

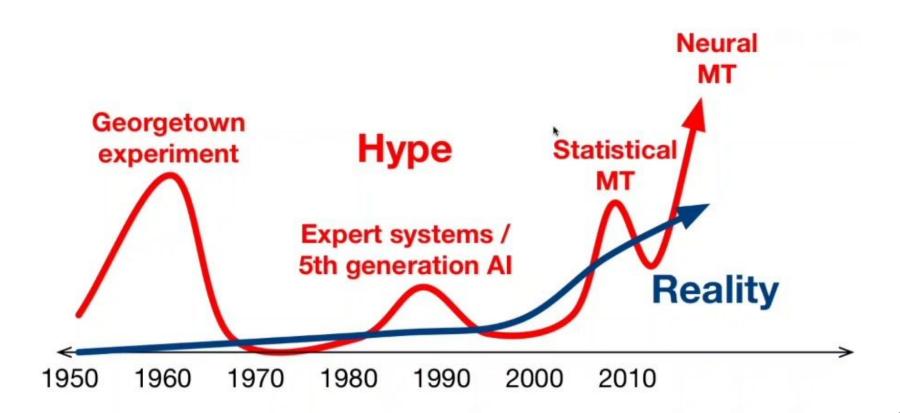
# Lecture 03: Machine Translation, Attention Mechanism

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## **Outline**

- Machine Translation historical overview
  - Statistical Machine Translation
  - Word alignments
- Neural Machine Translation (NMT)
  - Seq2Seq
  - Beam Search
- Attention mechanism

## Historical overview



# Before Deep Learning

### 1950s: first Machine Translation

- Georgetown experiment (7 Jan 1954)
  - Automatic Russian-English translation of 60 sentences
  - 250 vocabulary articles
  - 6 grammar rules
  - Calculated on Mainframe IBM 701
- The same experiment in the USSR (1954 too)
  - Rule-based translation
  - Calculated on BESM

We want to find best English sentence y, given French sentence x

Let's use Bayes Rule to break this down into two components:

$$\operatorname{argmax}_{y} P(y|x)$$

$$= \operatorname{argmax}_{y} P(x|y) P(y)$$

#### **Translation Model**

Models how words and phrases should be translated (*fidelity*). Learnt from parallel data.

#### **Language Model**

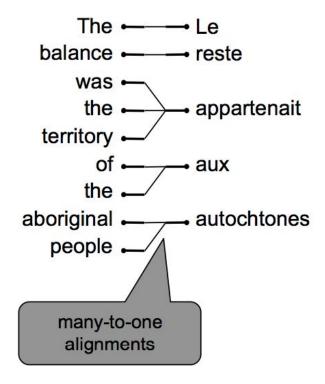
Models how to write good English (*fluency*).
Learnt from monolingual data.

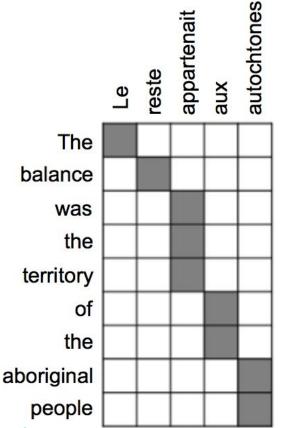
How to learn translation model from the parallel corpus?

Let's calculate

Where **a** is an **alignment** (word-level correspondence between French sentence x and English sentence y)

