Operating Systems
CSCI 3150

Lecture 7: Synchronization (III)
-- Semaphores

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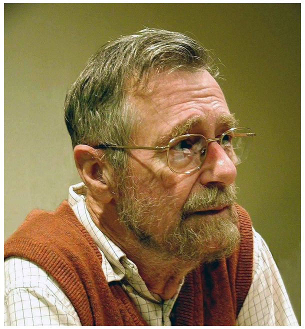
https://github.com/henryhxu/CSCI3150

Semaphore

- It provides
  - Mutex
  - And atomic counters
- Two operations:
  - `P(semaphore)`, `Wait()`: after the Dutch word for test
  - `V(semaphore)`, `signal()`, `post()`: after the Dutch word for increment
- Probably the most unintuitive names you encounter in this course
  - You have *Edsger W. Dijkstra* to thank to



Semaphore: A definition

- An object with an integer value
  - We can manipulate with two routines; `sem_wait()` and `sem_post()`.
  - Initialization

```
#include <semaphore.h>
sem_t s;
sem_init(&s, 0, 1); // initialize s to the value 1
```

- Declare a semaphore `s` and initialize it to the value 1
- The second argument, 0, indicates that the semaphore is shared between *threads in the same process.*

Semaphore: Interact with semaphore

- `sem_wait()`

```
int sem_wait(sem_t *s) {
      decrement the value of semaphore s by one
      wait if value of semaphore s is negative
}
```

  - If the value of the semaphore was *one* or *higher* when called `sem_wait()`, **return right away**.
  - It will cause the caller to suspend execution waiting for a subsequent post.
  - When negative, the value of the semaphore is equal to the number of waiting threads.

Semaphore: Interact with semaphore (Cont.)

- `sem_post()`

```
int sem_post(sem_t *s) {
      increment the value of semaphore s by one
      if there are one or more threads waiting, wake one
}
```

  - Simply **increments** the value of the semaphore.
  - If there is a thread waiting to be woken, **wakes** one of them up.

time	T_1	T_2	T_3	T_4	Semaphore S
t_0					0
t_1	sem_wait(s);				-1
t_2		sem_wait(s);			-2
t_3			sem_wait(s);		-3
t_4				sem_post(s)	-2

Binary Semaphores (Locks)

- What should **X** be?
  - The initial value should be **1**.

```
sem_t m;
sem_init(&m, 0, X); // initialize semaphore to X; what should X be?
sem_wait(&m);
//critical section here
sem_post(&m);
```

Value of Semaphore	Thread 0	Thread 1
1		
1	call sema_wait()	
0	sem_wait() returns	
0	(crit sect)	
0	call sem_post()	
1	sem_post() returns	

Thread Trace: Two Threads Using A Semaphore

Value	Thread 0	State	Thread 1	State
1		Running		Ready
1	`call sem_wait()`	Running		Ready
0	`sem_wait() returns`	Running		Ready
0	`(crit set: begin)`	Running		Ready
0	*Interrupt; Switch → T1*	Ready		Running
0		Ready	`call sem_wait()`	Running
-1		Ready	`decrement sem`	Running
-1		Ready	`(sem < 0)→sleep`	sleeping
-1		Running	*Switch → T0*	sleeping
-1	`(crit sect: end)`	Running		sleeping
-1	`call sem_post()`	Running		sleeping
0	`increment sem`	Running		sleeping
0	`wait(T1)`	Running		Ready
0	`sem_post() returns`	Running		Ready
0	*Interrupt; Switch → T1*	Ready		Running
0		Ready	`sem_wait() retruns`	Running
0		Ready	`(crit sect)`	Running
0		Ready	`call sem_post()`	Running
1		Ready	`sem_post() returns`	Running

Semaphores As Condition Variables

```
sem_t s;

void *
child(void *arg) {
    printf("child\n");
    sem_post(&s); // signal here: child is done
    return NULL;
}

int
main(int argc, char *argv[]) {
    sem_init(&s, 0, X); // what should X be?
    printf("parent: begin\n");
    pthread_t c;
    pthread_create(c, NULL, child, NULL);
    sem_wait(&s); // wait here for child
    printf("parent: end\n");
    return 0;
}
```

A Parent Waiting For Its Child

```
parent: begin
child
parent: end
```

The execution result

- What should **x** be?
  - The value of semaphore should be set to is **0**.

