

M4P54 Differential Topology

Lectured by Prof Paolo Cascini
Typed by David Kurniadi Angdinata

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Syllabus

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0 Introduction

Differential topology is the study of the topology of a manifold using analysis. The topics are

- a review of differential forms,
- de Rham cohomology,
- Morse theory, and
- singular homology.

The following are references.

- J M Lee, Introduction to smooth manifolds, 2000
- L W Tu, Introduction to smooth manifolds, 2008
- J Milnor, Morse theory, 1960
- A Banyaga and D Hurtubise, Lectures on Morse homology, 2004

Lecture 1
Thursday
09/01/20

1 Differential forms on manifolds

1.1 Alternating p -forms on a vector space

Let V be a vector space over \mathbb{R} , and let $p \geq 0$. Then $V^p = V \times \cdots \times V$.

Definition 1.1. A multilinear map $\omega : V^p \rightarrow \mathbb{R}$ is called an **alternating p -form** if we have

$$\omega(v_{\sigma(1)}, \dots, v_{\sigma(p)}) = \epsilon(\sigma) \omega(v_1, \dots, v_p), \quad v_1, \dots, v_p \in V \quad \sigma \in \mathcal{S}_p,$$

where \mathcal{S}_p is the group of permutations of p elements and $\epsilon(\sigma)$ is the signature of σ .

Recall that if m is the number of transpositions in a decomposition of σ , then $\epsilon(\sigma) = (-1)^m$, where a **transposition** is $(a_i a_j)$ for $a_i \neq a_j$.

Notation 1.2. $\Lambda^p V^* = \{\text{alternating } p\text{-forms } \omega \text{ on } V\}$ is called the **p -th exterior power** of V .

Check that it is a vector space.¹

Example 1.3.

- $\Lambda^0 V^* = \mathbb{R}$.
- $\Lambda^1 V^* = V^* = \text{Hom}(V, \mathbb{R})$, the **dual** of V .

Definition 1.4. Let $\omega_1 \in \Lambda^p V^*$ and $\omega_2 \in \Lambda^q V^*$. We define $\omega_1 \wedge \omega_2 \in \Lambda^{p+q} V^*$ the **exterior product** of ω_1 and ω_2 by

$$\omega_1 \wedge \omega_2(v_1, \dots, v_{p+q}) = \sum_{\sigma \in \mathcal{S}_{p,q}} \epsilon(\sigma) \omega_1(v_{\sigma(1)}, \dots, v_{\sigma(p)}) \omega_2(v_{\sigma(p+1)}, \dots, v_{\sigma(p+q)}), \quad v_1, \dots, v_{p+q} \in V,$$

where

$$\mathcal{S}_{p,q} = \{\sigma \in \mathcal{S}_{p+q} \mid \sigma(1) < \cdots < \sigma(p), \sigma(p+1) < \cdots < \sigma(p+q)\}.$$

Example 1.5.

- Assume $\omega_1, \omega_2 \in \Lambda^1 V^*$. Then

$$\omega_1 \wedge \omega_2(v_1, v_2) = \omega_1(v_1) \omega_2(v_2) - \omega_1(v_2) \omega_2(v_1), \quad v_1, v_2 \in V.$$

- Assume $\omega_1, \dots, \omega_p \in \Lambda^1 V^*$. Then

$$\omega_1 \wedge \cdots \wedge \omega_p(v_1, \dots, v_p) = \det(\omega_i(v_j))_{i,j=1,\dots,p}, \quad v_1, \dots, v_p \in V.$$

Proposition 1.6. Let $\omega_i \in \Lambda^{p_i} V^*$ for $i = 1, 2, 3$.

- *Associativity* $(\omega_1 \wedge \omega_2) \wedge \omega_3 = \omega_1 \wedge (\omega_2 \wedge \omega_3)$.
- *Distributivity* $\omega_1 \wedge (\omega_2 + \omega_3) = \omega_1 \wedge \omega_2 + \omega_1 \wedge \omega_3$, assuming $p_2 = p_3$.
- *Supercommutativity* $\omega_1 \wedge \omega_2 = (-1)^{p_1 p_2} \omega_2 \wedge \omega_1$.

