

# Recap - MOs of Larger Conjugated Polyenes

- The lowest energy orbital is always symmetric with respect to the principal mirror plane
- The energy of the MO increases as the no: of nodes increases

Eg.  $\psi_1$  - 0 nodes

$\psi_2$  - 1 node

$\psi_n$  - **n-1 nodes**

Remember to ignore the nodes of the AO

- **When you draw MOs place the nodes symmetrically**

# Recap - MO and Reactions

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**Electron donor** – Occupied Orbital i.e. HOMO

**Electron acceptor** – Unoccupied Orbital i.e. LUMO

**HOMO** possibilities

Filled/Occupied

$\sigma$

$\pi$

**n** (non-bonding)

**LUMO** possibilities

Unfilled/Unoccupied

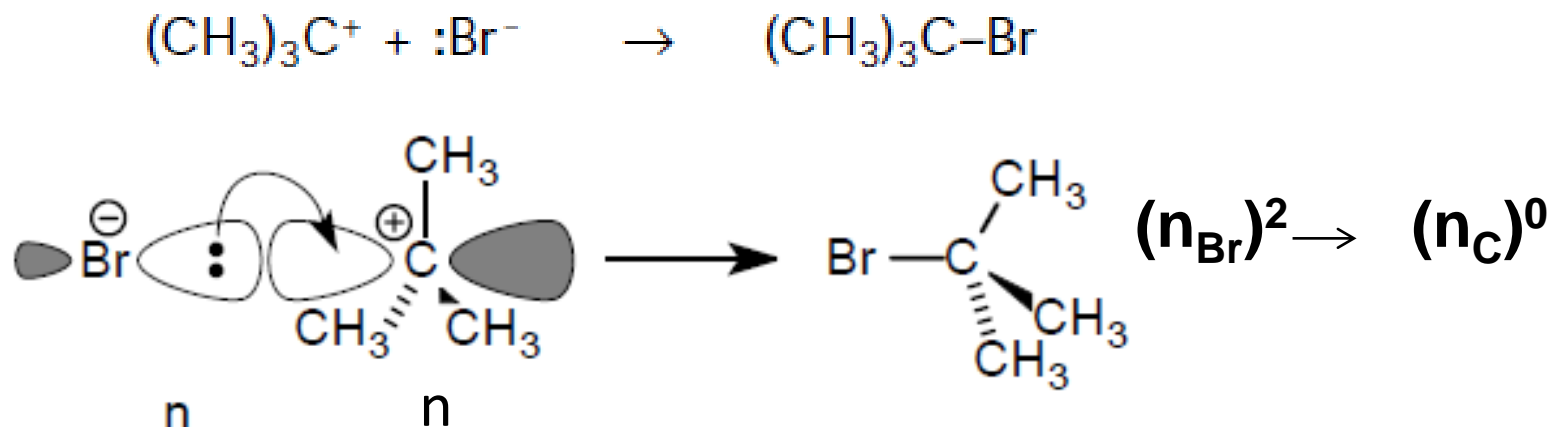
$\sigma^*$

$\pi^*$

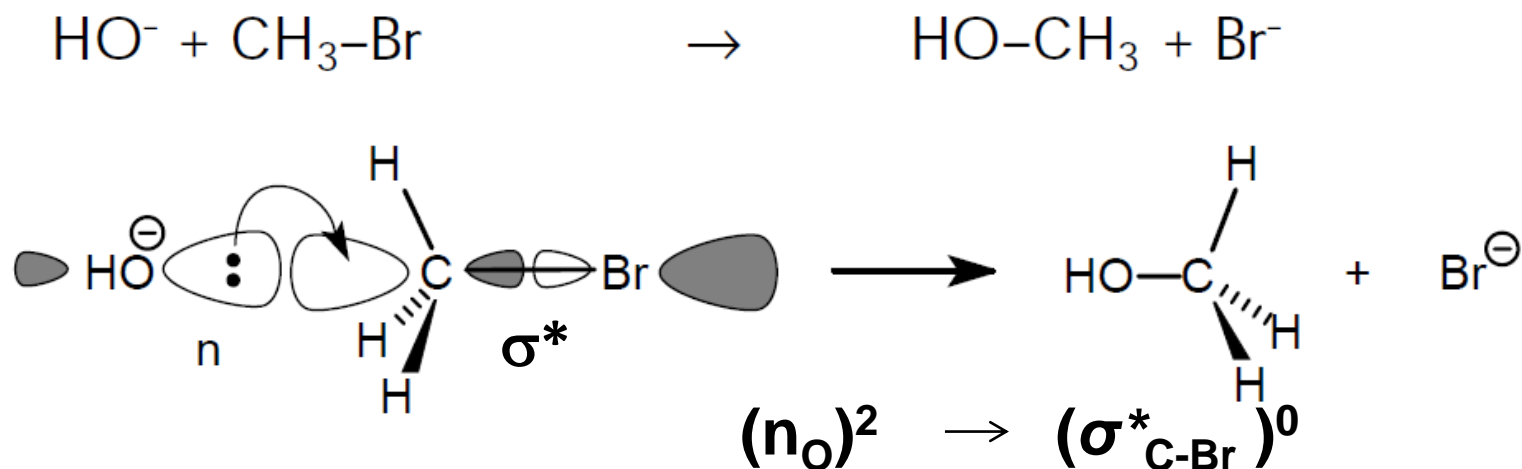
**n** (nonbonding)

