (1+z)^{-1.49}$ for $z \in [0, 200]$, our posterior for R scales roughly as $R \propto (1+z)^{1.4}$.

In both panels we also show the result when the $f_{\text{bh}} < 0.1$ prior is lifted to $f_{\text{bh}} < 1$, which is equivalent to requiring the density of PBH to not exceed that of

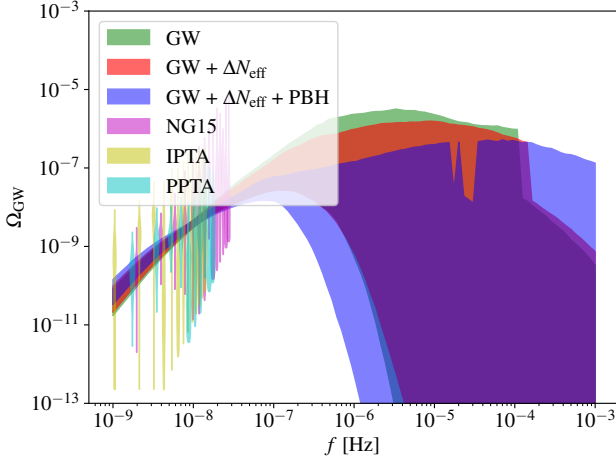


FIG. 3: Posterior distribution of SIGW and a comparison with experimental data.

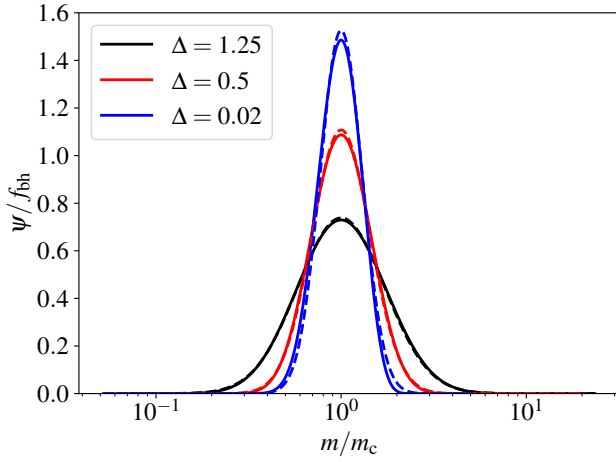


FIG. 4: Accuracy of the fit in Eq. (2). Solid lines show the actual ψ profile computed by Eq. (A5), dashed lines designate the best-fit log-normal profile using Eq. (2). In all curves we take $A = 0.1549$ and $k_* = 1.26 \times 10^8 \text{ Mpc}^{-1}$.

$$\begin{aligned}
 \Omega_{\text{GW}} &\equiv \frac{1}{\rho_{\text{cr}}} \frac{d\rho_{\text{GW}}}{d \ln f} \\
 &= 0.29 \Omega_{\text{r}} \left(\frac{106.75}{g_*} \right)^{1/3} \\
 &\quad \times \int_0^\infty dv \int_{|1-v|}^{1+v} du \left[\frac{4v^2 - (1 - u^2 + v^2)^2}{4u^2 v^2} \right]^2 \\
 &\quad \times \left(\frac{u^2 + v^2 - 3}{2uv} \right)^4 F(u, v) P_{\mathcal{R}}(kv) P_{\mathcal{R}}(ku),
 \end{aligned} \tag{A1}$$

$$\begin{aligned}
 F(u, v) &= \left(\ln \left| \frac{3 - (u + v)^2}{3 - (u - v)^2} \right| - \frac{4uv}{u^2 + v^2 - 3} \right)^2 \\
 &\quad + \pi^2 \Theta(u + v - \sqrt{3}),
 \end{aligned} \tag{A2}$$

g_* is the total degree of freedom for massless particles when the mode k enters horizon ($k = aH$) [54, 55], Θ is the Heaviside step function, and the frequency f is related to the wavenumber k via [35],

$$f = 1.546 \times 10^{-15} \left(\frac{k}{\text{Mpc}^{-1}} \right) \text{ Hz} \tag{A3}$$

In addition to emitting SIGW, sufficiently large $P_{\mathcal{R}}$ will also generate overdense regions that can gravitationally collapse into PBHs with mass [7, 35, 56–58],

$$m = 2.43 \times 10^{-4} \left(\frac{\gamma}{0.2} \right) \left(\frac{g_*}{106.75} \right)^{-1/6} \left(\frac{k}{10^8 \text{ Mpc}^{-1}} \right)^{-2} m_{\odot}, \tag{A4}$$

here γ is the collapse efficiency, for which we adopt a typical value of $\gamma = 0.2$ following [35, 56, 58]. The corresponding distribution of PBH abundance is given by [44, 58–60],

$$\begin{aligned}
 \psi &\equiv \frac{df_{\text{bh}}}{d \ln m} \\
 &= 0.28 \left(\frac{\beta}{10^{-8}} \right) \left(\frac{\gamma}{0.2} \right)^{3/2} \left(\frac{g_*}{106.75} \right)^{-1/4} \left(\frac{m}{m_{\odot}} \right)^{-1/2},
 \end{aligned} \tag{A5}$$

where

$$\beta(m) \simeq \sqrt{\frac{2\bar{\sigma}^2}{\pi\delta_c^2}} \exp\left(-\frac{\delta_c^2}{2\bar{\sigma}^2}\right), \tag{A6}$$

$$\bar{\sigma}^2(m) = \frac{16}{81} \int d \ln k' \left(\frac{k'}{k} \right)^4 P_{\mathcal{R}}(k') W^2\left(\frac{k'}{k}\right), \tag{A7}$$

here δ_c is the threshold for gravitational collapse, for which we adopt 0.45 following [7, 44, 61, 62]. $W(x)$ is a window function, which we use $\exp(-x^2/2)$ [7, 35, 58].

In Fig. 3 we show the comparison of SIGW posterior and the PTA data, Fig. 4 illustrates the ψ fitting accuracy of Eq. (2).

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