

Quantum harmonic Oscillator

S. Shankaranarayanan

Department of Physics, IIT Bombay

Week 6, Lecture # 1

PH 112, Spring 2023

Learning objectives

By the end of this part, you will be able to:

- 1 Describe the model of the quantum harmonic oscillator
- 2 Identify differences between the classical and quantum models of the harmonic oscillator
- 3 Explain physical situations where the classical and the quantum models coincide

Classical Harmonic Oscillator

Simple Harmonic Oscillator (SHO)

- SHO is a system or a particle that under goes harmonic motion about an equilibrium point.

Example: Mass-spring system

- The restoring force is

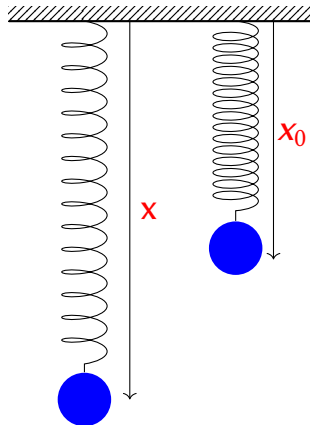
$$m \frac{d^2 x}{dt^2} = F = -k x$$

- Solution to Newton's equation is

$$x(t) = A \sin(\omega t + \phi_0) \quad \omega^2 = \frac{k}{m}$$

- Work done by the restoring force is

$$\Delta W = \int_x^{x_0} F \cdot x = -\frac{1}{2} k (x^2 - x_0^2)$$



k is spring constant
 m is mass of the ball
spring is massless

Simple Harmonic Oscillator (SHO)

- Solution to Newton's equation is

$$x(t) = A \sin(\omega t + \phi_0) \quad \omega^2 = \frac{k}{m}$$

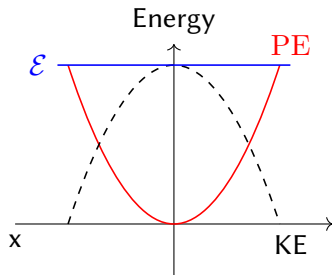
- The potential energy of the oscillator is

$$V(x) = -\Delta W = \frac{1}{2}k(x^2 - x_0^2)$$

- The total energy of the oscillator is:

$$\mathcal{E} = \underbrace{\frac{1}{2}mv^2(t)}_{\text{KE}} + \underbrace{\frac{1}{2}kx^2(t)}_{\text{PE}} = \frac{1}{2}kA^2$$

a constant.



Velocity any time is

$$(1) \quad v(t) = A\omega \cos(\omega t + \phi_0)$$

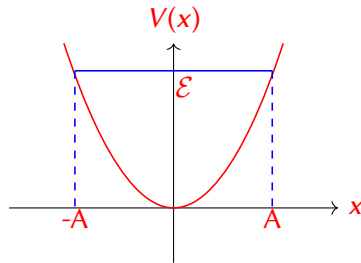
Time period is

$$T = \frac{2\pi}{\omega}$$

Classical HO: Properties

► Quantum

- $v_{\pm A} = 0$ and Potential Energy $PE_{\pm A} = \mathcal{E}$.
- The motion of the HO is confined to the region where $KE \geq 0$. CHO can **never be** found beyond the turning points.
- The energy of the CHO changes in a continuous way.
- HO is at rest in its equilibrium position: $PE = 0$



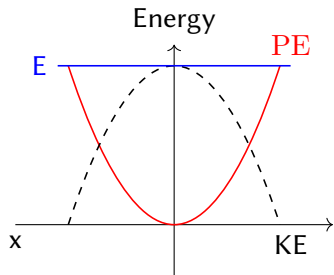
$x = 0$ is equilibrium point;
 $x = \pm A$ are turning points

