Computer / Network

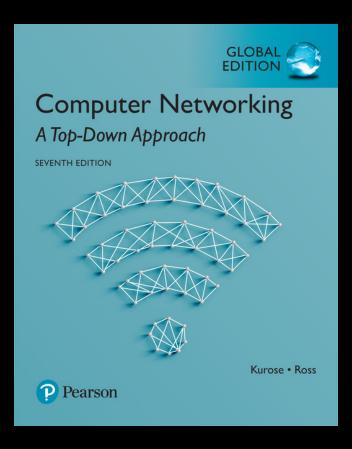
Transport Layer

School of Electric and Computer Engineering

Pusan National University, KOREA

Younghwan Yoo





## Computer Networking

A Top-Down Approach

7<sup>th</sup> edition

Jim Kurose, Keith Ross

Pearson

April 2016

# Contents

**Computer Network introduction** 

01. Transport-Layer Services

02. Multiplexing and Socket

03. User Datagram Protocol

04. Reliable Data Transfer Principles

# Contents

**Computer Network introduction** 

05. Transmission Control Protocol

06. Congestion Control

07. TCP Congestion Control Algorithm

08. TCP vs. UDP

# 01. Transport-Layer Services



#### Program

- an executable file containing the set of instructions written to perform a specific job
- stored on a disk

#### Process

- an executing instance of a program
- resides on the primary memory
- several processes related to same program at the same time

#### Thread

the smallest executable unit of a process





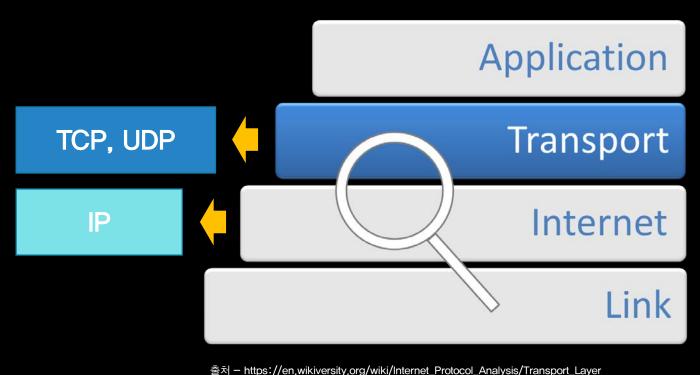


## Transport layer

- logical communication bet ween processes
- relies on, enhances, netw ork layer services

## Network layer

 logical communication bet ween hosts





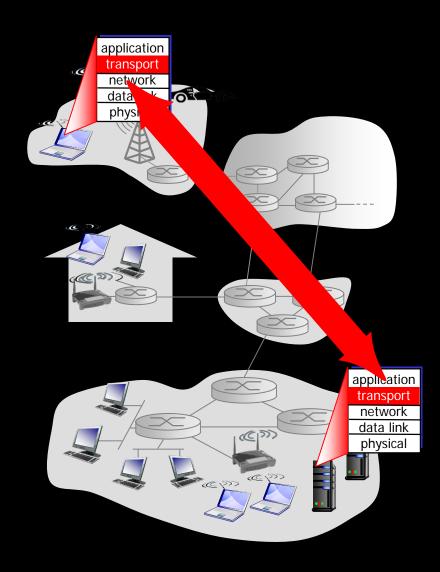
#### **Send Side**

- Application message fragmentation into segments
- Segment passing to network layer

#### **Recv Side**

- Segments reassembly into messages
- Message passing to application layer

Internet Transport Protocols
TCP, UDP





## TCP

- Transmission Control Protocol
- Reliable, in—order delivery
- Connection—oriented service
  - connection setup
  - error control
  - flow control
  - congestion control

## **UDP**

- User Datagram Protocol
- Unreliable, unordered delivery
- Connectionless service
  - faster than TCP

# 02. Multiplexing and Socket

### Multiplexing and Demultiplexing

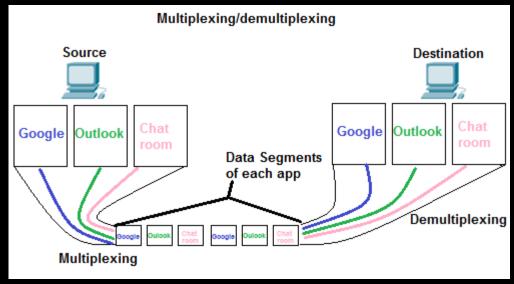


### Multiplexing at sender

 sending data from its own multiple applications through network

### Demultiplexing at receiver

 delivering data packets to their appropriate receivers among its own multiple applications

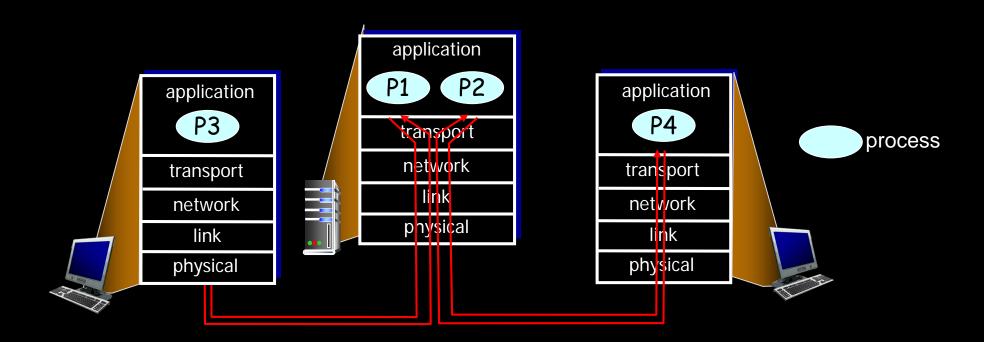


츠ᅯ \_

https://www.google.co.kr/url?sa=i&rct=j&q=&esrc=s&source=images&cd=&cad=rja&uact=8&ved=2ahUKEwiNn\_qt7ubbAhUU87wKHVnKCbQQjRx6BAgBEAU&url=http%3A%2F%2Fwww.cnt4all.com%2F2016%2F08%2F07-transport-layer-multiplexing-

and,html&psig=AOvVaw03CLdYUqYYGWH6m155fosy&ust=1529740774014370





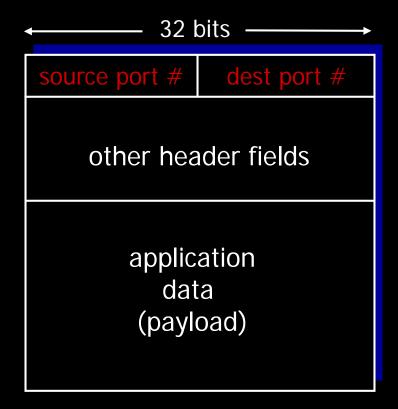
The most basic role of the transport layer.



#### Port number

- different applications are assigned different port numbers
- transport layer segments have fields for src/dst port numbers in common
- used for differentiating segments
- However, the transport layer must be instructed by the application which process on which host should be the destination. How?

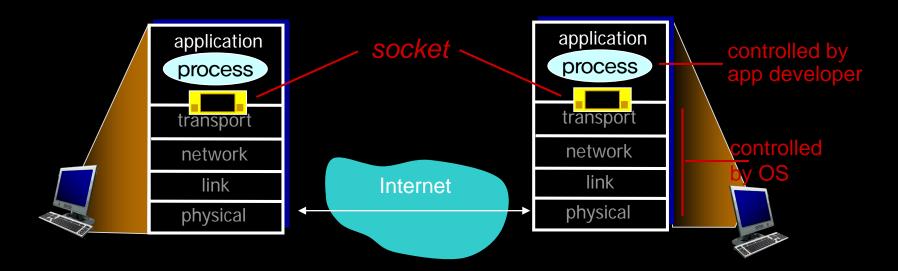




TCP/UDP segment format



- Process sends/receives messages to/from its socket
  - e.g., BSD socket, Winsock
- Analogous to door
  - sending process shoves message out door
  - sending process relies on transport infrastructure on other side of door to deliver message to socket at receiving process





■ The 'Socket' is provide as the form of APIs (application program interfaces) by OS

Server

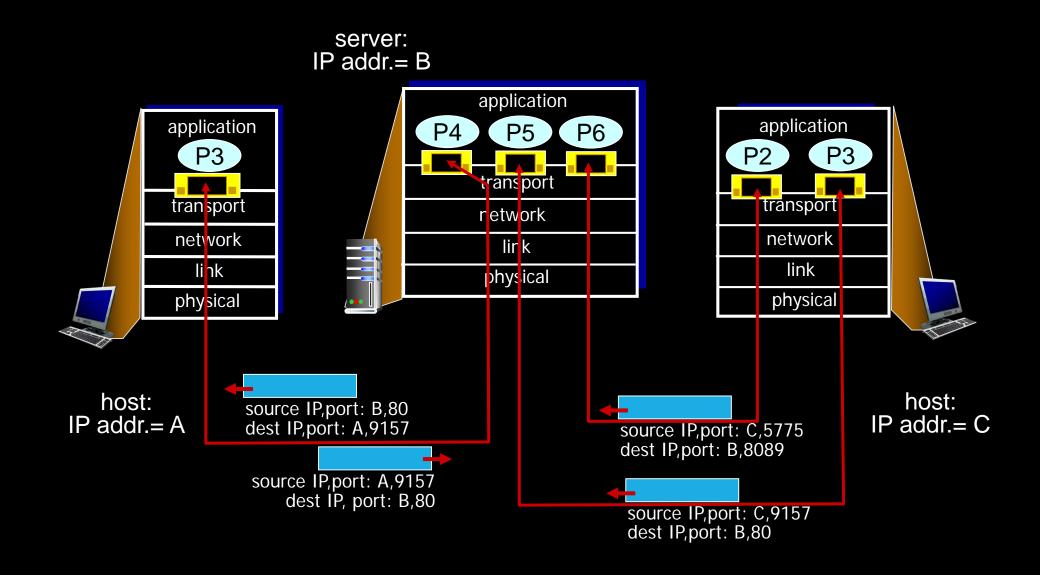
```
import socket
serversocket = socket.socket(socket.AF_INET, socket.SOCK_STREAM)
serversocket.bind(('127.0.0.1', 8089')) # localhost = 127.0.0.1
serversocket.listen(5)

while True:
    connection, address = serversocket.accept()
    buf = connection.recv(64)
    if len(buf) > 0:
        print(buf)
        break
```

