

usage: teamwork for week 5

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```
clear all; close all; clc
```

1. 证明方程  $e^x + 10x - 2 = 0$  存在唯一实根，用二分法求此根，要求误差不超过  $\frac{1}{2} \times 10^{-2}$ 。

解：

设  $f(x) = e^x + 10x - 2$ ，使用matlab符号运算求导：

```
syms x
f_1(x) = exp(x) + 10*x - 2
```

```
f_1(x) = 10*x + e^x - 2
```

```
df(x) = diff(f_1(x))% the first-order derivative of f(x)
```

```
df(x) = e^x + 10
```

```
f_1 = @(x)(exp(x) + 10*x - 2)
```

```
f_1 = 包含以下值的 function_handle:
      @(x)(exp(x)+10*x-2)
```

```
greater_than_0 = f_1(1)% f(1) greater than 0
```

```
greater_than_0 =
    10.718281828459045
```

```
less_than_0 = f_1(0)% f(0) less than 0
```

```
less_than_0 =
    -1
```

得到  $\frac{df(x)}{dx} = e^x + 10$ ，易得  $(\forall x)(\frac{df(x)}{dx} > 0)$ ，即  $f(x)$  在整个实数上单调增，且  $f(x)$  在整个实数上连续，且由于  $f(1) > 0, f(0) < 0$ ，满足零点存在定理，故函数  $f(x)$  存在唯一零点，即方程  $e^x + 10x - 2 = 0$  存在唯一实根。

```
[x_1, ~] = dichotomy(f_1, 1, 0, 0.5e-2)
```

```
dichotomy:
the root of f(x)=0 is x = 0.089844, the number of iterations is 7
x_1 =
    0.089843750000000
```

由二分法得到近似解  $x \approx 0.0898$ 。

2. 用定点迭代法求解方程  $x = e^{-x}$  在  $x = 0.5$  附近的一个根，要求：事先确定有根区间并判断迭代公式的收敛性，误差上限为  $\epsilon = 10^{-5}$ 。

解：

```
sample = 0:0.01:1
```

```
sample = 1×101  
0 0.010000000000000 0.020000000000000 0.030000000000000 ...
```

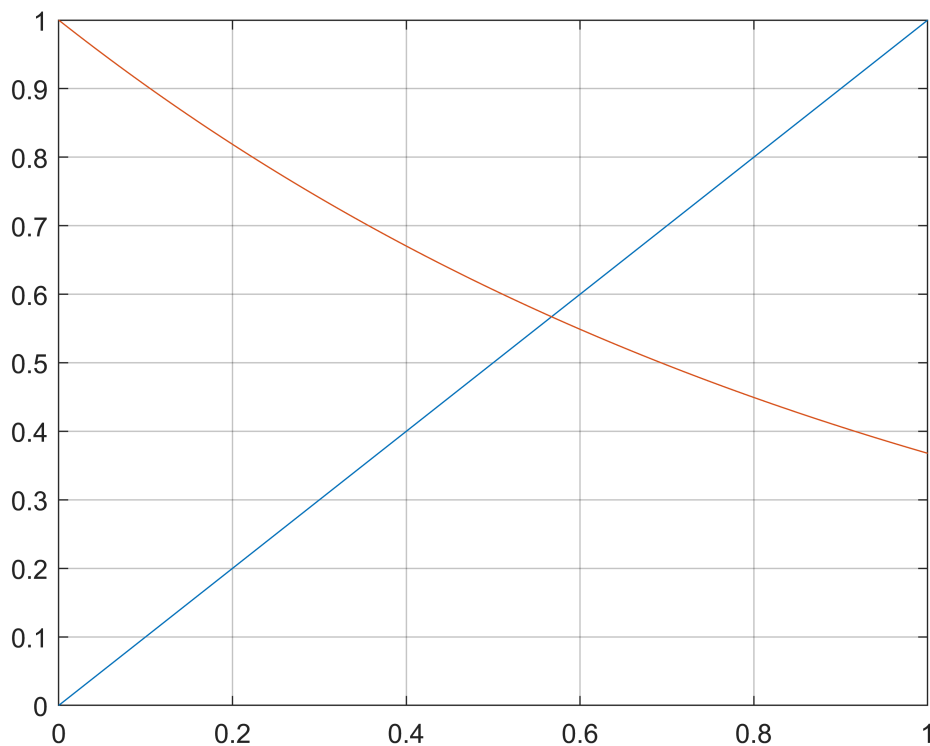
```
f_2_1 = @(x)x
```

```
f_2_1 = 包含以下值的 function_handle:  
@(x)x
```

```
f_2_2 = @(x)(exp(-x))
```

```
f_2_2 = 包含以下值的 function_handle:  
@(x)(exp(-x))
```

```
plot(sample, f_2_1(sample), sample, f_2_2(sample))  
grid on
```



设  $g(x) = e^{-x}$ ，求导得到  $|g'(x)| = |-e^{-x}| = e^{-x}$ ，易得当  $x > 0$  时，满足  $|g'(x)| < 1$ ，从图中容易看出，根在  $(0, 1)$  的区间内，且满足定点迭代公式的收敛性，故取  $x = 0.5$  为初始迭代点  $x_0$ 。

```
format long  
[x_2, ~] = fixedPoint(f_2_2, 0.5, 1e-5, 100000)
```

```
fixedPoint:
the root of g(x)=x is x = 0.567148, the number of iterations is 17
x_2 =
    0.567147746330625
```

由定点迭代法得到近似解  $x \approx 0.56714$ 。

3. 用定点迭代法和定点迭代法的Aitken(埃特金)加速方法，计算  $x = \ln(x+2)$  在  $x = 0.5$  附近的近似根，要求  $|x^* - x_k| < 10^{-4}$ ，并比较收敛的快慢。

解：

```
f_3 = @(x)log(x+2)
```

```
f_3 = 包含以下值的 function_handle:
    @(x)log(x+2)
```

```
[x_3_1, flag_3_1] = fixedPoint(f_3, 0.5, 1e-4, 10000)
```

```
fixedPoint:
the root of g(x)=x is x = 1.146114, the number of iterations is 8
x_3_1 =
    1.146113571453652
flag_3_1 =
     8
```