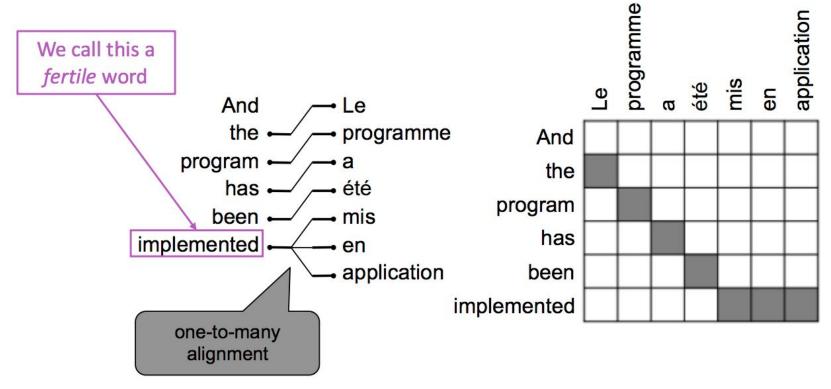
## Alignment can be: many-to-one



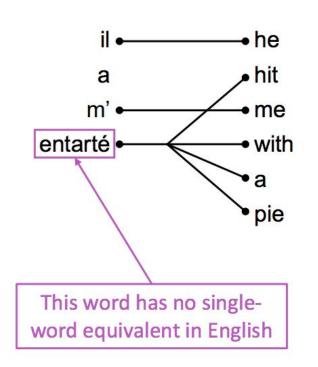


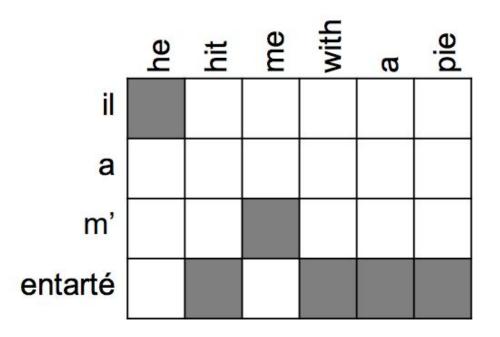
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## Alignment can be: one-to-many

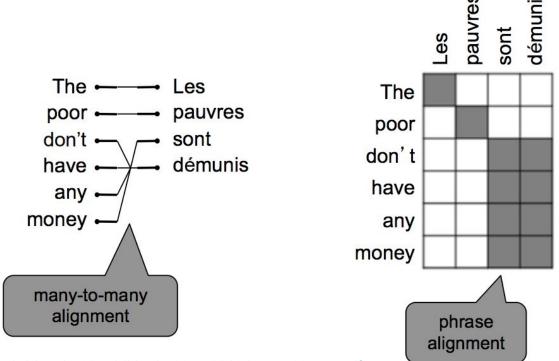


## Some words are very fertile!

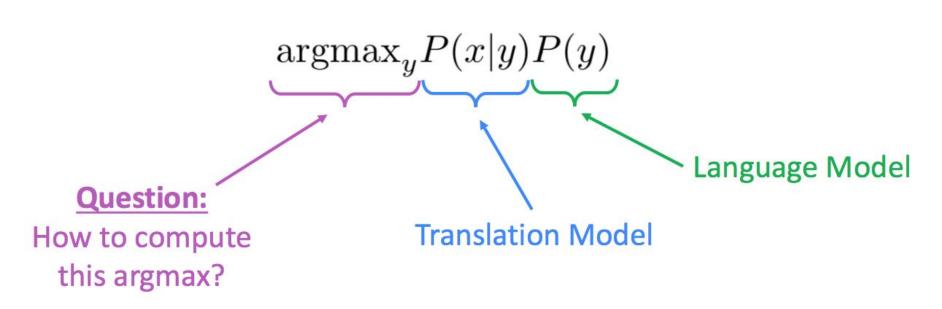




## Alignment can be: many-to-many



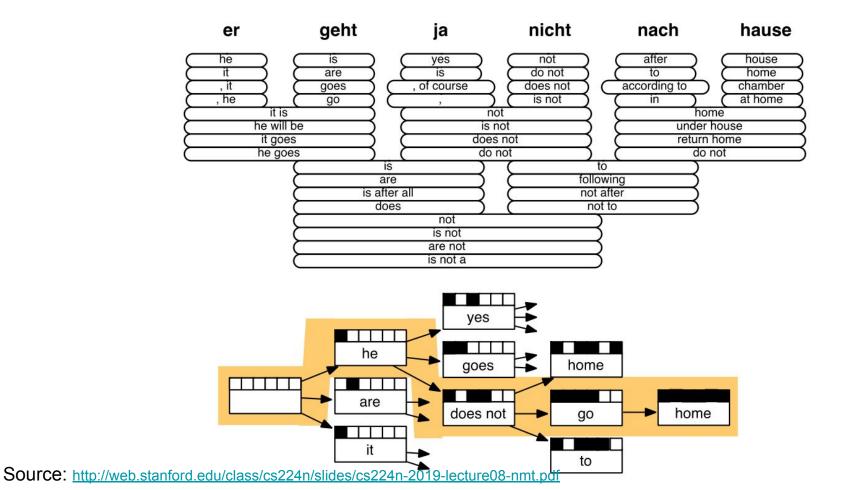
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Enumerate every possible y and calculate the probability? No!

Use a heuristic search algorithm to search for the best translation, discarding hypotheses that are too low-probability Source: http://web.stanford.edu/class/cs224n/slides/cs224n-2019-lecture08-nmt.pdf

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- Systems had many separately-designed subcomponents
- Lots of feature engineering
- Need to design features to capture particular language phenomena
- Require compiling and maintaining extra resources (tables of equivalent phrases)
- Lots of human effort to maintain
- Repeated effort for each language pair!

