



# **FACE RECOGNITION & DOOR LOCK WITH PIN**

## **DESIGN WITH MICROPROCESSORS PROJECT DOCUMENTATION**

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**Year:** 2022-2023

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# **1. Introduction**

## **Face recognition:**

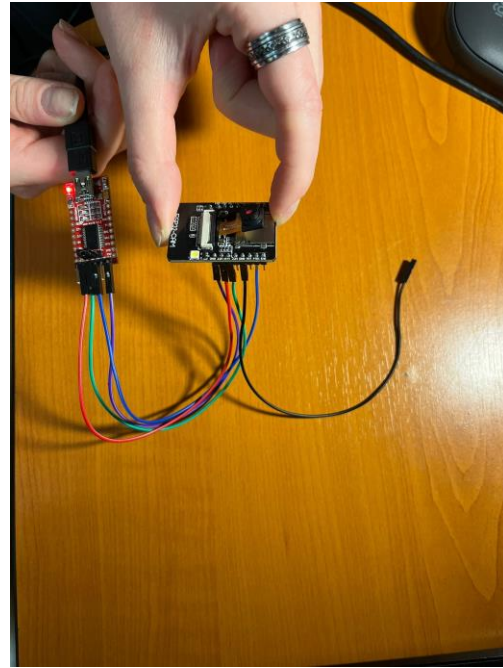
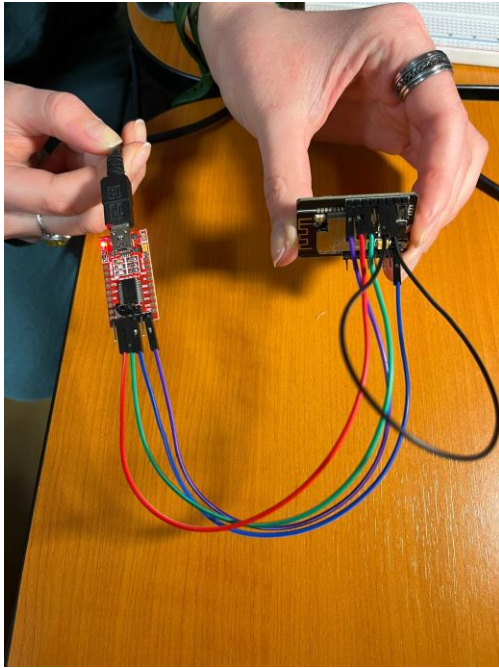
This part of the project requires the usage of an ESP-32 Cam module and an FTDI UART module in order to work. Once the connection between the camera and the server is established, the project will be able to detect the faces of the people facing the camera and, in case the given face matches the pictures stored internally, it will display the name of the person, thus signalling that the face has been recognized.

## **Door lock system:**

This part of the project is a simulation of a smart door that opens if given the correct pin via a keypad. The user is first prompted to enter the password and has 3 chances to get it right. If the password is not correct, the red led will turn on and the user will be prompted to re-enter it. If the entered password is correct, the green led will turn on, and the door will be unlocked using a servo-motor system.

## 2. Analysis and design

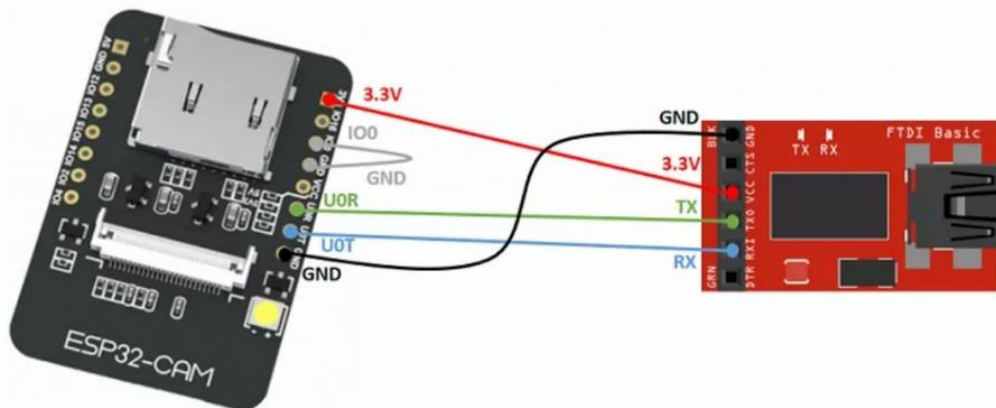
### Face recognition:



#### Instructions:

Connect the 5V & GND Pin of ESP32 to 5V & GND of FTDI Module. Similarly, connect the Rx to U0T and Tx to U0R Pin. And the most important thing, you need to short the IO0 and GND Pin together. This is to put the device in programming mode. Once programming is done you can remove it.

This is the connection between the ESP32-CAM and FTDI:



This is the output of the Arduino code, in Serial Monitor. The IP address visible in the serial monitor will then be used to set the URL in Python code in order to establish the connection between our camera and the Python face detection application.

