

# Gravitational Wave Searches for Compact Binary Mergers

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2023 Gravitational Wave Data Workshop @ National Tsing Hua University



國立清華大學  
NATIONAL TSING HUA UNIVERSITY

Adapted from lectures provided by Gravitational Wave Open Science Center



# Focus Questions

- Introduction
- What are we looking for?
- What does the data look like?
- How do we find the signal?
- Implementation/ Current detection tools in use by LVK

# GRAVITATIONAL WAVE SPECTRUM

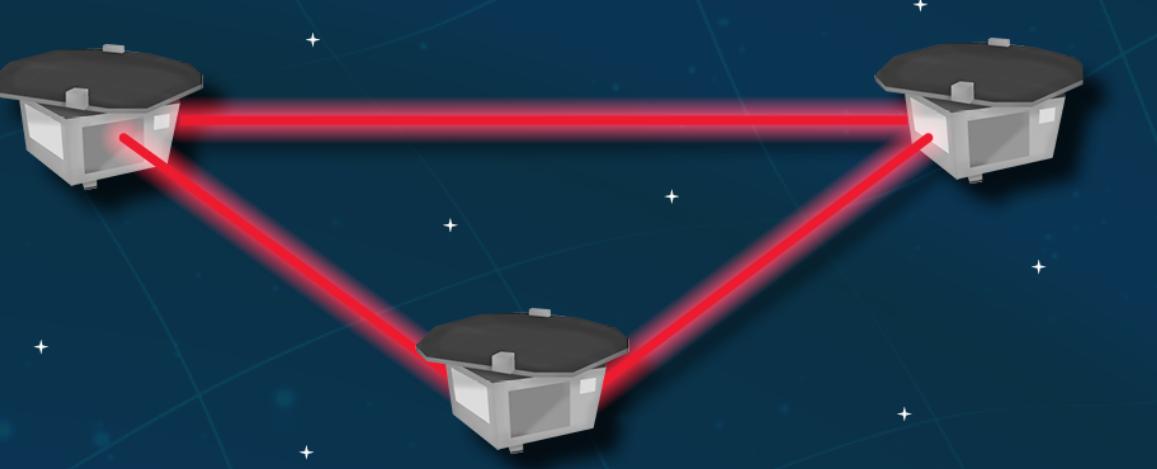


## Observatories & experiments

Ground-based experiment



Space-based observatory



Pulsar timing array



Cosmic microwave background polarisation



Timescales

milliseconds

seconds

hours

years

Frequency (Hz)

100

1

$10^{-2}$

$10^{-4}$

$10^{-6}$

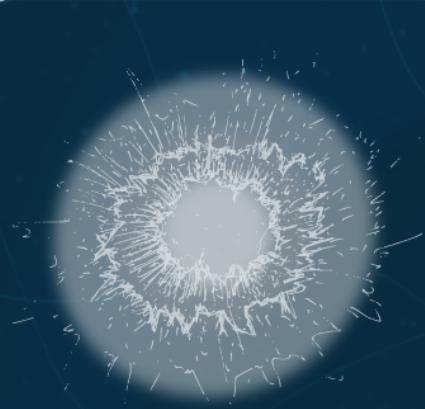
$10^{-8}$

billions of years

$10^{-16}$

Cosmic fluctuations in the early Universe

## Cosmic sources



Supernova



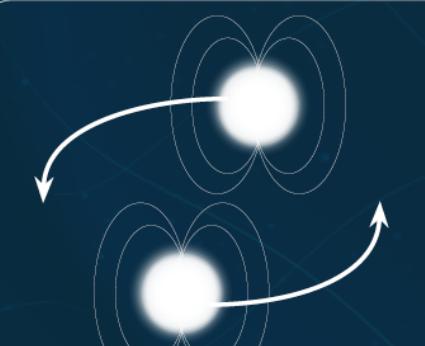
Pulsar



Compact object falling onto a supermassive black hole



Merging supermassive black holes



Merging neutron stars in other galaxies



Merging stellar-mass black holes in other galaxies



Merging white dwarfs in our Galaxy

#lisa



# GRAVITATIONAL WAVE SPECTRUM



## Observatories & experiments

Ground-based experiment



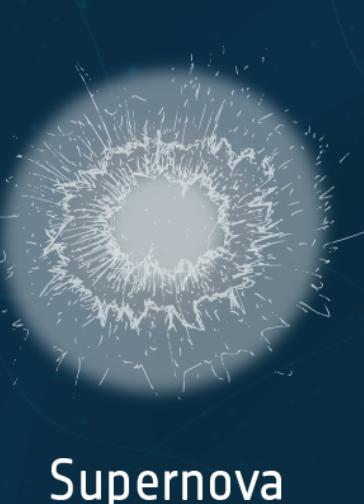
## Timescales

milliseconds      seconds

## Frequency (Hz)

100      1       $10^{-2}$

## Cosmic sources



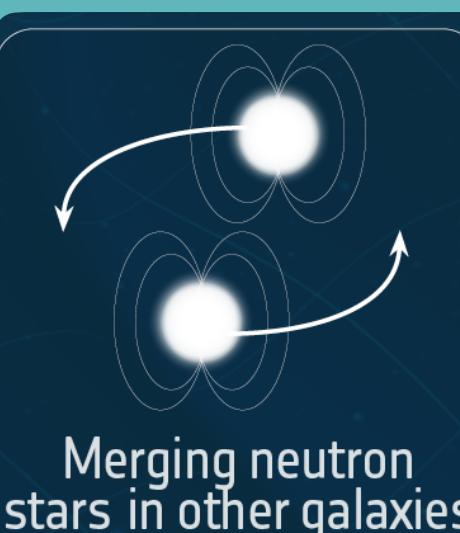
Supernova



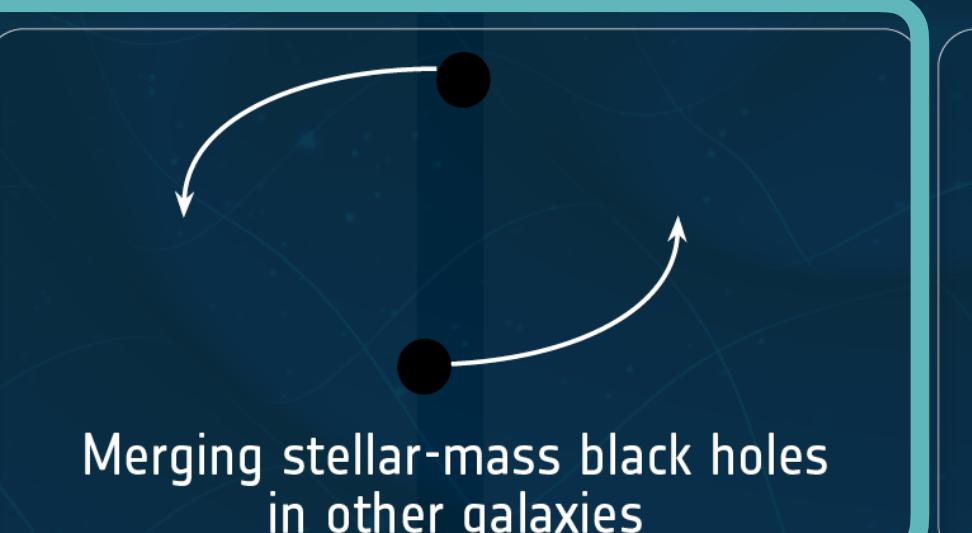
Pulsar



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Merging neutron stars in other galaxies



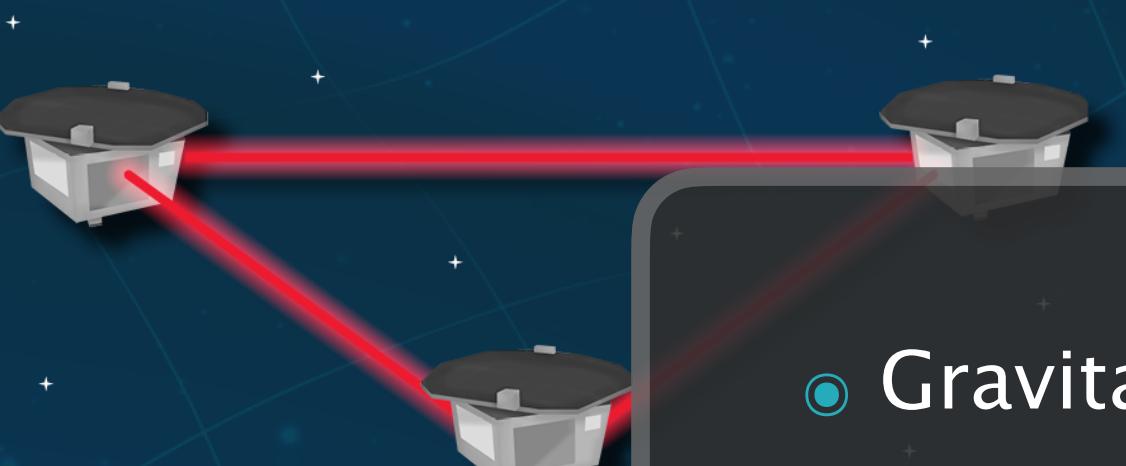
Merging stellar-mass black holes in other galaxies



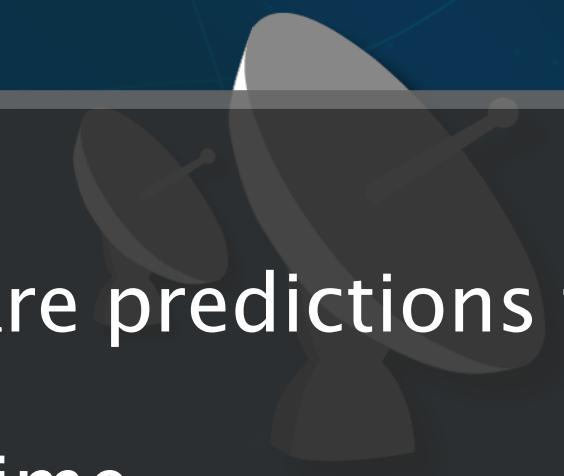
Merging white dwarfs in our Galaxy

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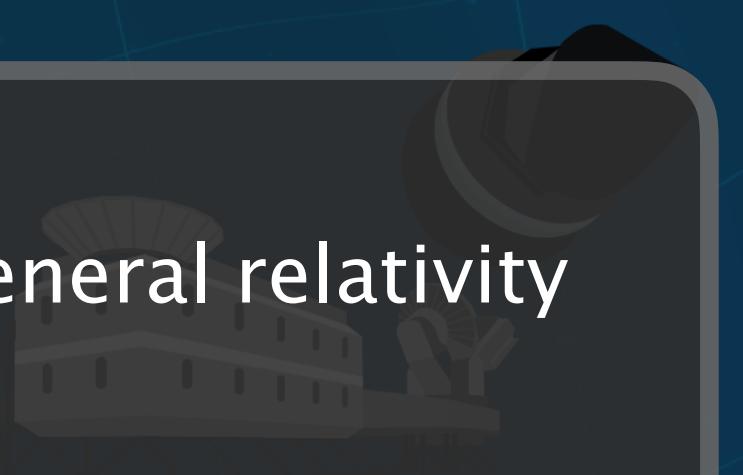
Space-based observatory



Pulsar timing array



Cosmic microwave background polarisation



- Gravitational waves are predictions from general relativity
- Ripples in space-time
- Any time-varying non-axisymmetric mass distribution can produce gravitational waves
- Compact binary coalescence (CBCs), Supernova explosion, Pulsars ... etc.
- Current ground-based detectors observe gravitational waves at  $\sim 10$  - a few 1000 Hz