```
[x_3_2, flag_3_2] = fixedPointWithAitken(f_3, 0.5, 1e-4, 10000)
```

```
fixedPoint with Aitken:
the root of g(x)=x is x = 1.146198, the number of iterations is 6
x_3_2 =
    1.146198178947666
flag_3_2 =
     6
```

用定点迭代法和Aitken加速方法都得到近似解  $x \approx 1.1461$ ，定点迭代法经过8次迭代得到结果，而Aitken加速方法仅经过6次迭代就得到了结果，Aitken加速方法收敛速度比普通的定点迭代法更快。

4. 割线法是跟牛顿法相似的数值方法，但它需要两个接近真实根的初值  $x_0$  和  $x_1$ ，由此得到函数  $f(x)$  上两个点  $(x_0, y_0 = f(x_0))$  和  $(x_1, y_1 = f(x_1))$ ，连接这两点得到一条直线，称之为割线：

$$y - y_1 = \frac{y_1 - y_0}{x_1 - x_0}(x - x_1)$$

我们用这条割线近似函数本身，因此设  $y = 0$ ，由上式解出割线与  $x$ -轴的截点，作为下次迭代的初值。这个过程一直进行下去，利用如下迭代关系：

$$x_{n+1} = x_n - \frac{x_n - x_{n-1}}{y_n - y_{n-1}} y_n$$

分别用割线法和牛顿法求解下列方程的根，比较两者的收敛速度：

(1)  $xe^x - 1 = 0$ ;

(2)  $\ln x + x - 2 = 0$ .

解:

(1) 设  $f_1(x) = xe^x - 1$ , 易得  $f'_1(x) = e^x + xe^x$

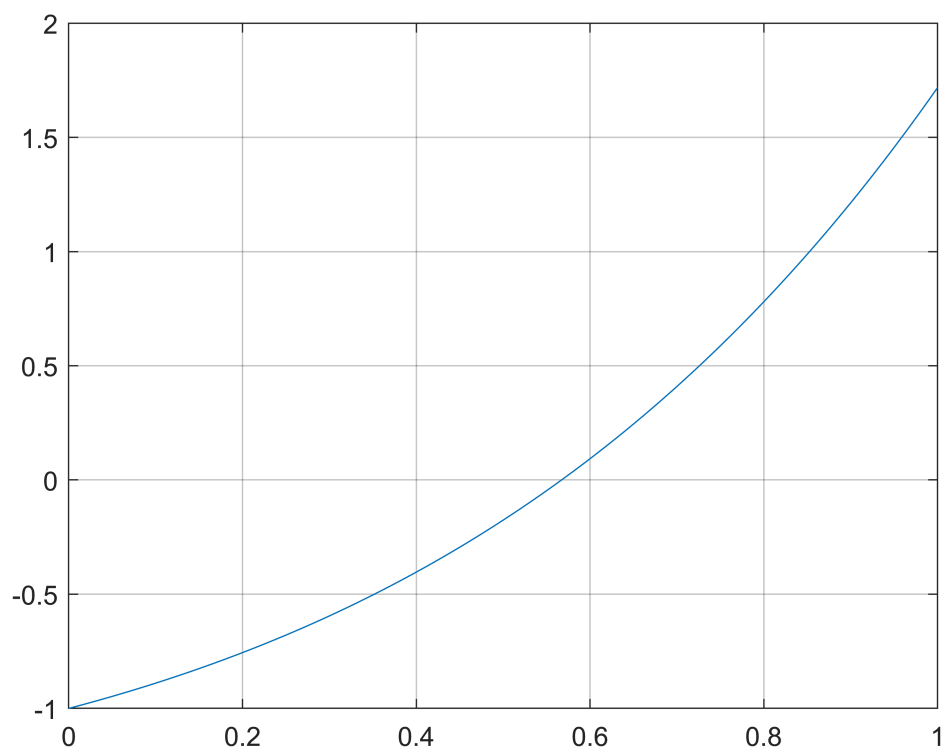
```
f_4_1 = @(x)(x.*exp(x) - 1)
```

f\_4\_1 = 包含以下值的 *function\_handle*:  
`@(x)(x.*exp(x)-1)`

```
df_4_1 = @(x)(exp(x) + x.*exp(x))
```

df\_4\_1 = 包含以下值的 *function\_handle*:  
`@(x)(exp(x)+x.*exp(x))`

```
sample1 = 0:0.01:1;  
plot(sample1, f_4_1(sample1))  
grid on
```



由图解法可以得到根在区间  $(0, 1)$  内, 取 0.5 为牛顿-拉夫森方法的初始估计值,  $x_0 = 0.5, x_1 = 0.6$  为割线法的两个初值。

\*\*\*\*\*

我们组写了两种牛顿-拉夫森方法，区别在于一种需要将导数作为参数传入，另一种在函数内部计算导数，前者考虑到存在函数无法使用`matlabFunction`函数转换为可计算函数，故给出参数传入接口；后者对于一些复杂函数方便了牛顿法的使用。

在之后的`Halley`函数同理，我们也分为了需要提供导数和内置导数计算两个版本。

\*\*\*\*\*

```
[x_4_1_Netwon, flag_4_1_Netwon] = Netwon(f_4_1, df_4_1, 0.5, 1e-5, 10000, 1, 0)
```

```
Newton's method:
the root of f(x)=0 is x = 0.567143, the number of iterations is 4
x_4_1_Netwon =
    0.567143290409784
flag_4_1_Netwon =
     4
```

```
[x_4_1_Netwon_without_df, flag_4_1_Netwon_without_df] = Netwon_without_df(f_4_1, 0.5, 1e-5, 10000, 1, 0)
```

```
Newton's method without df:
the root of f(x)=0 is x = 0.567143, the number of iterations is 4
x_4_1_Netwon_without_df =
    0.567143290409784
flag_4_1_Netwon_without_df =
     4
```

```
[x_4_1_secant, flag_4_1_secant] = secant(f_4_1, 0.5, 0.6, 1e-5, 10000)
```

```
secant method:
the root of f(x)=0 is x = 0.567143, the number of iterations is 4
x_4_1_secant =
    0.567143290406878
flag_4_1_secant =
     4
```

对题（1） $xe^x - 1 = 0$ ，牛顿-拉夫森方法和割线法均得到近似解 $x \approx 0.56714$ ，而且两者均为<sup>4</sup>次迭代，但事实上割线法是否收敛以及收敛速度也取决于两个初值的选择，无法直接判断两种方法的收敛速度。

(2) 设 $f_2(x) = \ln(x) + x - 2$ ，易得 $f_2'(x) = \frac{1}{x} + 1$

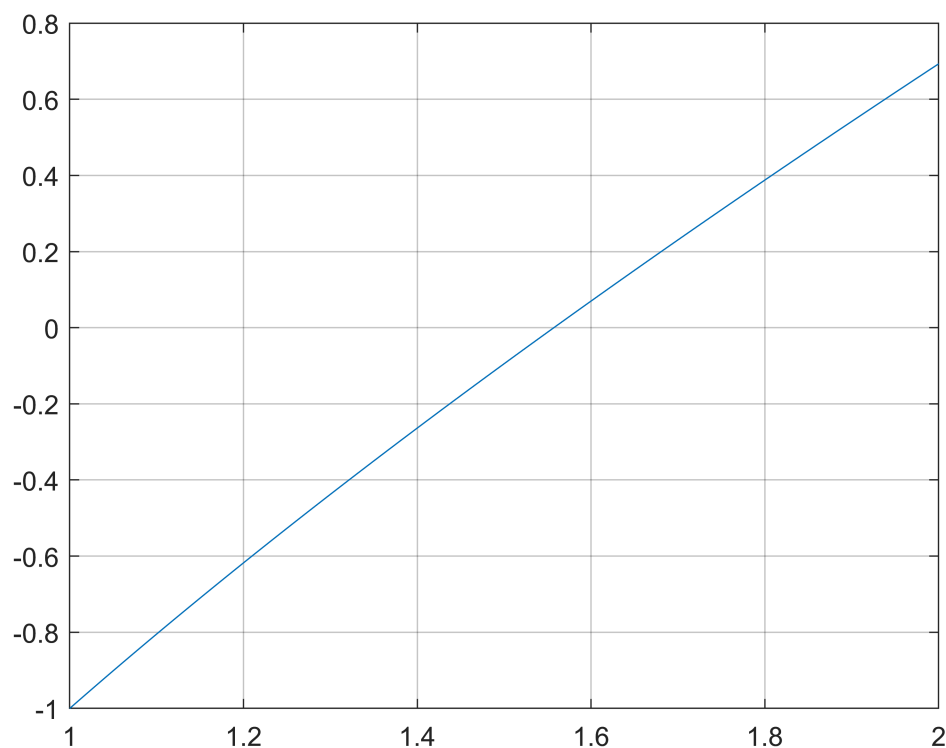
```
f_4_2 = @(x)(log(x)+x-2)
```

```
f_4_2 = 包含以下值的 function_handle:
    @(x)(log(x)+x-2)
```

```
df_4_2 = @(x)(ones(size(x))./x+1)
```

```
df_4_2 = 包含以下值的 function_handle:
    @(x)(ones(size(x))./x+1)
```

```
sample2 = 1:0.01:2;
plot(sample2, f_4_2(sample2))
grid on
```



由图解法可以得到根在区间(1,2)内, 取1.5为牛顿-拉夫森方法的初始估计值,

$x_0 = 1.5, x_1 = 1.6$ 为割线法的两个初值。