# Explanation of Reactions Through Molecular Orbitals

## $S_N1$ Reaction – Attack from both sides of carbocation ok



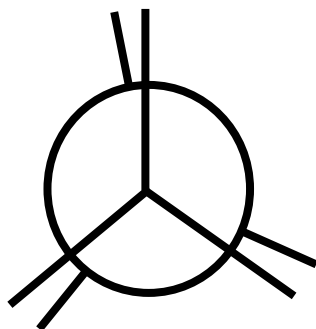
## $S_N2$ Reaction – backside attack only possible



# Rotational Barrier in Ethane

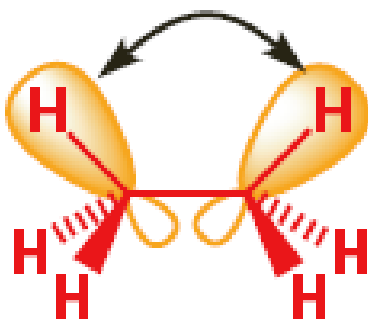
Barrier of 3 kcal mol<sup>-1</sup> due to steric and electronic effects

**Transition state**  
is **eclipsed**

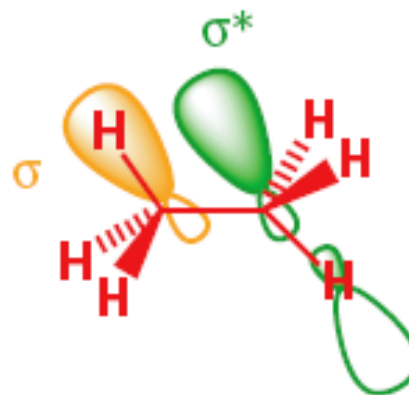
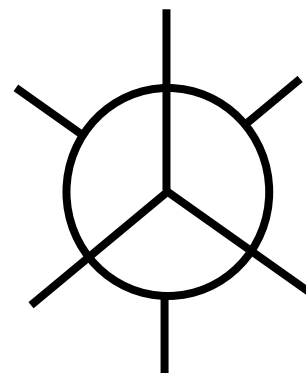


eclipsed:

filled orbitals repel

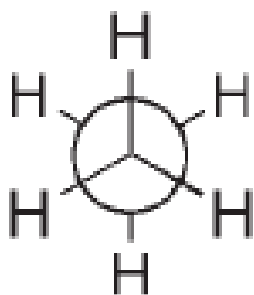


**Most stable rotamer**  
is **staggered**

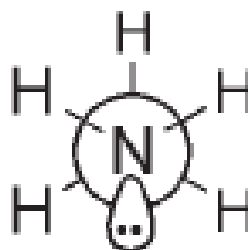


and empty C-H  $\sigma^*$   
antibonding orbital

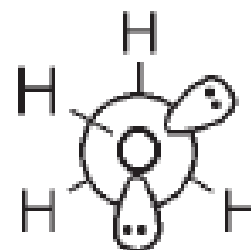
# Lone Pairs Are Not Bad!



**3 kcal**



**2 kcal**



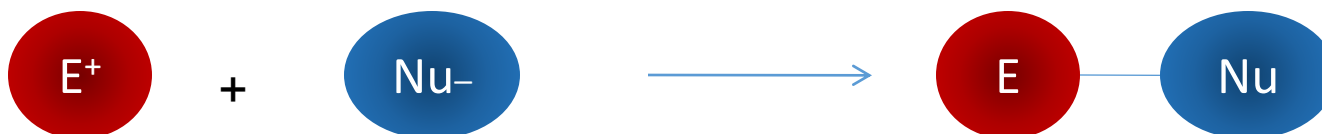
**1 kcal**

Or.....

Lone pairs or not that great  
for staggered conformation!!

# Broad Classification: Organic Reactions

- **Polar Mechanism**



- **Radical Mechanism**



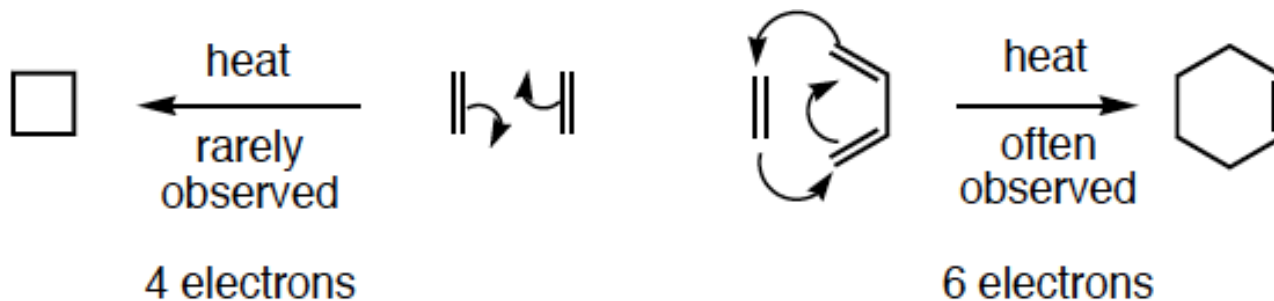
- **Concerted Reactions**

All the bonding changes occur at the same time and in a single step – No intermediates involved !

