

Genomic data do not support comb jellies as the sister group to all other animals

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Understanding how complex traits, such as epithelia, nervous systems, muscles, or guts, originated depends on a well-supported hypothesis about the phylogenetic relationships among major animal lineages. Traditionally, sponges (Porifera) have been interpreted as the sister group to the remaining animals, a hypothesis consistent with the conventional view that the last common animal ancestor was relatively simple and more complex body plans arose later in evolution. However, this premise has recently been challenged by analyses of the genomes of comb jellies (Ctenophora), which, instead, found ctenophores as the sister group to the remaining animals (the “Ctenophora-sister” hypothesis). Because ctenophores are morphologically complex predators with true epithelia, nervous systems, muscles, and guts, this scenario implies these traits were either present in the last common ancestor of all animals and were lost secondarily in sponges and placozoans (*Trichoplax*) or, alternatively, evolved convergently in comb jellies. Here, we analyze representative datasets from recent studies supporting Ctenophora-sister, including genome-scale alignments of concatenated protein sequences, as well as a genomic gene content dataset. We found no support for Ctenophora-sister and conclude it is an artifact resulting from inadequate methodology, especially the use of simplistic evolutionary models and inappropriate choice of species to root the metazoan tree. Our results reinforce a traditional scenario for the evolution of complexity in animals, and indicate that inferences about the evolution of Metazoa based on the Ctenophora-sister hypothesis are not supported by the currently available data.

Metazoa | Ctenophora | Porifera | phylogenomics | evolution

Resolving the phylogenetic relationships close to the root of the animal tree of life, which encompass the phyla Porifera (sponges), Cnidaria (jellyfish, corals, and their allies), Ctenophora (comb jellies), Placozoa (the “plate animals” of the genus *Trichoplax*), and Bilateria (the group containing all remaining phyla), is fundamental to understanding early animal evolution and the emergence of complex traits [reviewed by Dohrmann and Wörheide (1)]. Traditionally, sponges have been recognized as the sister group to the remaining animals (the “Porifera-sister” hypothesis). Under this scenario, true epithelia (with belt desmosomes connecting neighboring cells) and extracellular digestion are conventionally thought to have been primitively absent in sponges, having evolved in the common ancestor of Placozoa, Ctenophora, Cnidaria, and Bilateria. Within this group, gap junctions between neighboring cells, ectodermal and endodermal germ layers, sensory cells, nerve cells, and muscle cells evolved only once in the common ancestor of Ctenophora, Cnidaria, and Bilateria. Thus, Porifera-sister is consistent with the view that the last common ancestor of the animals was relatively simple and more complex body plans evolved after sponges had separated from the other animal lineages. However, a series of recent papers (2–6) have challenged this view, arguing the earliest split in the

animal phylogeny separated ctenophores from all other animals (the “Ctenophora-sister” hypothesis), implying a group uniting Porifera, Placozoa, Cnidaria, and Bilateria, for which no shared derived morphological characters (synapomorphies) are known. The Ctenophora-sister hypothesis, if correct, would require a major revision of our understanding of animal evolution because it would imply a more complicated evolutionary history, dominated by multiple independent gains and/or losses, of key metazoan characters (7, 8). Indeed, this hypothesis has already stirred a controversial discussion about multiple origins of nervous systems (9–11).

Although results from the first study supporting Ctenophora-sister (2) were questioned soon thereafter and suggested to be an artifact stemming from the inclusion of too few nonbilaterian species (12) and the use of too rapidly evolving genes (13), this hypothesis has recently been revived in several studies, including analyses of the first two complete ctenophore nuclear genomes, as well as transcriptomic datasets from numerous other ctenophore species (4–6). Here, we present analyses of key datasets from Ryan et al. (4), Moroz et al. (5), and Whelan et al. (6), and identify several problems in these studies, specifically the combined use of relatively simplistic models of molecular

Significance

Clarifying the phylogeny of animals is fundamental to understanding their evolution. Traditionally, sponges have been considered the sister group of all other extant animals, but recent genomic studies have suggested comb jellies occupy that position instead. Here, we analyzed the current genomic evidence from comb jellies and found no convincing support for this hypothesis. Instead, when analyzed with appropriate methods, recent genomic data support the traditional hypothesis. We conclude that the alternative scenario of animal evolution according to which ctenophores evolved morphological complexity independently from cnidarians and bilaterians or, alternatively, sponges secondarily lost a nervous system, muscles, and other characters, is not supported by the available evidence.