Thread Trace: Parent Waiting For Child (Case 1)

- The parent call `sem_wait()` before the child has called `sem_post()`.

Value	Parent	State	Child	State
0	`Create(Child)`	Running	*`(Child exists; is runnable)`*	Ready
0	`call sem_wait()`	Running		Ready
-1	`decrement sem`	Running		Ready
-1	`(sem < 0)→sleep`	sleeping		Ready
-1	*`Switch→Child`*	sleeping	`child runs`	Running
-1		sleeping	`call sem_post()`	Running
0		sleeping	`increment sem`	Running
0		Ready	`wake(Parent)`	Running
0		Ready	`sem_post() returns`	Running
0		Ready	*`Interrupt; Switch→Parent`*	Ready
0	`sem_wait() retruns`	Running		Ready

Thread Trace: Parent Waiting For Child (Case 2)

- The child runs to completion before the parent call `sem_wait()`.

Value	Parent	State	Child	State
0	`Create(Child)`	Running	*(Child exists; is runnable)*	Ready
0	*`Interrupt; switch→Child`*	Ready	`child runs`	Running
0		Ready	`call sem_post()`	Running
1		Ready	`increment sem`	Running
1		Ready	`wake(nobody)`	Running
1		Ready	`sem_post() returns`	Running
1	`parent runs`	Running	*`Interrupt; Switch→Parent`*	Ready
1	`call sem_wait()`	Running		Ready
0	`decrement sem`	Running		Ready
0	`(sem<0)→awake`	Running		Ready
0	`sem_wait() retruns`	Running		Ready

The Producer/Consumer (Bounded-Buffer) Problem

- **Producer**: `put()` interface
  - Wait for a buffer to become *empty* in order to put data into it.
- **Consumer**: `get()` interface
  - Wait for a buffer to become *filled* before using it.

```c
int buffer[MAX];
int fill = 0;
int use = 0;

void put(int value) {
     buffer[fill] = value;     // line f1
     fill = (fill + 1) % MAX;  // line f2
}

int get() {
     int tmp = buffer[use];    // line g1
     use = (use + 1) % MAX;    // line g2
     return tmp;
}
```

The Producer/Consumer (Bounded-Buffer) Problem

```c
sem_t empty;
sem_t full;

void *producer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
            sem_wait(&empty);           // line P1
            put(i);                     // line P2
            sem_post(&full);            // line P3
    }
}

void *consumer(void *arg) {
    int i, tmp = 0;
    while (tmp != -1) {
            sem_wait(&full);            // line C1
            tmp = get();                // line C2
            sem_post(&empty);           // line C3
            printf("%d\n", tmp);
    }
}
...
```