```
[x_4_2_Netwon, flag_4_2_Netwon] = Netwon(f_4_2, df_4_2, 1.5, 1e-5, 10000, 2, 1)
```

```
Newton's method:
the root of f(x)=0 is x = 1.557146, the number of iterations is 3
x_4_2_Netwon =
    1.557145598997611
flag_4_2_Netwon =
     3
```

```
[x_4_2_Netwon_without_df, flag_4_2_Netwon_without_df] = Netwon_without_df(f_4_2, 1.5, 1e-5, 10000, 2, 1)
```

```
Newton's method without df:
the root of f(x)=0 is x = 1.557146, the number of iterations is 3
x_4_2_Netwon_without_df =
    1.557145598997611
flag_4_2_Netwon_without_df =
     3
```

```
[x_4_2_secant, flag_4_2_secant] = secant(f_4_2, 1.5, 1.6, 1e-5, 10000)
```

```
secant method:
the root of f(x)=0 is x = 1.557146, the number of iterations is 3
x_4_2_secant =
    1.557145599061281
flag_4_2_secant =
     3
```

对题 (2)  $\ln x + x - 2 = 0$ , 牛顿-拉夫森方法和割线法均得到近似解  $x \approx 1.55714$ , 而且两者均为3次迭代。

5. **Halley**方法是求解非线性方程的一个数值方法, 它采用的递推公式是

$$x_{n+1} = x_n - \frac{2f(x_n)f'(x_n)}{2(f'(x_n))^2 - f(x_n)f''(x_n)}$$

(1) 编写程序实现**Halley**算法, 并求解  $f(x) = 5x^7 + 2x - 1$  和  $g(x) = 1/x^3 - 10$ .

(2) 请根据你的结果判断**Halley**方法的收敛速度是否比牛顿方法更快? **Halley**方法是线性收敛, 二次收敛, 还是三次收敛?

解:

(1) 求解  $f(x) = 5x^7 + 2x - 1$ , 易得  $f'(x) = 35x^6 + 2$ ,  $f''(x) = 210x^5$

```
f_5_1 = @(x)(5*x.^7 + 2*x - 1)
```

```
f_5_1 = 包含以下值的 function_handle:  
@(x)(5*x.^7+2*x-1)
```

```
df_5_1 = @(x)(35*x^6+2)
```

```
df_5_1 = 包含以下值的 function_handle:  
@(x)(35*x^6+2)
```

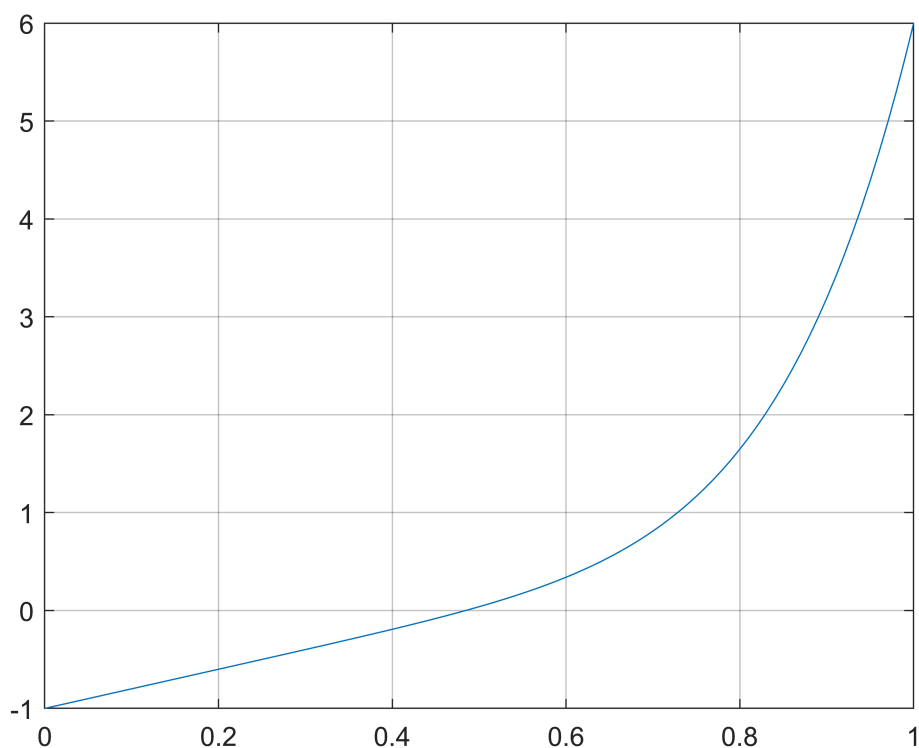
```
ddf_5_1 = @(x)(210*x^5)
```

```
ddf_5_1 = 包含以下值的 function_handle:  
@(x)(210*x^5)
```

```
sample5_1 = 0:0.01:1
```

```
sample5_1 = 1x101  
0 0.0100000000000000 0.0200000000000000 0.0300000000000000 ...
```

```
plot(sample5_1, f_5_1(sample5_1))  
grid on
```



由图解法可以得到根在区间 $(0, 1)$ 内，取0.4为Halley算法和牛顿-拉夫森法的初始迭代点， $(0, 1)$ 为二分法上下界。

```
[x_5_1_Netwon, flag_5_1_Netwon] = Netwon(f_5_1, df_5_1, 0.4, 1e-5, 10000, 1, 0)
```

```
Newton's method:
the root of f(x)=0 is x = 0.484363, the number of iterations is 4
x_5_1_Netwon =
    0.484363490583416
flag_5_1_Netwon =
    4
```

```
[x_5_1_Halley, flag_5_1_Halley] = Halley(f_5_1, df_5_1, ddf_5_1, 0.4, 1e-5, 10000, 1, 0)
```

```
Halley method:
the root of f(x)=0 is x = 0.484363, the number of iterations is 3
x_5_1_Halley =
    0.484363490583416
flag_5_1_Halley =
    3
```

```
[x_5_1_Halley_without_df_ddf, flag_5_1_Halley_without_df_ddf] = Halley_without_df_ddf(f_5_1, 0,
```

```
Halley method without df and ddf:
the root of f(x)=0 is x = 0.484363, the number of iterations is 3
x_5_1_Halley_without_df_ddf =
    0.484363490583416
flag_5_1_Halley_without_df_ddf =
    3
```



对  $5x^7 + 2x - 1 = 0$ ，Halley算法和牛顿-拉夫森方法均得到近似解  $x \approx 0.48436$ ，Halley算法为<sup>3</sup>次迭代，牛顿-拉夫森方法为<sup>4</sup>次迭代。

求解  $g(x) = 1/x^3 - 10$ ，易得  $g'(x) = -\frac{3}{x^4}$ ， $g''(x) = \frac{12}{x^5}$

