



# 编译原理

## Compiler Principles

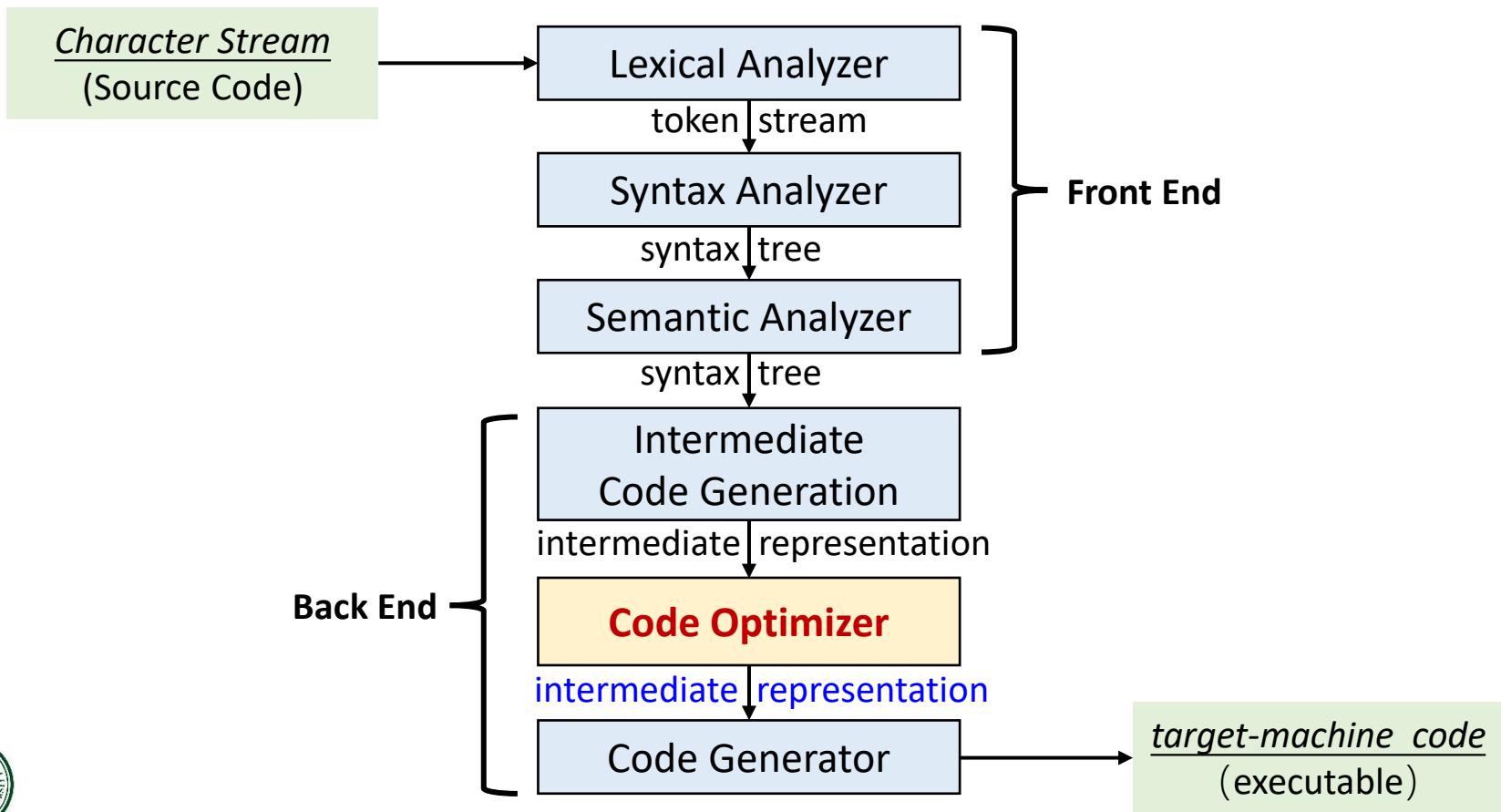
### Lecture 9

## Intermediate Code Optimization

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# Compilation Phases[编译阶段]



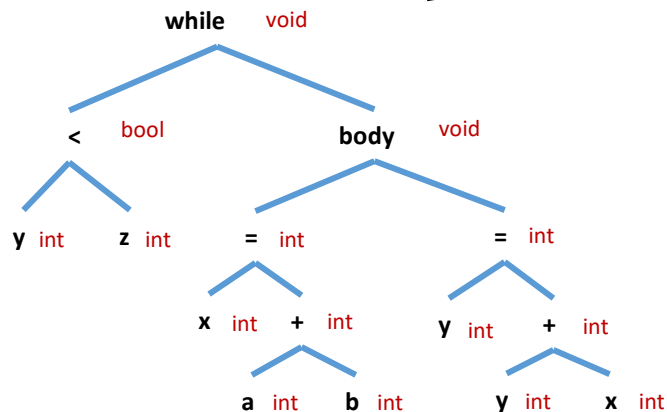
# Compilation Phases[编译阶段]



```
while (y<z){  
  int x = a + b;  
  y += x;  
}
```



(keyword, while)  
(id, y)  
(sym, <)  
(id, z)  
(id, x)  
(id, a)  
(sym, +)



Annotated AST/Decorated AST  
[带标注的抽象语法树]

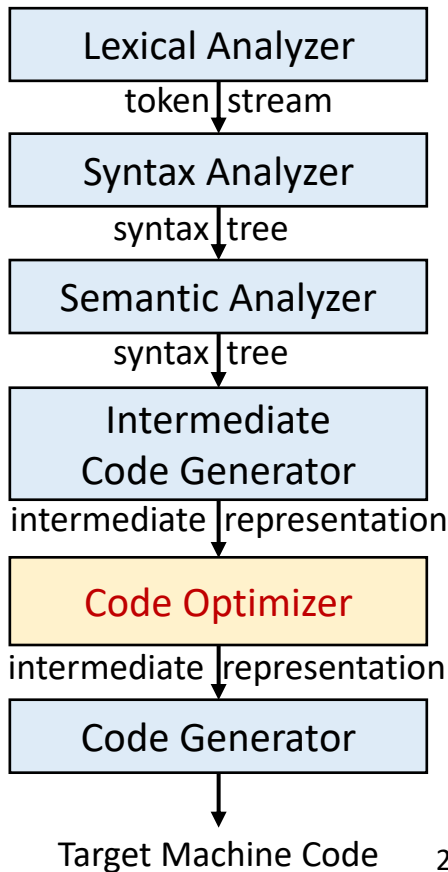


```
goto L1
L2:
  t1 := a + b
  x := t1
  t2 := y + x
  y := t2
L1:
  if y < z goto L2
```

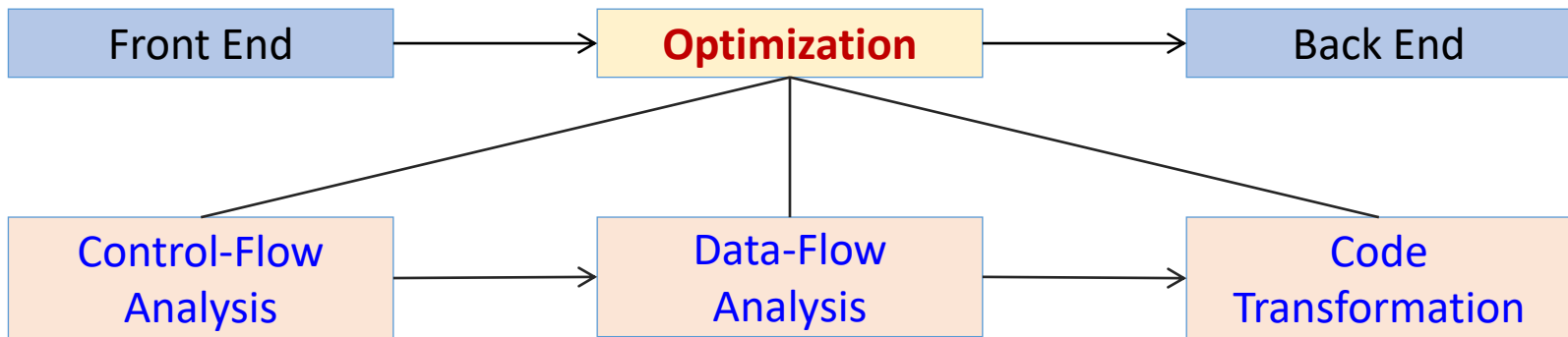


```
t1 = a + b
goto L1
L2:
  t2 := y + t1
  y := t2
L1:
  if y < z goto L2
```

Quiz: 这里做了什么优化?



- What we have now?
  - ◆ IR of the source program (+ symbol table)
- Goal of the optimization?
  - ◆ Improve the efficiency and performance of the code
    - reducing execution time, memory usage, computational complexity.
  - ◆ This helps to make the code faster, more scalable, and able to handle increasing workloads.



