

Informatics 1 – Introduction to Computation

Computation and Logic

Julian Bradfield

Sequent Calculus, Completeness, and Incompleteness



Gerhard Gentzen
1909–1945



Kurt Gödel
1906–1978

Recall that we been working with \models , which is *semantic* – it talks about meaning of formulae in universes:

$$\Gamma \models \Delta \iff \forall x, y, z, \dots \bigwedge \Gamma(x, y, z, \dots) \rightarrow \bigvee \Delta(x, y, z, \dots)$$

Recall that we been working with \models , which is *semantic* – it talks about meaning of formulae in universes:

$$\Gamma \models \Delta \iff \forall x, y, z, \dots \bigwedge \Gamma(x, y, z, \dots) \rightarrow \bigvee \Delta(x, y, z, \dots)$$

As logics became harder, it made sense to separate ‘meaning’ from ‘proof’.

Recall that we been working with \models , which is *semantic* – it talks about meaning of formulae in universes:

$$\Gamma \models \Delta \iff \forall x, y, z, \dots \bigwedge \Gamma(x, y, z, \dots) \rightarrow \bigvee \Delta(x, y, z, \dots)$$

As logics became harder, it made sense to separate ‘meaning’ from ‘proof’.

‘Proof theory’ looks at logical proof just with the *syntax* – we formulate rules of reasoning we believe to be correct.

Recall that we been working with \models , which is *semantic* – it talks about meaning of formulae in universes:

$$\Gamma \models \Delta \iff \forall x, y, z, \dots \bigwedge \Gamma(x, y, z, \dots) \rightarrow \bigvee \Delta(x, y, z, \dots)$$

As logics became harder, it made sense to separate ‘meaning’ from ‘proof’.

‘Proof theory’ looks at logical proof just with the *syntax* – we formulate rules of reasoning we believe to be correct.

Then we use ‘model theory’ to connect proofs to meaning, and we *prove* (by mathematics) that if we ‘prove’ a formula valid, then it is *semantically* valid too.

We introduce the symbol \vdash for *syntactic* entailment.

Now the sequent calculus is no longer statements about how \models works, it's just a bunch of *stipulated* rules about how \vdash is *defined* to work.

$$\frac{}{\Gamma, a \vdash a, \Delta} I$$

$$\frac{\Gamma \vdash a, \Delta}{\Gamma, \neg a \vdash \Delta} \neg L$$

$$\frac{\Gamma, a \vdash \Delta}{\Gamma \vdash \neg a, \Delta} \neg R$$

$$\frac{\Gamma, a, b \vdash \Delta}{\Gamma, a \wedge b \vdash \Delta} \wedge L$$

$$\frac{\Gamma \vdash a, \Delta \quad \Gamma \vdash b, \Delta}{\Gamma \vdash a \wedge b, \Delta} \wedge R$$

$$\frac{\Gamma, a \vdash \Delta \quad \Gamma, b \vdash \Delta}{\Gamma, a \vee b \vdash \Delta} \vee L$$

$$\frac{\Gamma \vdash a, b, \Delta}{\Gamma \vdash a \vee b, \Delta} \vee R$$

We introduce the symbol \vdash for *syntactic* entailment.

Now the sequent calculus is no longer statements about how \models works, it's just a bunch of *stipulated* rules about how \vdash is *defined* to work.

We will ultimately want to prove that $\Gamma \vdash \Delta$ iff $\Gamma \models \Delta$ (but we won't).

$$\begin{array}{c} \frac{}{\Gamma, a \vdash a, \Delta} I \\ \frac{\Gamma \vdash a, \Delta}{\Gamma, \neg a \vdash \Delta} \neg L \\ \frac{\Gamma, a \vdash \Delta}{\Gamma \vdash \neg a, \Delta} \neg R \\ \frac{\Gamma, a, b \vdash \Delta}{\Gamma, a \wedge b \vdash \Delta} \wedge L \\ \frac{\Gamma \vdash a, \Delta \quad \Gamma \vdash b, \Delta}{\Gamma \vdash a \wedge b, \Delta} \wedge R \\ \frac{\Gamma, a \vdash \Delta \quad \Gamma, b \vdash \Delta}{\Gamma, a \vee b \vdash \Delta} \vee L \\ \frac{\Gamma \vdash a, b, \Delta}{\Gamma \vdash a \vee b, \Delta} \vee R \end{array}$$

We introduce the symbol \vdash for *syntactic* entailment.