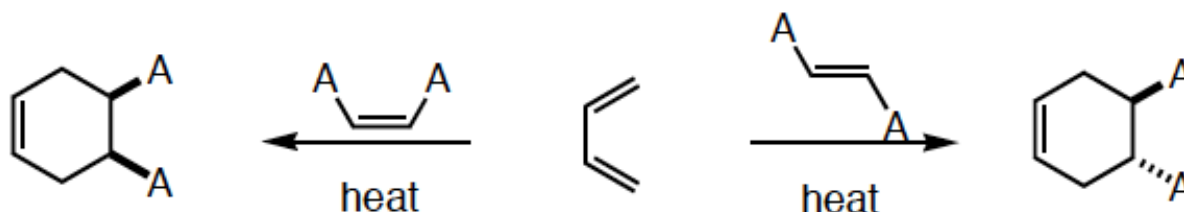
**Pericyclic reactions** – Concerted reactions that occur through a cyclic transition state

# Pericyclic Reactions: Factors to Consider

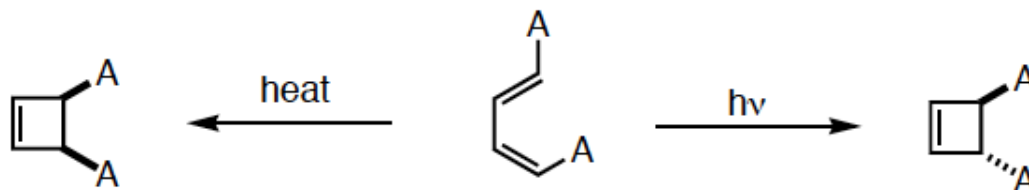
- Reactivity affected by number of electrons involved in reaction



- Reactions are stereospecific

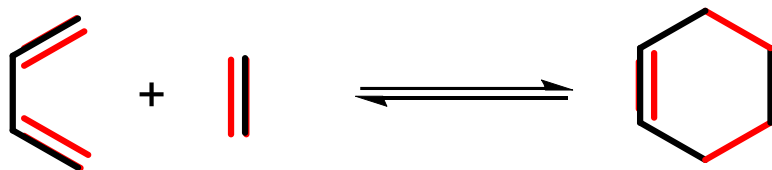


- Stereochemistry depends on reaction conditions

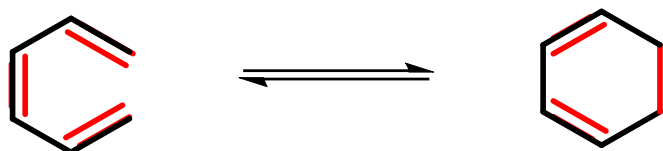


MOs play a very important role

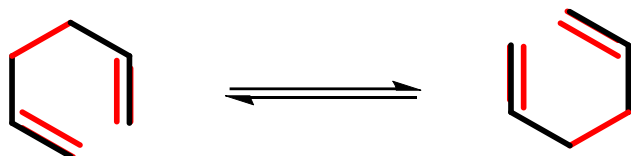
# Classification of Pericyclic Reactions



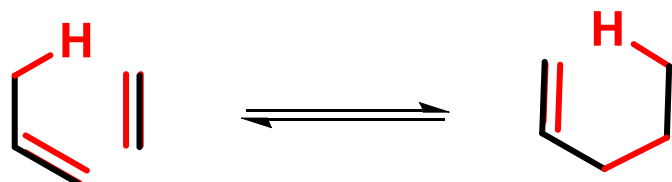
Cycloaddition reaction



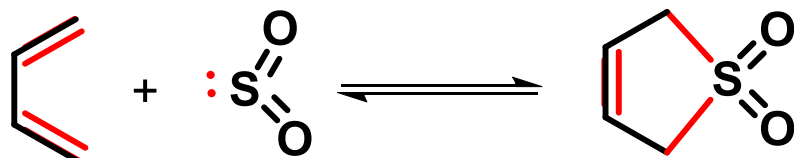
Electrocyclic reactions



Sigmatropic rearrangement



Group transfer reactions



Cheletropic reactions

Reagents - Heat ( $\Delta$ ) or  
Light ( $h\nu$ )