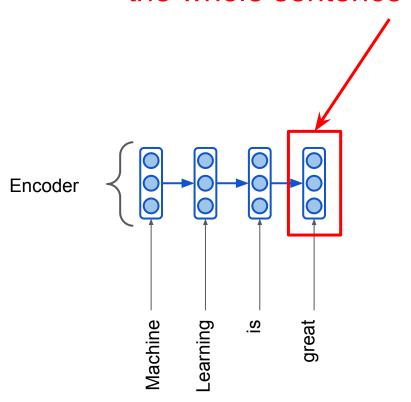
## **Neural Machine Translation**

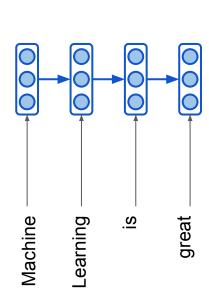
## What is Neural Machine Translation?

 Neural Machine Translation (NMT) is a way to do Machine Translation with a single neural network

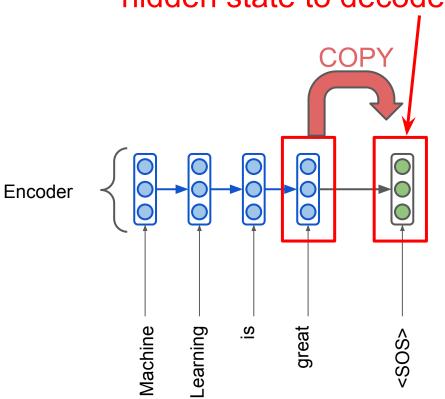
 The neural network architecture is called sequence-to-sequence (aka seq2seq), it involves two RNNs

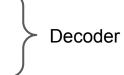
# This state encodes the whole sentence

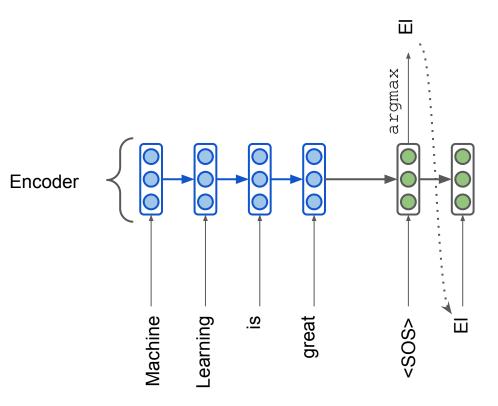


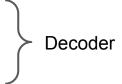


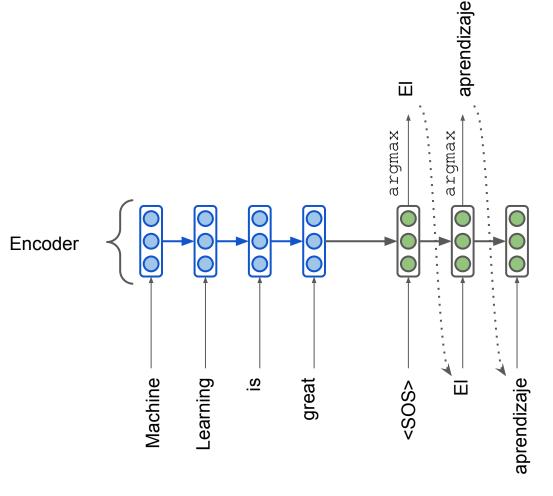
# Forwarded as initial hidden state to decoder