First Attempt: Adding the Full and Empty Conditions

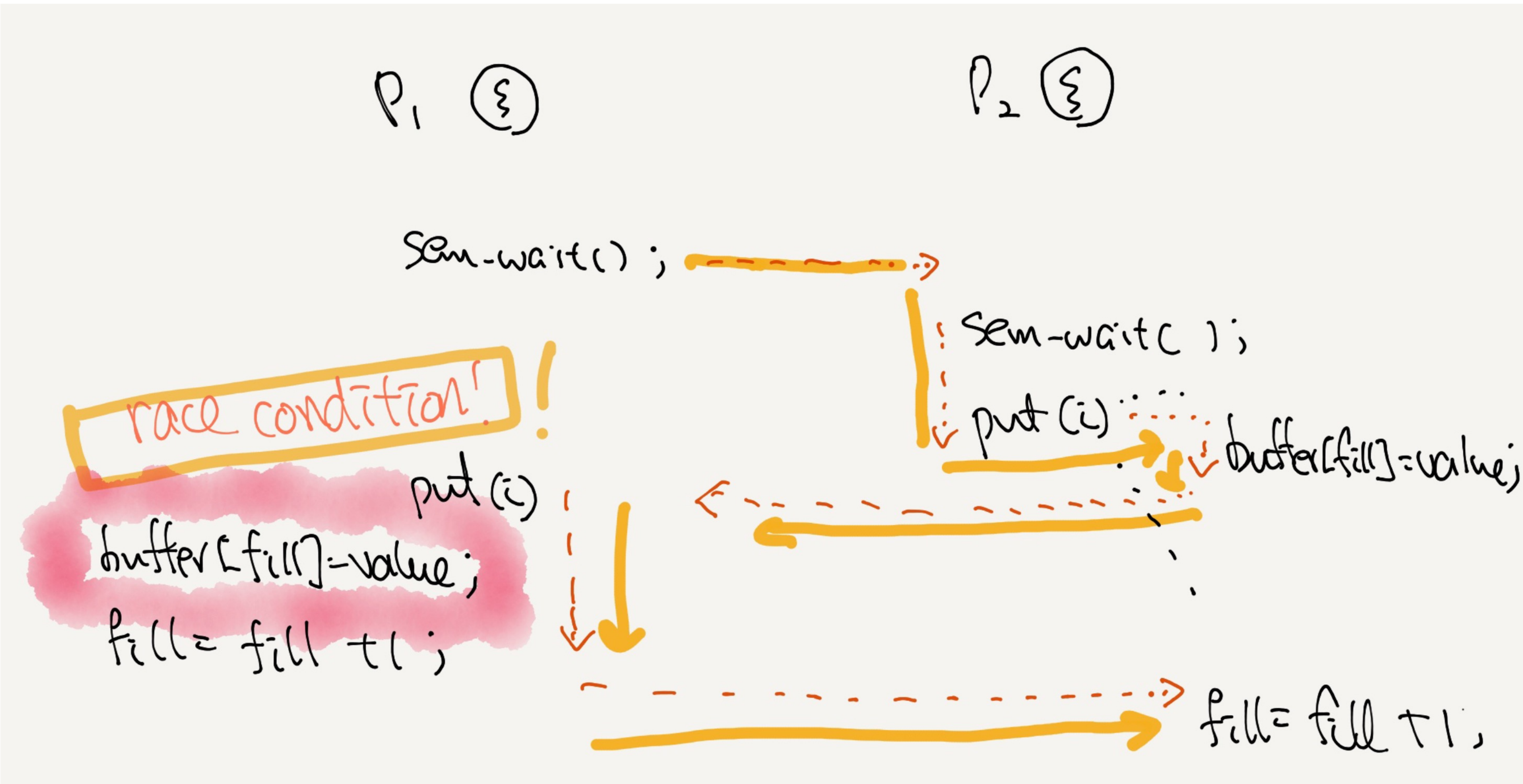
The Producer/Consumer (Bounded-Buffer) Problem

```
int main(int argc, char *argv[]) {
    // …
    sem_init(&empty, 0, MAX);        // MAX buffers are empty to begin with…
    sem_init(&full, 0, 0);           // … and 0 buffer is full
    // …
}
```

First Attempt: Adding the Full and Empty Conditions (Cont.)

- Imagine that `MAX` is greater than 1
  - If there are multiple producers, race condition can happen.
  - It means that the old data there is overwritten.

- We've forgotten here is **mutual exclusion**.
  - The filling of a buffer and incrementing of the index into the buffer is a critical section.



P1 {
P2 {
sem_wait() ;
sem_wait( );
put (i)
buffer[fill]=value;
race condition!
put (i)
buffer[fill]=value;
fill= fill +1 ;
fill= fill +1;

A Solution: Adding Mutual Exclusion

```
sem_t empty;
sem_t full;
sem_t mutex;

void *producer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
            sem_wait(&mutex);          // line p0 (NEW LINE)
            sem_wait(&empty);          // line p1
            put(i);                    // line p2
            sem_post(&full);           // line p3
            sem_post(&mutex);          // line p4 (NEW LINE)
    }
}

```

Adding Mutual Exclusion (Incorrectly)

A Solution: Adding Mutual Exclusion

```c
void *consumer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
        sem_wait(&mutex);            // line c0 (NEW LINE)
        sem_wait(&full);             // line c1
        int tmp = get();             // line c2
        sem_post(&empty);            // line c3
        sem_post(&mutex);            // line c4 (NEW LINE)
        printf("%d\n", tmp);
    }
}
```

Adding Mutual Exclusion (Incorrectly)

A Solution: Adding Mutual Exclusion (Cont.)

- Imagine two thread: one producer and one consumer.
  - The consumer **acquire** the `mutex` (line c0).
  - The consumer **calls** `sem_wait()` on the full semaphore (line c1).
  - The consumer is **blocked** and **yield** the CPU.
    - The consumer <u>still holds the mutex</u>!
  - The producer **calls** `sem_wait()` on the binary `mutex` semaphore (line p0).
  - The producer is now **stuck** waiting too. a classic deadlock.