# To Optimize: Who When Where?



- **Manual:** **source code**[人工, 源码]
  - ◆ Select appropriate **algorithms** and **data structures**
  - ◆ Write code that the compiler can effectively optimize
    - Need to understand the capabilities and limitations of compiler opts
- **Compiler:** **intermediate representation**[编译器, IR] **Focus!**
  - ◆ To generate more efficient **TAC instructions**
- **Compiler:** **final code** generation[编译器, 目标代码]
  - ◆ Selecting effective **instructions** to emit, **allocating registers** in a better way
- **Assembler/Linker:** after final code generation[汇编/链接, 目标代码]
  - ◆ Attempting to **re-work the assembly code** itself into something more efficient (e.g., **link-time optimization**)



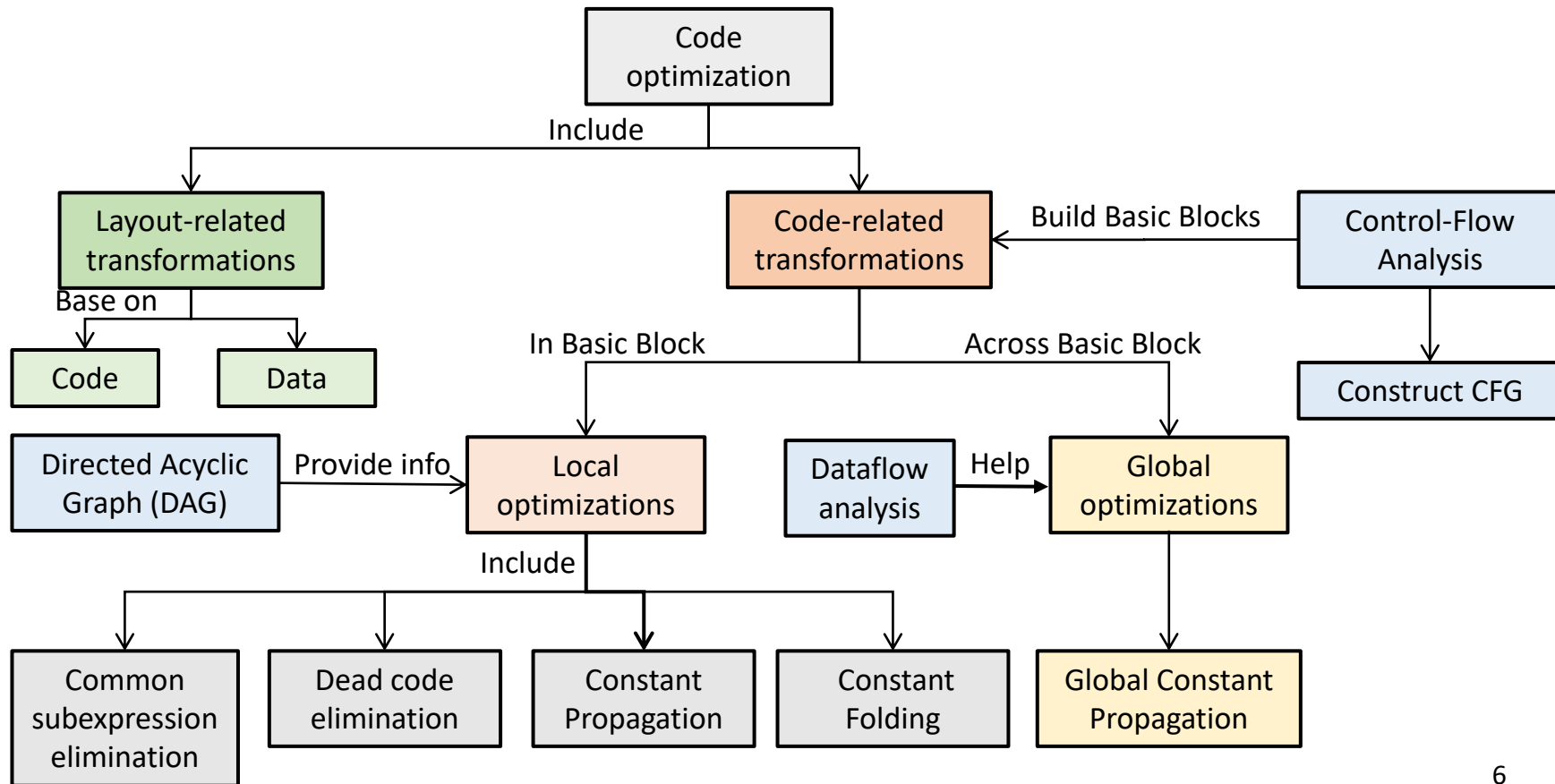
# Overview of Optimizations



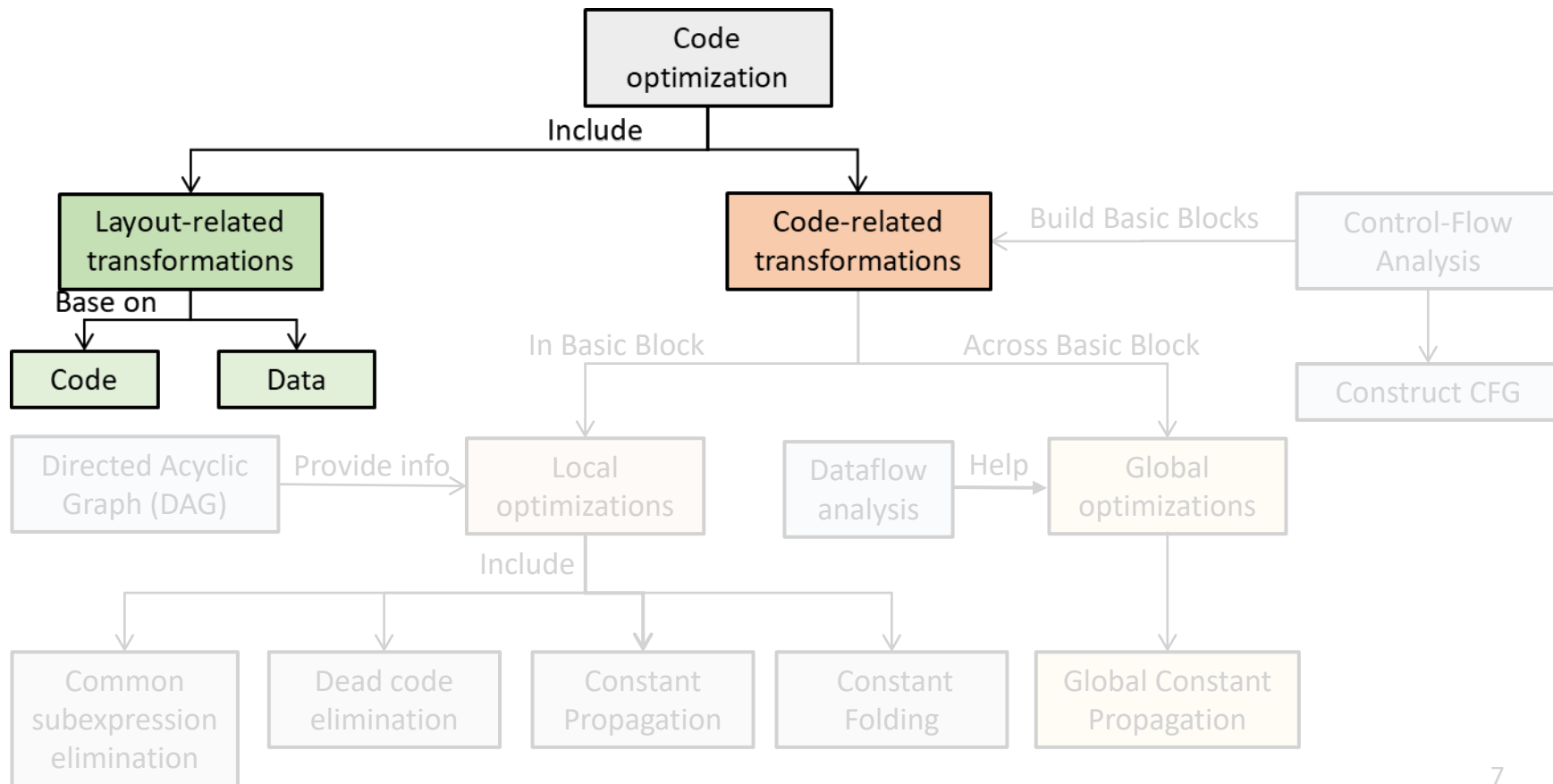
- Better one or more of the following (in the average case)
  - ◆ Execution time.
  - ◆ Memory usage.
  - ◆ Energy consumption.
  - ◆ Binary executable size...
- Some Principles
  - ◆ **Equivalence**: after optimization, the result should not be changed.
  - ◆ **Efficient**: make the optimized target code run in less time and less storage space is occupied.
  - ◆ **Cost-effectiveness**: should be as low as possible to obtain optimized results.



# Code Optimization[代码优化]



# Code Optimization[代码优化]





# Types of Optimizations



- Compiler optimization is essentially a **transformation**[转换], including deleting/adding/moving/modifying.
- **Layout-related** transformations[布局相关]
  - ◆ Optimizes where in **memory** code and data is placed.
  - ◆ Goal: **maximize the spatial locality** [空间局部性], i.e., maximize the possibility that nearby locations will also be accessed soon during an access.
- **Code-related** transformations[代码相关] **Focus!**
  - ◆ Optimizes what **code** is generated.
  - ◆ Goal: execute **least number of most costly instructions**.



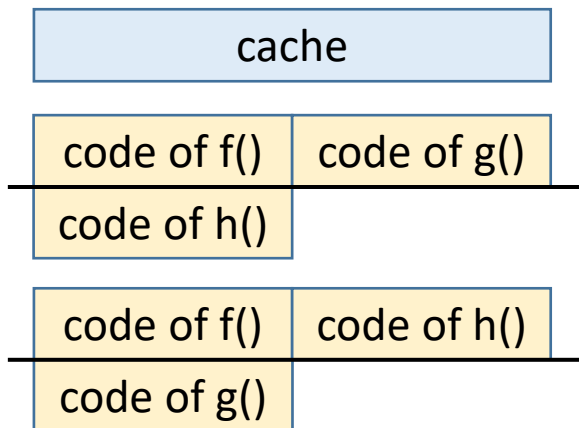
# Layout-Related: Code



- For example, given two ways to layout code:

- ◆ Which code layout is better?, assuming:

- ❑ data cache has **one N-word line**
- ❑ the size of each function is **N/2-word** long
- ❑ access sequence is **“g, f, h, f, h, f, h”**



6 cache misses

▼ ▼ ▼ ▼ ▼ ▼

**g, f, h, f, h, f, h**

▲ ▲

2 cache misses

```
f() {  
  ...  
  h();  
  ...  
}  
  
g() {  
  ...  
}  
  
h() {  
  ...  
}
```

1



2

code of f()

code of g()

code of h()

code of f()

code of h()

code of g()



- **Example 1:** Change the variable **declaration order**

```
struct S {  
    int a;  
    int b[10];  
    int c;  
} obj[100];  
  
for(...) {  
    ... = obj[i].a + obj[i].c;  
}
```



```
struct S {  
    int a;  
    int c;  
    int b[10];  
} obj[100];  
  
for(...) {  
    ... = obj[i].a + obj[i].c;  
}
```

- After improving, **a** and **c** are likely resided in the same cache line.
- Furthermore, **access to c** will **always hit** in the cache.