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Data deposition: The scripts to run our gene content analyses have been deposited in Github, github.com/willpett/ctenophora-gene-content (apart from implementing the methods in MrBayes).

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evolution and distantly related outgroups (the species used to root the animal tree), and not accounting for a data acquisition bias in the analysis of a gene presence/absence matrix (4). Our analyses correcting for these issues consistently failed to support Ctenophora as the sister group to all other animals, and we therefore conclude that previous support for Ctenophora-sister arose from uncorrected systematic biases. Given the absence of convincing evidence in support of Ctenophora-sister, downstream inferences based on this hypothesis should be considered with caution.

Addressing Biases in Phylogenetic Reconstruction

Potential Biases in Phylogenomic Datasets. When analyzing phylogenomic datasets, proper modeling of the amino acid substitution process is crucial because the use of overly simplistic models can lead to inaccurate phylogenetic inferences (reviewed in 13–17). For example, the monophyly of Chordata was not confidently resolved from phylogenomic data until sophisticated substitution models were applied (18, 19). The most commonly used models assume the substitution process is the same in all sites of a protein (site-homogeneous) (e.g., 20). Although these models have the advantage of allowing for fast computation, site homogeneity is biologically unrealistic because biochemical constraints (e.g., polarity, hydrophobicity) tend to limit the set of amino acids allowed at different sites in a protein. By not accounting for this effect, site-homogeneous models tend to overestimate the number of amino acids a site can accept, and therefore underestimate the probability of convergent evolution toward identical amino acids in unrelated species (17). This underestimation can lead to the misidentification of some convergent substitutions as evidence of shared common ancestry (reviewed in 21). To address this issue, site-heterogeneous models have been developed (22), which relax the homogeneity assumption to account for site-specific biochemical constraints. Although computationally more demanding, their increased capacity to identify convergent evolution is reflected in the better statistical fit these models generally provide to many empirical datasets (e.g., 23, 24). Here, we used a common statistical technique, Bayesian cross-validation, to compare the fit of site-homogeneous and site-heterogeneous models, and investigate whether previous studies that recovered Ctenophora-sister were influenced by the use of poorly fitting substitution models.

Outgroup selection (the species used to root the tree) can also strongly affect phylogenetic results (13, 25, 26). In particular, the inclusion of outgroups very distant from the ingroup can cause reconstruction artifacts by attracting fast-evolving (long-branched) ingroup species toward the root (25, 27–31). A typical solution is to introduce more closely related outgroups to “break up” the long branch leading to the ingroup, but long-branch attraction artifacts can be further minimized by also removing the distant outgroups. This effect has previously been documented, for example, in the case of the nematode worms in the context of testing the *Ecdysozoa* hypothesis against *Coelomata* (32), as well as for nonbilaterian relationships (33), where the removal of distant outgroups stabilized ingroup relationships. Although the effect of outgroup composition was investigated in some previous studies supporting Ctenophora-sister, this test was done only in combination with site-homogeneous models (5, 6) or results obtained under site-heterogeneous models were considered unreliable (4). Here, we performed outgroup subsampling experiments under the best-fitting models and compared our results with previous studies to clarify whether the use of distant outgroups in combination with poorly fitting models might have influenced previous analyses that found support for Ctenophora-sister.

Potential Bias in Analyses of Gene Content Datasets. The presence or absence of genes in different species (gene content) can be considered an independent source of information to test alternative phylogenetic hypotheses. Indeed, the gene content analysis presented by Ryan et al. (4) is argued to be among the most important independent lines of evidence in support of the Ctenophora-sister hypothesis (7, 8). However, the model of gene gain and loss used by these authors was not corrected for the fact that two types

of genes were not included in their dataset: (i) genes that have been lost in all species, because these genes cannot be observed, and (ii) genes lost in all but one species, which were excluded by the authors as part of the data matrix construction process. This ascertainment bias has an impact on the inference of gene loss rates, because from the perspective of the model, the absence of these patterns of gene loss in the data matrix makes it appear as though relatively fewer losses have occurred. As a result, estimates of the gene loss rate are biased downward, potentially influencing the estimation of evolutionary relationships. To obtain unbiased estimates, a correction must be applied to the model (34, 35), which formalizes the fact that these patterns of gene loss cannot be observed (the probability of observing them is equal to 0) and rescales the total probability of all other patterns appropriately (so it is equal to 1). After incorporating such a correction, we conducted phylogenetic analyses to investigate whether previous support for Ctenophora-sister based on gene content data is robust to ascertainment bias.