Now the sequent calculus is no longer statements about how \models works, it's just a bunch of *stipulated* rules about how \vdash is *defined* to work.

We will ultimately want to prove that $\Gamma \vdash \Delta$ iff $\Gamma \models \Delta$ (but we won't).

For *propositional* logic, we have seen **soundness** ($\Gamma \vdash \Delta \implies \Gamma \models \Delta$) as we invented the rules.

$$\begin{array}{c} \frac{}{\Gamma, a \vdash a, \Delta} I \\ \frac{\Gamma \vdash a, \Delta}{\Gamma, \neg a \vdash \Delta} \neg L \\ \frac{\Gamma, a \vdash \Delta}{\Gamma \vdash \neg a, \Delta} \neg R \\ \frac{\Gamma, a, b \vdash \Delta}{\Gamma, a \wedge b \vdash \Delta} \wedge L \\ \frac{\Gamma \vdash a, \Delta \quad \Gamma \vdash b, \Delta}{\Gamma \vdash a \wedge b, \Delta} \wedge R \\ \frac{\Gamma, a \vdash \Delta \quad \Gamma, b \vdash \Delta}{\Gamma, a \vee b \vdash \Delta} \vee L \\ \frac{\Gamma \vdash a, b, \Delta}{\Gamma \vdash a \vee b, \Delta} \vee R \end{array}$$

We introduce the symbol \vdash for *syntactic* entailment.

Now the sequent calculus is no longer statements about how \models works, it's just a bunch of *stipulated* rules about how \vdash is *defined* to work.

We will ultimately want to prove that $\Gamma \vdash \Delta$ iff $\Gamma \models \Delta$ (but we won't).

For *propositional* logic, we have seen **soundness** ($\Gamma \vdash \Delta \implies \Gamma \models \Delta$) as we invented the rules.

We saw **completeness** ($\Gamma \models \Delta \implies \Gamma \vdash \Delta$) intuitively: we can mechanically build a proof of any valid sequent. It is possible to prove it formally.

$$\begin{array}{c} \frac{}{\Gamma, a \vdash a, \Delta} I \\ \frac{\Gamma \vdash a, \Delta}{\Gamma, \neg a \vdash \Delta} \neg L \\ \frac{\Gamma, a \vdash \Delta}{\Gamma \vdash \neg a, \Delta} \neg R \\ \frac{\Gamma, a, b \vdash \Delta}{\Gamma, a \wedge b \vdash \Delta} \wedge L \\ \frac{\Gamma \vdash a, \Delta \quad \Gamma \vdash b, \Delta}{\Gamma \vdash a \wedge b, \Delta} \wedge R \\ \frac{\Gamma, a \vdash \Delta \quad \Gamma, b \vdash \Delta}{\Gamma, a \vee b \vdash \Delta} \vee L \\ \frac{\Gamma \vdash a, b, \Delta}{\Gamma \vdash a \vee b, \Delta} \vee R \end{array}$$

Let's think about $\vdash \forall x.\phi$ (where the variable x occurs in ϕ). How can we make a rule that doesn't talk about universes (doesn't know what x means), and yet works for all possible universes?

Let's think about $\vdash \forall x.\phi$ (where the variable x occurs in ϕ). How can we make a rule that doesn't talk about universes (doesn't know what x means), and yet works for all possible universes?

If we can prove $\vdash \phi$ *whatever x is, knowing nothing about it*, then surely we know $\vdash \forall x.\phi$ in all possible universes.

Let's think about $\vdash \forall x.\phi$ (where the variable x occurs in ϕ). How can we make a rule that doesn't talk about universes (doesn't know what x means), and yet works for all possible universes?

If we can prove $\vdash \phi$ *whatever x is, knowing nothing about it*, then surely we know $\vdash \forall x.\phi$ in all possible universes.

$$\frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R$$

where y does not occur in Γ, ϕ, Δ and $\phi[y/x]$ means the result of substituting y for x in ϕ .

Rules for quantifiers (\exists)

5.1/11

What about $\vdash \exists x.\phi$?

What about $\vdash \exists x.\phi$?

To prove $\vdash \exists x.\phi$, we need to exhibit a suitable x . But we have to be able to do this in any universe!