```
f_5_2 = @(x)(ones(size(x))./(x.^3) - 10)
```

```
f_5_2 = 包含以下值的 function_handle:  
    @(x)(ones(size(x))./(x.^3)-10)
```

```
df_5_2 = @(x)(-3*ones(size(x))./(x.^4))
```

```
df_5_2 = 包含以下值的 function_handle:  
    @(x)(-3*ones(size(x))./(x.^4))
```

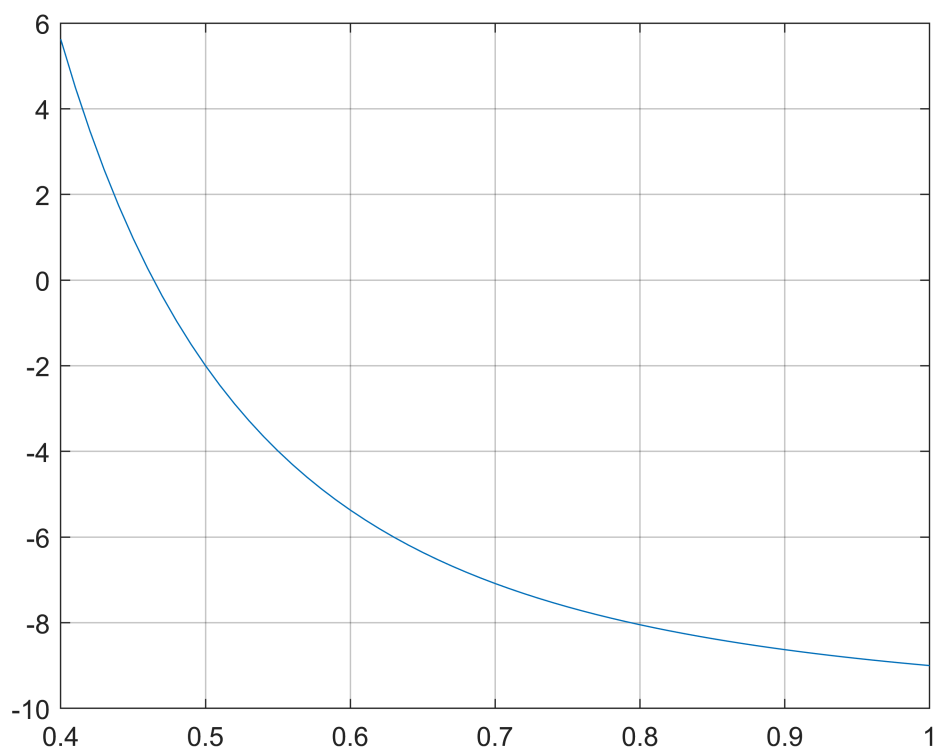
```
ddf_5_2 = @(x)(12*ones(size(x))./(x.^5))
```

```
ddf_5_2 = 包含以下值的 function_handle:  
    @(x)(12*ones(size(x))./(x.^5))
```

```
sample5_2 = 0.4:0.01:1
```

```
sample5_2 = 1×61  
    0.400000000000000    0.410000000000000    0.420000000000000    0.430000000000000 ...
```

```
plot(sample5_2, f_5_2(sample5_2))  
grid on
```



由图解法可以得到根在区间(0.4,0.5)内，取0.4为Halley算法和牛顿-拉夫森法的初始迭代点，(0.4,0.5)为二分法上下界。

```
[x_5_2_Netwon, flag_5_2_Netwon] = Netwon(f_5_2, df_5_2, 0.4, 1e-5, 10000, 0.5, 0.4)
```

```
Newton's method:
the root of f(x)=0 is x = 0.464159, the number of iterations is 4
x_5_2_Netwon =
    0.464158883244858
flag_5_2_Netwon =
    4
```

```
[x_5_2_Halley, flag_5_2_Halley] = Halley(f_5_2, df_5_2, ddf_5_2, 0.4, 1e-5, 10000, 0.5, 0.4)
```

```
Halley method:
the root of f(x)=0 is x = 0.464159, the number of iterations is 3
x_5_2_Halley =
    0.464158883361278
flag_5_2_Halley =
    3
```

```
[x_5_2_Halley_without_df_ddf, flag_5_2_Halley_without_df_ddf] = Halley_without_df_ddf(f_5_2, 0.4, 1e-5, 10000, 0.5, 0.4)
```

```
Halley method without df and ddf:
the root of f(x)=0 is x = 0.464159, the number of iterations is 3
x_5_2_Halley_without_df_ddf =
    0.464158883361278
flag_5_2_Halley_without_df_ddf =
    3
```

对 $1/x^3 - 10 = 0$ ，Halley算法和牛顿-拉夫森法均得到近似解 $x \approx 0.46415$ ，Halley算法为<sup>3</sup>次迭代，牛顿-拉夫森方法为<sup>4</sup>次迭代。

(2)根据结果明显Halley方法的收敛速度比牛顿方法更快，事实上牛顿方法为二次收敛，而Halley方法是三次收敛。

proof :

$$x_r - \text{the real result of } f(x) = 0, \text{ we have } x_{n+1} = x_n - \frac{2f(x_n)f'(x_n)}{2(f'(x_n))^2 - f(x_n)f''(x_n)} \quad (1)$$

Expand  $f(x_r)$  Taylor to the quadratic term at  $x_n$ :

$$f(x_r) \approx f(x_n) + f'(x_n)(x_r - x_n) + \frac{f''(x_n)}{2!}(x_r - x_n)^2 = 0 \quad (2)$$

$$\text{from(1) we have } (x_n - x_{n+1})[2(f'(x_n))^2 - f(x_n)f''(x_n)] = 2f(x_n)f'(x_n) \quad (3)$$

$$\text{from(2) we have } 2f(x_n)f'(x_n) + 2(f'(x_n))^2(x_r - x_n) + f''(x_n)f'(x_n)(x_r - x_n)^2 = 0$$

$$\text{and } f'(x_n)(x_r - x_n) = -f(x_n) - \frac{f''(x_n)}{2!}(x_r - x_n)^2$$

$$\Rightarrow 2f(x_n)f'(x_n) + [2(f'(x_n))^2 - f''(x_n)f(x_n)](x_r - x_n) - \frac{(f''(x_n))^2}{2}(x_r - x_n)^3 = 0 \quad (4)$$

$$\text{from(3) and (4) we have } [2(f'(x_n))^2 - f''(x_n)f(x_n)](x_r - x_{n+1}) - \frac{(f''(x_n))^2}{2}(x_r - x_n)^3 = 0$$

$$\text{actually, } x_r - x_{n+1} = E_{n+1}, \quad x_r - x_n = E_n$$

$$\therefore E_{n+1} = \frac{(f''(x_n))^2}{2[2(f'(x_n))^2 - f''(x_n)f(x_n)]} E_n^3$$

end proof.

6. 已知一个小球做斜抛运动，它的运动轨道由下式给出

$$y = (\tan \theta_0)x - \frac{g}{2v_0^2 \cos^2 \theta_0} x^2 + y_0$$

若初始速率  $v_0 = 30\text{m/s}$ ，发球处的高度为 **1.8m**，接球处的高度为 **1m**。求投射的初始角度  $\theta_0$ ，使得发球处和接球处的水平距离为 **90m**。  $g = 9.81\text{m/s}^2$ 。

解：

$$\text{将题目中变量代入得方程 } 1 = (\tan \theta_0) \times 90 - \frac{9.81}{2 \times 30^2 \cos^2 \theta_0} \times 90^2 + 1.8, \text{ 其中 } \theta_0 \text{ 为弧度制。}$$

$$\text{化简后求 } f(\theta_0) = 90(\tan \theta_0) - \frac{9.81 \times 90^2}{2 \times 30^2 \cos^2 \theta_0} + 0.8 \text{ 的零点。}$$

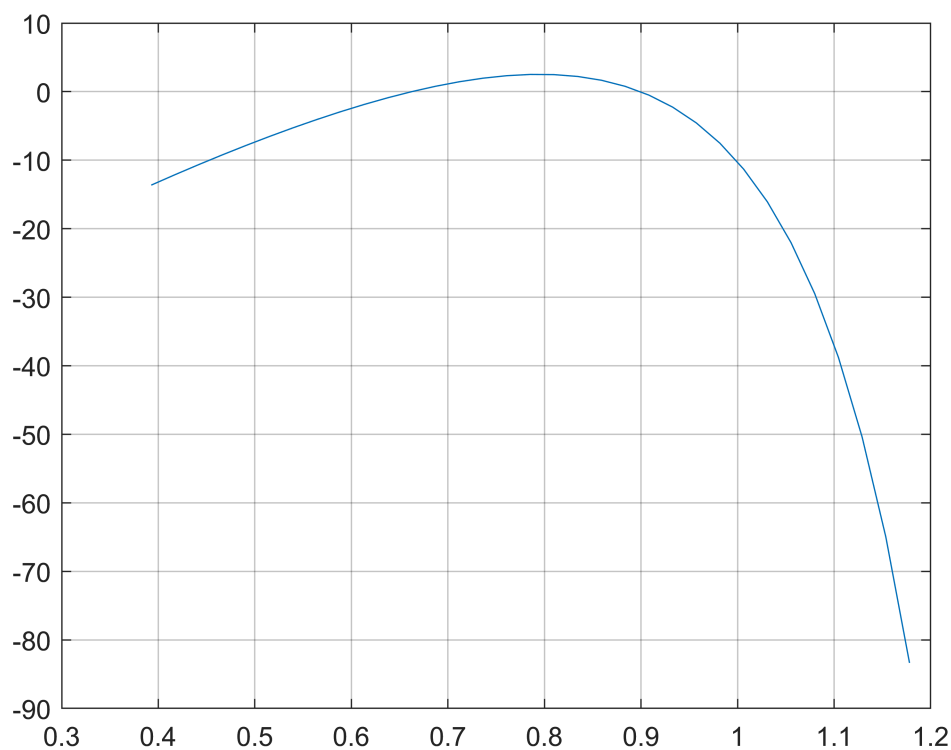
```
sample_6 = pi/8:pi/128:(pi/2-pi/8)
```