Classical HO: Properties

► Quantum

- When an object oscillates, with any value E , it spends the longest time near $x = \pm A$.
- At $x = \pm A$, object slows down and reverses its direction of motion. Hence, the probability to find CHO is largest at $x = \pm A$ and lowest at $x = 0$.
- To see this, let us calculate the classical probability to find the object in the interval x and $x + dx$:

$$\begin{aligned} P_{\text{Cl}}(x)dx &= 2 \times \frac{dt}{T} = \frac{\omega}{\pi} \frac{dx}{v(t)} \\ &= \frac{1}{\pi} \frac{1}{\sqrt{A^2 - x^2(t)}} dx \end{aligned} \quad (2)$$



$$\begin{aligned} v(t) &= A\omega \cos(\omega t + \phi_0) \\ &= A\omega \sqrt{1 - \sin^2(\omega t + \phi_0)} \\ &= \omega \sqrt{A^2 - x^2(t)} \end{aligned}$$

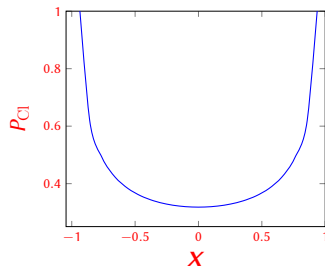
Classical HO: Properties

► Quantum

- To see this, let us calculate the classical probability to find the object in the interval x and $x + dx$:

$$\begin{aligned} P_{\text{Cl}}(x)dx &= 2 \times \frac{dt}{T} = \frac{\omega}{\pi} \frac{dx}{v(t)} \\ &= \frac{1}{\pi} \frac{1}{\sqrt{A^2 - x^2(t)}} dx \end{aligned} \quad (2)$$

- Factor 2 appears above because HO is in the region x and $x + dx$ twice in one time-period T .



Importance of HO in Physics

Harmonic oscillator in Physics

Harmonic oscillator is one of the favourite systems a physicist uses to understand many complex phenomenon. There are very good reasons for this:

- 1 Oscillations are found throughout in the nature. Examples: Water waves, Vibration of a string, Vibrations of crystals, Light.

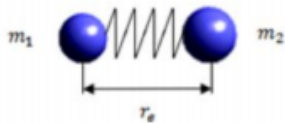
HO serves as a prototype in the mathematical treatment of such diverse systems.

- 2 Close to the equilibrium point, most potentials look like HO:

$$V(x) = V(x_0) + \cancel{\frac{dV(x)}{dx}} \bigg|_{x_0} (x - x_0) + \frac{1}{2} \frac{d^2 V(x)}{dx^2} \bigg|_{x_0} (x - x_0)^2 + \dots$$
$$\Rightarrow V(x) - V(x_0) = \frac{1}{2} k (x - x_0)^2$$

Example 1: Vibrations of a diatomic molecule

- Consider a molecule consisting of two atoms.



A



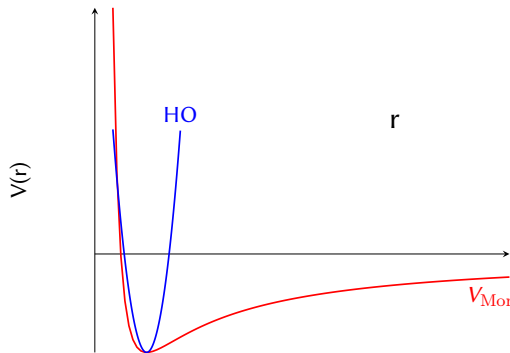
B

Example 1: Vibrations of a diatomic molecule

- Atoms attract each other via a potential — Morse potential

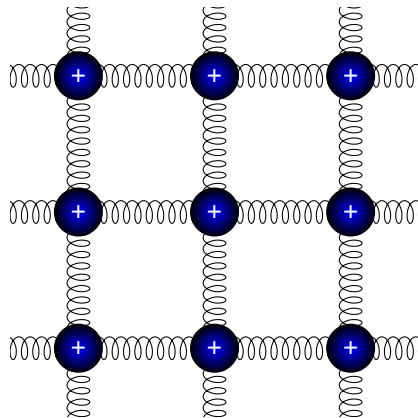
$$V(r) = D_e \left([1 - e^{-a(r-r_e)}]^2 - 1 \right)$$

- Atoms stay close to the equilibrium. Can be approximated by HO potential.