What about $\vdash \exists x.\phi$?

To prove $\vdash \exists x.\phi$, we need to exhibit a suitable x . But we have to be able to do this in any universe!

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

where t is a *term*, i.e. perhaps (if the language allows) a function applied to variables.

What about $\vdash \exists x.\phi$?

To prove $\vdash \exists x.\phi$, we need to exhibit a suitable x . But we have to be able to do this in any universe!

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

where t is a *term*, i.e. perhaps (if the language allows) a function applied to variables.

Where can this term come from? Are there any formulae such that $\vdash \exists x.\phi$?

What about $\vdash \exists x.\phi$?

To prove $\vdash \exists x.\phi$, we need to exhibit a suitable x . But we have to be able to do this in any universe!

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

where t is a *term*, i.e. perhaps (if the language allows) a function applied to variables.

Where can this term come from? Are there any formulae such that $\vdash \exists x.\phi$?

t will come from elsewhere in the proof, or from an assumption in Γ .

We know that swapping sides is negation, and exists is the dual of forall. So the left side rules are just the duals of the right side rules:

$$\frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x. \phi \vdash \Delta} \forall L \qquad \frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x. \phi \vdash \Delta} \exists L$$

where y does not occur in Γ, ϕ, Δ .

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$
 (Exercise: rewrite this in syllogism terms.)

$$\frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R$$

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

$$\frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L$$

$$\frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R$$

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

$$\frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L$$

$$\frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$$

$$\frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R$$

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

$$\frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L$$

$$\frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\frac{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)}{\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \exists L$$

$$\frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R$$

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

$$\frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L$$

$$\frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\frac{\frac{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)}{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \forall L}{\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \exists L$$

$$\frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R$$

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

$$\frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L$$

$$\frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\frac{\frac{p(y), \neg p(y) \vee q(y) \vdash q(y)}{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)} \exists R}{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \forall L$$

$$\frac{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)}{\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \exists L$$

$$\frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R$$

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

$$\frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L$$

$$\frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\begin{array}{c}
 \frac{p(y), \neg p(y) \vdash q(y) \quad p(y), q(y) \vdash q(y)}{p(y), \neg p(y) \vee q(y) \vdash q(y)} \vee L \\
 \frac{p(y), \neg p(y) \vee q(y) \vdash q(y)}{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)} \exists R \\
 \frac{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)}{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \forall L \\
 \frac{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)}{\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \exists L
 \end{array}$$

$$\frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R$$

$$\frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R$$

$$\frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L$$

$$\frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\begin{array}{c}
 \frac{p(y), \neg p(y) \vdash q(y) \quad \frac{}{p(y), q(y) \vdash q(y)} I}{\frac{p(y), \neg p(y) \vee q(y) \vdash q(y)}{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)} \exists R} \vee L \\
 \frac{\frac{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)}{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \forall L}{\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \exists L
 \end{array}$$

$$\begin{array}{c}
 \frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R \\
 \frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R \\
 \frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L \\
 \frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L
 \end{array}$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\begin{array}{c}
 \frac{p(y) \vdash q(y), p(y)}{p(y), \neg p(y) \vdash q(y)} \neg L \quad \frac{}{p(y), q(y) \vdash q(y)} I \\
 \hline
 \frac{}{p(y), \neg p(y) \vee q(y) \vdash q(y)} \vee L \\
 \hline
 \frac{}{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)} \exists R \\
 \hline
 \frac{}{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \forall L \\
 \hline
 \frac{}{\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \exists L
 \end{array}$$

$$\begin{array}{c}
 \frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R \\
 \frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R \\
 \frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L \\
 \frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L
 \end{array}$$

We should be able to prove $\exists x.p(x), \forall x.p(x) \rightarrow q(x) \vdash \exists x.q(x)$

(**Exercise:** rewrite this in syllogism terms.)