```
sample_6 = 1×33  
0.392699081698724    0.417242774304894    0.441786466911065    0.466330159517235 ...
```

```
f_6 = @(x)(90*tan(x)-9.81*90^2*ones(size(x))./(2*30^2*(cos(x)).^2))+0.8
```

```
f_6 = 包含以下值的 function_handle:  
@(x)(90*tan(x)-9.81*90^2*ones(size(x))./(2*30^2*(cos(x)).^2))+0.8
```

```
plot(sample_6, f_6(sample_6))  
grid on
```



理论上  $\theta_0 \in [0, \frac{\pi}{2}]$ ，由图解法可以得到根在  $(0.6, 0.7)$  和  $(0.8, 1)$  的区间内，由于方程难以化为  $x = g(x)$ ，而且导数较为复杂，故使用割线法求解，选取  $x_0 = 0.6, x_1 = 0.7$  为第一个根的初值、 $x_0 = 0.8, x_1 = 1.0$  为第二个根的初值。

```
[x_6_1, ~] = secant(f_6, 0.6, 0.7, 1e-5, 10000)
```

```
secant method:
the root of f(x)=0 is x = 0.662509, the number of iterations is 4
x_6_1 =
    0.662509230665580
```

```
x_6_1_check = fzero(f_6, [0.6, 0.7])
```

```
x_6_1_check =
    0.662509214398280
```

```
e_r_6_1 = relative_e(x_6_1, x_6_1_check)
```

```
相对误差为: 2.455407e-08
e_r_6_1 =
    2.455407401293869e-08
```

```
[x_6_2, ~] = secant(f_6, 0.8, 1.0, 1e-5, 10000)
```

```
secant method:
the root of f(x)=0 is x = 0.899398, the number of iterations is 8
x_6_2 =
    0.899398457591826
```

```
x_6_2_check = fzero(f_6, [0.8, 1.0])
```

```
x_6_2_check =  
    0.899398457607284
```

```
e_r_6_2 = relative_e(x_6_2, x_6_2_check)
```

```
相对误差为: -1.718676e-11  
e_r_6_2 =  
    -1.718676084377725e-11
```

```
theta_0_1 = rad2deg(x_6_1)
```

```
theta_0_1 =  
    37.958982805596868
```

```
theta_0_2 = rad2deg(x_6_2)
```

```
theta_0_2 =  
    51.531735720587577
```

由割线法求解得到  $\theta_0 \approx 0.66251 = 37.959^\circ$  或  $\theta_0 \approx 0.89939 = 51.531^\circ$ 。（并通过 `fzero` 函数进行验证正确），即当投射的初始角度为  $37.959^\circ$  或  $51.531^\circ$  时，发球处和接球处的水平距离为 90m。

7. 一个质量为  $m$  的物块从高处  $h$  静止释放后落到一个非线性弹簧上，使得弹簧收缩  $d$ ，此时物块所受的恢复力为

$$F = -(k_1 d + k_2 d^{3/2})$$

忽略空气阻力等因素，可以证明下式满足

$$0 = \frac{2k_2 d^{5/2}}{5} + \frac{1}{2} k_1 d^2 - mgd - mgh$$

若已知这些参数  $k_1 = 40,000 \text{ g/s}^2$ ,  $k_2 = 40 \text{ g/(s}^2 \text{m}^{1/2})$ ,  $m = 95 \text{ g}$ ,  $g = 9.81 \text{ m/s}^2$ ,  $h = 0.43 \text{ m}$ ，求  $d$ 。

解：

将参数代入方程得  $\frac{2 \times 40 d^{5/2}}{5} + \frac{1}{2} \times 40000 d^2 - 95 \times 9.81 d - 95 \times 9.81 \times 0.43 = 0$ ，

令  $f(x) = \frac{2 \times 40 d^{5/2}}{5} + \frac{1}{2} \times 40000 d^2 - 95 \times 9.81 d - 95 \times 9.81 \times 0.43$ ，求其零点。

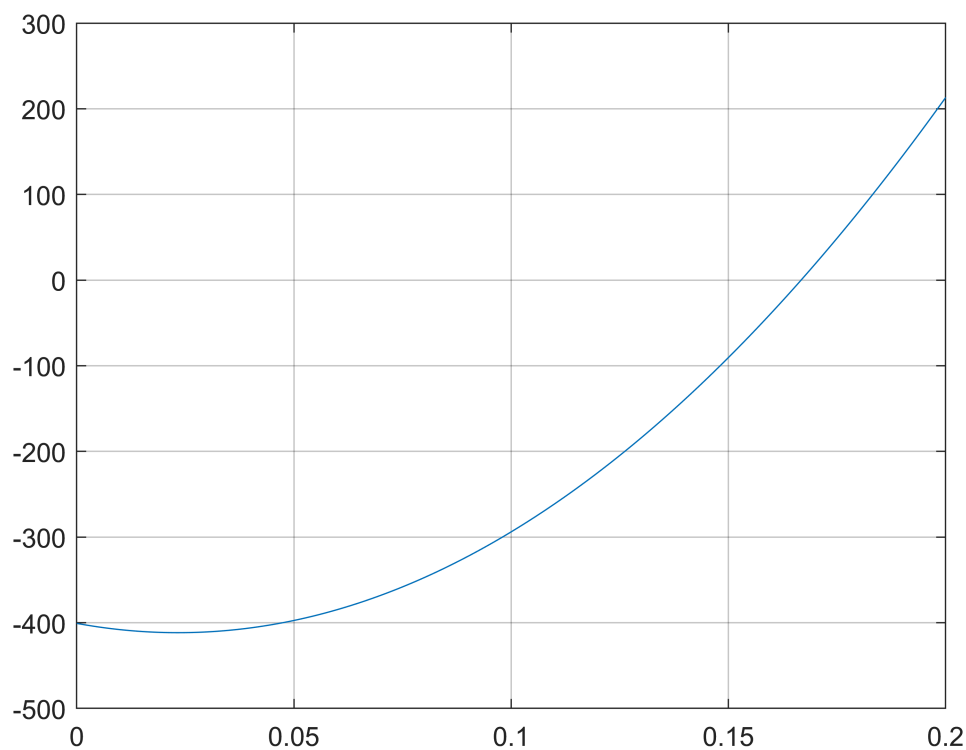
```
sample_7 = 0:0.001:0.2
```