Results

Model Selection. We investigated whether previous studies supporting Ctenophora-sister were conducted using adequately fitting substitution models. Using three exemplar datasets, which we call Ryan-Choano, Moroz-3D, and Whelan-6-Choano (details are provided below and in *Methods*), we compared the relative fit of site-homogeneous and site-heterogeneous models using Bayesian cross-validation (36, 37), a routine statistical technique used to evaluate the predictive performance of a probabilistic model, which has been commonly used in the context of phylogenetics (23, 24, 38–41). Using 10 cross-validation replicates, we found that in all cases, site-heterogeneous models fit these data significantly better than the site-homogeneous models that previous studies mostly relied upon (Table 1).

Analysis of the Ryan et al. Phylogenomic Datasets. We analyzed three main datasets from the original *Mnemiopsis leidyi* genome study (4). One dataset (Ryan-Choano) included only Choanoflagellata (the closest living relatives of Metazoa) as the outgroup. Another included Choanoflagellata plus more distantly related holozoans (Ryan-Holo), and the third (Ryan-Opistho) further included several Fungi (the most distantly related group to Metazoa among Opisthokonta). Applying the site-homogeneous general time reversible (GTR) substitution model (42), Ryan et al. (4) found strong support for Ctenophora-sister in their analyses of all three datasets, and therefore concluded it is robust to outgroup composition.

Ryan et al. (4) also attempted to analyze these datasets using the site-heterogeneous CAT (“CATegory”) model (22). In the case of Ryan-Choano and Ryan-Holo, they recovered Porifera-sister, potentially raising doubts about the credibility of Ctenophora-sister, but they dismissed these results because they did not meet standard statistical criteria for reliability (their Bayesian analyses did not reach convergence). Repeating the analyses of Ryan et al. (4), we were able to confirm the reported convergence issues. However, we identified the phylogenetically unstable bilaterian species *Xenoturbella bocki* (43) as the cause for the lack of convergence. Repeating the analyses after excluding *X. bocki*, all three reached convergence (*SI Methods*). Although Ryan-Opistho still supported Ctenophora-sister (*Fig. S14*), Ryan-Holo and Ryan-Choano

Table 1. Cross-validation likelihood scores under the models GTR, CAT, and CAT-GTR (relative to WAG, used as a reference model)

Dataset	GTR	CAT	CAT-GTR
Ryan-Choano	342 \pm 32	1,282 \pm 110	1,654 \pm 93
Moroz-3D	242 \pm 25	701 \pm 85	1,060 \pm 71
Whelan-6-Choano	560 \pm 50	1,472 \pm 153	2,376 \pm 100

This analysis used three exemplar datasets taken from the studies of Ryan et al. (4), Moroz et al. (5), and Whelan et al. (6). Higher scores indicate a better empirical fit. In each case, the mean and SD are calculated over 10 independent replicates (*Methods*).

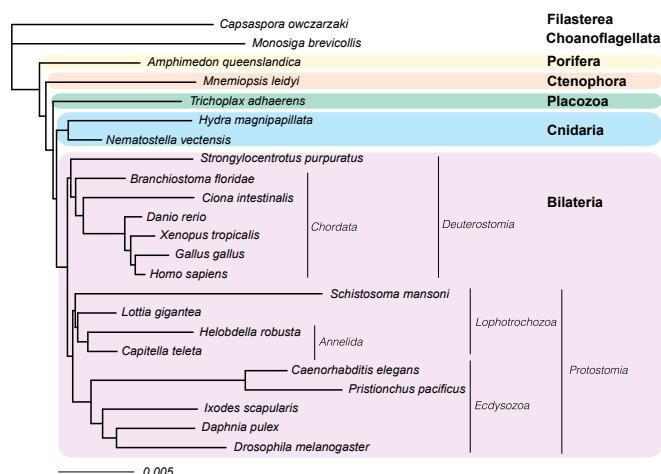


Fig. 3. Animal phylogeny obtained after correcting for ascertainment bias in the full-genome content dataset of Ryan et al. (4) (more details are provided in *SI Methods*). All nodes had maximal statistical support.