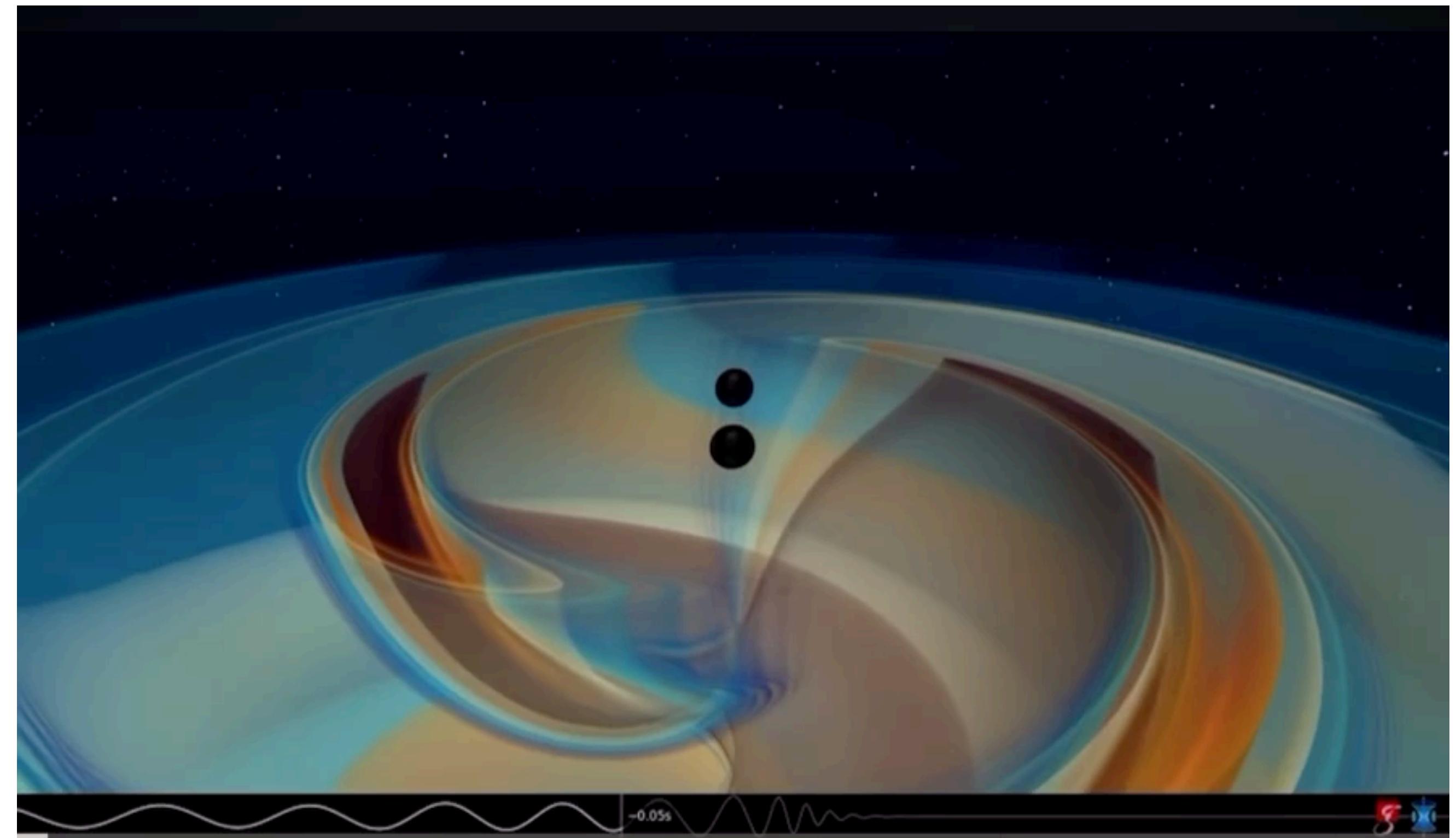


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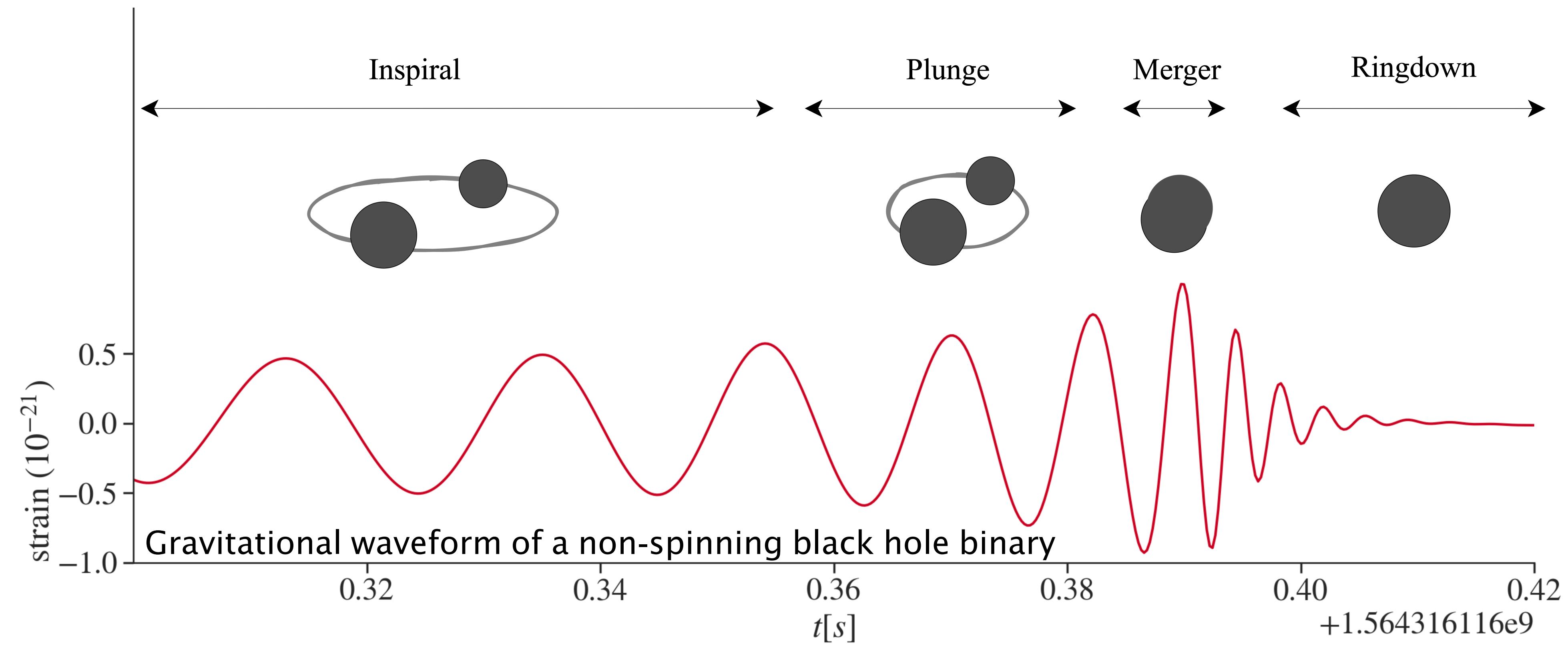
# Compact Binary Coalescence

- A pair of compact objects (white dwarfs, neutron stars, black holes)
- So far we detect **binary neutron star (BNS)**, **binary black hole (BBH)** and **neutron star-black hole (NSBH) mergers**
- As objects orbit, they lose energy to GWs
  - The orbit shrinks and speeds up, releasing more energy to GWs
  - Frequency and amplitude increase monotonically
  - Creates a runaway process leading to inspiral and merger



[Link to video](#)

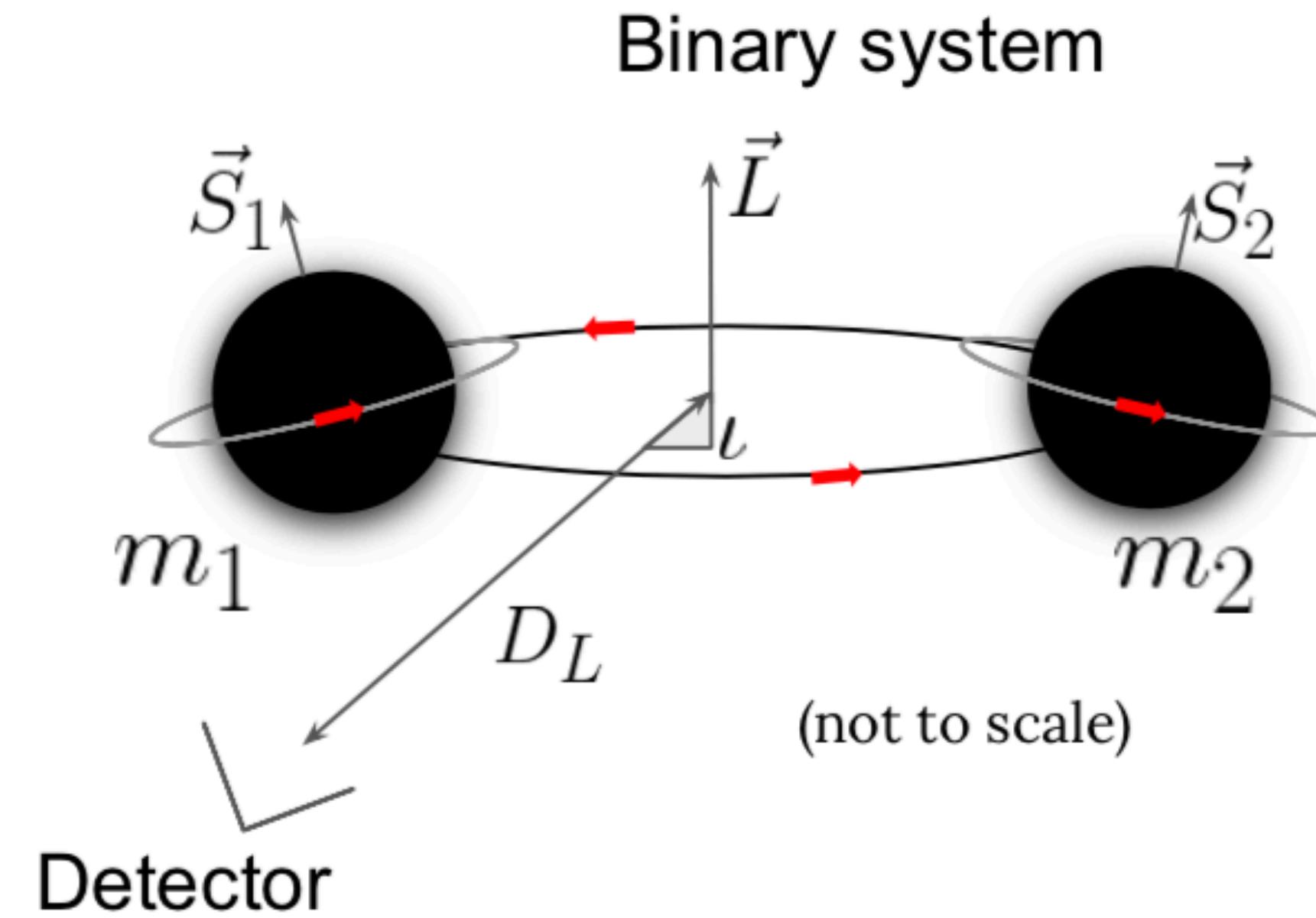
Waveforms model the **inspiral**, **merger**, and **ringdown** of the binary



$$\text{Strain} = s = \frac{\Delta L}{L} \sim 10^{-21} \rightarrow \Delta L \sim 10^{-18} \text{m, given } L \sim \mathcal{O}(1 \text{ km})$$

# Modelling Compact Binary Mergers

The signal from a binary system made up of black holes is described by 15 parameters



## Intrinsic parameters

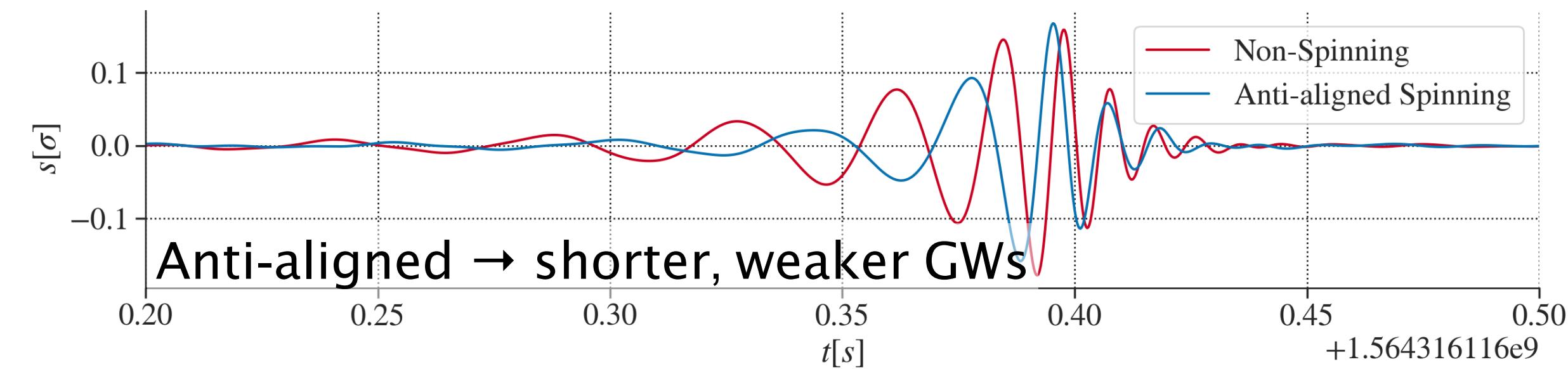
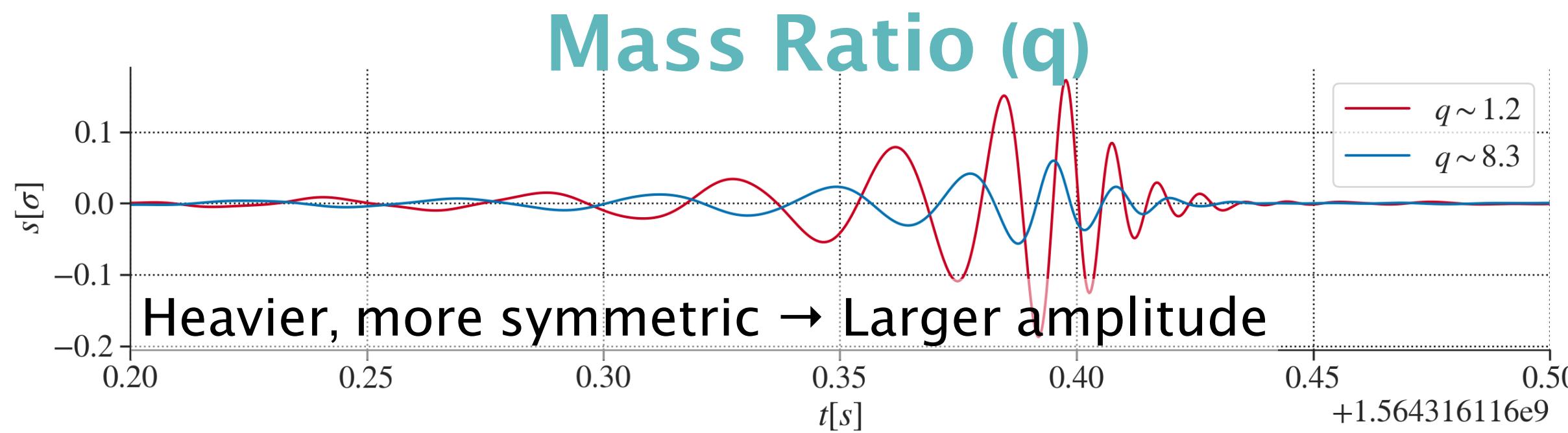
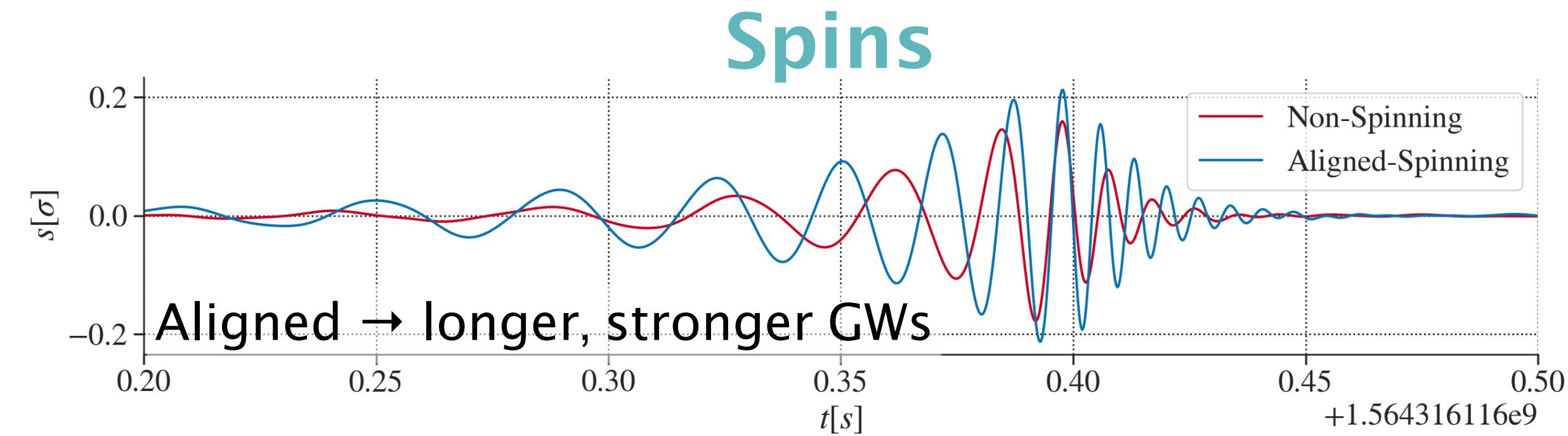
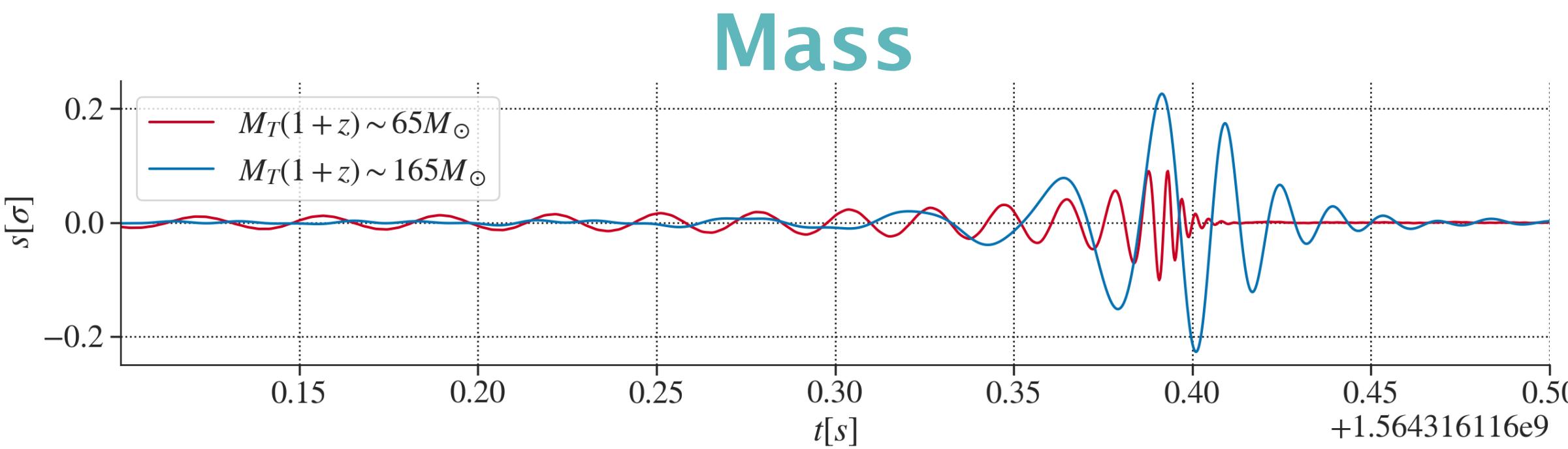
- Two component masses:  $m_1, m_2$
- Six spin Components:  $\chi_1, \chi_2$