```
sample_7 = 1×201  
    0    0.0010000000000000    0.0020000000000000    0.0030000000000000 ...
```

```
f_7 = @(x)(2*40*x.^(2.5)./5 + 0.5*40000*x.^2 - 95*9.81*x - 95*9.81*0.43)
```

```
f_7 = 包含以下值的 function_handle:  
    @(x)(2*40*x.^(2.5)./5+0.5*40000*x.^2-95*9.81*x-95*9.81*0.43)
```

```
plot(sample_7, f_7(sample_7))  
grid on
```



由图解法可以得到根在  $(0, 0.2)$  的区间内，使用牛顿-拉夫森方法，其中  $f'(x) = 40d^{3/2} + 40000d - 95 \times 9.81$ ，初始估计值为 0.16， $(0, 0.2)$  为二分法区间。

```
[x_7, ~] = Netwon_without_df(f_7, 0.16, 1e-5, 10000, 0.2, 0)
```

```
Newton's method without df:
the root of f(x)=0 is x = 0.166724, the number of iterations is 3
x_7 =
    0.166723562437816
```

```
x_7_check = fzero(f_7, [0.2, 0])
```

```
x_7_check =
    0.166723562437785
```

```
e_r_7 = relative_e(x_7, x_7_check)
```

```
相对误差为: 1.896169e-13
e_r_7 =
    1.896168733678443e-13
```

由牛顿-拉夫森方法得到近似解  $d \approx 0.16672\text{m}$ 。（并通过 **fzero** 函数进行验证正确）

8. 一根质量均匀分布的缆线两端固定被悬挂起来，通过受力分析，发现缆线的高度  $y$  与水平位置  $x$  的关系由下式决定

$$y = \frac{T_A}{w} \cosh\left(\frac{w}{T_A} x\right) + y_0 - \frac{T_A}{w}$$

其中  $T_A$  是  $x = 0$  处的张力， $w$  是缆线单位长度的重量。

(1) 若  $w = 10 \text{ N/m}$ ,  $y_0 = 5 \text{ m}$ , 并且  $x = 50 \text{ m}$  处,  $y = 15 \text{ m}$ , 求  $T_A$ .

(2) 请在区间  $[-50, 100]$  上绘制  $y(x)$  曲线。

解:

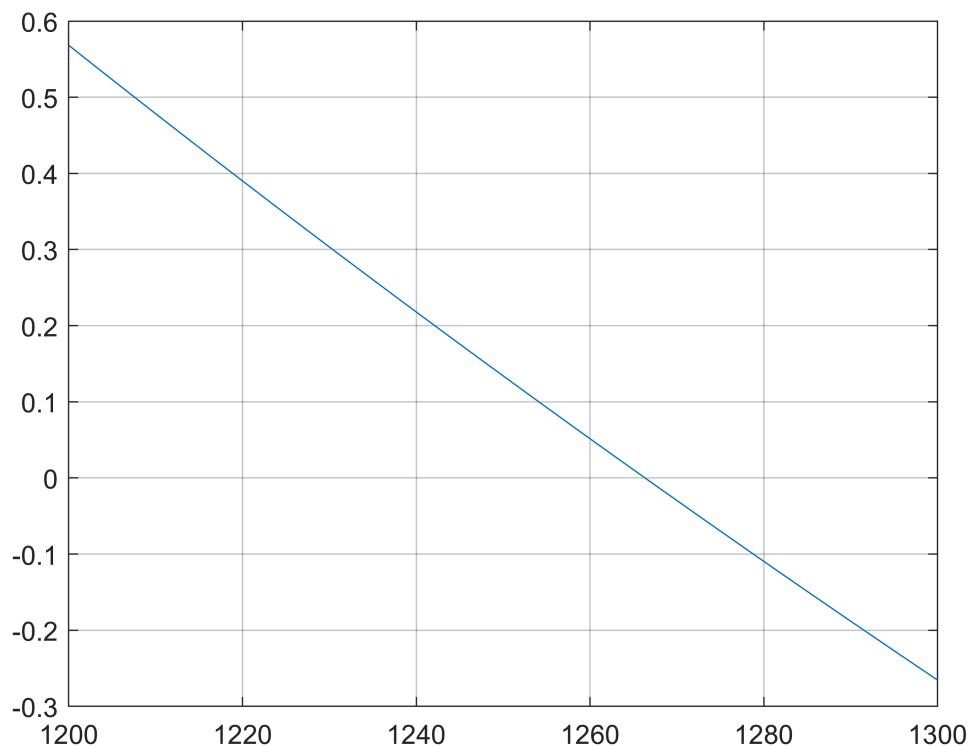
(1) 将参数代入方程得  $15 = \frac{T_A}{10} \cosh\left(\frac{10}{T_A} \times 50\right) + 5 - \frac{T_A}{10}$ ,

令  $f(x) = \frac{T_A}{10} \cosh\left(\frac{10}{T_A} \times 50\right) - 10 - \frac{T_A}{10}$ , 求其零点。

```
sample_8_1 = 1200:1:1300;
f_8 = @(x)(x./10.*cosh(10*50*ones(size(x))./x)- 10 - x./10)
```

f\_8 = 包含以下值的 *function\_handle*:  
`@(x)(x./10.*cosh(10*50*ones(size(x))./x)-10-x./10)`

```
plot(sample_8_1, f_8(sample_8_1))
grid on
```



由图解法可以得到根在  $(1200, 1300)$  的区间内, 使用割线法选取  $x_0 = 1260, x_1 = 1270$  为初值。

```
T_A = secant(f_8, 1260, 1270, 1e-5, 10000)
```

secant method:

the root of  $f(x)=0$  is  $x = 1266.324360$ , the number of iterations is 4

```
T_A =  
1.266324360399889e+03
```

```
T_A_check = fzero(f_8, [1260, 1270])
```

```
T_A_check =  
1.266324360399887e+03
```

```
e_r_8 = relative_e(T_A, T_A_check)
```

相对误差为: 1.615987e-15

```
e_r_8 =  
1.615986506287281e-15
```

使用割线法得到近似解  $T_A \approx 1266.32436\text{N}$ 。（并通过 `fzero` 函数进行验证正确）

(2) 将  $T_A$  代入  $y(x)$  得  $y(x) = \frac{1266.32436}{10} \cosh\left(\frac{10x}{1266.32436}\right) + 5 - \frac{1266.32436}{10}$

```
sample_8_2 = -50:100
```

```
sample_8_2 = 1×151  
-50 -49 -48 -47 -46 -45 -44 -43 -42 -41 -40 -39 -38 ...
```

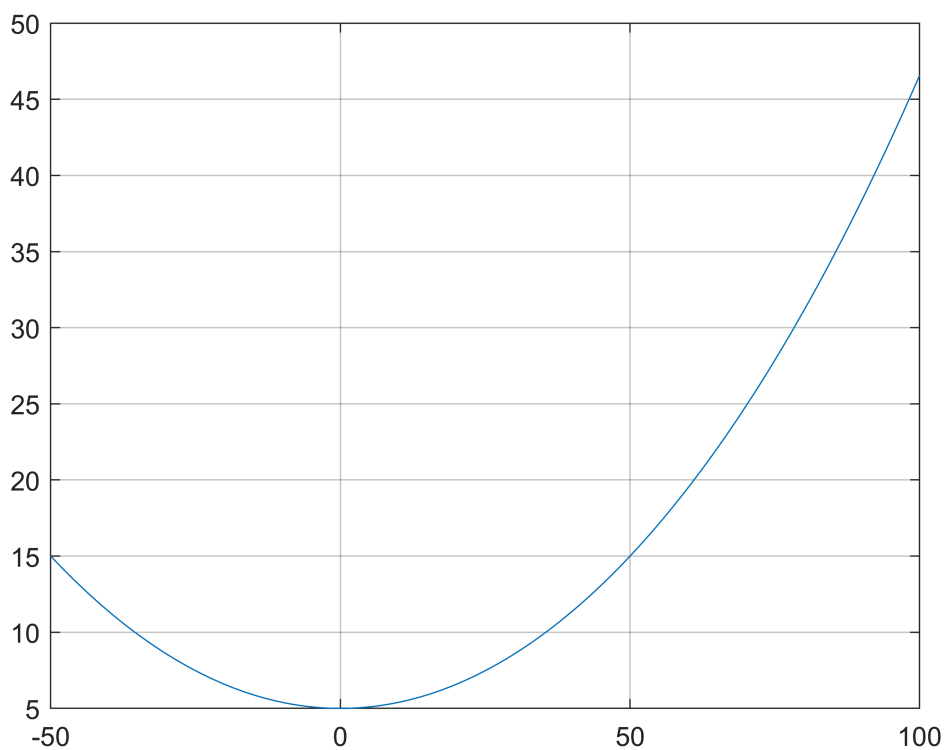
```
y = @(x)(1266.32436/10*cosh(10*x/1266.32436) + 5 - 1266.32436/10)
```

$y$  = 包含以下值的 *function\_handle*:

```
@(x)(1266.32436/10*cosh(10*x/1266.32436)+5-1266.32436/10)
```

```
plot(sample_8_2, y(sample_8_2))  
grid on
```





$y(x)$ 在区间 $[-50, 100]$ 的曲线如图所示。

\*\*\*\*\*functions\*\*\*\*\*

dichotomy: 二分法

$mid = (high + low)/2$

args:

f: 寻根的函数

a: 区间上界

b: 区间下界

epsilon: 误差范围

output:

当  $flag = -2$ ，表示初始区间不存在根， $answer = NaN$ ；

其他情况下， $flag$ 表示迭代次数， $answer$ 为寻得的根。

```
function [answer, flag] = dichotomy(f, a, b, epsilon)
    fprintf('dichotomy:\n');
    high = a;
    low = b;
```

```

count = 0;
if(f(high)*f(low) > 0)
    fprintf('此区间不存在根!');
elseif(f(high) == 0)
    answer = high;
    fprintf('the root of f(x)=0 is x = %f', answer);
elseif(f(low) == 0)
    answer = low;
    fprintf('the root of f(x)=0 is x = %f', answer);
else
    mid = (high + low) / 2;
    while(f(mid) ~= 0 && (high - low) > 2*epsilon)
        count = count + 1;
        if(f(high)*f(mid) < 0)
            low = mid;
        else
            high = mid;
        end
        mid = (high + low) / 2;
    end
end
switch count
    case 0
        flag = -2; answer = NaN;
    otherwise
        flag = count; answer = mid;
        fprintf('the root of f(x)=0 is x = %f, the number of iterations is %d', answer, count);
end
end

```

fixedPoint: 定点迭代法

$$x_{n+1} = g(x_n)$$

args:

g: 寻根的函数

x0: 初始估计值

epsilon: 误差上限

max\_n: 最多迭代次数（防止发散）

output:

当  $flag = -1$ ，表示可能不收敛或最多迭代次数不足以达到精度， $answer = NaN$ ；

其他情况下， $flag$  表示迭代次数， $answer$  为寻得的根。

```

function [answer, flag] = fixedPoint(g, x0, epsilon, max_n)
    fprintf('fixedPoint:\n');
    count = 0;
    fPoint = x0;

```

```

while(count < max_n && abs(fPoint - g(fPoint)) > epsilon)
    fPoint = g(fPoint);
    count = count + 1;
end

if(count == max_n)
    flag = -1; answer = NaN;
    fprintf('it may not converge or the iterations are not enough!')
else
    flag = count; answer = fPoint;
    fprintf('the root of g(x)=x is x = %f, the number of iterations is %d', answer, count);
end
end

```

fixedPointWithAitken: 使用Aitken加速方法的定点迭代方法

*Algorithm – Aitken's Method*

*Choose initial approximation  $x_0$*

*Do*

*Calculate  $x_{3i+1}$  and  $x_{3i+2}$  from  $x_{3i}$  using any linear iterative method*

*Modify  $x_{3i+3}$  using*

$$x_{3i+3} = x_{3i+2} - \frac{(x_{3i+2} - x_{3i+1})^2}{x_{3i} - 2x_{3i+1} + x_{2i+2}} \quad i = 0, 1, 2, \dots$$

*while(none of the convergence criterion is met)*

args:

g: 寻根的函数

x0: 初始估计值

epsilon: 误差上限

max\_n: 最多迭代次数（防止发散）

output:

当  $flag = -1$ ，表示可能不收敛或最多迭代次数不足以达到精度， $answer = NaN$ ；

其他情况下， $flag$ 表示迭代次数， $answer$ 为寻得的根。

```

function [answer, flag] = fixedPointWithAitken(g, x0, epsilon, max_n)
    fprintf('fixedPoint with Aitken:\n');
    count = 0;
    fPoint0 = x0;
    while(count < max_n && abs(fPoint0 - g(fPoint0)) > epsilon)
        fPoint1 = g(fPoint0);
        fPoint2 = g(fPoint1);
        fPoint0 = fPoint2 - (fPoint2 - fPoint1)^2/(fPoint0 - 2*fPoint1 + fPoint2);
        count = count + 3;
    end

    if(count == max_n)

```

```

        flag = -1; answer = NaN;
        fprintf('it may not converge or the iterations are not enough!')
    else
        flag = count; answer = fPoint0;
        fprintf('the root of g(x)=x is x = %f, the number of iterations is %d', answer, count);
    end
end

```

Netwon: 使用牛顿-拉夫森方法, 需要将导数以参数形式传入, 并用二分法区间修正发散解

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}$$

args:

f: 寻根的函数

df: 寻根函数的导数

x0: 初始估计值 $x_0$

epslion: 误差上限

max\_n: 最多计算步数

high: 二分法区间上限

low: 二分法区间下限

output:

当  $flag = -1$ , 表示可能不收敛或最多迭代次数不足以达到精度,  $answer = NaN$ ;

当  $flag = -2$ , 表示初始区间不存在根,  $answer = NaN$ ;

其他情况下,  $flag$  表示迭代次数,  $answer$  为寻得的根。

```

function [answer, flag] = Netwon(f, df, x0, epsilon, max_n, high, low)
    fprintf('Newton's method:\n');
    count = 0;
    initialP = x0;
    if(f(high)*f(low) > 0)
        fprintf('二分法区间不存在根! ');
    else
        % 假如初始估计值在二分法区间外, 则用(high + low) / 2代替
        if(x0 > high || x0 < low)
            initialP = (high + low) / 2;
        end
        while(count < max_n)
            count = count + 1;
            tmp = initialP; %判断是否停
            initialP = initialP - f(initialP)/df(initialP);
            %如果迭代结果在二分范围外则换为(high + low)/2, 并根据迭代结果更新high和low
            if(initialP > high || initialP < low)

```

```

        initialP = (high + low) / 2;
    end
    if(f(high)*f(initialP) < 0)
        low = initialP;
    else
        high = initialP;
    end
    if(abs(tmp - initialP) < epsilon)
        break;
    end
end
end
switch count
case max_n
    flag = -1; answer = NaN;
    fprintf('it may not converge or the iterations are not enough!')
case 0
    flag = -2; answer = NaN;
otherwise
    flag = count; answer = initialP;
    fprintf('the root of f(x)=0 is x = %f, the number of iterations is %d', answer, count);
end
end
end

```

Netwon\_without\_df: 使用牛顿-拉夫森方法，不需要将导数以参数形式传入，并用二分法区间修正发散解  
(author: 鲁潇阳)

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}$$

args:

f: 寻根的函数

x0: 初始估计值x0

epslion: 误差上限

max\_n: 最多计算步数

high: 二分法区间上限

low: 二分法区间下限

output:

当  $flag = -1$ ，表示可能不收敛或最多迭代次数不足以达到精度， $answer = NaN$ ；

当  $flag = -2$ ，表示初始区间不存在根， $answer = NaN$ ；

其他情况下， $flag$ 表示迭代次数， $answer$ 为寻得的根。