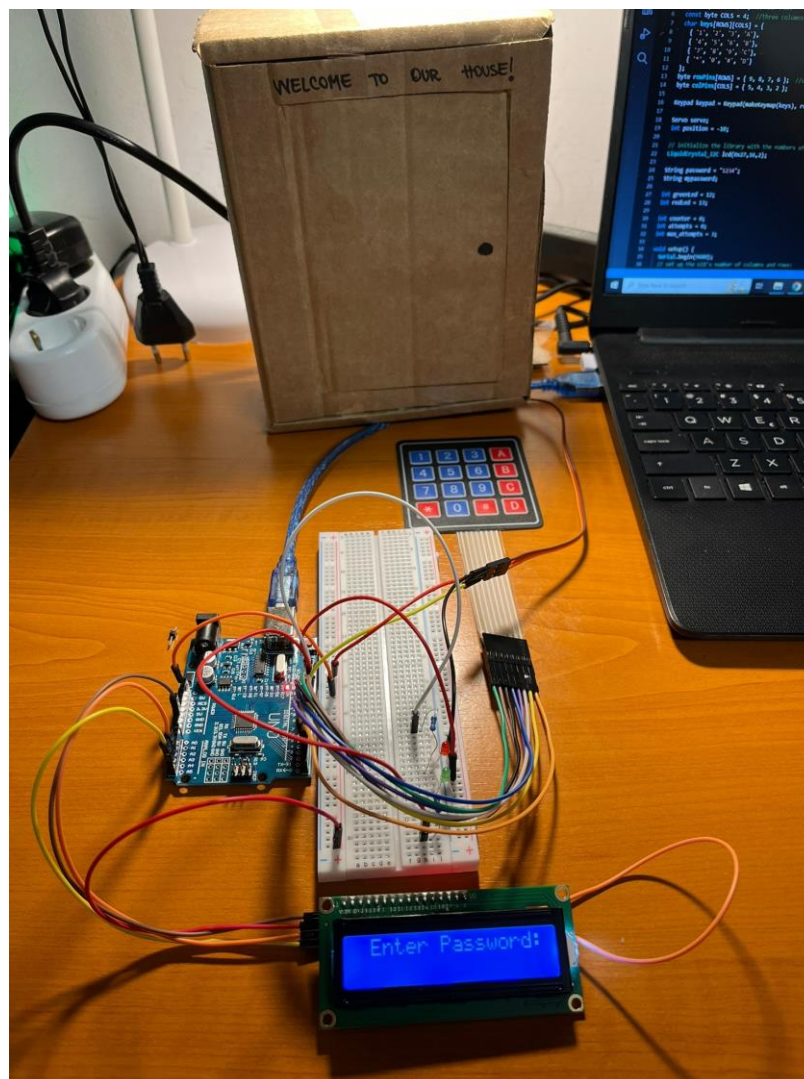
```
COM8
Send

ets Jun  8 2016 00:22:57

rst:0x1 (POWERON_RESET),boot:0x13 (SPI_FAST_FLASH_BOOT)
configsip: 0, SPIWP:0xee
clk_drv:0x00,q_drv:0x00,d_drv:0x00,cs0_drv:0x00,hd_drv:0x00,wp_drv:0x00
mode:DIO, clock div:1
load:0x3fff0018,len:4
load:0x3fff001c,len:1216
ho 0 tail 12 room 4
load:0x40078000,len:10944
load:0x40080400,len:6388
entry 0x400806b4

CAMERA OK
http://192.168.1.58
/cam.bmp
/cam-lo.jpg
/cam-hi.jpg
/cam.mjpeg
```

