# SURVIVAL ANALYSIS - NCDB

Kelvin Ofori-Minta University of Texas at El Paso (UTEP)

July 05, 2022

#### ${\bf Contents}$

1	Loading Data and Preparations  1.1 Partition Data	3
2	COXPH model for predictors of mortality - ALL DATA	4
3	format results of cox model	6
4	Predicting Risks scores and Hazard Ratio from COX PH Model 4.1 Distribution of Riskscores	<b>9</b>
5	Hazard Ratios	11
6	Scatterplots of Riskscores vs Hazard Ratios	13
7	Riskscores from Est.PH	15
	Est.PH {survC1} - Derivation of a risk score by a Cox proportioal hazard model 8.1 Obtain Risk scores from the best predictors of mortality	

## 1 Loading Data and Preparations

lung<-read.csv("lung\_data2.csv") #predictors of mortality</pre>

#### 1.1 Partition Data

```
require(caTools)
set.seed(1)
split = sample.split(lung$DX_LASTCONTACT_DEATH_MONTHS, SplitRatio = 0.85)
train=subset(lung, split==T)
test=subset(lung, split==F)
```

#### 2 COXPH model for predictors of mortality - ALL DATA

```
library("survival")
# library("survminer")
lung$Chemo=relevel(as.factor(lung$Chemo), ref="No Chemo")
cox_fit1 <- coxph(Surv(DX_LASTCONTACT_DEATH_MONTHS,PUF_VITAL_STATUS) ~ Chemo +</pre>
                      AGE_cat+
                      SEX +
                      CDCC_TOTAL_BEST +
                      TUMOR_SIZE_cat +
                      GRADE +
                      Visceral_Pleural_Invasion+
                      LYMPH_VASCULAR_INVASION2+
                      Margins +
                      Lymph_Nodes_Sampled +
                      Excision_less_than1,
                    data = lung)
cox_fit1$coefficients #odds
```

```
##
                          ChemoChemo
                                                          AGE_cat60-70
##
                       -0.0328323599
                                                         -0.0427297280
##
                        AGE cat70-80
                                                       AGE catAbove 80
                       -0.0325108982
                                                         -0.0430062924
##
##
                    AGE catBelow 50
                                                                SEXMale
##
                       -0.0909712523
                                                          -0.0510721635
##
                    CDCC_TOTAL_BEST1
                                                      CDCC_TOTAL_BEST2
##
                       -0.0021252500
                                                          -0.0085577321
                   CDCC_TOTAL_BEST3
                                                 TUMOR_SIZE_cat1cm-2cm
##
##
                        0.0285513604
                                                          -0.0839515534
              TUMOR_SIZE_cat2cm-3cm
                                                 TUMOR_SIZE_cat3cm-4cm
##
##
                       -0.1181348822
                                                          -0.0801962360
##
              TUMOR_SIZE_cat4cm-5cm
                                            GRADEPoorly differentiated
                       -0.0791002404
##
                                                         -0.0002581338
              GRADEUndifferentiated
                                                          GRADEUnknown
##
##
                        0.0907022956
                                                          0.0039056293
           GRADEWell differentiated Visceral_Pleural_InvasionPresent
##
                       -0.0500901193
                                                          0.0572583599
##
    LYMPH_VASCULAR_INVASION2Present
                                      LYMPH_VASCULAR_INVASION2Unknown
##
##
                       -0.0234197701
                                                         -0.1408796161
##
                    MarginsPositive
                                                            MarginsZero
##
                        0.0570926793
                                                          0.1810093997
            Lymph_Nodes_Sampled>=10
                                            Lymph_Nodes_SampledUnknown
##
                        0.0522284067
                                                          -0.2228574697
##
            Excision_less_than1TRUE
##
                       -0.0199985495
##
```

```
exp(cox_fit1$coefficients) #HR
##
                          ChemoChemo
                                                           AGE_cat60-70
##
                           0.9677008
                                                              0.9581703
##
                        AGE_cat70-80
                                                        AGE_catAbove 80
##
                                                              0.9579054
                           0.9680119
##
                     AGE_catBelow 50
                                                                SEXMale
##
                           0.9130440
                                                              0.9502101
##
                    CDCC_TOTAL_BEST1
                                                      CDCC_TOTAL_BEST2
                           0.9978770
##
                                                              0.9914788
##
                    CDCC_TOTAL_BEST3
                                                 TUMOR_SIZE_cat1cm-2cm
                           1.0289629
##
                                                              0.9194758
##
              TUMOR_SIZE_cat2cm-3cm
                                                 TUMOR_SIZE_cat3cm-4cm
##
                           0.8885762
                                                              0.9229352
              TUMOR_SIZE_cat4cm-5cm
                                            GRADEPoorly differentiated
##
##
                           0.9239473
                                                              0.9997419
##
              GRADEUndifferentiated
                                                           GRADEUnknown
##
                           1.0949430
                                                              1.0039133
##
           GRADEWell differentiated Visceral_Pleural_InvasionPresent
##
                                                              1.0589294
                           0.9511437
##
    LYMPH_VASCULAR_INVASION2Present
                                       LYMPH_VASCULAR_INVASION2Unknown
                           0.9768523
                                                              0.8685939
##
##
                     MarginsPositive
                                                            MarginsZero
##
                           1.0587539
                                                              1.1984264
##
            Lymph_Nodes_Sampled>=10
                                            Lymph_Nodes_SampledUnknown
##
                           1.0536164
                                                              0.8002289
##
            Excision_less_than1TRUE
##
                           0.9802001
# lung$Chemo=relevel(as.factor(lung$Chemo), ref="No Chemo")
  cox_fit11 <- coxph(Surv(DX_LASTCONTACT_DEATH_MONTHS, PUF_VITAL_STATUS) ~</pre>
#
                         AGE\_cat+
#
                         SEX +
#
                         CDCC_TOTAL_BEST +
#
                         TUMOR\_SIZE\_cat +
#
                         GRADE +
#
                         Visceral_Pleural_Invasion+
#
                         LYMPH_VASCULAR_INVASION2+
#
                         Marqins +
#
                         Lymph_Nodes_Sampled +
#
                         Excision_less_than1,
#
                       data = lung)
#
# cox_fit11$coefficients #odds
# exp(cox_fit11$coefficients) #HR
```

