M4P57 Complex Manifolds

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Syllabus

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1 Introduction

The following are references.

Lecture 1 Thursday 09/01/20

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- J P Demailly, Complex analytic and differential geometry, 2012.
- C Voisin, Hodge theory and complex algebraic geometry, 2002.
- R O Wells, Differential analysis on complex manifolds, 1973.
- A Gathmann, Algebraic geometry, 2002
- P Griffiths and J Harris, Principles of algebraic geometry, 1978.

Complex manifolds are manifolds over \mathbb{C}^n .

Example 1.1. \mathbb{C}^1 is a complex manifold. Any open $U \subset \mathbb{C}^n$ is a complex manifold.

Example 1.2. The sphere $S^2 \subset \mathbb{R}^3$ is a complex manifold by

$$S^2 \cong \mathbb{C} \cup \{\infty\} = \mathbb{P}^1_{\mathbb{C}} = \mathbb{C}\mathbb{P}^1.$$

More in general $\mathbb{P}^n_{\mathbb{C}}$ is a complex manifold for all n.

Example 1.3. The torus

$$S^1 \times S^1 = \mathbb{R}^2/\mathbb{Z}^2 = \mathbb{C}/\mathbb{Z}^2$$

is a complex manifold. More in general a 2n-dimensional torus \mathbb{C}^n/Λ for a lattice $\Lambda \cong \mathbb{Z}^{2n}$ is a complex manifold.

Example 1.4. Compact Riemannian surfaces of genus g > 1, called **hyperbolics**, are all complex manifolds.

Example 1.5. Let $f: \mathbb{C} \to \mathbb{C}$ be holomorphic. The graph of f,

$$\Gamma_{f} = \{(x, f(x)) \mid x \in \mathbb{C}\} \subset \mathbb{C} \times \mathbb{C},$$

is a complex manifold. From Γ_f we can recover f, by

$$f\left(x\right) = q\left(p^{-1}\left(x\right) \cap \Gamma_f\right),\,$$

where $p, q: \mathbb{C}^2 \to \mathbb{C}$ are the projections to the first and second factors. This allows us to define f^{-1} . Assume f is bijective. Define

$$\tau : \mathbb{C}^2 \longrightarrow \mathbb{C}^2 (x,y) \longmapsto (y,x) .$$

Define

$$\Gamma_{f^{-1}} = \tau \left(\Gamma_f \right).$$

Then f^{-1} is the function induced by $\Gamma_{f^{-1}}$. This makes sense even if f is not bijective. Then we get a multivalued function, such as $\log z$ as the inverse of $\exp z$.

Example 1.6. Generalising Example 1.5, we can consider two complex manifolds M and N and we can consider holomorphisms $f: M \to N$. Given M,

$$\operatorname{Aut} M = \left\{ f: M \to M \text{ holomorphic bijective and } f^{-1} \text{ holomorphic} \right\}.$$

If $M = \mathbb{C}$, there are lots of \mathbb{C}^{∞} -functions $\mathbb{C} \to \mathbb{C}$ but the automorphisms of \mathbb{C} are just affine linear maps. If $M = \mathbb{C}/\mathbb{Z}^2$, then Aut M is interesting.

Example 1.7. Algebraic geometry is the zeroes of polynomials. That is, fix m, and take polynomials f_1, \ldots, f_k in m variables. Define

$$M = \{(x_1, \dots, x_m) \in \mathbb{C}^m \mid f_1(x_1, \dots, x_m) = \dots = f_k(x_1, \dots, x_m) = 0\}.$$

Then M is called an **algebraic variety**. If M is smooth then M is a complex manifold. Fix m, take homogeneous polynomials F_1, \ldots, F_k in m+1 variables, where F is **homogeneous** if it is the sum of monomials of the same degree. Consider

$$N = \{(x_0, \dots, x_m) \in \mathbb{P}^m_{\mathbb{C}} \mid F_1(x_0, \dots, x_m) = \dots = F_k(x_0, \dots, x_m) = 0\}.$$

Then N is called a **projective variety**. If N is smooth then N is a complex manifold.

The idea is if M is a differentiable manifold, then M contains lots of submanifolds N. This is not true for complex manifolds. There exist complex manifolds without any proper complex submanifolds, which is not true for projective varieties. The following are questions.

- What can we say about the topology of complex manifolds? For example, what is $\pi_1(M)$? What is the cohomology of M?
- Assume that M and N are complex manifolds which are diffeomorphic. Are they also isomorphic, so there exists a biholomorphism $M \to N$?

What is next?

- Hodge decomposition theorem. Understand the cohomology of M by using the complex structure.
- Kodaira embedding theorem. Understand when a compact complex manifold is projective.

Note. If $M \subset \mathbb{P}^m_{\mathbb{C}}$ is a compact complex manifold then M is projective.

Example. Let $M = \Gamma_{\text{exp}}$ for $\exp : \mathbb{C} \to \mathbb{C}$. Then $M \subset \mathbb{C}^2$ but it is not algebraic.

2 Local theory

2.1 Holomorphic functions in several variables

Notation 2.1. Given $z_0 \in \mathbb{C}$ and r > 0, the **disc** is

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$$D(z_0, r) = \{z \in \mathbb{C} \mid |z - z_0| < r\},\$$

and $\partial D(z_0, r)$ is the boundary of $D(z_0, r)$.

Definition 2.2. Let $U \subset \mathbb{C}$, and let $f: U \to \mathbb{C}$ be a function. Then f is holomorphic at $z_0 \in U$ if

$$\lim_{z \to z_0} \frac{f(z) - f(z_0)}{z - z_0}$$

exists.