## Door lock system:

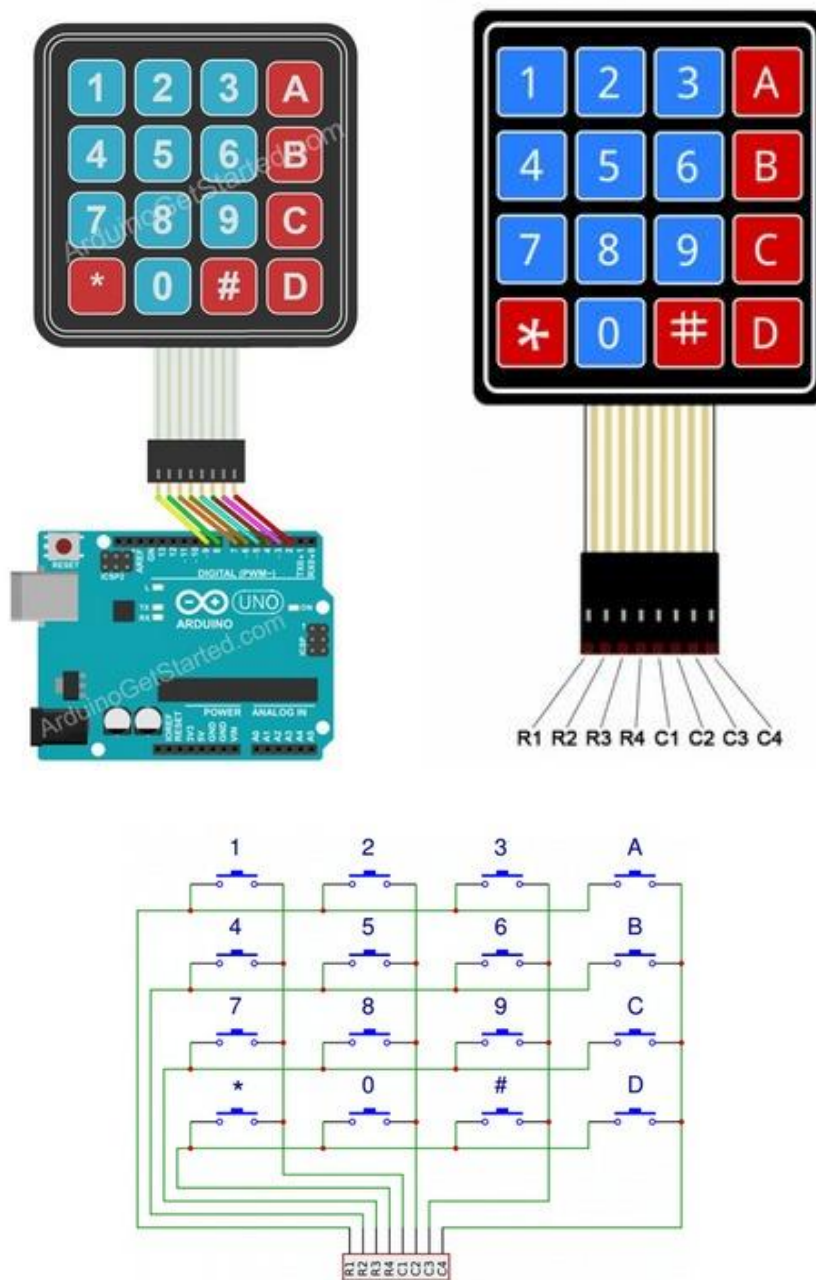


## Components used:

- Arduino Uno Board;
- Keypad 4x4;
- LCD I2C;
- Servo motor;
- 2 leds;
- 2 resistors (220 ohms);
- Wires.

## Connections:

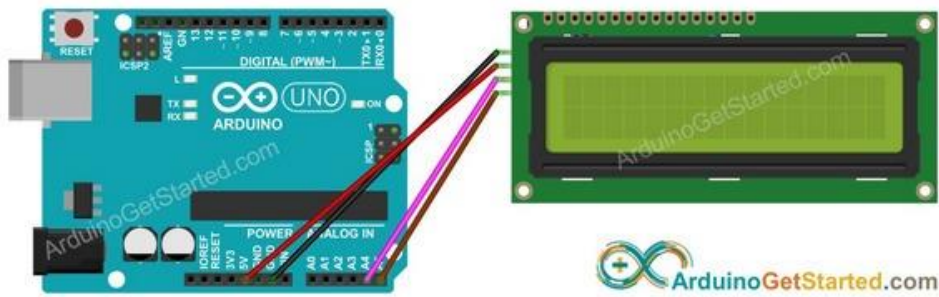
- Keypad & Arduino: R1-Pin 9, R2-Pin 8, R3-Pin 7, R4-Pin 6, C1-Pin 5, C2-Pin 4, C3-Pin 3, C4-Pin 2





## - LCD I2C & Arduino Board

### Wiring Diagram



This image is created using [Fritzing](#). Click to enlarge image

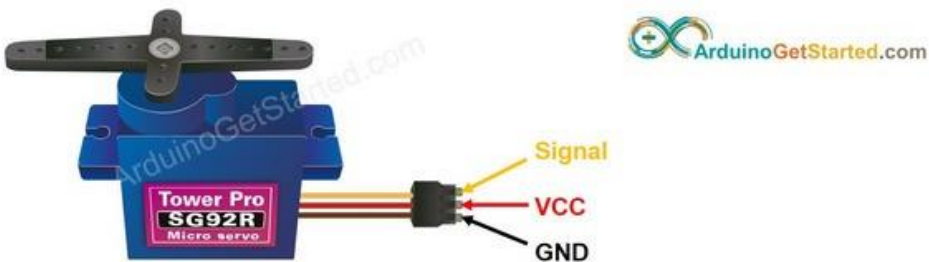
LCD I2C	Arduino Uno, Nano
Vin	5V
GND	<b>GND</b>
SDA	A4
SCL	A5

## - Servo & Arduino Board

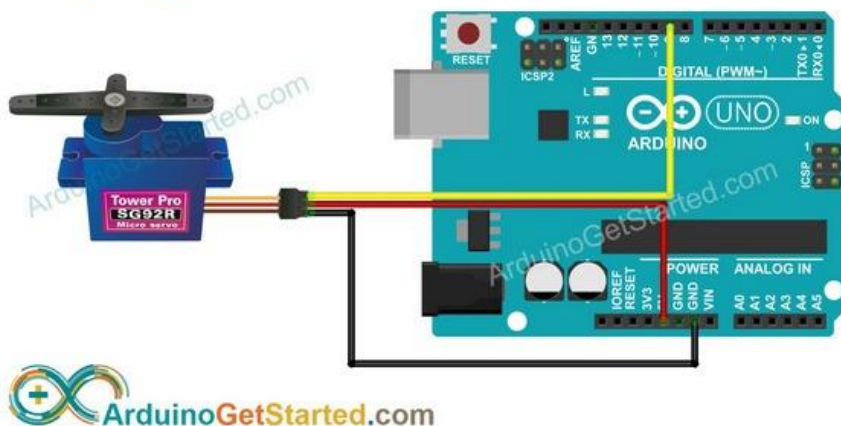
### Pinout

The servo motor used in this example includes three pins:

- ◆ **VCC pin:** (typically red) needs to be connected to **VCC** (5V)
- ◆ **GND pin:** (typically black or brown) needs to be connected to **GND** (0V)
- ◆ **Signal pin:** (typically yellow or orange) receives the PWM control signal from an Arduino's pin.