## 3 format results of cox model

term	estimate	std.error	statistic	p.value
ChemoChemo	0.9677008	0.0296857	-1.1059996	0.2687267
AGE_cat60-70	0.9581703	0.0312769	-1.3661761	0.1718837
AGE_cat70-80	0.9680119	0.0316406	-1.0275044	0.3041830
AGE_catAbove 80	0.9579054	0.0464372	-0.9261165	0.3543854
AGE_catBelow 50	0.9130440	0.0736842	-1.2346094	0.2169759
SEXMale	0.9502101	0.0218628	-2.3360333	0.0194895
CDCC_TOTAL_BEST1	0.9978770	0.0255017	-0.0833377	0.9335830
CDCC_TOTAL_BEST2	0.9914788	0.0361173	-0.2369425	0.8127014
CDCC_TOTAL_BEST3	1.0289629	0.0424419	0.6727165	0.5011277
TUMOR_SIZE_cat1cm-2cm	0.9194758	0.0911452	-0.9210746	0.3570115
TUMOR_SIZE_cat2cm-3cm	0.8885762	0.0919570	-1.2846749	0.1989059
TUMOR_SIZE_cat3cm-4cm	0.9229352	0.0937552	-0.8553788	0.3923415
TUMOR_SIZE_cat4cm-5cm	0.9239473	0.0952241	-0.8306742	0.4061577
GRADEPoorly differentiated	0.9997419	0.0252995	-0.0102031	0.9918592
GRADEUndifferentiated	1.0949430	0.1025368	0.8845825	0.3763818
GRADEUnknown	1.0039133	0.0381754	0.1023075	0.9185126
GRADEWell differentiated	0.9511437	0.0339343	-1.4760914	0.1399194
Visceral_Pleural_InvasionPresent	1.0589294	0.0362535	1.5793890	0.1142468
LYMPH_VASCULAR_INVASION2Present	0.9768523	0.0300965	-0.7781551	0.4364776
LYMPH_VASCULAR_INVASION2Unknown	0.8685939	0.0465820	-3.0243343	0.0024918
MarginsPositive	1.0587539	0.1968533	0.2900265	0.7717959
MarginsZero	1.1984264	0.1782101	1.0157079	0.3097685
Lymph_Nodes_Sampled>=10	1.0536164	0.0224537	2.3260485	0.0200160
Lymph_Nodes_SampledUnknown	0.8002289	0.0590297	-3.7753459	0.0001598
Excision_less_than1TRUE	0.9802001	0.0344942	-0.5797649	0.5620732

```
cox_fit1 %>%
  gtsummary::tbl_regression(exp=TRUE)
```

