

Lecture 3: Cuckoo Hashing (ctd.) Predecessor Data Structures

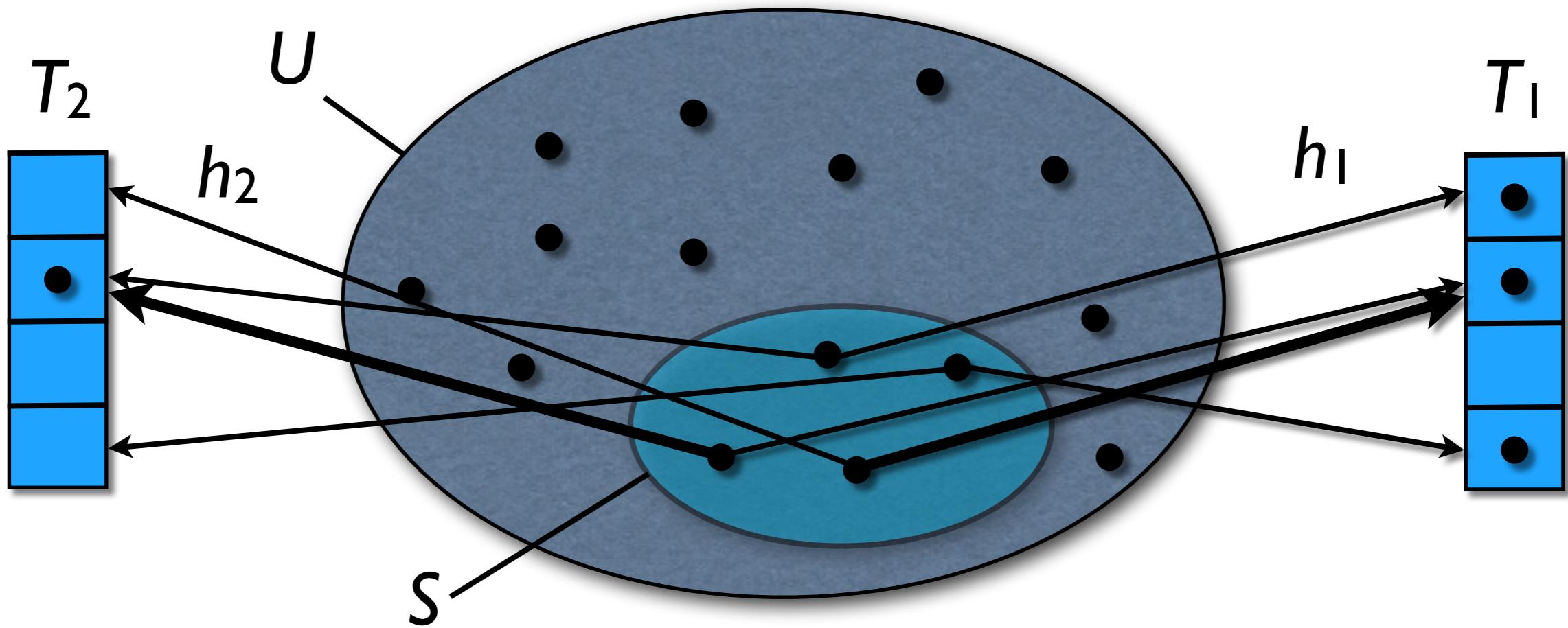
Johannes Fischer

Cuckoo Hashing

cuckoo hashing	
search	$O(1)$ w.c.
insert	$O(1)$ exp., amort.
delete	$O(1)$ exp., amort.
space	$O(n)$ w.c.

Idea

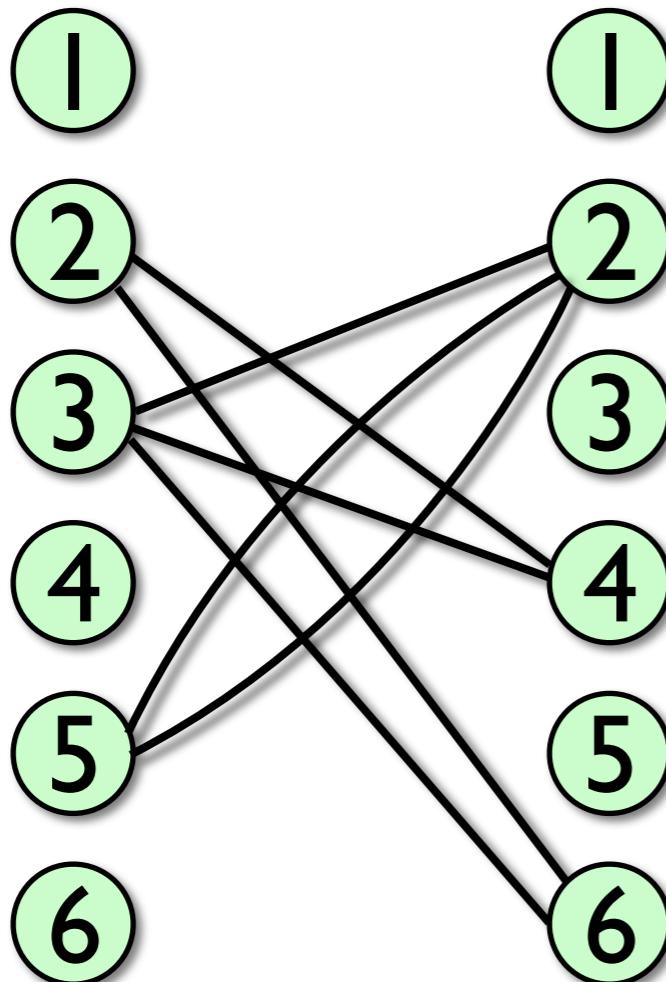
- 2 tables T_1 and T_2 (both of size $\Theta(n)$)
- 2 hash functions h_1 and h_2
 - ▶ x either at $T_1[h_1(x)]$ or $T_2[h_2(x)]$



Insertion

```
function insert(x):
    if (search(x)) return
    k  $\leftarrow$  l
    repeat maxLoop times:
        swap x with  $T_k[h_k(x)]$ 
        if (x =  $\perp$ )
            n++; if (n > m/2) rehash(2m)
        return
        k  $\leftarrow$  3 - k
    rehash(m); insert(x)
```

