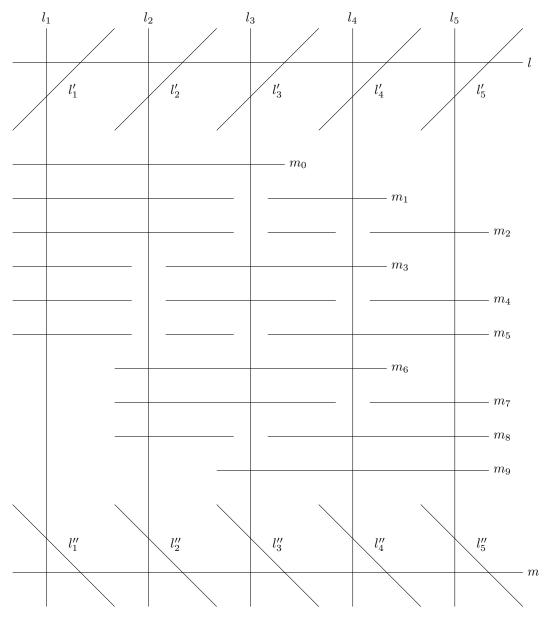
# M4P33 Algebraic Geometry

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### Syllabus

Affine varieties. Projective varieties. Morphisms. Rational maps. Nonsingular varieties. Intersections in projective space. The 27 lines on a cubic surface. Grassmannians. Divisors on curves. Elliptic curves.

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# 0 Introduction

I will not follow a particular book, but everything I am going to say will be contained in one of the following books.

Lecture 1 Friday 11/01/19

- I Shafarevich, Basic algebraic geometry, 1974
- R Hartshorne, Algebraic geometry, 1977
- J Harris, Algebraic geometry: a first course, 1922

### 1 Affine varieties

Notation 1.1.

- R is a commutative ring with unity.
- $\bullet$  K is a field.
- $K[x_1, \ldots, x_n]$  is the ring of polynomials in n variables.
- $\mathbb{A}^n$  is  $K^n$  as a set.

**Definition 1.2.** Let  $S \subseteq K[x_1, \ldots, x_n]$  then

$$Z(S) = \{x \in \mathbb{A}^n \mid \forall f \in S, \ f(x) = 0\}$$

is called the **zero locus** of S. Subsets of  $\mathbb{A}^n$  that are of this form are called **affine varieties**.

Remark 1.3. Some authors call algebraic set the object Z(S). We will not follow this notation.

### Example 1.4.

- Single points  $p = (p_1, ..., p_n)$ . p = Z(S) where  $S = \{x_1 p_1, ..., x_n p_n\}$ .
- $\bullet \ \mathbb{A}^n = Z(0).$
- $\emptyset = Z(1)$ .
- Subspaces of  $\mathbb{A}^n = K^n$ .
- If  $X = Z(f_1, \ldots, f_n) \subseteq \mathbb{A}^n$  and  $Y = Z(g_1, \ldots, g_m) \subseteq \mathbb{A}^n$  are affine varieties then

$$X \times Y = Z(f_1, \dots, f_n, g_1, \dots, g_m) \subset \mathbb{A}^{n+m}$$

is a variety.

Remark 1.5. If  $S \subseteq K[x_1, ..., x_n]$  and  $I = \langle S \rangle$  then Z(S) = Z(I).

**Theorem 1.6** (Hilbert's basis theorem). If R is Noetherian then R[x] is Noetherian.

Corollary 1.7. Every ideal in  $K[x_1, ..., x_n]$  is finitely generated.

**Definition 1.8.** Let  $X \subseteq \mathbb{A}^n$  then

$$I(X) = \{ f \in K [x_1, \dots, x_n] \mid \forall x \in X, \ f(x) = 0 \}.$$

**Example 1.9.**  $I(p) = I((p_1, ..., p_n)) = \langle x_1 - p_1, ..., x_n - p_n \rangle$ .

Goal is

Z(I(X)) = X but  $I(Z(J)) \supseteq J$ .

**Example 1.10.**  $J = \langle x^2 + 1 \rangle \subseteq \mathbb{R}[x] = I(\emptyset) = I(Z(x^2 + 1)).$ 

#### Proposition 1.11.

- If  $X \subseteq Y$  then  $I(Y) \subseteq I(X)$ . If  $I \subseteq J$  then  $Z(J) \subseteq Z(I)$ .
- $X \subseteq Z(I(X))$  and  $S \subseteq I(Z(S))$ .
- If X is affine then Z(J(X)) = X. If X = Z(S) then take Z of  $S \subseteq I(Z(S))$ .

**Example 1.12.** Let  $J \subseteq \mathbb{C}[x]$ .  $J = \langle f \rangle$ , where  $f = (x - x_1)^{k_1} \dots (x - x_n)^{k_n}$ .

**Definition 1.13.** Let  $I \subseteq K[x_1, \ldots, x_n]$  be an ideal.

$$I \subseteq \sqrt{I} = \{ f \in K [x_1, \dots, x_n] \mid \exists n \in \mathbb{N}, \ f^n \in I \}.$$

If  $\sqrt{I} = I$ , we say I is a **radical ideal**. (Exercise:  $\sqrt{I}$  is an ideal,  $I \subseteq \sqrt{I}$ , and  $\sqrt{I} = \bigcap_{n \text{ prime } P} p$ )

**Theorem 1.14** (Hilbert's Nullstellensatz).  $I(Z(J)) = \sqrt{J}$ . If  $\sqrt{J} = J$  then

$$\begin{array}{cccc} \{ \textit{affine varieties} \} & \longleftrightarrow & \{ \textit{radical ideals} \} \\ & X & \mapsto & I\left(X\right) \\ & Z\left(J\right) & \longleftrightarrow & J \end{array} .$$

Proposition 1.15.

- 1.  $Z(S) \cup Z(T) = Z(ST)$ .
- 2.  $\bigcap_i Z(S_i) = Z(\bigcup_i S_i)$ .
- 3.  $Z(0) = \mathbb{A}^n \text{ and } Z(1) = \emptyset.$

Proof.

1. If  $p \in Z(S) \cup Z(T)$ , then f(p) = 0 for  $f \in S$  or  $f \in T$ , so f(x) = 0 for  $f \in ST$ , where

$$ST = \left\{ \sum_{i \in I, \ I \ \text{finite}} s_i t_i \right\} \subseteq S \cap T,$$

with equality if S + T = R. If  $p \in Z(ST)$ , there exists f such that f(p) = 0 for  $f \in S$  or f(p) = 0 for  $f \in T$ , so  $p \in Z(S) \cup Z(T)$ .

**Definition 1.16.** The **Zariski topology** on  $\mathbb{A}^n$  is the topology generated by closed sets of the form Z(S). By the above proposition this is a topology.

**Example 1.17.**  $\mathbb{A}^1$  is not Hausdorff.

**Definition 1.18.** A topological space X is **irreducible** if it cannot be expressed as a union  $X = A \cup B$ , where A and B are proper and closed subsets.  $\emptyset$  is not considered irreducible.

Example 1.19.  $\mathbb{A}^1$ .

**Example 1.20.** Any non-empty open set of irreducible X is dense and irreducible. Suppose A is open then  $X = A^c \cup \overline{A}$ . Since X is irreducible then  $A^c = X$ , a contradiction, or  $\overline{A} = X$ . Suppose A is reducible. Let  $A = (A \cap B) \cup (A \cap C)$ , where B and C are closed. Then  $X = A^c \cup (B \cup C)$ .  $A^c = X$  or  $B \cup C = X$ , which are contradictions.

**Example 1.21.** If A is irreducible then  $\overline{A}$  is also irreducible. Suppose  $\overline{A}$  is not irreducible.  $\overline{A} = (\overline{A} \cap B) \cup (\overline{A} \cap C)$ . Take  $\bigcap A$ ,  $A = (A \cap B) \cup (A \cap C)$ , a contradiction.

**Definition 1.22.** An affine variety is **irreducible** if it is irreducible as a topological space.

Remark 1.23. A quasi-affine variety is an open set of an affine variety.

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#### Proposition 1.24.

1.  $I(X \cup Y) = I(X) \cap I(Y)$ .

2. 
$$Z(I(X)) = \overline{X}$$
 for any  $X \subseteq \mathbb{A}^n$ .

Proof.

- 1. If  $f \in I(X \cup Y)$  then f(p) = 0 for all  $p \in X \cup Y$ , so  $f \in I(X)$  and  $f \in I(Y)$ .
- 2. We know that  $X \subseteq Z(I(X))$  hence  $\overline{X} \subseteq Z(I(X))$ . Now, let Y be a closed set containing X, that is  $X \subseteq Y$ . Then

$$I(Y) \subset I(X) \implies Z(I(X)) \subset Z(I(X)) = Y,$$

so any closed set containing Y contains Z(I(X)).

**Proposition 1.25.** X is irreducible if and only if I(X) is prime.

Proof.

 $\implies$  Let  $f, g \in I(X)$ .

$$X \subseteq Z(fg) = Z(f) \cup Z(g) \implies X = (X \cap Z(f)) \cup (X \cap Z(g)).$$

$$Z(f) \subseteq X$$
, so  $f \in I(X)$ , or  $Z(g) \subseteq X$ , so  $g \in I(X)$ .

 $\iff$  Exercise.

Example 1.26.  $\mathbb{A}^n$ .

**Definition 1.27.** If  $X \subseteq \mathbb{A}^n$ , the coordinate ring of X is

$$A(X) = \frac{A}{I(X)} = \frac{K[x_1, \dots, x_n]}{I(X)}.$$

**Example 1.28.** Let  $f \in K[x_1, ..., x_n]$  be irreducible. If n = 3, Z(f) is a surface. If n = 2, Z(f) is a curve.

**Example 1.29.** Let  $y - x^2 \in K[x, y]$ . Then

$$\begin{split} A\left(X\right) &= \frac{K\left[x,y\right]}{\langle y-x^2\rangle} \cong K\left[x,x^2\right] &\to K\left[x\right] \\ &\sum_{i,j} a_{ij} x^i x^{2j} = \sum_{i,j} a_{ij} x^{2j+i} &\mapsto \sum_n b_n x^n \end{split}.$$

**Example 1.30.** Let  $xy - 1 \in K[x, y]$ . Then

$$A\left(X\right) = \frac{K\left[x,y\right]}{\left\langle xy - 1\right\rangle} \cong K\left[x,\frac{1}{x}\right].$$

A(X) cannot be K[x].

**Definition 1.31.** A **Noetherian** topological space X is a topological space such that if

$$C_1 \supseteq C_2 \supseteq \dots$$

is a decreasing chain of closed sets then there is a k such that  $C_k = C_{k+1} = \dots$ 

**Example 1.32.**  $\mathbb{A}^n$ . Recall that if  $A \subset B$  then  $I(B) \subset I(A)$ . So using the definition above,

$$I(C_1) \subseteq I(C_2) \subseteq \dots$$

Since  $K[x_1, ..., x_n]$  is Noetherian then  $I(C_i)$  stabilises. So  $I(C_k) = I(C_{k+1}) = ...$ , but taking Z, we recover  $C_k$  so  $C_k$  stabilises as well.