- **Example 2:** Change **AOS** (array of structs) to **SOA** (struct of arrays)

```
struct S {  
    int a;  
    int b;  
} objs[100];  
  
for(...) {  
    ... = objs[i].a + 1;  
}  
for(...) {  
    ... = objs[i].b + 1;  
}
```



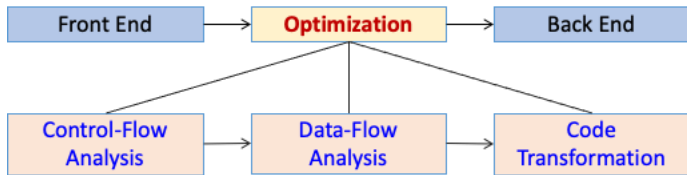
```
struct S {  
    int a[100];  
    int b[100];  
} objs;  
  
for(...) {  
    ... = objs.a[i] + 1;  
}  
for(...) {  
    ... = objs.b[i] + 1;  
}
```

- Improved **spatial locality** for accesses to 'a's and 'b's

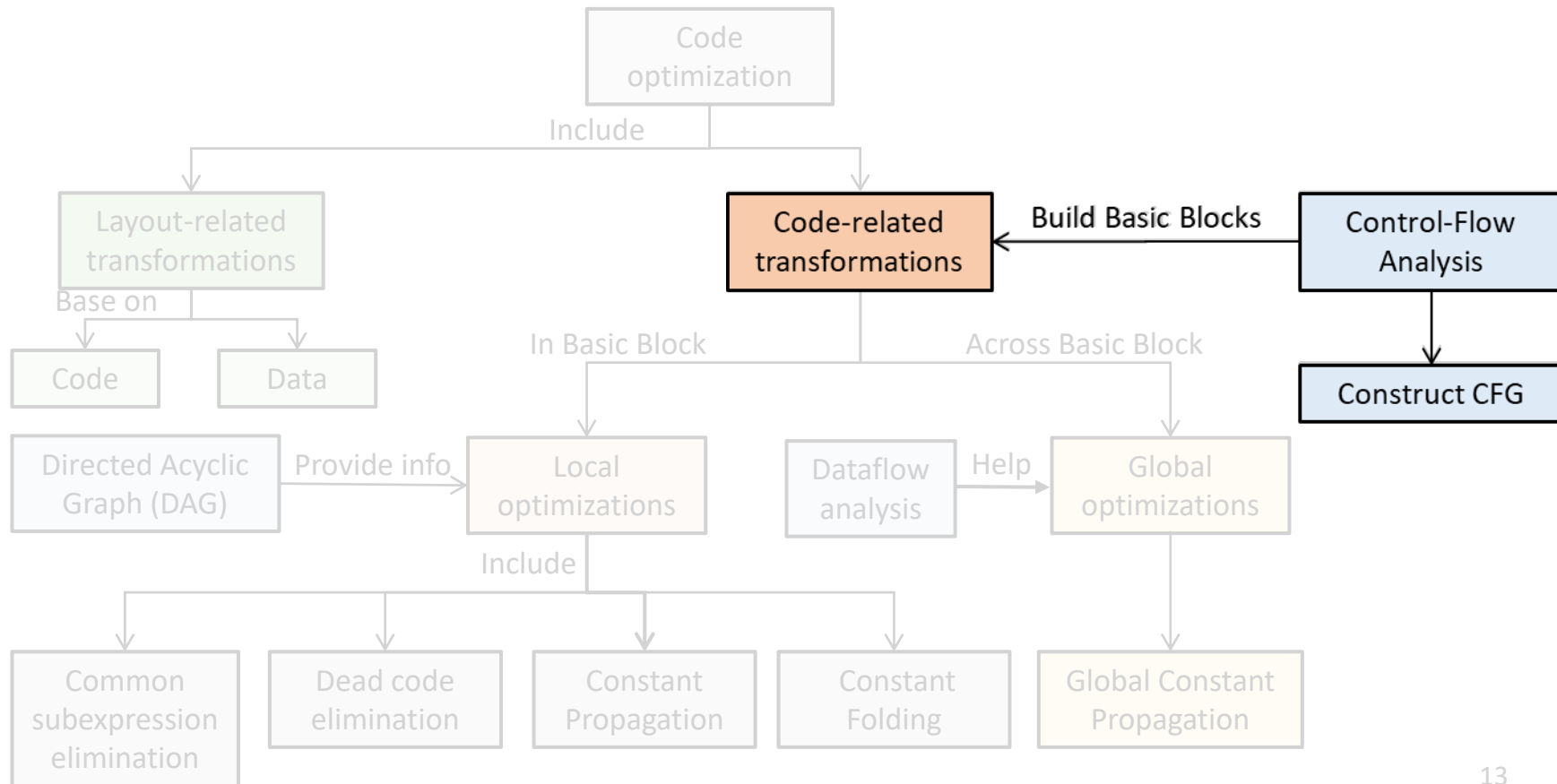
# IR Optimization Revisit



- Goal of Optimization:
  - ◆ Execution time / Memory usage / Energy consumption / Binary executable size...
  - ◆ Equivalence / Efficient / Cost-effectiveness
- **Layout-related** transformations[布局相关]
  - ◆ Optimizes where in **memory** code and data is placed.
  - ◆ Goal: **maximize the spatial locality** [空间局部性].
    - ◆ code layout, declaration ordering, data structuring
- **Code-related** transformations[代码相关]
  - ◆ Optimizes what **code** is generated.
  - ◆ Goal: execute **least number of most costly instructions**.



# Code Optimization[代码优化]



# Code-Related Optimizations



- **Modifying** (e.g., strength reduction)

◆  $A = 2 * a;$        $\rightarrow$        $A = a << 1;$

- **Deleting** (e.g. dead code elimination)

◆  $A = 2; A = y;$        $\rightarrow$        $A = y;$

- **Moving** (e.g. code scheduling)

A and C can be parallel

◆  $A = x / y; B = A + 1; C = y;$        $\rightarrow$        $A = x / y; C = y; B = A + 1;$

- **Inserting** (e.g., data prefetching[数据预取])

◆ while(p != NULL) {process(p);  $p = p \rightarrow next;$ }

◆ while(p != NULL) { $prefetch(p \rightarrow next);$  process(p);  $p = p \rightarrow next;$ }

Now access to 'p->next'  
is likely to hit in cach



# Control-Flow Analysis



- For many imperative programming languages[命令式编程], the **control flow** of a program is explicit in a program's source code.
  - As a result, **control-flow analysis (CFA)** [控制流分析] usually refers to a **static analysis** for determining the receiver(s) of function calls in programs written in a higher-order programming language.
- **CFA** is a **static code analysis** for determining the control flow of a program. The control flow is expressed as **control-flow graph** (CFG).
- To build CFG, we first divide the code into **basic blocks**.





- A **basic block**[基本块] is a **straight-line code sequence** that
  - Except the first instruction, there are no other labels[只有第一条进入]
  - Except the last instruction, there are no jumps[只有最后一条跳出]
- The code in a basic block has:
  - ◆ **One entry point**, Can only jump into the beginning of a block
  - ◆ **One exit point**, Can only jump out at the end of a block
- Basic blocks forms **the nodes in CFG**.
  - cannot be divided further
  - All instructions in basic block execute or none at all [**all or nothing**]
  - decomposes programs to basic blocks as a **first step in CFA**.
- **Local optimizations** are limited to scope of **one basic block**.
- **Global optimizations** are **across basic blocks**.