Theorem 2.3 (Cauchy). Let $U \subset \mathbb{C}$ be open, let f be holomorphic on U, and let $z_0 \in U$. Assume that if $D = D(z_0, r) \subset U$ then $\overline{D} \subset U$. Then

$$f(z_0) = \frac{1}{2\pi i} \int_{\partial D} \frac{f(z)}{z - z_0} dz.$$

Notation 2.4. Fix $z_0 = (z_{01}, \ldots, z_{0n}) \in \mathbb{C}^n$ and $R = (r_1, \ldots, r_n) \in \mathbb{R}^n_{>0}$. Then the **polydisc** is

$$D(z_0, R) = \{z = (z_1, \dots, z_n) \in \mathbb{C}^n \mid |z_i - z_{0i}| < r_i \text{ for each } i\},$$

where R is the **polyradius**.

Definition 2.5. Let $U \subset \mathbb{C}^n$ be open, let $f: U \to \mathbb{C}$ be a continuous function, and let $z = (z_1, \dots, z_n) \in \mathbb{C}^n$. Then f is **holomorphic** at z, if assuming that $D = D(z, R) \subset U$ for some $R = (r_1, \dots, r_n)$ then

$$f(z_1,\ldots,z_{i-1},\cdot,z_{i+1},\ldots,z_n): D(z_i,r_i) \to \mathbb{C}$$

is holomorphic for all i.

Example 2.6. Any convergent power series in n-variables is holomorphic.

The opposite is also true.

Theorem 2.7 (Cauchy). Let $U \subset \mathbb{C}^n$ be an open set, let $f: U \to \mathbb{C}$ be holomorphic, and let $z = (z_1, \ldots, z_n) \in U$. Assume that if $D = D(z_0, R)$ for some $R = (r_1, \ldots, r_n)$ then $\overline{D} \subset U$. If $z' = (z'_1, \ldots, z'_n) \in D$ then

$$f\left(z'\right) = \frac{1}{\left(2\pi i\right)^n} \int_{\partial D\left(z_1, r_1\right)} \dots \int_{\partial D\left(z_n, r_n\right)} \frac{f\left(z\right)}{\left(z - z_1'\right) \dots \left(z - z_n'\right)} dz_n \dots dz_1.$$

Proof. Use induction on n and Cauchy theorem at each step.

Corollary 2.8. Let $U \subset \mathbb{C}^n$ be open, let $f: U \to \mathbb{C}$ be holomorphic, and let $z = (z_1, \ldots, z_n) \in U$. Then there exists $D = D(z, R) \subset U$ for some $R = (r_1, \ldots, r_n)$ and there exists

$$p(w) = \sum_{m_1,...,m_n \ge 0} a_{m_1,...,m_n} (w_1 - z_1)^{m_1} ... (w_n - z_n)^{m_n},$$

such that p is convergent on D and f(w) = p(w) inside D.

Proof. The idea is to use Theorem 2.7 and $1/(1-w) = \sum_{k>0} w^k$.

Definition 2.9. Let $U \subset \mathbb{C}^n$ be open. Then $f: U \to \mathbb{C}^m$ is **holomorphic** if $f_i = p_i \circ f$ is holomorphic for any $i = 1, \ldots, m$ where $p_i: \mathbb{C}^m \to \mathbb{C}$ is the *i*-th projection, so $f = (f_1, \ldots, f_m)$.

Fact. If $f:U\to\mathbb{C}^m$ is holomorphic and $g:V\to\mathbb{C}^p$ is holomorphic where $V\supset f(U)$ then $g\circ f$ is holomorphic.

Definition 2.10. Let $U \subset \mathbb{C}^n$ be open. A holomorphic function $f: U \to \mathbb{C}^m$ is **biholomorphic at** $z_0 \in U$ if there exists an open neighbourhood $V \subset U$ of z_0 such that $f: V \to f(V)$ is bijective and $f^{-1}: f(V) \to V$ is holomorphic. Then f is **biholomorphic** if f is bijective and f is biholomorphic at any point.

Note. f(V) is automatically open in \mathbb{C}^m if m=n.

Example 2.11. Let $\Phi: \mathbb{C}^n \to \mathbb{C}^n$ be linear such that det $\Phi \neq 0$. Then Φ is a biholomorphism.

Example 2.12. Let $U = \mathbb{C} \setminus \{0\}$ and

Check that f is biholomorphic at any point of U but f is not biholomorphic.

Remark. $\mathbb{C}^n \cong \mathbb{R}^{2n}$ and $\mathbb{C}^m \cong \mathbb{R}^{2m}$. Then a holomorphic $f: U \subset \mathbb{C}^n \to \mathbb{C}^m$ is also a diffeomorphism $U \subset \mathbb{R}^{2n} \to \mathbb{R}^{2m}$.

Theorem 2.13 (Hartogs). Let $n \geq 2$, let $\epsilon = (\epsilon_1, \ldots, \epsilon_n)$ and $\delta = (\delta_1, \ldots, \delta_n)$ such that $\epsilon_i > \delta_i > 0$, let $U = D(0, \epsilon) \setminus \overline{D(0, \delta)}$, and let $f : U \to \mathbb{C}^m$ be holomorphic. Then there exists a holomorphic $\overline{f} : D(0, \epsilon) \to \mathbb{C}^m$ such that $\overline{f}|_U = f$.

Example. Hartogs theorem is false for n = 1. If f(z) = 1/z, for all $\epsilon > \delta > 0$, then f cannot be extended.