Client

```
import socket
clientsocket = socket.socket(socket.AF_INET, socket.SOCK_STREAM)
clientsocket.connect(('localhost', 8089))
clientsocket.send(b'GET /index.html')
```





# 03. User Datagram Protocol



- "No frills," "bare bones" Internet transport protocol
- Connectionless service:
  - each UDP segment handled independently of others
  - Unreliable: UDP segments may be lost or delivered out-of-order to app

#### UDP use:

- streaming multimedia apps (loss tolerant, rate sensitive)
- DNS
- SNMP
- Reliable transfer over UDP:
  - add reliability at application layer
  - application—specific error recovery!

32 bits source port # dest port # checksum length application data (payload)

**UDP** segment format

length, in bytes of UDP segment, including header (header plus data)

### Advantages of UDP

- no connection establishment (which can add delay)
- simple: no connection state at sender, receiver
- small header size
- no congestion control: UDP can blast away as fast as desired



Checksum to detect transmission errors

32 bits —

	<u> </u>
source port #	dest port #
length	checksum
application data (payload)	

**UDP** segment format

### Sender

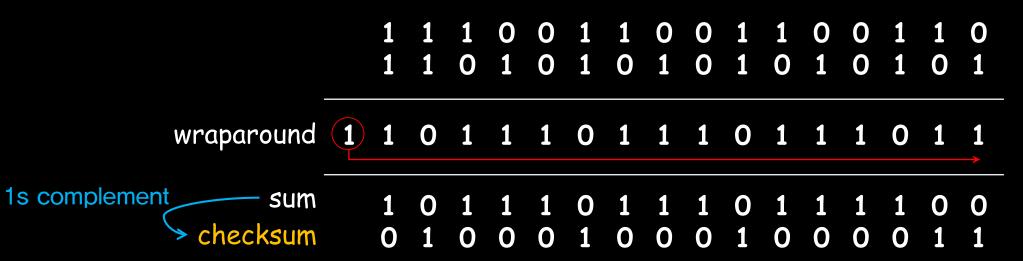
- make a 16-bit integer checksum code of segment contents including header
- put the checksum into the checksum field and transmit the segment

#### Receiver

- compute checksum of received segment
- check if the computed checksum equals all 1's or not



Ex) splits an entire segment into 16-bit words and add them one by one



Note: when adding numbers, a carryout from the most significant bit needs to be added to the result

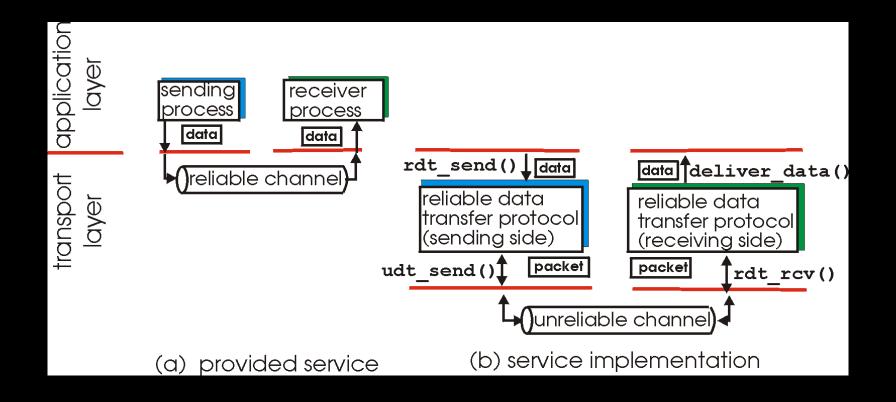
Why checksum in UDP?

# 04. Reliable Data Transfer Principles



- Services abstraction (provided to the upper-layer) through a reliable channel
  - no corruption and no lost of data
  - delivered in the order in which they were sent

Service model of TCP

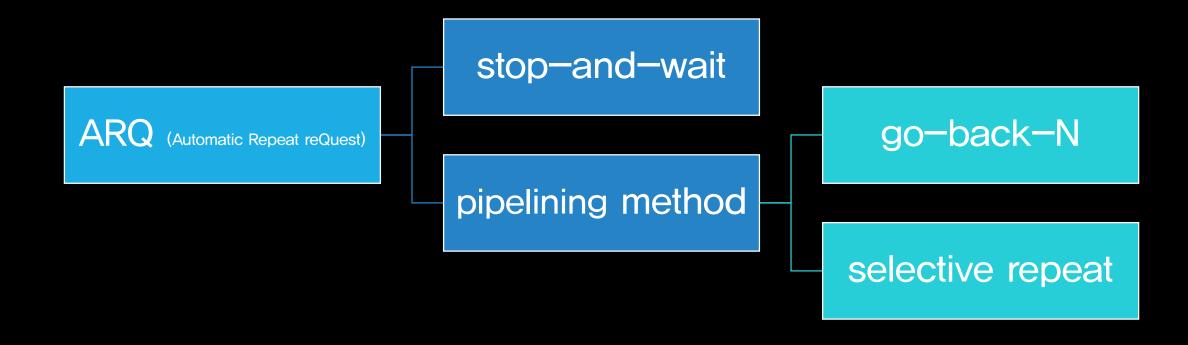




Error Types	Solution
Bit error	<ul> <li>Checksum in every segment</li> <li>ACK returned for successfully received packet</li> </ul>
Packet loss (Data or ACK)	<ul> <li>Timeout of sender's timer</li> <li>Packet retransmission</li> <li>Packet sequence number for</li> <li>ordered delivery</li> <li>data duplication prevention</li> </ul>



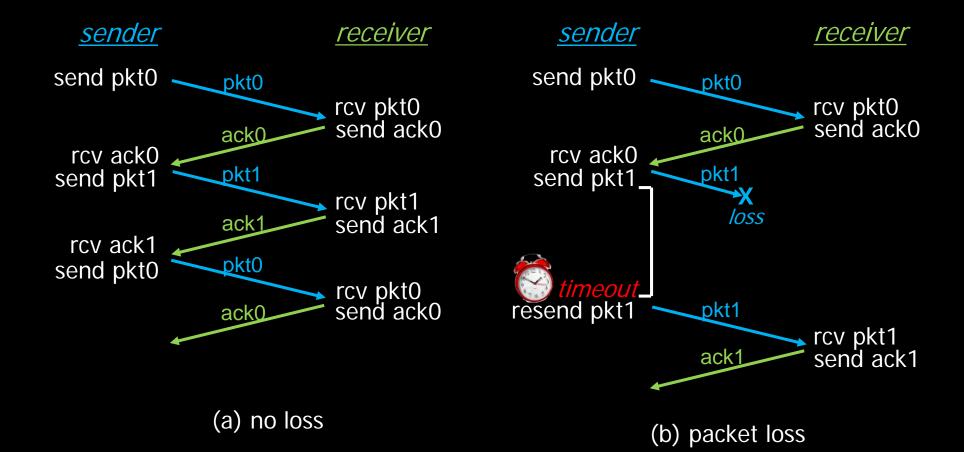
Packet retransmission method



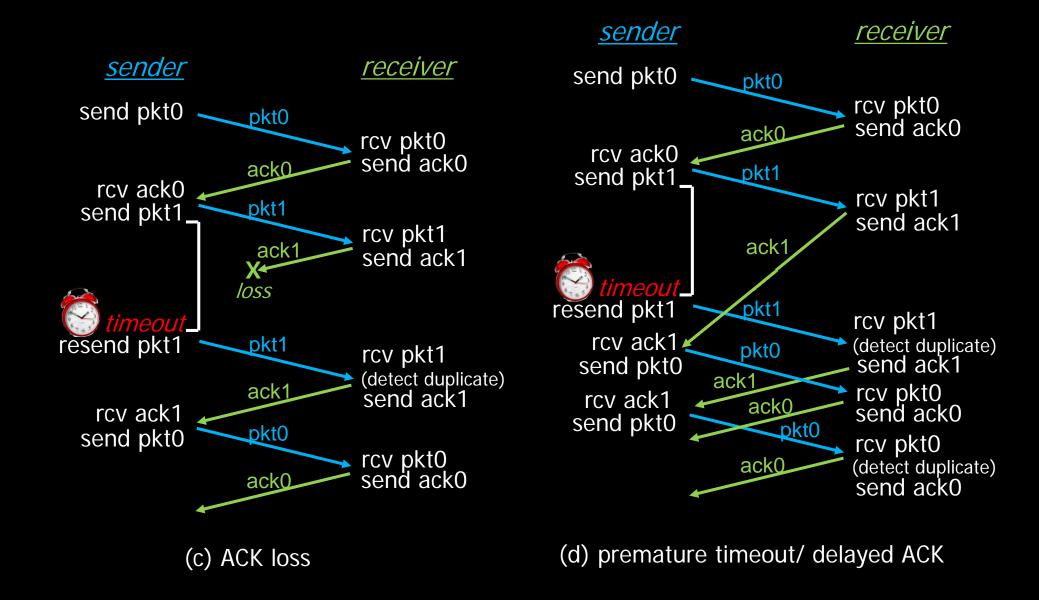


### Stop-and-wait

- sender sends one packet, then waits for receiver response
- after receiving ACK, sender resumes transmission
- if timer expires without receiving ACK, sender retransmits the previous packet