### Wiring Diagram

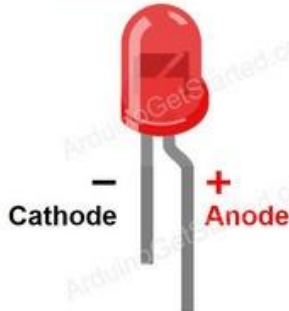


## - Leds

### Pinout

LED includes two pins:

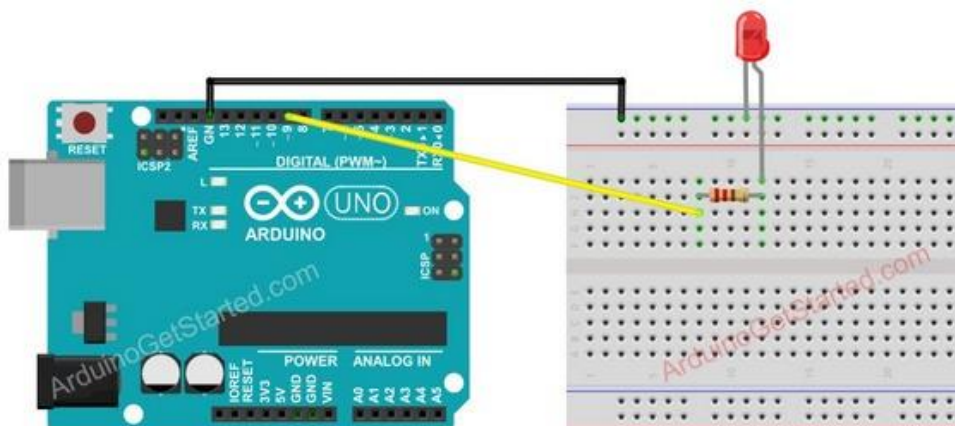
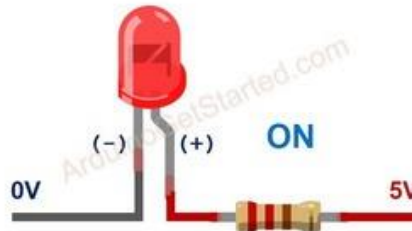
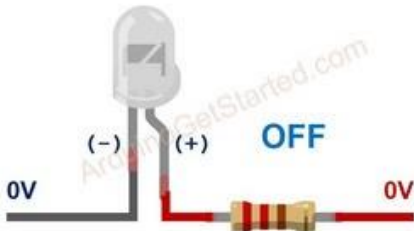
- ◆ **Cathode(-) pin:** needs to be connected to **GND** (0V)
- ◆ **Anode(+) pin:** Is used to control LED's state



### How It Works

After connecting the cathode(-) to **GND**:

- ◆ If connecting **GND** to the anode(+), LED is **OFF**.
- ◆ If connecting **VCC** to the anode(+), LED is **ON**.





### 3. Implementation

#### Face recognition:

Arduino Code:

```
CameraWebServer_copy.ino  app_httpd.cpp  camera_index.h  camera_pins.h  debug_custom.json
1  #include <WebServer.h>
2  #include <WiFi.h>
3  #include <esp32cam.h>
4
5  const char* WIFI_SSID = "02";
6  const char* WIFI_PASS = "hateithere";
7
8  WebServer server(80);
9
10
11
12  static auto loRes = esp32cam::Resolution::find(320, 240);
13  static auto midRes = esp32cam::Resolution::find(350, 530);
14  static auto hiRes = esp32cam::Resolution::find(800, 600);
15
16  void serveJpg()
17  {
18      auto frame = esp32cam::capture();
19      if (frame == nullptr) {
20          Serial.println("CAPTURE FAIL");
21          server.send(503, "", "");
22          return;
23      }
24      Serial.printf("CAPTURE OK %dx%d %db\n", frame->getWidth(), frame->getHeight(),
25                  static_cast<int>(frame->size()));
26
27      server.setContentLength(frame->size());
28      server.send(200, "image/jpeg");
29      WiFiClient client = server.client();
30      frame->writeTo(client);
31  }
32
33  void handleJpgLo()
34  {
35      if (!esp32cam::Camera.changeResolution(loRes)) {
36          Serial.println("SET-LO-RES FAIL");
37      }
38      serveJpg();
39  }
40
41  void handleJpgHi()
42  {
43      if (!esp32cam::Camera.changeResolution(hiRes)) {
44          Serial.println("SET-HI-RES FAIL");
45      }
46      serveJpg();
47  }
48
49  void handleJpgMid()
50  {
51      if (!esp32cam::Camera.changeResolution(midRes)) {
52          Serial.println("SET-MID-RES FAIL");
53      }
54      serveJpg();
55  }
56
```

```

58 void setup(){
59     Serial.begin(115200);
60     Serial.println();
61     {
62         using namespace esp32cam;
63         Config cfg;
64         cfg.setPins(pins::AiThinker);
65         cfg.setResolution(hiRes);
66         cfg.setBufferCount(2);
67         cfg.setJpeg(80);
68
69         bool ok = Camera.begin(cfg);
70         Serial.println(ok ? "CAMERA OK" : "CAMERA FAIL");
71     }
72     WiFi.persistent(false);
73     WiFi.mode(WIFI_STA);
74     WiFi.begin(WIFI_SSID, WIFI_PASS);
75     while (WiFi.status() != WL_CONNECTED) {
76         delay(500);
77     }
78     Serial.print("http://");
79     Serial.println(WiFi.localIP());
80     Serial.println(" /cam-lo.jpg");
81     Serial.println(" /cam-hi.jpg");
82     Serial.println(" /cam-mid.jpg");
83
84     server.on("/cam-lo.jpg", handleJpgLo);
85     server.on("/cam-hi.jpg", handleJpgHi);
86     server.on("/cam-mid.jpg", handleJpgMid);
87
88     server.begin();
89 }