Cuckoo Graph



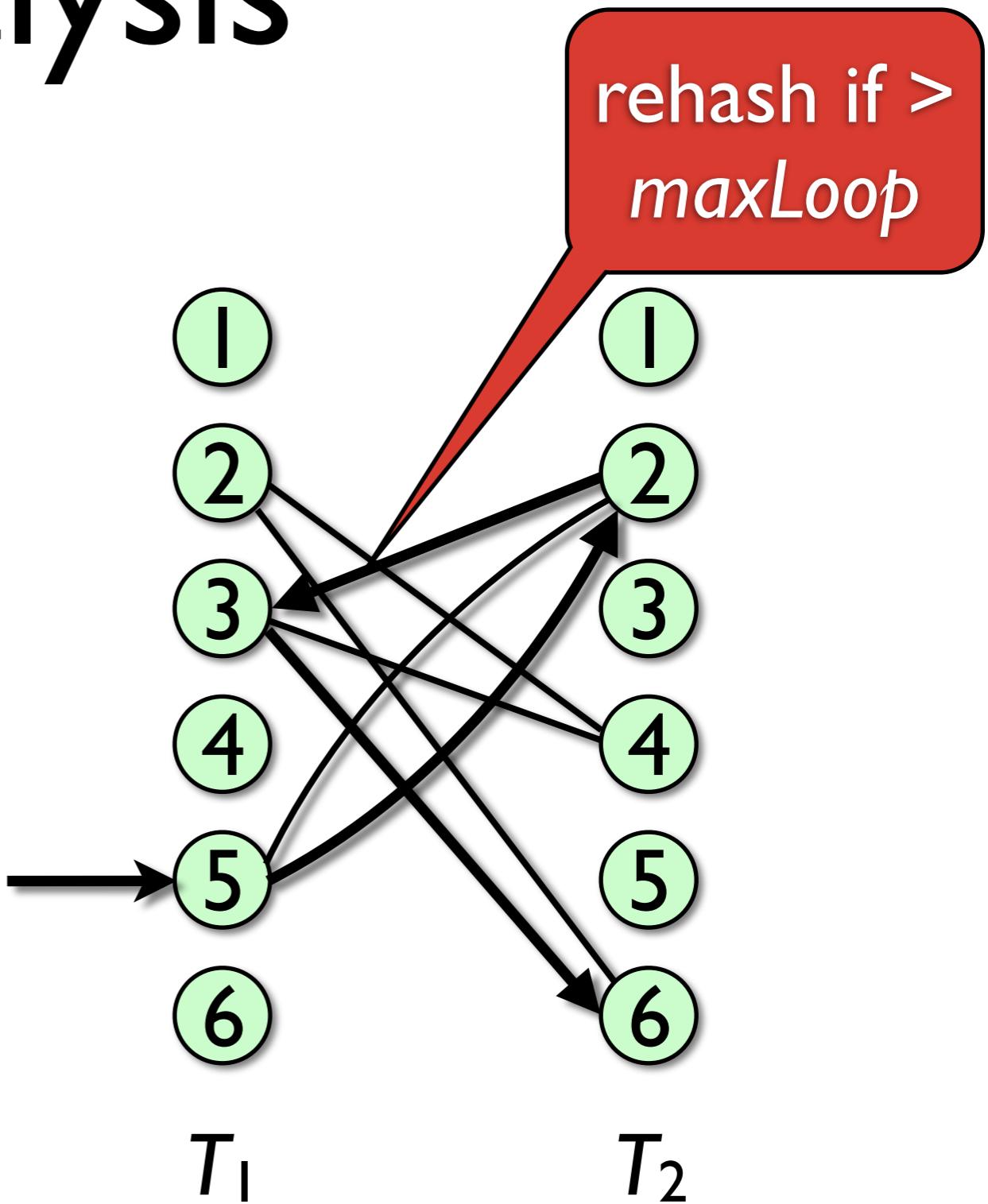
T_1 T_2

x	$h_1(x)$	$h_2(x)$
A	3	2
B	5	2
C	3	6
D	2	4
E	5	2
F	2	6
G	3	4

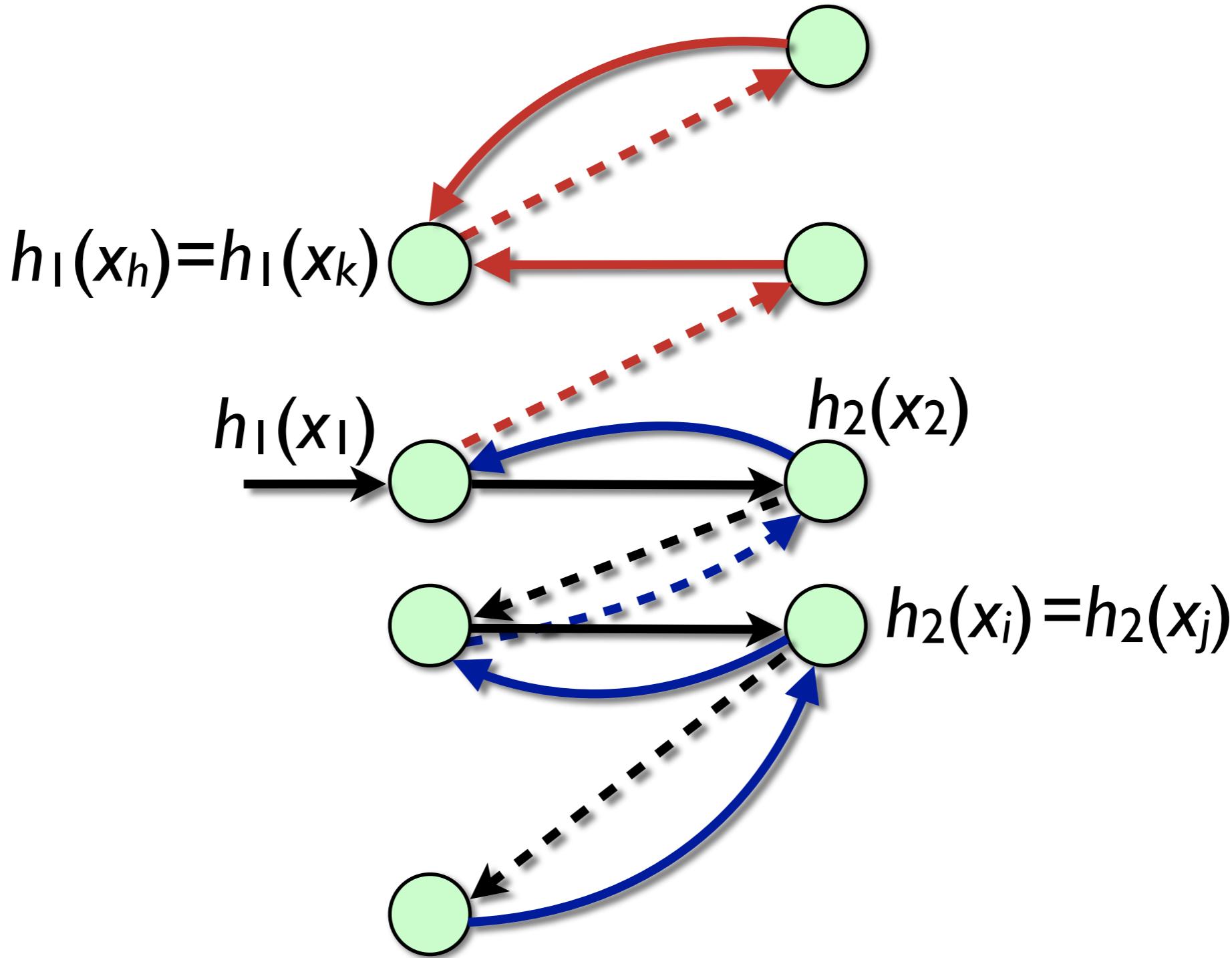
- insertions \Leftrightarrow walk in cuckoo graph

Analysis

- \Rightarrow analyze
probability of walks of length \maxLoop
 - ▶ cause rehash!
- distinguish 3 cases:
 - I. no cycle
 - II. 1 cycle
 - III. 2 cycles
- fix $\maxLoop = 6 \lg n$



III: Two Cycles



III: Two Cycles

- Analysis by **counting** # length- t 2-cycle walks in (arbitrary) cuckoo graphs **starting at $h_1(x_1)$ ($N(n,m,t)$)**
- walk $h_1(x_1), h_2(x_2), h_1(x_3), \dots, h_{1/2}(x_t)$ with $h_{1/2}(x_t)$ forming 2nd loop
- $N(n,m,t) \leq t^3 n^{t-1} m^{t-1}$
 - ▶ t^3 possible ways of forming 2 loops
 - ▶ x_i may be any $s \in S$ ($i \geq 2$) $\Rightarrow n^{t-1}$ choices
 - ▶ $h_{1/2}(x_i)$ may be any $h \in [1, m]$ ($i \geq 2$) $\Rightarrow m^{t-1}$ choices

III: Two Cycles

- probability of each possibility is $\leq m^{-2t}$:

$$\begin{aligned} & \text{Prob}[h_1(x_1)=i_1 \wedge h_2(x_1)=j_1 \wedge \dots \wedge h_1(x_t)=i_t \wedge h_2(x_t)=j_t] \\ &= \text{Prob}[h_1(x_1)=i_1 \wedge \dots \wedge h_1(x_t)=i_t] \cdot \text{Prob}[h_2(x_1)=j_1 \wedge \dots \wedge h_2(x_t)=j_t] \\ &\leq m^{-t} \quad \cdot \quad m^{-t} \\ &= m^{-2t} \end{aligned}$$

III: Two Cycles

- \Rightarrow probability of case (3) (=rehash) at most

$$\begin{aligned}& \sum_{t=3}^{6 \lg n} \frac{t^3 n^{t-1} m^{t-1}}{m^{2t}} \\&= \sum_{t=3}^{6 \lg n} \frac{t^3 n^{t-1}}{m^{t+1}} \\&= \frac{1}{mn} \sum_{t=3}^{6 \lg n} t^3 \left(\frac{n}{m}\right)^t \\&\leq \frac{1}{2n^2} \sum_{t \geq 1} t^3 \left(\frac{1}{2}\right)^t = O\left(\frac{1}{n^2}\right)\end{aligned}$$