characters are absent from sponges, and in light of our results, this absence should be interpreted as an ancestral condition, contrary to the alternative scenario in which sponges lost these characters secondarily from a complex common ancestor of all animals [a discussion regarding nervous systems is provided elsewhere (47)]. An alternative interpretation under the Ctenophora-sister hypothesis would be that some or all of these characters evolved convergently in ctenophores. However, resolving the exact phylogenetic positions of Ctenophora and Placozoa [discussions are provided elsewhere (1, 48, 49)] will be crucial to reconstruct the evolution of key characters, such as nervous systems, muscles, and digestive tracts, in more detail. Although resolving the relationships among these taxa will require further research, our results support a clade uniting all nonsponge animals, which is consistent with a scenario in which the last common metazoan ancestor was a relatively simple, possibly filter-feeding organism, and complex traits related to a predatory lifestyle originated later.

One major result of the first whole-genome analyses of ctenophores (4, 5) was the finding that these organisms apparently lack many genes or use different genes involved in the development of anatomical structures, such as nervous systems, in other animal groups. In light of the Ctenophora-sister hypothesis, this result has been interpreted as evidence for convergent evolution, especially for nervous systems (5, 11). However, other authors have interpreted the same data differently, concluding they actually are consistent with a single origin of nervous systems (9, 10). Likewise, analyses of the opsin gene family, which is involved in light detection in animals, as well as ion-channel proteins involved in mechanoreception, are consistent with a close relationship between Ctenophora, Cnidaria, and Bilateria (50, 51). Finally, the absence of many gene families, coupled with massive lineage-specific expansions in others (6), suggests ctenophore genomes may be extremely derived compared with genomes of other animals. Thus, it may be difficult to draw conclusions about the homology or nonhomology of anatomical structures and cell types between ctenophores and other animals based on the genes involved in their development. Future studies focused on the evolution of gene content in animals will help to clarify the relationship between the homology of similar structures and their underlying genetic mechanisms (52–54).

Conclusions

The Ctenophora-sister hypothesis originally emerged as a surprising byproduct of a study aimed at resolving bilaterian relationships (2), and it has continued to grow in popularity following the recent publication of the first ctenophore nuclear genomes and accompanying phylogenetic results (4, 5). In our assessment of these previous studies (4–6), we found that support for Ctenophora-sister vanishes when steps are taken to minimize

systematic error. Thus, while strong support for Ctenophora-sister may be obtained from phylogenomic datasets (2–6, 46, 55), our analysis suggests these results are caused by undetected systematic bias. Therefore, several recent studies whose conclusions are based on the assumed accuracy of Ctenophora-sister (e.g., 56–58) should be reassessed in light of alternative phylogenetic hypotheses. Our results do not support the currently emerging point of view according to which the origin of complex characters, such as nervous systems, was far more complicated than previously thought (e.g., 7, 8). More broadly, our study highlights the danger of relying solely on the presumed power of large datasets rather than on the best possible modeling of the data and carefully designed phylogenetic analyses aimed at correcting systematic errors.

Methods

Dataset Selection. We considered a representative selection of datasets from the studies of Ryan et al. (4), Moroz et al. (5), and Whelan et al. (6):