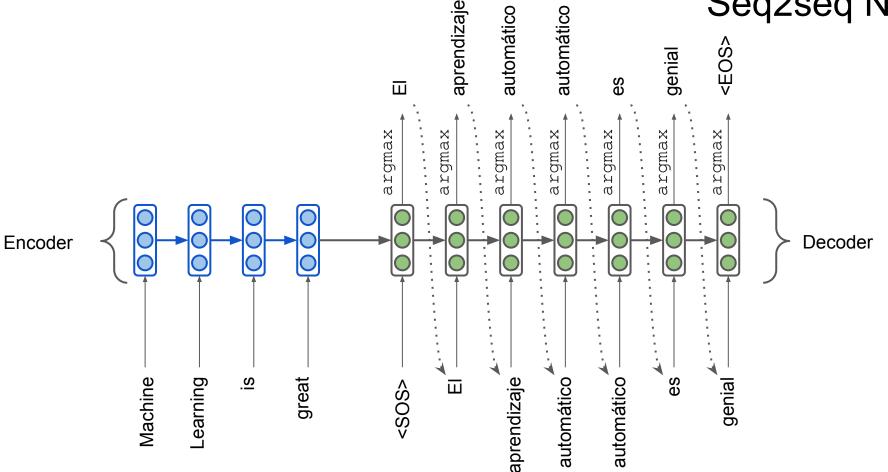












## NMT: how does it work?

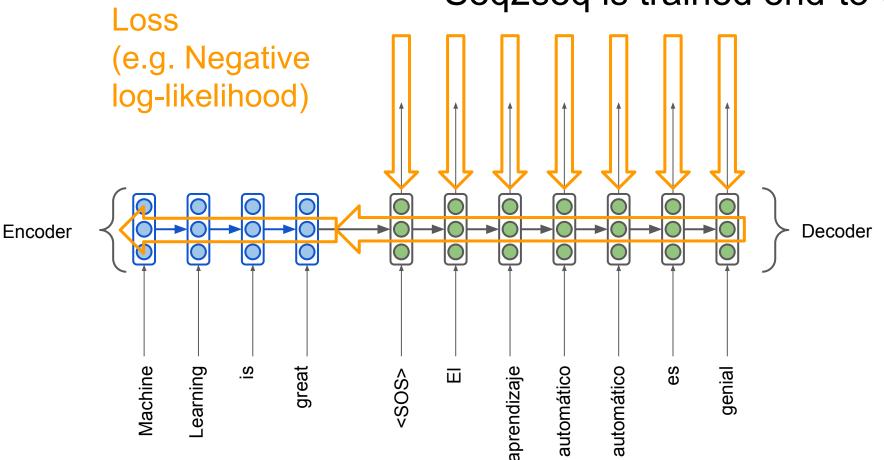
- NMT directly calculates P(y|x)
  - y target sentence, x source sentence

$$P(y|x) = P(y_2|y_1, x)P(y_3|y_1, y_2, x) \dots P(y_T|y_1, y_2, \dots, x)$$

Probability of next word in target language

To train it we need a huge parallel corpus.

## Seq2seq is trained end-to-end



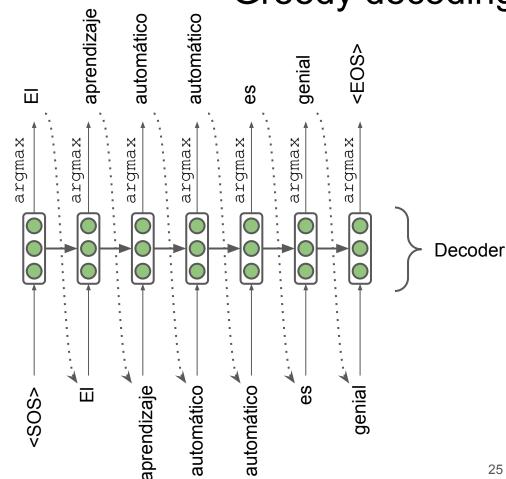
 Decoder predicts the most probable token (argmax) on each step

• The approach is **greedy** 

Any problems with it?

Any mistake is treated as input on the next step!

## Greedy decoding



## Exhaustive search

We want the translation that maximizes the likelihood:

$$P(y|x) = P(y_1|x) \prod_{t=2}^{r} P(y_t|y_1, \dots y_{t-1}, x)$$

We cannot compute all the possible sequences (exponential complexity)

## Beam search

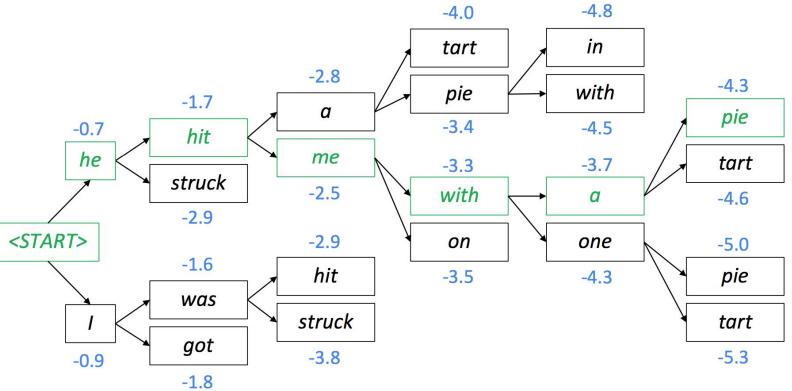
- On each step of decoder, keep track of the k most probable partial translations (which we call hypotheses)
- k is the beam size (in practice around 5 to 10)
- A hypothesis has a score which is its log probability:

score
$$(y_1, ..., y_t) = \log P_{LM}(y_1, ..., y_t | x) = \sum_{i=1}^t \log P_{LM}(y_i | y_1, ..., y_{i-1}, x)$$

- We search for high-scoring hypotheses, tracking top k on each step
- Beam search does not guarantee finding optimal solution

## Beam search decoding: example

Beam size = k = 2. Blue numbers = 
$$score(y_1, ..., y_t) = \sum_{i=1}^{t} log P_{LM}(y_i|y_1, ..., y_{t-1}, x)$$



Source: http://web.stanford.edu/class/cs224n/slides/cs224n-2019-lecture08-nmt.pdf

# Beam search decoding: stopping criterion

- In greedy decoding, usually we decode until the model produces <EOS> token
- In beam search decoding, different hypotheses may produce
   <EOS> tokens on different timesteps
  - When a hypothesis produces <EOS>, that hypothesis is complete.
  - Place it aside and continue exploring other hypotheses via beam search.
- Usually we continue beam search until:
  - We reach pre-defined timestep T
  - We have at least n completed hypotheses

## Beam search decoding: finishing up

- How to select top one with highest score?
- Each hypothesis on our list has a score:

$$score(y_1, ..., y_t) = log P_{LM}(y_1, ..., y_t | x) = \sum_{i=1}^{t} log P_{LM}(y_i | y_1, ..., y_{i-1}, x)$$

• Problems?