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**Theorem 1.33.** If X is Noetherian then any non-empty closed subset can be expressed as a finite union of irreducible closed sets  $X = Y_1 \cup \cdots \cup Y_n$ . Moreover, if we require that  $Y_i \subseteq Y_i$  then this expression is unique.

*Proof.* Let C be the collection of closed sets that do not satisfy that property. Let Y be a minimum closed inside C, in particular Y is reducible, so  $Y = Y' \cup Y''$ , for Y', Y'' closed. Hence  $Y', Y'' \not\subset C$ , so they can be expressed as a finite union of irreducibles, a contradiction. If  $Y_i \not\subset Y_j$ , then suppose

$$Y_1 \cup \cdots \cup Y_n = X_1 \cup \cdots \cup X_n$$
.

Then  $Y_1 \subset X_1 \cup X_n$ , in particular  $Y_1 = \bigcup_j (Y_1 \cap X_j)$ , so there is a j such that  $Y_1 \cap X_j = Y_1$ , so  $Y_1 \subset X_j$ . We can assume j = 1 and repeat the same argument to find that  $Y_1 = X_1$ , so consider  $\overline{Y \setminus Y_1} = Y_2 \cup \cdots \cup Y_n$ . But

$$Y_2 \cup \cdots \cup Y_n = X_2 \cup \cdots \cup X_n$$
,

and the result follows by induction.

Corollary 1.34. Any affine variety in  $\mathbb{A}^n$  can be expressed equally as a union of irreducible algebraic varieties.

**Definition 1.35.** The **dimension** of a topological space is the supremum of n where

$$Y_0 \subset \cdots \subset Y_n$$

is a sequence of irreducible closed sets.

**Example 1.36.** Dimension of  $\mathbb{A}^1$  is one.

**Definition 1.37.** Let A be a ring and  $\mathfrak{p}$  be a prime ideal, then the **height** of  $\mathfrak{p}$  is the supremum of n where

$$\mathfrak{p}_1 \subset \cdots \subset \mathfrak{p}_n \subset \mathfrak{p},$$

where  $\mathfrak{p}_i$  are prime. The **Krull dimension** of A is

$$\sup_{\mathfrak{p} \text{ prime}} height(\mathfrak{p}).$$

**Proposition 1.38.** If Y is affine then  $\dim(Y) = \dim(A(Y))$ .

*Proof.* Let C be a closed and irreducible set  $C \subset Y$ , then  $I(C) \supset I(Y)$ , then I(C) is prime.

**Proposition 1.39.** Let K be a field and B be an integral domain which is a finitely generated algebra, then

- $\dim(B)$  is the transcendence degree of K(B) over K, and
- if  $\mathfrak{p} \subseteq B$  is prime, then

$$height(\mathfrak{p}) + \dim\left(\frac{B}{\mathfrak{p}}\right) = \dim(B).$$

*Proof.* Ativah Macdonald chapter 11.

**Proposition 1.40** (Krull Hauptidealsatz). Let A be a Noetherian ring and  $f \in A$  not a zero divisor and not a unit. Then every prime ideal containing f has height one.

Proof. Atiyah Macdonald page 122.

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**Proposition 1.41.** A Noetherian integral domain A is a UFD if and only if every prime ideal I of height one is principal.

**Theorem 1.42.** An irreducible variety  $Y \subseteq \mathbb{A}^n$  has dimension n-1 if and only if Y = Z(f) where f is an irreducible polynomial in  $K[x_1, \ldots, x_n]$ .

Proof.

- $\implies$  If Y has dimension n-1 then I(Y) has height one, by the above proposition  $I(Y)=\langle f\rangle$ , so Y=Z(f).
- $\Leftarrow$  Let I = I(Y) then I is prime, by the Krull Hauptidealsatz we have that I has height one, so dim (Y) = n 1.

## 2 Projective varieties

**Definition 2.1.** The projective space  $\mathbb{P}^n$  is defined as

$$\mathbb{P}^n = \frac{\mathbb{A}^{n+1} \setminus \{0\}}{\{x \sim \lambda x \mid \lambda \in K^*\}}.$$

A point in  $\mathbb{P}^n$  is written as  $[a_0 : \cdots : a_n] = \overline{(a_0, \dots, a_n)}$ .

**Definition 2.2.** A graded ring R is a ring together with a decomposition

$$R = \bigoplus_{d>0} R_d,$$

where  $R_d$  are abelian groups and  $R_k \cdot R_t \subseteq R_{k+t}$ .

**Example 2.3.**  $K[x_0,\ldots,x_n]$  is a graded ring, where  $R_d$  are monomials of degree d.

Notation 2.4. Let A be  $K[x_0,\ldots,x_n]$  without the grading and S be  $K[x_0,\ldots,x_n]$  as a graded ring.

**Definition 2.5.** An ideal  $I \subseteq S$  is homogeneous if

$$I = \bigoplus_{d>0} \left( I \cap S_d \right).$$

If  $f = f_0 + \cdots + f_d$ , then  $f_i \in I$ .

Remark 2.6. I is homogeneous if and only if  $I = \langle f_0, \dots, f_n \rangle$ , where  $f_i$  are homogeneous.

**Lemma 2.7.** If I, J are homogeneous then

- 1. I + J is homogeneous,
- 2. IJ is homogeneous,
- 3.  $I \cap J$  is homogeneous, and
- 4.  $\sqrt{I}$  is homogeneous.

Proof.

4. Let  $f = f_0 + \cdots + f_d \in \sqrt{I}$  then

$$f^n = (f_0 + \dots + f_d)^n = f_d^n + \dots \in I \qquad \Longrightarrow \qquad f_d^n \in I \qquad \Longrightarrow \qquad f_d \in \sqrt{I},$$

so  $f - f_d \in \sqrt{I}$ , by induction  $f_i \in \sqrt{I}$ .

**Definition 2.8.** If f is homogeneous of degree k then

$$f(\lambda \cdot x) = \lambda^k \cdot f(x),$$

in particular f(x) = 0 if and only if  $f(\lambda \cdot x) = 0$ , so it makes sense to define

$$Z(f) = \{x \in \mathbb{P}^n \mid f(x) = 0\}.$$

More generally, if  $I \subseteq S$  is a homogeneous ideal then

$$Z\left(I\right)=\left\{ x\in\mathbb{P}^{n}\mid f\in I\text{ homogeneous, }f\left(x\right)=0\right\} .$$

**Definition 2.9.** A subset  $X \subseteq \mathbb{P}^n$  is called a **projective variety** if X = Z(T) for some homogeneous ideal T.

Proposition 2.10.

- $Z(S) \cup Z(T) = Z(ST)$ .
- $\bigcap_{\alpha} Z(S_{\alpha}) = Z(\bigcup_{\alpha} S_{\alpha}).$
- $Z(0) = \mathbb{P}^n$  and  $Z(1) = \emptyset$ .

**Definition 2.11.** We define the **Zariski topology** on  $\mathbb{P}^n$  by taking closed sets to be Z(T) for some T.

Definition 2.12.

- A projective variety is **irreducible** if it is an irreducible topological space.
- An open subset of a projective variety is called a quasi-projective variety.
- The dimension of a projective variety is its dimension as a topological space.
- If  $T \subseteq S$  then

$$I(T) = \langle f \in S \mid f \text{ homogeneous}, \forall p \in T, f(p) = 0 \rangle.$$

**Definition 2.13.** If X is a projective variety the homogeneous coordinate ring is

$$S\left(X\right) = \frac{S}{I\left(X\right)}.$$

**Definition 2.14.** If  $f \in S$  is linear and homogeneous, we call Z(f) a hyperplane.

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Proposition 2.15.

$$\phi_i: U_i = \mathbb{P}^n \setminus Z(x_i) \to \mathbb{A}^n$$

$$[x_0: \dots : x_n] \mapsto \left(\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}\right)$$

is a homeomorphism in the Zariski topology.

*Proof.* Let  $\phi = \phi_0$  and  $U = U_0$ , let  $C \subseteq \mathbb{A}^n$  be a closed set then we claim that  $\phi^{-1}(C)$  is closed. Indeed, let C = Z(S), then  $\phi^{-1}(C) = Z(S') \cup U$  where

$$S' = \left\{ x_0^d \cdot f\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right) \mid f \in S \right\}.$$

Similarly, let  $A \subseteq U$  is closed, we claim that  $\phi(A)$  is closed. Let  $\overline{A}$  be its closure in  $\mathbb{P}^n$ , then  $\overline{A} = Z(B)$ , so  $\phi(A) = Z(B')$  where

$$B' = \{ f(1, x_1, \dots, x_n) \mid f \in B \}.$$

So we conclude that  $\phi$  is a homeomorphism.

*Note.*  $\langle 1 \rangle = S$  and  $\langle x_0, \dots, x_n \rangle \subsetneq S$  map to  $\emptyset$  under Z. So in order to have a one-to-one correspondence we need the following.

- $Z(I) = \emptyset$  if and only if  $\sqrt{I} \supseteq \langle x_0, \dots, x_n \rangle$ . If we consider Z(I) in  $\mathbb{A}^{n+1}$ , note that  $x \in Z(I)$  if and only if  $\lambda x \in Z(I)$ . So  $Z(I) = \emptyset$  if and only if  $Z(I) \subseteq \{0\}$ . So  $\sqrt{I} \supseteq \langle x_0, \dots, x_n \rangle$ .
- $I(Z(J)) = \sqrt{J}$  if  $Z(J) \neq \emptyset$ , since  $I(Z(J)) = I(Z_a(J)) = \sqrt{J}$ .

#### Corollary 2.16.

```
\{ \text{ projective varieties } \} \iff \{ \text{ homogeneous radical ideals not } \langle x_0, \dots, x_n \rangle \},
\{ \text{ irreducible projective varieties } \} \iff \{ \text{ homogeneous radical prime ideals } \}.
```

**Example 2.17.**  $\mathbb{P}^n$  is irreducible.

#### Proposition 2.18.

- $\mathbb{P}^n$  is Noetherian, that is satisfies the descending chain condition.
- Every projective variety can be written as a unique union of irreducible projective varieties. We call irreducible components the irreducible varieties in that decomposition.