- i–iii) EST datasets of Ryan et al. (4), called est.choanimalia, est.holozoa, and est. opisthokonta in the original study but, for consistency, called Ryan-Choano, Ryan-Holo, and Ryan-Opistho here. These datasets include the same set of genes but differ in the composition of outgroup species. Ryan-Choano only includes choanoflagellates; Ryan-Holo includes additional, more distantly related holozoans; and Ryan-Opistho also includes Fungi.
- iv) Dataset of Moroz et al. (5) associated with their extended data figure 3D (Moroz-3D). This dataset was chosen because it has a substantially improved sampling of ctenophores (11 vs. three) compared with the datasets of Ryan et al. (4), as well as other datasets presented by Moroz et al. (5).
- v–x) Datasets 6 and 16 of Whelan et al. (6), each with a different outgroup composition analogous to the Ryan et al. datasets (Whelan-6-Opistho, -Holo, -Choano; Whelan-16-Opistho -Holo, -Choano). These datasets were chosen because the authors stated that they maximize the number of slowly evolving genes and minimize the number of certain paralogs (dataset 6) and the number of certain and uncertain paralogs (dataset 16).
- xi) Gene content dataset of Ryan et al. (4). This dataset is a binary matrix representing the presence or absence of 23,910 ortholog clusters in the complete genomes of 23 animals.
- xii) Dataset composed of all nonribosomal proteins extracted by Whelan et al. (6) from the Philippe et al. (33) dataset, with all nonchoanoflagellate outgroups removed.

Model Testing. We used Bayesian cross-validation (36, 37) implemented in PhyloBayes 3.3 (59) to compare the fit of the site-homogeneous WAG and GTR models and the site-heterogeneous CAT and CAT-GTR models (20, 22). To alleviate computational burden, we restricted these analyses to three exemplar datasets: Ryan-Choano, Moroz-3D, and Whelan-6-Choano. Cross-validation scores were computed by comparison with the WAG model. In addition, all models were trained under the tree topology favored by WAG, thus making the test conservative in favor of the WAG model. Ten replicates were considered, each consisting of a random subsample of 10,000 sites for training the model and 2,000 sites for calculating the cross-validation likelihood score.

Phylogenetic Reconstruction. We analyzed the Ryan et al. (4) datasets under CAT either including or excluding *X. bocki*. Ryan-Choano was also analyzed under CAT-GTR. All CAT and CAT-GTR analyses were performed using PhyloBayes MPI 1.5a (59). We analyzed Moroz-3D in RAXML 8.0.26 (60) using WAG (20) and LG (44) with empirical amino acid frequencies (+F), as well as under CAT-GTR with PhyloBayes MPI. We analyzed each of the Whelan et al. (6) datasets under CAT in PhyloBayes MPI. To minimize computational burden, only Whelan-6-Choano and Whelan-16-Choano were also analyzed under CAT-GTR. The nonribosomal protein dataset of Philippe et al. (33) was stripped of all nonchoanoflagellate outgroups and analyzed with CAT-GTR. In all Bayesian analyses, among-site rate variation was modeled using a gamma distribution (+Γ) discretized into four rate categories. In maximum likelihood analyses, the 25-category CAT approximation (61) was used instead (note that the CAT approximation in RAXML is unrelated to the CAT mixture model used in PhyloBayes). Node support was evaluated using posterior probabilities in Bayesian analyses and bootstrapping (100 replicates) in maximum likelihood analyses. Convergence of Bayesian analyses was assessed by running two independent Markov chains and using the *bpcomp* and *tracecomp* tools from PhyloBayes to monitor the maximum discrepancy in clade support (maxdiff), the effective sample size (effsize), and the relative difference in posterior mean estimates (rel. diff) for several key parameters and summary statistics of the model. The appropriate number of samples to discard as “burnin” was

determined first by visual inspection of parameter trace plots, and then by optimizing convergence criteria. With the exception of the CAT-GTR analyses of Ryan-Choano and Moroz-3D, the maxdiff statistic was always <0.1 under the CAT model (<0.25 under the computationally more intensive CAT-GTR model); the minimum effective sample size was >50; and the maximum rel_diff statistic was <0.3 in all but one case (the CAT-GTR analysis of Whelan-6-Choano), which had a maximum rel_diff statistic <0.45.

Gene Content Analysis. We analyzed Ryan et al.'s (4) binary gene content dataset after applying a correction we developed specifically for the exclusion of genes present in fewer than two taxa, which we implemented in MrBayes, development version 3.2.6 r1067 (62). We also analyzed this dataset after applying a correction for the exclusion of parsimony uninformative sites, which was already available in MrBayes (more details are provided in *SI Methods* and *Fig. S6*).

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