Longer hypotheses have lower scores

• **Fix:** Normalize by length. Use this to select top one instead:

$$\frac{1}{t} \sum_{i=1}^{t} \log P_{\mathrm{LM}}(y_i|y_1,\ldots,y_{i-1},x)$$

## **NMT**: Quality Evaluation

## **BLEU**

BLEU (Bilingual Evaluation Understudy) compares the machine-written translation to human-written translation, and computes a similarity score based on:

- n-gram precision
- penalty for too-short system translations (brevity penalty)

$$BLEU = ext{brevity penalty} \cdot \left(\prod_{i=1}^n ext{precision}_i
ight)^{1/n} \cdot 100\%$$

brevity penalty = 
$$min\left(1, \frac{\text{output length}}{\text{reference length}}\right)$$

## **BLEU**

BLEU (Bilingual Evaluation Understudy) compares the machine-written translation to human-written translation, and computes a similarity score based on:

- n-gram precision
- brevity penalty

SYSTEM A:	Israeli officials 2-GRAM MATCH	responsibility of	airport	<b>safety</b> CH
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EFERENCE: Israeli officials are responsible for airport security

SYSTEM B: airport security Israeli officials are responsible
2-GRAM MATCH 4-GRAM MATCH

Metric	System A	System B	
precision (1gram)	3/6	6/6	
precision (2gram)	1/5	4/5	
precision (3gram)	0/4	2/4	
precision (4gram)	0/3	1/3	
brevity penalty	6/7	6/7	
BLEU	0%	52%	
		*** 8 29	

$$BLEU = ext{brevity penalty} \cdot \left(\prod_{i=1}^n ext{precision}_i 
ight)^{1/n} \cdot 100\%$$

**BLEU** 

## BLEU is imperfect:

- There are many valid ways to translate a sentence
- So a good translation may get a poor BLEU score just because of low n-gram overlap with the human translation

## Other ways to estimate translation quality

- ROUGE (Recall-Oriented Understudy for Gisting Evaluation)
- METEOR (Metric for Evaluation of Translation with Explicit ORdering)
  - Uses synonyms from WordNet
- NIST (or US National Institute of Standards and Technology)
  - More weight to rare n-grams, less punishment for short texts

#### TER

 Uses the number of changes that should be made to get to the reference translation

## NMT: advantages

- Better performance
  - More fluent
  - Better use of context
  - Better use of phrase similarities
- A single neural network to be optimized end-to-end
  - No subcomponents to be individually optimized
- Requires much less human engineering effort
  - No feature engineering
  - Same method for all language pairs

- NMT is less interpretable
  - Hard to debug

- NMT is difficult to control
  - For example, can't easily specify rules or guidelines for translation
  - Safety concerns!



Feedback



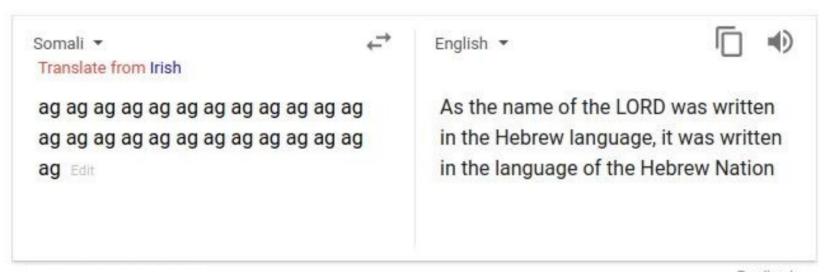




Вместо этого я <u>провела</u> вечер пятницы, убирая кухню.



Вместо этого я провел вечер пятницы, выпивая с друзьями.