P_1	C_1	mutex	emp
		1	0
sem_wait (mutex);		0	0
sem_wait (empty);		0	0
z^{z^z}	sem_wait (mutex);	-1	0
	z^{z^z}		

A Working Solution

```
sem_t empty;
sem_t full;
sem_t mutex;

void *producer(void *arg) {
    int i;
    for (i = 0; i < loops; i++) {
            sem_wait(&empty);         // line p1
            sem_wait(&mutex);         // line p1.5 (MOVED MUTEX HERE…)
            put(i);                   // line p2
            sem_post(&mutex);         // line p2.5 (… AND HERE)
            sem_post(&full);          // line p3
    }
 }

```

Adding Mutual Exclusion (Correctly)

A Working Solution

```
 void *consumer(void *arg) {
     int i;
     for (i = 0; i < loops; i++) {
             sem_wait(&full);            // line c1
             sem_wait(&mutex);           // line c1.5 (MOVED MUTEX HERE…)
             int tmp = get();            // line c2
             sem_post(&mutex);           // line c2.5 (… AND HERE)
             sem_post(&empty);           // line c3
             printf("%d\n", tmp);
     }
}

int main(int argc, char *argv[]) {
     // …
     sem_init(&empty, 0, MAX); // MAX buffers are empty to begin with …
     sem_init(&full, 0, 0);    // ... and 0 are full
     sem_init(&mutex, 0, 1);   // mutex=1 because it is a lock
     // …
}
             …
```

Adding Mutual Exclusion (Correctly)

Reader-Writer Locks

- Imagine a number of concurrent list operations, including **inserts** and simple **lookups**.
  - **insert:**
    - Change the state of the list
    - A traditional <u>critical section</u> makes sense.
  - **lookup:**
    - Simply *read* the data structure.
    - As long as we can guarantee that no insert is on-going, we can allow many lookups to proceed concurrently.

This special type of lock is known as a reader-write lock.

```
rwlock {

    write_lock;
    reader_count;   protected by
    lock;
}
```

A Reader-Writer Locks

- Only **a single writer** can acquire the lock.
- Once a reader has acquired a read lock,
  - **More readers** will be allowed to acquire the read lock too.
  - A writer will have to wait until all readers are finished.

```
typedef struct _rwlock_t {
     sem_t lock;       // binary semaphore (lock for mutex)
     sem_t writelock;  // used to allow ONE writer or MANY readers
     int readers;      // count of readers reading in critical section
} rwlock_t;
```

```
void rwlock_init(rwlock_t *rw) {
     rw->readers = 0;
     sem_init(&rw->lock, 0, 1);
     sem_init(&rw->writelock, 0, 1);
 }
```