**Theorem 2.19.** Let  $Y \subseteq \mathbb{P}^n$  be an irreducible projective variety. Then

$$\dim (S(Y)) = \dim (Y) + 1.$$

Proof. Let

$$\phi_i: \quad U = \mathbb{P}^n \setminus Z(x_i) \quad \to \quad \mathbb{A}^n$$
$$[x_0:\dots:x_n] \quad \mapsto \quad \left(\frac{x_0}{x_i},\dots,\frac{x_n}{x_i}\right) \ ,$$

and  $Y_i = \phi_i (Y \cap U_i)$ . Let

$$K[x_1, \dots, x_n] \rightarrow (S(Y)_{x_i})_0$$

$$f(x_1, \dots, x_n) \mapsto \frac{x_i^{\partial f} f\left(\frac{x_1}{x_i}, \dots, \frac{x_n}{x_i}\right)}{x_i^{\partial f}},$$

then

$$A(Y_i) = \frac{K[x_1, \dots, x_n]}{I(Y_i)} \cong (S(Y)_{x_i})_0,$$

moreover  $S(Y)_{x_i} \cong A(Y_i)[x_i, x_i^{-1}]$ . So

$$\dim\left(S\left(Y\right)\right)=\dim\left(S\left(Y\right)_{x_{i}}\right)=\dim\left(A\left(Y_{i}\right)\left[x_{i},x_{i}^{-1}\right]\right)=tra\left(K\left(Y_{i}\right)\left(x_{i}\right)\right)=\dim\left(Y_{i}\right)+1.$$

Therefore if  $Y_i \neq \emptyset$ , dim  $(Y_i) = \dim(S(Y)) - 1$  for all i, but since  $U_i$  cover Y we have dim  $(Y) = \max\{\dim(Y_i)\}$ . (Exercise: if  $\{U_n\}_n$  is a finite cover of a topological space Y then dim  $(Y) = \max\{\dim(Y_i)\}$ ) Since dim  $(Y_i)$  are the same if  $Y_i \neq \emptyset$ , we conclude that dim  $(Y) = \dim(Y_d)$  for some d.

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#### **Proposition 2.20.** Every Noetherian topological space is compact.

*Proof.* Let X be a Noetherian topological space and let  $\{U_n\}$  be a cover of X. So consider C, the collection of the union of finitely many open sets of  $\{U_n\}$ . Since X is Noetherian C has a maximum element, say  $U_1 \cup \cdots \cup U_n$ . If  $U_1 \cup \cdots \cup U_n \subsetneq X$  then there is  $x \in X$  not in the union, and we can find another  $U_{\alpha_0} \ni x$ . But then

$$U_1 \cup \cdots \cup U_n \cup U_{\alpha_0} \supseteq U_1 \cup \cdots \cup U_n$$

a contradiction. So  $X = U_1 \cup \cdots \cup U_n$ .

Corollary 2.21.  $\mathbb{P}^n$ ,  $\mathbb{A}^n$ , affine varieties, and projective varieties are all compact in the Zariski topology.

**Definition 2.22.** A variety X is **complete** if for any other variety Y, the projection  $X \times Y \to Y$  is closed.

**Example 2.23.**  $\mathbb{P}^n$  is complete.  $\mathbb{A}^n$  is not complete.

# 3 Morphisms

**Definition 3.1.** Suppose Y is a quasi-affine variety and  $p \in Y$ . We say that a function  $f: Y \to \mathbb{A}^1$  is **regular** at p if there are  $g, h \in K[x_1, \ldots, x_n]$  and  $U \ni p$  such that f = g/h in U with  $h \ne 0$ . A function is **regular** if it is regular for every  $p \in Y$ .

**Example 3.2.** Local is not global. Let  $X = Z(x_1x_4 - x_2x_3) \subseteq \mathbb{A}^4$  and  $U = X \setminus Z(x_2, x_4)$ . Then

$$\phi: \qquad U \to \mathbb{A}^1 \\ (x_1, x_2, x_3, x_4) \mapsto \begin{cases} \frac{x_1}{x_2} & x_2 \neq 0 \\ \frac{x_3}{x_4} & x_4 \neq 0 \end{cases}$$

is a regular function.

**Definition 3.3.** Let Y be a quasi-projective variety,  $f: Y \to \mathbb{A}^1$ , and  $p \in Y$ . We say that f is **regular** at p if there are g, h homogeneous polynomials of the same degree and an open set  $U \ni p$  such that f = g/h on U and  $h \neq 0$ .

Lemma 3.4. A regular function is continuous.

*Proof.* It is enough to show that  $f^{-1}(p)$  is closed. Since f is regular f = g/h on some neighbourhood U, then

$$f^{-1}(p) \cap U = Z(g - ph) \cap U.$$

Remark 3.5. If X is irreducible then f = g on  $U \subseteq X$ , then f = g on X. Because the set where f - g = 0 is closed and dense.

**Definition 3.6.** We will use the term **variety** to denote an affine, quasi-affine, projective, or quasi-projective variety.

**Definition 3.7.** A morphism is  $f: X \to Y$  if f is continuous and for every  $U \subseteq Y$  and every function  $g: U \to \mathbb{A}^1$  the composition  $g \circ f$  is regular.

Remark 3.8.

- Let  $f: X \to Y$  and  $g: Y \to Z$  then the composition  $g \circ f$  of these two morphisms is the composition of f and g as functions.
- A morphism  $f: X \to Y$  is an **isomorphism** if there is a morphism  $g: Y \to X$  such that  $f \circ g = id$  and  $g \circ f = id$ .

**Definition 3.9.** Let X be a variety. Denote the set of all regular functions of X by  $\mathcal{O}(X)$ . If  $p \in X$  the local ring at  $p \in X$  is

$$\mathcal{O}_{p} = \underset{U \ni p}{\underset{U \ni p}{\longmapsto}} \left( \mathcal{O} \left( U \right) \right).$$

An element of  $\mathcal{O}_p$  is a pair (U, f), where  $p \in U$  and f is regular at p, moreover  $(U, f) \sim (V, g)$  if f = g on  $U \cap V$ .

**Definition 3.10.** Let Y be an irreducible variety, the function field K(Y) of Y is the field whose elements are pairs (U, f) where U is open and f is regular on U, and

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$$(U, f) + (V, g) = (U \cap V, f + g).$$

Remark 3.11.

- K(Y) is indeed a field for if  $(U, f) \neq 0$  then  $U^{-1} = U \setminus Z(f)$ , so  $(U^{-1}, 1/f)$  is the inverse to (U, f).
- K(Y) is the quotient field of A(Y) or S(Y).
- $\mathcal{O}(Y) \hookrightarrow \mathcal{O}_p \hookrightarrow K(Y)$  for all  $p \in Y$ .

**Theorem 3.12.** If  $Y \subseteq \mathbb{A}^n$  is an irreducible affine variety with coordinate ring A(Y) then

- 1.  $\mathcal{O}(Y) = A(Y)$ ,
- 2. for all  $p \in Y$ , if  $\mathfrak{m}_p = \{ f \in A(Y) \mid f(p) = 0 \}$  then we have a one-to-one correspondence

$$\left\{ \begin{array}{cc} \textit{points of } Y \end{array} \right\} \qquad \Longleftrightarrow \qquad \left\{ \begin{array}{cc} \textit{maximal ideals of } A\left(Y\right) \end{array} \right\},$$

- 3. for all  $p \in Y$ ,  $\mathcal{O}_p \cong A(Y)_{\mathfrak{m}_p}$  and  $\dim(\mathcal{O}_p) = \dim(Y)$ , and
- 4. K(Y) is the quotient field of A(Y).

Proof.

1. Notice that there is a natural map  $A \to \mathcal{O}(Y)$  with kernel I(Y), so there is an injection  $A(Y) \hookrightarrow \mathcal{O}(Y)$ , that is

$$A\left(Y\right)\subseteq\mathcal{O}\left(Y\right)\subseteq\bigcap_{p\in Y}\mathcal{O}_{p}=\bigcap_{\mathfrak{m}_{p}}A\left(Y\right)_{\mathfrak{m}_{p}}=A\left(Y\right),$$

so 
$$A(Y) = \mathcal{O}(Y)$$
.

- 2. We know that points of Y correspond to maximal ideals  $\mathfrak{m}_p \supseteq I(Y)$ . Taking the quotient, we get maximal ideals inside A(Y).
- 3. There is a natural map  $A(Y)_{\mathfrak{m}_p} \to \mathcal{O}_p$ , which is injective by  $\alpha: A(Y) \hookrightarrow \mathcal{O}(Y)$ , and it is surjective by definition of  $\mathcal{O}_p$ . Moreover,

$$\dim (\mathcal{O}_p) = \dim (A_p)_{\mathfrak{m}_p} = height(\mathfrak{m}_p) = \dim (Y).$$

4. The quotient field of A(Y) is the quotient field of  $\mathcal{O}_p$  for all p, by 3, which is K(Y) by definition.

**Theorem 3.13.** Let  $Y \subseteq \mathbb{P}^n$  be irreducible and projective. Then

1. 
$$O(Y) = K$$
,

- 2. for all  $p \in Y$ ,  $\mathfrak{m}_p$  as before,  $\mathcal{O}_p \cong \left(S(Y)_{\mathfrak{m}_p}\right)_0$ , and
- 3.  $K(Y) \cong \left(S(Y)_{(0)}\right)_0$ .

*Proof.* Recall that

bijection

$$\phi_i: U_i = \mathbb{P}^n \setminus Z(x_i) \rightarrow \mathbb{A}^n$$

$$[x_0: \dots : x_n] \mapsto \left(\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}\right)$$

gives  $\phi_i^* : A(Y_i) \cong (S(Y)_{x_i})_0$  and  $Y_i = \phi_i (Y \cap U_i)$ .

1.  $K \subseteq \mathcal{O}(Y)$ . Take  $f \in \mathcal{O}(Y)$ , so f is regular at each  $Y_i$ , but  $\mathcal{O}(Y_i) \cong A(Y_i)$ , also by  $\phi_i^*$ ,  $A(Y_i) \cong A(Y_i)$  $(S(Y)_{x_i})_0$ . Thus  $f = g_i/x_i^{n_i}$ , where  $n_i = \deg(g_i)$ , in particular  $x_i^{n_i} f \in S(Y)_{n_i}$ . Now, set  $N \ge \sum_i n_i$ , then  $S(Y)_N \cdot f \subseteq S(Y)_N$ , so we can iterate this process to obtain  $S(Y)_N \cdot f^q \subseteq S(Y)_N$ . In particular  $x_0^N f \subset S$ , hence S(Y)[f] is contained in  $x_0^{-N} S(Y)$ . Therefore f is integral since S(Y)[f] is finitely generated. There are  $a_i \in S$  such that

$$f^k + a_1 f^{k-1} + \dots + a_k = 0.$$

Since f is homogeneous of degree zero we can take the constant terms of  $a_i$  and still have an equation, hence  $a_i \in K$ .

- 2. Let  $p \in Y$ , then  $p \in Y_i$ , by the previous theorem we know that  $\mathcal{O}_p \cong A(Y_i)_{\mathfrak{m}_p}$ . By  $\phi_i^*$ ,  $\mathcal{O}_p \cong A(Y_i)_{\mathfrak{m}_p}$ .  $\left(\left(S\left(Y\right)_{x_i}\right)_{\mathfrak{m}}\right)_{\mathfrak{m}}$ , but since  $x_i \notin \mathfrak{m}_p$ , hence  $\mathcal{O}_p \cong \left(S\left(Y\right)_{\mathfrak{m}_p}\right)_{\mathfrak{m}}$ .
- 3. Recall that the quotient field of Y is  $K(Y) = K(Y_i)$ , but  $K(Y_i)$  is the quotient field of the coordinate ring  $A(Y_i)$ , by  $\phi_i^*$ , this is  $\left(S(Y)_{(0)}\right)_{i=1}^n$ .

Lecture 8 Monday **Proposition 3.14.** Let X be an irreducible variety and Y be an irreducible affine variety, then we have a 28/01/19

$$\alpha: Hom(X,Y) \xrightarrow{\sim} Hom(A(Y), \mathcal{O}(X)),$$

the set of morphisms from X to Y to the set of K-algebra homomorphisms.