## Extrinsic parameters

- Sky Location:  $(\alpha, \delta)$
- Luminosity distance:  $D_L$  (or equivalently the redshift  $z$ )
- Binary orientation parameters:  $(\iota, \varphi)$
- Polarisation angle:  $\psi$
- Merger time:  $t_c$

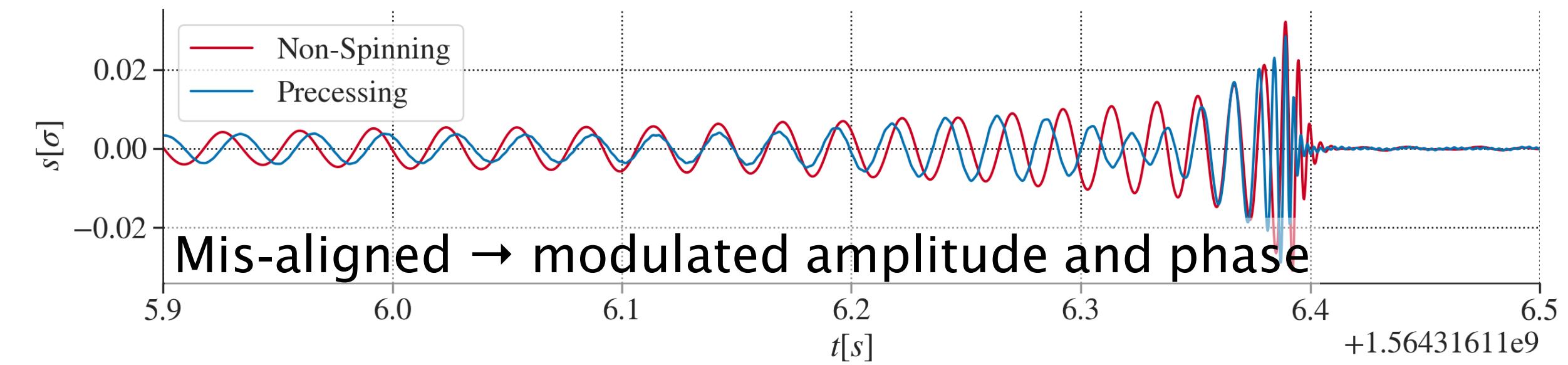
More parameters required if matter or new physics is included

# Phenomenology of black hole binaries



$$s(t | \theta) \propto (M_T(1+z))^{5/6} \sqrt{\frac{q}{1+q^2}} , q = \frac{m_1}{m_2} = \frac{\text{Heavier black hole}}{\text{Lighter black hole}} \geq 1$$

↑  
Leading order



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# Gravitational Wave Detector Data

GW interferometers record data as a **discretely sampled time series**

$d = \{d(t_1), \dots, d(t_n)\}$  at sampling frequency  $f_s = 16$  kHz

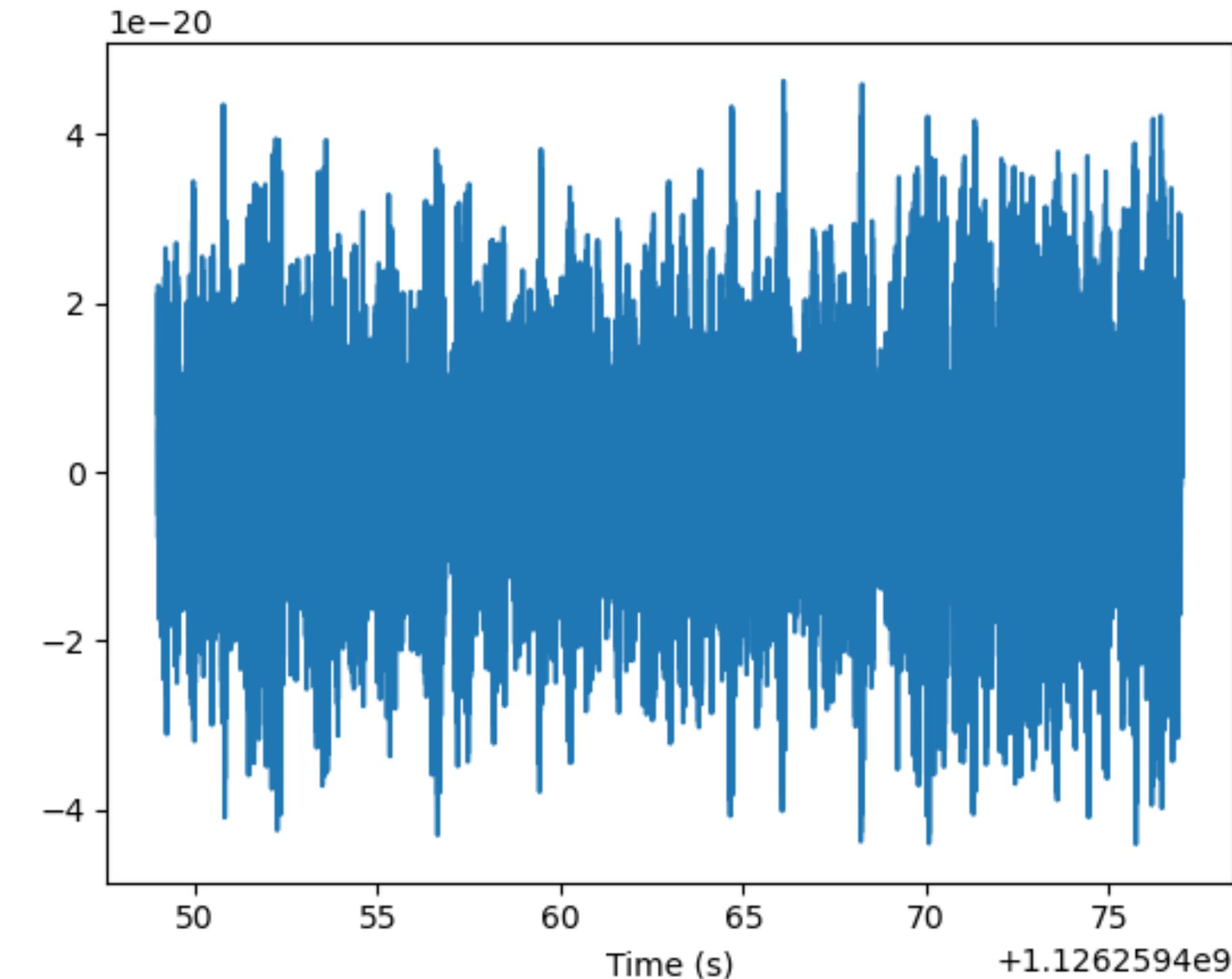
$\rightarrow N_{\text{samples}} = T \times f_s$ , where  $T$  = data segment duration

$$d = s(\theta) + n$$

↓      ↓      ↓

**Strain data**      **Signal**      **Noise**  
Stochastic

Deterministic for CBC



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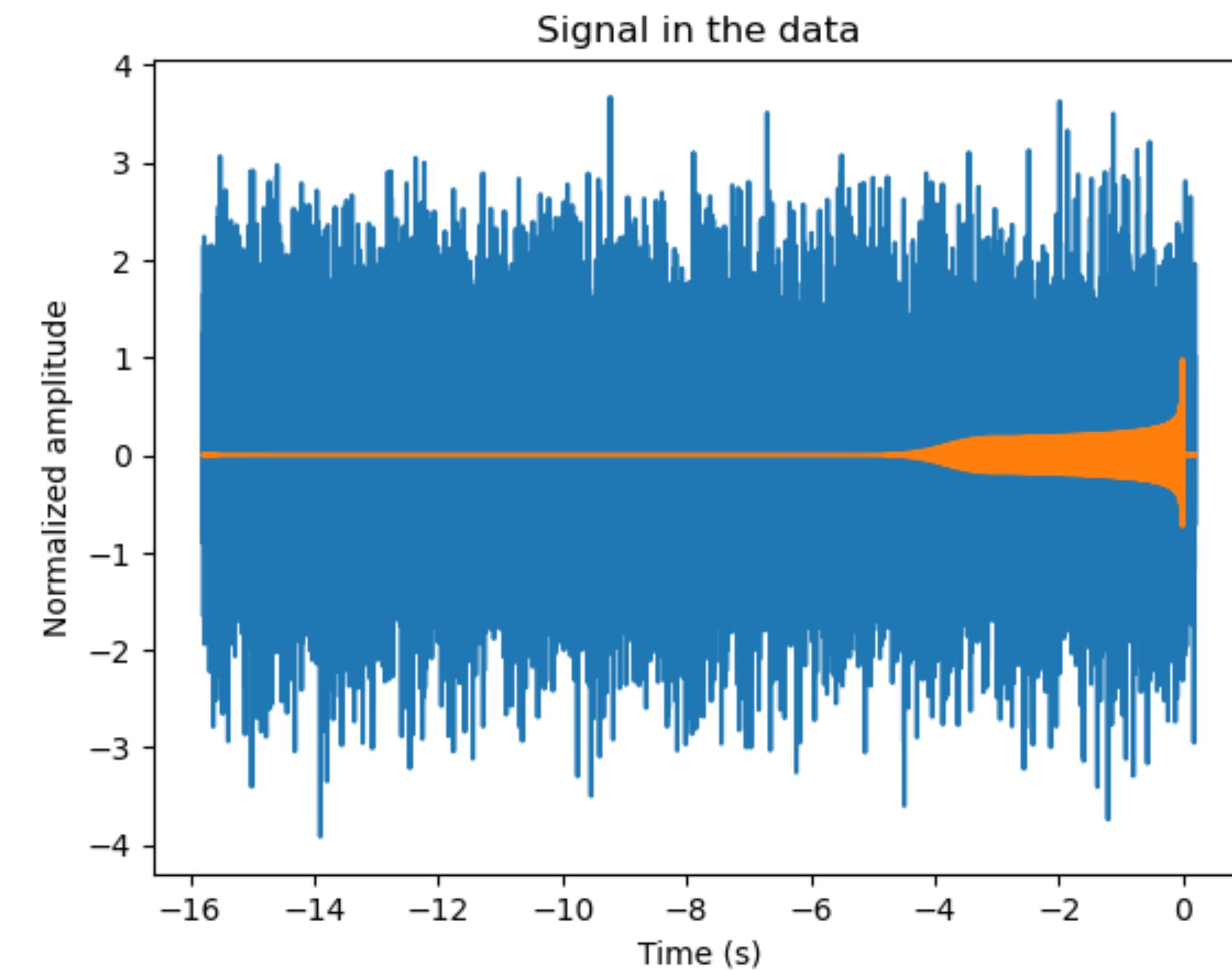
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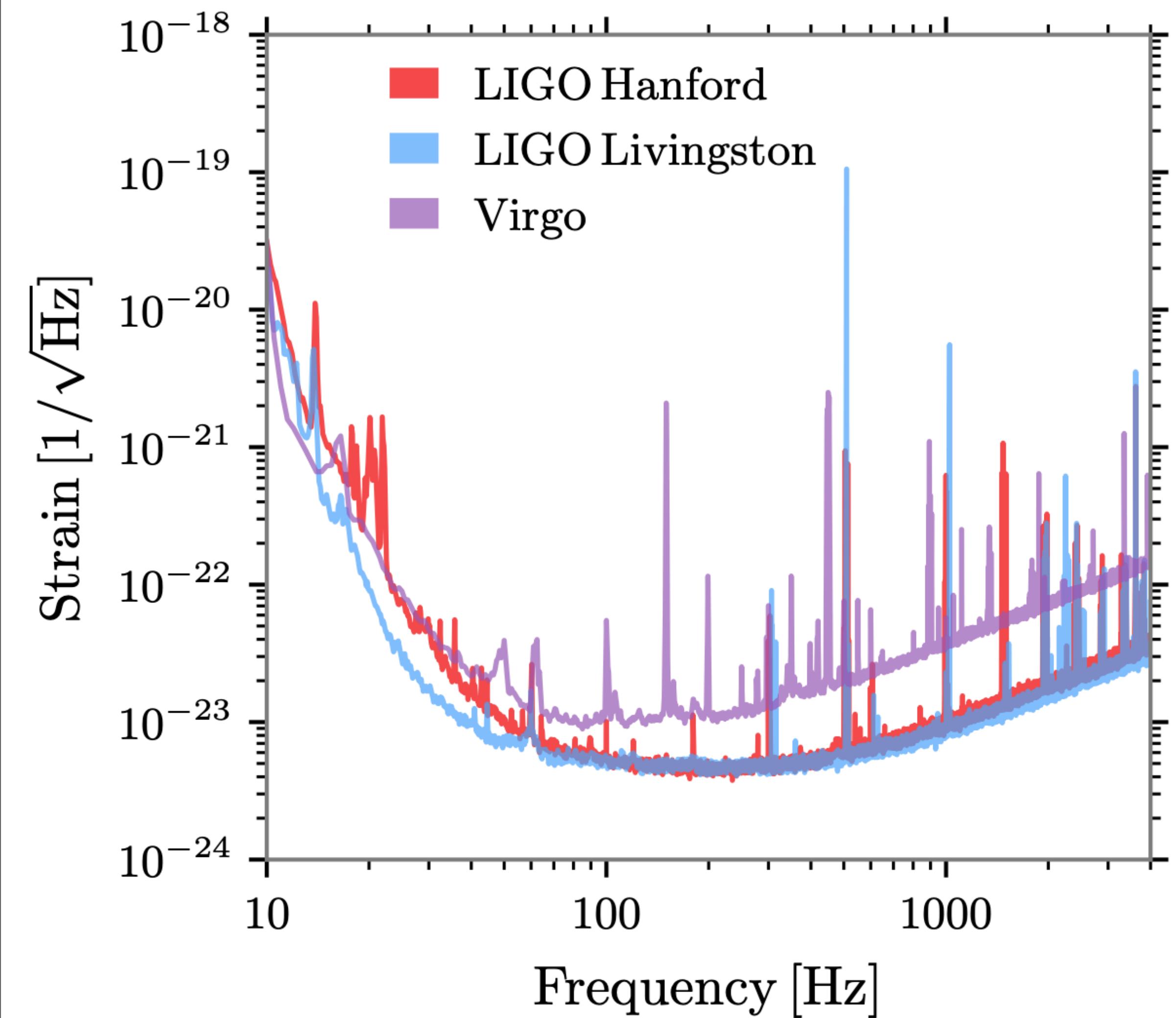
**Strain data**      **Signal**      **Noise**  
Deterministic for CBC

$$|n| \gg |s(\theta)| \rightarrow \text{Needle in a haystack}$$



# Complicated Noise Curve

Detector noise is not **white** –  
not distributed evenly across  
frequencies.