A Reader-Writer Locks

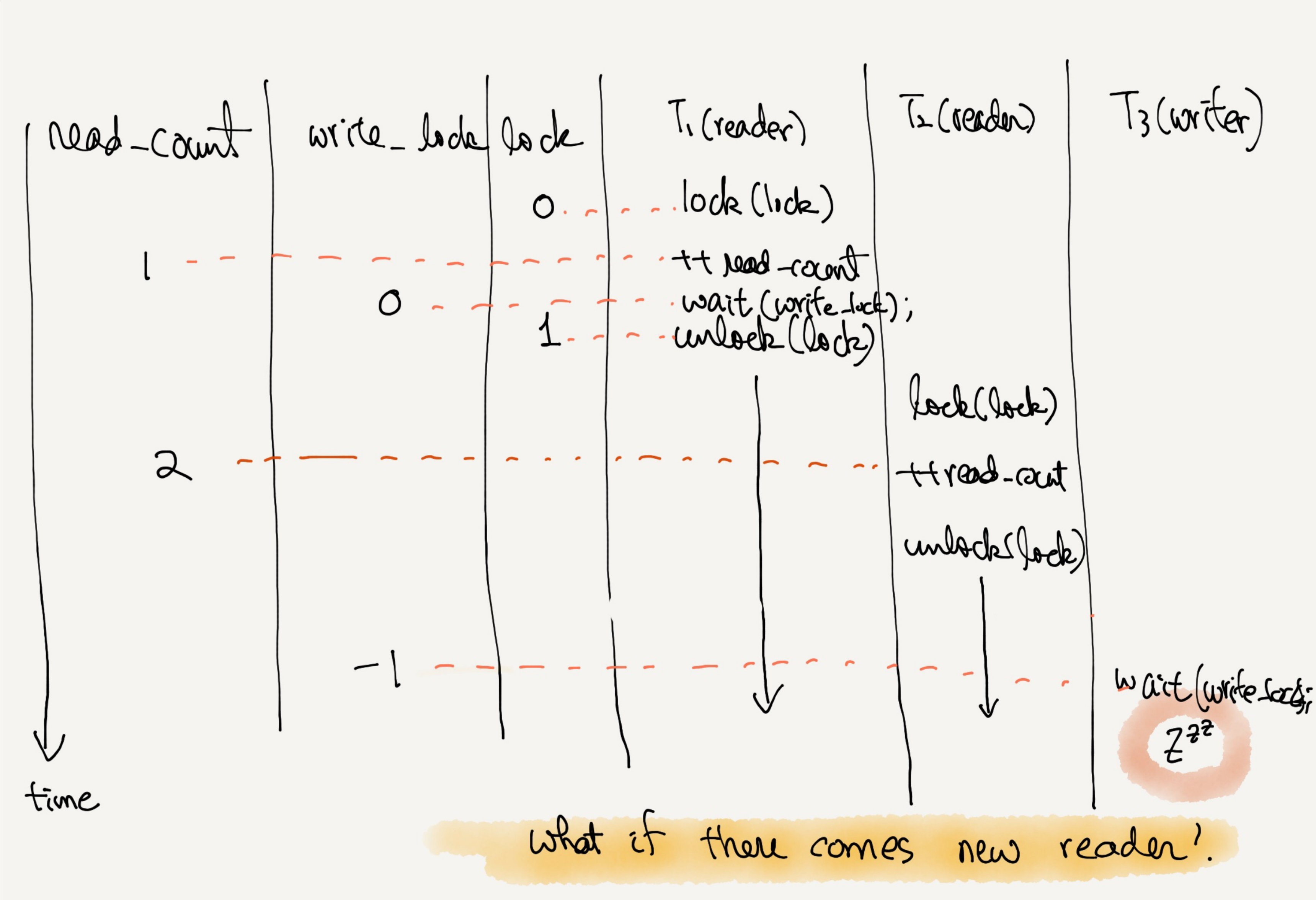
```
void rwlock_acquire_readlock(rwlock_t *rw) {
        sem_wait(&rw->lock);
        rw->readers++;
        if (rw->readers == 1)
                sem_wait(&rw->writelock); // first reader acquires writelock
        sem_post(&rw->lock);
    }
```

```
void rwlock_release_readlock(rwlock_t *rw) {
        sem_wait(&rw->lock);
        rw->readers--;
        if (rw->readers == 0)
                sem_post(&rw->writelock); // last reader releases writelock
        sem_post(&rw->lock);
    }
```

A Reader-Writer Locks (Cont.)

```
void rwlock_acquire_writelock(rwlock_t *rw) {
       sem_wait(&rw->writelock);
 }
```

```
void rwlock_release_writelock(rwlock_t *rw) {
       sem_post(&rw->writelock);
 }
```