*Proof.* Given a morphism  $\phi: X \to Y$ , by definition of morphism,  $\phi$  takes regular functions at Y to regular functions at X. So if  $f \in A(Y)$  then  $\phi \circ f \in \mathcal{O}(X)$ . Conversely, let  $h : A(Y) \to \mathcal{O}(X)$  be a homomorphism of K-algebras. Recall that

$$A(Y) = \frac{A}{I(Y)} = \frac{k[x_1, \dots, x_n]}{I(Y)}.$$

Take  $\overline{x_i} \in A(Y)$  and let  $y_i = h(\overline{x_i}) \in \mathcal{O}(X)$  and define

$$\psi: X \to \mathbb{A}^{n} p \mapsto (y_{1}(p), \dots, y_{n}(p)) .$$

We claim that  $Im(\psi) \subseteq Y$ , but since Y = Z(I(Y)), it is enough to show that if  $f \in I(Y)$  then  $f(\psi(p)) = 0$ .

$$f(\psi(p)) = f(y_1(p), \dots, y_n(p)) = f(h(\overline{x_1}(p)), \dots, h(\overline{x_n}(p))) = h(f(x_1, \dots, x_n))(p) = 0.$$

**Lemma 3.15.** If X, Y are as before then  $\psi: X \to Y$  is a morphism if and only if  $\psi_i = x_i \circ \psi$  are regular functions.

*Proof.* Suppose  $\psi_i$  are regular functions, then if p is a polynomial  $p \circ \psi$  is regular, but since regular functions are quotients of polynomials, we conclude that  $f \circ \psi$  is regular for any regular function f.

**Corollary 3.16.** If X, Y are affine then  $X \cong Y$  if and only if  $A(X) \cong A(Y)$ .

Corollary 3.17. The correspondence  $X \mapsto A(X)$  induces an arrow reversing correspondence between the category of affine varieties and the category of K-integral domains.

Lecture 9 is a problem class.

Lecture 10 is a problem class.

Lecture 9 Tuesday 29/01/19 Lecture 10 Friday 01/02/19

## 4 Rational maps

**Definition 4.1.** Let X, Y be varieties. A **rational map**  $f: X \dashrightarrow Y$  is a pair  $(U, f_U)$  where  $U \subseteq X$  is open and  $f_U$  is a morphism on U and we identify  $(U, f_U) \sim (V, g_V)$  if  $f_U = g_V$  on  $U \cap V$ .

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**Lemma 4.2.** If X, Y are varieties and  $\phi, \psi: X \to Y$  such that  $\phi = \psi$  on  $U \subseteq X$ , then  $\phi = \psi$  on X.

*Proof.* We can assume that  $Y \subseteq \mathbb{P}^n$  for some n, and hence we reduce to the case where  $Y = \mathbb{P}^n$ . So the product is  $\phi \times \psi : X \to \mathbb{P}^n \times \mathbb{P}^n$ . Let  $\Delta \subseteq \mathbb{P}^n \times \mathbb{P}^n = Z(x_iy_j - x_jy_i)$ . Since  $\phi = \psi$  on U,  $(\phi \times \psi)(U) \subseteq A$ , so  $(\phi \times \psi)(\overline{U}) = (\phi \times \psi)(X) \subseteq \Delta$ .

#### Definition 4.3.

- A dominant rational map is a rational map  $f: X \dashrightarrow Y$ , such that  $f_U(U)$  is dense for some, and hence all,  $(U, f_U)$ .
- A birational map is a dominant rational map  $f: X \dashrightarrow Y$  such that f admits an inverse  $g: Y \dashrightarrow X$ .

**Theorem 4.4.** For any two varieties X, Y we have a correspondence

```
\left\{ \begin{array}{ll} \textit{dominant rational maps } f: X \rightarrow Y \end{array} \right\} \qquad \leftrightsquigarrow \qquad \left\{ \begin{array}{ll} \textit{K-algebra homomorphisms } K\left(Y\right) \rightarrow K\left(X\right) \end{array} \right\}.
```

Proof. Given a rational map  $f: X \dashrightarrow Y$  and let  $g \in K(Y)$ . Let  $f_U$  be a representative of f then we have that if  $(V,g) = g, g \circ f_U \in K(X)$ . Since we can cover Y using affine varieties, we can assume Y is affine then K(Y) = K(A(Y)). If we start with a homomorphism  $\theta: K(Y) \to K(X)$ , let  $y_1, \ldots, y_n \in A(Y)$  be the generators of A(Y), then  $\theta(y_i) \in K(X)$ . We can find U such that  $\theta(y_i)$  are regular at U. Then this induces a map  $A(Y) \to \mathcal{O}(U)$ . But then we have a morphism  $U \to Y$ , and moreover this is the inverse of the map we defined previously.

#### Definition 4.5.

- A field extension L/K is **separably generated** if there is a transcendence basis  $\{x_i\}$  for L/K such that L is a separable algebraic extension of  $K(\{x_i\})$ .
- Primitive element theorem. If L/K is finite and separable then L/K ( $\alpha$ ) for some  $\alpha \in L$ . If L is infinite and  $\beta_1, \ldots, \beta_n$  are generators for L/K then  $\alpha = c_1\beta_1 + \cdots + c_n\beta_n$  for  $c_i \in K$ .
- If K is perfect, any finitely generated extension L/K is separably generated.

**Theorem 4.6.** Any variety X of dimension n is birational to a hypersurface  $Y \subseteq \mathbb{P}^{n+1}$ .

Proof. Since K(X) = K is finitely generated, by the theorem above it is separably generated. So we can find a transcendence basis  $x_1, \ldots, x_n \in K$  such that  $K/k(x_1, \ldots, x_n)$  is finite and separable. By the primitive element theorem,  $K = k(x_1, \ldots, x_n, y)$  for some y which is algebraic over  $k(x_1, \ldots, x_n)$ , so y is the solution of a polynomial equation f in  $k(x_1, \ldots, x_n)$ . In particular if we clear denominators we get a polynomial  $f(x_1, \ldots, x_n, y)$  in  $\mathbb{A}^{n+1}$ , by taking Z(f) we get a hypersurface and taking its projective closure we get a hypersurface in  $\mathbb{P}^n$ .

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Corollary 4.7. The following are equivalent.

- $F: X \dashrightarrow Y$  is birational.
- There exist U, V such that  $F: U \to V$  is an isomorphism.
- $K(Y) \cong K(X)$ .

**Definition 4.8.** The blow-up of  $\mathbb{A}^n$  at the origin 0, denoted by  $\widetilde{\mathbb{A}^n}$ , is

$$Z(x_iy_j - x_jy_i) \subseteq \mathbb{A}^n \times \mathbb{P}^{n-1}$$
.

$$\widetilde{\mathbb{A}^n} \xrightarrow{\pi} \mathbb{A}^n \times \mathbb{P}^{n-1}$$

$$\downarrow^{\pi_1:(x,y)\mapsto x} .$$

### Proposition 4.9.

- 1. Let  $P \in \mathbb{A}^n$ , if  $P \neq 0$  then  $\pi^{-1}(P)$  is a single point, and  $\widetilde{\mathbb{A}^n} \setminus \pi^{-1}(0) \cong \mathbb{A}^n \setminus \{0\}$ .
- 2.  $\pi^{-1}(0) \cong \mathbb{P}^{n-1}$ .
- 3. Points of  $\pi^{-1}(0)$  are in one-to-one correspondence with the set of lines through the origin.
- 4.  $\widetilde{\mathbb{A}^n}$  is irreducible.

#### Proof.

- 1. If  $P \neq 0$  then  $y_j = x_j y_i / x_i$  and this is true for every j, so this gives a unique point in  $\mathbb{P}^{n-1}$ .
- 2. Obvious.
- 3. A line through the origin is given by  $x_i = ta_i$  for  $t \neq 0$ . Taking  $\pi^{-1}$  of this line we get  $x_i = ta_i$  and  $y_i = ta_i = a_i$ . In other words if  $x \neq 0$ ,  $\pi^{-1}(X) = (X, [X])$ .
- 4.  $\widetilde{\mathbb{A}^n} \setminus \pi^{-1}(0) \cong \mathbb{A}^n \setminus \{0\}$  is dense and irreducible, by 3.

**Definition 4.10.** If  $Y \ni 0$  is a closed subvariety of  $\mathbb{A}^n$  we define the **blow-up** of Y at 0 by

$$\widetilde{Y} = \overline{\pi^{-1} \left( Y \setminus \{0\} \right)}.$$

More generally, we can blow-up any point by taking an affine change of coordinates. We also get a birational map  $\pi: \widetilde{Y} \to Y$ .

**Example 4.11.** Let  $Y = Z(y^2 - x^2(x+1))$ . The equations of the blow-up are

$$\begin{cases} y^2 = x^2 (x+1) \\ xu = yt \end{cases},$$

where  $[t:u] \in \mathbb{P}^1$ . Suppose  $t \neq 0$ .

$$\begin{cases} y^2 = x^2 (x+1) \\ y = xu \end{cases} \implies (xu)^2 = x^2 (x+1) \implies x^2 (u^2 - x - 1) = 0.$$

**Example 4.12.** Let  $y^2 = x^3$ .

$$\begin{cases} y^2 = x^3 \\ y = xu \end{cases} \implies (xu)^2 = x^3 \implies x^2 (u^2 - x) = 0.$$

# 5 Nonsingular varieties

**Definition 5.1.** Let  $Y \subseteq \mathbb{A}^n$  be an affine variety of dimension r, and suppose  $I(Y) = \langle f_1, \dots, f_k \rangle$ . Y is **nonsingular** at  $P \in Y$  if  $rank\left(\frac{\partial f_i(P)}{\partial x_j}\right) = n - r$ . Y is **nonsingular** if it is nonsingular at every  $P \in Y$ .

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**Example 5.2.** Let  $x^2 = x^4 + y^4 \subseteq \mathbb{A}^2$ , so  $f = x^2 - x^4 - y^4$ .

$$\frac{\partial f}{\partial x} = 2x - 4x^3 = 0 \qquad \Longrightarrow \qquad x\left(1 - 2x^2\right) = 0 \qquad \Longrightarrow \qquad x = 0 \text{ or } 2x^2 = 1,$$

$$\frac{\partial f}{\partial y} = -9y^3 = 0$$
  $\Longrightarrow$   $y = 0$   $\Longrightarrow$   $x^2 = x^4$   $\Longrightarrow$   $x = 0 \text{ or } x^2 = 1$ ,

so  $Sing(Y) = \{(0,0)\}.$ 

**Example 5.3.** Let  $Y = Z(f) = Z(y^2 - x^3)$ .

$$\frac{\partial f}{\partial x} = -3x^2 = 0, \qquad \frac{\partial f}{\partial y} = 2y = 0,$$

so  $Sing(Y) = \{(0,0)\}.$ 

**Definition 5.4.** Let A be a Noetherian local ring with maximal ideal  $\mathfrak{m}$ , and residue field  $A/\mathfrak{m} = K$ . A is a **regular local ring** if  $\dim_K (\mathfrak{m}/\mathfrak{m}^2) = \dim(A)$ .