```

function [answer, flag] = Netwon_without_df(f, x0, epsilon, max_n, high, low)
    fprintf('Newton's method without df:\n');
    % 计算一阶导数

```

```

syms x;
fun = f(x);
df = matlabFunction(diff(fun)); % 通过符号函数求导，并转换为可计算的函数
% 求根
count = 0;
initialP = x0;
if(f(high)*f(low) > 0)
    fprintf('二分法区间不存在根! ');

else
    % 假如初始估计值在二分法区间外，则用(high + low) / 2代替
    if(x0 > high || x0 < low)
        initialP = (high + low) / 2;
    end
    while(count < max_n)
        count = count + 1;
        tmp = initialP; % 保存前一次计算值，用于判断是否停
        initialP = initialP - f(initialP)/df(initialP);

        % 如果迭代结果在二分范围外则换为(high + low)/2，并根据迭代结果更新high和low
        if(initialP > high || initialP < low)
            initialP = (high + low) / 2;
        end
        if(f(high)*f(initialP) < 0)
            low = initialP;
        else
            high = initialP;
        end
        if(abs(tmp - initialP) < epsilon)
            break;
        end
    end
end
switch count
    case max_n
        flag = -1; answer = NaN;
        fprintf('it may not converge or the iterations are not enough!')
    case 0
        flag = -2; answer = NaN;
    otherwise
        flag = count; answer = initialP;
        fprintf('the root of f(x)=0 is x = %f, the number of iterations is %d', answer, count);
end
end

```

secant: 割线法

$$x_{n+1} = x_n - \frac{x_n - x_{n-1}}{f(x_n) - f(x_{n-1})} f(x_n)$$

args:

f: 寻根的函数

x0: 初值 $x_0$

x1: 初值 $x_1$

epsilon: 误差上限

max\_n: 最多计算次数

output:

当  $flag = -1$ ，表示可能不收敛或最多迭代次数不足以达到精度， $answer = NaN$ ；

其他情况下， $flag$ 表示迭代次数， $answer$ 为寻得的根。

```
function [answer, flag] = secant(f, x0, x1, epsilon, max_n)
    fprintf('secant method:\n');
    count = 0;
    p1 = x0;
    p2 = x1;
    while(count < max_n && abs(p1 - p2) > epsilon)
        count = count + 1;
        %临时存放p2, 作为下次迭代的p1
        tmp = p2;
        p2 = p2 - f(p2)*(p2 - p1)/(f(p2) - f(p1));
        p1 = tmp;
    end

    if(count == max_n)
        flag = -1; answer = NaN;
        fprintf('it may not converge or the iterations are not enough!')
    else
        flag = count; answer = p2;
        fprintf('the root of f(x)=0 is x = %f, the number of iterations is %d', answer, count);
    end
end
```

Halley: Halley方法，需要将函数的一阶和二阶导数以参数形式传入，并使用二分法区间修正发散解

args:

f: 寻根的函数

df: 寻根函数的一阶导数

ddf: 寻根函数的二阶导数

x0: 初始的估计值

epsilon: 误差上限

max\_n: 最多计算的次数

high: 二分法区间上限

low: 二分法区间下限

output:

当  $flag = -1$ ，表示可能不收敛或最多迭代次数不足以达到精度， $answer = NaN$ ；

当  $flag = -2$ ，表示初始区间不存在根， $answer = NaN$ ；

其他情况下， $flag$  表示迭代次数， $answer$  为寻得的根。

```
function [answer, flag] = Halley(f, df, ddf, x0, epsilon, max_n, high, low)
    fprintf('Halley method:\n');
    count = 0;
    initialP = x0;
    if(f(high)*f(low) > 0)
        fprintf('二分法区间不存在根! ');

    else
        % 假如初始估计值在二分法区间外，则用(high + low) / 2代替
        if(x0 > high || x0 < low)
            initialP = (high + low) / 2;
        end
        while(count < max_n)
            count = count + 1;
            tmp = initialP;
            initialP = initialP - 2*f(initialP)*df(initialP)/(2*(df(initialP))^2-f(initialP)*ddf(initialP));
            %如果迭代结果在二分范围外则换为(high + low)/2，并根据迭代结果更新high和low
            if(initialP > high || initialP < low)
                initialP = (high + low) / 2;
            end
            if(f(high)*f(initialP) < 0)
                low = initialP;
            else
                high = initialP;
            end
            if(abs(tmp - initialP) < epsilon)
                break;
            end
        end
        end
    switch count
        case max_n
            flag = -1; answer = NaN;
            fprintf('it may not converge or the iterations are not enough!')
        case 0
            flag = -2; answer = NaN;
        otherwise
            flag = count; answer = initialP;
            fprintf('the root of f(x)=0 is x = %f, the number of iterations is %d', answer, count);
        end
    end
end
```

Halley\_without\_df\_ddf: Halley方法，不需要将函数的一阶和二阶导数以参数形式传入，并使用二分法区间修正发散解（author: 鲁潇阳）



args:

f: 寻根的函数

x0: 初始的估计值

epsilon: 误差上限

max\_n: 最多计算的次数

high: 二分法区间上限

low: 二分法区间下限

output:

当  $flag = -1$ ，表示可能不收敛或最多迭代次数不足以达到精度， $answer = NaN$ ；

当  $flag = -2$ ，表示初始区间不存在根， $answer = NaN$ ；

其他情况下， $flag$  表示迭代次数， $answer$  为寻得的根。

```
function [answer, flag] = Halley_without_df_ddf(f, x0, epsilon, max_n, high, low)
    fprintf('Halley method without df and ddf:\n');
    % 计算一阶和二阶导数
    syms x;
    fun = f(x);
    df = matlabFunction(diff(fun, x, 1)); % 通过符号函数求导，并转换为可计算的函数
    ddf = matlabFunction(diff(fun, x, 2));
    % 求根
    count = 0;
    initialP = x0;
    if(f(high)*f(low) > 0)
        fprintf('二分法区间不存在根! ');

    else
        % 假如初始估计值在二分法区间外，则用(high + low) / 2代替
        if(x0 > high || x0 < low)
            initialP = (high + low) / 2;
        end
        while(count < max_n)
            count = count + 1;
            tmp = initialP;
            initialP = initialP - 2*f(initialP)*df(initialP)/(2*(df(initialP))^2-f(initialP)*ddf(initialP));
            % 如果迭代结果在二分范围外则换为(high + low)/2，并根据迭代结果更新high和low
            if(initialP > high || initialP < low)
                initialP = (high + low) / 2;
            end
            if(f(high)*f(initialP) < 0)
                low = initialP;
            else
                high = initialP;
            end
            if(abs(tmp - initialP) < epsilon)
                break;
            end
        end
    end
end
```

```

        end
    end
end
switch count
    case max_n
        flag = -1; answer = NaN;
        fprintf('it may not converge or the iterations are not enough!')
    case 0
        flag = -2; answer = NaN;
    otherwise
        flag = count; answer = initialP;
        fprintf('the root of f(x)=0 is x = %f, the number of iterations is %d', answer, count);
    end
end
end

```

计算相对误差的函数，并显示

args:

x\_approx: 估计值

x\_real: 真值

```

function output = relative_e(x_approx, x_real)
    output = (x_approx - x_real .* ones(size(x_approx)))./x_real;
    fprintf("相对误差为: %e" , output);
end

```