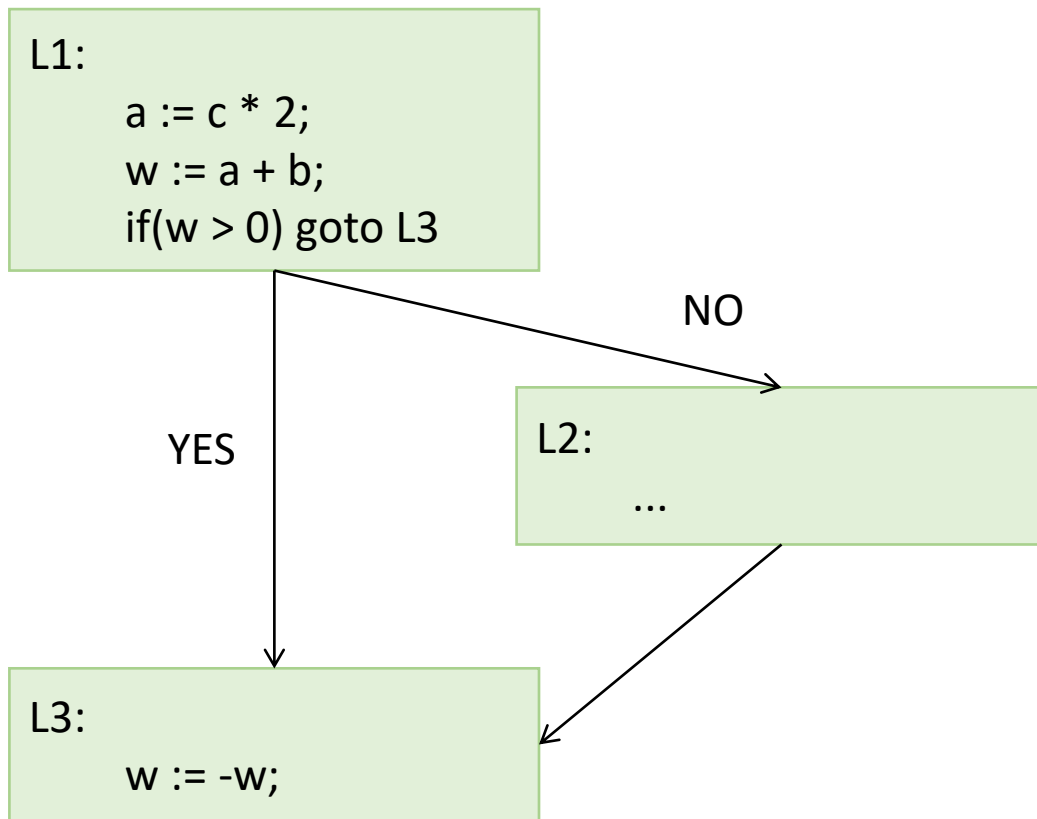
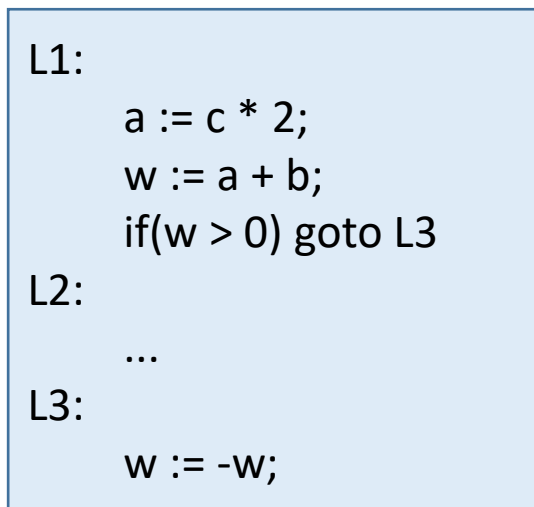
# Control Flow Graph[控制流图]



- A control flow graph is a **directed graph** in which:
  - ◆ Each **node** represents a **basic block**, i.e., a straight-line piece of code without any jumps or jump targets
  - ◆ Directed **edges** represent **flow of execution between basic blocks**
    - Flow **from the end** of one basic block **to the begin** of another
    - Flow can be result of a control flow **divergence**
    - Flow can be result of a control flow **merge**
  - ◆ Control statements introduce control flow edges
    - i.e., if-then-else, for-loop, while-loop, ...
- CFG is widely used
  - to represent a function
  - for program analysis, especially for **global analysis and optimization**



# Example



- **Step 1: partition code into basic blocks**[分解为基本块]
  - ◆ Identify the **leaders instructions**. An instruction is a leader if any of the following 3 conditions are met:
    - It is the **first instruction**. [首条指令]
    - The **target of** a conditional or an unconditional **goto / jump**. [跳转目标]
    - **immediately follows** a conditional or an unconditional **goto / jump**. [紧跟目标]
  - ◆ **Starting from a leader**, the set of all following instructions **until and not including the next leader** is the basic block corresponding to the starting leader.
  - ◆ Every basic block has a leader.

# Construct CFG



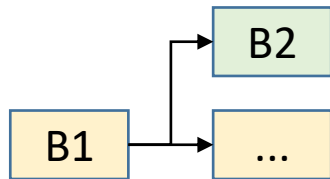
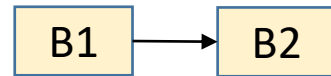
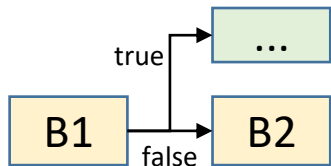
- **Step 2 : add an edge between basic blocks B1 and B2** if[连接基本块]

- ◆ B2 follows B1, and B1 may “fall through” to B2[相邻]:

1. B1 ends with a conditional jump to another basic block  
[若条件假, 到达B2]
2. B1 ends with a non-jump instruction (B2 is a target of a jump)  
[无跳转, B1顺序执行到达B2]

□ **Note:** if B1 ends in an unconditional jump, cannot fall through  
[B1无条件跳转, 会绕开B2]

- ◆ B2 doesn't follow B1, but B1 ends with a jump to B2  
[不相邻, 但B2是B1的跳转目标]

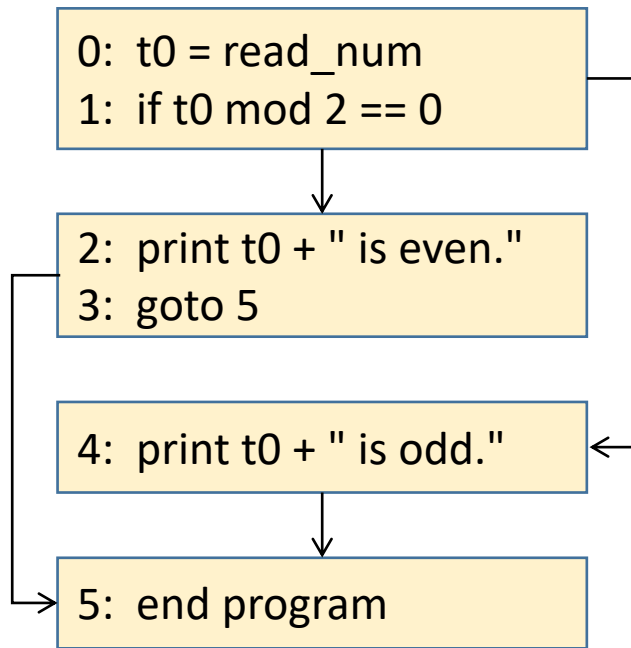


# Example



```
0:  t0 = read_num
1:  if t0 mod 2 == 0
2:      print t0 + " is even."
3:      goto L1
4:  print t0 + " is odd."
5:  L1: end program
```

- Partition code into basic blocks.
  - the first instruction of a program: 0
  - target instructions of jump: 5
  - instructions immediately following jump: 2,4



- Add edges between basic blocks.



# Local and Global Optimizations



- Local optimizations[局部]

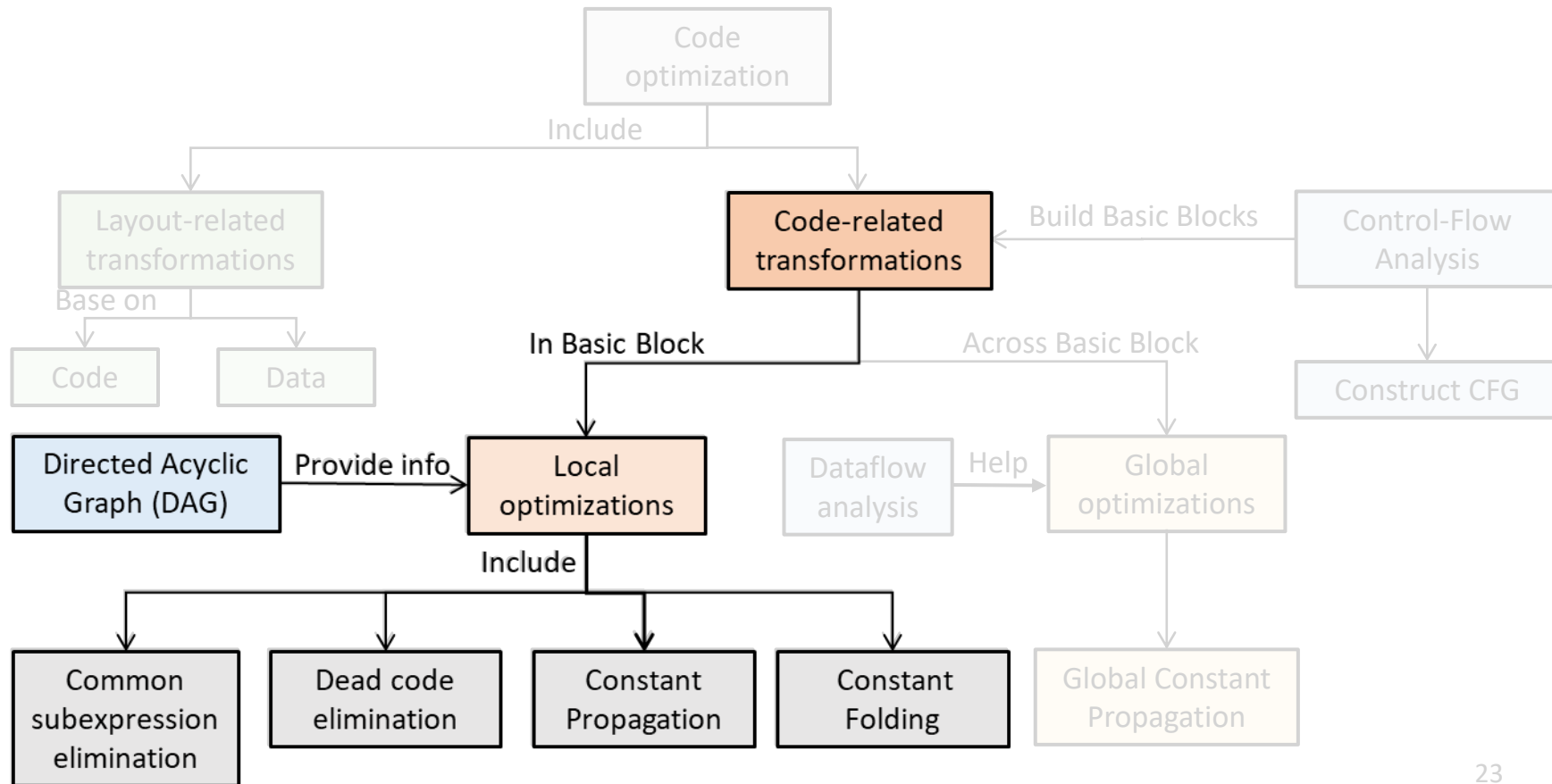
- ◆ Optimizations performed exclusively **within a basic block**.
- ◆ Typically the easiest, never consider any control flow info.
  - All instructions in scope **executed exactly once**
- ◆ Example:
  - *constant folding*[常量折叠, 在编译时直接计算常量表达式, 而不在运行时每次都计算]
    - `const int a = 2 + 3; x=y+a; → x=y+5`
  - *common subexpression elimination*[消除公共子表达式]

- Global optimizations[全局]

- ◆ Optimizations performed **across basic blocks**.
  - Scope can contain **if / while / for** statements.
  - Some instants may not execute, or execute multiple times.
- ◆ Note: global here doesn't mean across the entire program.
  - We usually optimize one function at a time.