First, expand out the \rightarrow to get: $\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)$

$$\begin{array}{c}
 \frac{}{p(y) \vdash q(y), p(y)} I \\
 \frac{p(y) \vdash q(y), p(y)}{p(y), \neg p(y) \vdash q(y)} \neg L \quad \frac{}{p(y), q(y) \vdash q(y)} I \\
 \frac{p(y), \neg p(y) \vdash q(y) \quad p(y), q(y) \vdash q(y)}{p(y), \neg p(y) \vee q(y) \vdash q(y)} \vee L \\
 \frac{p(y), \neg p(y) \vee q(y) \vdash q(y)}{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)} \exists R \\
 \frac{p(y), \neg p(y) \vee q(y) \vdash \exists x.q(x)}{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \forall L \\
 \frac{p(y), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)}{\exists x.p(x), \forall x.\neg p(x) \vee q(x) \vdash \exists x.q(x)} \exists L
 \end{array}$$

$$\begin{array}{c}
 \frac{\Gamma \vdash \phi[y/x], \Delta}{\Gamma \vdash \forall x.\phi, \Delta} \forall R \\
 \frac{\Gamma \vdash \phi[t/x], \Delta}{\Gamma \vdash \exists x.\phi, \Delta} \exists R \\
 \frac{\Gamma, \phi[t/x] \vdash \Delta}{\Gamma, \forall x.\phi \vdash \Delta} \forall L \\
 \frac{\Gamma, \phi[y/x] \vdash \Delta}{\Gamma, \exists x.\phi \vdash \Delta} \exists L
 \end{array}$$

We have shown (informally, but it can be done formally) as we invented rules, that

$$\Gamma \vdash \Delta \quad \Longrightarrow \quad \Gamma \models \Delta$$

We have shown (informally, but it can be done formally) as we invented rules, that

$$\Gamma \vdash \Delta \quad \Longrightarrow \quad \Gamma \models \Delta$$

Gödel's Completeness Theorem says that

$$\Gamma \models \Delta \quad \Longrightarrow \quad \Gamma \vdash \Delta$$

If something is universally true, we can prove it in sequent calculus.

We have shown (informally, but it can be done formally) as we invented rules, that

$$\Gamma \vdash \Delta \quad \Longrightarrow \quad \Gamma \models \Delta$$

Gödel's Completeness Theorem says that

$$\Gamma \models \Delta \quad \Longrightarrow \quad \Gamma \vdash \Delta$$

If something is universally true, we can prove it in sequent calculus.

The proof of this theorem, even in modern notation, is quite long and detailed, although not difficult in a deep way.

The standard sequent calculus includes the rule:

$$\frac{\Gamma \vdash \phi, \Delta \quad \Gamma', \phi \vdash, \Delta'}{\Gamma, \Gamma' \vdash \Delta, \Delta'} \text{ Cut}$$

that is, if one sequent needs assumption ϕ , and another sequent shows ϕ , then you can 'cut out' ϕ . Obviously sound (right?), but why do we want it?

The standard sequent calculus includes the rule:

$$\frac{\Gamma \vdash \phi, \Delta \quad \Gamma', \phi \vdash, \Delta'}{\Gamma, \Gamma' \vdash \Delta, \Delta'} \text{ Cut}$$

that is, if one sequent needs assumption ϕ , and another sequent shows ϕ , then you can ‘cut out’ ϕ . Obviously sound (right?), but why do we want it?

Gentzen’s **Hauptsatz** shows that

If a sequent can be proved using Cut, it can also be proved without using Cut.

Hauptsatz is simply German for ‘main theorem’.

The standard sequent calculus includes the rule:

$$\frac{\Gamma \vdash \phi, \Delta \quad \Gamma', \phi \vdash, \Delta'}{\Gamma, \Gamma' \vdash \Delta, \Delta'} \text{ Cut}$$

that is, if one sequent needs assumption ϕ , and another sequent shows ϕ , then you can ‘cut out’ ϕ . Obviously sound (right?), but why do we want it?

Gentzen’s **Hauptsatz** shows that

If a sequent can be proved using Cut, it can also be proved without using Cut.

Hauptsatz is simply German for ‘main theorem’.

However, the **cut-free** proof may be longer.

The standard sequent calculus includes the rule:

$$\frac{\Gamma \vdash \phi, \Delta \quad \Gamma', \phi \vdash, \Delta'}{\Gamma, \Gamma' \vdash \Delta, \Delta'} \text{ Cut}$$

that is, if one sequent needs assumption ϕ , and another sequent shows ϕ , then you can ‘cut out’ ϕ . Obviously sound (right?), but why do we want it?

Gentzen’s Hauptsatz shows that

If a sequent can be proved using Cut, it can also be proved without using Cut.