Feedback

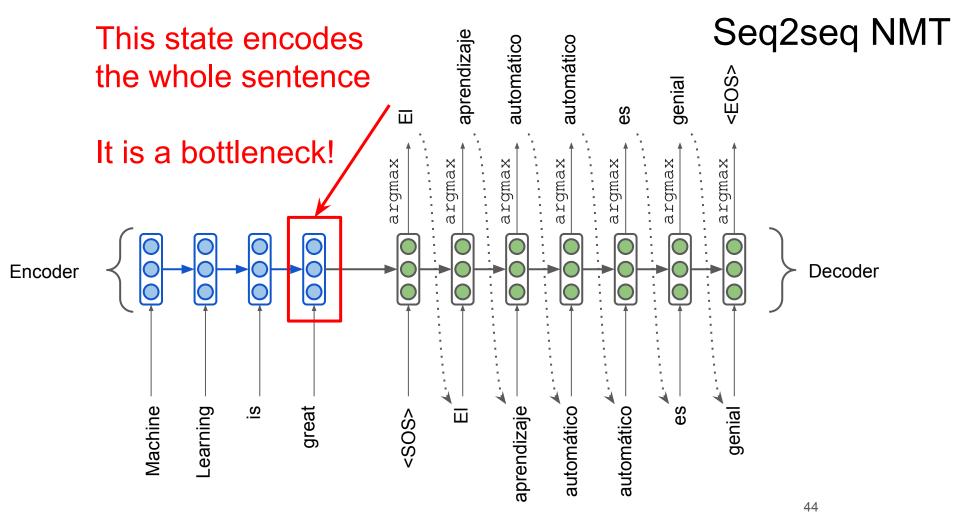


Send feedback

#### Is Machine Translation solved?

- Many difficulties remain:
  - Out-of-vocabulary words
  - Domain mismatch between train and test data
  - Maintaining context over long texts
  - Low-resource language pairs (no big parallel corpora)

## Attention

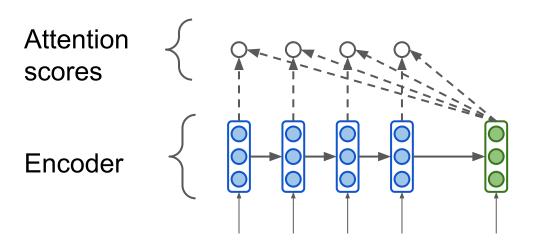


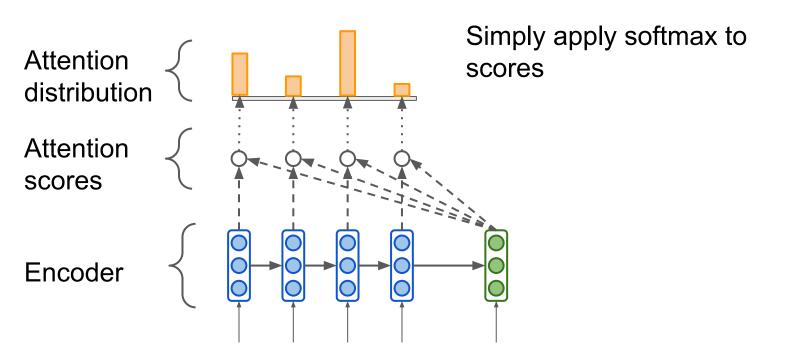
#### **Attention**

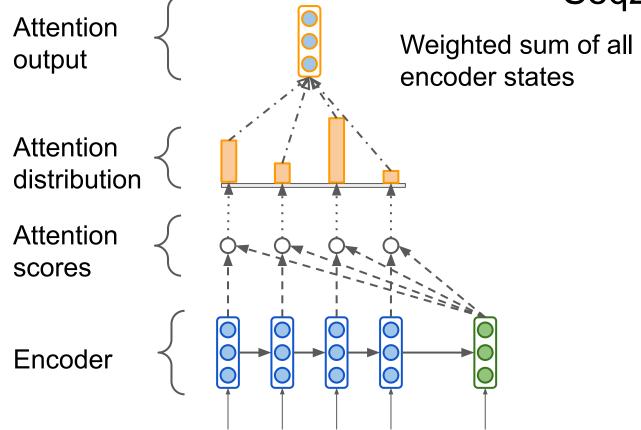
#### Main idea:

on each step of the **decoder**, use **direct connection to the encoder** to focus on a particular part of the source sequence