# Code Optimization[代码优化]





# Example: Local Optimizations



- **Common subexpression elimination (CSE)**[删除公共子表达式]
  - ◆ Two operations are common if they produce the same.
    - It is likely more efficient to compute the result once and reference it the second time rather than re-evaluate it[避免重复计算]
- **Dead code elimination**[删除无用代码]
  - ◆ If an instruction's result is never used, the instruction is considered "dead" and can be removed from the instruction stream[结果从不使用]

```
y = x + z;  
y = x * x + (x / 3);  
z = x * x + y;
```



```
y = x + z;  
t1 = x * x;  
t2 = x / 3;  
y = t1 + t2;  
t3 = x * x;  
z = t3 + y;
```



```
y = x + z;  
t1 = x * x;  
t2 = x / 3;  
y = t1 + t2;  
t3 = x * x;  
z = t1 + y;
```



# DAG of Basic Blocks



- The **Directed Acyclic Graph (DAG)** is used to **represent the structure of a basic block**
  - visualize the flow of values between basic blocks
  - provide optimization techniques in the basic block.
- A DAG is a **three-address code**.
  - ◆ is a type of data structure
  - ◆ facilitates the **transformation** of basic blocks.
  - ◆ is an efficient method for **identifying common sub-expressions**.



# Algorithm: Construction of DAG



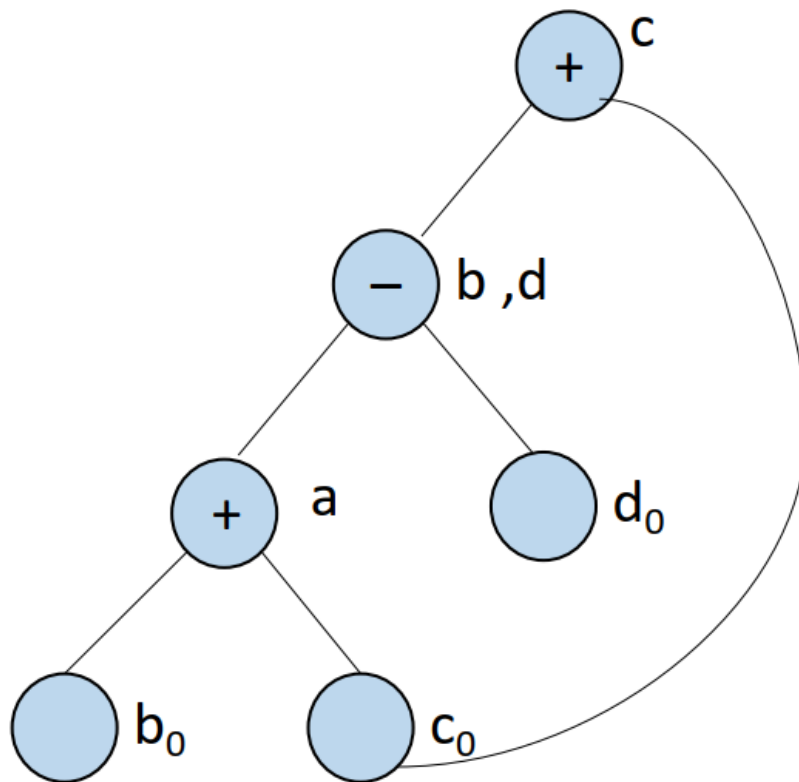
- Create **a node** for **each initial value** of the variables appearing in the basic block[为变量初始值创建节点 --- 叶子节点]
- Create **a node N** associated with **each statement S** within the block[为声明语句创建节点 --- 中间节点]
  - ◆ The **children of N** are those nodes corresponding to statements that are the last definitions, prior to s, of the operands used by s[节点N的子节点为statement中操作符相关的节点]
  - ◆ **Label N by the operator** applied at S[用运算符标注节点N]
- Certain nodes are designated **output nodes**[某些节点为输出节点]



# Example



- (1)  $a = b + c$
- (2)  $b = a - d$
- (3)  $c = b + c$
- (4)  $d = a - d$

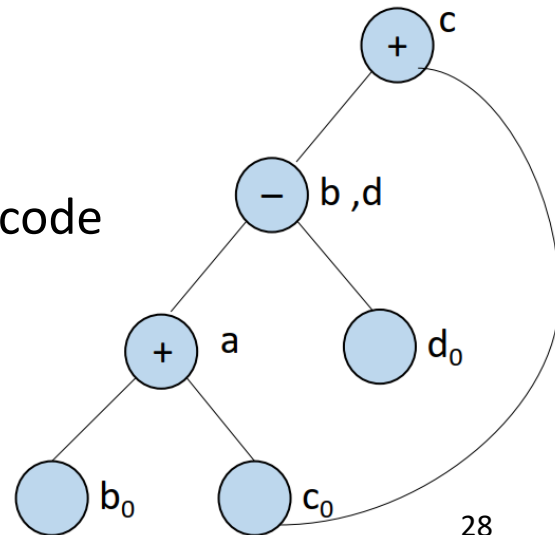


# Local Opt.: Elimination



- If **b is not live** on exit from the block
  - ◆ No need to keep  $b = a - d$
- If both **b and d are live**
  - ◆ Remove either (2) or (4) : **Common Subexpression Elimination**
  - ◆ Add a 4th statement to copy one to the other
- If **only a is live** on exit
  - ◆ Remove nodes from the DAG correspond to dead code
    - $c \rightarrow (b, d) \rightarrow d_0$
  - ◆ This is actually **dead code elimination**

(1)  $a = b + c$   
(2)  $b = a - d$   
(3)  $c = b + c$   
(4)  $d = a - d$

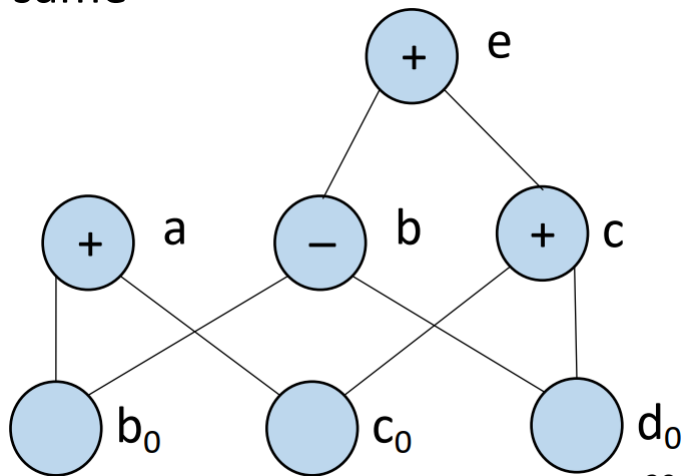


# Local Opt.: Elimination



- When finding **common subexpressions**, we really are finding expressions that are **guaranteed** to compute the same value, no matter how that value is computed[过于严苛]
  - Thus miss the fact that (1) and (4) are the same
    - $b + c = (b - d) + (c + d) = b_0 + c_0$
- Solution: algebraic identities**[代数恒等式]

(1)  $a = b + c$   
(2)  $b = b - d$   
(3)  $c = c + d$   
(4)  $e = b + c$



# Using Algebraic Identities



- Eliminate computations by applying mathematical rules[使用数学规则]

Identities:  $a * 1 \equiv a$ ,  $a * 0 \equiv 0$ ,  $b \& \text{true} \equiv b$

- ◆ Reassociation and commutativity[重组与交换]

- $(a + b) + c \equiv a + (b + c)$ ,  $a + b \equiv b + a$

- Strength Reduction[强度削减]

- ◆ Replacing expensive operations (multiplication, division) by less expensive operations (add, sub, shift)
- ◆ Some ops can be replaced with cheaper ops

$$x = y / 8 \rightarrow x = y \gg 3$$

$$y = y * 8 \rightarrow y = y \ll 3$$

$$x^2 \rightarrow x * x$$

$$2 * x \rightarrow x + x$$



# Local Opt.: Constant Folding



- Constant Folding[常量折叠]

- ◆ Computing operations on constants at compile time

- ◆ Example:

```
#define LEN 100  
x = 2 * LEN;  
if (LEN < 0) print("error");
```

- ◆ After constant folding

```
x = 200;  
if (false) print("error");
```

- ◆ Dead code elimination can further remove the above *if* statement
- ◆ Inherently local since scope limited to statement