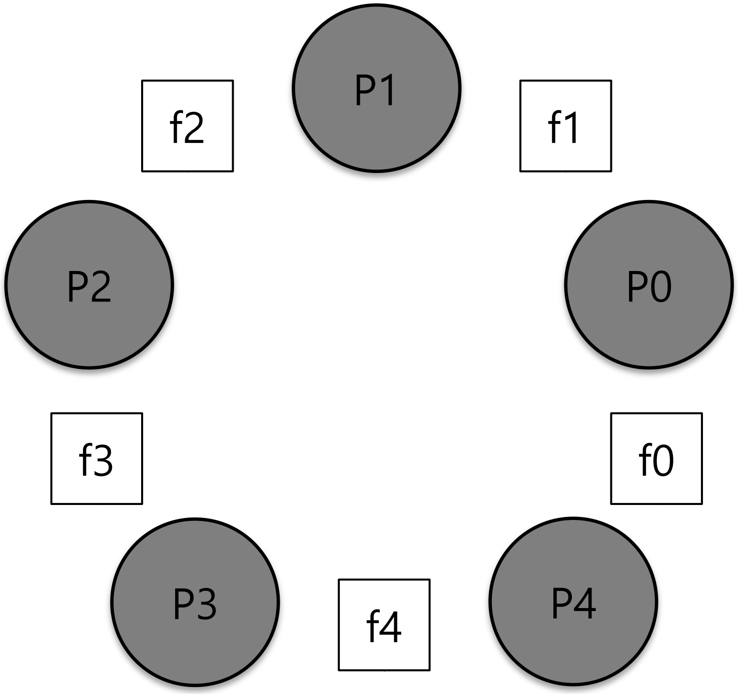

read_count
write_lock
lock
T1 (reader)
T2 (reader)
T3 (writer)
0
lock (lock)
1
++ read_count
0
wait (write_lock);
1
unlock (lock)
lock (lock)
2
++read_count
unlock (lock)
-1
wait (write_lock);
zzz
time
what if there comes new reader?

A Reader-Writer Locks (Cont.)

- The reader-writer locks have fairness problem.
  - It would be relatively easy for reader to **starve writer**.
  - How to prevent more readers from entering the lock once a writer is waiting?
  - It’s called a “write-perferring RW lock”

The Dining Philosophers

- Assume there are five "**philosophers**" sitting around a table.
  - Between each pair of philosophers is <u>a single fork</u> (five total).
  - The philosophers each have times where they **think**, and don't need any forks, and times where they **eat**.
  - In order to *eat*, a philosopher needs two forks, both the one on their *left* and the one on their *right*.
  - **The contention for these forks.**



The Dining Philosophers (Cont.)

- Key challenge
  - There is **no deadlock**.
  - **No** philosopher **starves** and never gets to eat.
  - **Concurrency** is high.

```
while (1) {
        think();
        getforks();
        eat();
        putforks();
}
```

Basic loop of each philosopher

```
// helper functions
int left(int p) { return p; }

int right(int p) {
        return (p + 1) % 5;
}
```

Helper functions (Downey's solutions)

  - Philosopher `p` wishes to refer to the for on their left → call `left(p)`.
  - Philosopher `p` wishes to refer to the for on their right → call `right(p)`.

The Dining Philosophers (Cont.)

- We need some **semaphore**, one for each fork: `sem_t forks[5]`.

```
void getforks() {
    sem_wait(forks[left(p)]);
    sem_wait(forks[right(p)]);
}

void putforks() {
    sem_post(forks[left(p)]);
    sem_post(forks[right(p)]);
}
```

The `getforks()` and `putforks()` Routines (Broken Solution)

- Deadlock occur!
  - If each philosopher happens to **grab the fork on their left** before any philosopher can grab the fork on their right.
  - Each will be stuck *holding one fork* and waiting for another, *forever*.

A Solution: Breaking The Dependency

- Change how forks are acquired.
  - Let's assume that philosopher 4 acquire the forks in a *different order*.

```
void getforks() {
      if (p == 4) {
            sem_wait(forks[right(p)]);
            sem_wait(forks[left(p)]);
      } else {
            sem_wait(forks[left(p)]);
            sem_wait(forks[right(p)]);
      }
}
```

    - There is no situation where each philosopher grabs one fork and is stuck waiting for another. **The cycle of waiting is broken**.

Using C.V. and locks to implement semaphore : Zemaphores

```
typedef struct __Zem_t {
    int value;
    pthread_cond_t cond;
    pthread_mutex_t lock;
} Zem_t;

// only one thread can call this
void Zem_init(Zem_t *s, int value) {
    s->value = value;
    Cond_init(&s->cond);
    Mutex_init(&s->lock);
}
```

Using C.V. and locks to implement semaphore : Zemaphores

```
void Zem_wait(Zem_t *s) {
    Mutex_lock(&s->lock);
    while (s->value <= 0)
    Cond_wait(&s->cond, &s->lock);
    s->value--;
    Mutex_unlock(&s->lock);
}
```

Using C.V. and locks to implement semaphore : Zemaphores

```c
void Zem_post(Zem_t *s) {
    Mutex_lock(&s->lock);
    s->value++;
    Cond_signal(&s->cond);
    Mutex_unlock(&s->lock);
}
```

- Zemaphore don't maintain the invariant that *the value of* the semaphore, when negative, reflects the number of waiting threads
  - The value never be lower than zero.
  - This behavior is **easier** to implement and **matches** the current Linux implementation.

Using semaphores to implement C.V.

- It's much more difficult!
  - Try it yourself