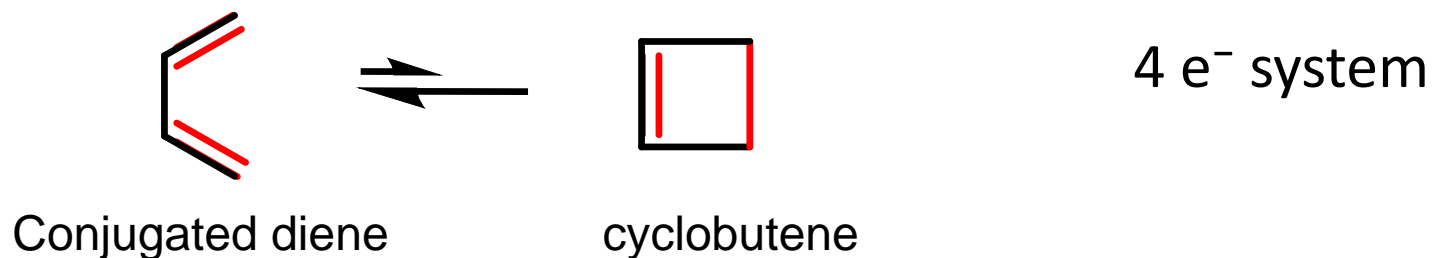
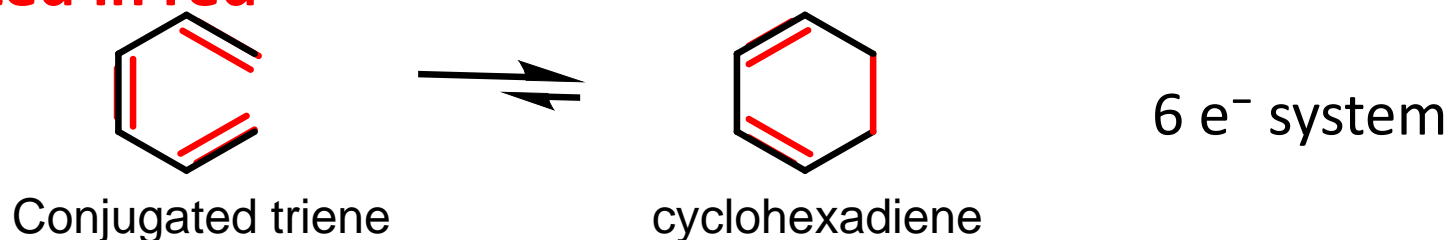


# Class 1- Electrocyclic Reactions

## Cyclization of a **conjugated** polyene (ring closing)

- Outermost  $\pi$  bonds converted to  $\sigma$  bond and  $\pi$  bonds reorganized
- Classified based on the number of  $\pi$  electrons

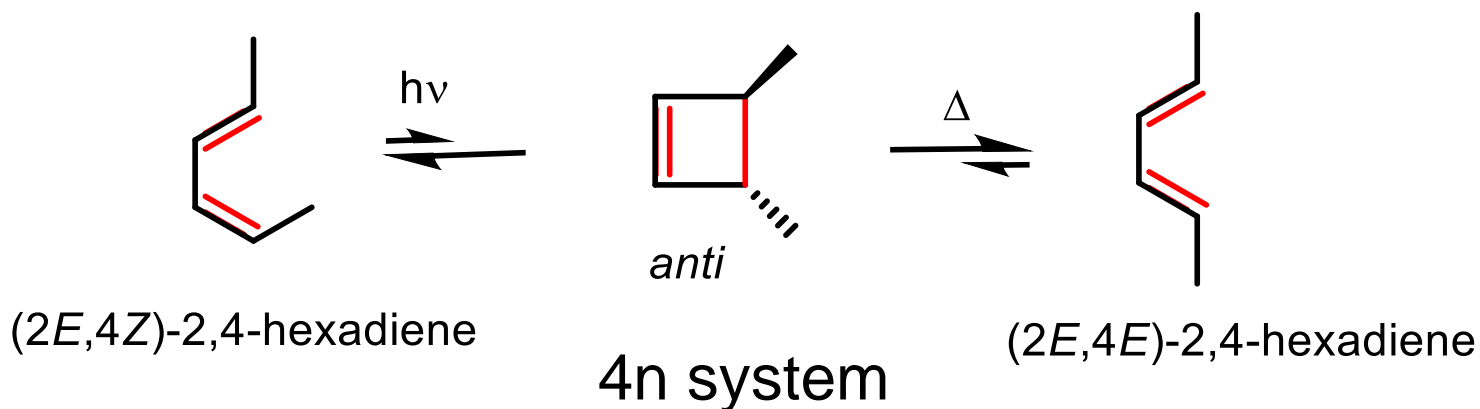
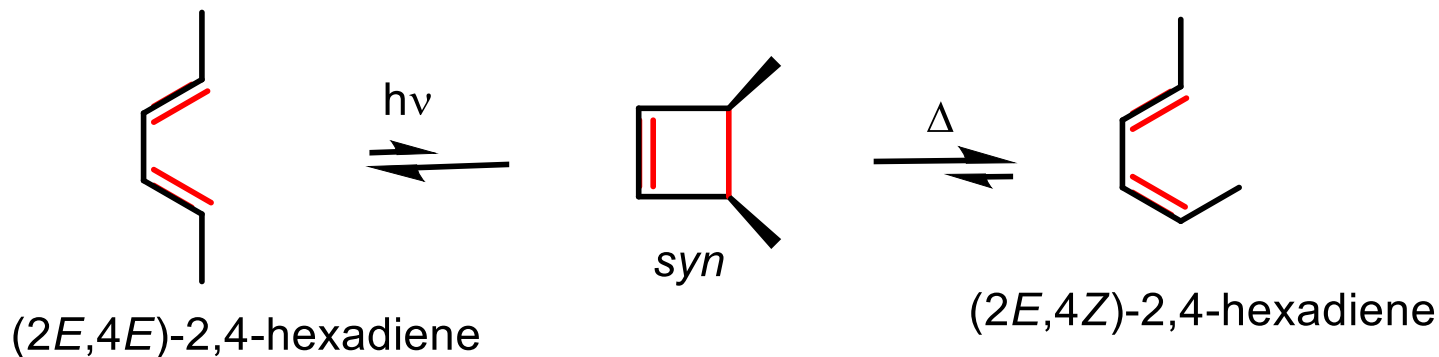
highlighted in red