Definition 1.7. Let $\Phi : V \rightarrow W$ be a linear map between vector spaces over \mathbb{R} . Let $\omega \in \Lambda^p W^*$. Then the **pull-back** $\Phi^*(\omega) \in \Lambda^p V^*$ of ω is an alternating p -form on V defined by

$$\Phi^*(\omega)(v_1, \dots, v_p) = \omega(\Phi(v_1), \dots, \Phi(v_p)), \quad v_1, \dots, v_p \in V.$$

¹Exercise

Proposition 1.8. *Given $\Phi : V \rightarrow W$ a linear map,*

- *the pull-back*

$$\begin{aligned} \Phi^* &: \Lambda^p W^* \longrightarrow \Lambda^p V^* \\ \omega &\longmapsto \Phi^*(\omega) \end{aligned}$$

is a linear map that preserves exterior products, that is

$$\Phi^*(\omega_1 \wedge \omega_2) = \Phi^*(\omega_1) \wedge \Phi^*(\omega_2), \quad \omega_1 \in \Lambda^p W^*, \quad \omega_2 \in \Lambda^q W^*,$$

- *if $\Psi : W \rightarrow Z$ is linear then*

$$(\Psi \circ \Phi)^*(\omega) = \Phi^*(\Psi^*(\omega)), \quad \omega \in \Lambda^p Z^*,$$

- *assuming $V = W$ and $p = \dim V$, then*

$$\Phi^*(\omega) = (\det \Phi) \omega, \quad \omega \in \Lambda^p V^*.$$

1.2 Differential forms on manifolds

Let M be a smooth manifold of dimension n , and let $x \in M$. Then the tangent space $T_x M$ of M at x is a vector space of dimension n .

Notation 1.9. Let

$$\Lambda^p T_x^* M = \Lambda^p (T_x M)^*.$$

Consider the set

$$\Lambda^p T^* M = \bigsqcup_{x \in M} \Lambda^p T_x^* M,$$

the **p -th exterior bundle** on M . There exists a morphism $\pi : \Lambda^p T^* M \rightarrow M$ such that for all $x \in M$, $\pi^{-1}(x) = \Lambda^p T_x^* M$, so $\Lambda^p T^* M$ is a vector bundle and it is a smooth manifold, and π is a smooth morphism.

Example 1.10.

- $\Lambda^0 T^* M = M \times \mathbb{R}$.
- $\Lambda^1 T^* M$ is the **cotangent bundle**, the dual of the tangent bundle.

Definition 1.11. A **differential p -form** ω on M is a smooth section of π . That is, it is a smooth morphism $\omega : M \rightarrow \Lambda^p T^* M$ such that $\pi \circ \omega = \text{id}_M$.

Thus, $\omega(x) \in \Lambda^p T_x^* M$.

Notation 1.12.

$$\Omega^p(M) = \{\text{differential } p\text{-forms } \omega \text{ on } M\}, \quad \Omega^\bullet(M) = \bigoplus_p \Omega^p(M).$$

Example 1.13. $\Omega^0(M) \cong \{f : M \rightarrow \mathbb{R} \mid f \text{ is } C^\infty\text{-function}\}$.

Exercise. If $n = \dim M$, then $\Omega^{n+1}(M) = 0$.

The algebra is the same as last week.

Definition 1.14. Let $\omega_1 \in \Omega^p(M)$ and $\omega_2 \in \Omega^q(M)$. Then $\omega_1 \wedge \omega_2 \in \Omega^{p+q}(M)$ is defined by

$$\omega_1 \wedge \omega_2(x) = \omega_1(x) \wedge \omega_2(x) \in \Lambda^{p+q} T_x^* M, \quad x \in M.$$