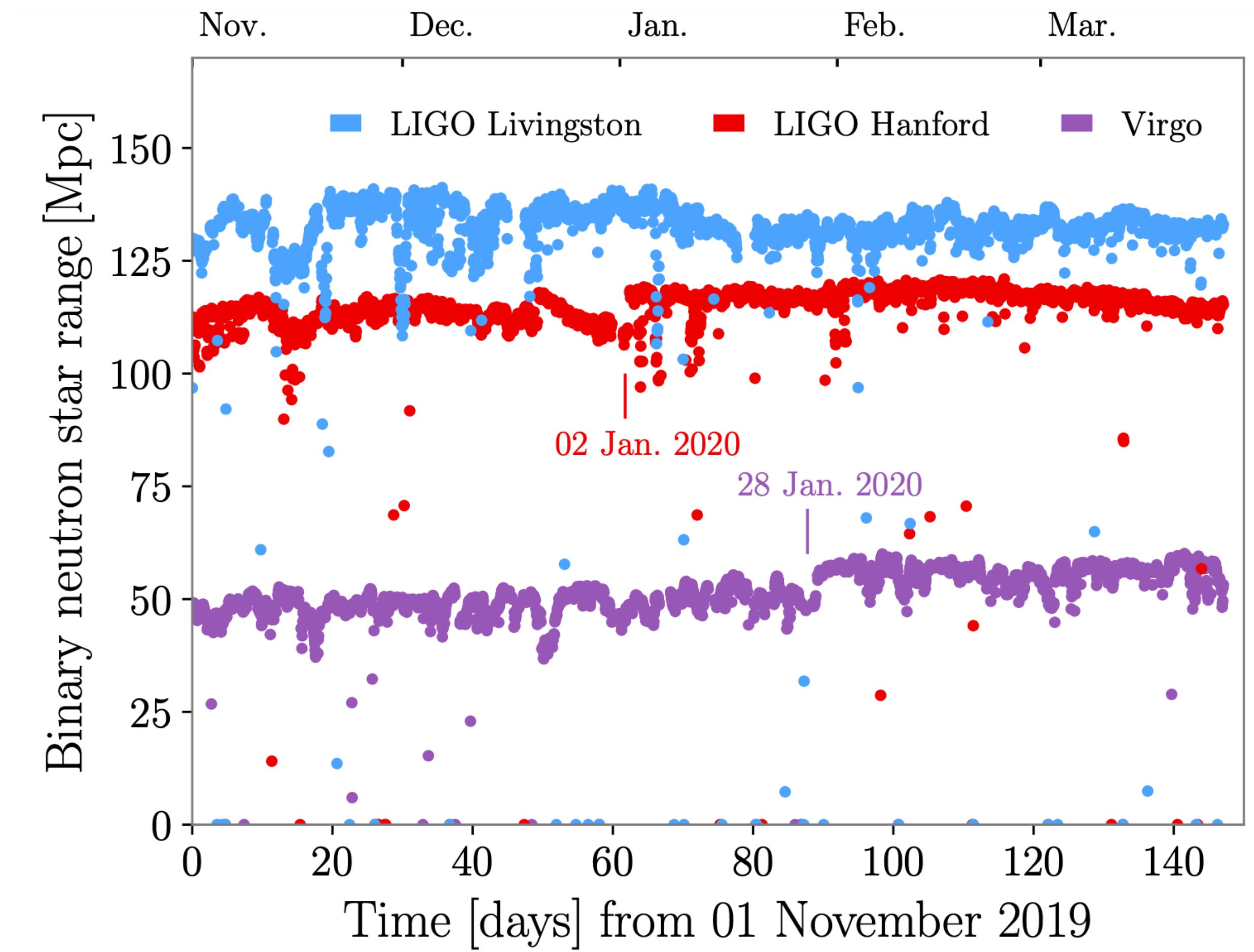
LIGO and Virgo PSD for O3.

**The PSD varies across frequency bins**, so the data needs to be whitened before filtering, essentially scaled by the PSD in frequency space.

# Non-stationarity

Noise properties can vary over long timescales.

We must continuously track the noise properties and update our estimate of the PSD.

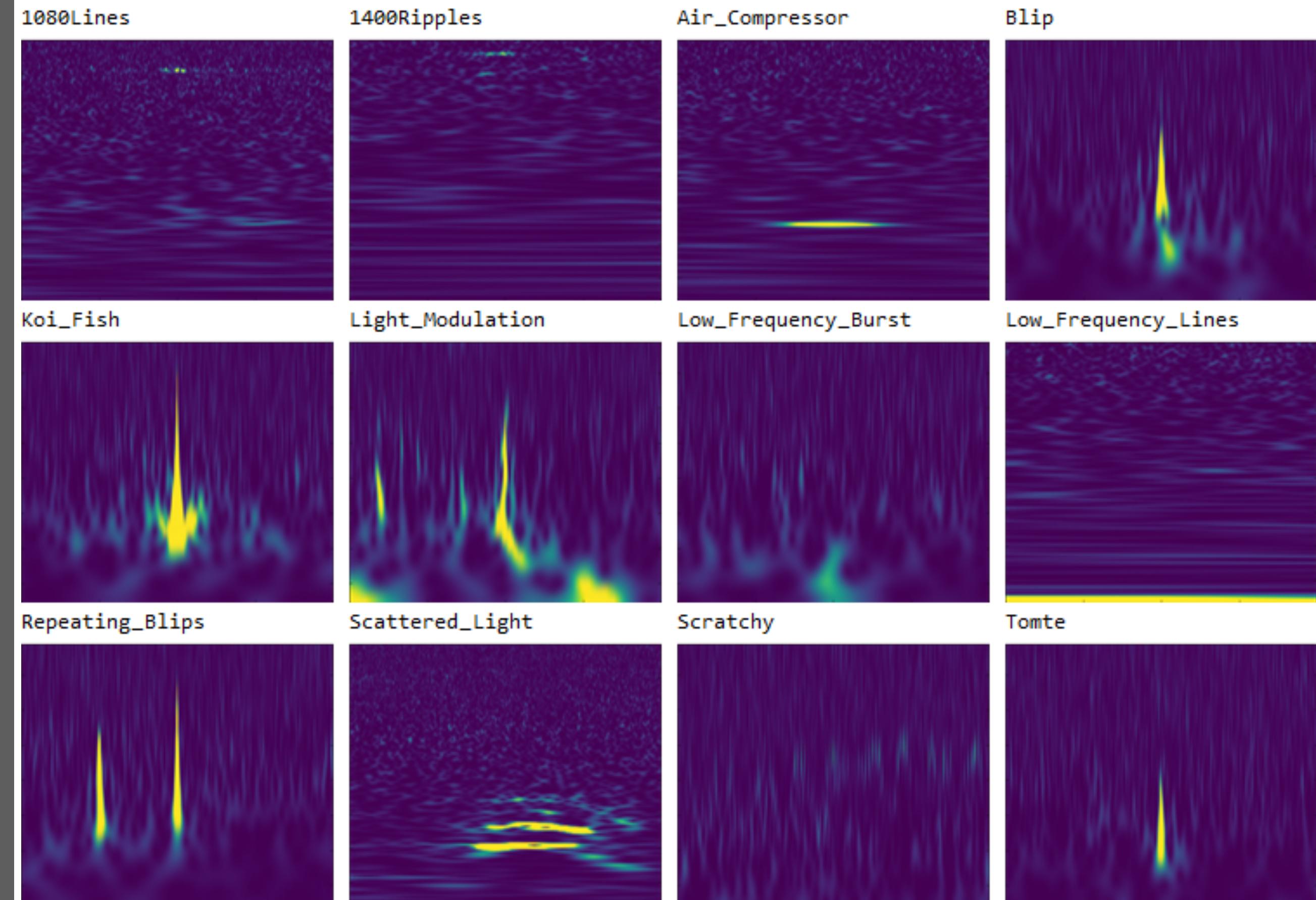


# Non-Gaussian

Noise properties can also vary over short timescales.

Short duration non-Gaussian artifacts in the data are **glitches**.

Glitches can be a major problem for matched filtering searches!



# Detector Noise Summary

- The noise curves are complex, with many lines
- Sensitivity is highly non-stationary
- Non-Gaussian artifacts regularly appear in the data