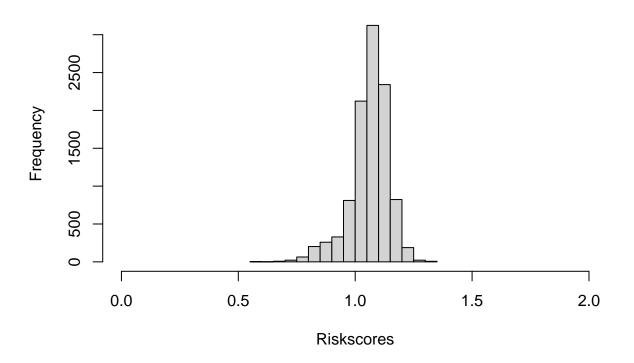
**Characteristic**	**HR**	**95% CI**	**p-value**
Chemo			_
No Chemo			
Chemo	0.97	0.91, 1.03	0.3
AGE cat		,	
50-60			
60-70	0.96	0.90, 1.02	0.2
70-80	0.97	0.91, 1.03	0.3
Above 80	0.96	0.87, 1.05	0.4
Below 50	0.91	0.79, 1.05	0.2
SEX		,	
Female			
Male	0.95	0.91, 0.99	0.019
CDCC TOTAL BEST	0.00	310 2, 310 3	0.020
0			
1	1.00	0.95, 1.05	>0.9
2	0.99	0.92, 1.06	0.8
3	1.03	0.95, 1.12	0.5
TUMOR SIZE cat	1.00	0.00, 1.12	0.0
<=1cm			
1cm-2cm	0.92	0.77, 1.10	0.4
2cm-3cm	0.89	0.74, 1.06	0.2
3cm-4cm	0.92	0.77, 1.11	0.4
4cm-5cm	0.92	0.77, 1.11	0.4
GRADE	0.02	01111	0.1
Moderately differentiated			
Poorly differentiated	1.00	0.95, 1.05	>0.9
Undifferentiated	1.09	0.90, 1.34	0.4
Unknown	1.00	0.93, 1.08	>0.9
Well differentiated	0.95	0.89, 1.02	0.14
Visceral Pleural Invasion	0.00	0.00, 1.02	0.11
Other			
Present	1.06	0.99, 1.14	0.11
LYMPH VASCULAR INVASION2	1.00	0.00, 1.11	0.11
Absent			
Present	0.98	0.92, 1.04	0.4
Unknown	0.87	0.79, 0.95	0.002
Margins	0.01	0.10, 0.00	0.002
Other			
Positive	1.06	0.72, 1.56	0.8
Zero	1.20	0.85, 1.70	0.3
Lymph_Nodes_Sampled	1.20	0.00, 1.10	0.0
<10			
>=10	1.05	1.01, 1.10	0.020
Unknown	0.80	0.71, 0.90	< 0.020
Excision less than1	0.00	0.11, 0.30	\0.001
FALSE			
TRUE	0.98	0.92, 1.05	0.6
TITOE	0.90	0.32, 1.00	0.0

## 4 Predicting Risks scores and Hazard Ratio from COX PH Model

#### 4.1 Distribution of Riskscores

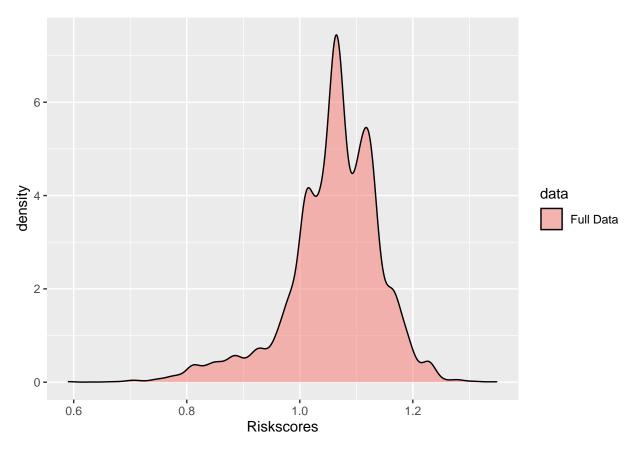
```
require(ggplot2)
riskscore1_all=predict(cox_fit1, type="risk") #the risk score exp(lp)
hist(riskscore1_all, xlim = c(0,2), xlab = "Riskscores")
```

