

# **FORCESPRO**

Software License Agreement for Academic Use

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# **IMPORTANT NOTICE:**

READ THE TERMS AND CONDITIONS OF THIS LICENSE AGREEMENT BEFORE DOWNLOADING, INSTALLING OR USING THE SOFTWARE OR ITS DOCUMENTATION.

THIS LICENSE AGREEMENT REPRESENTS THE AGREEMENT BETWEEN YOU (THE "LICENSEE") AND EMBOTECH AG (HEREINAFTER "EMBOTECH") CONCERNING THE SOFTWARE AND ITS DOCUMENTATION.

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# 1. **DEFINITIONS**

- I. "AGREEMENT" means this license agreement including any and all annexes thereto.
- II. **"SOFTWARE"** (FORCESPRO) is a software system owned by EMBOTECH. It consists of two main parts:
  - a. "**DEVELOPMENT SOFTWARE**", which runs on desktop computing platforms to configure EMBEDDABLE SOFTWARE.
  - b. "EMBEDDABLE SOFTWARE" refers to the part of SOFTWARE that, after initial configuration by DEVELOPMENT SOFTWARE, implements the functionality i.e. it implements EMBOTECH algorithms and performs the numerical calculations to control or to operate a physical system, possibly on an embedded computing platform.

Note: DEVELOPMENT SOFTWARE is not required for the operation of EMBEDDABLE SOFTWARE once it has been configured.

# III. NODES / LICENCE OPTIONS:

- a. **"ENGINEERING NODE"** is a single physical hardware system controlled by LICENSEE and accessed by a single user (engineer) to run DEVELOPMENT SOFTWARE and configure EMBEDDABLE SOFTWARE.
- b. "**SOFTWARE TESTING NODE**" is a single PC, workstation, server virtual machine or container controlled by LICENSEE that runs software tests with EMBEDDABLE SOFTWARE (possibly in a cloud-hosted application).
- c. "HARDWARE TESTING NODE" is a single physical hardware system controlled by LICENSEE that runs EMBEDDABLE SOFTWARE in an automated fashion and interacts with a physical system (e.g., a wind turbine), or a simulation thereof in real-time (i.e. hardware-in-the-loop testing, HiL).

Any reference to a "**NODE**" herein shall be either to an ENGINEERING NODE, SOFTWARE TESTING NODE, or a HARDWARE TESTING NODE.

- IV. "CONFIGURATION" refers to the specific inputs into DEVELOPMENT SOFTWARE needed to configure EMBEDDABLE CODE for the LICENSEE's particular application.
- V. "ACADEMIC ENTITY" is a degree-granting, state recognized educational institution. Research and development divisions of companies, centers of universities and other not-for-profit organizations do *not* qualify as an ACADEMIC ENTITY.
- VI. "ACADEMIC INTERNAL OPERATIONS" are the installation and use of the SOFTWARE by faculty and academic staff or students for the purpose of performing software administration, teaching, and non-commercial academic research without an industrial collaboration partner. Research carried out with or on behalf of an industrial partner does *not* qualify as ACADEMIC INTERNAL OPERATION.



# 2. LICENSE GRANT

EMBOTECH hereby grants to the LICENSEE a single, non-exclusive, world-wide, non-perpetual and non-commercial license to use DEVELOPMENT SOFTWARE and EMBEDDABLE SOFTWARE subject to all the terms and conditions of this AGREEMENT. The license to the SOFTWARE is granted to the LICENSEE for the duration of this AGREEMENT and such license shall terminate with the termination of this AGREEMENT.

In particular, LICENSEE is allowed to:

- install and use the DEVELOPMENT SOFTWARE on a maximum number of ENGINEERING NODEs, controlled by the LICENSEE, in accordance with the LICENSE OPTIONS acquired;
- install and use the EMBEDDABLE SOFTWARE on the computing platform where DEVELOPMENT SOFTWARE controlled by the LICENSEE is installed
- install and use the EMBEDDABLE SOFTWARE on a maximum number of HARDWARE TESTING NODEs, controlled by the LICENSEE, in accordance with the LICENSE OPTIONS acquired;
- install and use the EMBEDDABLE SOFTWARE on a maximum number of SOFTWARE TESTING NODEs, controlled by the LICENSEE, in accordance with the LICENSE OPTIONS acquired;
- access and print all available documentation for the SOFTWARE; and
- make back-ups for the SOFTWARE;

The exact number of NODEs is stated on the quote and invoice. If this number is not stated, (e.g. on older invoices and quotes), the default numbers are:

- 1 ENGINEERING NODE and
- 0 SOFTWARE TESTING NODES and
- 0 HARDWARE TESTING NODES.