**Reverse process (ring opening)**

# Striking Feature – Electrocyclic Reactions

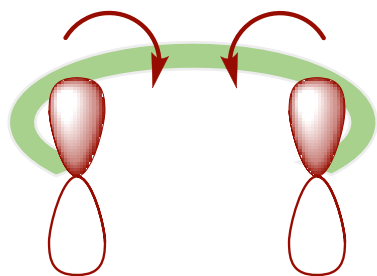
## Stereochemistry - Highly stereospecific



**Pi bonds convert to a sigma (axial overlap)**  
**How??**

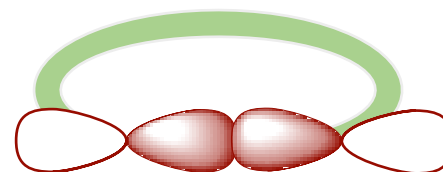
# Orbital Interactions – Electrocyclic Reactions

## Two possibilities

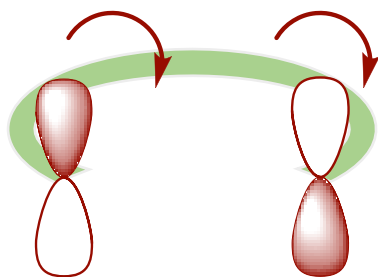


Outermost orbitals  
Same symmetry

*Dis rotatory*



Bonding interaction  
Symmetry allowed



Outermost orbitals  
Opposite symmetry

*Con rotatory*

**The lobes of the reactant MOs must of the correct sign (phase) for bonding to occur in the transition state leading to product**

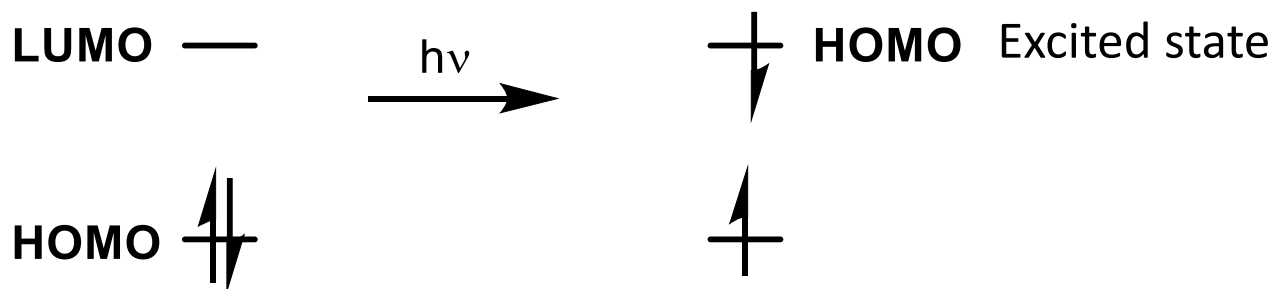
# Understanding Stereospecificity

## Frontier Molecular Orbitals (FMO) Theory

Stereochemistry of an electrocyclic reaction is determined by the symmetry of the polyene HOMO

**Thermal Reactions - Ground state HOMO**

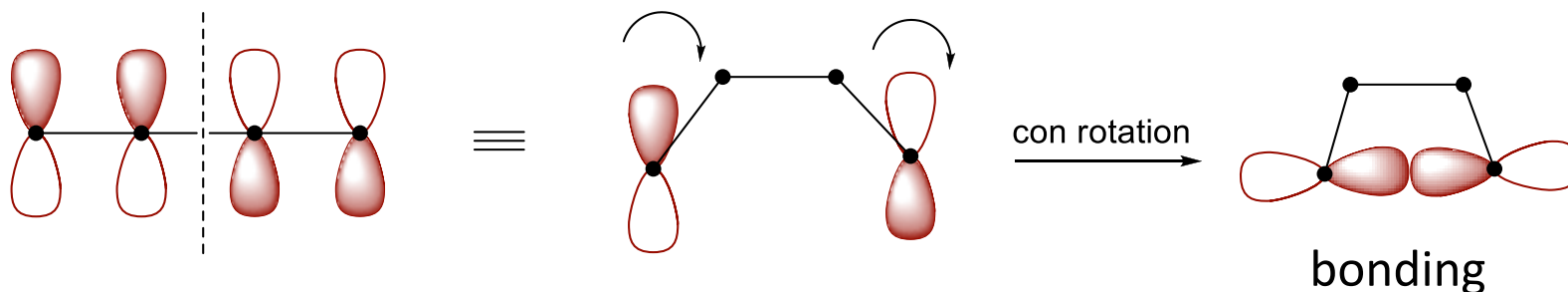
**Photochemical Reactions – Excited state is the HOMO**