Hauptsatz is simply German for ‘main theorem’.

However, the **cut-free** proof may be longer.

There are statements which can be proved in one page with *Cut*, but whose cut-free proof cannot be computed by our fastest computers within the lifetime of the universe.

The standard sequent calculus includes the rule:

$$\frac{\Gamma \vdash \phi, \Delta \quad \Gamma', \phi \vdash, \Delta'}{\Gamma, \Gamma' \vdash \Delta, \Delta'} \text{ Cut}$$

that is, if one sequent needs assumption ϕ , and another sequent shows ϕ , then you can ‘cut out’ ϕ . Obviously sound (right?), but why do we want it?

Gentzen’s Hauptsatz shows that

If a sequent can be proved using Cut, it can also be proved without using Cut.

Hauptsatz is simply German for ‘main theorem’.

However, the cut-free proof may be longer.

There are statements which can be proved in one page with *Cut*, but whose cut-free proof cannot be computed by our fastest computers within the lifetime of the universe.

(Actually, it can be much worse than that. See the final ‘fun lecture’ of the course for an idea of what a *really* big proof might be.)

We've seen that if a sequent is universally true, we can prove it.

We've seen that if a sequent is universally true, we can prove it.
When we think about specific universes, things change ...

We've seen that if a sequent is universally true, we can prove it.

When we think about specific universes, things change . . .

Suppose that N is a set of assumptions which describe the usual properties of arithmetic: 0 exists, 1 exists, $+$ and \times exist with the usual properties. Then $N \vdash \phi$ means that ϕ is a provable statement about arithmetic.

We've seen that if a sequent is universally true, we can prove it.

When we think about specific universes, things change . . .

Suppose that N is a set of assumptions which describe the usual properties of arithmetic: 0 exists, 1 exists, $+$ and \times exist with the usual properties. Then $N \vdash \phi$ means that ϕ is a provable statement about arithmetic.

Gödel's First Incompleteness Theorem says that there is a statement ϕ_N about arithmetic which is true, but that $N \not\vdash \phi_N$.

We've seen that if a sequent is universally true, we can prove it.

When we think about specific universes, things change . . .

Suppose that N is a set of assumptions which describe the usual properties of arithmetic: 0 exists, 1 exists, $+$ and \times exist with the usual properties. Then $N \vdash \phi$ means that ϕ is a provable statement about arithmetic.

Gödel's First Incompleteness Theorem says that there is a statement ϕ_N about arithmetic which is true, but that $N \not\vdash \phi_N$.

How can this be? If N describes arithmetic, and ϕ_N is true of arithmetic, isn't $N \models \phi_N$ universally true, so by completeness $N \vdash \phi_N$?

We've seen that if a sequent is universally true, we can prove it.

When we think about specific universes, things change . . .

Suppose that N is a set of assumptions which describe the usual properties of arithmetic: 0 exists, 1 exists, $+$ and \times exist with the usual properties. Then $N \vdash \phi$ means that ϕ is a provable statement about arithmetic.

Gödel's First Incompleteness Theorem says that there is a statement ϕ_N about arithmetic which is true, but that $N \not\vdash \phi_N$.

How can this be? If N describes arithmetic, and ϕ_N is true of arithmetic, isn't $N \models \phi_N$ universally true, so by completeness $N \vdash \phi_N$?

The solution to this paradox is that first-order logic is not strong enough to *fully* describe the natural numbers. If \mathbb{N} satisfies N , then there are other universes satisfying N , and in some ϕ_N is false.

The Incompleteness Theorem, and the closely connected Undecidability Theorems of Church and Turing, shattered the hope expressed by David Hilbert in 1901 that maths might one day be reduced to mechanical procedures.

In lecture 1, we talked about encoding everything into numbers.
That's the key.

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.
- ▶ We can encode FOL proofs π into numbers $\ulcorner \pi \urcorner$.

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.
- ▶ We can encode FOL proofs π into numbers $\ulcorner \pi \urcorner$.
- ▶ We can write a FO arithmetic formula $Pf(x, y)$ that is true iff $x = \ulcorner \phi \urcorner$, $y = \ulcorner \pi \urcorner$, and π is a proof of ϕ .