### Histogram of riskscore1\_all



```
#Density plot of riskscores
# TRAIN_RISK <- data.frame(rs=riskscore1_train)
# TEST_RISK <- data.frame(rs=riskscore1_test)
ALL_DATA <- data.frame(Riskscores=riskscore1_all)

# TRAIN_RISK$type<-'train'
# TEST_RISK$type<-'test'
ALL_DATA$data<-'Full Data'
ggplot(ALL_DATA, aes(Riskscores, fill=data)) + geom_density(alpha = 0.5)</pre>
```



```
# ggplot(TEST_RISK, aes(rs, fill=type)) + geom_density(alpha = 0.2)
# ggplot(TRAIN_RISK, aes(rs, fill=type)) + geom_density(alpha = 0.2)
#
# datlen<-rbind(TRAIN_RISK, TEST_RISK, ALL_DATA)
# ggplot(datlen, aes(rs, fill=type)) + geom_density(alpha = 0.2)</pre>
```

#### 5 Hazard Ratios

```
lphr3=predict(cox_fit1, type="lp") #predicted hazard ratio

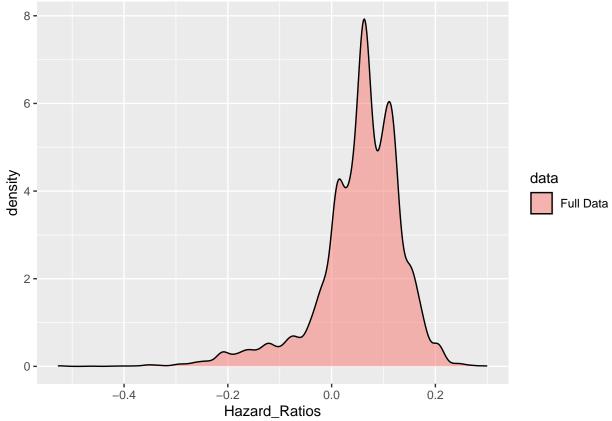
# hist(lphr3, xlim = c(0,2), xlab = "HR")
# hist(1-lphr3, xlim = c(0,2), xlab = "HR")
range(lphr3)

## [1] -0.526645  0.298877

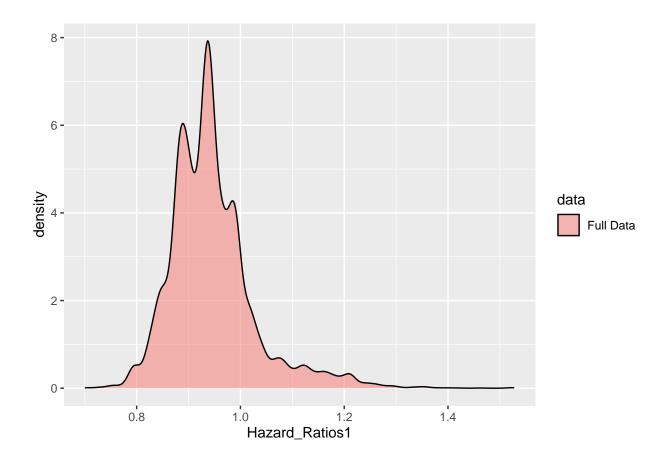
range(1-lphr3)

## [1] 0.701123  1.526645

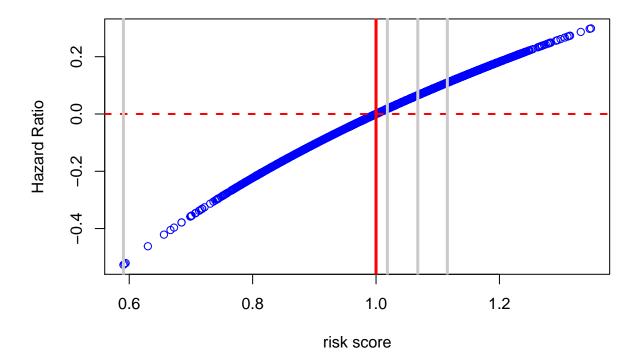
ALLDATA <- data.frame(Hazard_Ratios=lphr3)
ALLDATA$data<-'Full Data'
ggplot(ALLDATA, aes(Hazard_Ratios, fill=data)) + geom_density(alpha = 0.5)</pre>
```



```
ALLDATA <- data.frame(Hazard_Ratios1=1-lphr3)
ALLDATA$data<-'Full Data'
ggplot(ALLDATA, aes(Hazard_Ratios1, fill=data)) + geom_density(alpha = 0.5)
```