Example 2: Vibrations in solids

- A solid can be thought of a spheres connected by springs in all the 3 directions.
- Along each direction, motion can be analyzed in terms **normal modes**
- Each mode can be treated as a set of independent oscillator.
- Quantization of the crystal oscillators leads to the concept of **phonons** (sound quanta), which are analogous to photons.



Quantum Harmonic Oscillator

Hamiltonian and Schroedinger equation

- Hamiltonian operator is

$$\hat{H} = - \underbrace{\frac{\hbar^2}{2m} \frac{d^2}{dx^2}}_{\hat{T}(x)} + \underbrace{\frac{1}{2} m \omega^2 x^2}_{V(x)}$$

- Two important features about the potential:

- 1 $V(x)$ increases without limit as $x \rightarrow \pm\infty$
- 2 $V(x)$ is a symmetric potential.

- Time-independent Schroedinger equation

(E are energy eigenvalues)

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + \frac{1}{2} m \omega^2 x^2 \psi = E \psi \quad (3)$$

- Divide the above equation by $\hbar\omega/2$ and define:

$$\epsilon = \frac{2E}{\hbar\omega}; \quad \beta^2 = \frac{m\omega}{\hbar}; \quad y = x\beta \quad \Rightarrow \quad \frac{d^2\psi}{dy^2} + (\epsilon - y^2) \psi = 0 \quad (4)$$

(Check the dimensions of ϵ and y)

Hamiltonian and Schroedinger equation

- Two important features about the potential:

- 1 $V(x)$ increases without limit as $x \rightarrow \pm\infty$
- 2 $V(x)$ is a symmetric potential.

- Time-independent Schroedinger equation

(E are energy eigenvalues)

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + \frac{1}{2}m\omega^2 x^2 \psi = E\psi \quad (3)$$

- Divide the above equation by $\hbar\omega/2$ and define:

$$\epsilon = \frac{2E}{\hbar\omega}; \quad \beta^2 = \frac{m\omega}{\hbar}; \quad y = x\beta \quad \Rightarrow \quad \frac{d^2\psi}{dy^2} + (\epsilon - y^2) \psi = 0 \quad (4)$$

Strategy is to solve $\psi(y)$ and then replace $y \rightarrow x\beta$.

Heuristic way to find ψ

$$\frac{d^2\psi}{dy^2} + \epsilon\psi - \underbrace{y^2\psi}_{\text{need to keep } y^2\psi \text{ finite}} = 0 \quad (5)$$

- $e^{\pm iy}$: Do not fall off for large $|y|$.
Need a function that falls off faster than $1/y^2$
- e^{-y} : Only works for positive y (or x).
- $e^{-|y|}$: Derivative is not smooth at 0. However, ψ and its derivative should be smooth everywhere in x .

Heuristic way to find ψ

$$\frac{d^2\psi}{dy^2} + \epsilon\psi - \underbrace{y^2\psi}_{\text{need to keep } y^2\psi \text{ finite}} = 0 \quad (5)$$

- **Gaussian:** It is valid for all values of y and is localised near $y = 0$.

$$\psi(y) = Ae^{-\alpha y^2}; \quad \frac{d\psi}{dy} = -2A\alpha ye^{-\alpha y^2}; \quad \frac{d^2\psi}{dy^2} = A(4\alpha^2 y^2 - 2\alpha) e^{-\alpha y^2} \quad (6)$$

- Substituting in Eq. (5), we have:

$$A[4\alpha^2 y^2 - 2\alpha + \epsilon_0 - y^2] e^{-\alpha y^2} = 0 \implies \alpha = \frac{1}{2}; \quad \epsilon_0 = 1 \quad (7)$$

Heuristic way to find ψ

- **Gaussian:** It is valid for all values of y and is localised near $y = 0$.

$$\psi(y) = Ae^{-\alpha y^2} ; \frac{d\psi}{dy} = -2A\alpha ye^{-\alpha y^2} ; \frac{d^2\psi}{dy^2} = A(4\alpha^2 y^2 - 2\alpha) e^{-\alpha y^2}$$

- **Solution is**
$$\psi_0(x) = C_0 \exp\left(-\frac{\beta^2 x^2}{2}\right) ; E_0 = \frac{1}{2}\hbar\omega \quad (8)$$