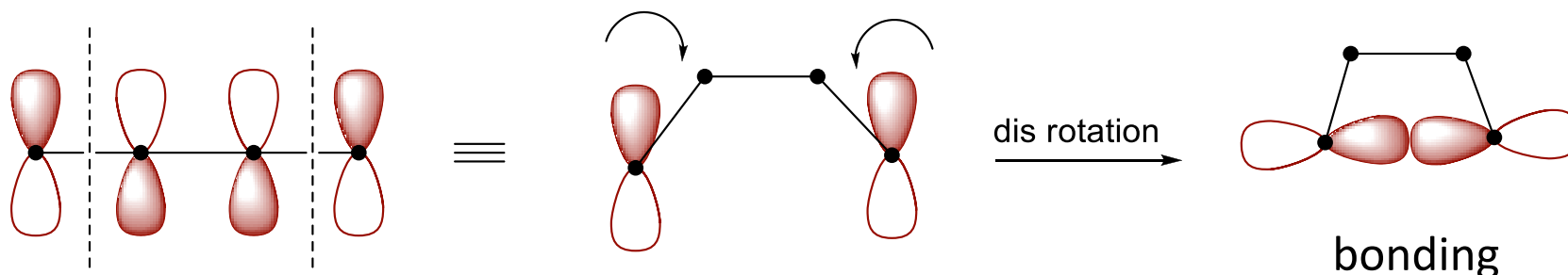
# FMO Explanation – Butadiene Stereospecificity

Butadiene – 4  $e^-$  system

Thermal reaction - HOMO is  $\psi_2$

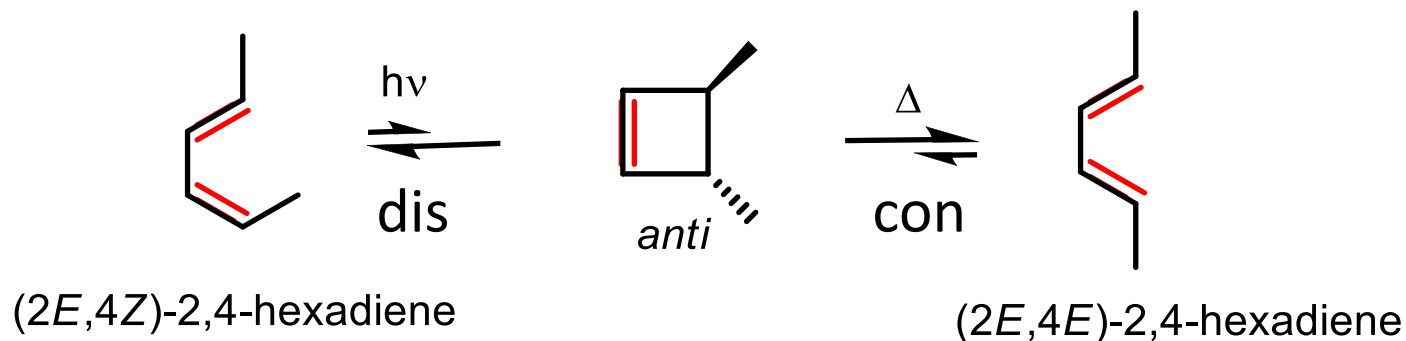
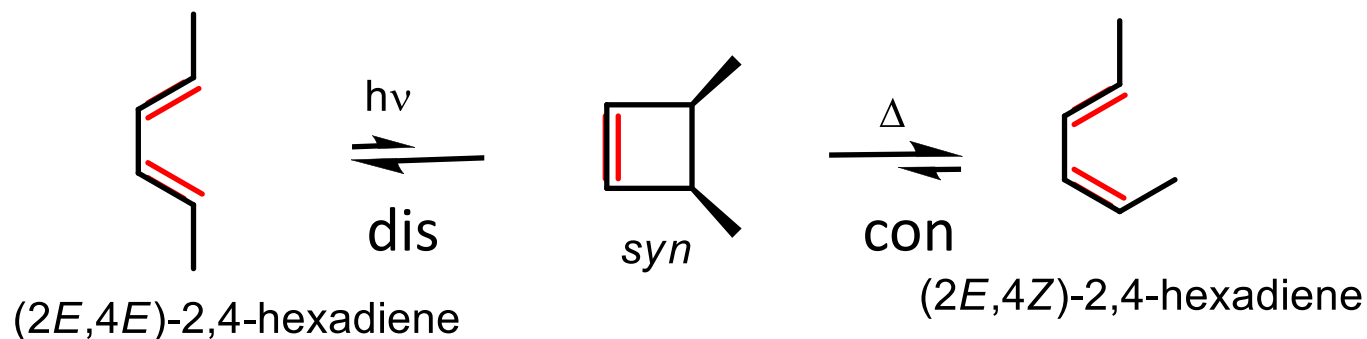