■ E.g., 1 Gbps link, 15 ms propagation delay, 1kB (= 8000 bit) packet

$$D_{trans} = \frac{L}{R} = \frac{8000 \, bits}{10^9 \, bits / sec} = 8 \, \mu s$$

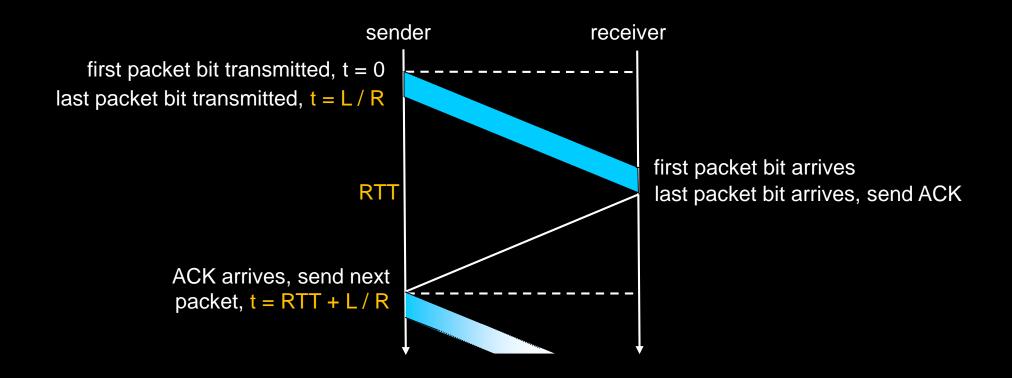


■ *U*: utilization – fraction of time sender busy sending

$$U = \frac{L/R}{RTT + L/R} = \frac{.008}{30.008} = 0.00027$$

- if RTT=30ms, 1kB packet every 30 ms: 33kB/sec throughput over 1 Gbps link
- Network protocol limits use of physical resources!

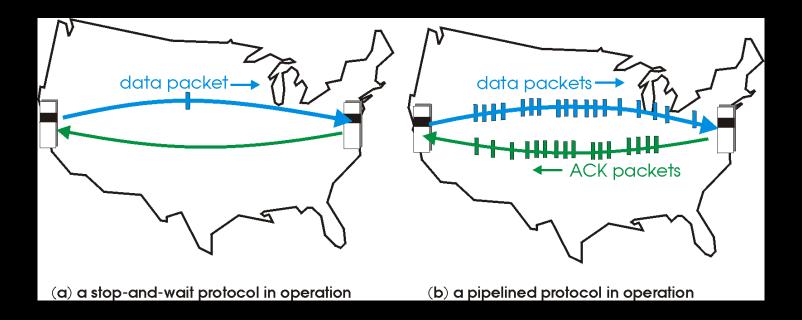




$$U = \frac{L/R}{RTT + L/R} = \frac{.008}{30.008} = 0.00027$$

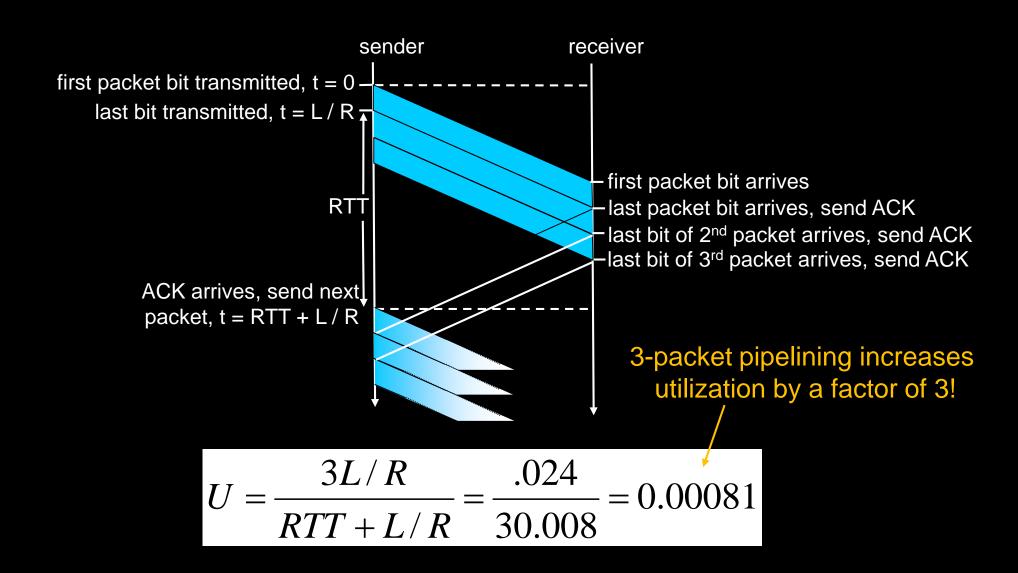


- Pipelining: sender allows multiple, "in-flight", yet-to-be-acknowledged packets
  - range of sequence numbers must be increased
  - buffering at sender and/or receiver



■ Two generic forms of pipelined protocols: *go-back-N, selective repeat* 







### Go-back-N

- Sender can have up to N
   unacked packets in pipeline
- Receiver only sends cumulative ack
  - in case a gap in seq. #, resends the last ack
- Sender has timer for oldest unacked packet
  - when timer expires, retransmit all unacked packets

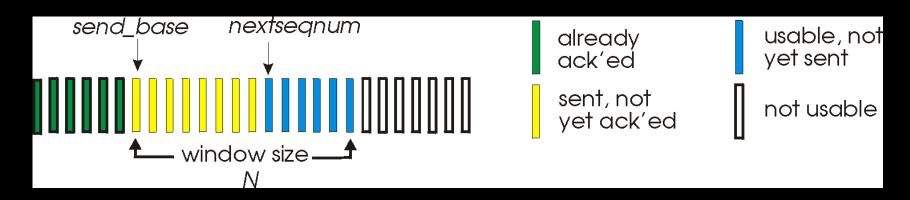
## **Selective repeat**

- Sender can have up to N
   unacked packets in pipeline
- Receiver sends individual ack for each packet

- Sender has timer for each unacked packet
  - when timer expires, retransmit only that unacked packet



- Sequence number in packet header
- "Window" of up to N, consecutive unacked packets allowed

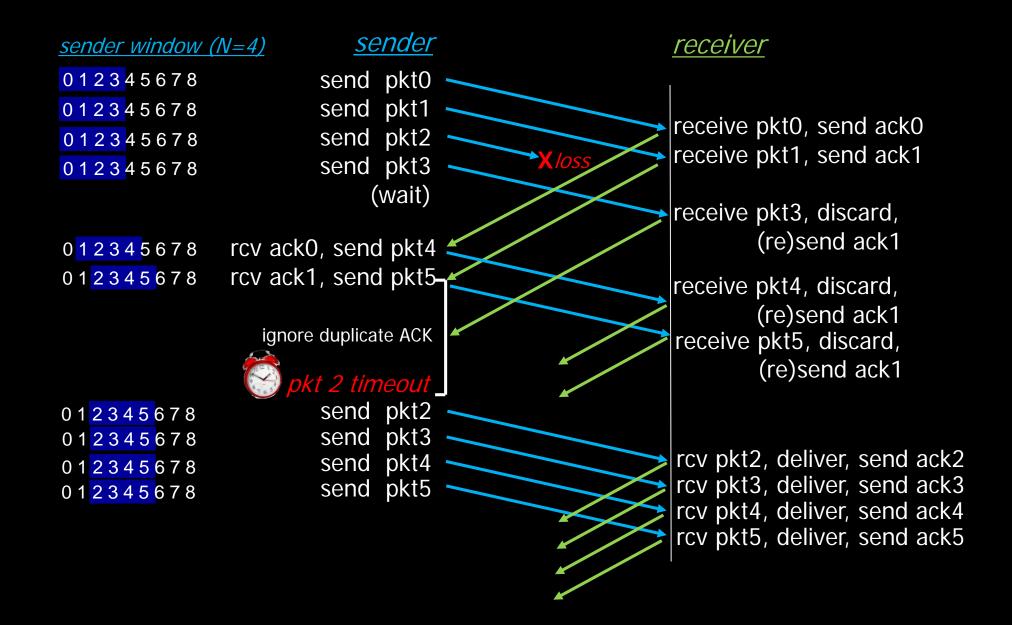


#### **Sliding window**

Window size *N* is dynamically changed in order to maximize the throughput, on condition that it does not cause network congestion or receiver buffer overflow