- Normalization condition fixes C_0 .

$$\int_{-\infty}^{\infty} |\psi(x)|^2 dx = 1 \implies C_0 = \sqrt[4]{\frac{\beta^2}{\pi}} \quad (9)$$

Gaussian wavepacket centred at $x = 0$, but no motion

Properties of the solution

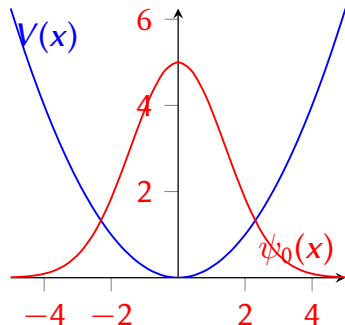
- Energy of the oscillator is not zero. It is a positive and depends on frequency of the oscillator.
- From Eq. 1, **classical turning points** are

$$\mathcal{E} = \frac{1}{2} k x_{\max}^2 \Rightarrow x_{\max} = \sqrt{\frac{2\mathcal{E}}{k}} = \sqrt{\frac{2\mathcal{E}}{m\omega^2}}$$

- Substituting E_0 from Eq. (8), we have:

$$x_{\max} = \sqrt{\frac{\hbar}{m\omega}} = \frac{1}{\beta}$$

- However, for $x > x_{\max}$, $\psi_0(x) \neq 0$!

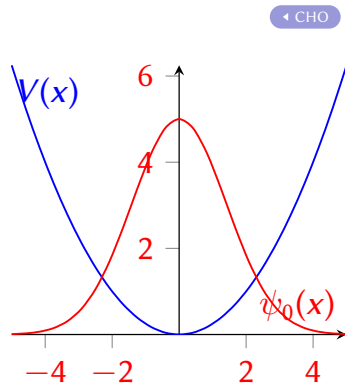


Properties of the solution

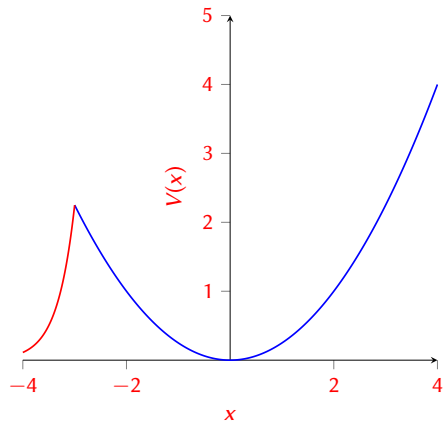
Key differences between CHO and QHO are:

- 1 CHO can not penetrate into the forbidden region $x > x_{\max}$.
QHO does penetrate into the classically forbidden regions.
- 2 In CHO, P_{Cl} was maximum at turning points.

Quantum physics predicts that the particle is most likely to be found in the center.



Interesting consequence



- Consider a potential like in the figure. Potential stops rising and falls back to zero at some finite distance $A > x_{\max}$. Assume $x_{\max} = 2$
- CHO will never "know" and stay confined in the region $-x_{\max} \leq x \leq x_{\max}$ forever!
- QHO can "leak out" or tunnel through and can become a free particle within a finite time.

Example: α -decay and Fission process

Are there more eigenstates and eigenvalues?

- $\psi_0(x)$ in Eq. (8) is one eigenstate and E_0 is corresponding eigenvalue.
- Let us try

$$\psi_1(y) = y \psi_0(y); \quad \frac{d\psi_1}{dy} = \psi_0(y) + y \frac{d\psi_0}{dy}; \quad \frac{d^2\psi_1}{dy^2} = 2 \frac{d\psi_0}{dy} + y \frac{d^2\psi_0}{dy^2}$$

- Substituting these in Eq. (5), we get:

$$2 \frac{d\psi_0}{dy} + y \left(\frac{d^2}{dy^2} - y^2 \right) \psi_0 = -y \epsilon_1 \psi_0 \Rightarrow -y \psi_0 - \frac{1}{2} \hbar \omega y \psi_0 = -y \epsilon_1 \psi_0 \quad (10)$$

where we have used Eq. (6) to substitute $d\psi_0/dy$ and used (7) to replace the term in the bracket.