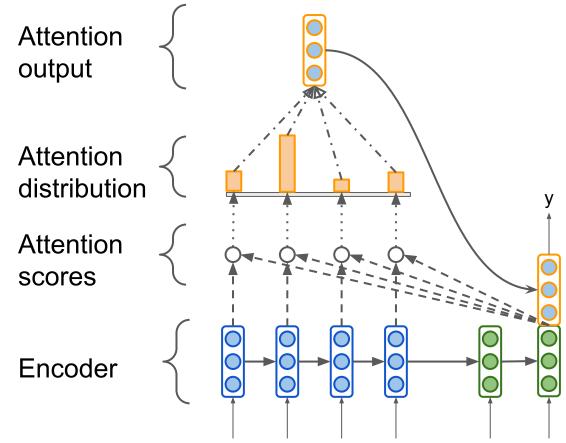


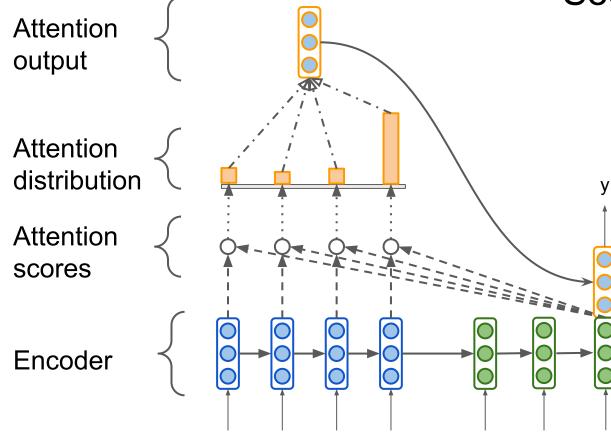




## Seq2seq with attention **Attention** output **Attention** Concatenate distribution **Attention** scores Encoder

# **Attention** output **Attention** distribution Attention scores Encoder





### Attention in equations

Denote encoder hidden states  $\mathbf{h}_1,\dots,\mathbf{h}_N\in\mathbb{R}^k$  and decoder hidden state at time step t  $\mathbf{s}_t\in\mathbb{R}^k$ 

The attention scores  $\mathbf{e}^t$  can be computed as dot product

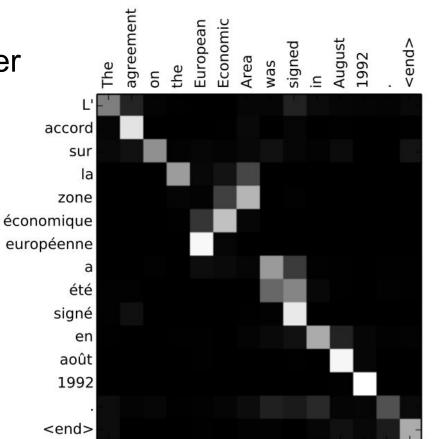
$$\mathbf{e}^t = [\mathbf{s}^T \mathbf{h}_1, \dots, \mathbf{s}^T \mathbf{h}_N]$$

Then the attention vector is a linear combination of encoder states

$$\mathbf{a}_t = \sum_{i=1}^N oldsymbol{lpha}_i^t \mathbf{h}_i \in \mathbb{R}^k$$
 , where  $oldsymbol{lpha}_t = \operatorname{softmax}(\mathbf{e}_t)$ 

#### Attention provides interpretability

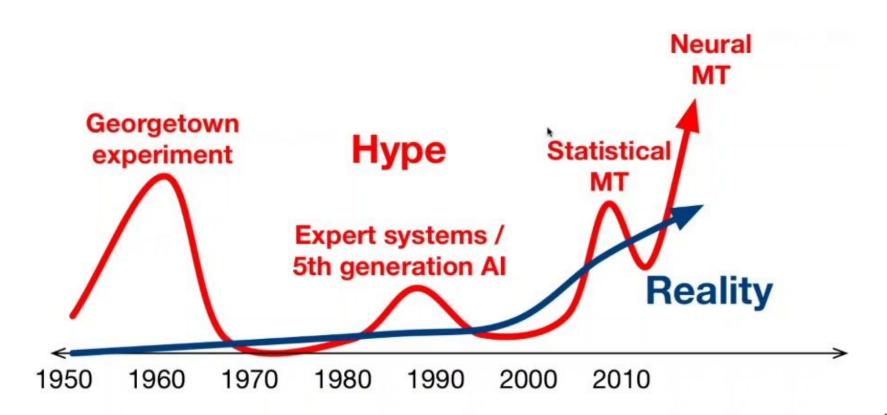
- We may see what the decoder was focusing on
- We get word alignment for free!