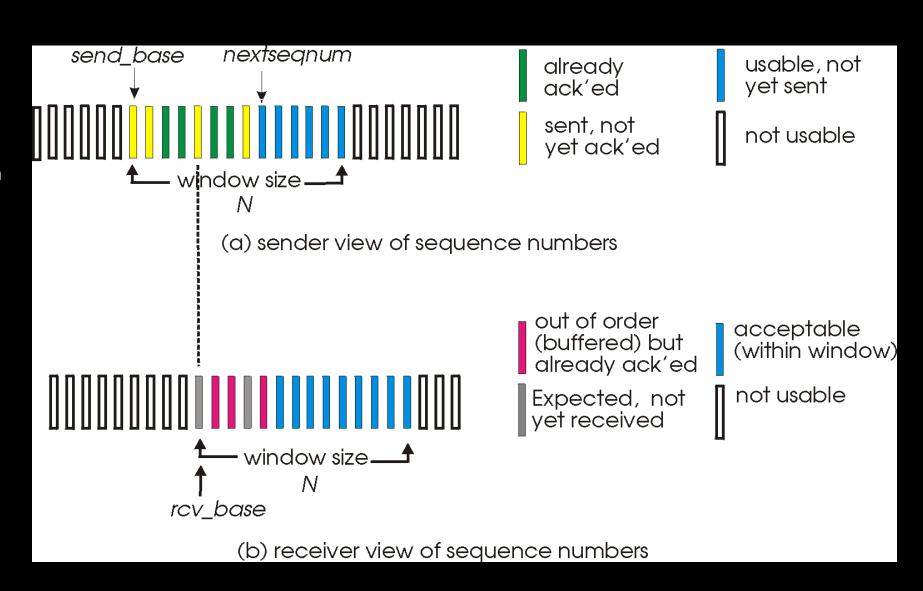
- Cumulative ACK: acknowledges all packets up to
- Timer for oldest in—flight packet, send\_base
  - when timer expires for any packet with seq. # n, packet n and all higher seq. # packets in window are retransmitted



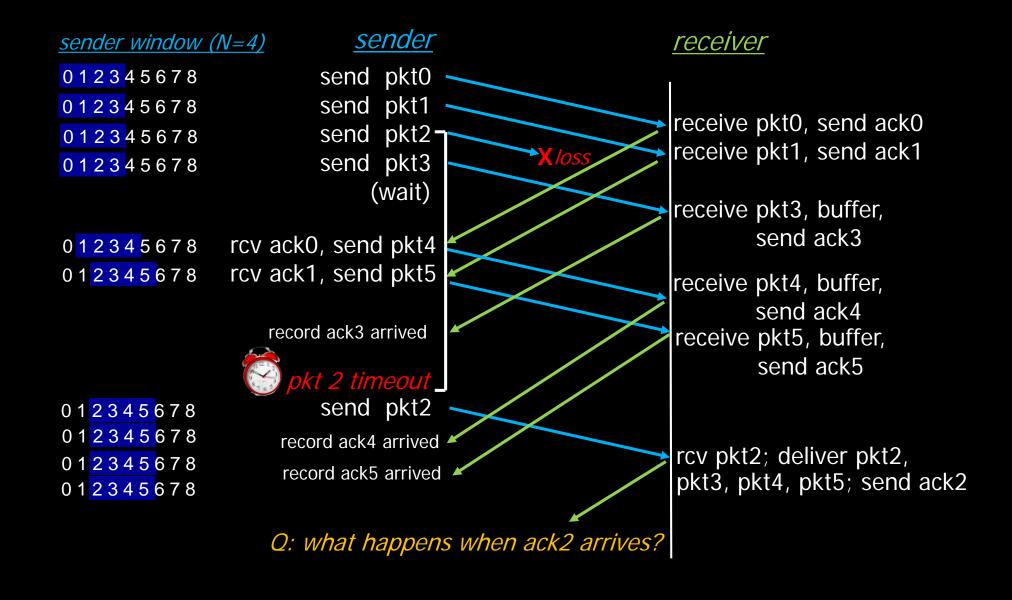




- Sender only resends packets for which ACK not received
  - sender timer for each unACKed packet
- Receiver individually acknowledges all correctly received packets
  - buffers packets, as needed, for eventual in-order delivery to upper layer





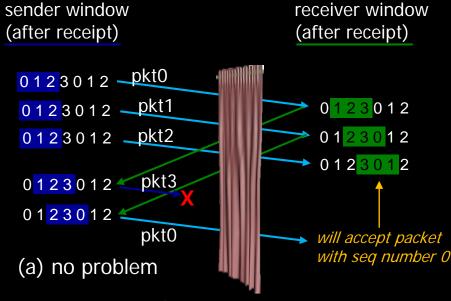




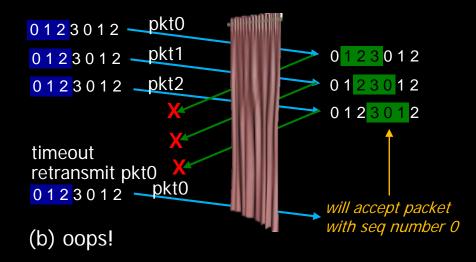
### example:

- Seq. #'s: 0, 1, 2, 3
- Window size=3
- Receiver sees no difference in two scenarios!
- Duplicate data accepted as new in (b)

Q: What relationship between sequence number size and window size to avoid problem in (b)?



receiver can't see sender side.
receiver behavior identical in both cases!
something's (very) wrong!

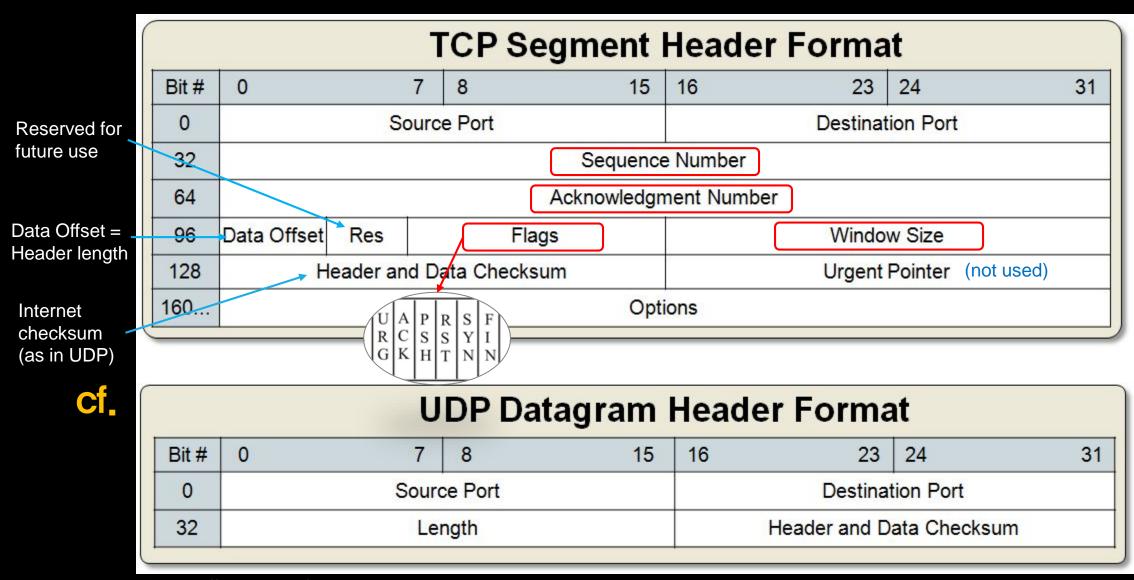


## 05. Transmission Control Protocol



- Point—to—point: one sender, one receiver
- Connection—oriented service
  - Reliable transfer, in—order delivery
  - handshaking initializes sender and receiver state before data exchange
- Pipelined transmission
  - window size is set by TCP congestion and flow control
  - congestion control: transmission rate controlled not to make congestion in network
  - flow control: sender will not overwhelm receiver
  - MSS: maximum segment size
- Full-duplex connection
  - bi-directional data flow in same connection



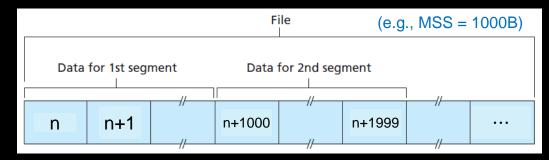


출처 - https://www.google.co.kr/url?sa=i&rct=j&q=&esrc=s&source=images&cd=&cad=rja&uact=8&ved=2ahUKEwjqvdKTnvXbAhWGgbwKHbLYChcQjRx6BAgBEAU&url=https%3A%2F%2Fwww.e-smartsolution.co.uk%2Fblog%2F2905-which-of-the-following-are-fields-in-the-tcp-header-2%2F&psig=AOvVaw3UKZiZgl2sHnBzm7KLhwL8&ust=1530175590273779