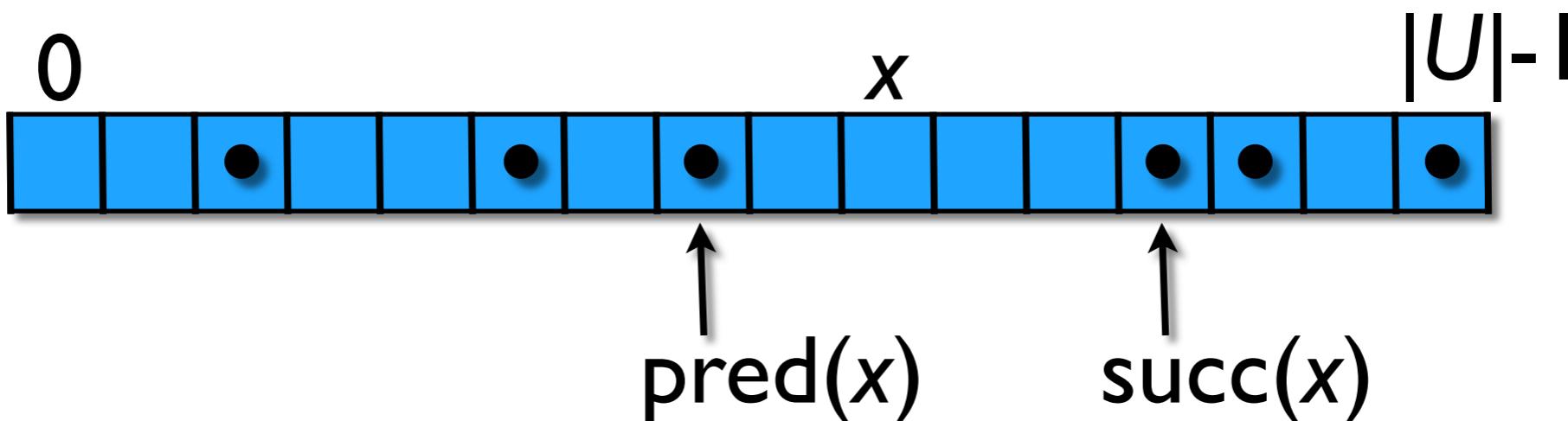
Wrapping Up

- $\text{Prob}[\mathbf{l} \text{ insert loops } \maxLoop \text{ times}] = O(n^{-2})$
- $\Rightarrow \text{Prob}[n \text{ inserts cause rehash}(m)] = O(n^{-1})$
- $\Rightarrow \text{Prob}[\text{rehash } \mathbf{successful}] = 1 - O(n^{-1})$
- $\Rightarrow \text{Exp}[\#\mathbf{ trials} \text{ for rehash}] = O(1)$
- $\Rightarrow \text{Exp}[\mathbf{time} \text{ for rehash}] = O(n)$
- $\Rightarrow O(1)$ amortized insert. time (exp.)

```
function insert(x):
    if (search(x)) return
    k ← 1
    repeat maxLoop times:
        swap x with Tk[hk(x)]
        if (x = ⊥)
            n++; if (n > m/2) rehash(2m)
        return
        k ← 3 - k
    rehash(m); insert(x)
```

Predecessor Queries

- $S: n$ objects from a SORTED universe U
- given $x \in U$:
 - ▶ $\text{pred}(x) = \max\{y \leq x : y \in S\}$
 - ▶ $\text{succ}(x) = \min\{y \geq x : y \in S\}$

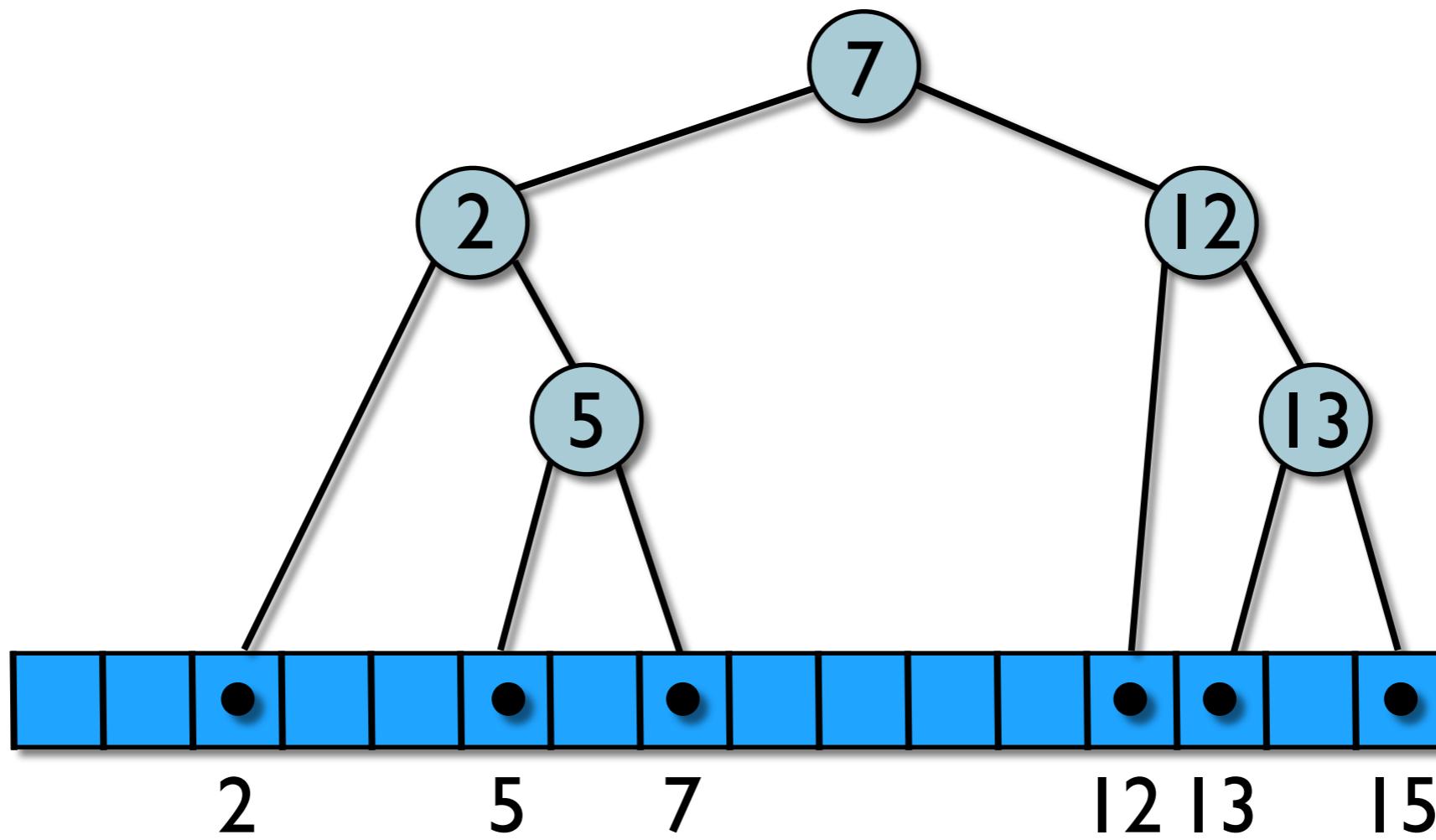


Applications

- very powerful/versatile
 - ▶ hash-table functionality
 - ▶ min/max → heaps/priority queues
 - ▶ 1D-nearest neighbor
 - ▶ 1D-range queries
 - ▶ IP-forwarding (prefix matching)

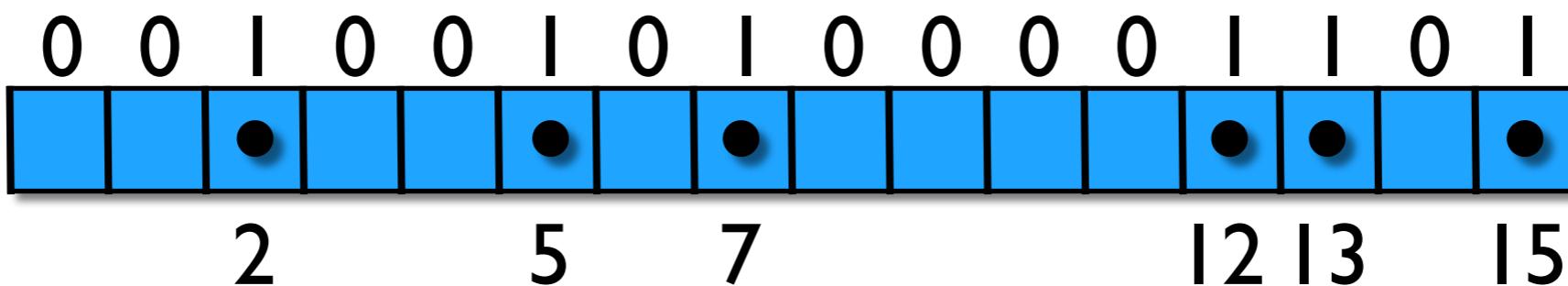
Baseline Algorithms

- balanced binary **search tree** over S
 - ▶ all ops (pred, succ, insert, ...) $O(\lg n)$ time
 - ▶ space $O(n)$



Baseline Algorithms

- **bit vector** marking members of S
 - ▶ insert/delete $O(1)$
 - ▶ pred/succ $O(u)$
 - ▶ space $O(u)$