#### 6 Scatterplots of Riskscores vs Hazard Ratios



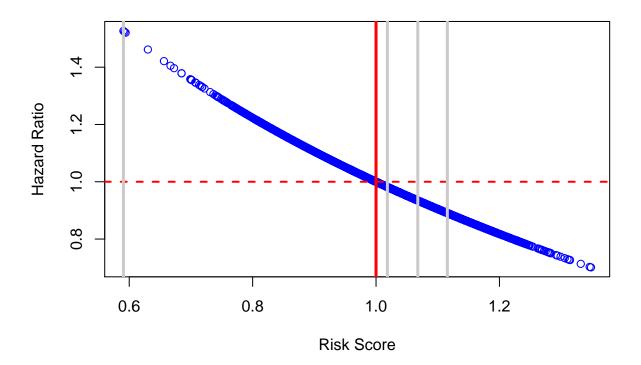
#### Comment

 $\label{eq:conditions} A\ positive\ HR\ indicates\ worse\ conditions/prognosis,\ while\ a\ negative\ coefficient\ indicates\ a\ better\ condition/prognosis.$ 

Riskscores > 1 corresponds to increased hazards of mortality with multiple HRF. Riskscores < 1 corresponds to decreased hazards of mortality with multiple HRF, thus a survival benefit from chemotherapy.

The threshold for survival benefit is experienced when the risk score is < 1 at which point the hazards of mortality is decreased.

#### Hazard Ratio vs RiskScores



Subtracting HR from 1 gives reverse scale of HR reads, where lower HR indicates worse conditions, higher HR indicates better prognosis

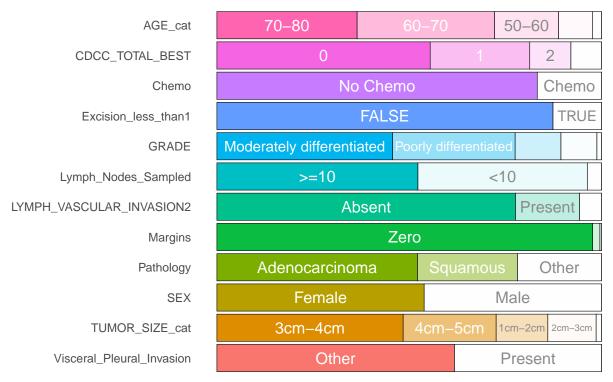
As risk score increases, the hazard ratio gets worse (bad prognosis). A survival benefit from adjuvant chemotherapy is realised when the risk score is < 1 at which point the hazard ratio of mortality appears to be better.

Note the reverse reading of the Hazard ratio scale.

- 7 Riskscores from Est.PH
- 8 Est.PH {survC1} Derivation of a risk score by a Cox proportioal hazard model
- 8.1 Obtain Risk scores from the best predictors of mortality

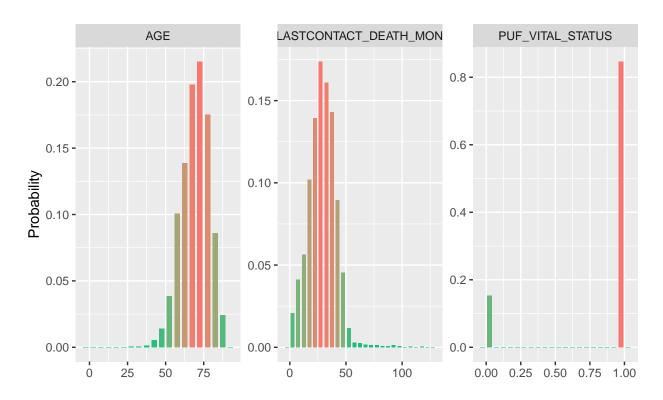
```
#Provides risk score by fitting data to a Cox's proportional hazards model with a given set of
# Input data. The 1st column should be time-to-event, and the 2nd column is event indicator (1
#OUTPUT
# beta = Estimates for regression coefficient in the Cox model
# var = Variance-Covariance matrix for the beta above
# rs = Risk score of each individual
      = coxph object with the fitted model
# ft
library(survC1)
## Warning: package 'survC1' was built under R version 4.0.5
train1=lung[,c(1:2)] #time & status
train2 =lung[, c(3:15)] # other covariates
#convert other sub levels in all categorical covariates to integer
p = data.frame(lapply(train2, as.integer))
#combine numeric time & status with the numeric covariates
train_data = data.frame(cbind(train1,p))
#Make sure distribution of variables are not distorted after conversion
require(inspectdf)
## Loading required package: inspectdf
## Warning: package 'inspectdf' was built under R version 4.0.5
show_plot(inspect_cat(train)) # inspect categorical columns
```