By Proposition 1.6, associativity, distributivity, and supercommutativity hold for $\Omega^p(M)$. Let $F : M \rightarrow N$ be a smooth morphism between manifolds. Then for all $x \in M$, the differential of F at x is the linear map

$$DF_x : T_x M \rightarrow T_{F(x)} N.$$

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Thus, for all $p \geq 0$, we have a natural map, called the **pull-back**,

$$\begin{aligned} F_x^* : \Lambda^p T_{F(x)}^* N &\longrightarrow \Lambda^p T_x^* M \\ \omega(v_1, \dots, v_p) &\longmapsto \omega(DF_x(v_1), \dots, DF_x(v_p)) \end{aligned} \quad , \quad \omega \in \Lambda^p T_{F(x)}^* N, \quad v_1, \dots, v_p \in T_x^* M.$$

Thus, we can define

$$\begin{aligned} F^* : \Omega^p(N) &\longrightarrow \Omega^p(M) \\ \omega(x) &\longmapsto F^*(\omega(F(x))) \end{aligned} \quad , \quad \omega \in \Omega^p(N).$$

By Proposition 1.8, the pull-back preserves the exterior product, so

$$F^*(\omega_1 \wedge \omega_2) = F^*(\omega_1) \wedge F^*(\omega_2).$$

If $G : N \rightarrow P$,

$$(G \circ F)^*(\omega) = F^*(G^*(\omega)).$$

1.3 Local description of p -forms

Let M be a manifold of dimension n , let $x_0 \in M$, let (U, ϕ) be a local chart around x_0 , and let (x_1, \dots, x_n) be local coordinates around x_0 . A basis of $T_{x_0}^* M$ is given by

$$\left\{ \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right\}.$$

A basis of $T_{x_0}^* M$ is given by

$$\{dx_1, \dots, dx_n\}, \quad dx_i \left(\frac{\partial}{\partial x_j} \right) = \delta_{ij}.$$

A basis of $\Lambda^p T_{x_0}^* M$ is

$$dx_{i_1} \wedge \dots \wedge dx_{i_p}, \quad i_1 < \dots < i_p.$$

Thus, $\omega \in \Omega^p(M)$ is locally given by

$$\omega(x) = \sum_{|I|=p} f_I(x) dx_{i_1} \wedge \dots \wedge dx_{i_p}, \quad I = (i_1, \dots, i_p), \quad i_1 < \dots < i_p,$$

where f_I is a C^∞ -function on U for all I .

Example 1.15. Let $F : M \rightarrow N$ be a smooth morphism between manifolds of dimension n , and let $\omega \in \Omega^n(N)$. Locally,

$$\omega(y) = f(y) dy_1 \wedge \dots \wedge dy_n, \quad y \in N$$

for some $f \in C^\infty$. Proposition 1.8 implies that

$$F^*(\omega)(x) = (f \circ F)(x) \det DF_x dx_1 \wedge \dots \wedge dx_n, \quad x \in M,$$

where $y_i = p_i \circ F$ and $p_i : \mathbb{R}^n \rightarrow \mathbb{R}$ is the i -th projection.

Let $f : M \rightarrow \mathbb{R}$ be a smooth function, so $f \in \Omega^0(M)$. Locally, the **differential** is

$$\begin{aligned} d : \Omega^0(M) &\longrightarrow \Omega^1(M) \\ f &\longmapsto \sum_{i=1}^n \frac{\partial f}{\partial x_i} dx_i. \end{aligned}$$

Check that $df \in \Omega^1(M)$, so df is a 1-form on M . Alternatively, $df = f^*(dx)$ for dx a 1-form on \mathbb{R} , or $df(X) = X(f)$ for any vector field X on M . More in general, let $\omega \in \Omega^p(M)$. Locally,

$$\omega = \sum_{|I|=p} f_I dx_{i_1} \wedge \dots \wedge dx_{i_p}, \quad f_I \in C^\infty,$$

so $d\omega \in \Omega^{p+1}(M)$. Then the **de Rham differential** is

$$\begin{aligned} d : \Omega^p(M) &\longrightarrow \Omega^{p+1}(M) \\ \omega &\longmapsto \sum_{|I|=p} df_I \wedge dx_{i_1} \wedge \dots \wedge dx_{i_p}. \end{aligned}$$

Proposition 1.16.