Photochemical reaction - HOMO is  $\psi_3$



**Is applicable to any  $4n$  system**

# Revisiting Stereospecificity



4 e<sup>-</sup> system

The groups attached to carbons undergoing dis/con will also rotate in that direction!!

# Steps to Understand Stereospecificity

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## Any $4n$ system

### Thermal conditions

- Draw **ground state** HOMO for butadiene
- Determine **mode of closure**

### Photochemical conditions

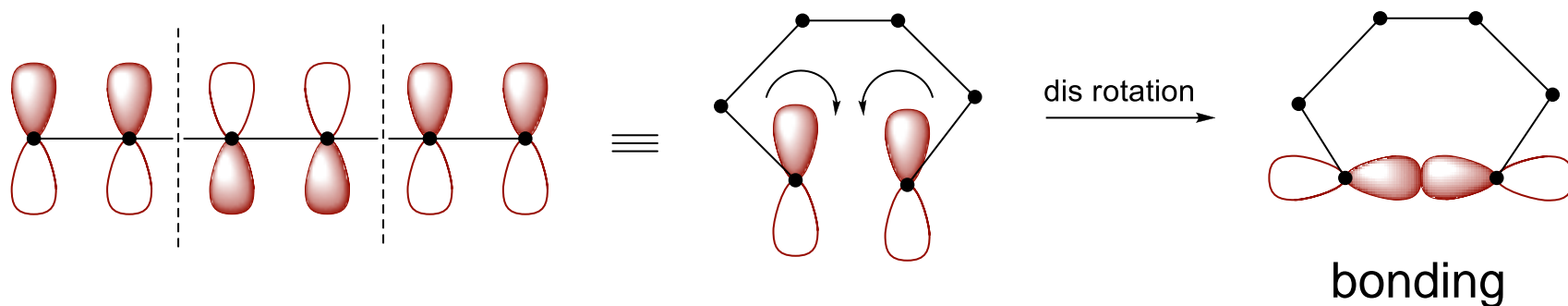
- Draw **excited state** HOMO for butadiene
- Determine **mode of closure**