```

```

91 void loop()
92 {
93     server.handleClient();
94 }

```

The Arduino code uses the ESP32Cam library to interact with the ESP32-CAM module and the WebServer library to set up a web server on the ESP32. The code sets up different routes (handleJpgLo, handleJpgHi, handleJpgMid) to serve different resolutions of the image captured by the ESP32-CAM. These routes are then handled by the server.handleClient() function in the loop() method.

## Python Code:

```
face_detection_attendace.py x
1 import pandas as pd
2 import cv2
3 import urllib.request
4 import numpy as np
5 import os
6 from datetime import datetime
7 import face_recognition
8
9 path = r'/home/alexandra/Uni/Year3/Sem1/DMP/FaceRecognitionProject/ATTENDANCE/image_folder/'
10 url = 'http://192.168.167.206/cam-hi.jpg'
11 ###'cam.bmp / cam-lo.jpg /cam-hi.jpg / cam.mjpeg '''
12
13 if 'Attendance.csv' in os.listdir(os.path.join(os.getcwd(), 'attendance')):
14     print("there iss..")
15     os.remove("Attendance.csv")
16 else:
17     df = pd.DataFrame(list())
18     df.to_csv("Attendance.csv")
19
20 images = []
21 classNames = []
22
23 myList = os.listdir(path)
24 print(myList)
25 for cl in myList:
26     curImg = cv2.imread(f'{path}/{cl}')
27     images.append(curImg)
28     classNames.append(os.path.splitext(cl)[0])
29 print(classNames)
```

```
face_detection_attendace.py x
31
32 def findEncodings(images):
33     encodeList = []
34     for img in images:
35         img = cv2.cvtColor(img, cv2.COLOR_BGR2RGB)
36         encode = face_recognition.face_encodings(img)[0]
37         encodeList.append(encode)
38     return encodeList
39
40
41 def markAttendance(name):
42     with open("Attendance.csv", 'r+') as f:
43         myDataList = f.readlines()
44         nameList = []
45         for line in myDataList:
46             entry = line.split(',')
47             nameList.append(entry[0])
48             if name not in nameList:
49                 now = datetime.now()
50                 dtString = now.strftime('%H:%M:%S')
51                 f.writelines(f'\n{name},{dtString}')
52
53
54 encodeListKnown = findEncodings(images)
55 print('Encoding Complete')
```

```

59 while True:
60     # success, img = cap.read()
61     img_resp = urllib.request.urlopen(url)
62     imgnp = np.array(bytearray(img_resp.read()), dtype=np.uint8)
63     img = cv2.imdecode(imgnp, -1)
64     # img = captureScreen()
65     imgS = cv2.resize(img, (0, 0), None, 0.25, 0.25)
66     imgS = cv2.cvtColor(imgS, cv2.COLOR_BGR2RGB)
67
68     facesCurFrame = face_recognition.face_locations(imgS)
69     encodesCurFrame = face_recognition.face_encodings(imgS, facesCurFrame)
70
71     for encodeFace, faceLoc in zip(encodesCurFrame, facesCurFrame):
72         matches = face_recognition.compare_faces(encodeListKnown, encodeFace)
73         faceDis = face_recognition.face_distance(encodeListKnown, encodeFace)
74         # print(faceDis)
75         matchIndex = np.argmin(faceDis)
76
77         if matches[matchIndex]:
78             name = classNames[matchIndex].upper()
79             # print(name)
80             y1, x2, y2, x1 = faceLoc
81             y1, x2, y2, x1 = y1 * 4, x2 * 4, y2 * 4, x1 * 4
82             cv2.rectangle(img, (x1, y1), (x2, y2), (0, 255, 0), 2)
83             cv2.rectangle(img, (x1, y2 - 35), (x2, y2), (0, 255, 0), cv2.FILLED)
84             cv2.putText(img, name, (x1 + 6, y2 - 6), cv2.FONT_HERSHEY_COMPLEX, 1, (255, 255, 255), 2)
85             markAttendance(name)
86
87     cv2.imshow('Webcam', img)
88     key = cv2.waitKey(5)
89     if key == ord('q'):
90         break
91     cv2.destroyAllWindows()
92     cv2.imread

```

The Python code uses the pandas library to create a CSV file to store the attendance, OpenCV library to capture the frames from the ESP32-CAM and detect the faces, and the face\_recognition library to match the detected face with known faces. The code uses the urllib library to download the image from the ESP32-CAM using its IP address and the specified route in the Arduino code. Then it uses the OpenCV library to detect faces in the image and match them with known faces. If a match is found, the code writes the attendance to the CSV file.