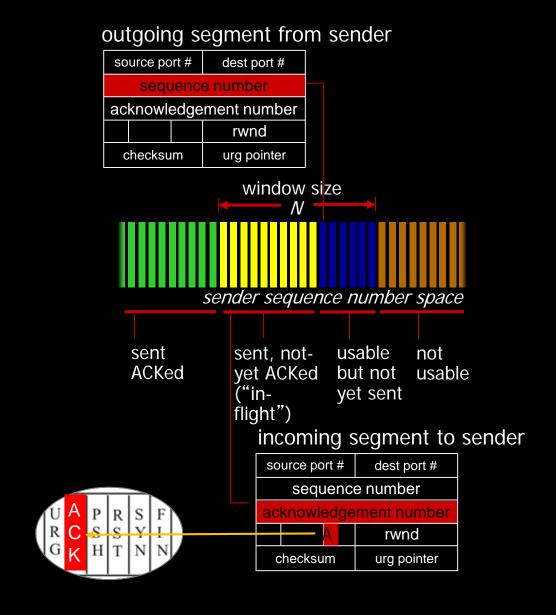
### Sequence number

byte stream "number" of first byte in segment's data

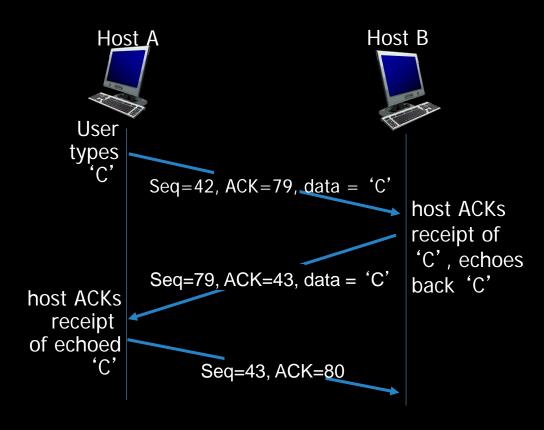


### Acknowledgment number

- sequence number of the next segment expected by receiver
- cumulative ACK



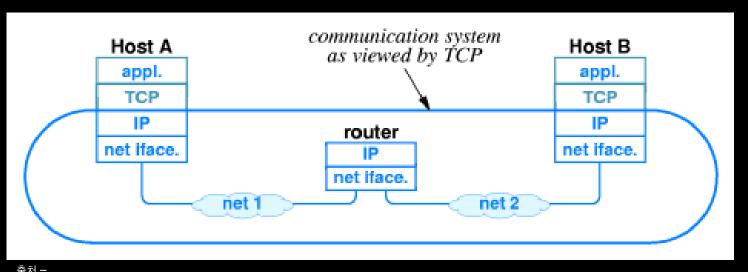




simple telnet scenario



- TCP creates reliable data transfer service on top of IP's unreliable service
  - pipelined segments
  - cumulative acks
  - single retransmission timer



https://www.google.co.kr/url?sa=i&rct=j&q=&esrc=s&source=images&cd=&cad=rja&uact=8&ved=2ahUKEwjf9czB0PXbAhUI5rwKHXblBqkQjRx6BAgBEAU&url=https%3A%2F%2Fwww.cs.csustan.edu%2F~john%2Fclasses%2Fprevious\_semesters%2FCS3000\_Communication\_Networks%2F2007\_02\_Spring%2FNotes%2Fchap25\_html&psig=A0vVaw2QofBlqkfTFxG8\_J4eyPGl&ust=1530250010414409



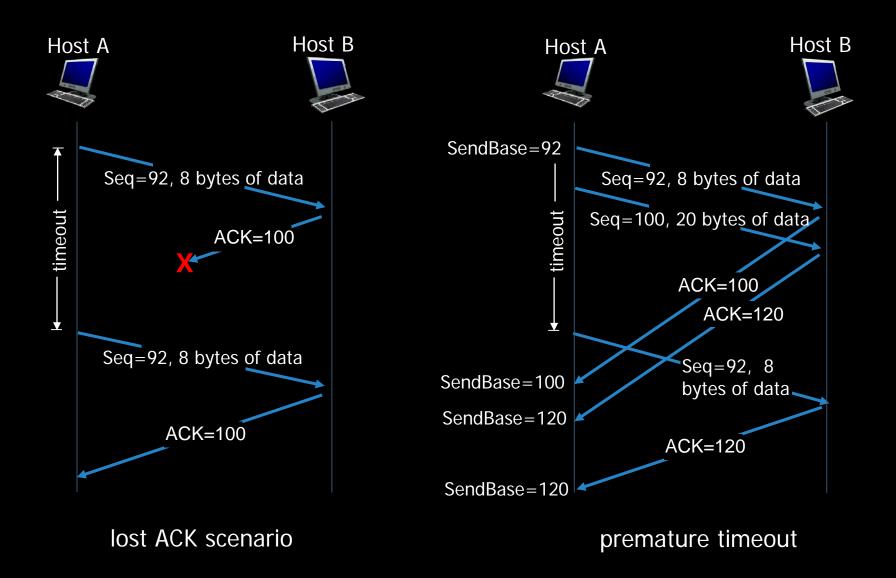
#### TCP sender

- when receiving data from app
  - create segment with seq. number
  - send it and start timer if not already running
- when timer expires
  - retransmit the segment that caused timeout and restart timer
- when receiving ack
  - update ACKed packet list
  - start timer if there are still unacked segments

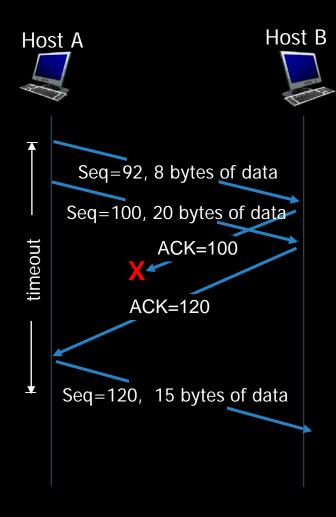
### TCP receiver

- when receiving data from sender
  - if discover bit error through checksum, then drop the packet
  - checking seq. # and there being no gap, send cumulative ACK
  - if packet is duplicated, drop it
- How receiver handles out–of–order segments?
  - TCP specification does not say explicitly
    - up to implementer









cumulative ACK

- How to set TCP timeout value?
  - must longer than RTT
  - SampleRTT
    - measured time from segment transmission until ACK receipt
  - EstimatedRTT
    - SampleRTT varies
    - moving average several recent measurements

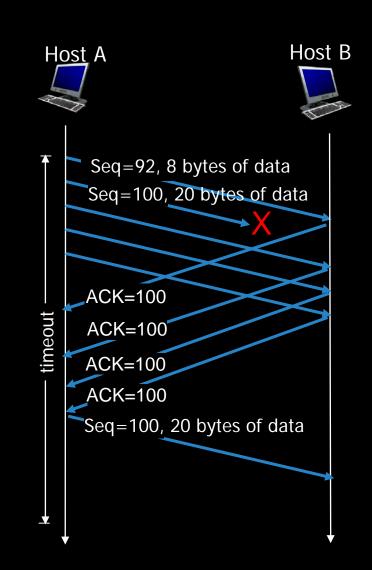
EstimatedRTT =  $(1-\alpha)$ \*EstimatedRTT +  $\alpha$ \*SampleRTT



TimeoutInterval = EstimatedRTT + "safety margin"



- Timeout period often relatively long:
  - long delay before resending lost packet
- Another way to detect segment lost
  - whenever a segment is received,
     receiver sends ACK to request the
     segment that has the next sequence
     number of the last in-order segment
  - sender often sends many segments back-to-back
  - if a segment is lost, there will likely be many duplicate ACKs

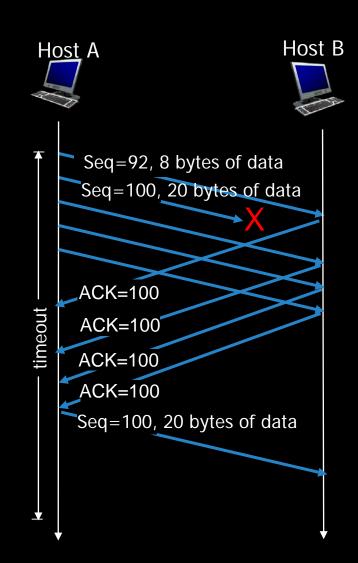




### TCP fast retransmit

if sender receives 3 duplicate ACKs
 (except the first normal ACK) for same
 data, resend unacked segment with
 smallest seq. number

- As a result, TCP retransmissions triggered by:
  - 1) timeout events
  - 2) duplicate acks



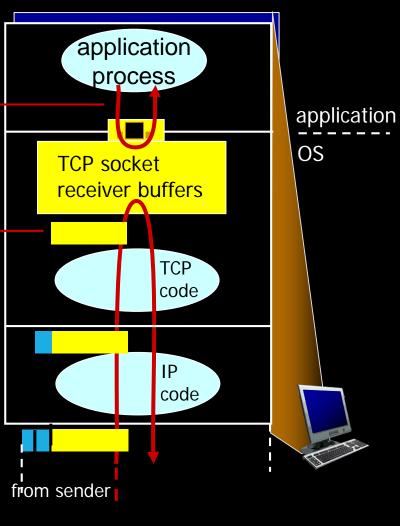


### **TCP Header**

SO	urce po	ort#	dest port #			
sequence number						
acknowledgement number						
h_len	flags receive windo		receive window			
checksum			urgent pointer			

application may remove data from TCP socket buffers ....