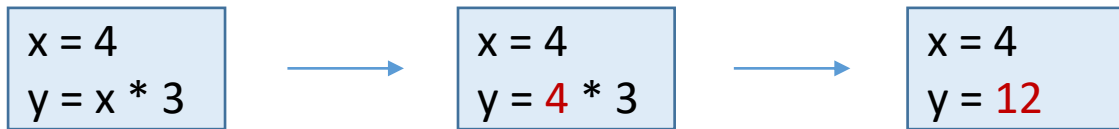


# Local Opt.: Constant Propagation



- **Constant Propagation**[常量传播]

- ◆ Substituting values of known constants at compile time
- ◆ Local Constant Propagation (LCP)



- Some optimizations have both local and global versions

- ◆ Global Constant Propagation (GCP), more powerful but complicated

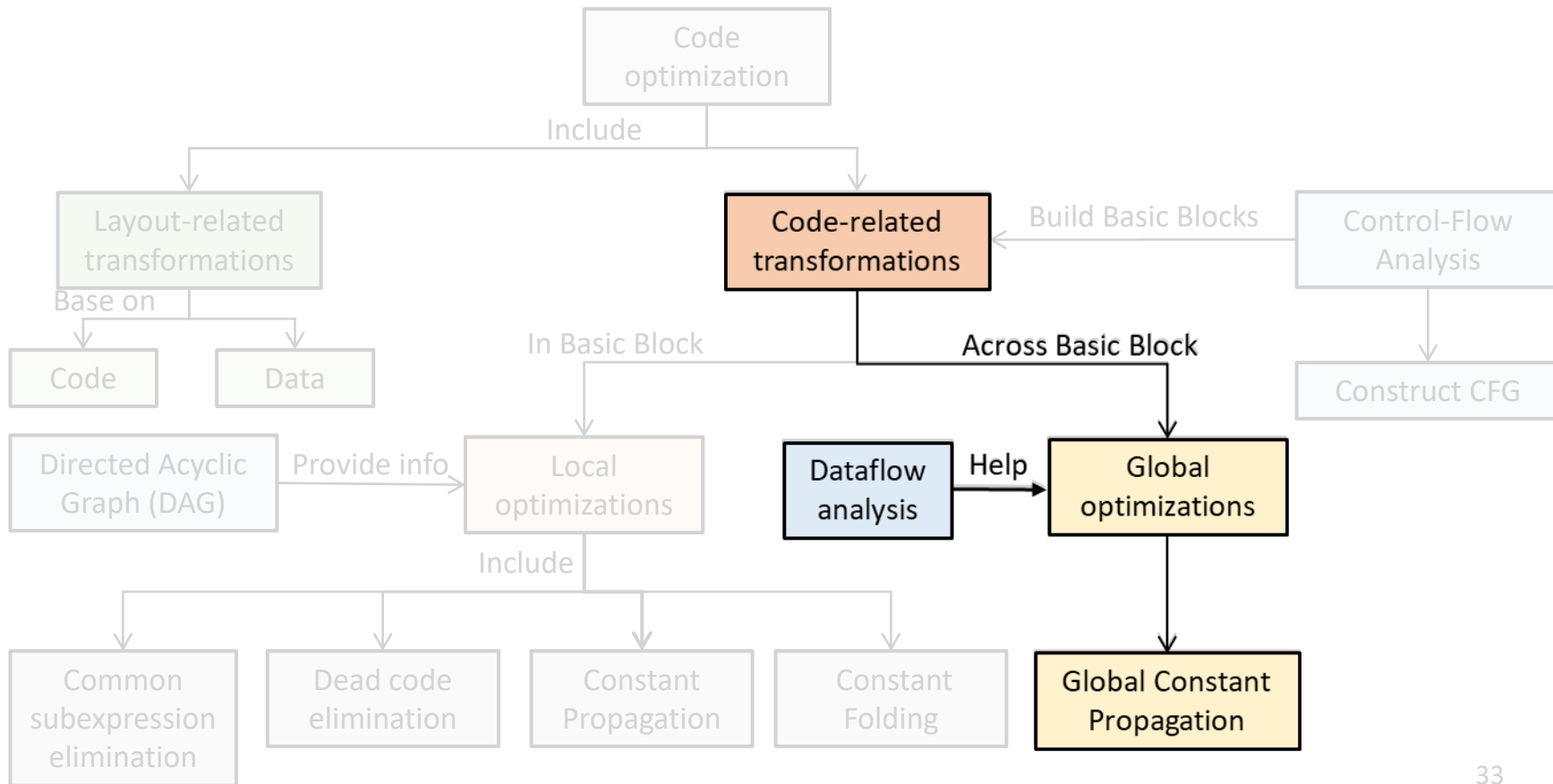
```
a = 1;  
x = 3;  
if (...  
    x = a + 2;  
y = x;
```

```
a = 1;  
x = 3;  
if (...  
    x = 1 + 2;  
y = x;
```

```
a = 1;  
x = 3;  
if (...  
    x = 3;  
y = 3;
```



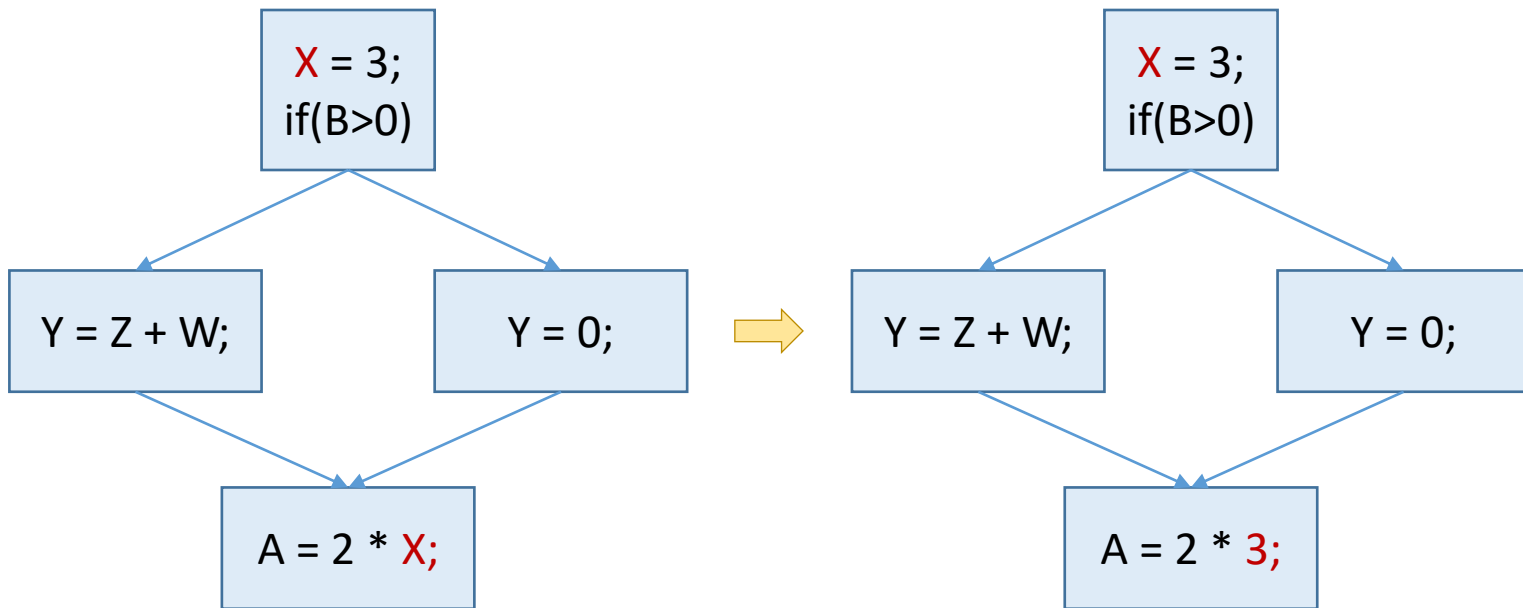
# Code Optimization[代码优化]



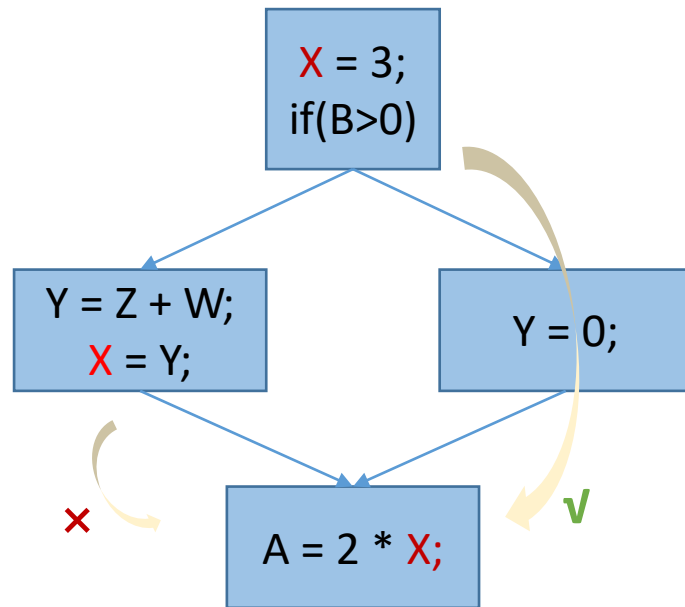
# Global Optimizations



- Extend optimizations to flow of control, i.e., CFG
  - ◆ Along all paths.
  - ◆ Optimization must be stopped if incorrect in even one path



# Global Optimizations



- Compiler must **prove some property X** at a particular point
  - ◆ Need to prove at that point **property X holds along all paths**.
  - ◆ Need to be **conservative**[保守性] to ensure correctness.
    - An optimization is enabled only when X is definitely true
    - If not sure if it is true or not, it is safe to say don't know
    - If analysis result is don't know, no optimization done
    - May lose optimization opportunities but guarantees correctness
- Property X often involves **data flow** of program
  - ◆ e.g., Global Constant Propagation (GCP)
  - ◆ Needs knowledge of **data flow**, as well as **control flow**
    - Whether data flow is interrupted between points A and B