- Simplifying the above expression, we have

$$E_1 = \frac{3}{2} \hbar \omega; \quad \psi_1(x) = \sqrt{\frac{4\beta^2}{\pi}} (\beta x) \exp \left(-\frac{\beta^2 x^2}{2} \right) \quad (11)$$

Difference between ψ_0 and ψ_1

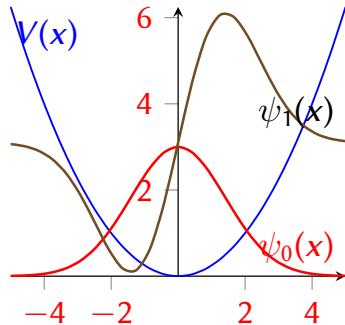
Energy eigenvalues are

$$E_0 = \frac{1}{2}\hbar\omega; \quad E_1 = \frac{3}{2}\hbar\omega \quad (12)$$

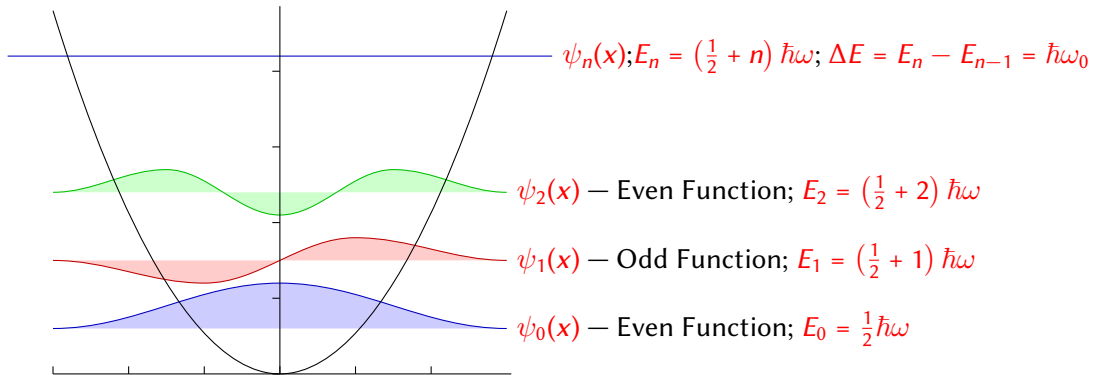
Eigen functions are

$$\psi_0(x) = C_0 \exp\left(-\frac{m\omega}{2\hbar}x^2\right); \quad \psi_1(x) = C_1 x \exp\left(-\frac{m\omega}{2\hbar}x^2\right) \quad (13)$$

- 1 $\psi_0(-x) = \psi_0(x)$ (symmetric w.r.t x)
 $\psi_1(-x) = -\psi_1(x)$ (anti-symmetric w.r.t x)
- 2 $E_1 > E_0$
- 3 In ψ_0 , the particle is **most likely** to be found in the center.
In ψ_1 , the particle is **most likely** to be found away from the center