- *The Leibnitz rule*

$$d(\omega_1 \wedge \omega_2) = d\omega_1 \wedge \omega_2 + (-1)^p \omega_1 \wedge d\omega_2, \quad \omega_1 \in \Omega^p(M), \quad \omega_2 \in \Omega^q(M).$$

- $d^2 = 0$, that is

$$d(d\omega) = 0, \quad \omega \in \Omega^p(M).$$

- Let $F : M \rightarrow N$ be a smooth morphism between manifolds. Then

$$F^*(d\omega) = d(F^*(\omega)), \quad \omega \in \Omega^p(M)$$

so

$$\begin{array}{ccc} \Omega^p(M) & \xrightarrow{d} & \Omega^{p+1}(M) \\ F^* \uparrow & & \uparrow F^* \\ \Omega^p(N) & \xrightarrow{d} & \Omega^{p+1}(N) \end{array}.$$

Definition 1.17.

- $\omega \in \Omega^p(M)$ is **closed** if $d\omega = 0$.
- $\omega \in \Omega^p(M)$ is **exact** if there exists $\omega' \in \Omega^{p-1}(M)$ such that $d\omega' = \omega$.

ω is exact implies that ω is closed, since if $\omega = d\omega'$ then $d\omega = d^2\omega' = 0$.

1.4 Integration on manifolds

Let M be a manifold of dimension n , let $F : M \rightarrow M$ be a smooth morphism, and let $\omega \in \Omega^n(M)$. Then

$$F^*(\omega)(x) = \det DF_x \omega(F(x)).$$

Locally, assume $\omega = f dy_1 \wedge \cdots \wedge dy_n$ for some coordinates y_1, \dots, y_n and $f \in C^\infty$. Let $\{(U_\alpha, \phi_\alpha)\}$ be an atlas of M , and let $\phi_\alpha : U_\alpha \rightarrow V_\alpha \subset \mathbb{R}^n$. Then

$$\begin{aligned} h_{\alpha\beta} = \phi_\beta \circ \phi_\alpha^{-1} : \phi_\alpha(U_\alpha \cap U_\beta) \subset \mathbb{R}^n &\longrightarrow \phi_\beta(U_\alpha \cap U_\beta) \subset \mathbb{R}^n \\ \omega(x) &\longmapsto (f \circ h_{\alpha\beta})(x) \det(Dh_{\alpha\beta})_x dx_1 \wedge \cdots \wedge dx_n. \end{aligned}$$

Let $D \subset \mathbb{R}^n$ be compact such that ∂D has zero measure, so D is a domain of integration, let $f : U \rightarrow \mathbb{R}$ be a C^∞ -function where $U \subset \mathbb{R}^n$ is open such that $D \subset U$, and let $h : U \rightarrow h(U) \subset \mathbb{R}^n$ be a diffeomorphism. Then

$$\int_{h^{-1}(D)} f(y) dy_1 \wedge \cdots \wedge dy_n = \int_{h^{-1}(D)} f(y) dy_1 \wedge \cdots \wedge dy_n = \int_D (f \circ h)(x) |\det Dh_x| dx_1 \wedge \cdots \wedge dx_n.$$

Definition 1.18. Let us assume that $\omega = f(y) dy_1 \wedge \cdots \wedge dy_n$ on U . We define

$$\int_D \omega = \int_D f(y) dy_1 \wedge \cdots \wedge dy_n, \quad D \subset U.$$

Definition 1.19. Let $U \subset \mathbb{R}^n$ be an open set. We define the **support** of ω as

$$\text{supp } \omega = \overline{\{x \in U \mid \omega(x) \neq 0\}}, \quad \omega(x) \in \Lambda^p T_x^* U.$$

Then ω has **compact support**, if $\text{supp } \omega$ is compact.

Under this assumption, we can define

$$\int_U \omega = \int_D \omega \in \mathbb{R},$$

which is well-defined.