- Most optimizations **rely on a property** at given point, called **values**
  - ◆ For Global Constant Propagation (GCP):  
`A = B + C; // Property: {A=?, B=10, C=?}`
  - ◆ After optimization:  
`A = 10 + C;`
- **Dataflow analysis**<sub>[数据流分析]</sub>: compiler analysis that calculates values for each point in a program
  - ◆ Values get propagated from one statement to the next
  - ◆ Statements can modify values (for GCP, assigning to vars)
  - ◆ Requires CFG since values flow through control flow edges
- **Dataflow analysis framework**: a framework for dataflow analysis that guarantees correctness for all paths
  - ◆ To be feasible, makes conservative approximations

# Global Constant Propagation



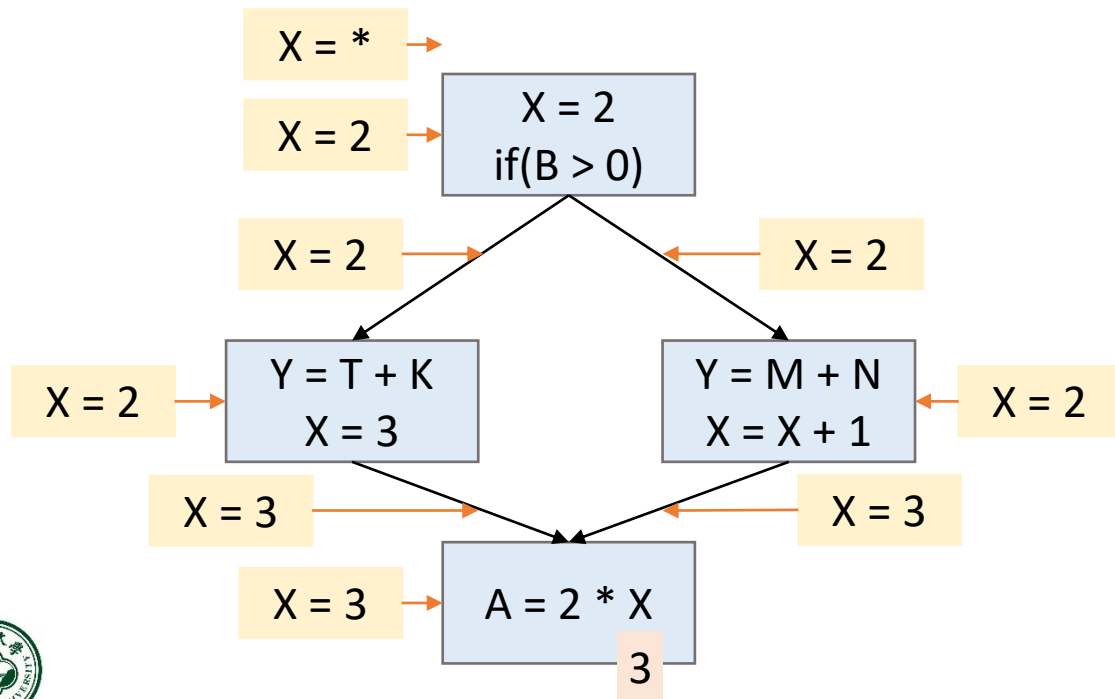
- Let's apply dataflow analysis to compute values for:
  - ◆ Emulates what human does when tracing through code
- Let's use following notation to express the state of a variable:
  - ◆  $x=*$ : not assigned (default)
  - ◆  $x=1, x=2, \dots$ : assigned to a constant value
  - ◆  $x=\#$ : assigned to multiple values
- All values start as  $x=*$  and are iteratively refined
  - ◆ Until they stabilize and reach a fixed point
- Once fixed point is reached, can replace with constants:
  - ◆  $x=*$ : replace with any constant (typically 0)
  - ◆  $x=1, x=2, \dots$ : replace with given constant value
  - ◆  $x=\#$ : cannot do anything



# Example



- In this example, constants can be propagated to  **$X+1$** ,  **$2*X$**
- Statements visited in reverse post-order (predecessor first)



$x=*$ : not assigned (default)

$x=1, x=2, \dots$ : assigned to a constant value

$x=\#$ : assigned to multiple values

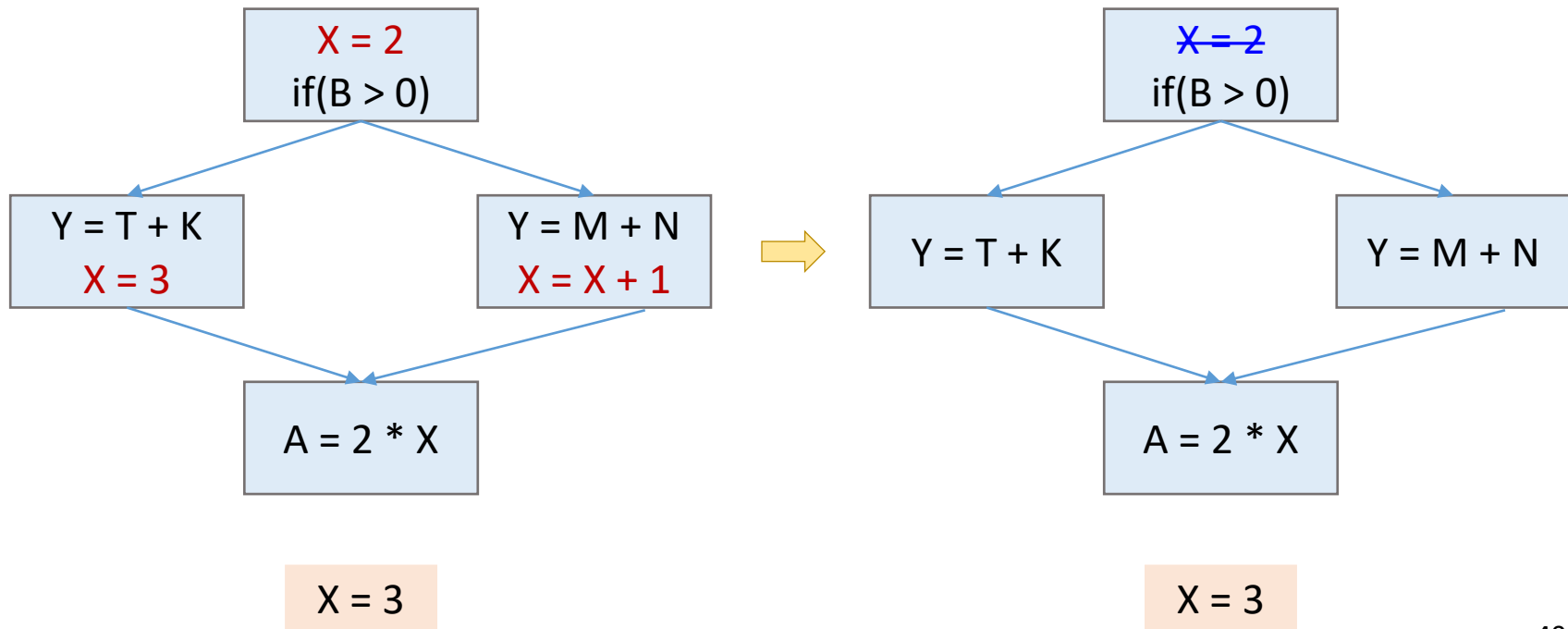




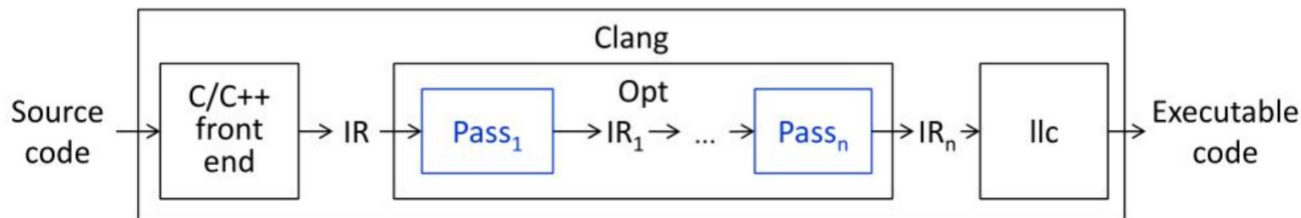
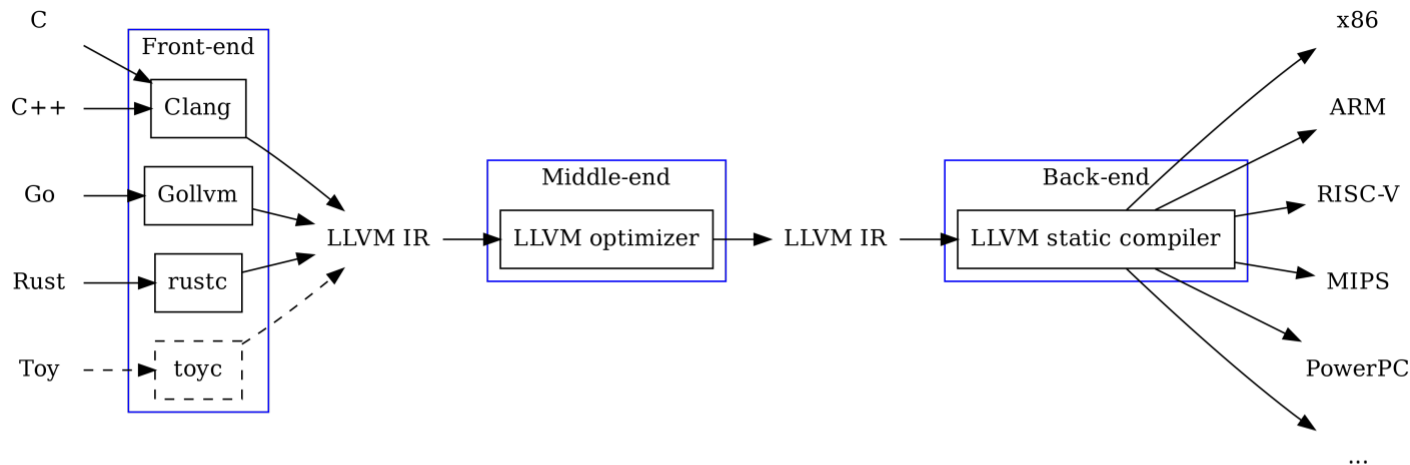
# Example