... slower than TCP receiver is delivering (sender is sending)



receiver protocol stack

## flow control

Receiver controls sender, so sender won't overflow receiver's buffer by transmitting too much, too fast

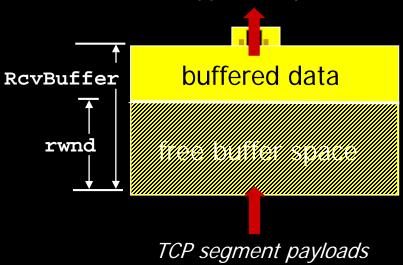


- Receiver advertises free buffer space
   by including rwnd value in TCP header
   of receiver—to—sender segments
  - RcvBuffer size set via socket options (typical default is 4096 bytes)
  - many OSs autoadjust RcvBuffer
- Sender limits amount of unacked ("in-flight") data to receiver's rwnd value
- Guarantees receive buffer will not overflow

#### **TCP Header**

soı	urce po	ort#	dest port#			
sequence number						
acknowledgement number						
n_len		flags	receive window			
checksum			urgent pointer			

to application process



receiver-side buffering



- Before exchanging data, sender and receiver
  - agree to establish connection
  - agree on connection parameters



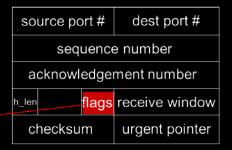
## TCP Header

SO	urce po	ort#	dest port #				
sequence number							
acknowledgement number							
h_len	flags receive window						
checksum			urgent pointer				

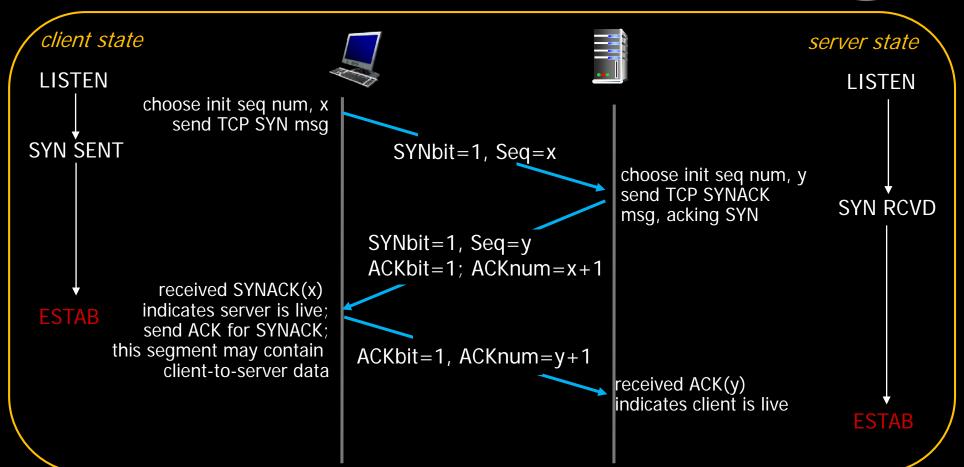
- URG: urgent data (generally not used)
- ACK: ACK # valid
- PSH: push data now (generally not used)



Three—way handshake



TCP Header





dest port#

receive window

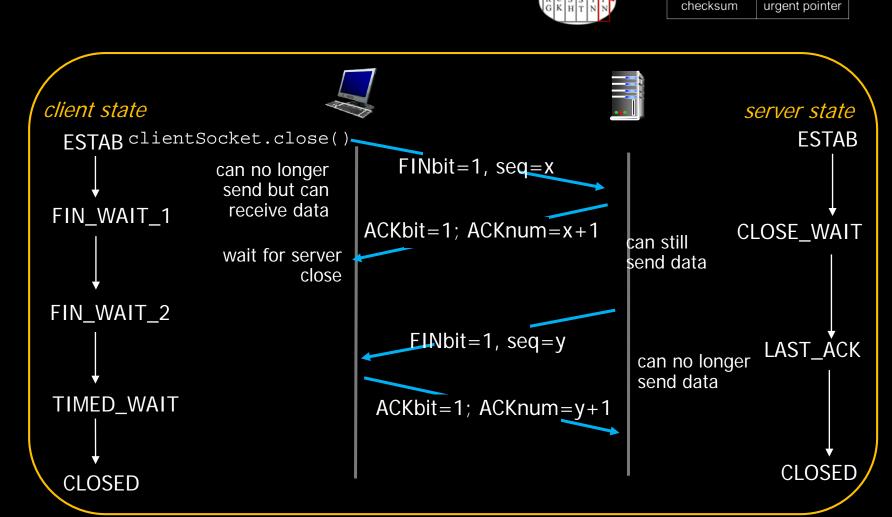
sequence number

acknowledgement number

TCP Header

source port #

- Client and server each close their side of connection
  - send TCP segmentwith FIN bit = 1
- Respond to receivedFIN with ACK
  - on receiving FIN,ACK can becombined with ownFIN



## 06. Congestion Control



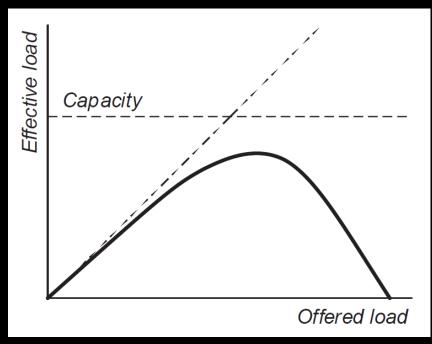
 When the offered load in an distributed sharing system exceeds total system capacity, the effective load will go to zero (collapses) as load increases



Congestion collapse (1980)

### **Congestion**

- "Too many sources sending too much data too fast for *network* to handle"
- Different from flow control!
  - congestion control is global issue
  - flow control is point—to—point issue
- Manifestations:
  - lost packets (buffer overflow at routers)
  - long delays (queueing in router buffers)



출처 – A. Afanasyev et al., "Host-to-Host Congestion Control for TCP," IEEE Comm. Surveys & Tutorials, Vol. 12, No. 3, 3<sup>rd</sup> Quarter, 2010, pp. 304-342



Two approaches towards congestion control:

### end-to-end

- No explicit feedback from network
- Congestion inferred from end-system observed loss, delay
- Approach taken by TCP

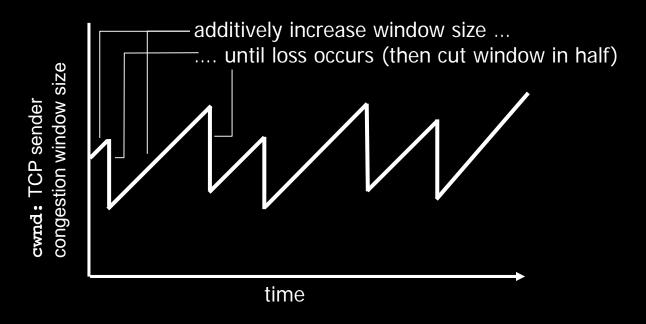
### network-assisted

- Routers provide feedback to end systems
  - single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
  - explicit rate for sender to send at



- AIMD approach: sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs
  - additive increase: increase cwnd by 1 MSS every RTT until loss detected
  - multiplicative decrease: cut cwnd in half after loss

AIMD saw tooth behavior: probing for bandwidth

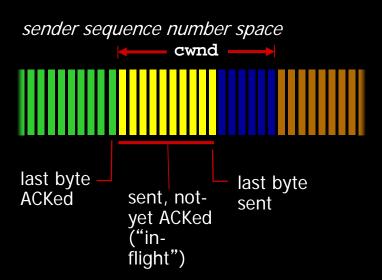




- Congestion window (cwnd)
  - the rate a TCP sender can send traffic (the amount of packets in transit)

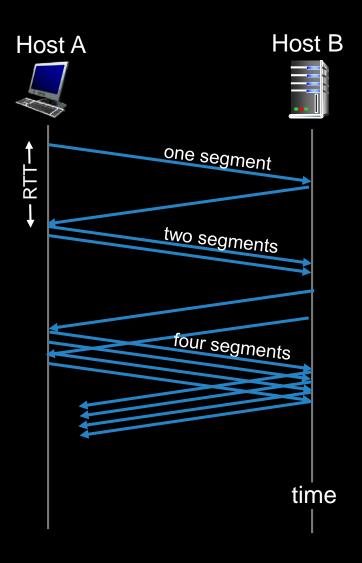
```
LastByteSent - LastByteAcked ≤ min{cwnd, rwnd}
```

cwnd is dynamic,function of perceived network congestion





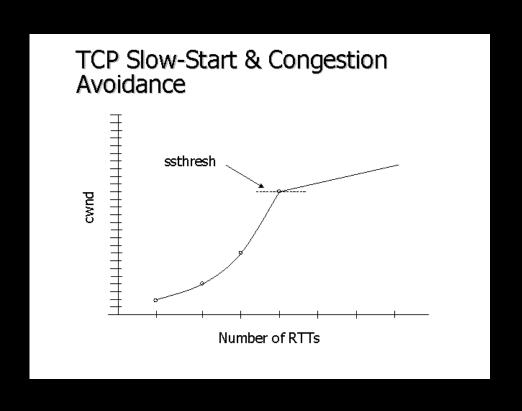
- When connection begins, increase rate exponentially until first loss event:
  - initially **cwnd** = 1 MSS
  - double cwnd every RTT
  - done by incrementing cwnd for every ACK received
- Summary: initial rate is slow but ramps up exponentially fast





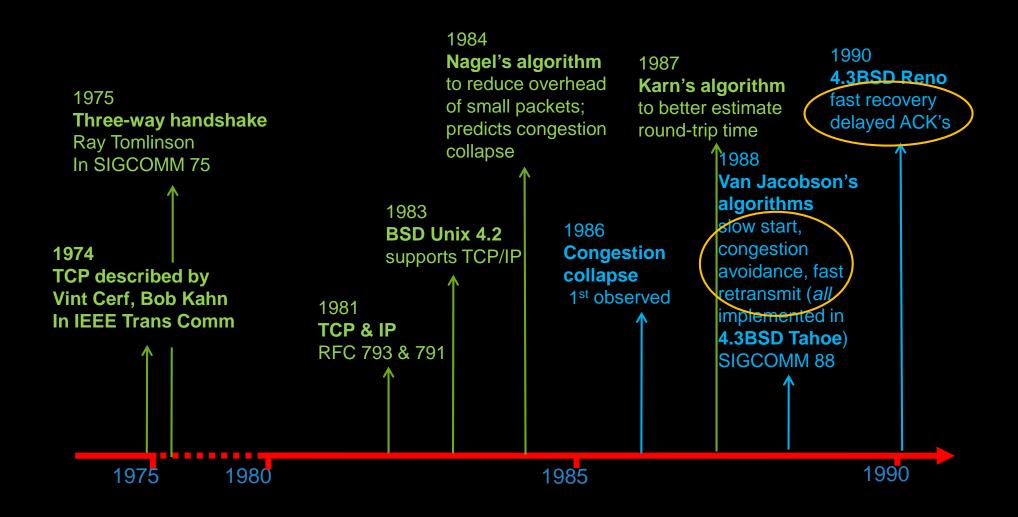
- Q: When should the exponential growth in the slow—start state end?
- A: When cwnd gets to 1/2 of its value before congestion was detected