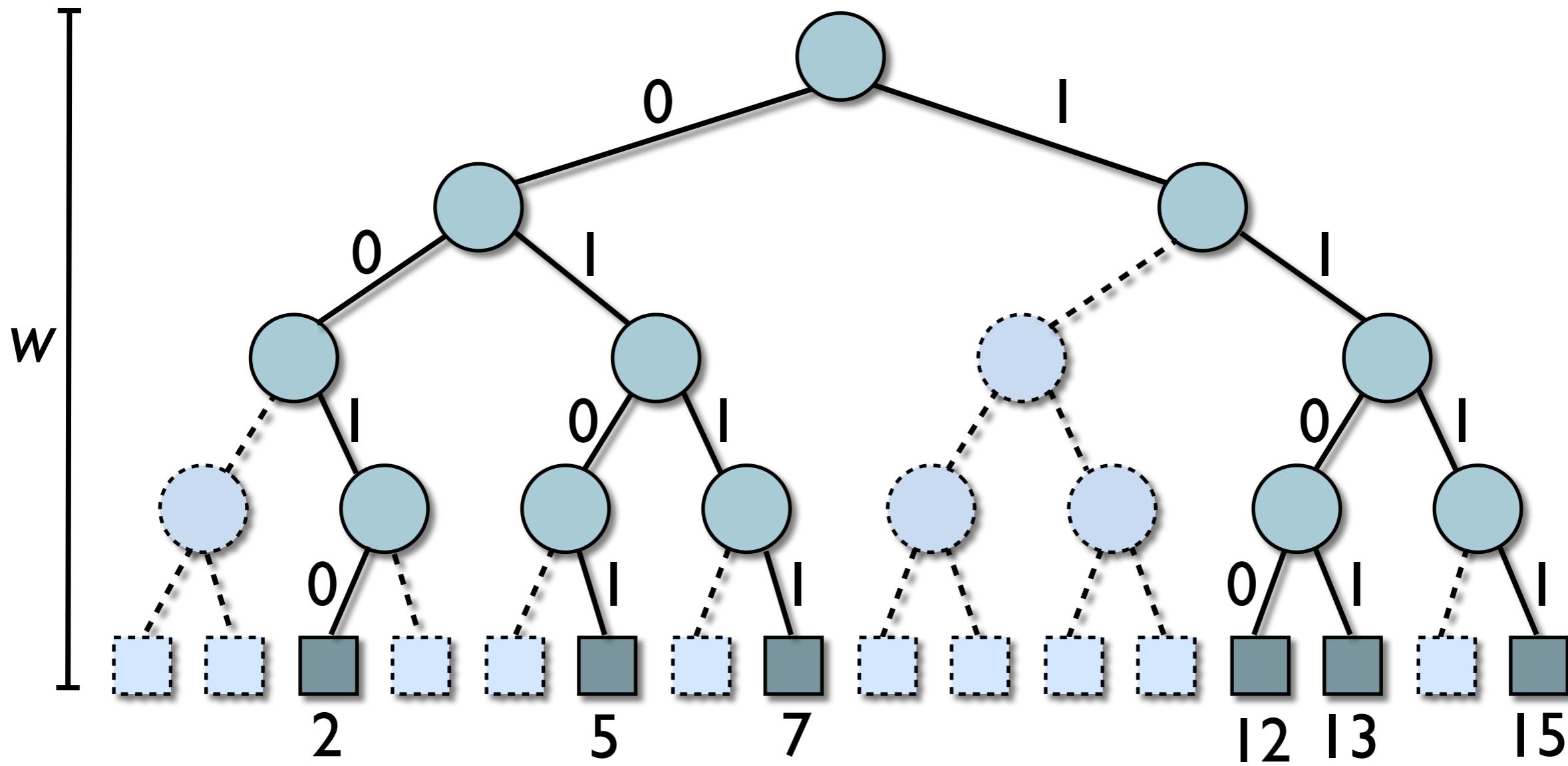
γ -Fast Tries

- S static, $U = [0, u] = [0, 2^w - 1]$
 - ▶ all ops $O(\lg w) = O(\lg \lg u)$ time
- D. E. Willard [Inform. Proc. Lett. 1983]

γ-fast tries	static	dynamic
pred/succ	$O(\lg w)$ w.c.	$O(\lg w)$ w.c.
insert/delete	n.a.	$O(\lg w)$ exp. & amort.
construction	$O(n)$ exp.+SORT(n, w)	n.a.
space	$O(n)$ w.c.	$O(n)$ w.c.

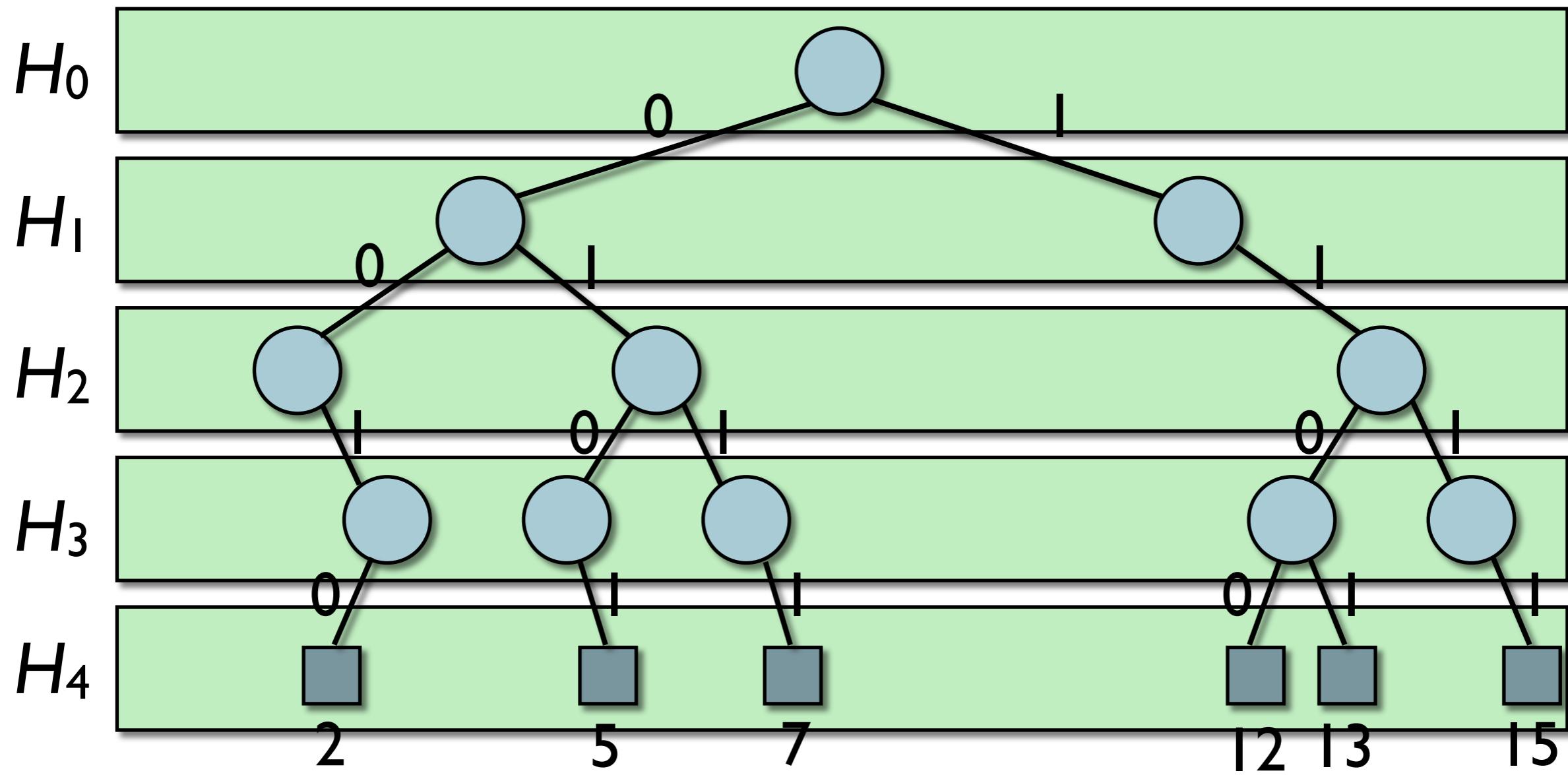
Idea

- $\text{bin}(x)$: **binary representation** of x
 - ▶ store $\text{bin}(x)$ for all $x \in S$ in a **trie**