# Focus Questions

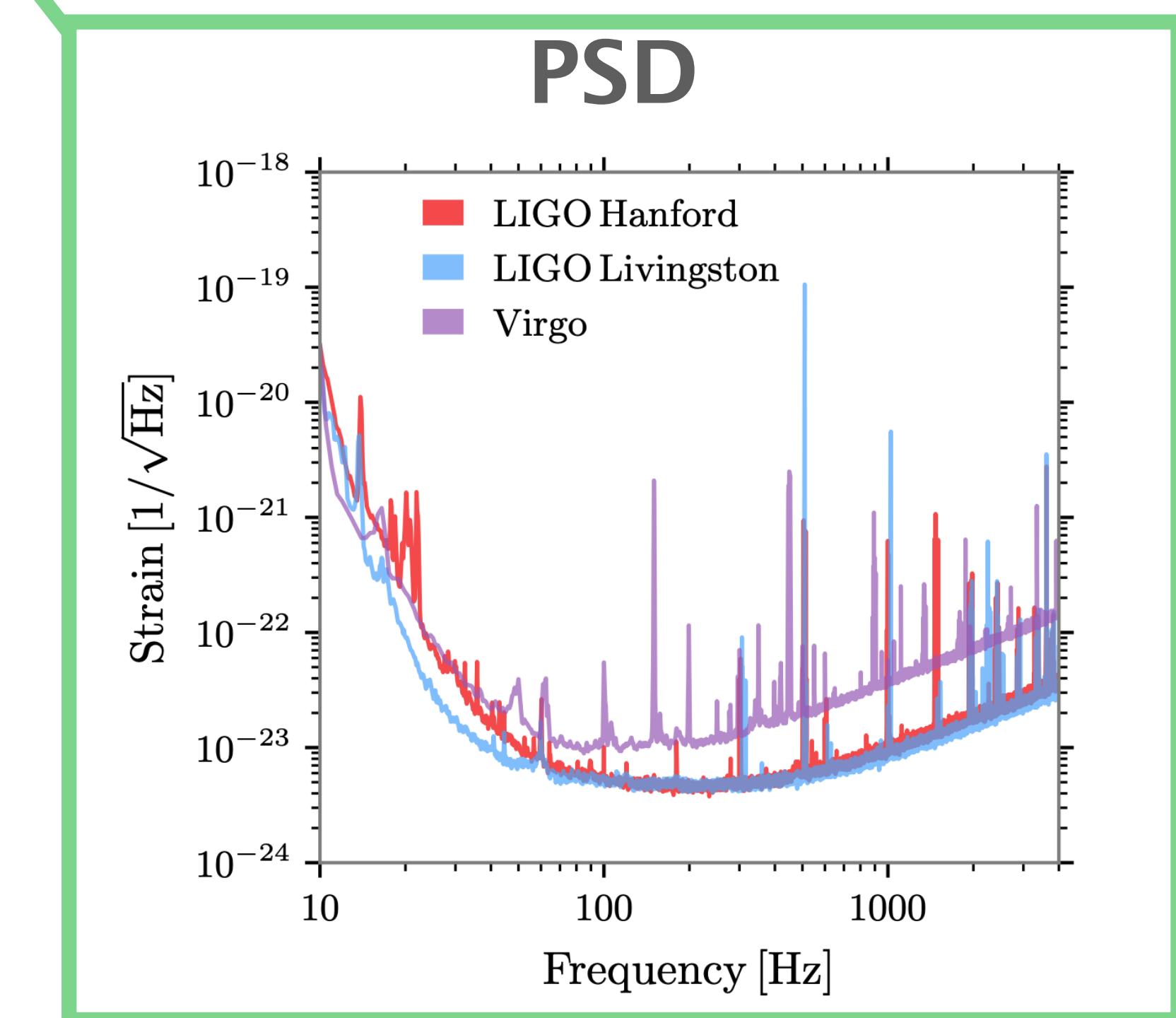
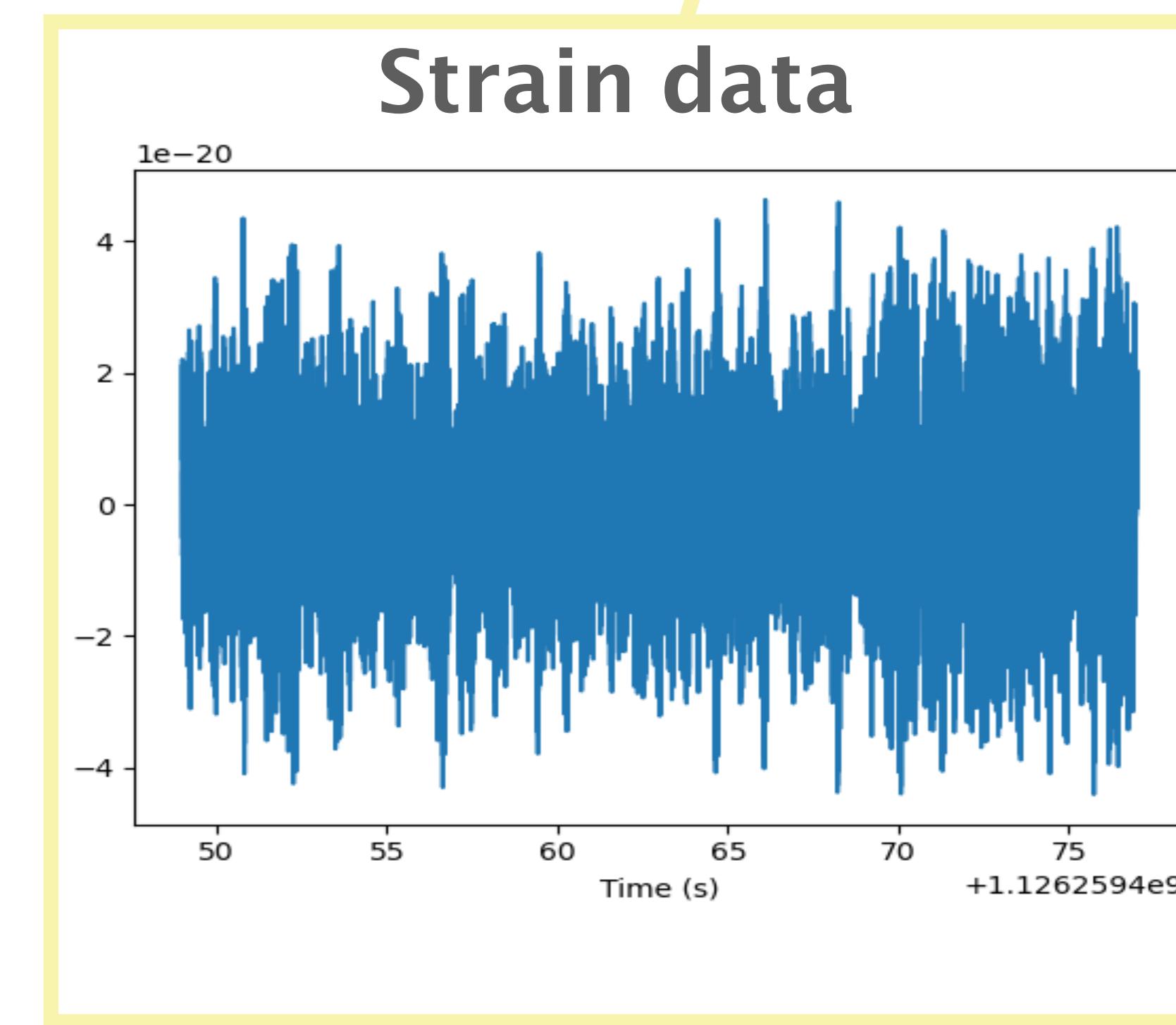
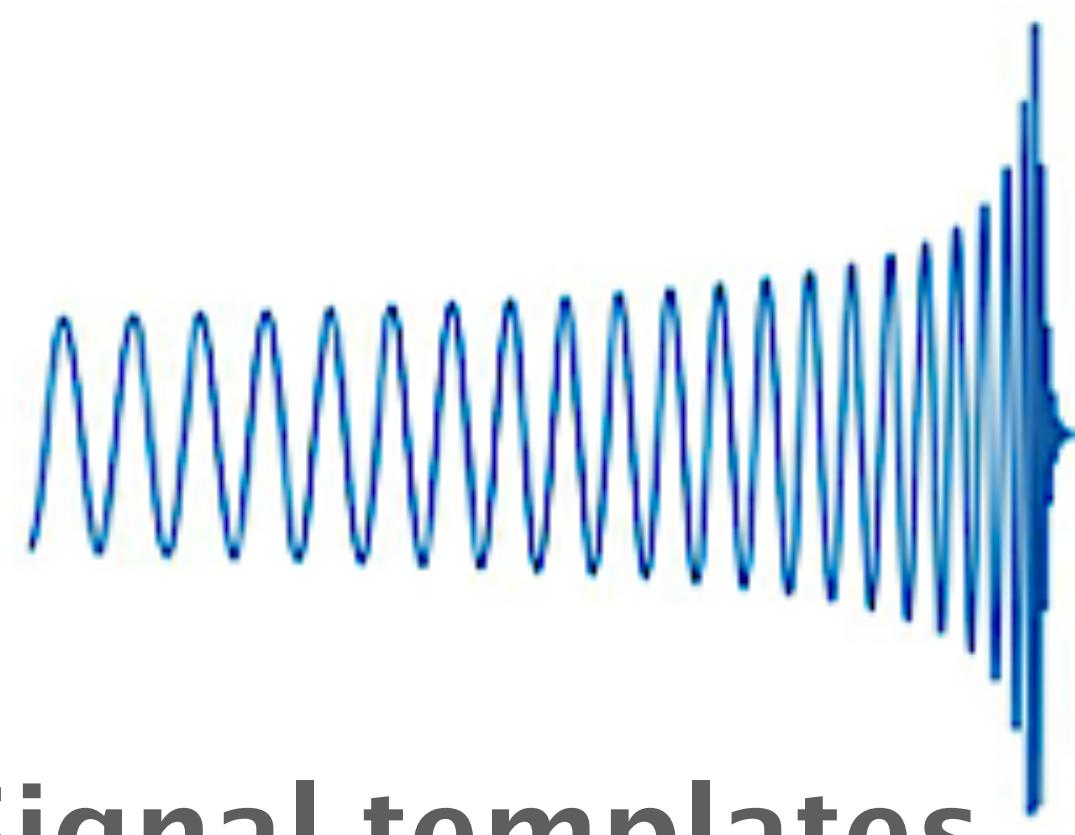
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# Matched Filtering

If we know what the signal looks like, we can use matched filtering to find signals in the data.

Matched filtering is a **correlation of a template waveform with the data**.

$$(s | h) = 4\mathbb{R} \int_0^{\infty} \tilde{s}(f) \tilde{h}^*(f) df$$



# Matched Filtering

**Step 1:** Whiten the detector data:  $d \rightarrow \frac{\tilde{d}(f_i)}{\sqrt{S_n(f_i)}}$

Whitening normalises the power at all frequencies so that any excess power at any frequency becomes obvious.

**Step 2:** Whiten the template:  $h \rightarrow \frac{\tilde{h}(f_i | \theta')}{\sqrt{S_n(f_i)}}$

Adjust the template's amplitude at each frequency to account for the detector's noise level

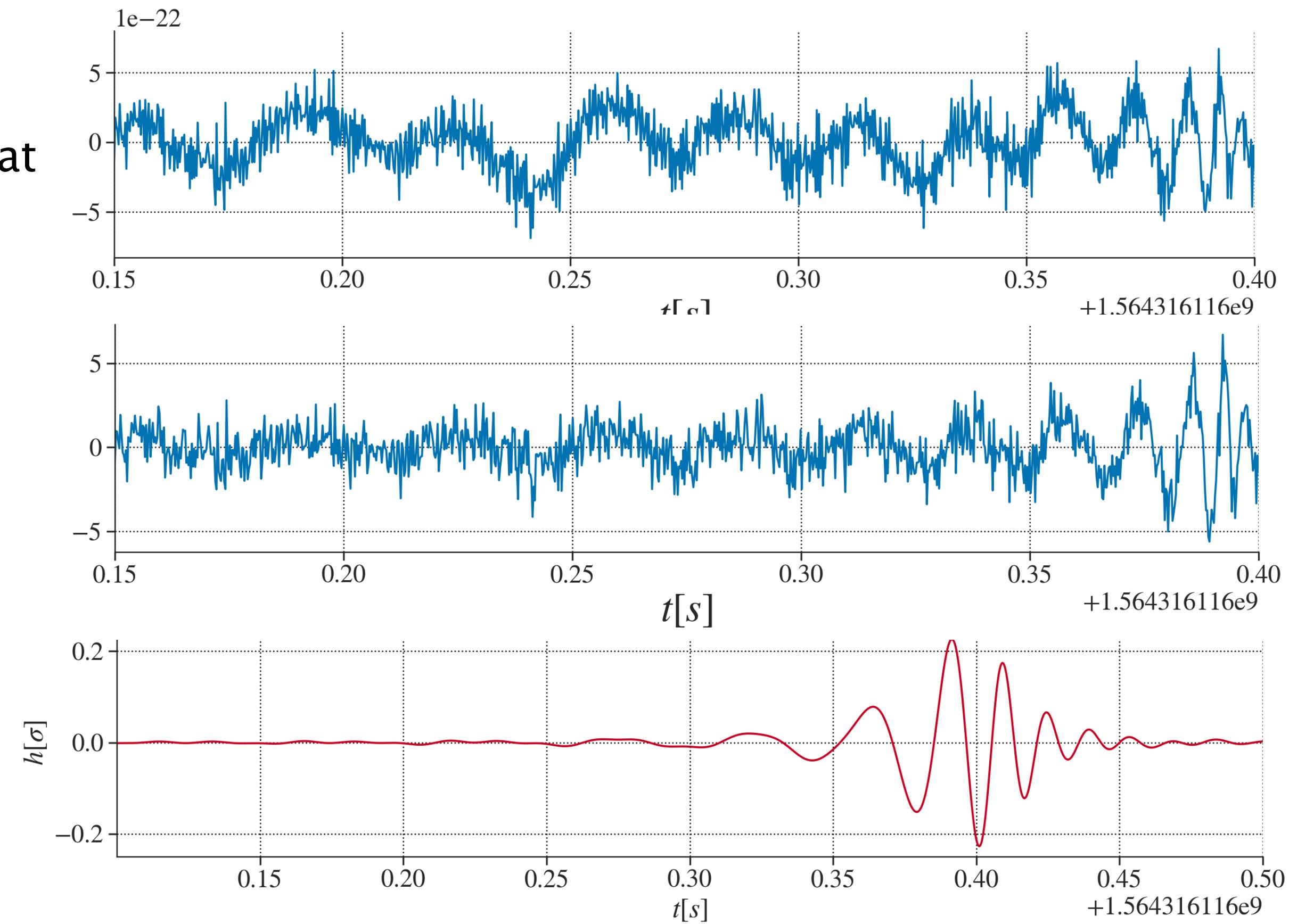
**Step 3:** Calculate the optimal signal-to-noise ratio (SNR) of the template

$$\rho_{\text{opt}}^2 = (h | h) = 4\Re \sum_{f_i} \frac{\tilde{h}^*(f_i | \theta') \tilde{h}(f_i | \theta')}{S_n(f_i)} \Delta f,$$

$\Delta f$  = frequency resolution

**Step 4:** Cross correlate the whitened data and whitened normalised template

$$\rho = \frac{(d | h)}{\sqrt{(h | h)}} \rightarrow \text{matched-filter SNR}$$



# Matched Filtering

The output of matched filtering is the **SNR time-series**.

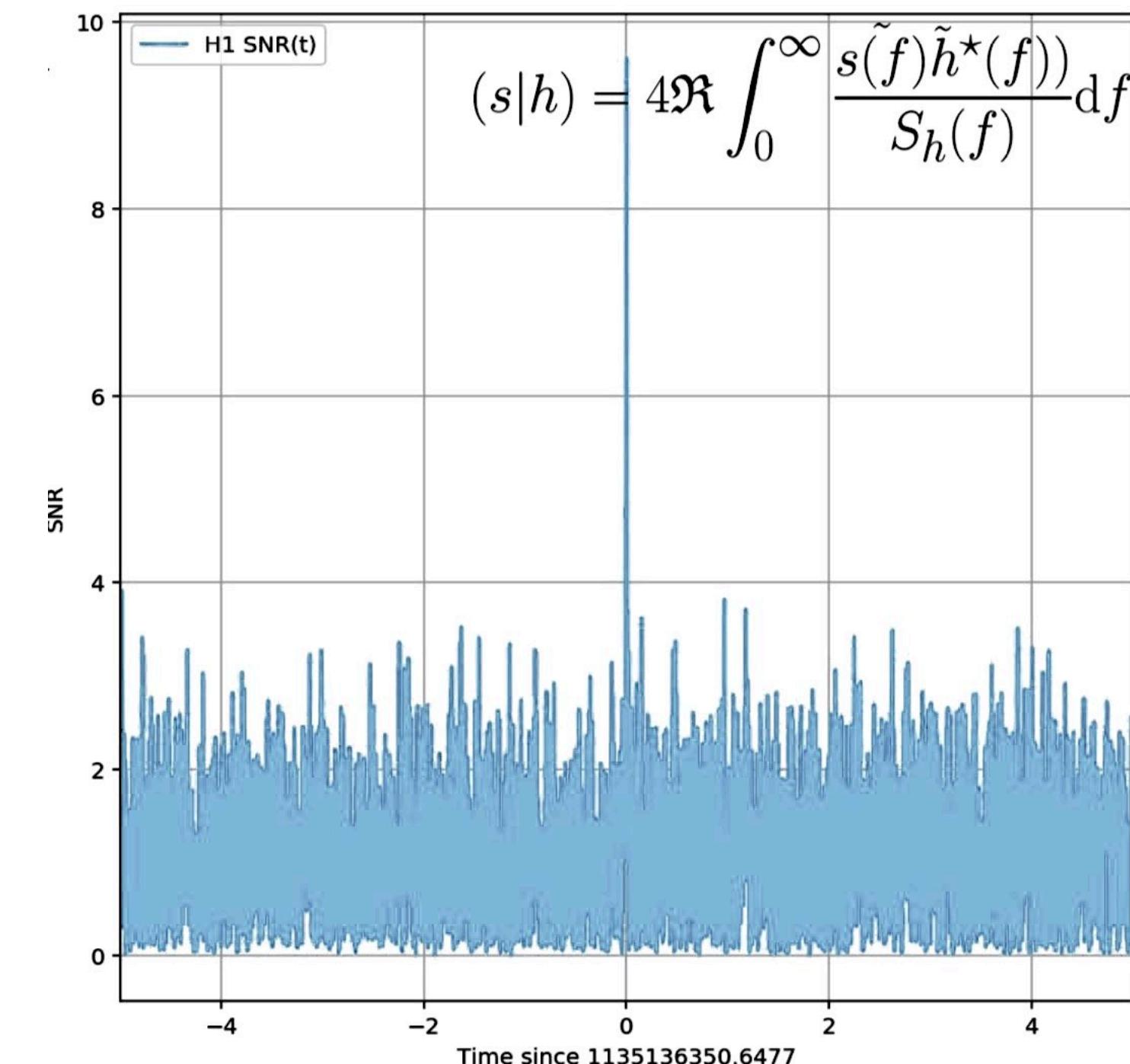
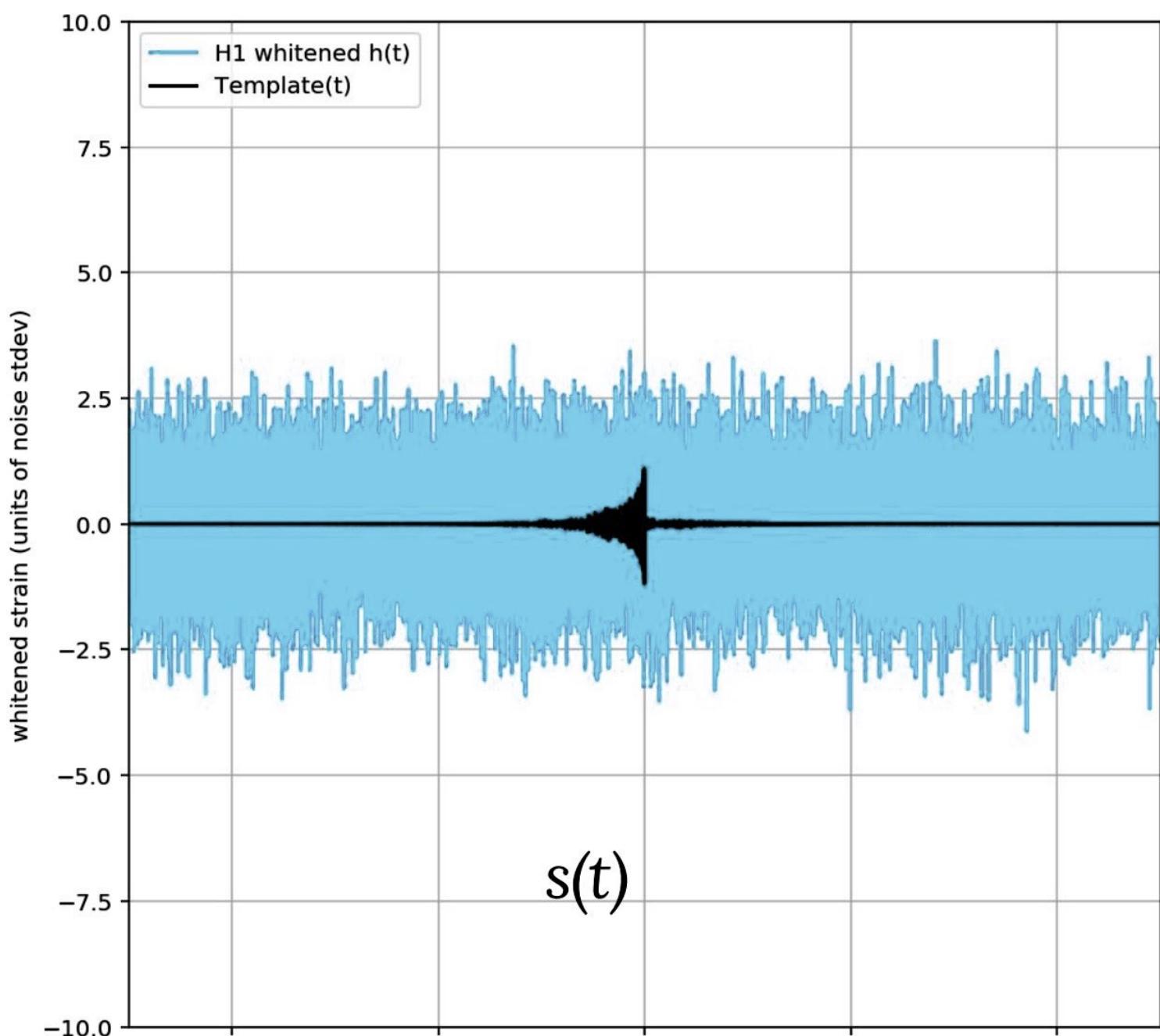
Peaks in the SNR time-series are used to identify signals in the data.

