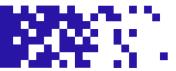


# KDSH 2025

**TEAM: Poor GPU** 

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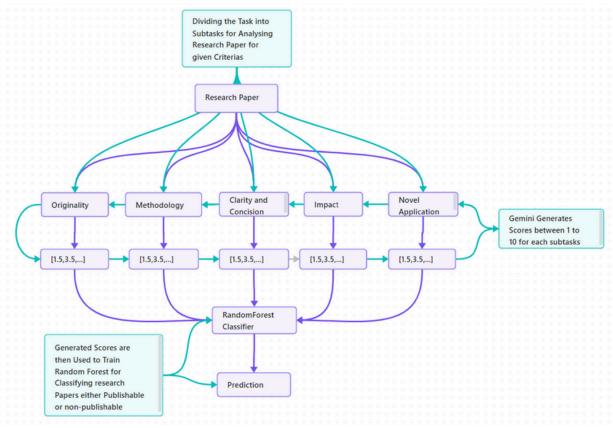
# Research Paper Publishability Assessment

#### **Objective**

The goal of Task 1 is to classify research papers as either "Publishable" or "Non-Publishable" using a systematic scoring methodology and machine learning. By leveraging scores generated by the LLM (gemini-1.5-flash) for key evaluation criteria, we aim to develop a Random Forest classification model capable of accurately categorizing research papers.

#### Step-by-Step Process:

- 1) First we divide the Task in 5 subtasks. We ask the The LLM Model to Analyse Whole Research Paper and score the Research Paper in between 1 to 10 based on the Criterias defined below:
  - Originality, Methodology, Clarity and Conclusion, Impact and Novel Application



#### 2) Generating Scores Using LLM:

For Generating Scores We Used the Gemini free Version LLM Model.

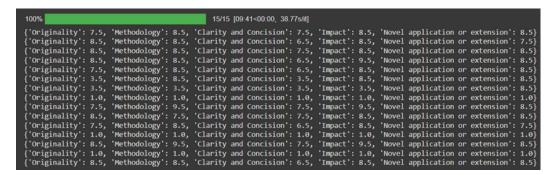
Gemini is a powerful and efficient LLM capable of generating accurate and context-aware evaluations of research papers. Gemini has been extensively trained on a diverse range of research papers and related academic content, equipping it with the capability to analyze research papers effectively. This extensive training allows Gemini to evaluate critical criteria such as originality, methodology, impact, clarity, and novelty with precision. Its ability to understand complex academic language and provide nuanced scores ensures a systematic and reliable assessment of research papers for their publishability.

#### • LLM Utilization:

- The **gemini-1.5-flash** LLM free version is employed to score each paper on the **five subtasks.**
- A carefully crafted prompt is designed to instruct the LLM to evaluate the paper text and generate a score (on a scale from 1 to 10) for each subtask based on its respective criteria.

#### Process:

The 15 labeled research papers are fed to the LLM one by one. For each paper, the LLM generates a set of scores for the five subtasks.



The Results of the Scores Generated By Gemini for 15 labeled Papers for each subtasks.

#### 3) Training the Classification Model:

- Data Preparation: The labeled dataset of 15 research papers includes feature vectors (scores) and labels (Publishable or Non-Publishable).
- Dataset Split: 12 papers for training, 3 for testing.
- Model Selection: Random Forest, chosen for its robustness with small datasets.
- Training: Feature vectors from the training set are used to train the model.
- **Testing**: Model performance is validated on the testing set.

The high F1 score (close to 1) is due to the small dataset, enabling the Random Forest model to effectively learn patterns. Additionally, Gemini's accurate scores align well with the evaluation criteria, providing a robust feature set that enhances model performance.

Accuracy: 1.0									
Classification Report:									
Р	recision	recall	f1-score	support					
0	1.00	1.00	1.00	1					
1	1.00	1.00	1.00	2					
accuracy			1.00	3					
macro avg	1.00	1.00	1.00	3					
weighted avg	1.00	1.00	1.00	3					

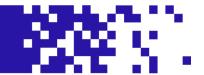
After training the **Random Forest classifier**, the model is saved using the Python library **joblib**. This allows the trained model to be reused for predicting the output of new data **without the need for retraining**. This approach ensures efficiency and convenience, as the saved model can be loaded and deployed directly for inference.