#### **Attention variants**

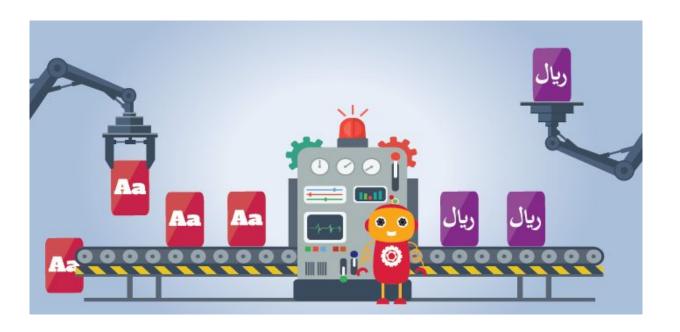
- Basic dot-product (the one discussed before):  $e_i = s^T h_i \in \mathbb{R}$
- Multiplicative attention:  $e_i = s^T W h_i \in \mathbb{R}$ 
  - $\bigcirc$   $W \in \mathbb{R}^{d_2 \times d_1}$  weight matrix
- ullet Additive attention:  $oldsymbol{e}_i = oldsymbol{v}^T anh(oldsymbol{W}_1 oldsymbol{h}_i + oldsymbol{W}_2 oldsymbol{s}) \in \mathbb{R}$ 
  - $\circ$   $extbf{W}_1 \in \mathbb{R}^{d_3 imes d_1}, extbf{W}_2 \in \mathbb{R}^{d_3 imes d_2}$  weight matrices
  - $\circ$   $v \in \mathbb{R}^{d_3}$  weight vector

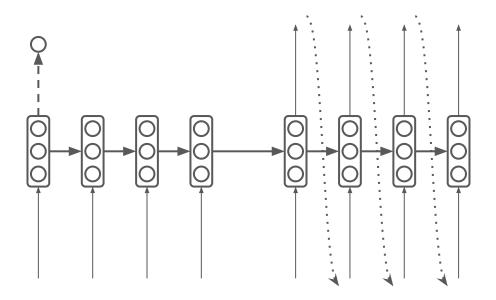
### Summary

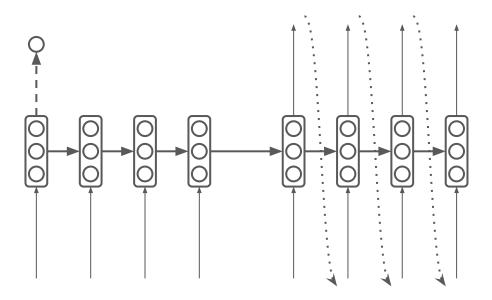


### Summary

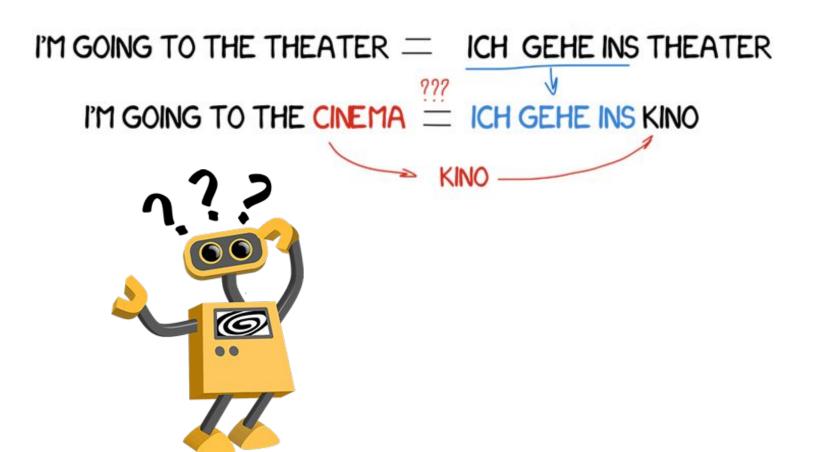
- Seq2seq is an architecture for NMT (2 RNNs)
- Attention is a way to focus on particular parts of the input







#### Machine Translation



## Quality evaluation: Perplexity

$$PP(W) = P(w_1, w_2, ..., w_N)^{-rac{1}{N}} = \sqrt[N]{rac{1}{P(w_1, w_2, ..., w_N)}} = \sqrt[N]{rac{1}{\prod_{i=1}^N P(w_i | w_1, ..., w_{i-1})}}$$

### WER (Word Error Rate)

$$WER = rac{S+D+I}{N} = rac{S+D+I}{S+D+C}$$

- S is the number of substitutions,
- D is the number of deletions,
- I is the number of insertions,
- C is the number of correct words,
- N is the number of words in the reference (N = S + D + C)

#### ROUGE

- ROUGE Recall-Oriented Understudy for Gisting Evaluation
- Recall in the context of ROUGE means how much of the reference summary is the system summary recovering or capturing
- **BLEU** is focusing on **precision**:
  - overlapping\_words / total\_words\_in\_system\_summary
- ROUGE is focusing on recall:
  - overlapping\_words / total\_words\_in\_reference\_summary

#### **ROUGE** - Recall-Oriented Understudy for Gisting Evaluation

- ROUGE-N: Overlap of N-grams between the system and reference summaries.
- ROUGE-L: Longest Common Subsequence (LCS) based statistics. Longest common subsequence problem takes into account sentence level structure similarity naturally and identifies longest co-occurring in sequence n-grams automatically.
- ROUGE-W: Weighted LCS-based statistics
- etc