2.2 Cauchy formula in one variable

Let $\omega = x + iy \in \mathbb{C}$ for $x, y \in \mathbb{R}$, and let $f: U \to \mathbb{C}$ be \mathbb{C}^{∞} for some $U \subset \mathbb{C}$. Recall that

$$\frac{\partial f}{\partial \omega} = \frac{1}{2} \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) f, \qquad \frac{\partial f}{\partial \overline{\omega}} = \frac{1}{2} \left(\frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right) f.$$

Recall that f is holomorphic if and only if $\frac{\partial f}{\partial \overline{\omega}} = 0$ on U. More in general, let $U \subset \mathbb{C}^n$ be open, let $z_i = x_i + iy_i$, and let $f: U \to \mathbb{C}$ be a \mathbb{C}^{∞} -function. Then f is holomorphic if and only if $\frac{\partial f}{\partial \overline{z_i}} = 0$ for all $i = 1, \ldots, n$. Let $\omega \in \mathbb{C}$. Since $\mathrm{d} x \wedge \mathrm{d} y = -\mathrm{d} y \wedge \mathrm{d} x$, let

$$dA = \frac{i}{2} d\omega \wedge d\overline{\omega} = \frac{i}{2} (dx + i dy) \wedge (dx - i dy) = dx \wedge dy,$$

which is the Lebesgue measure on $\mathbb{R}^2 \cong \mathbb{C}$.

Proposition 2.14. Let $f: U \to \mathbb{C}$ for $U \subset \mathbb{C}$ be a \mathbb{C}^{∞} -function, and let $D = \mathrm{D}(z,r)$ such that $\overline{D} \subset U$. Then

$$f(z) = \frac{1}{2\pi i} \int_{\partial D} \frac{f}{\omega - z} d\omega - \frac{1}{\pi} \int_{D} \frac{1}{\omega - z} \frac{\partial f}{\partial \overline{\omega}} dA.$$

Proof. Assume z=0. Recall that $f(\omega)=1/\omega$ is locally integrable around zero, so

$$\int_{D} \frac{1}{\omega} \frac{\partial f}{\partial \overline{\omega}} dA = \lim_{\epsilon \to 0} \int_{D \setminus D(0,\epsilon)} \frac{1}{\omega} \frac{\partial f}{\partial \overline{\omega}} dA.$$

Away from zero

$$d\left(\frac{f}{\omega}d\omega\right) = \frac{1}{\omega}df \wedge d\omega + fd\left(\frac{1}{\omega}\right) \wedge d\omega = \frac{1}{\omega}\left(\frac{\partial f}{\partial \omega}d\omega + \frac{\partial f}{\partial \overline{\omega}}d\overline{\omega}\right) \wedge d\omega + f\frac{\partial}{\partial \omega}\left(\frac{1}{\omega}\right)d\omega \wedge d\omega$$
$$= \frac{1}{\omega}\frac{\partial f}{\partial \overline{\omega}}d\overline{\omega} \wedge d\omega = \frac{2i}{\omega}\frac{\partial f}{\partial \overline{\omega}}dA.$$

Then

$$\begin{split} \frac{1}{\pi} \int_{D} \frac{1}{\omega} \frac{\partial f}{\partial \overline{\omega}} \, \mathrm{d}A &= \frac{1}{\pi} \lim_{\epsilon \to 0} \int_{D \backslash D(0,\epsilon)} \frac{1}{\omega} \frac{\partial f}{\partial \overline{\omega}} \, \mathrm{d}A \\ &= \frac{1}{2\pi i} \lim_{\epsilon \to 0} \int_{D \backslash D(0,\epsilon)} \, \mathrm{d}\left(\frac{f}{\omega} \mathrm{d}\omega\right) & \frac{1}{\omega} \frac{\partial f}{\partial \overline{\omega}} \, \mathrm{d}A = \frac{1}{2i} \mathrm{d}\left(\frac{f}{\omega} \mathrm{d}\omega\right) \\ &= \frac{1}{2\pi i} \lim_{\epsilon \to 0} \left(\int_{\partial D} \frac{f}{\omega} \, \mathrm{d}\omega - \int_{\partial D(0,\epsilon)} \frac{f}{\omega} \, \mathrm{d}\omega\right) & \text{Stokes' theorem} \\ &= \frac{1}{2\pi i} \left(\int_{\partial D} \frac{f}{\omega} \, \mathrm{d}\omega - 2\pi i f\left(0\right)\right) & \lim_{\epsilon \to 0} \int_{\partial D(0,\epsilon)} \frac{1}{\omega} \, \mathrm{d}\omega = 2\pi i. \end{split}$$

If f is holomorphic, then $\frac{\partial f}{\partial \overline{\omega}} = 0$, which implies Theorem 2.3.

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2.3 Rank theorem

Let $U \subset \mathbb{C}^n$ be open, and let $f: U \to \mathbb{C}^m$ be holomorphic. Then the **Jacobian** is

$$J_f = \left(\frac{\partial f_j}{\partial z_i}(z)\right),\,$$

where $f_j = p_j \circ f$ and $p_j : \mathbb{C}^m \to \mathbb{C}$ is the j-th projection.

Exercise. Show that the real Jacobian, which is $2n \times 2n$, has non-negative determinants.

Theorem 2.15 (Rank theorem). Let $z \in U$ such that $r = \operatorname{rk} J_f(z')$ is constant around z. Then there exist open $z \in V \subset U \subset \mathbb{C}^n$ and $f(z) \in W \subset f(U) \subset \mathbb{C}^m$ such that $\phi : D(0,1)^n \to V$ and $\psi : D(0,1)^m \to W$ are biholomorphisms such that

$$\eta = \psi^{-1} \circ f \circ \phi : D(0,1)^n \longrightarrow D(0,1)^m
(z_1, \dots, z_n) \longmapsto (z_1, \dots, z_r, 0, \dots, 0)$$

so

$$\mathbb{C}^{n} \supset U \qquad \supset \qquad V \ni z \xrightarrow{f} f(z) \in W \qquad \subset \qquad f(U) \subset \mathbb{C}^{m}$$

$$\downarrow \phi \qquad \qquad \uparrow \psi \qquad \qquad \downarrow \psi$$

$$D(0,1)^{n} \xrightarrow{\eta} D(0,1)^{m}$$

Theorem 2.16 (Inverse function theorem). Let $f: U \to \mathbb{C}^n$ be holomorphic for $U \subset \mathbb{C}^n$, and let $z \in U$ such that $\det J_f(z) \neq 0$. Then f is a biholomorphism at z.