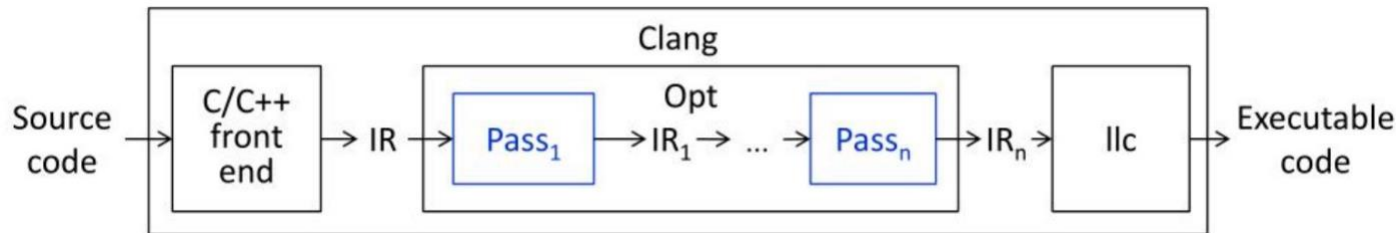
- Once constants have been globally propagated, we would like to eliminate the dead code



# IR Optimization of LLVM



- Optimizations are implemented as **Passes** that traverse some portion of a program to either **collect information** or **transform the program**
- A Pass receives an LLVM IR and performs analyses and/or transformations
  - ◆ Using opt, it is possible to run each Pass
- A Pass can be executed in a middle of compiling process from source code to binary code
  - ◆ The pipeline of Passes is arranged by Pass Manager



# General Optimization Flags



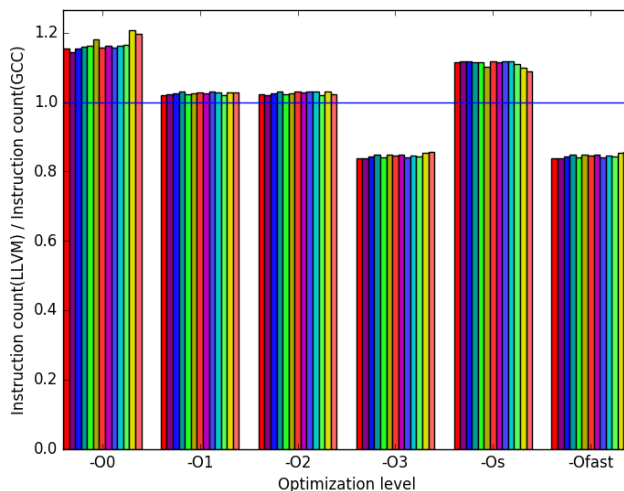
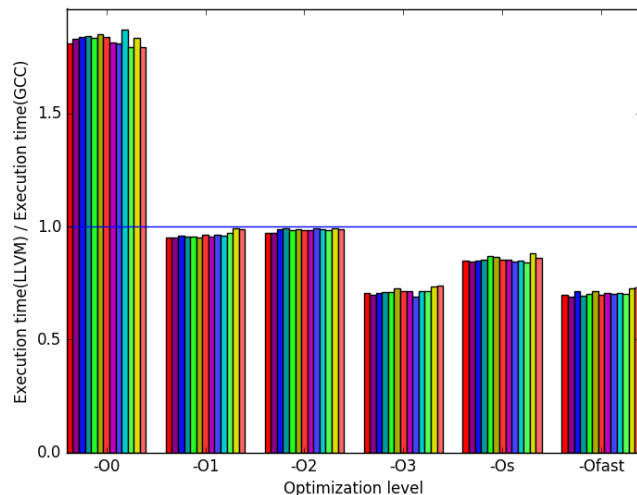
- O0: no optimization
  - ◆ Compiles the fastest and generates the most debuggable code
- O1: somewhere between O0 and O2
- O2: moderate level of optimization enabling most optimizations
- O3: like O2,
  - ◆ except that it enables opts that take longer to perform or that may generate larger code (in an attempt to make the program run faster)
- Os: like O2 with extra opts to reduce code size
- Oz: like Os, but reduce code size further
- O4: enables link-time opt Clang has support for O4, but not opt



# Performance at Varying Flags



- Compare the performance of the benchmark when compiled with either GCC or LLVM
  - ◆ Compile benchmark at six optimization levels
  - ◆ Each workload was run 3 times with each executable on the Intel Core i7-2600 machines



# Summary



- **Layout-related** transformations[布局相关]
  - ◆ Goal: **maximize the spatial locality** [空间局部性].
    - ◆ code layout, declaration ordering, data structuring
- **Code-related** transformations[代码相关]
  - ◆ Goal: **execute least number of most costly instructions.**
  - ◆ Basic blocks
  - ◆ Control flow graphs
  - ◆ DAG construction of basic blocks
  - ◆ Local optimization
    - CSE/ DCE / Algebraic identities / Constant folding and propagation
  - ◆ Global Optimization
    - Constant propagation



# Further Reading



- Dragon Book, 2<sup>nd</sup> Edition

- ◆ Comprehensive Reading:

- Section 8.4 8.5 on DAG based block optimization.
    - Section 9.1 on an example of loop optimization.
    - Section 9.6.1, 9.6.6 on basic concepts of loop optimization.
    - Section 9.2.1 9.2.4 on data flow equations and reaching definition analysis.

- ◆ Skip Reading:

- Section 9.2.5-9.2.6 on liveness and available expression analysis.
    - Section 9.6.4 on properties of reducible flow graphs.



# DAG Construction Revisit



- Three possible scenarios
  1.  $x = y \text{ op } z$
  2.  $x = \text{op } y$
  3.  $x = y$
- Step 1:
  - If the  $y$  operand is not defined, then create a **node** ( $y$ ).
  - For case 1 - If the  $z$  operand is not defined, create a **node**( $z$ ).
- Step 2:
  - For case 1 - Create **node**( $OP$ ), with **node**( $y$ ) as its left child and **node**( $z$ ) as its right.
  - For case 2 - See if there is a node operator ( $OP$ ) with one child node ( $y$ ).
  - For case 3 - Node **node**( $x$ ) will be **node**( $y$ ).
- Step 3:
  - Remove  $x$  from the list of node identifiers
  - Add  $x$  to the list of attached identifiers for node  $n$ .

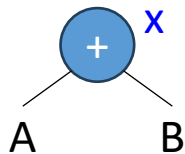




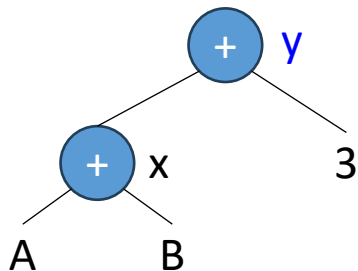
# Example



- $x = A + B$



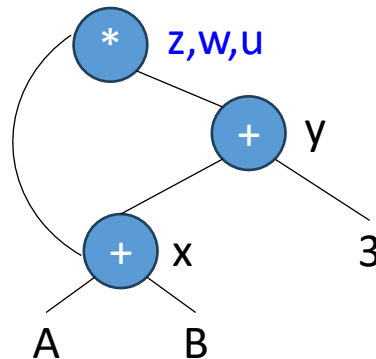
- $y = x + 3$



- $z = x * y$

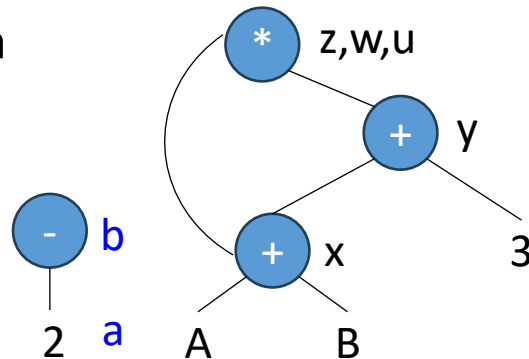
- $w = x * y$

- $u = w$



- $a = 4 / 2$

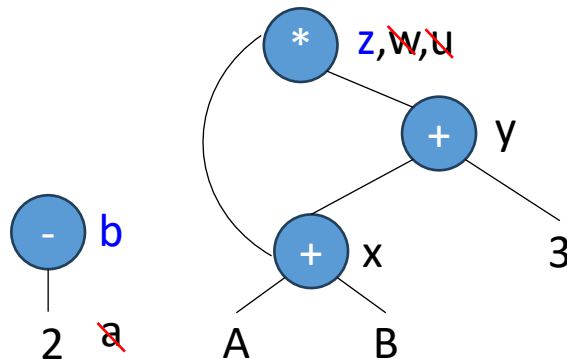
- $b = -a$



# DAG-based Optimization



- If **z** and **b** are alive



$x = A + B$

$y = x + 3$

$z = x * y$

~~$w = x * y$~~

~~$u = w$~~

~~$a = 4 / 2$~~

**$b = -2$**