EMBEDDABLE SOFTWARE may be deployed only on the specific platform(s) as specified in LICENSE OPTIONS when purchasing a license.

Licenses are validated by means of a fingerprinting system or a network floating license server. Any attempt to circumvent the effectiveness of EMBOTECH's licensing system immediately terminates this AGREEMENT upon written notice by EMBOTECH.

# 3. LICENSE RESTRICTIONS AND OBLIGATIONS

The license granted to the LICENSEE covers the use of the SOFTWARE at an ACADEMIC ENTITY solely for ACADEMIC INTERNAL OPERATIONS solely by the LICENSEE himself. The license is subject to the restrictions set forth below. LICENSEE shall not without explicit and written consent of EMBOTECH:

 use the SOFTWARE to perform research for any institution that does not qualify for academic pricing, i.e. the use does not qualify as ACADEMIC



- INTERNAL OPERATIONS and/or the use is not performed at an ACADEMIC ENTITY or on computing platforms not owned by an ACADEMIC ENTITY;
- share results obtained with SOFTWARE under this AGREEMENT with an entity that is not an ACADEMIC ENTITY other than through a publicly available publication.
- use of EMBEDDABLE SOFTWARE in production (i.e. for commercial purposes);
- Use of SOFTWARE on public roads;
- Use of SOFTWARE in private grounds without liable supervision (safety driver, remote operator or equivalent)
- Use of SOFTWARE in defense related applications
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- modify or create any derivative of the SOFTWARE;
- re-sale, rent, lease, or loan the SOFTWARE, or provide service bureau or similar service use;
- disassemble, decompile, reverse engineer the SOFTWARE or otherwise attempt to gain access to its method of operation or source code;
- publish data about the performance of the EMBEDDABLE SOFTWARE without written consent from EMBOTECH;
- create a server for use of the SOFTWARE by multiple users.

For use of the SOFTWARE in research which includes non-academic / commercial / industrial partners, please refer to Exhibit 1.0

## LICENSEE is required to

- inform EMBOTECH about any publication that contains results obtained with SOFTWARE, by sending a copy of the publication in PDF format to EMBOTECH to *publications@embotech.com* once the publication is publicly available. This includes pre-print services such as ArXiv;
- Inform EMBOTECH about any conferences/events where papers/demos are presented that contain results obtained with the SOFTWARE or use the SOFTWARE.
- reference SOFTWARE appropriately in any publication, including presentations.
- 6 months after activation of the SOFTWARE provide feedback on functionality and usage based on the questionnaire provided by EMBOTECH.

#### 4. NON-COMPETITION

LICENSEE agrees not to use the SOFTWARE or EMBEDDABLE SOFTWARE to make or distribute its own or a third party's application, a principal purpose of which, as reasonably determined by EMBOTECH, is to perform the same or similar functions as SOFTWARE licensed by EMBOTECH or which replaces any component of the SOFTWARE.

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Ownership, intellectual property rights and all other rights in and to the SOFTWARE as well as related bug fixes, extensions, interfaces, new features (derivative works) developed by EMBOTECH shall solely remain with EMBOTECH.

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During the term of this AGREEMENT, EMBOTECH shall provide a maintenance service for the SOFTWARE which consists of: delivering subsequent releases of the SOFTWARE, if any, and exerting reasonable efforts to both (a) provide, within a reasonable time, workarounds for any material programming errors in the current release of the SOFTWARE that are directly attributable to EMBOTECH, and (b) correct such errors in the next available release, provided LICENSEE provides EMBOTECH with sufficient information to identify the errors.

During the term of this AGREEMENT, LICENSEE shall also be entitled to receive technical support unless the LICENSE to use the SOFTWARE was provided free of charge. Technical support means assistance by electronic mail with the installation and/or use of the SOFTWARE, including its interaction with supported hardware and operating systems – up to a maximum of 1 hour per license NODE and up to a maximum of 3 hours per LICENSE GROUP.

Additional technical support or custom modifications, extensions and interfaces are not part of technical support, but may be provided by EMBOTECH to LICENSEE upon request against payment.