Fact. Under the same assumption, if $\phi : V \rightarrow U$ is a diffeomorphism, provided that $\det D\phi_x > 0$, since $\det D\phi_x \neq 0$ for all x , then

$$\int_U \omega = \int_V \phi^*(\omega).$$

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The goal is to define $\int_M \omega$. Let V be a vector space over \mathbb{R} of dimension n , and let $B = (b_1, \dots, b_n) \subset V$ and $B' = (b'_1, \dots, b'_n) \subset V$ be ordered bases of V . Then B and B' have the **same orientation** if $\det T > 0$ where

$$\begin{array}{ccc} T & : & V \longrightarrow V \\ & & b_i \longmapsto b'_i \end{array}$$

is a linear map. Let $\omega \in \Lambda^n V^*$ for $\omega \neq 0$. Then B and B' have the same orientation if and only if $\omega(b_1, \dots, b_n)$ has the same sign as $\omega(b'_1, \dots, b'_n)$, by Proposition 1.8. An **orientation** Λ of V is a set of all the ordered basis of V with the same orientation. Let $\phi : V \rightarrow W$ be an isomorphism of vector spaces with fixed orientations Λ_v and Λ_w respectively. We say that ϕ is **orientation preserving** if an ordered basis of V induces an ordered basis of W , so Λ_v induces Λ_w .

Example 1.20. Let $V = \mathbb{R}^n$, and let $e_i = (0, \dots, 0, 1, 0, \dots, 0)$. Then e_1, \dots, e_n defines an orientation of V called **positive**.

Let M be a manifold. The idea is to find an orientation Λ_x of $T_x M$ for all $x \in M$.

Special case. Let $M = U \subset \mathbb{R}^n$ be open. There exists a natural isomorphism $\phi_x : T_x U \rightarrow \mathbb{R}^n$. Let Λ_x^+ be an orientation on $T_x U$ such that ϕ_x is orientation preserving with respect to the positive orientation on \mathbb{R}^n . Let $\Lambda^+ = \{\Lambda_x^+\}$.

General case. Let $\{(U_\alpha, \phi_\alpha)\}$ be an atlas on M . On U_α , we define the orientation so that $(D\phi_\alpha)_x : T_x U_\alpha \rightarrow T_{\phi_\alpha(x)} \phi_\alpha(U) \subset \mathbb{R}^n$ is orientation preserving. This is called the positive orientation on the chart (U_α, ϕ_α) . We define Λ on M , which is a collection of Λ^+ on $T_x M$ for all $x \in M$. Then M is **orientable** if there exists an atlas with positive orientation charts. This coincides in assuming that $\det D(\phi_\beta^{-1} \circ \phi_\alpha) > 0$ for all α and β .

For all $p \geq 0$,

$$\Omega_c^p(M) = \{\omega \in \Omega^p(M) \mid \text{supp } \omega \text{ is compact}\}.$$

If M is compact $\Omega_c^p(M) = \Omega^p(M)$. Let $\omega \in \Omega_c^p(M)$. Assume $\text{supp } \omega \subset U$ where (U, ϕ) is a chart of M , and $\phi : U \rightarrow \phi(U) \subset \mathbb{R}^n$. Assume also that (U, ϕ) is positively oriented. Let $\phi^{-1} : \phi(U) \rightarrow U$ such that $(\phi^{-1})^*(\omega) \in \Omega_c^p(\phi(U))$, that is $\text{supp } (\phi^{-1})^*(\omega) \subset \phi(U)$. We define

$$\int_M \omega = \int_{\phi(U)} (\phi^{-1})^*(\omega).$$

We need to show that, under the assumptions above, $\int_M \omega$ does not depend on (U, ϕ) . Let $(\bar{U}, \bar{\phi})$ be also a positively oriented chart such that $\text{supp } \omega \subset \bar{U}$. We want to show that

$$\int_{\phi(U)} (\phi^{-1})^*(\omega) = \int_{\bar{\phi}(\bar{U})} (\bar{\phi}^{-1})^*(\omega).$$

Let

$$\bar{\phi} \circ \phi^{-1} : \phi(U \cap \bar{U}) \rightarrow \bar{\phi}(U \cap \bar{U}),$$

so

$$\begin{array}{ccc} & U \cap \bar{U} & \\ \phi \swarrow & & \searrow \bar{\phi} \\ \mathbb{R}^n \supset \phi(U \cap \bar{U}) & \xrightarrow{\bar{\phi} \circ \phi^{-1}} & \bar{\phi}(U \cap \bar{U}) \subset \mathbb{R}^n \end{array}.$$