#### 4) Classification of Unlabeled Research Papers:

The **trained Random Forest model** was used to **classify 135 unlabeled research** papers. **Scores** for the five subtasks were generated using the LLM, and the resulting feature vectors were passed through the model. Each paper was classified as "**Publishable" (1) or "Non-Publishable" (0)**, and the results were stored in a pandas DataFrame and exported as a **CSV file** for use in Task 2.

	paper_id	Originality	Methodology	Clarity and Concision	Impact	Novel application or extension	is_publishable_pred
0	P128	7.5	9.5	6.5	9.5	8.5	1
1	P132	3.0	3.5	3.5	7.5	8.5	0
2	P085	6.5	3.5	6.5	7.5	3.0	1
3	P120	7.5	7.5	6.5	8.5	7.5	1
4	P107	2.5	7.5	3.5	7.5	7.5	0
5	P065	7.5	7.5	7.5	7.5	7.5	1
6	P078	7.5	3.5	3.5	7.5	9.5	0
7	P035	3.5	3.5	4.5	7.5	3.5	0
8	P111	8.5	8.5	7.5	9.5	9.5	1
9	P123	8.5	9.5	6.5	9.5	8.5	1

# 2 Reason Of Using Scoring Technique and Not Using LLM



Reason for Using Scoring Technique Instead of LLM Fine-Tuning in Task 1

#### 1. Limited Labeled Data:

**Fine-tuning an LLM** requires a large dataset for generalizable performance. With only **15 labeled research papers** available, fine-tuning **risks overfitting** and failing to capture meaningful patterns.

#### 2. Token Limitations:

Many LLMs, like **SciBERT**, have token limits **(e.g. 512 tokens)**, making it difficult to process entire research papers spanning **5–10 pages**. Splitting papers into chunks can result in a **loss of context, disrupting relationships** between sections like methodology and results.

#### 3. Advantages of Scoring with LLMs:

- Holistic Analysis: Using LLMs like Gemini-1.5-Flash, which support large token windows, enables processing entire papers at once, preserving context across sections such as Abstract, Introduction, and Results.
- Domain Knowledge: Gemini, trained on vast datasets, including research papers, evaluates content effectively based on originality, methodology, clarity, impact, and novelty.
- **Tailored Prompts:** Instead of fine-tuning, task-specific prompts allow the LLM to provide accurate scores, reducing the need for additional training.

#### 4. Modularity of Subtasks

Breaking the task into subtasks (e.g., originality, methodology) allows detailed and transparent evaluation, providing insights into a paper's strengths and weaknesses. Subtask-based scoring is inherently interpretable, as each score reflects a specific aspect of the paper, ensuring transparency and justifiability in the final classification.

#### 5. Use of Classical ML Models:

**Random Forests** are ideal for small datasets, effectively handling LLM-generated scores while being robust and interpretable.

#### **Objective:**

The goal of Task 2 was to develop a **Real Time Pipeline** using "**Pathway"** that evaluates research papers that were predicted to be **Publishable** by Task 1 and recommends the **most suitable conferences** based on their content. The pipeline ensures recommendations **align** with conference **themes**, **scope**, **and objectives**, enabling efficient paper-to-conference matching. The system was also tasked with generating **detailed and contextual rationales** to justify the recommendations, ensuring alignment with the themes and objectives of the targeted conferences.

# **Approach:**

- Real-time Data Integration: Configured Pathway's Google Drive connector in streaming mode to monitor and process incoming research papers in real-time.
- Embedding Pipeline:Utilized MIXED BREAD AI embeddings in conjunction with Pathway's document store, configured via .yaml for document chunking (using pathways PaarseUnstructured) to optimize processing efficiency.
- Conference Matching: Developed a chunk-based voting system that uses REST API queries (with top\_k=1) to identify the most relevant conference for each paper based on metadata mapping.
- Rationale Generation: Employed LLMs to generate 130-150 word Rationale for each paper-conference match, providing clear justifications for recommendations.
- **Data Persistence:**Automated the storage of results in CSV format to track conference recommendations and paper matches.

#### Workflow:

# Creating a REST API for document indexing and querying for similar chunks:

1. Setting up the data source using **Pathway Google Drive Connector**:

The documents are sourced from a Google Drive location, with the following configuration:

- The source is connected using the **pw.io.gdrive.read** plugin.
- The object\_id refers to a specific google drive folder.
- Stored metadata is later used to find out which folder the paper is from (i.e. whether a labelled paper was published and in **which conference**).
- The source will be checked each 30 secs for updates.

#### 2. Embedding Model

- The model used is **mixedbread-ai/mxbai-embed-large-v1**, a large-scale embedding model with 335M parameters.
- The SentenceTransformerEmbedder class from the pw.xpacks.llm.embedders
  package is employed to apply this model to the documents.

#### 3. Document Parser:

- The ParseUnstructured class is employed to process PDFs into a list of strings.
- The parser uses a **default caching strategy** to improve performance by reusing previously parsed data when possible.

#### 4. Document Splitter:

- The TokenCountSplitter is used to divide the text into chunks.
- The **max\_tokens** parameter is set to 400. This ensures that all of the chunks fit in the 512 token limit of our selected embedder.
- It also attempts to break chunks at sensible points such as punctuation marks.