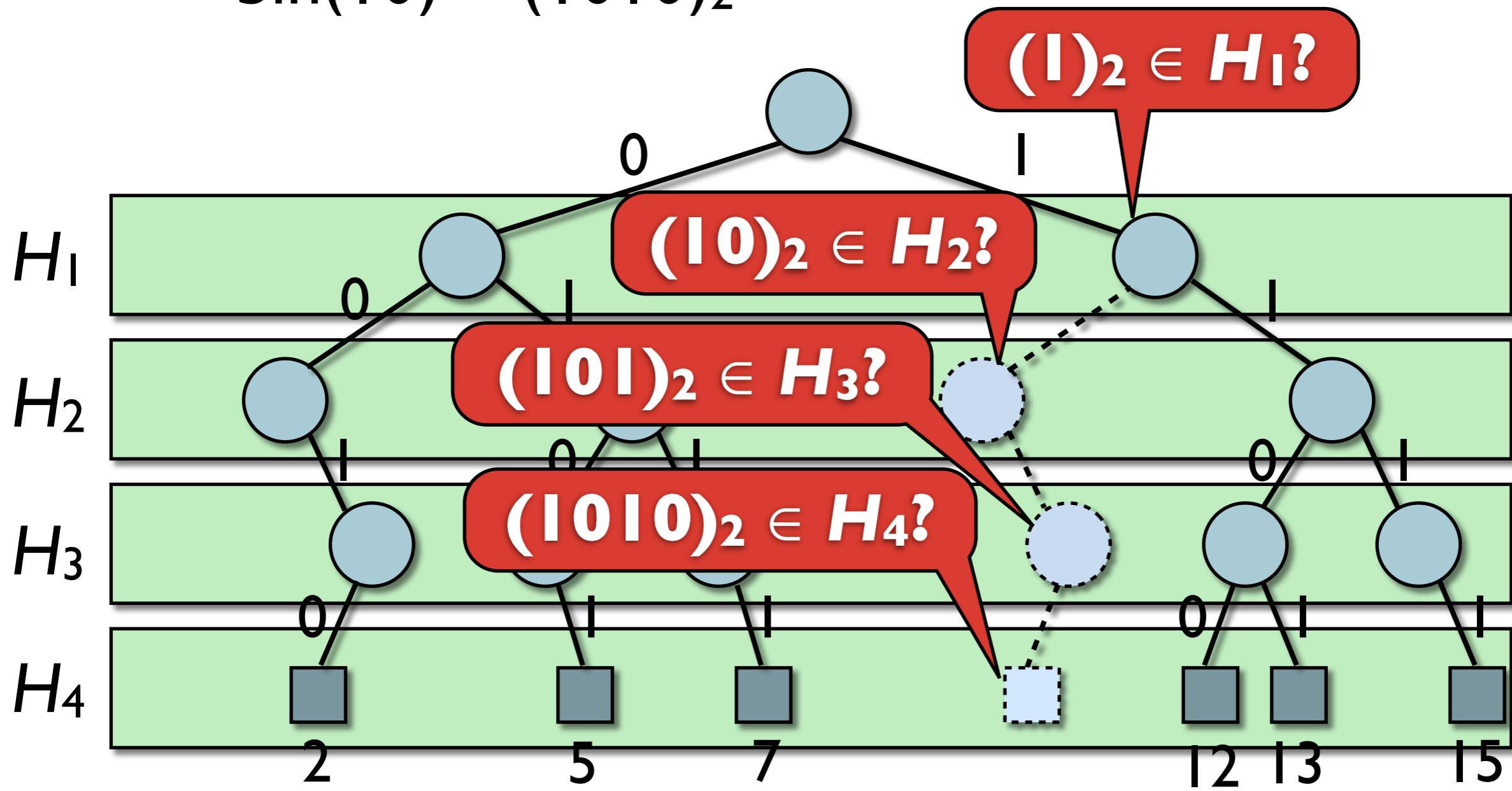
Idea

- need to know if node is there or not
⇒ store prefixes in w hash tables (perfect hashing)