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Since both charts are positively oriented the determinant of the differential $D(\bar{\phi} \circ \phi^{-1})$ is positive. Then

$$\begin{aligned}
 \int_{\bar{\phi}(U)} (\bar{\phi}^{-1})^* (\omega) &= \int_{\bar{\phi}(U \cap \bar{U})} (\bar{\phi}^{-1})^* (\omega) & (\bar{\phi}^{-1})^* (\omega) &= 0 \text{ outside } \bar{\phi}(U \cap \bar{U}) \\
 &= \int_{\bar{\phi}(U \cap \bar{U})} (\bar{\phi} \circ \phi^{-1})^* (\bar{\phi}^{-1})^* (\omega) & \det D(\bar{\phi} \circ \phi^{-1}) &> 0 \\
 &= \int_{\bar{\phi}(U \cap \bar{U})} (\phi^{-1})^* \circ \bar{\phi}^* \circ (\bar{\phi}^{-1})^* (\omega) & \text{pull-back} \\
 &= \int_{\bar{\phi}(U \cap \bar{U})} (\phi^{-1})^* \circ (\bar{\phi}^{-1} \circ \bar{\phi})^* (\omega) & \text{pull-back} \\
 &= \int_{\bar{\phi}(U \cap \bar{U})} (\phi^{-1})^* (\omega) & \det D(\bar{\phi} \circ \phi^{-1}) &> 0 \\
 &= \int_{\bar{\phi}(U)} (\phi^{-1})^* (\omega) & (\phi^{-1})^* (\omega) &= 0 \text{ outside } \phi(U \cap \bar{U}).
 \end{aligned}$$

Let M be a manifold, and let $U = \{U_\alpha\}$ be an open covering. A **partition of unity** with respect to U is a collection of smooth functions $f_\alpha : M \rightarrow [0, 1]$ such that

1. $\text{supp } f_\alpha = \overline{\{x \in M \mid f_\alpha(x) > 0\}} \subset U_\alpha$ for all α ,
2. $\sum_\alpha f_\alpha(x) = 1$ for all $x \in M$, and
3. for all $x \in M$, there exists $U \ni x$ open such that $\text{supp } f_\alpha \cap U \neq \emptyset$ for only finitely many α .

Remark. 3 implies that 2 is a finite sum.

Example 1.21. Let

$$M = S^1 = \{x \in \mathbb{R}^2 \mid |x| = 1\}, \quad U_1 = S^1 \setminus \{(1, 0)\}, \quad U_2 = S^1 \setminus \{(-1, 0)\},$$

so $\{U_i\}$ is a cover. Let

$$f_1(\cos \theta, \sin \theta) = \frac{1}{2} - \frac{1}{2} \cos \theta, \quad f_2(\cos \theta, \sin \theta) = \frac{1}{2} + \frac{1}{2} \cos \theta.$$

Then f_i is a partition of unity.

Theorem 1.22. Let M be a manifold, and let $U = \{U_\alpha\}$ be an open covering of M . Then there exists a partition of unity f_α with respect to U .

Proof. We omit the proof. □

Theorem 1.23. Let M be a manifold, and let $n = \dim M$. Then M is orientable if and only if there exists $\omega \in \Omega^n(M)$ which is never vanishing on M .

ω is called a **volume form** on M .

Proof.

\Leftarrow Assume $\omega \in \Omega^n(M)$ is a volume form. We want to define an orientation Λ_x of $T_x M$ for all $x \in M$. Given an oriented basis v_1, \dots, v_n of $T_x M$ we say that it is positively oriented if $\omega(x)(v_1, \dots, v_n) > 0$. This defines Λ_x on $T_x M$ which is compatible with the choice of an atlas on M . Indeed, the pull-back between two different charts is defined by the determinant, so it is orientation preserving, so M is orientable. □