Proof. det $J_f(z) \neq 0$ if and only if $\operatorname{rk} J_f(z) = n$, so $\operatorname{rk} J_f(z') = n$ around z, since $\det J_f(z)$ is a continuous function. Let ϕ and ψ as in the theorem. Then $\eta = \psi^{-1} \circ f \circ \phi = \operatorname{id}$, so on V, $f = \psi \circ \phi^{-1}$ is a composition of biholomorphisms, which is a biholomorphism.

Remark 2.17. Let $f: U \to \mathbb{C}^n$ for $U \subset \mathbb{C}^n$. Then $\det J_f(z)$ is a holomorphism, so

$$Z = \{ z \in U \mid \det J_f(z) = 0 \}$$

is closed.

2.4 Holomorphic differential forms

Let $U \subset \mathbb{C}^n$ be open.

Definition 2.18. A holomorphic vector field on U is the expression

$$X = \sum_{i} a_{i} \frac{\partial}{\partial z_{i}},$$

where a_i are holomorphic functions on U.

For all $x \in U$, the **tangent space** is

$$T_x U = \left\langle \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right\rangle \cong \mathbb{C}^n.$$

If $x \in U$, then $X(x) \in T_xU$.

Notation 2.19.

 $\mathrm{H}^{0}\left(U,\mathcal{O}_{U}\right)=\left\{ \mathrm{holomorphic\ functions\ }f:U\to\mathbb{C}\right\} ,\qquad \mathrm{H}^{0}\left(U,\mathrm{T}_{U}\right)=\left\{ \mathrm{holomorphic\ vector\ fields\ on\ }U\right\} .$

Remark. $R = H^0(U, \mathcal{O}_U)$ is a ring and $M = H^0(U, T_U)$ is a module over R. That is, if $X \in H^0(U, T_U)$ and $f \in H^0(U, \mathcal{O}_U)$, then $fX \in H^0(U, T_U)$.

Definition 2.20. Let R be a ring and M be an R-module for $p \ge 1$. The p-th exterior power $\Lambda^p M$ of M is the R-module $M^{\otimes p}$ with the relations

$$m_1 \otimes \cdots \otimes m_p - \epsilon(\sigma) m_{\sigma(1)} \otimes \cdots \otimes m_{\sigma(p)}, \qquad m_1, \ldots, m_p \in M, \qquad \sigma \in \mathcal{S}_p,$$

where $\epsilon(\sigma) = (-1)^m$ is the signature of σ and m is the number of transpositions defining σ . Then $M^* = \operatorname{Hom}_R(M,R)$ is the **dual** of M as an R-module.

Let
$$R = H^0(U, \mathcal{O}_U)$$
 and $M = H^0(U, T_U)$.

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Definition 2.21. Let p > 0. We define a **holomorphic** p-form, as an element of

$$H^0(U, \Omega_U^p) = \Lambda^p M^*.$$

If p = 0, by convention a **holomorphic** 0-form is just an element in R.

Let z_1, \ldots, z_n be coordinates for U. Recall $\eta \in M$ is given by $\eta = \sum_i a_i \frac{\partial}{\partial z_i}$ for holomorphic functions $a_i \in R$. Then $\omega \in M^*$ is given by the expression

$$\sum_{i} b_{i} dz_{i}, \qquad b_{i} \in R, \qquad dz_{i} \left(\frac{\partial}{\partial z_{j}} \right) = \delta_{ij}.$$

More in general $\omega \in H^0(U, \Omega_U^p)$ is given by

$$\omega = \sum_{|I|=p} f_I dz_{i_1} \wedge \cdots \wedge dz_{i_p}, \qquad f_I \in R, \qquad I = (i_1, \dots, i_p), \qquad i_1 < \dots < i_p,$$

where $dz_{i_1}, \ldots, dz_{i_p}$ is an R-basis of $H^0(U, \Omega_U^p)$.

Example.

$$\mathrm{H}^{0}\left(U,\Omega_{U}^{p}\right)\cong\Lambda^{p}\mathrm{H}^{0}\left(U,\Omega_{U}^{1}\right)$$

is an isomorphism as R-modules. This is not true for complex manifolds in general.

The exterior product is

$$\begin{array}{cccc} \mathbf{H}^{0}\left(U,\Omega_{U}^{p}\right) \otimes \mathbf{H}^{0}\left(U,\Omega_{U}^{q}\right) & \longrightarrow & \mathbf{H}^{0}\left(U,\Omega_{U}^{p+q}\right) \\ \omega_{1} \otimes \omega_{2} & \longmapsto & \omega_{1} \wedge \omega_{2} \end{array},$$

where we just need to define

$$\omega_1 \wedge \omega_2 = f dz_{i_1} \wedge dz_{i_n} \otimes g dz_{j_1} \wedge dz_{j_n} = f g dz_{i_1} \wedge \cdots \wedge dz_{i_n} \wedge dz_{j_1} \wedge \cdots \wedge dz_{j_n}$$

by linearity. Then $\omega_1 \wedge \omega_2 = 0$ if $\{i_1, \dots, i_p\} \cap \{j_1, \dots, j_q\} \neq \emptyset$, since $dz_i \wedge dz_i = 0$.

Exercise. Check that this definition coincides with the definition in M4P54.