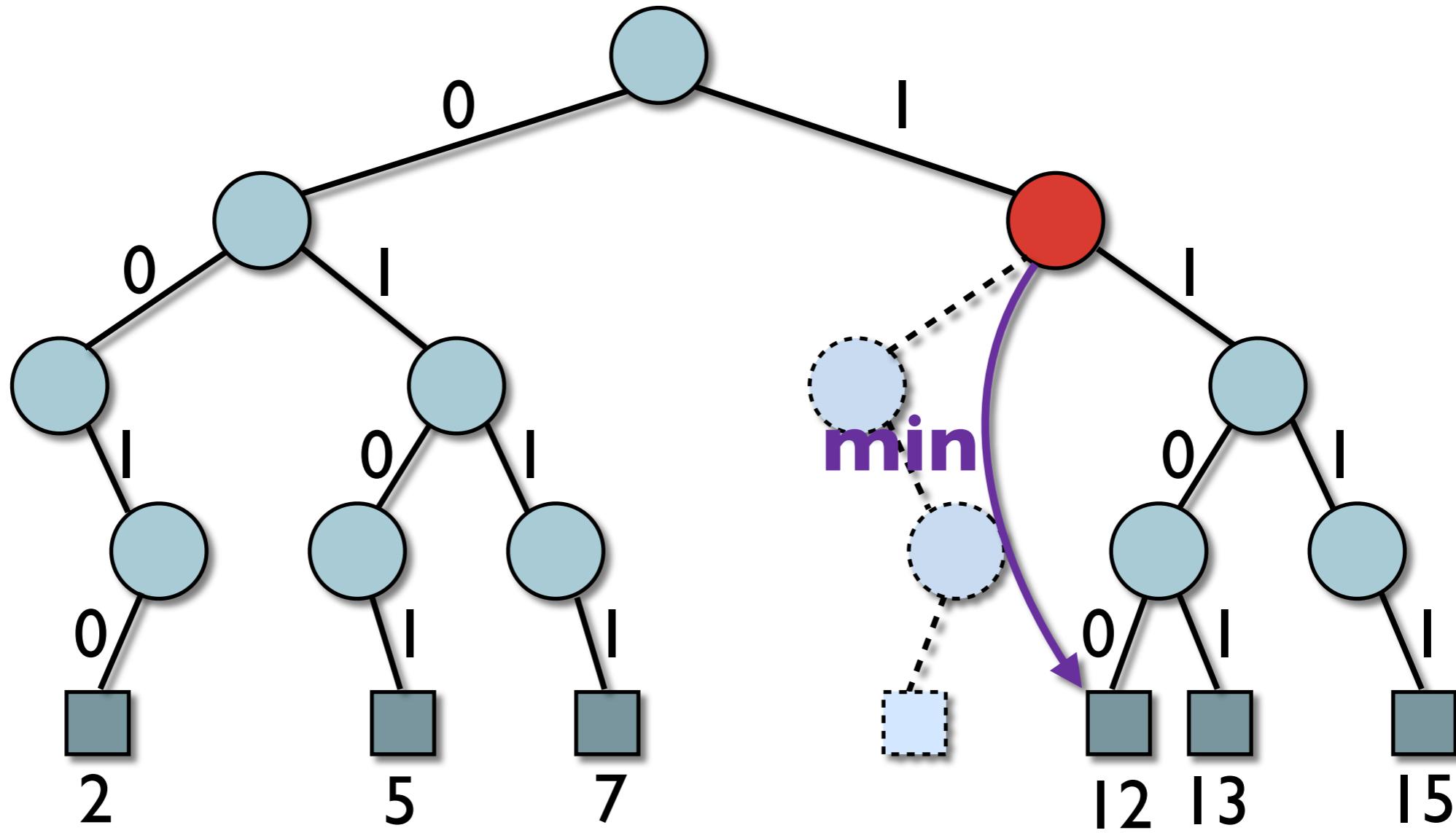
Successor Queries

- example: $\text{succ}(10)$
- $\text{bin}(10) = (1010)_2$



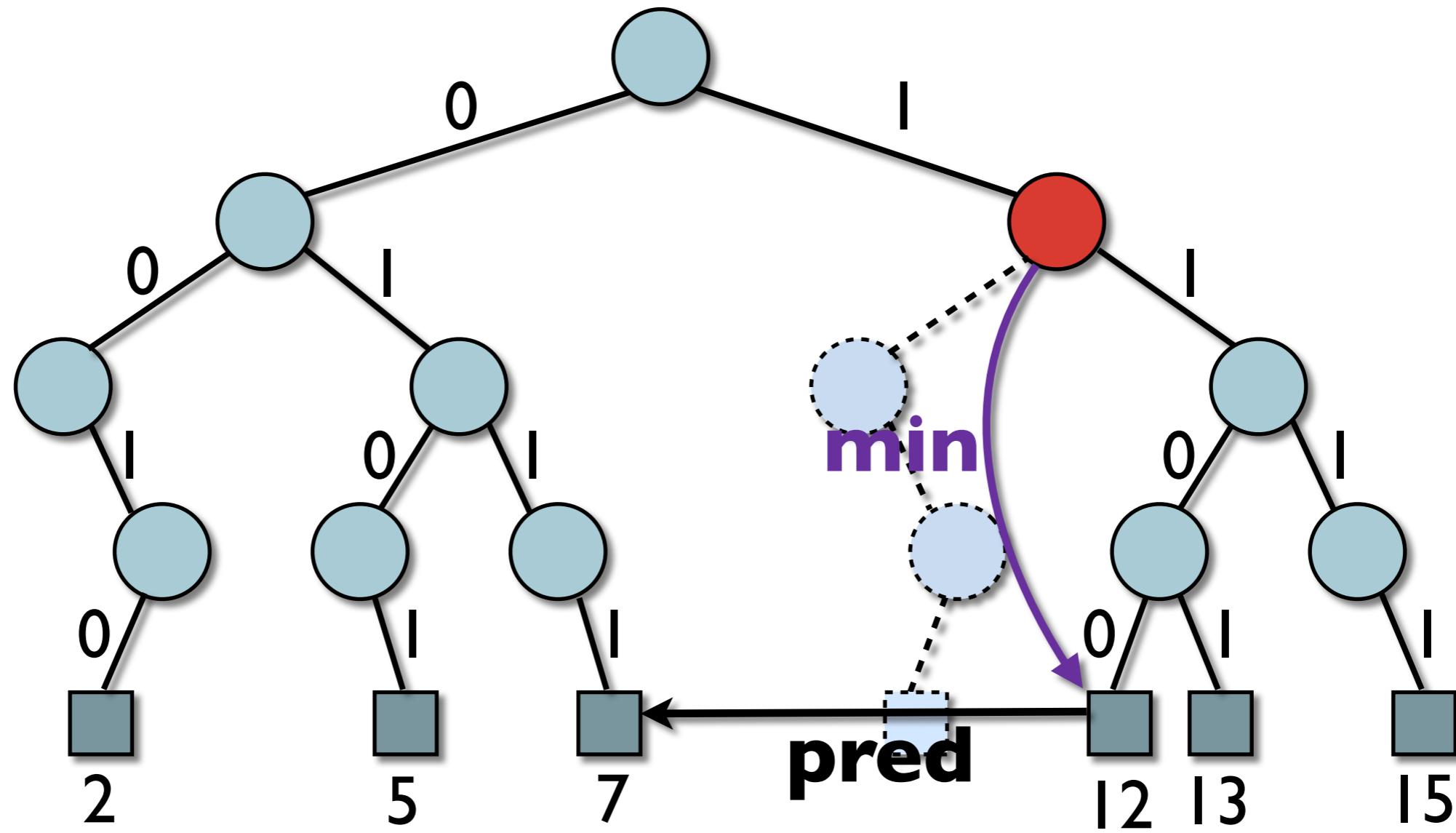
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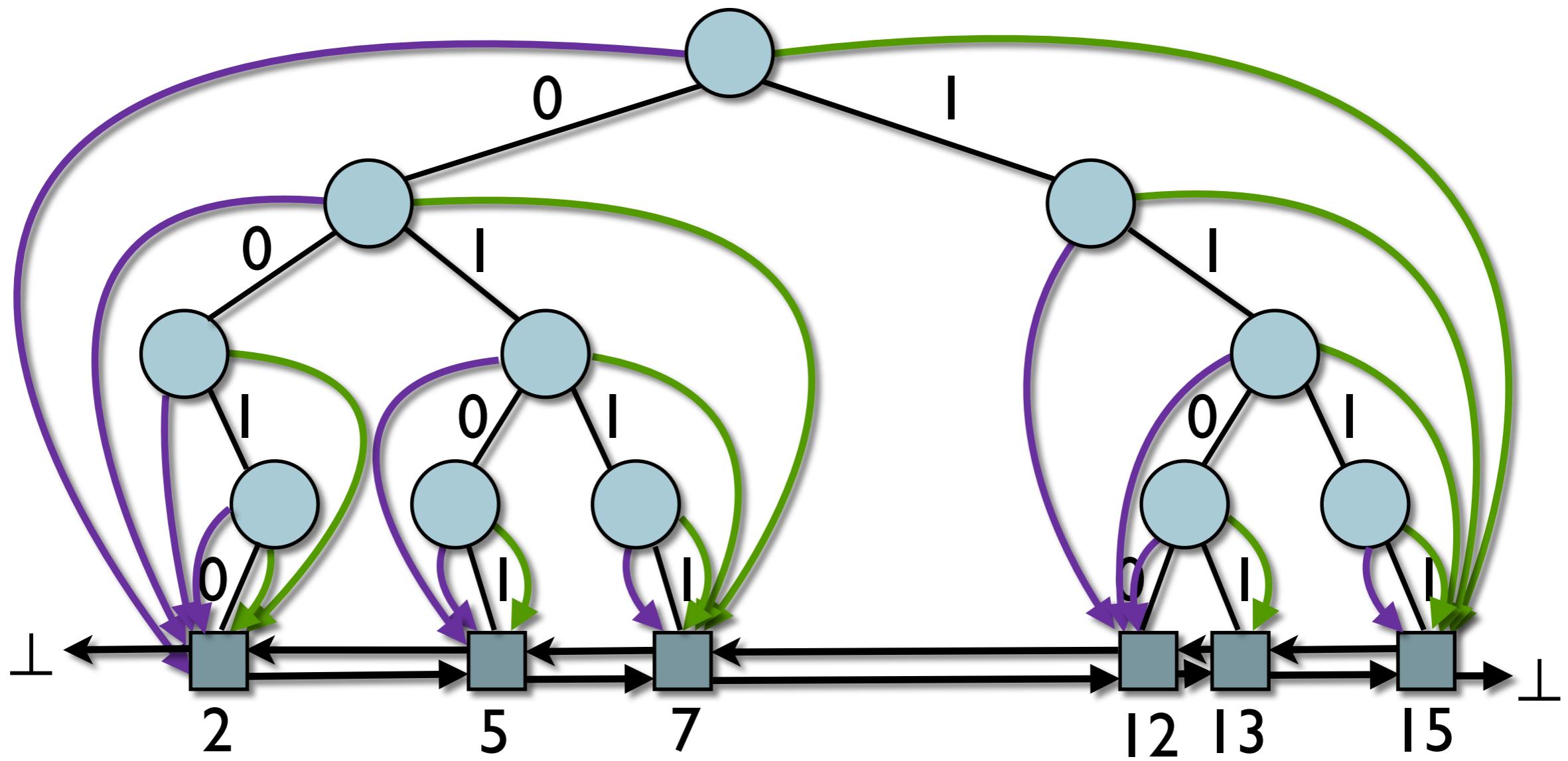
Predecessor Queries

- example: $\text{pred}(10)$
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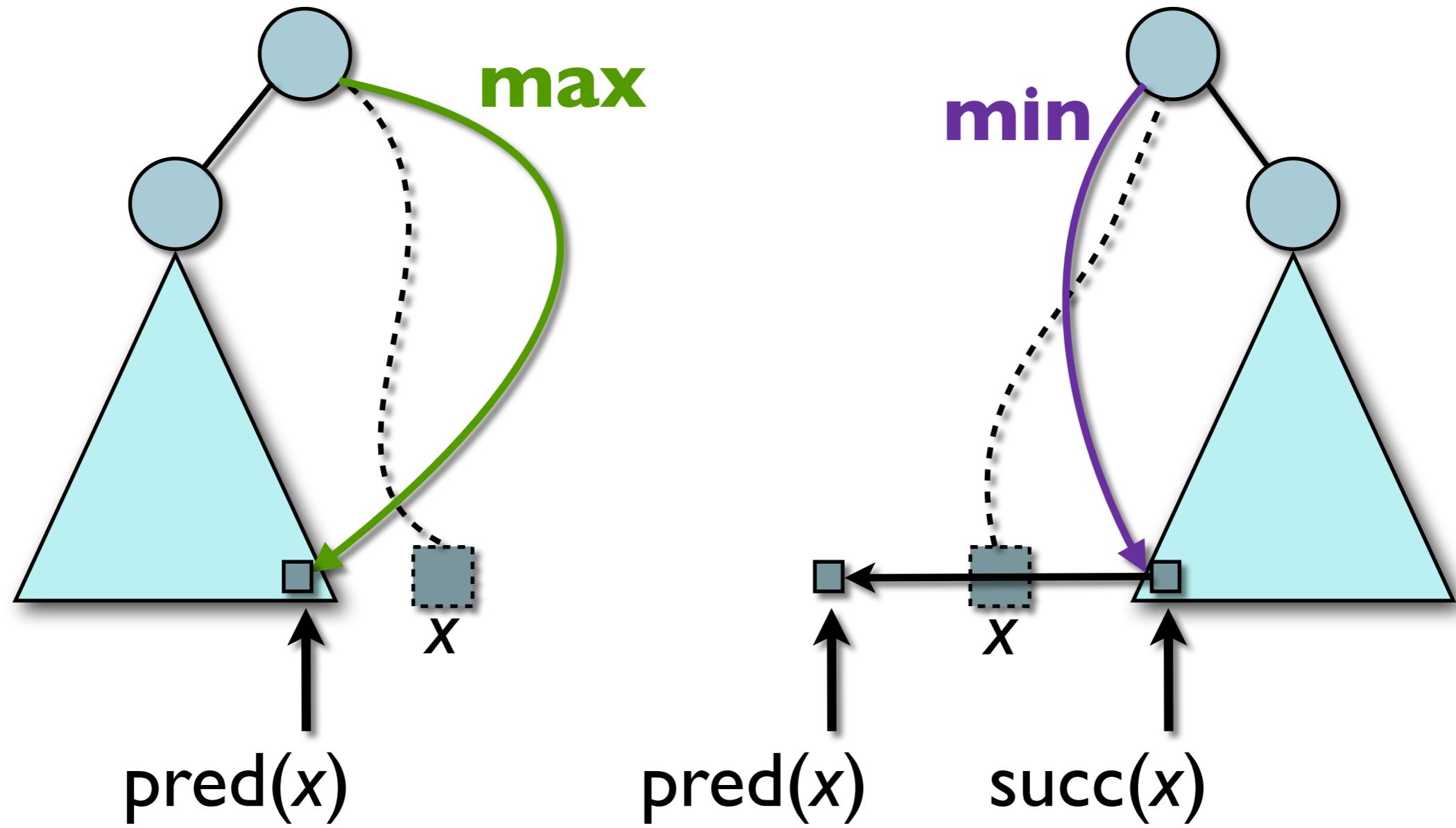