It is important to note that for the python script to work, the ESP32 and the device running the Python script must be connected to the same network. Also, the ESP32-CAM IP address should be entered in the Python script.

You should also check that the libraries that are being used are properly installed on your system and that the ESP32-CAM is properly configured and working.

## Door lock system:

```
1  #include <Keypad.h>
2  #include <LiquidCrystal_I2C.h>
3  #include <Servo.h>
4
5  const byte ROWS = 4; //four rows
6  const byte COLS = 4; //three columns
7  char keys[ROWS][COLS] = {
8      { '1', '2', '3', 'A' },
9      { '4', '5', '6', 'B' },
10     { '7', '8', '9', 'C' },
11     { '*', '0', '#', 'D' }
12 };
13 byte rowPins[ROWS] = { 9, 8, 7, 6 }; //connect to the row pinouts of the keypad
14 byte colPins[COLS] = { 5, 4, 3, 2 }; //connect to the column pinouts of the keypad
15
16 Keypad keypad = Keypad(makeKeymap(keys), rowPins, colPins, ROWS, COLS);
17
18 Servo servo;
19 int position = 0;
20
21 // initialize the library with the numbers of the interface pins
22 LiquidCrystal_I2C lcd(0x27,16,2);
23
24 String password = "1234";
25 String mypassword;
26
27 int greenLed = 12;
28 int redLed = 13;
29
30 int counter = 0;
31 int attempts = 0;
32 int max_attempts = 3;
33
```

```
34 void setup() {
35     Serial.begin(9600);
36     // set up the LCD's number of columns and rows:
37     lcd.init();
38     lcd.clear();
39     lcd.backlight(); // Make sure backlight is on
40
41     // Print a message on both lines of the LCD.
42     lcd.setCursor(1, 0); //Set cursor to character 2 on line 0
43
44     pinMode(redLed, OUTPUT);
45     digitalWrite(redLed, LOW);
46
47     pinMode(greenLed, OUTPUT);
48     digitalWrite(greenLed, LOW);
49
50     servo.attach(10);
51
52     Serial.println("Enter password:");
53     lcd.print("Enter Password:");
54 }
55
56 void loop() {
57
58     keypadfunction();
59 }
```

```

61 void keypadfunction() {
62     char key = keypad.getKey();
63
64     if (key) {
65         Serial.println(key);
66         counter = counter + 1;
67         lcd.setCursor(counter, 1);
68         lcd.print("*");
69     }
70
71     if (key == '1') {
72         mypassword = mypassword + 1;
73     }
74
75     if (key == '2') {
76         mypassword = mypassword + 2;
77     }
78
79     if (key == '3') {
80         mypassword = mypassword + 3;
81     }
82
83     if (key == '4') {
84         mypassword = mypassword + 4;
85     }
86
87     if (key == '5') {
88         mypassword = mypassword + 5;
89     }
90
91     if (key == '6') {
92         mypassword = mypassword + 6;
93     }
94

```

```

    } else {
        Serial.print("Wrong.");
        digitalWrite(redLed, HIGH);
        digitalWrite(greenLed, LOW);
        attempts = attempts + 1;
        if (attempts >= max_attempts) {
            lcd.clear();
            lcd.setCursor(0, 0);
            lcd.print("Locked out.");

            digitalWrite(redLed, HIGH);
            delay(5000);
            digitalWrite(redLed, LOW);
            attempts = 0;
        }
        mypassword = "";
        counter = 0;
        lcd.clear();
        lcd.setCursor(0, 0);
        lcd.print("Wrong password.");
        delay(3000);

        lcd.setCursor(0, 1);
        lcd.print("Max attempts: 3");
        delay(3000);

        lcd.clear();
        lcd.print("Enter password:");
        lcd.setCursor(0, 1);
    }
}

```



Initially we set up the LCD's number of columns and rows, implemented the keypad setup and set the pins for the other required components. Once the program is run, the LCD is programmed to display the message "Enter password: ". Moving on, the void loop function has only one function which is the keypadfunction(). Keypadfunction() is a user-defined function which has no return type and does not take any arguments as the input. The getKey() function is used to read the pressed key and is stored in the variable key. The value of each key that is pressed will be added to the password string. If the 'D' key is pressed then it will compare the password entered with the pre-defined password. If the passwords are the same then it will print "Welcome to our house :)" on the LCD, turn on the green led and unlock the door. If a wrong password is entered, it keeps the door locked and increments the number of failed attempts. If the number of wrong attempts is greater than or equal to the value stored in the max\_attempts variable (3) then clear the LCD, and announce the user that he is "locked out", while also turning on the red led.