The exterior derivative is

$$\begin{array}{cccc} \mathrm{d} & : & \mathrm{H}^0\left(U,\Omega_U^p\right) & \longrightarrow & \mathrm{H}^0\left(U,\Omega_U^{p+1}\right) \\ & & f \mathrm{d} z_{i_1} \wedge \cdots \wedge \mathrm{d} z_{i_p} & \longmapsto & \sum_{j=1}^n \frac{\partial f}{\partial z_j} \, \mathrm{d} z_j \wedge \mathrm{d} z_{i_1} \wedge \cdots \wedge \mathrm{d} z_{i_p} \end{array}.$$

By definition d is \mathbb{C} -linear, but not R-linear. That is,

$$d(a\omega_1 + b\omega_2) = ad\omega_1 + bd\omega_2, \qquad \omega_1, \omega_2 \in H^0(U, \Omega_U^p), \qquad a, b \in \mathbb{C}.$$

Theorem 2.22. Let $U \subset \mathbb{C}^n$ be open. Then

• the Leibnitz rule

$$d(\omega_1 \wedge \omega_2) = d\omega_1 \wedge \omega_2 + (-1)^p \omega_1 \wedge d\omega_2, \qquad \omega_1 \in H^0(U, \Omega_U^p), \qquad \omega_2 \in H^0(U, \Omega_U^q),$$

• $d^2 = 0$, that is

$$\mathrm{d}\left(\mathrm{d}\omega\right)=0,\qquad\omega\in\mathrm{H}^{0}\left(U,\Omega_{U}^{p}\right).$$

Definition 2.23. Let $f: U \subset \mathbb{C}^n \to \mathbb{C}^m$ be holomorphic, let $f_i = p_i \circ f: V \to \mathbb{C}$ where $p_i: \mathbb{C}^m \to \mathbb{C}$ is the *i*-th projection, and let $f(U) \subset V \subset \mathbb{C}^m$ be open. Then if

$$\omega = h dz_{i_1} \wedge \cdots \wedge dz_{i_p} \in H^0(V, \Omega_V^p), \qquad h \in H^0(U, \mathcal{O}_U),$$

then we can define the **pull-back** of ω ,

$$f^*(\omega) = h \circ f df_{i_1} \wedge \cdots \wedge df_{i_p} \in H^0(U, \Omega_U^p),$$

since $f_i \in H^0(V, \mathcal{O}_V) = H^0(V, \Omega_V^0)$ implies that $df_i \in H^0(V, \Omega_V^1)$, so

$$U \xrightarrow{f} f(U) \subset V$$

$$h \circ f \in \mathcal{H}^{0}(U, \mathcal{O}_{U}) \qquad \qquad \downarrow h$$

$$\mathbb{C}$$

This is linear over \mathbb{C} and over $H^0(U, \mathcal{O}_U)$.

Proposition 2.24. Let $U \subset \mathbb{C}^n$, $V \subset \mathbb{C}^m$, and $W \subset \mathbb{C}^{m'}$ be open, let $f: U \to \mathbb{C}^m$ and $g: V \to \mathbb{C}^{m'}$ be holomorphic such that $V \supset f(U)$ and $W \supset g(V)$, and let $\omega \in H^0(V, \Omega_V^p)$ and $\eta \in H^0(V, \Omega_V^q)$. Then

- $f^*(\omega + \eta) = f^*(\omega) + f^*(\eta)$ if p = q,
- $f^*(\omega \wedge \eta) = f^*(\omega) \wedge f^*(\eta)$,
- $\mathrm{d}f^*(\omega) = f^*(\mathrm{d}\omega)$, and
- $f^*(g^*(\omega)) = (g \circ f)^*(\omega)$.

Let $U \subset \mathbb{C}^n \cong \mathbb{R}^{2n}$, and let $z_i = x_i + iy_i$ for i = 1, ..., n and $x_i, y_i \in \mathbb{R}$. Then

$$\mathrm{d}z_i = \mathrm{d}x_i + i\mathrm{d}y_i,$$

so any holomorphic form is a differentiable form on \mathbb{R}^{2n} . A (p,q)-form is a differentiable (p+q)-form of the expression

$$\omega = \sum_{|I|=p, |J|=q} f_{I,J} dz_{i_1} \wedge \cdots \wedge dz_{i_p} \wedge d\overline{z_{j_1}} \wedge \cdots \wedge d\overline{z_{j_q}}, \qquad d\overline{z_j} = dx_j - idy_j, \qquad f_{I,J} : U \to \mathbb{C} \cong \mathbb{R}^2 \in \mathbb{C}^{\infty}.$$

We denote

$$C^{\infty}(U, \Omega_U^{p,q}) = \{\text{differentiable } (p+q) \text{-forms on } U\}.$$

If ω is a (p,q)-form, then the **conjugate** $\overline{\omega}$ of ω is the (q,p)-form defined by

$$\overline{\omega} = \sum_{|I|=p, \, |J|=q} \overline{f_{I,J}} d\overline{z_{i_1}} \wedge \cdots \wedge d\overline{z_{i_p}} \wedge dz_{j_1} \wedge \cdots \wedge dz_{j_q}.$$

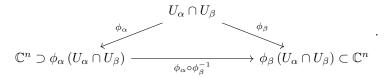
3 Complex manifolds

3.1 Objects

Definition 3.1. A complex manifold of dimension n is a connected Hausdorff topological space X, with a countable open cover $\{U_{\alpha}\}$ of X such that for all α , there exists $\phi_{\alpha}: U_{\alpha} \to \mathbb{C}^n$ such that $\phi_{\alpha}: U_{\alpha} \to \phi_{\alpha}(U_{\alpha})$ is a homeomorphism and

$$\phi_{\alpha} \circ \phi_{\beta}^{-1} : \phi_{\beta} \left(U_{\alpha} \cap U_{\beta} \right) \to \phi_{\alpha} \left(U_{\alpha} \cap U_{\beta} \right)$$

is a biholomorphism for each α and β , so



The pair $(U_{\alpha}, \phi_{\alpha})$ is called a **holomorphic chart**. The set $\{(U_{\alpha}, \phi_{\alpha})\}$ is called a **holomorphic atlas** or a **complex structure**.