#### 5. Document Retrieval: looking for the most similar chunk of text

- The LshKnnFactory is used to create a retrieval index. It uses Locality-Sensitive
   Hashing (LSH) for approximate nearest neighbor search faster than BruteForceKnn.
- The retrieval is based on the cosine distance between the query and document embeddings.

#### 6. Document Store: storing the embeddings efficiently

 The Pathway DocumentStore from pw.xpacks.llm.document\_store is used for storing the embeddings.

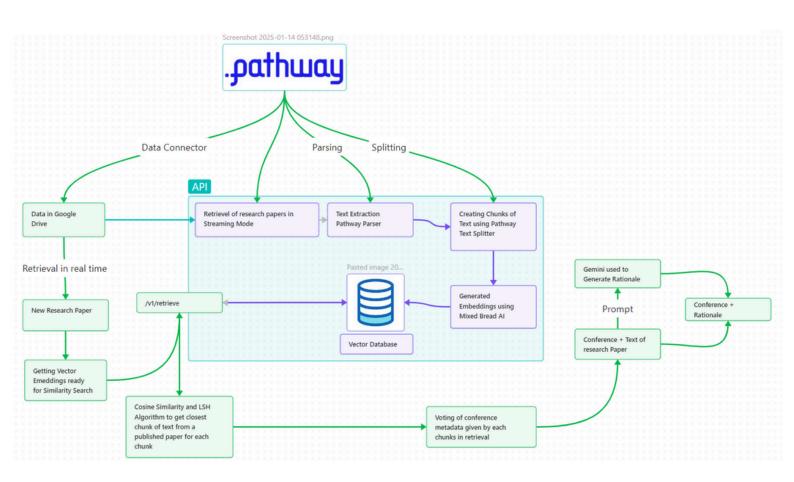
The document store is designed to facilitate the efficient storage, indexing, and retrieval of document chunks.

#### 7. API Endpoint Configuration:

The setup involves a REST API with the **/v1/query** endpoint for querying and returning most similar chunks of texts given some text.

#### 8. Cache:

 Caching is enabled to improve performance by storing the results of previous queries, reducing the need for repeated computations.



#### Query and Retrieval using API call:

#### 1. Input Data Handling:

- Research Paper Ingestion: The system starts by ingesting research papers from Google Drive in PDF format. This is done using Pathway's Google Drive connector. When ever a new paper is added a callback function on\_change gets triggered due to using pw.io.subscribe function which ensures any changes made in pathway table sends a callback.
- A **dictionary mapping** Conference Folder id's to Conference Name was made, as we can **extract parents folder id** from **metadata** of the retreived files ,mapping to conference name would be easier.

#### 2. PDF Text Extraction:

- PyMuPDF for Text Extraction: To extract the textual content from the PDFs, Fitz of PyMuPDF was used. Fitz enables fast and efficient extraction of raw text from PDF documents while maintaining the structure and formatting where possible.
- **Data Cleaning:**The data extracted has many "\n" characters between words which need to be replaced by **spaces** for **meaningful cleaned** text.

#### 3. Text Chunking:

- Chunking Process: Once the text is successfully extracted from the PDFs,it is split
  into smaller chunks using Pathway's TokenCountSplitter function ensuring
  compatibility with the models used in the next steps (e.g. Querying).
- **Ensuring Context Retreival :**The Pathway's TokenTextSplitter ensures that the words are **not being cut** in between while chunking ,the splitter **carefully splits** so that context remains intact.

#### 4. Conference Prediction:

- Similarity-based Retrieval: Each chunk of text is then sent to a REST API for similarity-based retrieval. The API queries Pathway's document store to find relevant research paper's chunks or conference details that match the content of the chunk.
- Voting Mechanism for Aggregation: Since each paper is chunked into multiple pieces, a voting mechanism is implemented to aggregate the conference predictions from each individual chunk. This ensures that the final conference recommendation for the paper is derived from the consensus across all chunks.
- Top-K Strategy: The top\_k parameter is set to "1", meaning that the API will return the most similar result for each chunk. This helps identify the best possible conference for the paper based on its content.

#### 5. Rationale Generation:

Detailed Rationales: Once the conference predictions are made, the system
generates detailed rationales explaining why a particular conference was chosen.
This is done by using a structured prompt sent to the Gemini-1.5-Flash
model, which provides contextual explanations based on the paper's content and
its relevance to the predicted conference.

In **Task 2**, the **classification system** from **Task 1** was **seamlessly integrated** to enhance the **end-to-end pipeline.** The process is as follows:

#### 1. Real-Time PDF Retrieval:

Using **Pathway's Google Drive connector in streaming mode**, **research papers** in PDF format are automatically **retrieved** whenever added to the designated folder.