*Note.*  $(\mathfrak{m}/\mathfrak{m}^2)^*$  is called the **Zariski-tangent space**.

Claim that  $\mathfrak{m}/\mathfrak{m}^2$  is a K-vector space for  $K = A/\mathfrak{m}$ .

**Theorem 5.5.** Let  $Y \subseteq \mathbb{A}^n$  be an affine variety. Then Y is nonsingular at P if and only if  $\mathcal{O}_P$  is a regular local ring.

*Proof.* Let  $P = (a_1, \ldots, a_n) \in Y$  with corresponding maximal ideal  $I_P = \langle x_1 - a_1, \ldots, x_n - a_n \rangle$ . We define a map

$$\theta_P: A = K[x_1, \dots, x_n] \rightarrow K^n$$

$$f \mapsto \left(\frac{\partial f(P)}{\partial x_1}, \dots, \frac{\partial f(P)}{\partial x_n}\right).$$

Note that  $\theta\left((x_i-a_i)\,(x_j-a_j)\right)=0$ , hence  $\theta_P\left(I_P^2\right)=0$ , in particular we have an isomorphism  $I_P/I_P^2\cong K^n$ . By the isomorphism, if  $\alpha=I\left(Y\right)=\langle f_1,\ldots,f_t\rangle$  then the rank of  $\frac{\partial f_i(P)}{\partial x_j}$  corresponds to the dimension of  $\alpha$  under the isomorphism, which is  $\overline{\alpha}$  in  $I_P/I_P^2$ ,  $(\alpha+I_P)/I_P^2$ . Now  $\mathcal{O}_P=(A/\alpha)_{I_P}$ . If  $\mathfrak{m}=(I_P+\alpha)/\alpha$  then  $\mathfrak{m}^2=\left(I_P^2+\alpha\right)/\alpha$ , so  $\mathfrak{m}/\mathfrak{m}^2=I_P/\left(I_P^2+\alpha\right)$ . So

$$r = \dim\left(\frac{\mathfrak{m}}{\mathfrak{m}^2}\right) = \dim\left(\frac{I_P}{I_P^2 + \alpha}\right) = \dim\left(\frac{I_P}{I_P^2}\right) - \dim\left(\frac{I_P^2 + \alpha}{I_P^2}\right) = n - rank\left(\frac{\partial f_i}{\partial x_j}\right).$$

So  $\mathcal{O}_P$  is regular if and only if  $rank\left(\frac{\partial f_i}{\partial x_j}\right) = n - r$ .

**Definition 5.6.** Let X be a variety. X is **nonsingular** at P if  $\mathcal{O}_P$  is a regular local ring.

**Theorem 5.7.** Let Y be a variety. Then Sing(Y) is a proper and closed set. The set of nonsingular points of Y is open and dense.

*Proof.* Prove that Sing(Y) is closed, first. We know that the rank of the Jacobian is at most n-r, therefore the singular points occurs when the rank is less than n-r, which is to say that Sing(Y) is given by the vanishing of the  $(n-r)\times (n-r)$  minors of  $\frac{\partial f_i}{\partial x_j}$  and I(Y), hence is closed. To prove that it is proper  $Sing(Y) \subseteq Y$ .

Lecture 14 is a problem class.

Lecture 15 is a problem class.

Lecture 14 Monday 11/02/19 Lecture 15 Tuesday 12/02/19

# 6 Intersections in projective space

**Theorem 6.1.** Let  $Y, Z \subseteq \mathbb{A}^n$  be varieties, with  $\dim(Y) = r$  and  $\dim(Z) = s$  then every irreducible component has dimension at least r + s - n.

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*Proof.* Suppose Z is a hypersurface. Then if  $Y \subseteq Z$  the theorem holds, and if  $Y \nsubseteq Z$  the theorem is true by homework 1. Let Z be general. Consider the diagonal in  $\mathbb{A}^{2n}$  given by the image of the isomorphism  $P \mapsto P \times P$ , then  $Y \cap Z$  corresponds to  $(Y \times Z) \cap \Delta$ . Recall that

$$\Delta = Z(x_1 - y_1) \cap \cdots \cap Z(x_n - y_n),$$

by the first case n times we have that each irreducible component has dimension

$$(r+s) - n - 2n = r + s - n.$$

**Theorem 6.2.** Let  $Y, Z \subseteq \mathbb{P}^n$  be varieties, where  $\dim(Y) = r$  and  $\dim(Z) = s$ , then each irreducible component of  $Y \cap Z$  has dimension at least r + s - n. Moreover, if  $r + s - n \ge 0$  then  $Y \cap Z \ne \emptyset$ .

*Proof.* Take the affine cone of Y and Z, C(Y) and C(Z), since  $0 \in C(Y) \cap C(Z)$  we apply the previous theorem to get

$$(r+1) + (s+1) - (n+1) = r + s - n + 1,$$

so therefore  $Y \cap Z \neq \emptyset$ .

**Definition 6.3.** A numerical polynomial is a polynomial  $f \in \mathbb{Q}[x]$  such that  $f(n) \in \mathbb{Z}$  for  $n \gg 0$ , for n sufficiently large.

Theorem 6.4.

1. If  $f \in \mathbb{Q}[x]$  is a numerical polynomial then there are  $c_0, \ldots, c_r \in \mathbb{Z}$  such that

$$f(x) = c_0 \begin{pmatrix} x \\ r \end{pmatrix} + \dots + c_r \begin{pmatrix} x \\ 0 \end{pmatrix}.$$

2. If for  $n \gg 0$ ,  $\Delta f = f(n+1) - f(n) = q$  and q is a numerical polynomial, then there exists p such that for  $n \gg 0$ , p(n) = f(n).

Proof.

1. By linear algebra we can find  $c_0, \ldots, c_r \in \mathbb{Q}$  such that

$$f(x) = c_0 \begin{pmatrix} x \\ r \end{pmatrix} + \dots + c_r \begin{pmatrix} x \\ 0 \end{pmatrix},$$

then

$$\Delta f = c_0 \begin{pmatrix} x \\ r-1 \end{pmatrix} + \dots + c_{r-1} \begin{pmatrix} x \\ 0 \end{pmatrix}.$$

By induction on the degree of f we have that  $c_0, \ldots, c_{r-1} \in \mathbb{Z}$ , but since  $f(n) \in \mathbb{Z}$  for  $n \gg 0$  then  $c_r \in \mathbb{Z}$ .

2. If

$$q = c_0 \begin{pmatrix} x \\ r \end{pmatrix} + \dots + c_r \begin{pmatrix} x \\ 0 \end{pmatrix},$$

set

$$p = c_0 \begin{pmatrix} x \\ r+1 \end{pmatrix} + \dots + c_r \begin{pmatrix} x \\ 1 \end{pmatrix}.$$

 $\Delta p = q$  gives  $\Delta (f - p)(n) = 0$ .

#### Definition 6.5.

• Let S be a graded ring. A graded S-module is a module M with a decomposition

$$M = \bigoplus_{d \in \mathbb{Z}} M_d,$$

such that  $S_k \cdot M_d \subseteq M_{d+k}$ .

- Let  $l \in \mathbb{Z}$ . The **twisted module** M(l) is the graded S-module given by  $M(l)_k = M_{l+k}$ .
- $Ann(M) = \{x \in S \mid xM = 0\}.$

**Theorem 6.6.** Let M be a finitely generated graded S-module. Then there is a filtration

$$0 = M^0 \subset \cdots \subset M^r = M$$
,

such that  $M^i/M^{i-1} \cong (S/\mathfrak{p}_i)$  (l) for some  $\mathfrak{p}_i$  prime ideals and  $l_i \in \mathbb{Z}$ , such that

- prime  $\mathfrak{p} \supseteq Ann(M)$  if and only if  $\mathfrak{p} \subseteq \mathfrak{p}_i$ , that is  $\mathfrak{p}_i$  are minimal primes of M, and
- for each minimal prime  $\mathfrak{p}$  of M the number of times  $\mathfrak{p}$  appears in the set  $\{\mathfrak{p}_1,\ldots,\mathfrak{p}_r\}$  is  $len_{S_{\mathfrak{p}}}(M_{\mathfrak{p}})$ .

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**Definition 6.7.** Let  $\mathfrak{p}$  be a minimal prime of a graded S-module M. Then the **multiplicity** of M at  $\mathfrak{p}$  is  $len_{S_{\mathfrak{p}}}(M_{\mathfrak{p}})$ .

**Definition 6.8.** Let M be a graded  $S = K[x_1, \ldots, x_n]$ -module. The **Hilbert function** of M is  $\phi_M(l) = \dim_K(M_l)$ .

**Theorem 6.9.** Let M be a graded  $S = K[x_1, \ldots, x_n]$ -module. Then for  $n \gg 0$ , there is a unique polynomial  $P_M \in \mathbb{Q}[x]$  such that  $\phi_M(n) = P_M(n)$ .  $P_M$  is called the **Hilbert polynomial**. It is a polynomial of degree  $\dim (Z(Ann(M)))$ .

*Proof.* By the previous theorem, M has a filtration

$$0 = M^0 \subset \cdots \subset M^r = M$$
.

such that  $M^i/M^{i-1}$  is of the form  $(S/\mathfrak{p}_i)(l_i)$ . Without loss of generality we can assume  $M=S/\mathfrak{p}$ , since  $l_i$  amounts to a translation  $z\mapsto z+l_i$ . If  $\mathfrak{p}=\langle x_0,\ldots,x_n\rangle$  then  $S/\mathfrak{p}\cong K$ , in particular  $\phi_M(l_i)=0$  if  $l_i>0$ , but then take  $P_M=0$ . We can assume dim (0)=-1 and dim  $(\emptyset)=-1$ . Suppose  $\mathfrak{p}\neq\langle x_0,\ldots,x_n\rangle$ . Then there is  $x_i\notin\mathfrak{p}$  and consider the short exact sequence

$$0 \to M \xrightarrow{x_i} M \to \frac{M}{x_i M} = M^{\prime\prime} \to 0.$$

Taking Hilbert function we get that

$$\phi_{M''}(l) = \phi_M(l) - \phi_M(l-1) = \Delta\phi_M(l-1).$$

Note that  $Ann(M'') = Ann(M) \cup \{x_i\}$ , so  $Z(Ann(M'')) = Z(\mathfrak{p}) \cap Z(x_i)$ . Note that

$$\dim (Ann (M'')) = \dim (Z (\mathfrak{p})) - 1,$$

so we apply induction over dim (Ann(M)). Thus  $\phi_{M''}$  agrees with a polynomial  $P_{M''}(n)$  for  $n \gg 0$  but then  $\Delta \phi_M = P_{M''}$  for  $n \gg 0$ , so  $\phi_M$  agrees with a polynomial of degree

$$\dim (Ann (M'')) + 1 = \dim (Z (\mathfrak{p})).$$

**Definition 6.10.** If  $Y \subseteq \mathbb{P}^n$  of dimension r, the **Hilbert polynomial** of Y is the Hilbert polynomial of S(Y). The degree of Y is r! times the leading coefficient of  $P_Y$ .

Theorem 6.11.