- Implementation
  - variable ssthresh
  - on loss event, ssthresh is set
     to 1/2 of cwnd just before loss event
  - surpassing ssthresh, cwnd increasesby just a single MSS every RTT



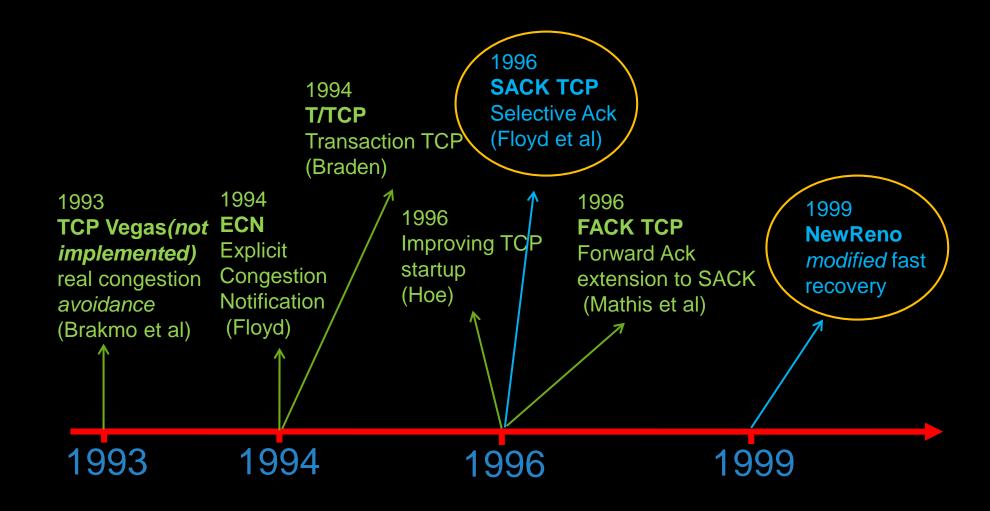
## 07. TCP Congestion Control Algorithm





Lecture note by Prof. Paul D. Amer (Univ. of Delaware)





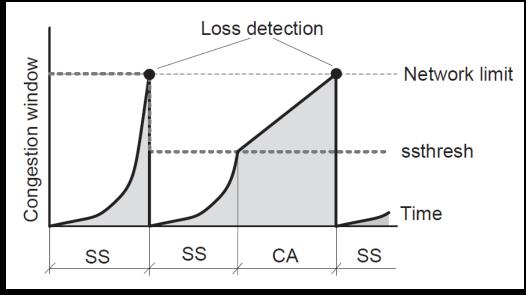


TCP Variant	Section	Year	Base	Added/Changed Modes on Footunes	$\mathbf{Mod}^1$	Status	Implementation			
TCP variant	Section	iear	Dase	Added/Changed Modes or Features	Moa		BSD <sup>2</sup>	Linux	Win	Mac
TCP Tahoe	II-A	1988	RFC793	Slow Start, Congestion Avoidance, Fast	S	Obsolete	>4.3	1.0		
[14]				Retransmit		Standard				
TCP-DUAL	II-B	1992	Tahoe	Queuing delay as a supplemental congestion	S	Experimental				
[15]				prediction parameter for Congestion Avoidance						
TCP Reno	II-C	1990	Tahoe	Fast Recovery	S	Standard	>4.3	>	>95/NT	
[16], [17]							>F2.2	1.3.90		
TCP NewReno	II-D	1999	Reno	Fast Recovery resistant to multiple losses	S	Standard	>F4	>		>10.4.6
[18], [19]								2.1.36		(opt)
TCP SACK	II-E	1996	RFC793	Extended information in feedback messages	P+S+R	Standard	>S2.6,	>	> 98	>
[20]							>N1.1,	2.1.90		10.4.6
							>F2.1R			
TCP FACK	II-F	1996	Reno,	SACK-based loss recovery algorithm	S	Experimental	>N1.1	>2.1.92		
[21]			SACK							
TCP-Vegas	II-G	1995	Reno	Bottleneck buffer utilization as a primary	S	Experimental		>		
[22]								2.2.10		
				-						
TCP-Vegas+	II-H	2000	NewReno,	Reno/Vegas Congestion Avoidance mode	S	Experimental				
[23]			Vegas	switching based of RTT dynamics						
TCP-Veno	II-I	2002	NewReno,	Reno-type Congestion Avoidance and Fast	S	Experimental		>		
[24]			Vegas	Recovery increase/decrease coefficient				2.6.18		
				adaptation based on bottleneck buffer state						
				estimation						
TCP-Vegas A	II-J	2005	Vegas	Adaptive bottleneck buffer state aware	S	Experimental				
[25]				Congestion Avoidance		_				
[22]  TCP-Vegas+ [23]  TCP-Veno [24]  TCP-Vegas A [25]	II-H II-I	2000	NewReno, Vegas NewReno, Vegas	feedback for the Congestion Avoidance and secondary for the Slow Start  Reno/Vegas Congestion Avoidance mode switching based of RTT dynamics  Reno-type Congestion Avoidance and Fast Recovery increase/decrease coefficient adaptation based on bottleneck buffer state estimation  Adaptive bottleneck buffer state aware	S S	Experimental  Experimental  Experimental		2.2.10		

<sup>&</sup>lt;sup>1</sup> TCP specification modification: S = the sender reactions, R = the receiver reactions, P = the protocol specification <sup>2</sup> S for Sun, F for FreeBSD, N for NetBSD



- Features
  - slow start
  - congestion avoidance
  - fast retransmit
    - retransmission upon 3 duplicateACKs
- The slow start begins (i.e., cwnd = 1MSS) on
  - timeout
  - fast retransmit



출처 - A. Afanasyev et al., "Host-to-Host Congestion Control for TCP," IEEE Comm. Surveys & Tutorials, Vol. 12, No. 3, 3<sup>rd</sup> Quarter, 2010, pp. 304-342



- Limitation of Tahoe
  - no differentiates losses indicated by timeout and duplicate ACKs
  - always sets cwnd to 1 MSS
- Two responses to packet losses by Reno
  - loss indicated by timeout
    - cwnd set to 1 MSS
    - slow start to ssthresh, then congestion avoidance begins
  - loss indicated by fast retransmit
    - cwnd cut in half
    - fast recovery, then congestion avoidance

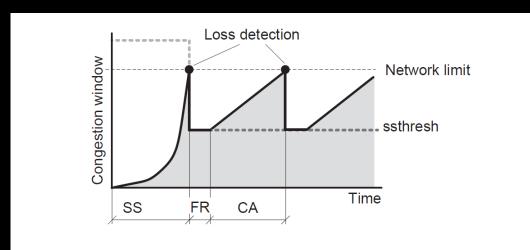


Fig. 15. Congestion window dynamics of TCP Reno (SS: the *Slow Start* phase, CA: the *Congestion Avoidance* phase, FR: the *Fast Recovery* phase)

출처 - A. Afanasyev et al., "Host-to-Host Congestion Control for TCP," IEEE Comm. Surveys & Tutorials, Vol. 12, No. 3, 3<sup>rd</sup> Quarter, 2010, pp. 304-342

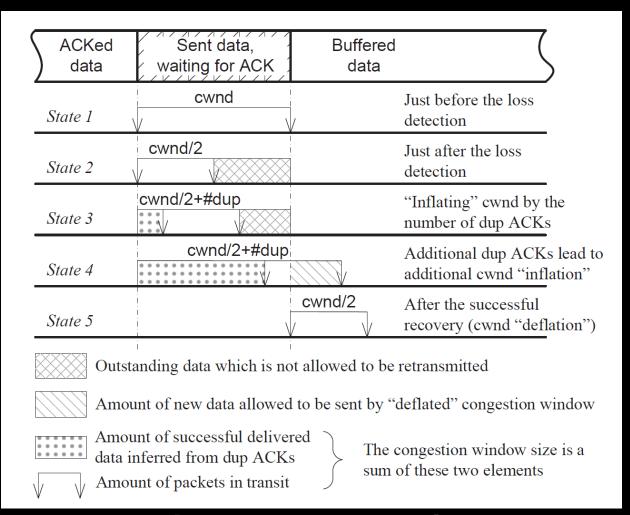
### **Fast Recovery**



- Half cwnd maintained until a nonduplicate ACK is received
  - amount of packets in transit kept from decreasing more than expected

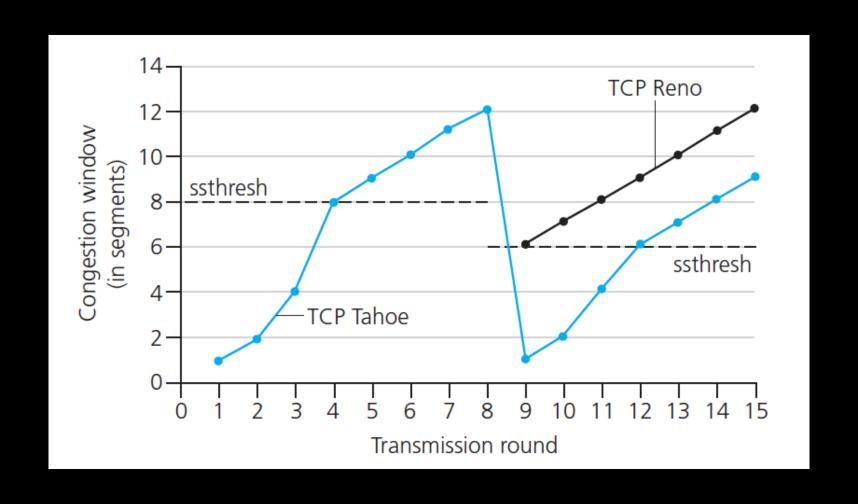
### Operation

- Upon the event of 3 duplicate ACKs,
- after reducing cwnd to 1/2,
- the algorithm not only retransmits the oldest unacknowledged packet,
- but also inflates the congestion window by the number of duplicate packets.
- A non-duplicate ACK makes the congestion avoidance start.