#### 2. Text Extraction:

The textual content of the retrieved PDFs is extracted using **PyMuPDF**, ensuring the data is clean and formatted for processing.

#### 3. Scoring and Classification:

- Function Import from Task 1: The functions for generating scores using the Gemini model were imported from Task 1. These ensure consistency and reusability in scoring across tasks.
- Trained Random Forest Model: The Random Forest model from Task 1, saved using joblib, is loaded to classify the papers.
- Score Generation: Gemini is used to generate scores for the extracted text based on evaluation criteria (Originality, Methodology, Clarity, Impact, Novelty).
- Classification: The generated scores are passed to the Random Forest model to classify the paper as either Publishable or Non-Publishable.

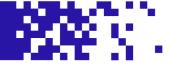
#### 4. RAG-Based Conference Recommendation System:

- For papers **classified as Publishable**, the **RAG-based system** is executed to get the conference it belongs .
- A 130-150 word rationale is generated using **Gemini**, explaining the **conference recommendation** based on the paper's content.

#### 5. Output Generation:

 The final results, including the publishability classification, conference recommendation, and rationale, are compiled into a CSV file for easy tracking and reporting.

# **5** Challenges and Solutions



#### **CHALLENGES**

# **SOLUTIONS**

**Fine-tuning an LLM** could lead to **overfitting**, which might restrict its ability to capture the full **context of the problem.** This would limit the model's adaptability to a variety of scientific papers and criteria.

Instead of fine-tuning,we opted for a **scoring** approach where the LLM is used to evaluate a paper based on predefined **scientific criteria**. This allows us to leverage the model's **capabilities without overfitting** and ensures the evaluation process remains contextually aware.

Due to the **restrictions** of the **Free Gemini API**, there was a risk of incomplete or **failed responses** when generating scores, leading to inconsistencies in the training and evaluation process.

To address this, **a retry mechanism** with **delays** was implemented to ensure the scores were properly generated. This approach allows for **automatic retries** and manages temporary API failures, ensuring reliable output without **manual intervention**.

When we were trying to build the **entire pipeline** of Task 2 as the **same program**, the resultant code seemed to end up being very **complicated** and **hard to manage**. It was especially hard to manage **real-time updates on data sources** (e.g. GDrive).

Being heavily influenced by the **LLM App** repository by Pathway, we decided to build the document index as a REST API, in which we only needed to carefully configure the **app.yaml**. We ended up implementing the rest of the solution as a different program the utilizes the **retrieve** endpoint of this API.

Increased latency due to BruteForceKnn and making sure there's no absence of a caching mechanism in querying of the Index We used **pw.stdlib.indexing.LshKnnFactory** instead of BruteForceKnn and used **cosine** similarity as the distance metric, instead of **euclidean**. We set **with\_cache: true** in our configuration YAML file.

Entire research papers could **not be embedded** or queried due to **token limit constraints**.

Papers were divided into **smaller, manageable chunks** using a text chunking mechanism, ensuring each chunk stayed within token limits while **maintaining context.** 

Dividing papers into multiple chunks required querying each chunk separately. Consequently, setting top\_k=1 for all queries meant identifying the best match for each chunk, but there was no holistic decision-making for the paper.

Introduced a **voting system** where each chunk contributed a vote to a conference. The conference receiving the **highest votes** across chunks was chosen as the **most suitable**, balancing individual chunk evaluations with **overall relevance**.



#### Task 1 Results:

Out of the **135 Unlabebled Research Papers** Our Model Classified **88 Research Papers** as **Publishible** and **47 Papers as Non-Publishible** 

```
Number of publishible papers predicted 88
Number of non-publishible papers predicted 47
```

#### Task 2 Results:

- The system successfully classified research papers as publishable or nonpublishable.
- For publishable papers, it recommended conferences such as CVPR, NeurIPS, EMNLP, TMLR, and KDD.Rationales provided meaningful insights, focusing on methodology, novelty, relevance, and impact.
- Results were stored in a CSV file, making them easy to interpret and share.
- Out of 135 papers,
  - 11 Papers are predicted to be presented in KDD
  - 14 papers are predicted to be presented in NeurIPS
  - 34 Papers are predicted to be presented in EMNLP
  - 5 Papers are predicted to be presented in TMLR
  - o 24 Papers are predicted to be presented in CVPR

```
Out of 135 Papers, 11 Papers are predicted to be submitted in KDD Out of 135 Papers, 14 Papers are predicted to be submitted in NeurIPS Out of 135 Papers, 34 Papers are predicted to be submitted in EMNLP Out of 135 Papers, 5 Papers are predicted to be submitted in TMLR Out of 135 Papers, 24 Papers are predicted to be submitted in CVPR Out of 135 Papers, 47 Papers are predicted to be Non Publishable
```