Other eigenfunctions

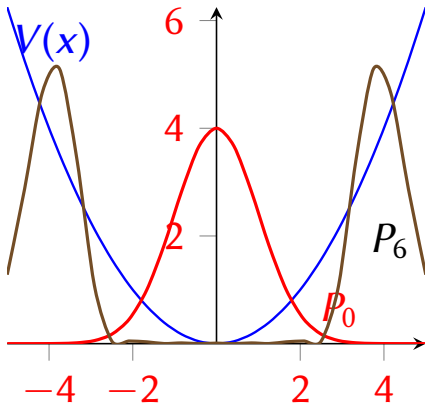


Other eigenfunctions

n	E_n	$\psi_n(x)$
0	$\frac{1}{2}\hbar\omega_0$	$\left(\frac{\beta^2}{\pi}\right)^{1/4} e^{-\beta^2 x^2/2}$
1	$\frac{3}{2}\hbar\omega_0$	$\left(\frac{\beta^2}{\pi}\right)^{1/4} \sqrt{\frac{1}{2}} 2\beta x e^{-\beta^2 x^2/2}$
2	$\frac{5}{2}\hbar\omega_0$	$\left(\frac{\beta^2}{\pi}\right)^{1/4} \sqrt{\frac{1}{8}} (4\beta^2 x^2 - 2) e^{-\beta^2 x^2/2}$
3	$\frac{7}{2}\hbar\omega_0$	$\left(\frac{\beta^2}{\pi}\right)^{1/4} \sqrt{\frac{1}{48}} (8\beta^3 x^3 - 12\beta x) e^{-\beta^2 x^2/2}$
4	$\frac{9}{2}\hbar\omega_0$	$\left(\frac{\beta^2}{\pi}\right)^{1/4} \sqrt{\frac{1}{384}} (16\beta^4 x^4 - 48\beta^2 x^2 + 12) e^{-\beta^2 x^2/2}$

(14)

Comparison with CHO



- Quantum probability density distributions change in character for excited states, becoming more like the classical distribution for higher n .
- The classical probability density distribution corresponding to $n = 6$ quantum state is a reasonably good approximation of the quantum probability distribution for a quantum oscillator in this excited state. This agreement becomes increasingly better for highly excited states

$$\frac{\Delta E}{E_n} = \frac{\hbar\omega_0}{(n + 1/2)\hbar\omega_0} \rightarrow 0 \quad n \rightarrow \infty$$

For large quantum numbers, energy levels become so close that it can be treated as continuum.

Δx and Δp for the Ground state

- GS wavefunction of HO is $\psi_0(x) = C_0 e^{-\beta^2 x^2/2}$

- Uncertainty in position and momentum are given by:

$$\Delta x = \sqrt{\langle x^2 \rangle - \langle x \rangle^2} \quad \Delta p = \sqrt{\langle p^2 \rangle - \langle p \rangle^2}$$

- The expectation in position and momentum vanishes!

$$\langle x \rangle = \int_{-\infty}^{+\infty} x |\psi_0(x)|^2 dx = C_0^2 \int_{-\infty}^{+\infty} x e^{-\beta^2 x^2} dx = 0$$

$$\langle p \rangle = -i\hbar \int_{-\infty}^{+\infty} \psi_0^*(x) \frac{d\psi_0(x)}{dx} dx \propto C_0^2 \int_{-\infty}^{+\infty} x e^{-\beta^2 x^2} dx = 0$$

- $\langle x^2 \rangle$ and $\langle p^2 \rangle$ are

$$\langle x^2 \rangle = \int_{-\infty}^{+\infty} x^2 |\psi(x)|^2 dx = \frac{\hbar}{2m\omega} \quad \langle p^2 \rangle = -\hbar^2 \int_{-\infty}^{+\infty} \psi^*(x) \frac{d^2\psi(x)}{dx^2} dx = \frac{m\hbar\omega}{2} \quad \Delta x \Delta p = \hbar/2$$

Why tunneling phenomena can occur?

- Due to the continuity requirement of the wave function at the boundaries when solving time-independent Schroedinger equation.
- The wave function cannot **die off** suddenly at the boundaries of a finite potential well or HO

The wave function can only diminish in an exponential manner which then allow the wave function to extends slightly beyond the boundaries.

- The quantum tunneling effect is a manifestation of the wave nature of particle, which is governed by the Schroedinger equation.
- In classical physics, particles are just particles, hence never display tunneling effect.

Key Take-aways

- QHO is a model built in analogy with the model of a CHO. It models the behavior of many physical systems, such as molecular vibrations or Lattice vibrations.
- The allowed energies of a quantum oscillator are discrete and evenly spaced. The energy spacing is equal to Planck's energy quantum.
- The ground state energy is larger than zero. Unlike CHO, QHO is never at rest, even at the bottom of a potential well, and undergoes quantum fluctuations.
- The stationary states (states of definite energy) have non-zero values also in regions beyond classical turning points.
- When in the ground state, QHO is most likely to be found around the position of the minimum of the potential well, which is the least-likely position for CHO. For high quantum numbers, the motion of QHO becomes more similar to the motion of CHO.