1. If  $Y \neq \emptyset$ , then  $\deg(Y) \in \mathbb{Z}_{>0}$ .

2.  $deg(\mathbb{P}^n) = 1$ .

3. If  $Y = Y_1 \cup Y_2$  with dim  $(Y_i) = r$  and dim  $(Y_1 \cap Y_2) < r$  then deg  $(Y) = \deg(Y_1) + \deg(Y_2)$ .

4. If H is a hypersurface generated by f then deg(H) = deg(f).

Proof.

1. Obvious.

2.

$$\phi_{\mathbb{P}^n}(z) = {z+n \choose n} = \frac{1}{n!}(z)\dots(n+1) = \frac{1}{n!}z^n + \dots$$

3. Let I = I(Y),  $I_1 = I(Y_1)$ , and  $I_2 = I(Y_2)$ . Consider the short exact sequence

$$0 \to \frac{S}{I} \to \frac{S}{I_1} \oplus \frac{S}{I_2} \to \frac{S}{I_1 + I_2} \to 0.$$

Taking Hilbert function,

$$\phi_{\frac{S}{I_1 + I_2}} = \phi_{\frac{S}{I_1} \oplus \frac{S}{I_2}} - \phi_{\frac{S}{I}}.$$

Since  $Z(I_1 + I_2) = Y_1 \cap Y_2$  and  $\dim(Y_1 \cap Y_2) < r$  we have that  $\phi_{S/I_1 \oplus S/I_2}$  and  $\phi_{S/I}$  have the same leading coefficients, hence  $\deg(Y) = \deg(Y_1) + \deg(Y_2)$ .

4. Suppose deg(f) = d then consider the short exact sequence

$$0 \to S\left(-d\right) \xrightarrow{f} S \to \frac{S}{\langle f \rangle} \to 0.$$

Taking Hilbert functions,

$$\phi_{\frac{S}{\langle f \rangle}}(z) = \phi_S(z) - \phi_S(z - d) = {z + n \choose n} - {z - d + n \choose n} = \frac{d}{(n-1)!}z^{n-1} + \dots$$

Let  $Y \subseteq \mathbb{P}^n$  be a projective variety and H a hypersurface then  $Y \cap H = Z_1 \cup \cdots \cup Z_k$ , where each  $Z_j$  has dimension  $r-1 = \dim(Y) - 1$ . Suppose  $I(Z_j) = \mathfrak{p}_j$ , then each  $\mathfrak{p}_j$  is a minimal prime of  $S/(I_Y + I_H)$ , then the **intersection multiplicity**  $i(Y, H; Z_j)$  is the multiplicity of  $S/(I_Y + I_H)$  at  $\mathfrak{p}_j$ .

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**Theorem 6.12.** Let  $Y \subseteq \mathbb{P}^n$  be a variety and H a hypersurface such that  $Y \nsubseteq H$ . If  $Y \cap H = Z_1 \cup \cdots \cup Z_k$  then

$$\sum_{j=1}^{k} i(Y, H; Z_j) \deg(Z_j) = \deg(Y) \deg(H).$$

Corollary 6.13 (Bézout's theorem). If  $Y, H \subseteq \mathbb{P}^2$  are curves and  $Y \cap H = \{P_1, \dots, P_k\}$  then

$$\sum_{j=1}^{k} i(Y, H; P_j) = \deg(Y) \deg(H).$$

*Proof.* Suppose H is generated by f, where  $\deg(f) = d$ , and let I = I(Y).

$$0 \to \left(\frac{S}{I}\right)(-d) \xrightarrow{f} \frac{S}{I} \to \frac{S}{I + I_H} \to 0.$$

Taking Hilbert polynomials we get

$$\phi_{\frac{S}{I_1+I_2}}(z) = \phi_{\frac{S}{I_Y}}(z) + \phi_{\frac{S}{I_Y}}(z-d).$$

Let deg(Y) = e, then the right hand side is

$$\frac{e}{r!}z^r + \dots - \left(\frac{e}{r!}(z-d)^r + \dots\right) = \frac{de}{(r-1)!}z^{r-1} + \dots$$

Now on the left hand side, by the structure theorem, there is a filtration

$$0 = M^0 \subseteq \dots \subseteq M^s = M,$$

where  $M = S/(I_Y + I_H)$ . Then

$$P_M = \sum_{i=1}^{s} P_i = \sum_{i=1}^{s} P_{\frac{M^i}{M^{i-1}}},$$

where each  $M^i/M^{i-1} = (S/\mathfrak{p}_i)(l_i)$ . Since we want to compare the leading coefficient from this with the one from the right hand side, we only care about the  $P_i$ 's with degree r-1. So the  $\mathfrak{p}_j = I(Z_j)$  and the leading term is

$$\frac{\sum_{j=1}^{k} i(Y, H; Z_j) \deg(Z_j)}{(r-1)!} + \dots$$

### 7 The 27 lines on a cubic surface

**Theorem 7.1.** Let  $S \subseteq \mathbb{P}^3$  be a nonsingular cubic surface given by a polynomial f(x, y, z, t). Then S has exactly 27 lines.

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We start with a lemma.

#### Lemma 7.2.

- 1. Given a point  $p \in S$  then there are at most three lines through p. If there are two or three they must be spheres.
- 2. Every plane  $\pi$  intersect S in
  - an irreducible cubic,
  - a conic and a line, or
  - three distinct lines.

Proof.

- 1.  $l \subseteq S$  gives  $T_p(l) = l \subseteq T_p(S)$ , by 2,  $T_p(S)$  intersect S in at most three lines.
- 2. We have to prove that there are no multiple lines in the intersection  $S \cap \pi$ . Changing coordinates if necessary, we can suppose  $\pi = \{f = 0\}$  and  $l = \{z = 0\}$  is the line in the intersection.

$$f = z^{2} \cdot a(x, y, z, t) + t \cdot b(x, y, z, t).$$

Claim that S is singular at z = t = b = 0.

$$Jac(f) = \begin{pmatrix} z^2a_x + tb_x & z^2a_y + tb_y & 2za + z^2a_z + tb_z & z^2a_t + b + tb_t \end{pmatrix}.$$

Since S is smooth there are no multiple lines.

Lemma 7.3. S has a line.

Proof.

• Let  $P \in S$  and consider  $T_P(S)$ . Then  $T_P(S)$  intersects S in a plane cubic  $C = S \cap T_P(S)$  which is singular at P. Otherwise we are done. Then C has to be a nodal or a cuspidal curve. So assume that C is a cuspidal curve, and change coordinates if necessary, assume that P = [0:0:1:0] and  $T_P(S) = \{t = 0\}$ . So the equation of f has the shape

$$f = x^2 z - y^3 + at.$$

for some g of homogeneous degree two.

• We consider the point  $P_{\alpha} = [1 : \alpha : \alpha^3 : 0] \in C \subset S$ , consider the plane x = 0 and the line  $P_{\alpha}Q$  in  $\mathbb{P}^3$  passing through  $P_{\alpha}$  and intersecting this plane x = 0 at Q = (0, y, z, t). The line through  $P_{\alpha}$  and Q is  $\lambda P_{\alpha} + \mu Q$  and it lies inside S if

$$f\left(\lambda P_{\alpha} + \mu Q\right) = 0.$$

After expanding this we have

$$P_{\alpha}Q \subset S \iff A(y,z,t) = B(y,z,t) = C(y,z,t) = 0,$$

for A, B, C to be determined. There is a polynomial  $R(\alpha)$  of degree 27 such that  $R(\alpha) = 0$  if and only if A = B = C have a common zero.

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• Let f(x, y, z, t) be a polynomial, then the **polar form** of f is

$$f_1\left(x,y,z,t,x',y',z',t'\right) = \frac{\partial f}{\partial x} \cdot x' + \frac{\partial f}{\partial y} \cdot y' + \frac{\partial f}{\partial z} \cdot z' + \frac{\partial f}{\partial t} \cdot t',$$

where P = (x, y, z, t) and Q = (x', y', z', t'). Then

$$f(\lambda P + \mu Q) = \lambda^3 f(P) + \lambda^2 \mu f_1(P, Q) + \lambda \mu^2 f_1(Q, P) + \mu^3 f(Q)$$

The polar form of  $f = x^2z - y^3 + gt$  is

$$f_1 = 2xzx' - 3y^2y' + x^2z' + g(x, y, z, t)t' + tg_1,$$

where  $g_1$  is the polar form of g. Recall  $P_{\alpha} = (1, \alpha, \alpha^2, 0)$  and Q = (0, y, z, t), so

$$\{f(\lambda P + \mu Q) = 0\} = PQ \subseteq S \qquad \iff \qquad f(P) = f_1(P,Q) = f_1(Q,P) = f(Q) = 0.$$

Thus

$$\begin{cases} A=z-3\alpha^2y+g\left(1,\alpha,\alpha^3,0\right)t\\ B=-3\alpha y^2+g_1\left(1,\alpha,\alpha^3,0,0,y,z,t\right)t\\ C=-y^3+g\left(0,y,z,t\right)t \end{cases}.$$

• Note that

$$g(1,\alpha,\alpha^3,0) = a^6 + \dots$$

If l=0.

$$z = 3\alpha^2 y + g(P) t = 3\alpha^2 y + \lceil a^6 \rceil t.$$

Applying this to B = 0 we have

$$B = -3\alpha y^2 + g_1(1, \alpha, \alpha^3, 0, 0, y, 3\alpha^2 y - [a^6]t, t)t = b_0 y^2 + b_1 y t + b_2 t^2,$$

where

$$b_0 = -3\alpha,$$
  $b_1 = 6\alpha^5 + \dots,$   $b_2 = -2\alpha^9 + \dots$ 

Substituting z in C we get

$$C = c_0 y^3 + c_1 y^2 t + c_2 y t^2 + c_3 t^3,$$

where

$$c_0 = -1,$$
  $c_1 = 9\alpha^4 + \dots,$   $c_2 = -6\alpha^8 + \dots,$   $c_3 = \alpha^{12} + \dots$ 

By Sylvester theorem B and C have a common zero if and only if

$$\det \begin{pmatrix} -3\alpha & 6\alpha^5 & -2\alpha^9 \\ & -3\alpha & 6\alpha^5 & -2\alpha^9 \\ & & -3\alpha & 6\alpha^5 & -2\alpha^9 \\ -1 & 9\alpha^4 & -6\alpha^8 & \alpha^{12} \\ & -1 & 9\alpha^4 & -6\alpha^8 & \alpha^{12} \end{pmatrix} = 0.$$

if and only if

$$\alpha^{27} \det \begin{pmatrix} -3 & 6 & -2 \\ -3 & 6 & -2 \\ & -3 & 6 & -2 \\ -1 & 9 & -6 & 1 \\ & -1 & 9 & -6 & 1 \end{pmatrix} = \alpha^{27} + \dots = 0.$$

This concludes the proof that S has a line because we know that the matrix has at least one root and for each root we get a value of  $\alpha$  such that the line  $P_{\alpha}Q \subseteq S$ .

**Proposition 7.4.** Let l be a line in S, then there are five pairs of lines  $(l_i, l'_i)$  intersecting l such that

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- $l \cup l_i \cup l'_i$  is coplanar, and
- $(l_i \cup l'_i) \cap (l_j \cup l'_j) = \emptyset$ .