Recall X is Hausdorff if for all $x, y \in X$ there exist U and V open in X such that $U \cap V = \emptyset$ and $x \in U$ and $y \in V$.

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Example 3.2.

• If $U \subset \mathbb{C}^n$ is an open set then U is a complex manifold. More in general if X is a complex manifold and $U \subset X$ is open then U is a complex manifold. Let $\{(U_\alpha, \phi_\alpha)\}$ be a complex structure on M. Then

$$\left\{ \left(\overline{U_{\alpha}}, \overline{\phi_{\alpha}} \right) \right\} = \left\{ \left(U_{\alpha} \cap U, \phi_{\alpha} |_{\overline{U_{\alpha}}} \right) \right\}$$

is a complex structure of M.

• If X and Y are complex manifolds, then $X \times Y$ is a complex manifold.

Example 3.3. The projective space $\mathbb{P}^n_{\mathbb{C}}$ or \mathbb{CP}^n . Let $V^* = \mathbb{C}^{n+1} \setminus \{0\}$, with coordinates (z_0, \ldots, z_n) . Define an equivalence on V^* as

$$v_1 \sim v_2 \iff \exists \lambda \in \mathbb{C}, \ v_1 = \lambda v_2.$$

Check that \sim is an equivalence. Consider the Euclidean topology on V^* . Then there exists an induced topology on $X = V^* / \sim = \{[v] \mid v \in V^*\}$, with quotient map

Given $v=(z_0,\ldots,z_n)\in V^*$ we denote $[v]=[z_0,\ldots,z_n]$ such that $z_i\neq 0$ for some i. Two elements $[x_0,\ldots,x_n]$ and $[y_0,\ldots,y_n]$ of X define the same point if and only if there exists λ such that $x_i=\lambda y_i$ for all i. Let

$$V_i = \{(z_0, \dots, z_n) \in V^* \mid z_i \neq 0\},\$$

which is open in V^* , and let $U_i = q(V_i)$, which is open in X, such that $\{U_i\}$ is a cover of X, that is $\bigcup_i U_i = X$. Let

$$H_i = \{(z_0, \dots, z_n) \in V^* \mid z_i = 1\}.$$

Then there exists a homeomorphism

$$r_i: H_i \longrightarrow \mathbb{C}^n$$

 $(z_0,\ldots,z_n) \longmapsto [z_0,\ldots,z_{i-1},z_{i+1},\ldots,z_n],$

and let

$$q_i = q|_{H_i} : \begin{array}{ccc} H_i \subset V^* & \longrightarrow & U_i \subset X \\ (z_0, \dots, z_n) & \longmapsto & [z_0, \dots, z_n] \end{array}$$

be also a homeomorphism.

• q_i is surjective. Take $[x_0, \ldots, x_n] \in U_i$. Then $x_i \neq 0$, so choose $\lambda = 1/x_i$. Then

$$[x_0, \dots, x_n] = \left[\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}\right] = q(z_0, \dots, z_n), \qquad z_j = \frac{x_j}{x_i},$$

and in particular $z_i = 1$, so there exists $(z_0, \ldots, z_n) \in H_i$ such that $q_i(z_0, \ldots, z_n) = [x_0, \ldots, x_n]$.

• q_i is injective. ¹

For all $i, q_i^{-1}: U_i \to H_i$ and $r_i: H_i \to \mathbb{C}^n$ are homeomorphisms, so $\phi_i = r_i \circ q_i^{-1}: U_i \to \mathbb{C}^n$ is also a homeomorphism. We want to show that (U_i, ϕ_i) define a holomorphic atlas, so

$$\phi_i \circ \phi_j^{-1} : \phi_j (U_i \cap U_j) \to \phi_i (U_i \cap U_j)$$

is a biholomorphism. Consider the case j=0 and i=1. Then $\phi_0\left(U_0\cap U_1\right)=\{(x_1,\ldots,x_n)\mid x_1\neq 0\}$, so

$$\phi_1 \circ \phi_0^{-1} : \phi_0 (U_0 \cap U_1) \longrightarrow \phi_1 (U_0 \cap U_1)$$
$$(x_1, \dots, x_n) \longmapsto \left(1, \frac{x_2}{x_1}, \dots, \frac{x_n}{x_1}\right)$$

is a biholomorphism. Thus X is a compact complex manifold. If n=1, then $\mathbb{P}^1_{\mathbb{C}}\cong S^2$.

Example 3.4. The complex torus. Let

$$\Lambda = \mathbb{Z}^{2n} \longrightarrow \mathbb{C}^n
(a_1, \dots, a_n, b_1, \dots, b_n) \longmapsto (a_1 + ib_1, \dots, a_n + ib_n) .$$

Define an equivalence on \mathbb{C}^n by

$$v_1 \sim v_2 \iff v_1 - v_2 \in \Lambda.$$

Then $X=\mathbb{C}^n/\sim$ with quotient map $q:\mathbb{C}^n\to X$ is Hausdorff and compact. Topologically $X\cong [0,1]^{2n}/\sim$. For each $x\in\mathbb{C}^n$, we can find an open set $x\in U\subset\mathbb{C}^n$ such that $q|_U:U\to X$ is a homeomorphism. The idea is if $x\in (0,1)^{2n}$ then we can take $U=(0,1)^{2n}$. If not, there exists a translation of $\mathbb{C}^n\to\mathbb{C}^n$ such that the property holds. We define

$$\phi_V = q|_U^{-1} : V \subset \mathbb{C}^n/\Lambda \to U \subset \mathbb{C}^n, \qquad V = q(U).$$

Show that (V, ϕ_V) define a complex structure on X. ² This is also a compact complex manifold. More in general \mathbb{C}^n/Λ where $\Lambda \cong \mathbb{Z}^{2n}$ is a lattice is a compact complex manifold.