# Frequency of categorical levels in df::train Gray segments are missing values



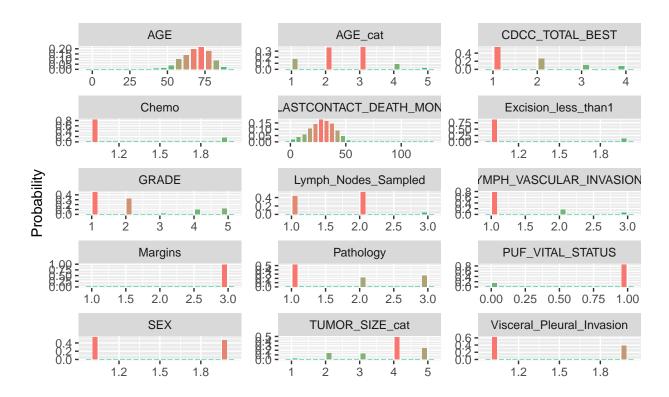
show\_plot(inspect\_num(train)) #inspect numeric columns

#### Histograms of numeric columns in df::train



show\_plot(inspect\_num(train\_data)) #inspect numeric columns

#### Histograms of numeric columns in df::train\_data

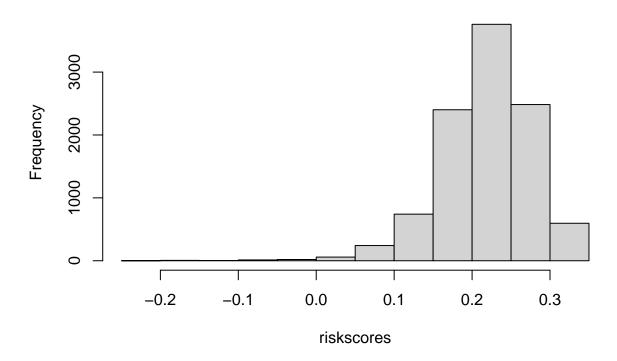


#obtain risk scores for each individual
rsmodel=Est.PH(train\_data)

riskscores=rsmodel\$rs

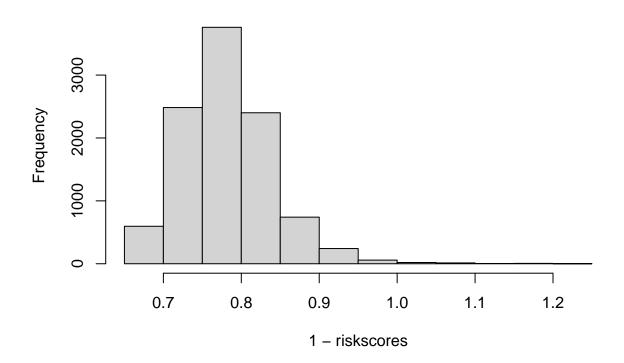
hist(riskscores)

# Histogram of riskscores



hist(1-riskscores)

# Histogram of 1 - riskscores



```
#riskscores1 = 1 - riskscores
```

#obtain hazard rates .... didnt work with this approach
coef=rsmodel\$beta
exp(coef)

## ## ## ## ## ## ##	covsChemo 0.9772929 covsAGE_cat 0.9791978 covsCDCC_TOTAL_BEST 1.0025016 covsGRADE 0.9915676 covsVisceral_Pleural_Invasion 1.0490644	covsAGE 1.0010946 covsSEX 0.9486315 covsTUMOR_SIZE_cat 1.0005138 covsPathology 1.0041478 covsLYMPH_VASCULAR_INVASION2 0.9495022
## ## ## ##	1.0490644 covsMargins 1.1256563 covsExcision_less_than1	<del>-</del>
##	0.9654898	

hist(sqrt(riskscores))

## Warning in sqrt(riskscores): NaNs produced

## **Histogram of sqrt(riskscores)**