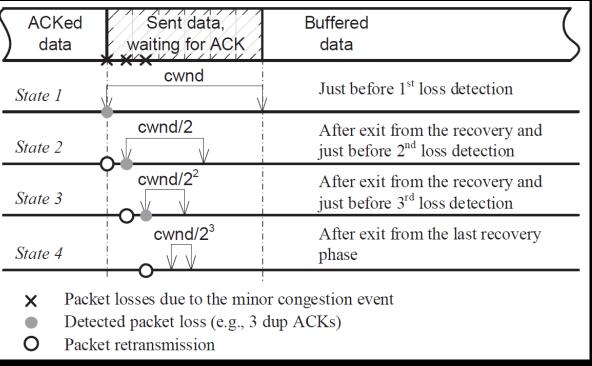
출처 - A. Afanasyev et al., "Host-to-Host Congestion Control for TCP," IEEE Comm. Surveys & Tutorials, Vol. 12, No. 3, 3<sup>rd</sup> Quarter, 2010, pp. 304-342







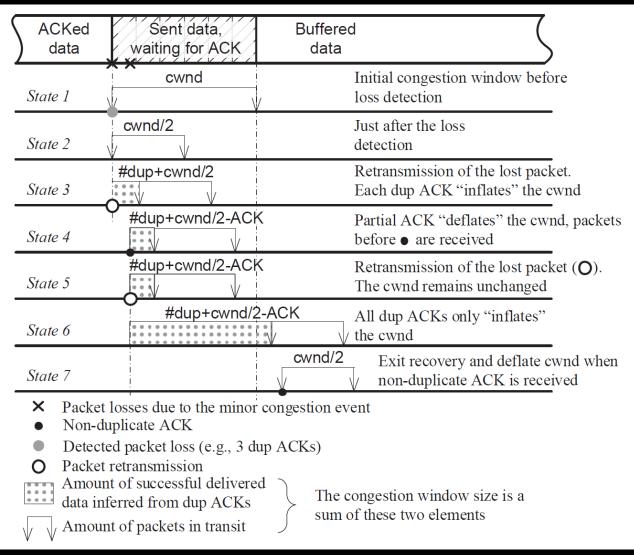
- Vulnerability of Reno's fast recovery
  - in case of multiple packet losses, **cwnd** may be reduced too much (exponential way)



출처 - A. Afanasyev et al., "Host-to-Host Congestion Control for TCP," IEEE Comm. Surveys & Tutorials, Vol. 12, No. 3, 3<sup>rd</sup> Quarter, 2010, pp. 304-342



- Refinement of Reno's fast recovery
  - resistant to multiple losses
  - restricts the exit from the recovery phase until all data packets from the initial congestion window are acknowledged
  - exits from the NewReno's fast recovery only when a new data ACK is received
  - keeps the sequence number of the last data packet sent before entering the fast recovery state



출처 - A. Afanasyev et al., "Host-to-Host Congestion Control for TCP," IEEE Comm. Surveys & Tutorials, Vol. 12, No. 3, 3<sup>rd</sup> Quarter, 2010, pp. 304-342









- Slow start
- Congestion avoidance
- Fast retransmit

- Slow start
- Congestion avoidance
- Fast retransmit
- Fast recovery in case of fast retransmit
- Slow start
- Congestion avoidance
- Fast retransmit
- Modified fast recovery for multiple packet losses



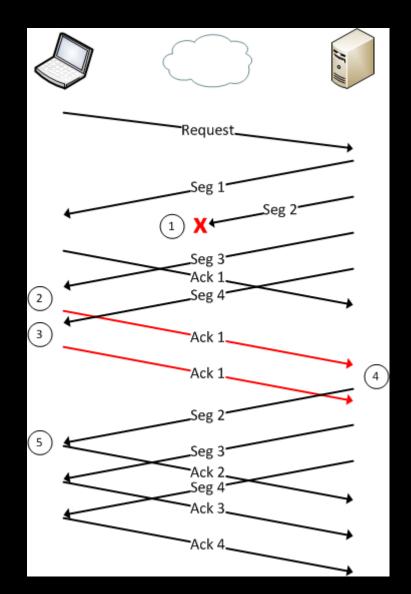
### Limited information of cumulative ACKs

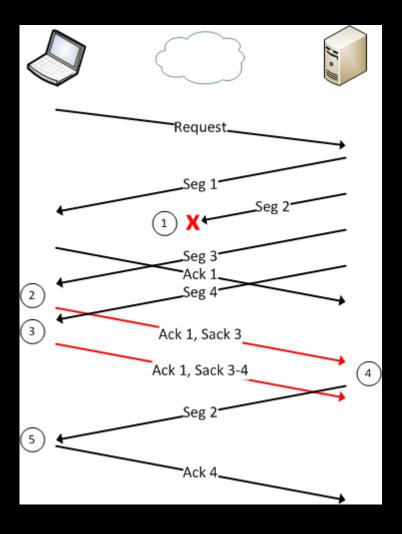
- ACK of only the last in-order packet
- Reno's fast recovery assumes loss of only one data packet
- a duration of the recovery is directly proportional to the number of packet losses

### SACK (Selective ACK) option

 receiver provides information about several packet losses in a single ACK message by reporting blocks of successfully delivered data packets







**Selective ACK** 

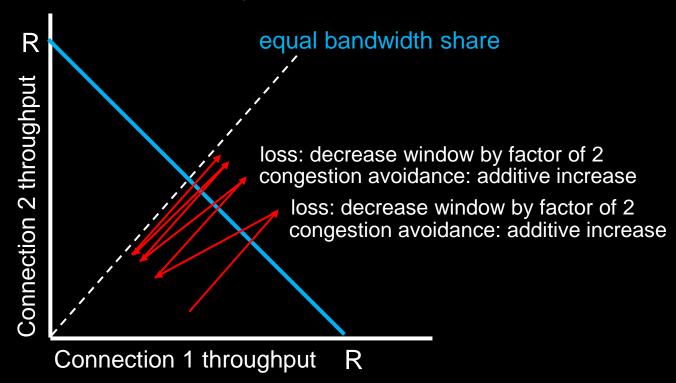
**Cumulative ACK** 

08. TCP vs. UDP



- TCP fairness through AIMD (additive increase and multiplicative decrease)
  - two competing sessions

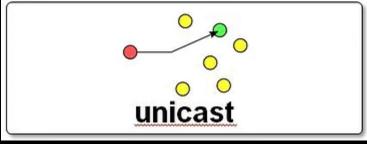
- Multimedia apps often do not use TCP
  - do not want rate throttled by congestion control
- Instead use UDP
  - send audio/video at constant rate,
     tolerate packet loss

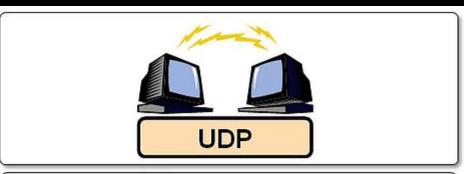




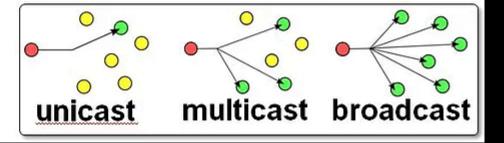


- Slower but reliable transfers
- Typical applications:
  - Email
  - Web browsing





- Fast but nonguaranteed transfers ("best effort")
- Typical applications:
  - VolP
  - Music streaming



추워 🗕

# Summary

01

### Transport layer services

- program, process, thread
- logical communication between processes

02

### Multiplexing and socket

- multiplexing and demultiplexing
- socket and socket program

03

### User datagram protocol

- connectionless service
- fast but unreliable transfer

04

### Reliable data transfer principles

- handling of bit error and packet loss
- ARQ: stop—and—wait, go—back—N, selective—repeat

05

### Transmission control protocol

- connection—oriented service
- reliable data transfer through error control and flow control

06

### Congestion control

- congestion: "Load is higher than network capacity!"
- additive increase and multiplicative decrease

07

### TCP congestion control algorithm

- dynamics of congestion window
- TCP Tahoe, Reno, NewReno, SACK

08

#### TCP vs. UDP

- TCP: slower but reliable and fair
- UDP: fast but unguaranteed