x-Fast Tries

- store **min/max** for every node
- leaves in a **doubly linked list**



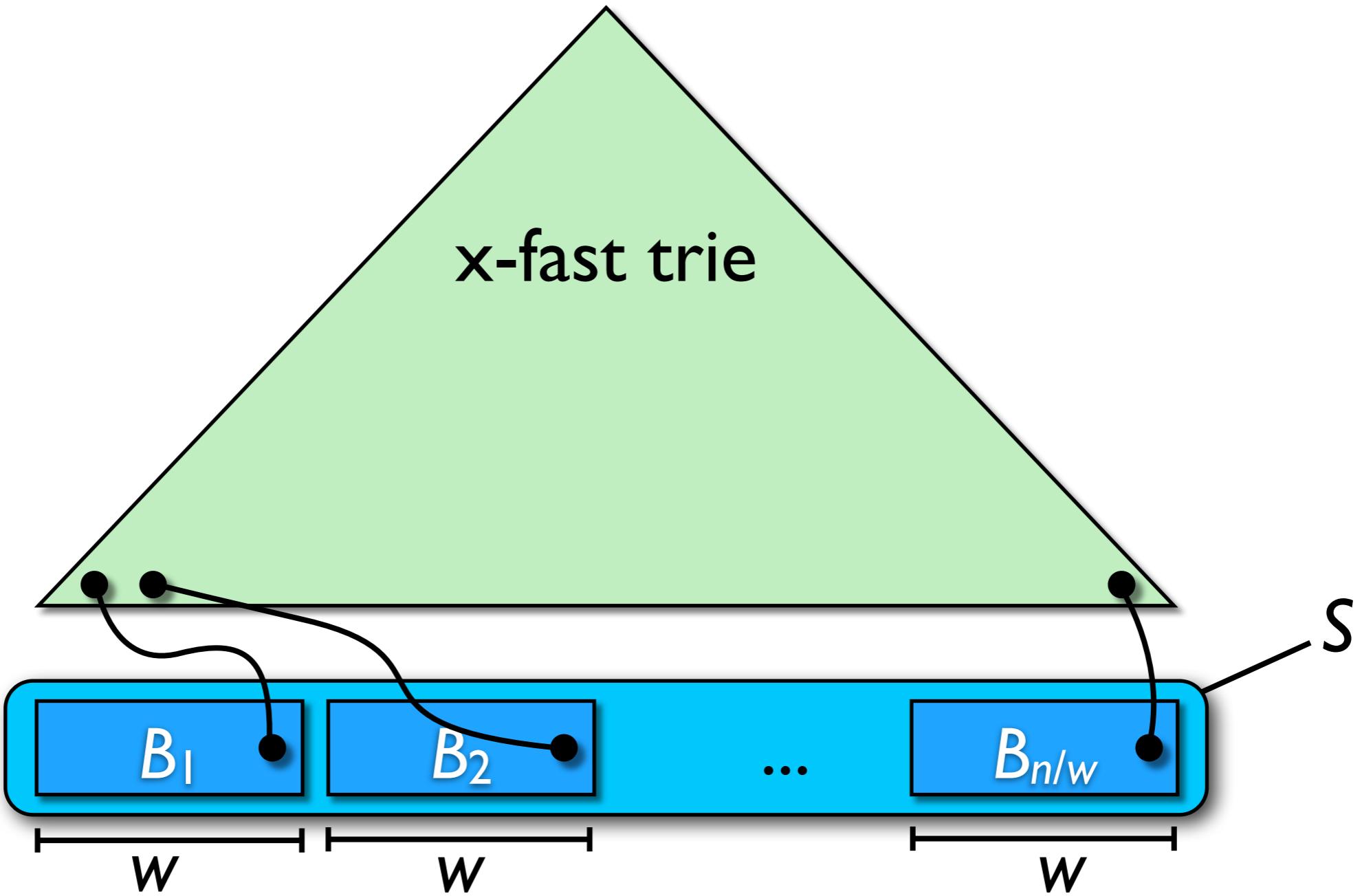
Predecessor Queries



The Final Picture

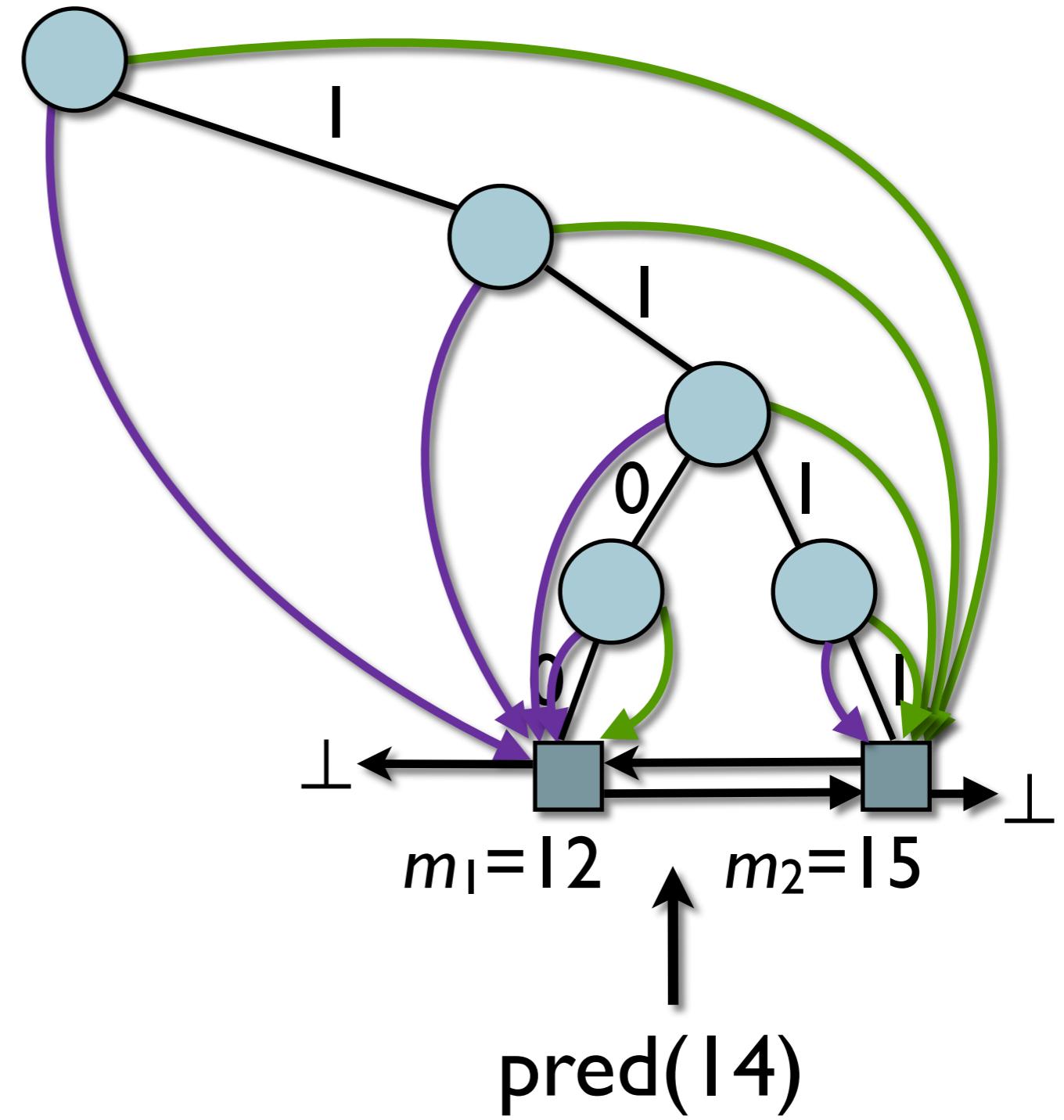
- predecessor $O(w)$, promised $O(\lg w)$
 - ▶ use **binary search** over heights $\rightarrow O(\lg w)$
- space $O(nw)$, promised $O(n)$
 - ▶ use **indirection**:
 - ▶ blocks of w elements: $B_1, \dots, B_{n/w}$ (sorted)
 - ▶ x-fast trie over $S' = \{m_i : 1 \leq i \leq n/w\}$, $m_i = \max B_i$
 - ▶ $\text{pred}(x)$:
 - (1) find pred among block maxima (m_p)
 - (2) **binary search** block B_{p+1} ($O(\lg w)$)
 - (3) result is either (1) or (2)

γ -Fast Tries



Example

- $B_1 = \{2, 5, 7, 12\}$
 - $B_2 = \{13, 15\}$



Dynamization

- ~~perfect hashing~~ → cuckoo hashing
- ~~arrays~~ → balanced search trees (e.g. AVL)
 - ▶ size between $w/2$ and $2w$
 - ▶ otherwise split/merge trees
- $m_p = \text{maximum}$ → any separating element
- ⇒ pred/succ in $O(\lg w)$ w.c. time
insert/delete $O(\lg w)$ **amort.&exp.**