*Proof.* Given any plane  $\Pi \subseteq \mathbb{P}^3$ , if  $\Pi$  contains a line l of S then  $\Pi \cap S$  is l and a conic. l is given by z = t = 0.

$$f = Ax^2 + Bxy + Cy^2 + Dx + Ey + F,$$
  $A, B, C, D, E, F \in K[z, t].$ 

We want to prove that there are exactly five planes  $\Pi_i$  such that  $f|_{\Pi_i}$  is a singular conic. The conic given by f is singular if and only if

$$\Delta = \det \begin{pmatrix} A & B & D \\ B & C & E \\ D & E & F \end{pmatrix} = 4ACF + BDE - AE^2 - B^2F - CD^2 = 0.$$

 $\Delta$  is four times the usual determinant if  $char(K) \neq 2$ . Notice that  $\Delta$  is a form of degree five in two variables z and t. We know that  $l, l_i, l'_i$  could be of two types.

- 1.  $l:(t=0), l_1:(x=0), l'_1=(y=0).$
- 2.  $l:(t=0), l_1:(x=0), l'_1=(x=t).$

Assume we are in case 1. Suppose z=0 is a solution, then we have to prove that  $z^2$  is not a solution. Then the equation of f is

$$f = txy + qz$$
.

So B=t+az, where  $a\in K$ , then  $\Delta\equiv -t^2F\mod z^2$ . If  $F\neq 0$  then  $\Delta$  is non-zero, but F is non-zero because F is nonsingular, thus there are no multiple roots.

Corollary 7.5. S has at least two distinct lines.

*Proof.* Just take  $l_1$  and  $l_2$ .

**Lemma 7.6.** If  $l_1, \ldots, l_4 \in \mathbb{P}^3$  are disjoint lines then

- either all four lines lie on a smooth quadric and they have an infinite number of transversals,
- or the four lines do not lie in any quadric and they have either one or two common transversals.

*Proof.* Any three lines lie in a smooth quadric Q.

### Lemma 7.7.

- Any line not the seventeen lines intersect exactly three of the lines  $l_1, \ldots, l_5$ .
- Conversely, given  $ijk \subset \{1, 2, 3, 4, 5\}$  there is a line passing through  $l_i, l_j, l_k$ .

### 8 Grassmannians

**Definition 8.1.** Let V be a vector space of dimension n, then

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$$G(k; n) = \{S \subseteq V \mid S \text{ subspace of dimension } k\}.$$

Remark 8.2. A point in G(k;n) can be expressed as a basis  $[v_1,\ldots,v_k]$  for a k-dimensional space.

Theorem 8.3. The map

$$p: \quad G(k; n) \quad \to \quad \mathbb{P}\left(\bigwedge^{k}(V)\right) \cong \mathbb{P}^{nC_{k}-1}$$
$$[v_{1}, \dots, v_{k}] \quad \mapsto \quad [v_{1} \wedge \dots \wedge v_{k}]$$

is an embedding. That is, image of p is closed.

**Example 8.4.** Claim that a line  $L \subseteq \mathbb{P}^3$  gives a point in  $G(2;4) \hookrightarrow \mathbb{P}^5$ . G(2;4) is a quadric in  $\mathbb{P}^5$  given by Z(xs - yt + zw).

*Proof.* Now we will see the coordinates of the map p. Given a vector space V of dimension n and a vector subspace  $S \subseteq V$  of dimension k, then let  $v_1, \ldots, v_n$  be a basis for V, and  $s_1, \ldots, s_k$  be a basis for S, then the basis for S can be seen as a  $k \times n$  matrix

$$M_S = \begin{pmatrix} s_{11} & \dots & s_{1n} \\ \vdots & \ddots & \vdots \\ s_{k1} & \dots & s_{kn} \end{pmatrix}.$$

If we change the basis for S then the matrix above gets multiplied by an invertible  $k \times k$  matrix. Then this  $k \times k$  matrix acts on the  $k \times k$  minors of  $M_S$ . Suppose the first minor  $K_1$  is non-zero then choose the inverse of that minor as a base change so that  $M_S$  will have the form

$$\begin{pmatrix} 1 & b_{11} & \dots & b_{1n-k} \\ & \ddots & \vdots & \ddots & \vdots \\ & 1 & b_{k1} & \dots & b_{kn-k} \end{pmatrix}.$$

This gives a correspondence between matrices  $M_S$  with first non-zero minor and  $\mathbb{A}^{k(n-k)}$ . Therefore, the image of p has dimension k(n-k).

Similarly, we can define flag varieties. Given a vector space V and flag

$$0 \subseteq V_1 \subseteq \cdots \subseteq V_n \subseteq V$$

of vector subspaces of dimension  $V_i$ , the flag variety denoted by F(V) is the set of flags on V.

### 9 Divisors on curves

**Definition 9.1.** A Weil divisor is a formal finite sum

$$D = \sum_{i} a_i Y_i, \qquad a_i \in \mathbb{Z},$$

of algebraic subvarieties of codimension one.

**Definition 9.2.** More generally, an **algebraic cycle** is a formal sum of codimension p subvarieties

$$C = \sum_{i} a_i Y_i \subseteq X, \quad a_i \in \mathbb{Z}.$$

By integrating algebraic cycles, we get a map from the space of p-cycles into the cohomology of the variety. **Hodge conjecture** states that this defines a bijection.

**Example 9.3.** In case dim (X) = 1, then a divisor is just a sum of points with multiplicity. If  $K = \mathbb{C}$  and

$$f = \frac{(z-1)(z-2)}{(z-3)(z-4)},$$

then  $(f) = \overline{1} + \overline{2} - \overline{3} - \overline{4}$ .

**Definition 9.4.** Let  $K = \mathbb{C}$  and dim (X) = 1. Let  $D, V \subseteq X$ . Then **linear equivalence** is  $D \sim V$  if and only if D - V = (f) for  $f \in K(x)$ .

**Definition 9.5.** The class group is divisors modulo  $\sim$ .

**Definition 9.6.** Let  $X \subseteq Y$  be a subvariety, then

$$\mathcal{O}_{X,Y} = \{(U, f) \mid f \text{ regular at } U, \ U \cap Y \neq \emptyset\}.$$

Let f be a rational function, then

$$(f) = \sum_{Y} v_Y(f) Y,$$

where  $v_Y$  is the valuation associated to  $\mathcal{O}_{X,Y}$ .

**Definition 9.7.** Let X be a smooth projective curve.

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- A divisor D is a formal sum  $K_1p_1 + \cdots + K_np_n$  of points, where  $K_i \in \mathbb{Z}$ ,
- We say a divisor D is **effective** if  $K_i \geq 0$ .
- Given two divisors  $D, E, D \ge E$  if and only if  $D E \ge 0$ .
- The **degree** of D, denoted deg (D), is the sum  $\sum_{i=1}^{n} K_i$ .

Remark 9.8. Degree gives a map deg :  $Div \to \mathbb{Z}$ . The set of all divisors on X has a natural group structure given by addition, we denote this group by Div(X).

*Notation* 9.9. The subgroup of **degree zero divisors** is denoted by  $Div^{0}(X)$ .

#### Definition 9.10.

• For a non-zero homogeneous polynomial  $f \in S(X)$  the **divisor** of f is

$$(f) = div(f) = \sum_{a \in V_X(f)} mult_a(f) \cdot a \in Div(f).$$

By Bézout's theorem,  $\deg(div(f)) = \deg(X) \deg(f)$ .

• If  $Y \subseteq \mathbb{P}^2$  not containing X, then the **intersection** of X and Y is

$$X \cdot Y = \sum_{a \in X \cap Y} mult_a(X, Y) \cdot a.$$

**Example 9.11.** Let  $X = Z(xz - y^2)$  and Y = Z(z) then  $X \cap Y = \{[1:0:0]\}$ , so

$$X \cdot Y = 2 \cdot [1:0:0].$$

**Lemma 9.12.**  $mult_a(fg) = mult_a(f) + mult_a(g)$  gives div(fg) = div(f) + div(g).

*Proof.* Recall that  $mult_a(f) = len(\mathcal{O}_a/\langle f \rangle) = \dim_K(\mathcal{O}_a/\langle f \rangle)$ . Thus there is a short exact sequence

$$0 \to \frac{\mathcal{O}_a}{\langle f \rangle} \xrightarrow{g} \frac{\mathcal{O}_a}{\langle f g \rangle} \to \frac{\mathcal{O}_a}{\langle g \rangle} \to 0.$$

**Definition 9.13.** Let  $f \in K^*(X)$ , then if f = g/h we define  $mult_a(f) = mult_a(g) - mult_a(h)$ .

If we take a different representation of f, say f = g'/h', then g/h = g'/h' gives gh' = hg', so

$$\begin{cases} mult_{a}\left(gh'\right) = mult_{a}\left(g\right) + mult_{a}\left(h'\right) \\ mult_{a}\left(hg'\right) = mult_{a}\left(h\right) + mult_{a}\left(g'\right) \end{cases} \implies mult_{a}\left(g\right) - mult_{a}\left(h\right) = mult_{a}\left(g'\right) - mult_{a}\left(h'\right).$$

Analogously, we have

$$div\left(f\right) = \sum_{a \in Z(g) \cup Z(h)} mult_{a}\left(f\right) a = div\left(g\right) \cdot div\left(h\right).$$

**Example 9.14.** Let  $f = xy/(x-y)^2$  on  $\mathbb{P}^1$ . Then

$$div(f) = [1:0] + [0:1] - 2[1:1].$$

Remark 9.15. Note that deg(div(f)) is always zero because

$$\deg(div(f)) = \deg(div(g)) - \deg(div(h)) = (\deg(X))(\deg(g)) - (\deg(X))(\deg(h)) = 0.$$

**Definition 9.16.** A divisor on X is called principal if it is of the form div(f) for some  $f \in K^*(X)$ . We denote the subgroup of all principal divisors by Prin(X).

**Definition 9.17.** The quotient

$$Pic(X) = \frac{Div(X)}{Prin(X)}$$

is called the **Picard group** of X. Restricting to degree zero divisors, we get

$$Pic^{0}(X) = \frac{Div^{0}(X)}{Prin(X)},$$

where  $Div^{0}(X)$  are the divisors of degree zero.

By the degree map  $deg : Div(X) \to \mathbb{Z}$  we have

$$\frac{Pic\left(X\right)}{Pic^{0}\left(X\right)}\cong\frac{Div\left(X\right)}{Div^{0}\left(X\right)}\cong\mathbb{Z}.$$

Example 9.18. Every degree zero divisor is principal. Suppose

$$D = K_1 [a_{1,0} : a_{1,1}] + \dots + K_n [a_{n,0} : a_{n,1}], \qquad \sum_{i=1}^n K_i = 0,$$

then set

$$f[x_0:x_1] = \prod_{i=1}^n (a_{i,1}x_0 - a_{i,0}x_1)^{K_i}.$$

So  $Pic^0(\mathbb{P}^1) = \{0\}$  so  $Pic(\mathbb{P}^1) = \mathbb{Z}$ .