A coincident SNR peak in the data from multiple detectors increases the significance.

Optimal for signals:

- in stationary Gaussian noise
- with known PSD

(Wainstein and Zubakov, 1962)



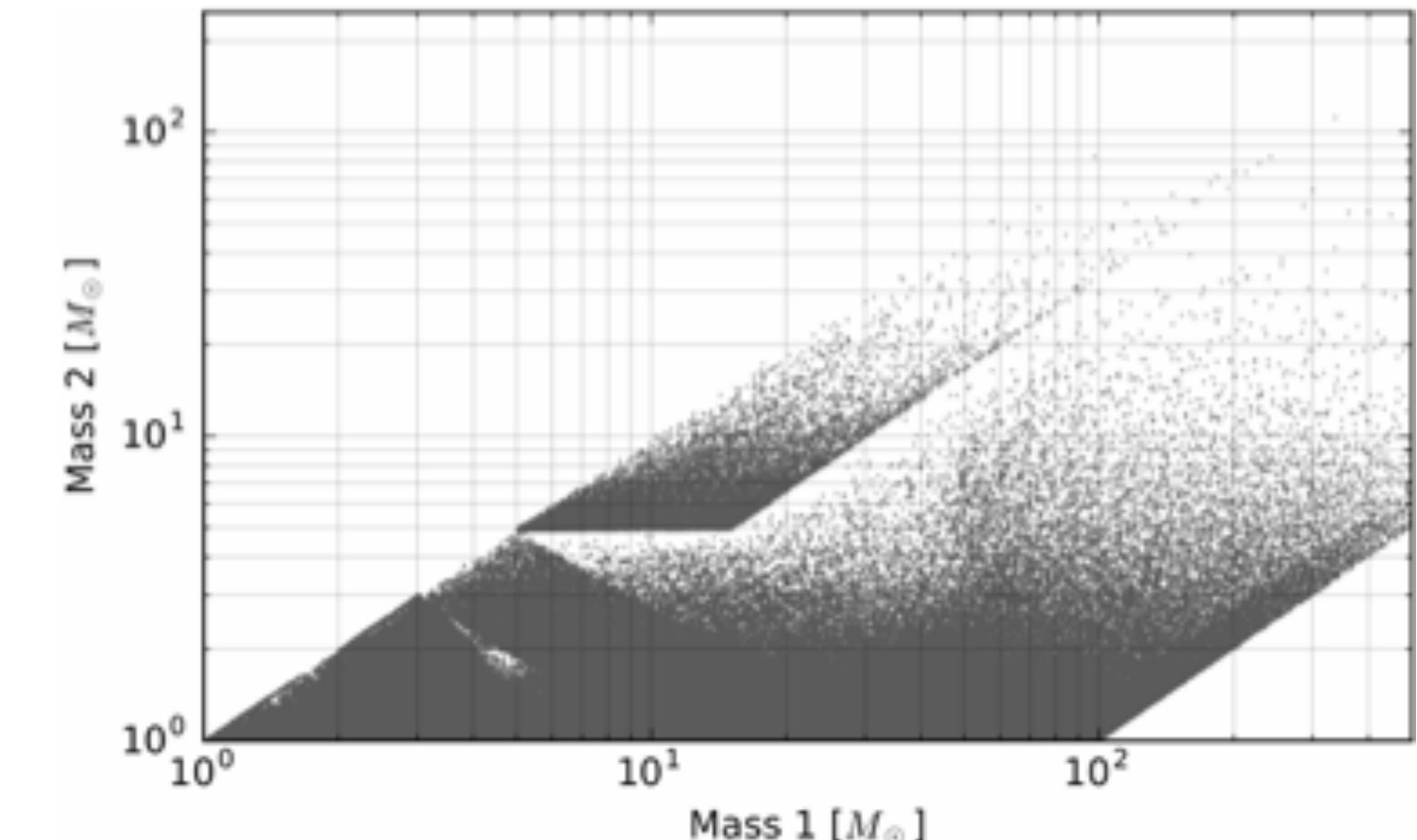
# Template Banks

Matched filtering relies on knowing the shape of the signal.

We construct template banks of waveforms that vary over the intrinsic parameters.

4D template banks -  $\{m_1, m_2, s_{1z}, s_{2z}\}$

$$N_{\text{templates}} \sim \mathcal{O}(10^5 - 10^6)$$



Template bank used for the PyCBC-Broad search for many recent publications, and contains ~400k templates

Image credit: Dal Canton and Harry (2017)

# Template Banks

If the signal perfectly matches the template we will have an optimal SNR.

Any mismatch causes an SNR loss.

The template bank is chosen such that even for signals lying between the templates, we lose no more than 3% of the optimal matched-filter SNR.

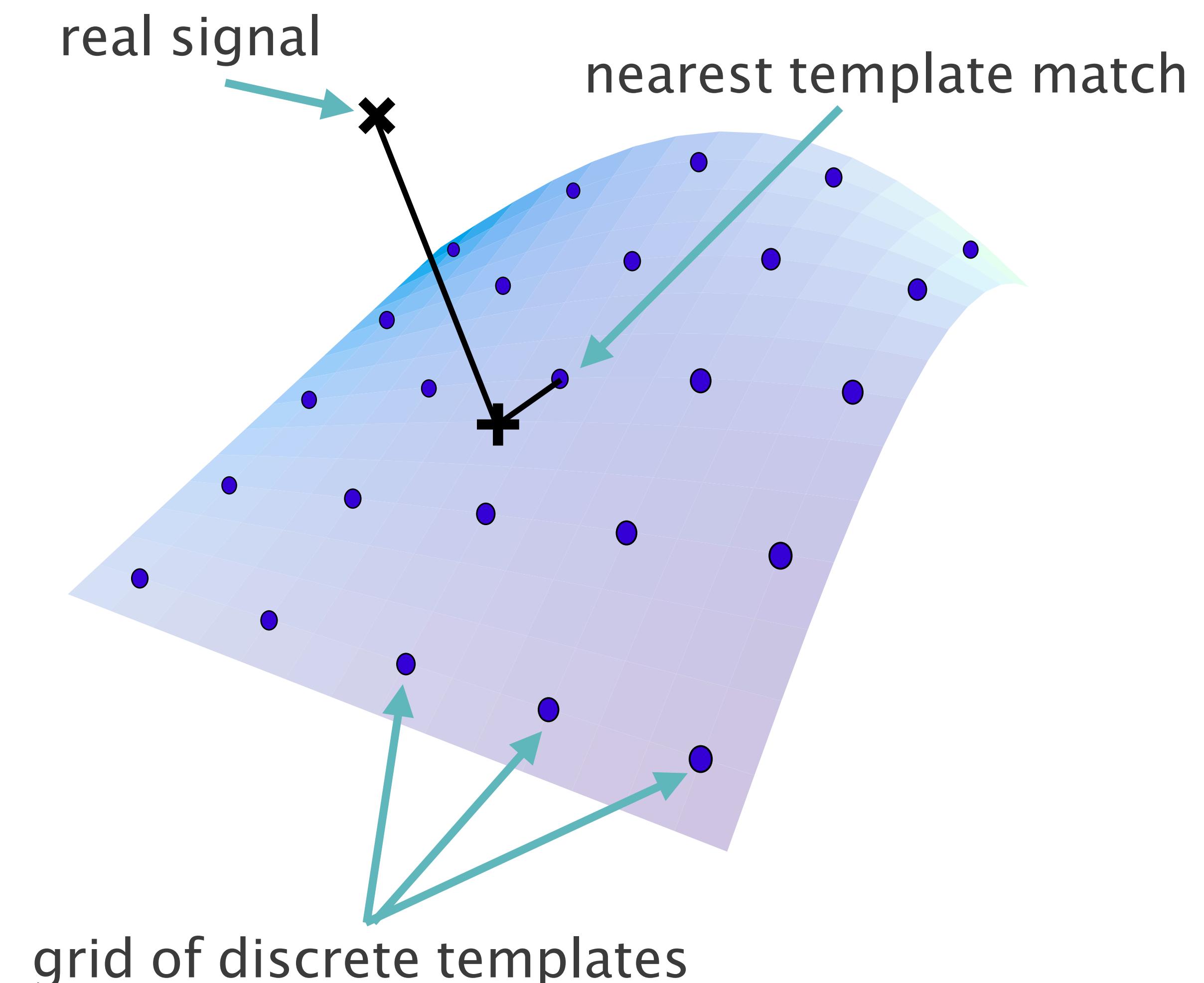
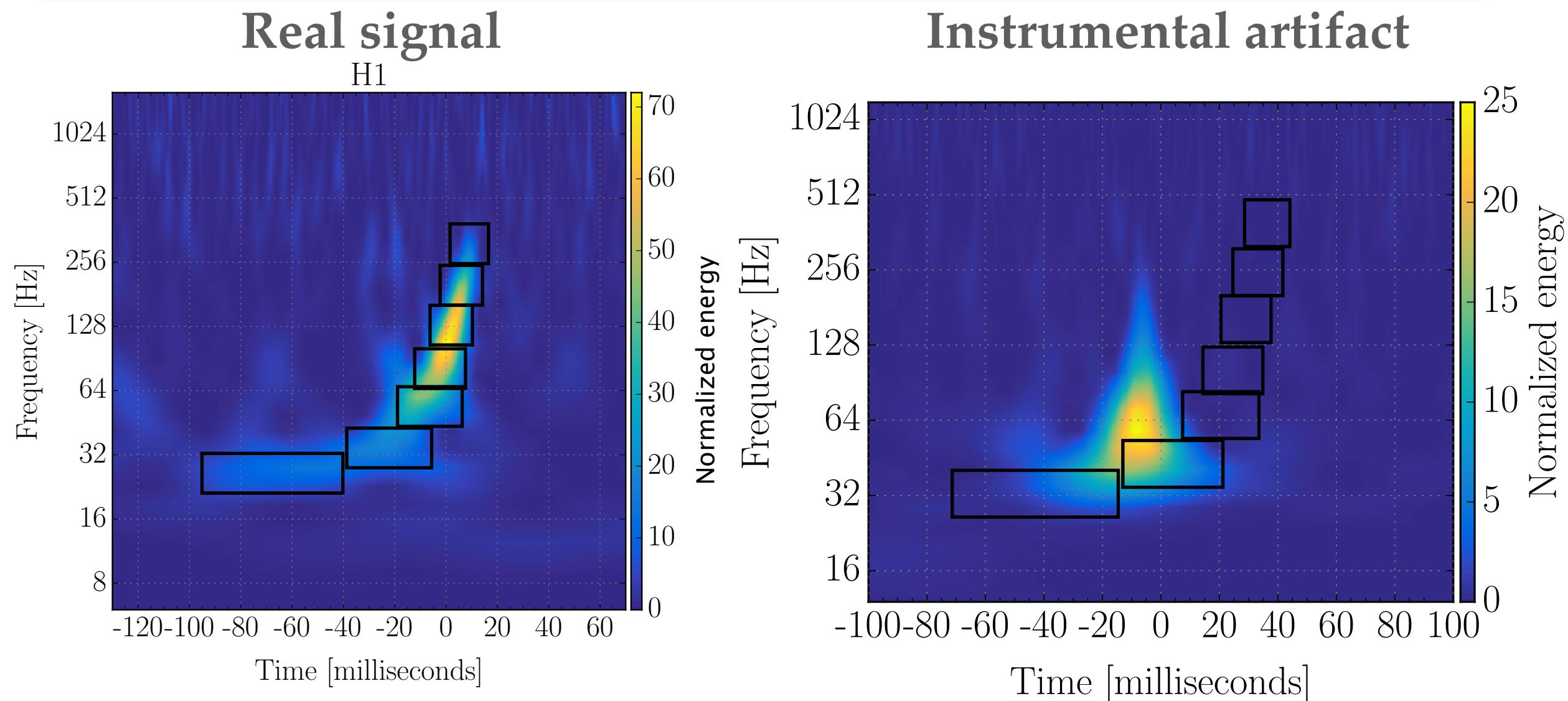