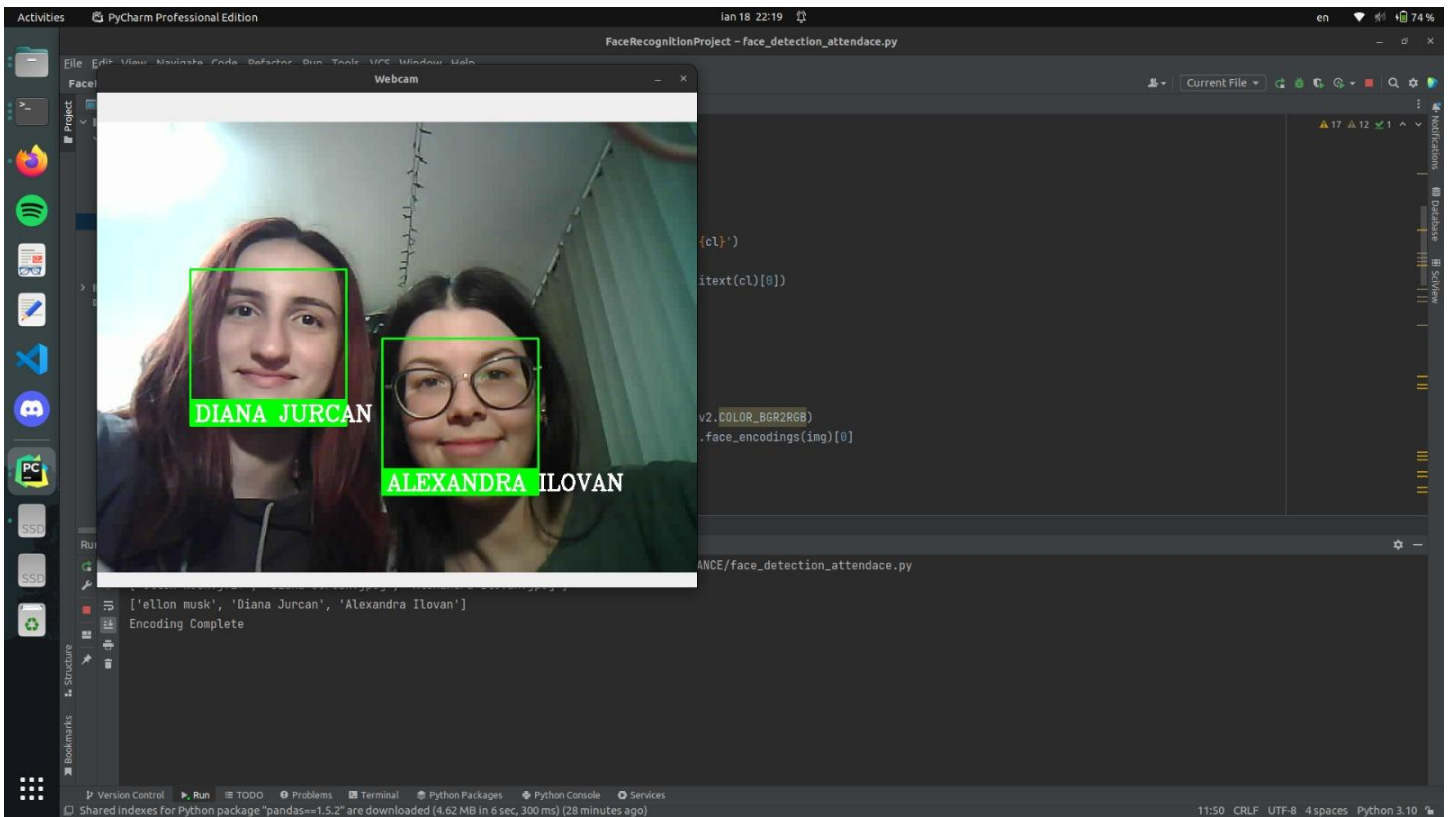
## 4. Tests and results

### Face recognition:

```
Output Serial Monitor x
Message (Ctrl + Enter to send message to 'ESP32 Wrover Module' on 'COM8') New Line 115200 baud

ets Jun  8 2016 00:22:57

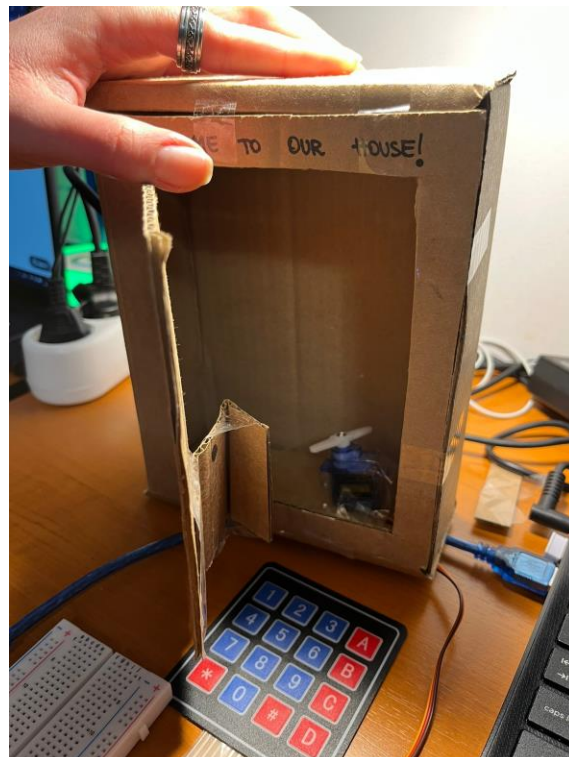
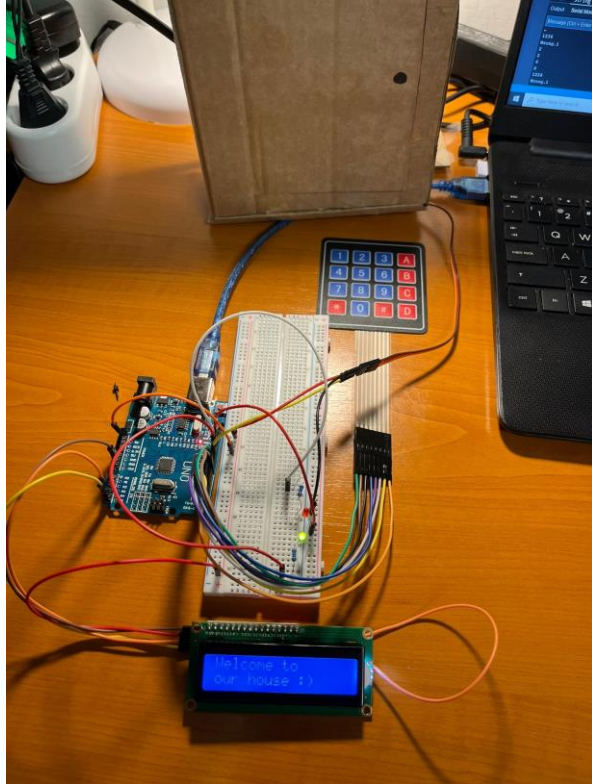
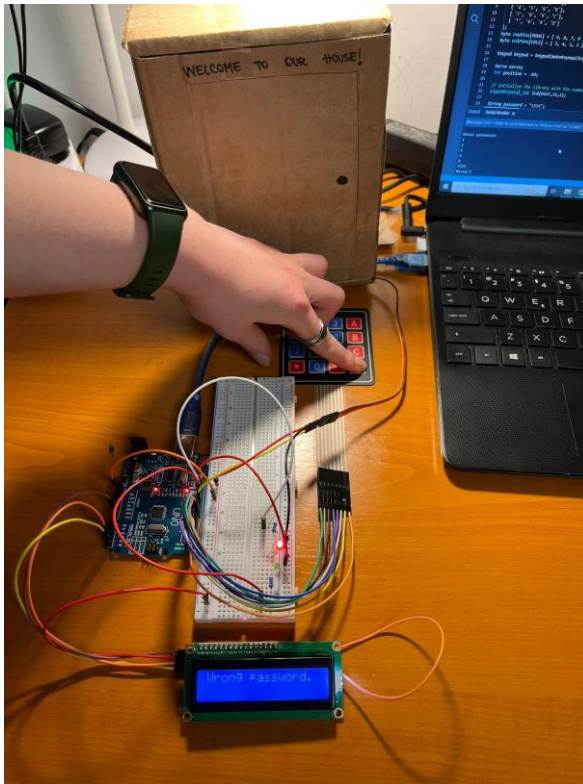
rst:0x1 (POWERON_RESET),boot:0x13 (SPI_FAST_FLASH_BOOT)
configsip: 0, SPIWP:0xee
clk_drv:0x00,q_drv:0x00,d_drv:0x00,cs0_drv:0x00,hd_drv:0x00,wp_drv:0x00
mode:DIO, clock div:1
load:0x3fff0030,len:1344
load:0x40078000,len:13836
load:0x40080400,len:3608
entry 0x400805f0
E (450) esp_core_dump
~\Dir\...s"j...2...jRTU SD\HY.\S\KW...j...Q...j...2...jR
CAMERA OK
http://192.168.167.206
/cam-lo.jpg
/cam-hi.jpg
/cam-mid.jpg
CAPTURE OK 800x600 19187b
CAPTURE OK 800x600 19237b
CAPTURE OK 800x600 19160b
CAPTURE OK 800x600 19178b
CAPTURE OK 800x600 19175b
```



## Door lock system:

```
Output  Serial Monitor x
Message (Ctrl + Enter to send message to 'Arduino Uno' on 'COM6')

Enter password:
1
2
3
6
D
1236
Wrong.
```



## 5. Conclusion

The initial plan was to combine those two projects and have a smart door that unlocks through a face recognition system. However we encountered a lot of issues with the ESP 32 camera during the process, and being left with no time, decided to showcase as much of what we learned as possible by separating the project.

In conclusion, despite things not going according to plan, we still had a lot to learn from this experience, such as learning to program the ESP32-cam both using an Arduino Uno board and a FTDI module, working with an LCD I2C, programming and using a keypad, and much more.

## 6. References

1. <https://arduinogetstarted.com/tutorials/arduino-lcd-i2c>
2. <https://arduinogetstarted.com/tutorials/arduino-keypad>
3. <https://arduinogetstarted.com/tutorials/arduino-servo-motor>
4. <https://www.instructables.com/Getting-Started-With-ESP32-CAM-Streaming-Video-Usi/>
5. <https://maker.pro/arduino/projects/how-to-build-an-esp32-based-facial-recognition-system>