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**Lemma 9.19** (Nakayama lemma). If R is local with maximal ideal  $\mathfrak{m}$  and M is finitely generated then  $M = \mathfrak{m} M$  gives M = 0.

**Corollary 9.20.** If R is local with maximal ideal  $\mathfrak{m}$  then  $\langle t_1, \ldots, t_n \rangle = \mathfrak{m}$  if and only if  $\langle \overline{t_1}, \ldots, \overline{t_n} \rangle = \mathfrak{m}/\mathfrak{m}^2$ .

*Proof.* Let  $N = \langle t_1, \dots, t_n \rangle \subseteq \mathfrak{m}$ . Suppose  $\langle \overline{t_1}, \dots, \overline{t_m} \rangle = \mathfrak{m}/\mathfrak{m}^2$ . Then

$$N+\mathfrak{m}^2=\mathfrak{m}+\mathfrak{m}^2 \qquad \Longrightarrow \qquad \frac{N+\mathfrak{m}^2}{N}=\frac{\mathfrak{m}+\mathfrak{m}^2}{N} \qquad \Longrightarrow \qquad \mathfrak{m}\left(\frac{\mathfrak{m}}{N}\right)=\frac{\mathfrak{m}}{N} \qquad \Longrightarrow \qquad \frac{\mathfrak{m}}{N}=0,$$

so  $\langle t_1, \ldots, t_n \rangle = \mathfrak{m}$ .

**Lemma 9.21.** Let  $X \subseteq \mathbb{P}^2$  be a smooth curve, and  $I_a \subseteq \mathcal{O}_a$  be the maximal ideal of the local ring  $\mathcal{O}_a$ .

- 1.  $I_a$  is principal, so  $I_a = \langle \phi_a \rangle$  with mult<sub>a</sub>  $(\phi_a) = 1$ .
- 2. Any non-zero  $\phi \in \mathcal{O}_a$  can be written as  $c\phi_a^m$ , where  $m = mult_a(\phi)$ .

Proof.

- 1. Since  $\mathcal{O}_a$  is regular, dim  $(\mathfrak{m}/\mathfrak{m}^2) = 1$ , in particular  $\mathfrak{m} \neq \mathfrak{m}^2$  and we can find  $\phi_a \in \mathfrak{m} \setminus \mathfrak{m}^2$ , so  $\langle \phi_a \rangle = \mathfrak{m}$ . Thus any ideal has to be of the form  $\langle \phi_a^k \rangle$  since  $\langle \phi_a \rangle$  is maximal.
- 2. Take  $\phi \in \mathcal{O}_a$  non-zero then  $\langle \phi \rangle = \langle \phi_a^m \rangle$  gives  $\phi = c \phi_a^m$ , so

$$mult(\phi) = mult(c\phi_a^m) = mult(c) + mult(\phi_a^m) = m \cdot mult(\phi_a) = m.$$

**Lemma 9.22.** Let  $X \subseteq \mathbb{P}^2$  be a smooth curve, and  $a \in X$ .

- 1. If  $f,g \in S$ , of same degree with mult  $(X,f) \geq m$  and mult  $(X,g) \geq m$  then
  - $mult_a(X, \lambda f + \mu g) \geq m$ , and
  - there exist  $\lambda, \mu$  such that  $mult_a(X, \lambda f + \mu g) \geq m + 1$ .
- 2. Let  $Y \subseteq \mathbb{P}^2$  be another curve and  $m = mult_a(X,Y)$ . If  $f \in S$  with  $mult_a(X,f) \geq m$  then  $mult_a(Y,f) \geq m$ .

Proof.

- 1. Write  $f = u\phi_a^m$  and  $g = v\phi_a^m$ , so for any  $\lambda$ ,  $\mu$  we have  $\lambda f + \mu g = (\lambda u + \mu v)\phi_a^m$  so  $mult_a(\lambda f + \mu g) \ge m$ , and we can find  $\lambda'$ ,  $\mu'$  such that  $\lambda'u + \mu'v = 0$  at a, so that  $mult_a(\lambda f + \mu g) \ge m + 1$ .
- 2. Let  $I(X) = \langle g \rangle$ ,  $I(Y) = \langle h \rangle$ , and  $k = mult_a(X, f) \ge m = mult_a(X, h)$ .  $f = u\phi_a^k$  and  $h = v\phi_a^m$ , so  $\langle f \rangle \subset \langle h \rangle$ .  $\langle f, g \rangle \subset \langle g, h \rangle$ , and we also have  $\langle f, h \rangle \subset \langle g, h \rangle$ , so  $mult_a(f, h) \ge mult_a(g, h)$  gives  $mult_a(Y, f) \ge mult_a(X, Y) = m$ .

**Lemma 9.23.** Let  $X \subset \mathbb{P}^2$  be smooth and  $g, h \in S(X)$ .

- 1. If div(g) = div(h) then g, h are linearly dependent on S(X).
- 2. If h is linear and  $div(g) \ge div(h)$  then  $h \mid g$  in S(X).

Proof.

1. By Bézout's theorem,  $\deg(X) \cdot \deg(g) = \deg(X) \cdot \deg(h)$ , so  $\deg(g) = \deg(h)$ . We know by the previous lemma that  $\operatorname{mult}_a(\lambda g + \mu h) \geq m_a$ , and we can find  $b \in X$  such that  $\operatorname{mult}_b(\lambda g + \mu h) \geq m_b + 1$ . Summing up, we have

$$\sum_{a \in X} mult_a (\lambda g + \mu h) \ge d \deg(X) + 1,$$

but  $\lambda g + \mu h$  has degree d, so this is a contradiction unless  $\lambda g + \mu h = 0$ , that is g, h are linearly dependent.

2. Exercise.

**Proposition 9.24.** Let  $X \subseteq \mathbb{P}^2$  be a smooth cubic. Then for all distinct  $a, b \in X$  we have  $a - b \neq 0$ , so there is no rational function  $\phi$  such that  $div(\phi) = a - b$ .

*Proof.* Assume that the result is false. Then there are  $f,g\in S\left( X\right)$  of degree d such that

• there are points  $a_1, \ldots, a_{3d-1}$  and  $a \neq b$  on X such that

$$div(g) = a_1 + \dots + a_{3d-1} + a, \quad div(f) = a_1 + \dots + a_{3d-1} + b,$$

• among  $a_1, \ldots, a_{3d-1}$ , there are at least 2d distinct points, since we can multiply f and g by a linear polynomial with distinct roots so the degree increases by one but the number of distinct points increases by three.

Pick a minimal d. If d = 1 then

$$div(g) = a_1 + a_2 + a,$$
  $div(f) = a_1 + a_2 + b,$ 

so  $a = b = \Psi(a_1, a_2)$ , a contradiction. So d > 1. Consider  $(\lambda f + \mu g)$ , so

$$div(\lambda f + \mu g) \ge a_1 + \dots + a_{3d-1}.$$

We can choose  $\lambda, \mu$  such that

$$div(\lambda f + \mu g) \ge a_1 + \dots + a_{3d-1} + c$$
,

for any given c. By Bézout's theorem,

$$div (\lambda f + \mu g) = a_1 + \dots + a_{3d-1} + c.$$

So we can choose a and b, so that  $a = \Psi(a_1, a_2)$  and  $b = \Psi(a_1, a_3)$ .

$$div(f) = (a_1 + a_2 + \Psi(a_1, a_2)) + a_3 + \dots + a_{3d-1}, \quad div(g) = (a_1 + a_3 + \Psi(a_1, a_3)) + a_2 + \dots + a_{3d-1}.$$

Set l, l' linear polynomials such that

$$div(l) = a_1 + a_2 + \Psi(a_1, a_2), \quad div(l) = a_1 + a_3 + \Psi(a_1, a_3).$$

The quotient by div(l) and div(l') gives a polynomial whose divisor is

$$a_4 + \cdots + a_{3d-1} + a_3, \quad a_4 + \cdots + a_{3d-1} + a_2,$$

but since we chose d minimum this gives a contradiction.

# 10 Elliptic curves

**Definition 10.1.** An **abelian variety** A is a smooth connected projective variety which has a group structure such that addition and taking inverse are regular functions.

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Definition 10.2. An elliptic curve is a one-dimensional abelian variety.

**Proposition 10.3.** Let X be an elliptic curve in  $\mathbb{P}^2$ , and fix  $a_0 \in X$ , then there is a bijection

$$\Phi: \quad X \quad \rightarrow \quad Pic^{0}\left(X\right) = \frac{Div^{0}\left(X\right)}{Prin\left(X\right)} \ .$$
 
$$a \quad \mapsto \quad a - a_{0}$$

Proof.

- $\Phi$  is injective by the last proposition.
- $\bullet$   $\Phi$  is surjective. Suppose

$$D = a_1 + \dots + a_m - b_1 - \dots - b_m.$$

Consider the function l with  $div(l) = a_1 + a_2 + \Psi(a_1, a_2)$ , so

$$D = D + div(l) = div(l) - \Psi(a_1, a_2) + a_3 + \dots$$

So we can assume  $D = a_1 - b_1 = \Phi(\cdot)$ .

$$a_0 + a_1 + \Psi(a_0, a_1) - b_1 - \Psi(a_0, a_1) - \Psi(b_1, \Psi(a_0, a_1)) = 0,$$

so

$$D = a_1 - b_1 = \Psi(b_1, \Psi(a_0, a_1)) - a_0 = \Phi(\Psi(b_1, \Psi(a_0, a_1))).$$

Thus  $\Phi$  is surjective.

We know that  $X \cong Pic^0(X)$ , which is a group. But what is the expression for  $g_1 + g_2$  for  $g_1, g_2 \in X$ ?  $\Phi(g_1 + g_2) = \Phi(g_1) + \Phi(g_2)$ , so

$$g_1 + g_2 = \Phi^{-1} \left( \Phi \left( g_1 \right) + \Phi \left( g_2 \right) \right) = \Phi^{-1} \left( g_1 - g_0 + g_2 - g_0 \right) = \Phi^{-1} \left( \Psi \left( g_0, \Psi \left( g_1, g_2 \right) \right) - g_0 \right)$$
$$= \Phi^{-1} \left( \Phi \left( \Psi \left( g_0, \Psi \left( g_1, g_2 \right) \right) \right) \right) = \left( \Phi^{-1} \circ \Phi \right) \left( \Psi \left( g_0, \Psi \left( g_1, g_2 \right) \right) \right) = \Psi \left( g_0, \Psi \left( g_1, g_2 \right) \right).$$

Let X be an elliptic curve and  $a_0 \in X$ , can consider the group law based on  $a_0$ . Then for  $n \in \mathbb{Z}$ , we can define  $n \times a = a + \cdots + a$ .

Note. Given  $a, b \in X$ , the problem of finding whether or not there exists n such that  $a = n \times b$  is extremely hard.

Lecture 26 is a problem class.

Lecture 27 is a problem class.

Lecture 28 is a problem class.

Lecture 29 is a problem class.

What's next in Lecture 30?

Lecture 26 Monday 11/03/19 Lecture 27 Tuesday 12/03/19

Lecture 28 Friday 15/03/19 Lecture 29 Monday 18/03/19 Lecture 30 Tuesday

19/03/19