3.2 Morphisms

Definition 3.5. Let $f: X \to Y$ be a continuous morphism between complex manifolds. Then f is **holomorphic** if there exists a complex structure $\{(U_{\alpha}, \phi_{\alpha})\}$ on Y and for all $y \in Y$ there exists a holomorphic chart $(V_{\alpha}, \psi_{\alpha})$ such that $x \in V_{\alpha}$ and $f(V_{\alpha}) \subset U_{\alpha}$ around any point x of $f^{-1}(y)$ and $\phi_{\alpha} \circ f \circ \psi_{\alpha}^{-1}$ is holomorphic, so

$$\begin{array}{ccc} X\supset V_{\alpha} & \stackrel{f}{\longrightarrow} U_{\alpha}\subset Y \\ \psi_{\alpha} & & & \downarrow \phi_{\alpha} \\ \psi_{\alpha}\left(V_{\alpha}\right) & \stackrel{f}{\longrightarrow} \phi_{\alpha}\left(U_{\alpha}\right) \end{array}.$$

Then $J_f = J_{\widetilde{f}}$, and a holomorphic function on X is a holomorphic function $f: X \to \mathbb{C}$.

Exercise 3.6. If X is a compact complex manifold then any holomorphic function $f: X \to \mathbb{C}$ is constant.

¹Exercise

 $^{^2}$ Exercise

Definition 3.7. Let $f: X \to Y$ be a holomorphic function between complex manifolds. Then f is

- a submersion if dim $Y \ge \dim Y = r$ and $\operatorname{rk} J_f = r$ at any point,
- an **immersion** if $r = \dim X \leq \dim Y$ and $\operatorname{rk} J_f = r$ at any point, and
- an **embedding** if it is an immersion and $f: X \to f(X)$ is a homeomorphism.

Example 3.8. Let $f_2, \ldots, f_n : \mathbb{C} \to \mathbb{C}$ be holomorphic, and let

$$f : \mathbb{C} \longrightarrow \mathbb{C}^{n}$$

$$z \longmapsto (z, f_{2}(z), \dots, f_{n}(z)) .$$

Then f is an embedding.

Example 3.9. Let $X = \mathbb{C}^2/\Lambda$ for $\Lambda = \mathbb{Z}^4 \subset \mathbb{C}^2$, and let $q : \mathbb{C}^2 \to X$. Fix $\lambda \in \mathbb{C}$. Let

$$\begin{array}{cccc} f & : & \mathbb{C} & \longrightarrow & \mathbb{C}^2 \\ & z & \longmapsto & (z, \lambda z) \end{array}.$$

Then $\widetilde{f} = q \circ f : \mathbb{C} \to X$ is an immersion.

- If $\lambda = 0$ or $\lambda = \frac{1}{2}$, then $\widetilde{f}(\mathbb{C})$ is a closed submanifold.
- If λ is general then $\widetilde{f}(\mathbb{C})$ is dense inside X, so it is not closed. Thus it is not a complex submanifold of X.

Definition 3.10. Let $i: X \to Y$ be an embedding of complex manifolds. If $i(X) \subset Y$ is closed then i(X) is called a **complex submanifold** of Y. The **codimension** of X in Y is dim $Y - \dim X$.

Theorem 3.11.

- 1. Let $i: X \to Y$ be a submanifold of codimension k, and let $n = \dim X$. Then for all $x \in X$, there exists an open neighbourhood $x \in U \subset Y$ and a submersion $f: U \to D(0,1)^k \subset \mathbb{C}^k$ such that $X \cap U = f^{-1}(0)$.
- 2. If $X \subset Y$ is a closed subset such that for all $x \in X$ there exists $U \ni x$ open in Y and a submersion $f: U \to D(0,1)^k$ such that $X \cap U = f^{-1}(0)$, then X is a complex submanifold.

Proof.

1. We can assume that if there exists a holomorphic chart (U, ψ) on Y such that $x \in U$ and if $V = i^{-1}(U)$ then there exists $\phi: V \to \mathbb{C}^n$ such that (V, ϕ) is a holomorphic chart on X. After possibly shrinking U smaller, by the rank theorem, there exist biholomorphic $a: \psi(U) \to D(0,1)^{n+k}$ and $b: \phi(U) \to D(0,1)^n$ such that the induced morphism is given by

$$\begin{array}{ccc} \mathrm{D}\left(0,1\right)^{n} & \longrightarrow & \mathrm{D}\left(0,1\right)^{n+k} \\ (z_{1},\ldots,z_{n}) & \longmapsto & (z_{1},\ldots,z_{n},0,\ldots,0) \end{array}.$$

Let

$$c: D(0,1)^{n+k} \longrightarrow D(0,1)^{k} (z_1,\ldots,z_{n+k}) \longmapsto (z_{n+1},\ldots,z_{n+k}),$$

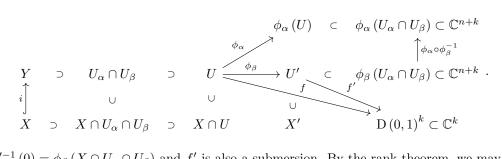
so

Then f is the composition $c \circ a \circ \psi : U \to D(0,1)^n$.