#### 7. LICENSE DURATION AND TERMINATION

LICENSEE understands and agrees that the license will expire automatically immediately after its corresponding six (6) month period from the date where the SOFTWARE has been delivered, unless renewed by both parties.

EMBOTECH shall have the right to terminate this AGREEMENT with thirty (30) days written notice should LICENSEE breach any of its material obligations, including failure to pay any license fees due, and have failed to cure such breach within thirty (30) days after written notification given by EMBOTECH.

LICENSEE may terminate this AGREEMENT at any time, for any reason. LICENSEE shall not be entitled to any refund if this AGREEMENT is terminated.

Upon termination, LICENSEE shall promptly return all copies of the SOFTWARE in LICENSEE's possession or control, or promptly provide written certification of their destruction.

#### 8. CONFIDENTIALITY



LICENSEE herewith agrees that any confidential information concerning the SOFTWARE, which is received hereunder, shall be kept in strict confidence and shall not be disclosed to any third party nor be used other than in connection with this AGREEMENT during the term of this AGREEMENT, and for 3 (three) years beyond expiration or termination of this AGREEMENT.

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# 11. SURVIVAL OF ARTICLES

Articles 8 (Confidentiality), 9 (Warranties) and 10 (Liability & Indemnity), shall survive the termination or expiration of this AGREEMENT for any reason in addition to those articles surviving by operation of law.

#### 12. AMENDMENTS TO AGREEMENT

The terms stipulated in this AGREEMENT may not be modified in any way without the mutual consent of the parties in writing.

# 13. INDEPENDENT CONTRACTOR

For the purpose of this AGREEMENT and all services to be provided hereunder, both parties shall be, and shall be deemed to be, independent contractors. Nothing in this AGREEMENT shall be construed to create a partnership, joint venture, employment or agency relationship between EMBOTECH and LICENSEE. Neither party shall have authority to make any statements, representations or commitments of any kind, or to take any action, that will be binding on the other party.

#### 14. NO TRANSFER OF RIGHTS AND DUTIES

Rights and duties derived from this AGREEMENT shall not be transferred or assigned to third parties without the written acceptance of EMBOTECH. In particular, the SOFTWARE cannot be sold, licensed, rented out or otherwise made available to third parties by the LICENSEE other than in accordance with this AGREEMENT.

## 15. NO IMPLIED GRANT OF RIGHTS

The parties shall not infer from this AGREEMENT any other rights, including licenses, than those that are explicitly stated herein.

#### 16. SEVERABILITY

If any provisions of this AGREEMENT will become invalid or unenforceable, such invalidity or enforceability shall not affect the other provisions of AGREEMENT. These shall remain in full force and effect, provided that the basic intent of the parties is preserved. The parties will in good faith negotiate substitute provisions to replace invalid or unenforceable provisions which reflect the original intentions of the parties as closely as possible and maintain the economic balance between the parties.

#### 17. APPLICABLE LAW

This AGREEMENT as well as any and all matters arising out of it shall exclusively be governed by and interpreted in accordance with the laws of Switzerland, excluding



its principles of conflict of laws.

#### 18. JURISDICTION

In case of any dispute, controversy or difference between the parties hereto in connection with or out of this AGREEMENT, the parties hereto shall first attempt to settle it amicably. Should settlement not be achieved, the Courts of Zurich-City, Switzerland, shall have exclusive jurisdiction.

# **EXHIBIT 1.0 USING SOFTWARE IN RESEARCH WITH/FOR THIRD PARTIES**

In the case that the SOFTWARE is used in research which is ordered by or involves non-academic / commercial / industrial partners parties the following rules apply:

The SOFTWARE is to be installed and used exclusively within the academic organization/lab of the LICENSEE. No code is to be shared with the third-party commercial/industrial parties.

# The LICENSEE is required to

- Inform EMBOTECH about the nature of the project, its objectives and the commercial / industrial partners involved
- reference SOFTWARE appropriately in any publication, including presentations and other communication materials.
- inform EMBOTECH about any publication that contains results obtained with SOFTWARE, by sending a copy of the publication in PDF format to EMBOTECH to *publications@embotech.com* once the publication is publicly available. This includes pre-print services such as ArXiv;
- Inform EMBOTECH about any conferences/events where papers/demos are presented that contain results obtained with the SOFTWARE or use the SOFTWARE.