**Activity: FMO treatment for hexatriene**

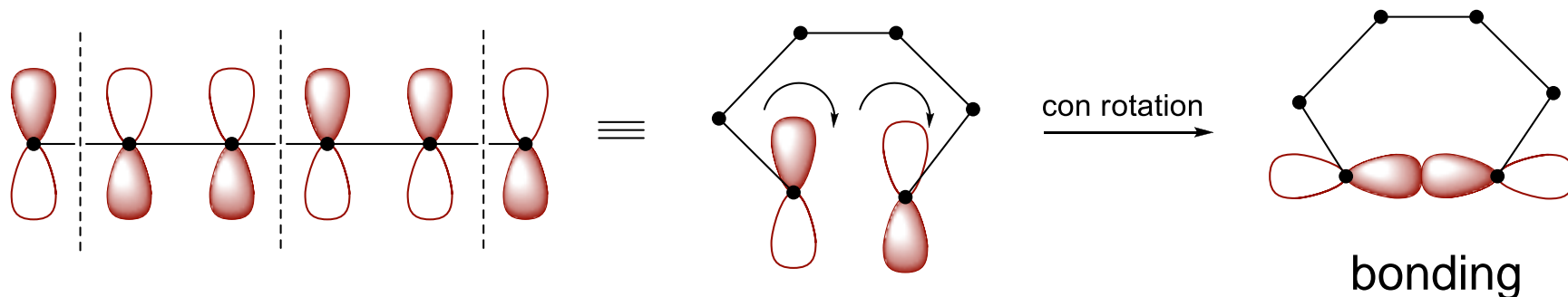
# FMO Explanation – Hexatriene Systems

Hexatriene – 6  $e^-$  system

Thermal reaction - HOMO is  $\psi_3$



Photochemical reaction - HOMO is  $\psi_4$



**Is applicable to any  $4n+2$  system**



# Steps to Understand Stereospecificity

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## Any $4n + 2$ system

### Thermal conditions

- Draw **ground state** HOMO for hexatriene
  - Determine **mode of closure**

### Photochemical conditions

- Draw **excited state** HOMO for hexatriene
  - Determine **mode of closure**

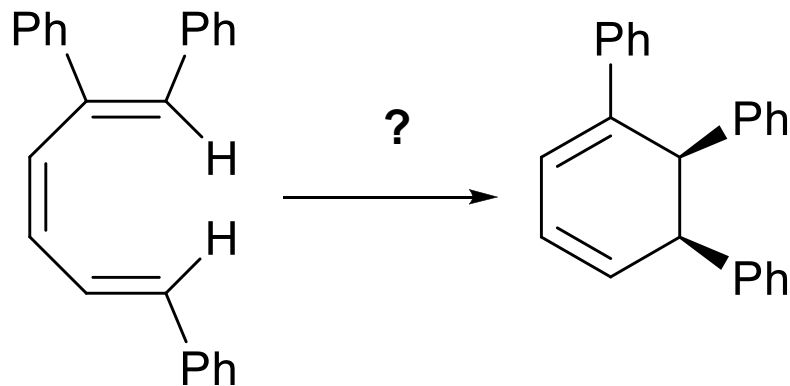
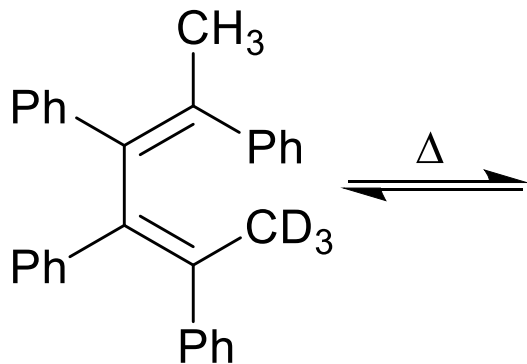
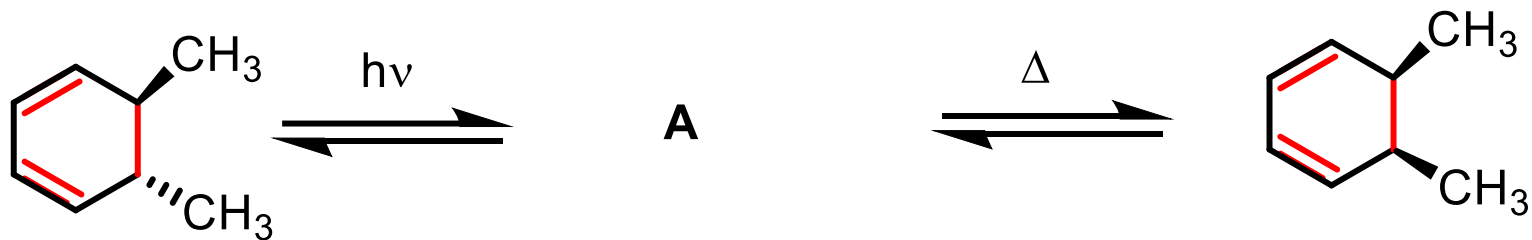
# Woodward-Hoffman Rules

A pericyclic reaction can take place only if the symmetries of the reactant (**R**) MOs are the same as the symmetry of the product (**P**) MO

**Based on electron count the same rules apply for the ring opening**

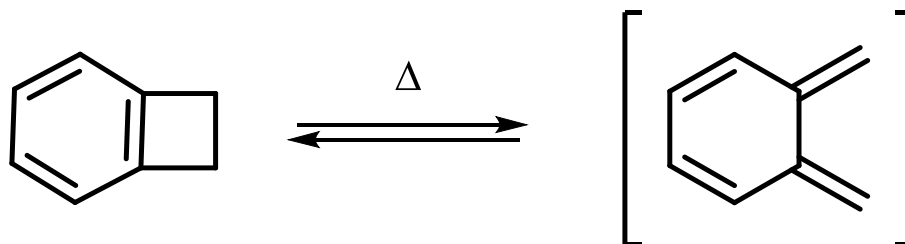
System (no of electrons)	Mode of rotation	Allowedness of the reaction	
		Thermal	Photochemical
$4n$	con	allowed	forbidden
$4n$	dis	forbidden	allowed
$4n+2$	con	forbidden	allowed
$4n+2$	dis	allowed	forbidden

# Activity

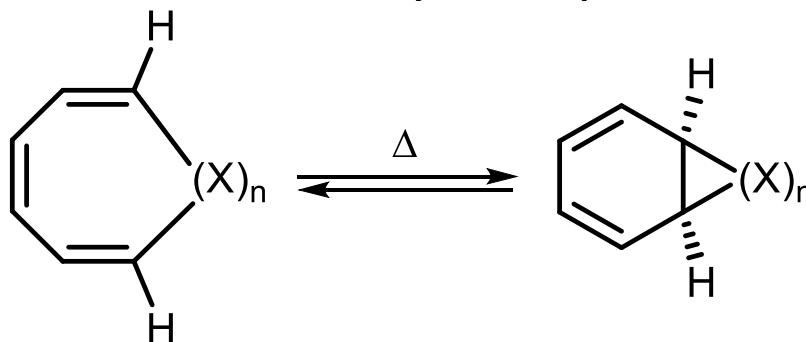


# More Examples

Thermal isomerization of benzocyclobutene to *ortho* quinodimethane



Thermal valence isomerization of cycloheptatriene-norcaradiene



Interesting examples



**Selectivity in rotation – called as torquoselectivity**

# More Practice Problems