image credit: arXiv:gr-qc/9511032

# Signal Consistency Tests

## $\chi^2_r$ - test

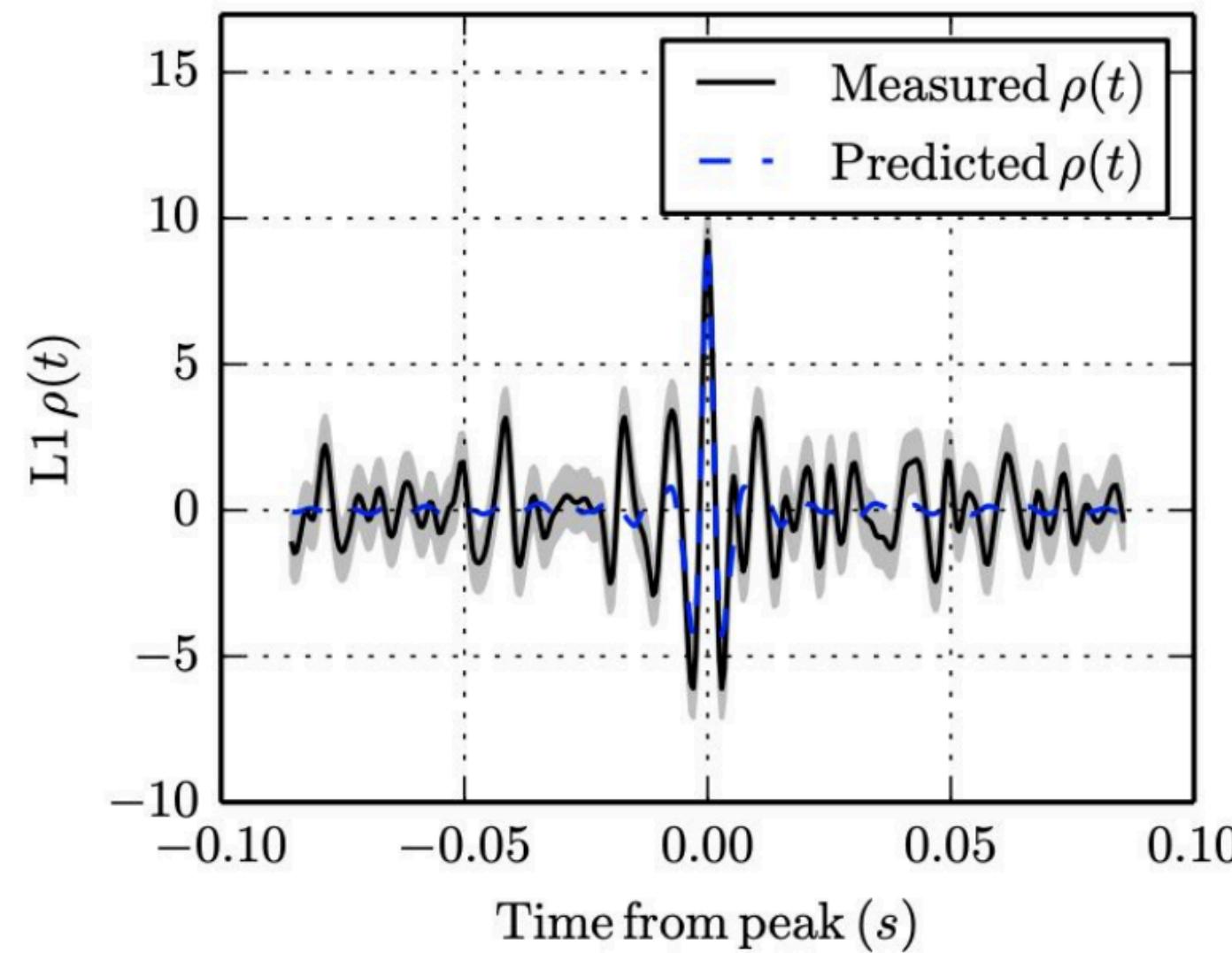


- Step-1: Divide the template into  $p$  frequency bands of equal expected power.

- Step-2: Calculate  $\chi^2_r = \frac{p}{2p-2} \sum_{l=1}^p \left( \rho_l^2 - \frac{\rho^2}{p} \right)$

- Trigger consistent with template  $\chi^2_r \rightarrow 1$ .
- Use  $\chi^2_r$ -output to calculate  $\rho = f(\rho, \chi^2_r) \rightarrow$  amended  $\rho$

## Auto-correlation test



- Matched filtering doesn't produce just an SNR peak, but a time-series of SNR data.
- Compare the SNR time-series shape to the predicted shape for a template waveform.

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# Current CBC search pipelines in use

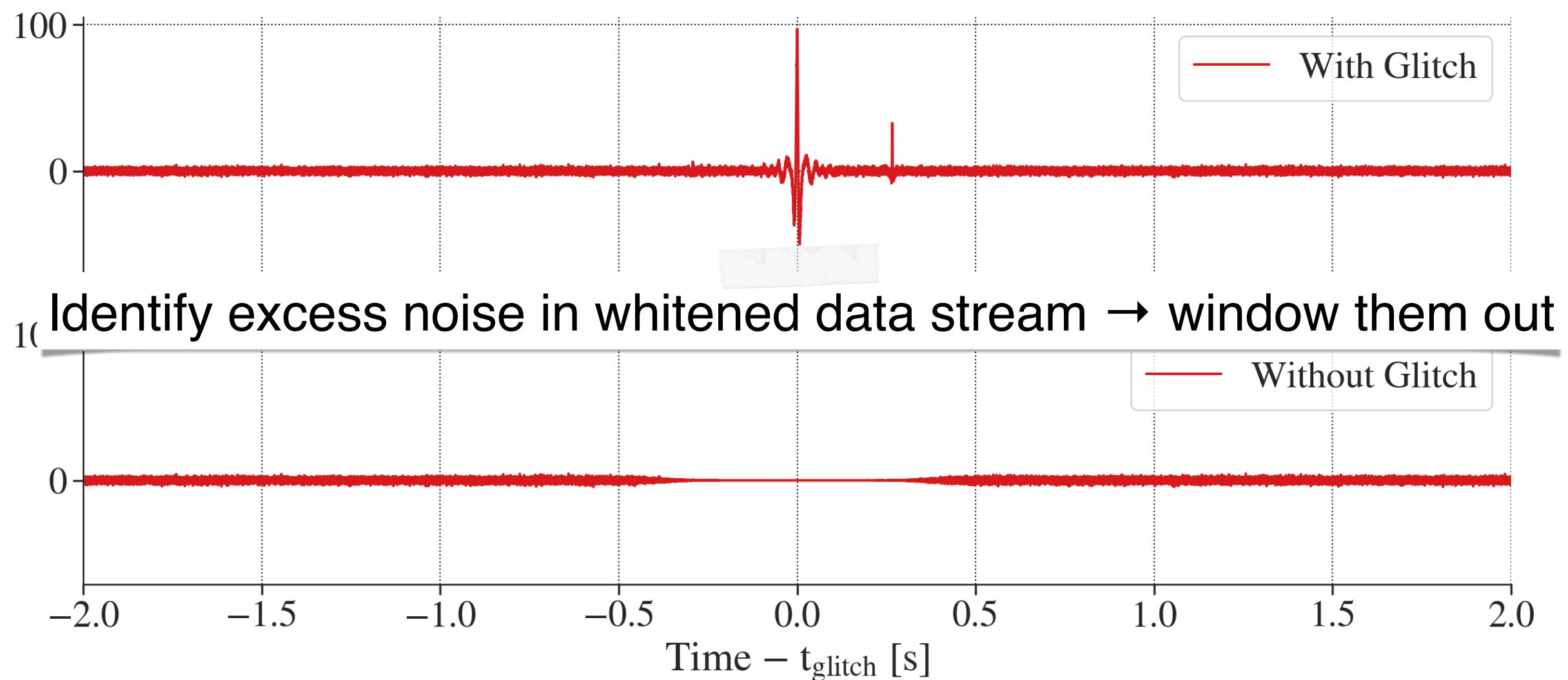
- **Templated Searches**

- PyCBC - <https://pycbc.org>
- GstLAL- <https://lscsoft.docs.ligo.org/gstlal/>
- MBTA - T. Adams et al., Class. Quant. Grav., 33 175012 (2016)
- SPIIR - Q. Chu, Ph.D. Thesis, The University of Western Australia. (2017)

- **Non-templated Search**

- cWB – [gwburst.gitlab.io](https://gwburst.gitlab.io)
  - Identifying excess in energy coherently across the detector network (in time-frequency plane) to look for transient GW events.

## Gating



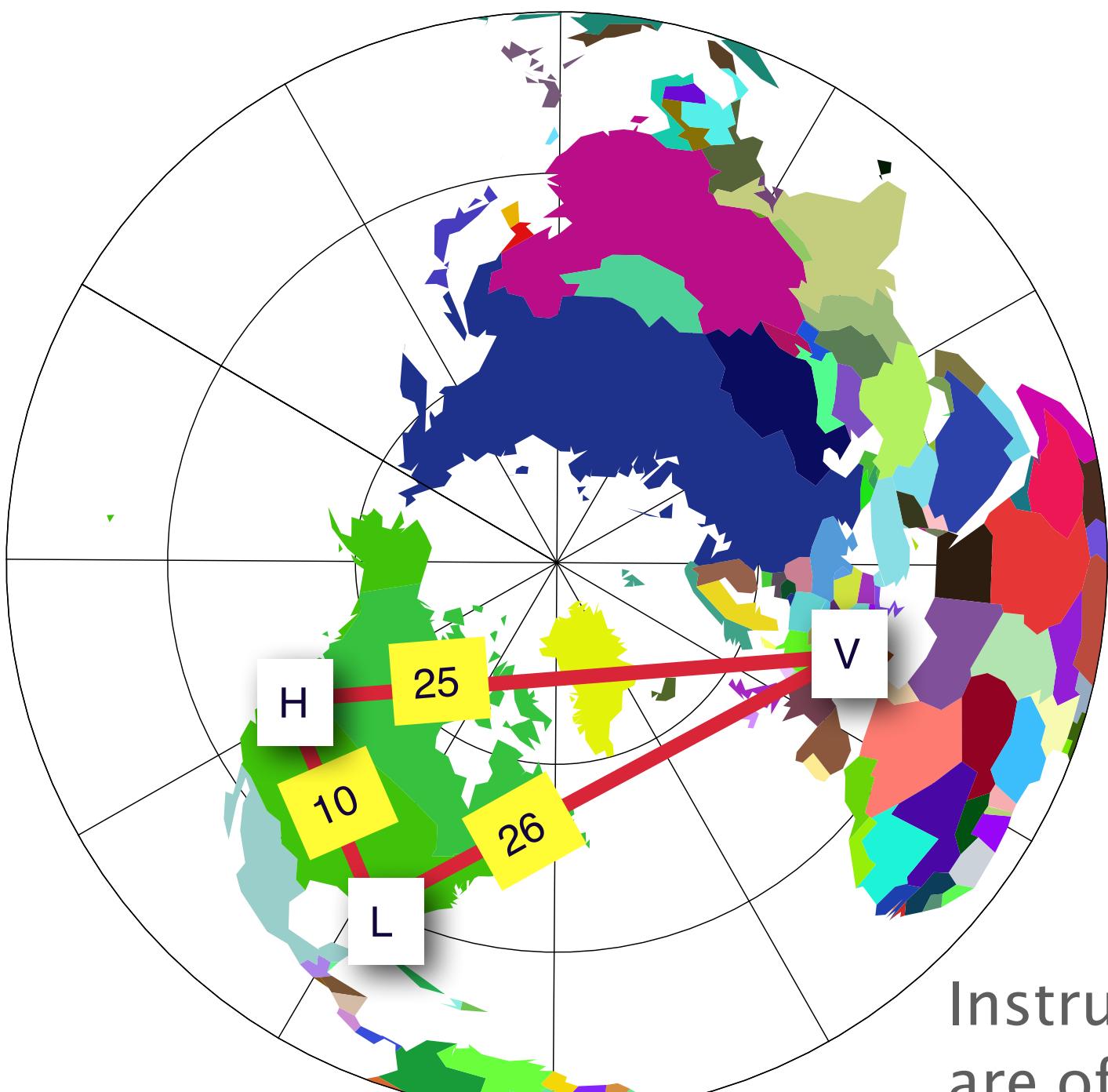
## integrated Data Quality (iDQ)

- Use machine learning and data from auxiliary channels to identify glitches.
- Clean data → improves statistical significance

## Coincidence test

If the trigger is of astrophysical origin:

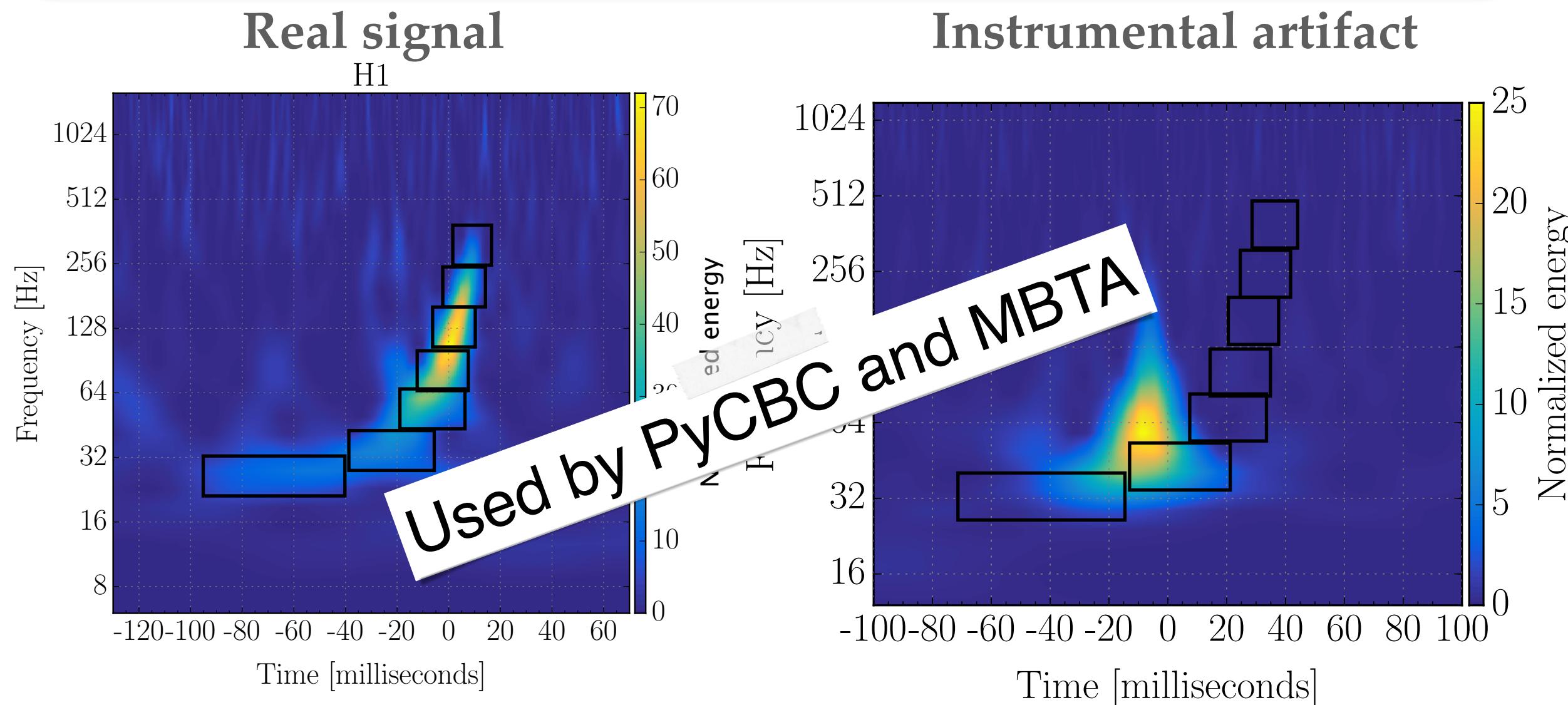
- must be observed within physically allowed time-delays across the detector network.
- must share the same best-matched template