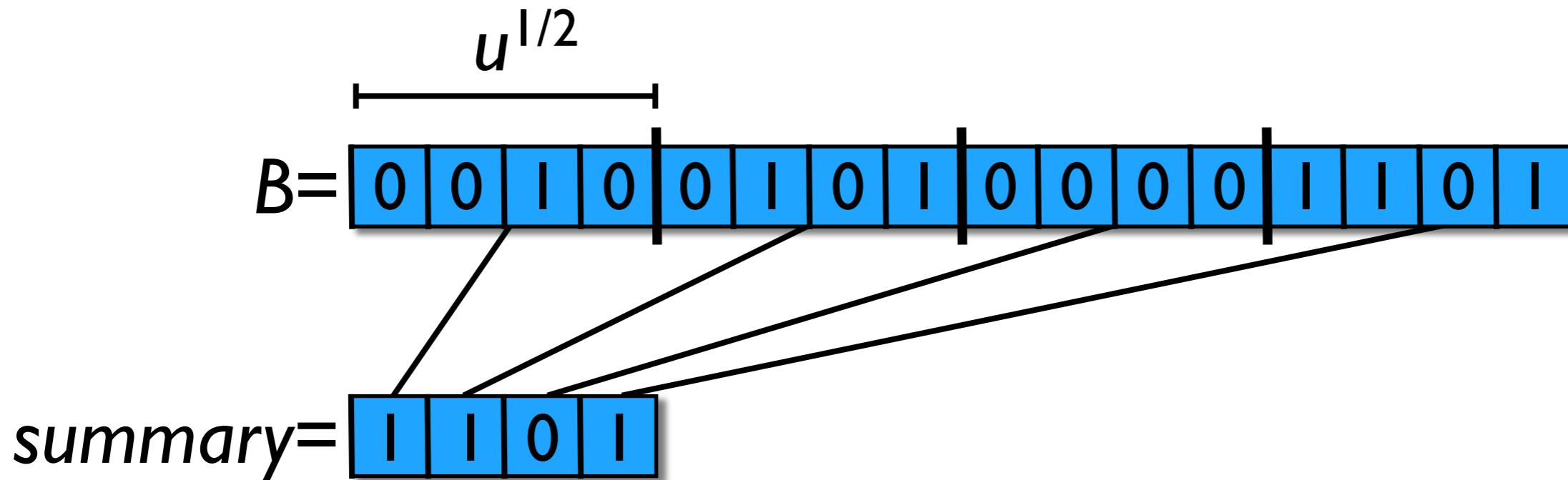
van Emde Boas Trees

- like dynamic y-fast tries
- van Emde Boas [FOCS'75]
- good in practice (\rightarrow VL Alg. Engineering)

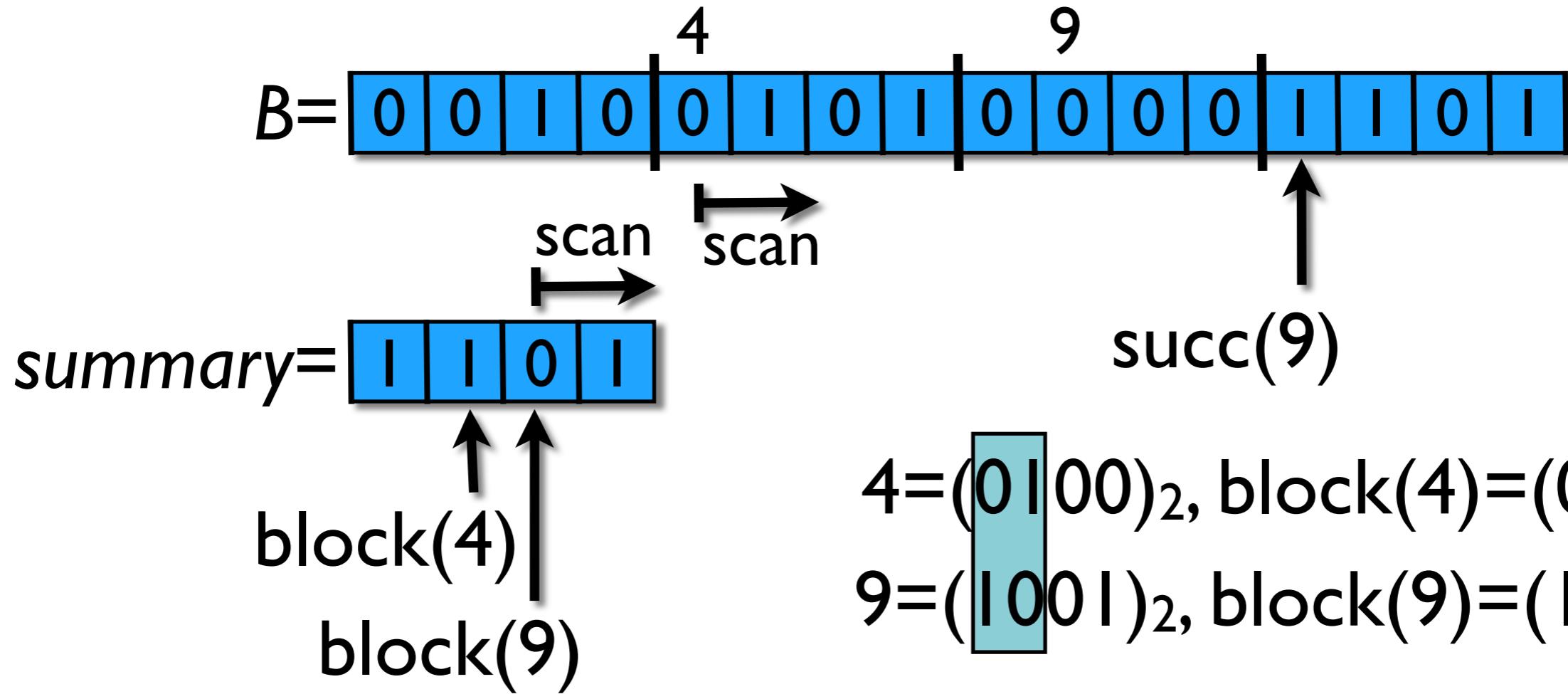
vEB trees	dynamic	
pred/succ	$O(\lg w)$ w.c.	$O(\lg w)$ w.c.
insert/delete	$O(\lg w)$ w.c.	$O(\lg w)$ exp. & amort.
space	$O(u)$ w.c.	$O(n)$ w.c.

Idea

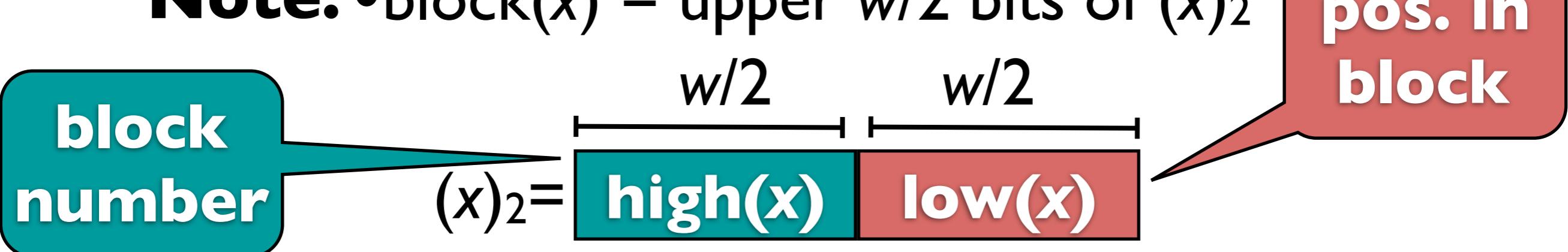
- **bit vector B** marking members of S
- $u^{1/2}$ blocks B_0, B_1, \dots of length $u^{1/2}$ ($= 2^{w/2}$)
 - ▶ $\text{block}(x) = \lfloor x/u^{1/2} \rfloor$
- **summary** marking non-empty blocks



Finding Successors



Note: • $\text{block}(x) = \text{upper } w/2 \text{ bits of } (x)_2$



Finding Successors

- scanning \triangleq successor with **reduced size**
 - ▶ use **recursion**

```
function succ( $B, x$ ):  
    inblock-succ  $\leftarrow$  succ( $B_{\text{high}(x)}$ ,  $\text{low}(x)$ )  
    if (inblock-succ  $\neq \perp$ )  
        return inblock-succ + ( $\text{high}(x) \times B.u^{1/2}$ )  
    else  
        succ-block  $\leftarrow$  succ( $B.\text{summary}$ ,  $\text{high}(x)$ )  
        if (succ-block =  $\perp$ ) return  $\perp$   
        return min( $B_{\text{succ-block}}$ ) + (succ-block  $\times B.u^{1/2}$ )
```

Running Time

- base case if $B.u=2$
- $$\begin{aligned} T(u) &= 2T(u^{1/2}) + O(1) \\ &= \Theta(\lg u) \end{aligned}$$
- Too **slow!**
- Modify for only **one** recursive call
 - ▶
$$\begin{aligned} T'(u) &= T'(u^{1/2}) + O(1) \\ &= \Theta(\lg\lg u) \end{aligned}$$
- **Idea:** storing also **max** saves 1 recursion