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.
- ▶ We can encode FOL proofs π into numbers $\ulcorner \pi \urcorner$.
- ▶ We can write a FO arithmetic formula $Pf(x, y)$ that is true iff $x = \ulcorner \phi \urcorner$, $y = \ulcorner \pi \urcorner$, and π is a proof of ϕ .
- ▶ Then put $Notpr = \neg \exists y. Pf(x, y)$, so $Notpr$ says ' ϕ is not provable, where $x = \ulcorner \phi \urcorner$ '.

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.
- ▶ We can encode FOL proofs π into numbers $\ulcorner \pi \urcorner$.
- ▶ We can write a FO arithmetic formula $Pf(x, y)$ that is true iff $x = \ulcorner \phi \urcorner$, $y = \ulcorner \pi \urcorner$, and π is a proof of ϕ .
- ▶ Then put $Notpr = \neg \exists y. Pf(x, y)$, so $Notpr$ says ' ϕ is not provable, where $x = \ulcorner \phi \urcorner$ '.
- ▶ Now consider $\gamma = Notpr[\ulcorner Notpr \urcorner / x]$. What does it say?

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.
- ▶ We can encode FOL proofs π into numbers $\ulcorner \pi \urcorner$.
- ▶ We can write a FO arithmetic formula $Pf(x, y)$ that is true iff $x = \ulcorner \phi \urcorner$, $y = \ulcorner \pi \urcorner$, and π is a proof of ϕ .
- ▶ Then put $Notpr = \neg \exists y. Pf(x, y)$, so $Notpr$ says ' ϕ is not provable, where $x = \ulcorner \phi \urcorner$ '.
- ▶ Now consider $\gamma = Notpr[\ulcorner Notpr \urcorner / x]$. What does it say?
- ▶ “‘is not provable’ is not provable’.

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.
- ▶ We can encode FOL proofs π into numbers $\ulcorner \pi \urcorner$.
- ▶ We can write a FO arithmetic formula $Pf(x, y)$ that is true iff $x = \ulcorner \phi \urcorner$, $y = \ulcorner \pi \urcorner$, and π is a proof of ϕ .
- ▶ Then put $Notpr = \neg \exists y. Pf(x, y)$, so $Notpr$ says ' ϕ is not provable, where $x = \ulcorner \phi \urcorner$ '.
- ▶ Now consider $\gamma = Notpr[\ulcorner Notpr \urcorner / x]$. What does it say?
- ▶ “is not provable” is not provable’.
 - ▶ If γ is true, then it's not provable.

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.
- ▶ We can encode FOL proofs π into numbers $\ulcorner \pi \urcorner$.
- ▶ We can write a FO arithmetic formula $Pf(x, y)$ that is true iff $x = \ulcorner \phi \urcorner$, $y = \ulcorner \pi \urcorner$, and π is a proof of ϕ .
- ▶ Then put $Notpr = \neg \exists y. Pf(x, y)$, so $Notpr$ says ' ϕ is not provable, where $x = \ulcorner \phi \urcorner$ '.
- ▶ Now consider $\gamma = Notpr[\ulcorner Notpr \urcorner / x]$. What does it say?
- ▶ “is not provable” is not provable’.
 - ▶ If γ is true, then it's not provable.
 - ▶ If γ is false, then it's provable, contradicting soundness.

Proving Incompleteness

11.10/11

In lecture 1, we talked about encoding everything into numbers.
That's the key.

- ▶ We can encode FOL formulae ϕ into numbers $\ulcorner \phi \urcorner$.
- ▶ We can encode FOL proofs π into numbers $\ulcorner \pi \urcorner$.
- ▶ We can write a FO arithmetic formula $Pf(x, y)$ that is true iff $x = \ulcorner \phi \urcorner$, $y = \ulcorner \pi \urcorner$, and π is a proof of ϕ .
- ▶ Then put $Notpr = \neg \exists y. Pf(x, y)$, so $Notpr$ says ' ϕ is not provable, where $x = \ulcorner \phi \urcorner$ '.
- ▶ Now consider $\gamma = Notpr[\ulcorner Notpr \urcorner / x]$. What does it say?
- ▶ ““is not provable” is not provable”.
 - ▶ If γ is true, then it's not provable.
 - ▶ If γ is false, then it's provable, contradicting soundness.

For a fully detailed
proof, get Douglas
R. Hofstadter,
*Gödel, Escher, Bach:
An Eternal Golden
Braid*