Courtesy: Sathya

# Signal Consistency Tests

## $\chi^2_r$ - test

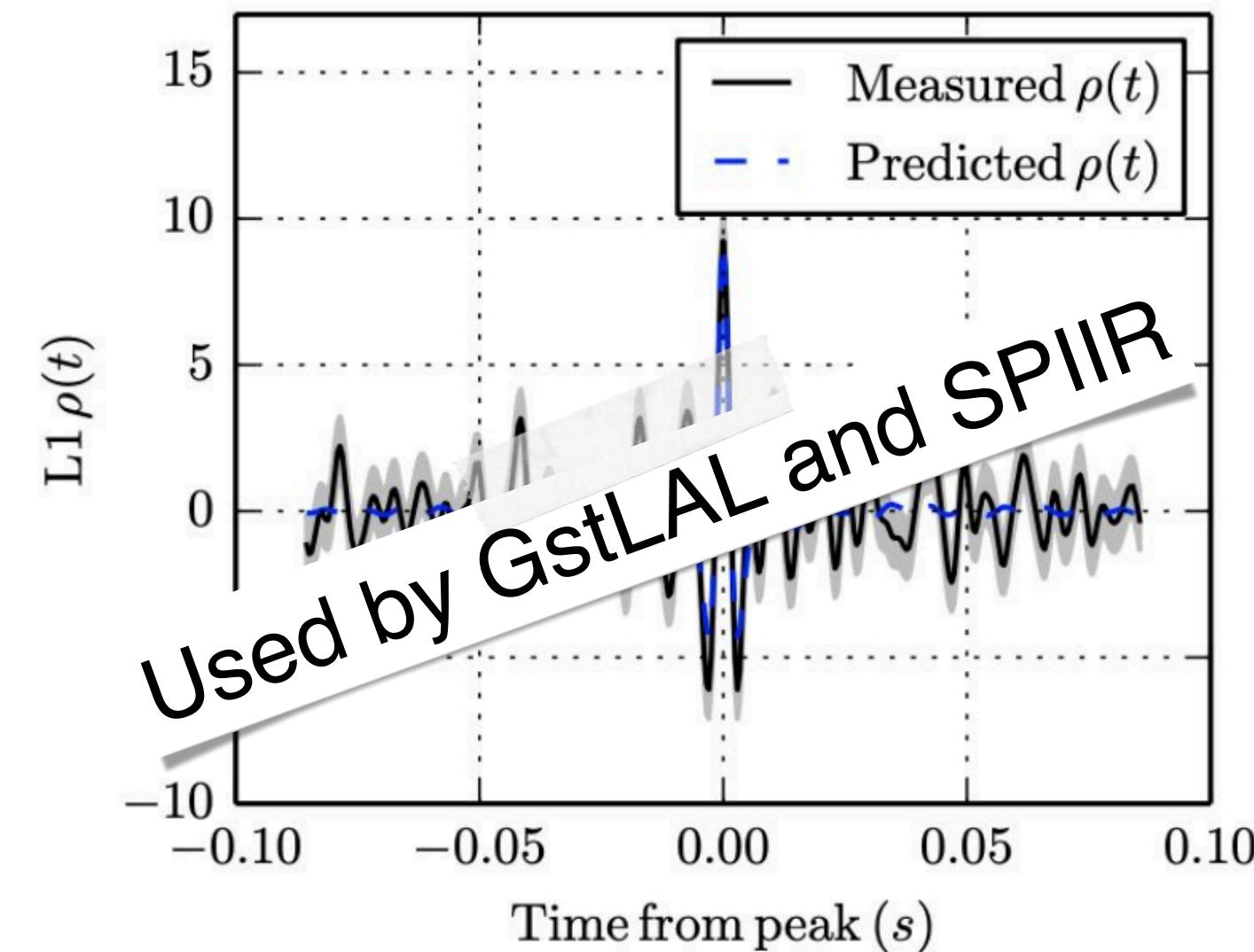


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## Auto-correlation test



- Matched filtering doesn't produce just an SNR peak, but a time-series of SNR data.
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MBTA and PyCBC both use **gating** and signal consistency tests similar to GstLAL.

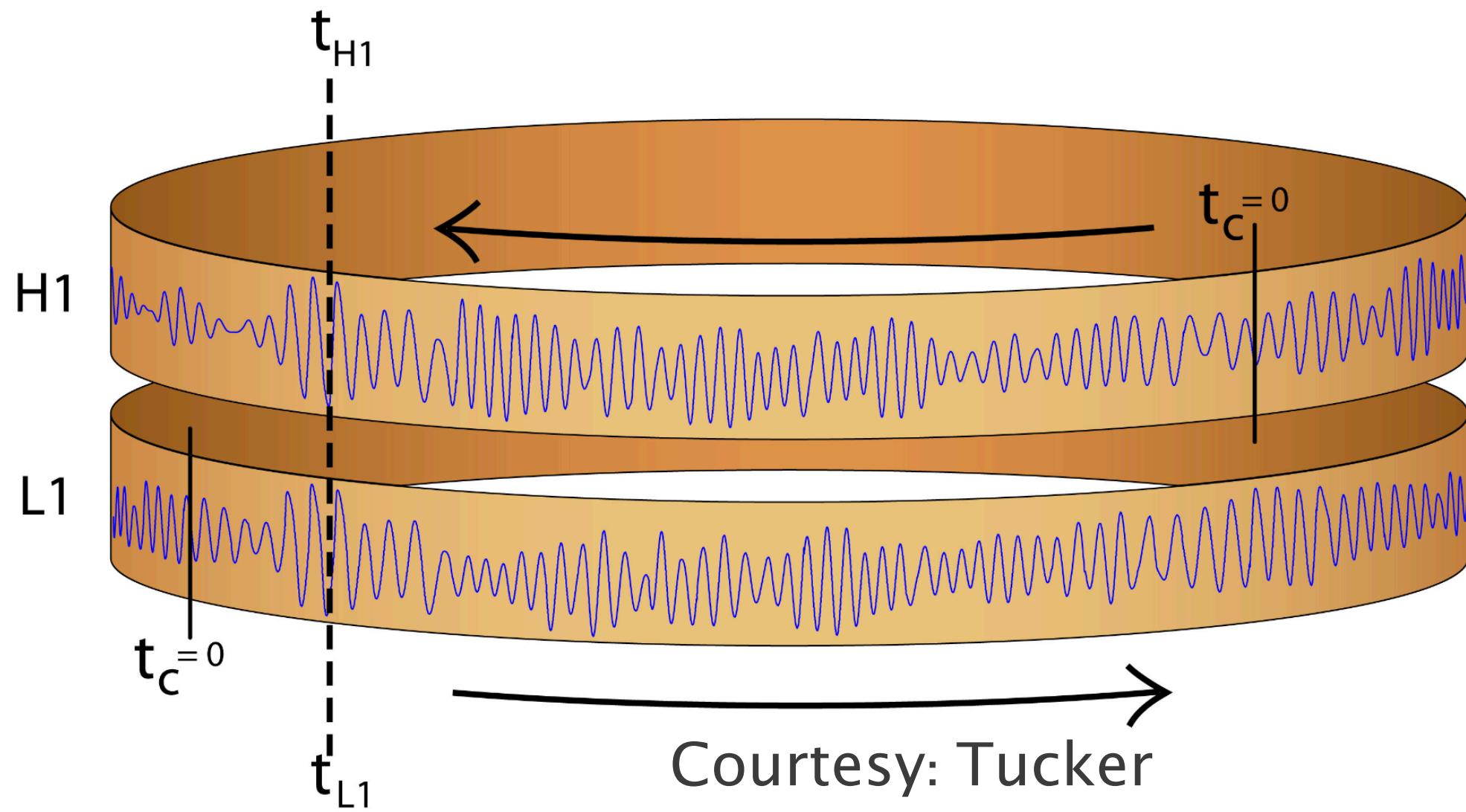
**MBTA** and **PyCBC** do not use **iDQ**, but they do use **vetoes** to reject triggers from times identified to have poor data quality.

### **MBTA: re-filter high mass templates**

- Heavy BBH systems can be mistaken for glitches due to their short duration.
- MBTA re-filters templates with duration < 3 sec. without gating first
- This helps to avoid accidentally gating real signals

# Statistical Significance

**Step-1:** Rank coincident candidates → PyCBC calculates  $R = \sqrt{\sum_{k=1}^{N_{\text{ifo}}} q_I^2}$ , where  $N_{\text{ifo}} = \# \text{ of detectors in the network}$ . Other pipeline do this differently

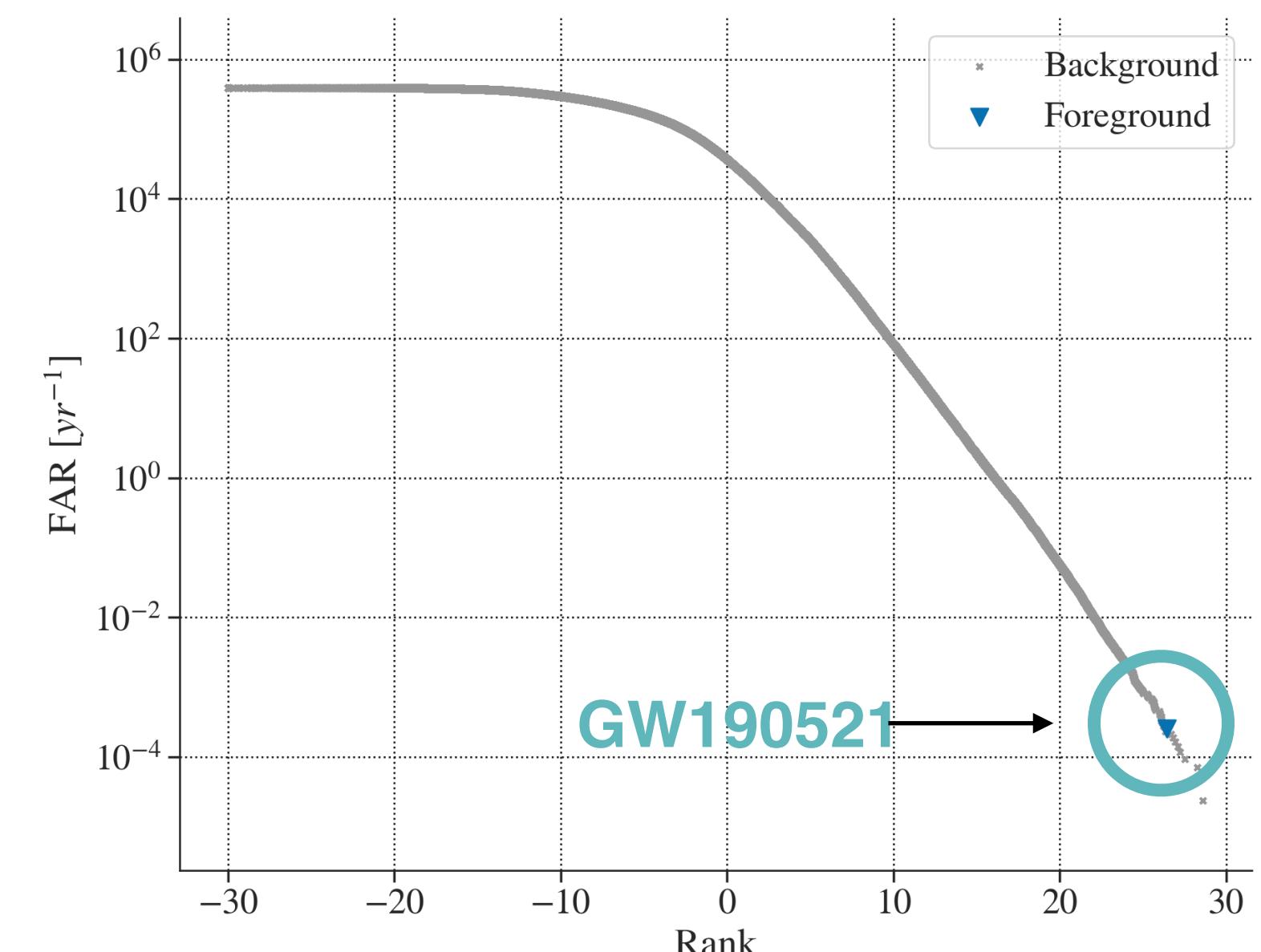


**Step-2:** Generate background triggers by **time-slides method** → shift one detector's data with respect to other(s) and look for accidental coincidences. (GstLAL doesn't use this method.)

**Step-3:** Calculate false alarm rate or FAR :=  $\frac{1 + n_b(R_b > R)}{T_b}$ ,

$n_b = \# \text{ of background triggers with rank } R_b > R \text{ in time } T_b$

Related to false alarm probability  $p = 1 - e^{-\text{FAR} \times T}$



# Summary

- GW signals from compact binary mergers are in general well-modelled.
- CBC searches find gravitational waves from **merging black holes** and **neutron stars** in LIGO/Virgo/KAGRA strain data.
- Current search rely on **matched filtering** - a correlation between the data and numerical or analytical models of the signal - to identify signals in the data.
- Real detection pipelines - **PyCBC**, **MBTA**, **GstLAL** and **SPIIR** - each employs similar but distinct methods to:
  - implement matched filtering
  - improve sensitivity in non-stationary non-Gaussian data, and
  - reduce computational cost