2. Let $\{(U_{\alpha}, \phi_{\alpha})\}$ be a complex structure on Y, and let $V_{\alpha} = X \cap U_{\alpha}$ and $\psi_{\alpha} = \phi_{\alpha}|_{V_{\alpha}}$. The goal is to show that $\{(V_{\alpha}, \psi_{\alpha})\}$ defines a complex structure on X. By assumption,

$$\phi_{\alpha} \circ \phi_{\beta}^{-1} : \phi_{\beta} \left(U_{\alpha} \cap U_{\beta} \right) \subset \mathbb{C}^{n+k} \to \phi_{\alpha} \left(U_{\alpha} \cap U_{\beta} \right) \subset \mathbb{C}^{n+k}$$

is biholomorphic. Let $U' = \phi_{\beta}(U)$, let $X' = \phi_{\beta}(X \cap U)$, and let $f' = f \circ \phi_{\beta}^{-1}$, so



Then $f'^{-1}(0) = \phi_{\beta}(X \cap U_{\alpha} \cap U_{\beta})$ and f' is also a submersion. By the rank theorem, we may assume that $U' = D(0,1)^{n+k}$ and $f'(z_1,\ldots,z_{n+k}) = (z_1,\ldots,z_k)$, so $\phi_{\beta}(X' \cap U_{\alpha} \cap U_{\beta}) = f'^{-1}(0)$. Thus

$$\left(\psi_{\alpha} \circ \psi_{\beta}^{-1}\right)(z_1, \dots, z_n) = \left(\phi_{\alpha} \circ \phi_{\beta}^{-1}\right)(z_1, \dots, z_n, 0, \dots, 0)$$

is also a biholomorphism.

Example 3.12. Let $U \subset \mathbb{C}^n$ be open, let $k \leq n$, let $f_1, \ldots, f_k : U \to \mathbb{C}$, and let

$$V = \left\{ x \in \mathbb{C}^n \mid f_1(x) = \dots = f_k(x) = 0 \right\}.$$

Assume that $\left(\frac{\partial f_i}{\partial z_j}\right)$ has maximal rank k at any point of U. Then V is a complex submanifold of U. The idea is if $f=(f_1,\ldots,f_k):U\to\mathbb{C}^k$, then f is a submersion around any point of V, and use the previous Theorem 3.11.

Example 3.13. Let $f: X \to Y$ be a holomorphism between complex manifolds, and let $W \subset X$ be a submanifold. Then $f|_W: W \to Y$ is holomorphic.

Exercise 3.14. Let $X = \mathbb{C}^n$. Show that all the compact submanifolds of X are zero-dimensional, that is points.

Exercise 3.15. Let X and Y be compact manifolds. Recall that $X \times Y$ is also a complex manifold. Assume $f: X \to Y$, so

$$\Gamma_f = \{(x, f(x)) \mid x \in X\} \subset X \times Y.$$

Show that Γ_f is a complex submanifold.

Example 3.16. Let n, m > 0, and let

$$\operatorname{Mat}_{n,m} \mathbb{C} = \{(n \times m) \text{-matrices}\} \cong \mathbb{C}^{n \cdot m}.$$

Then $\operatorname{Mat}_{n,m} \mathbb{C}$ is a complex manifold. Let

$$\operatorname{GL}_n \mathbb{C} = \{(n \times n) \text{-matrices } A \mid A \text{ invertible} \}.$$

Then $\operatorname{GL}_n\mathbb{C}$ is a complex manifold, open in $\operatorname{Mat}_{n,n}\mathbb{C}$.

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Example 3.17. Projective manifolds. Let $R = \mathbb{C}[x_0, \ldots, x_n]$ be the ring of polynomials, and let $X = \mathbb{P}^n_{\mathbb{C}}$ be the complex projective space. Then $f \in R$ is homogeneous of degree d if $f(\lambda x) = \lambda^d f(x)$. Let $q : \mathbb{C}^{n+1} \setminus \{0\} \to \mathbb{P}^n_{\mathbb{C}}$, let F_1, \ldots, F_k be homogeneous polynomials in R, and let

$$V = \{F_1 = \dots = F_k = 0\} \subset \mathbb{C}^{n+1} \setminus \{0\}, \qquad W = q(V) \subset \mathbb{P}^n_{\mathbb{C}},$$

so $q^{-1}(W) = V$, because F_i are homogeneous. Since V is closed in $\mathbb{C}^{n+1} \setminus \{0\}$, W is closed in $\mathbb{P}^n_{\mathbb{C}}$. Claim that if V is a submanifold of $\mathbb{C}^{n+1} \setminus \{0\}$ then W is a compact submanifold of $\mathbb{P}^n_{\mathbb{C}}$. If $\{U_i\}$ is the open covering given by

$$U_i = \{ [x_0, \dots, x_n] \mid x_i \neq 0 \},\$$

then it is enough to show that $W \cap U_i$ is a complex submanifold of U_i for all i. Assume i = n. Let $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$. Then $q(x) = \mathbb{C}^*$ for all $x \in X$ but $\mathbb{P}^n_{\mathbb{C}} \times \mathbb{C}^* \neq \mathbb{C}^{n+1} \setminus \{0\}$. We want to show there exists a biholomorphism

$$\phi_n : U_n \times \mathbb{C}^* \longrightarrow q^{-1}(U_n) = \left\{ (x_0, \dots, x_n) \in \mathbb{C}^{n+1} \mid x_n \neq 0 \right\}$$

$$\left(\left[x_0, \dots, x_n \right], t \right) \longmapsto \left(\frac{tx_0}{x_n}, \dots, \frac{tx_{n-1}}{x_n}, t \right)$$

such that

$$\begin{array}{cccc} \phi_n^{-1} & : & q^{-1}\left(U_n\right) & \longrightarrow & U_n \times \mathbb{C}^* \\ & & \left(y_0, \dots, y_n\right) & \longmapsto & \left(q\left(y_0, \dots, y_n\right), y_n\right) = \left(\left[y_0, \dots, y_n\right], y_n\right) \end{array}.$$

From this, it follows that $V \cap q^{-1}(U_n) \cong (W \cap U_n) \times \mathbb{C}^*$, so the claim follows.

Example 3.18. Plane curves. Let $X = \mathbb{P}^2_{\mathbb{C}}$, let $F \in R[x_0, x_1, x_2]$ be homogeneous of degree d, and let $W = \{F = 0\} \subset \mathbb{P}^2_{\mathbb{C}}$. Then W is a compact complex submanifold if and only if for all $x \in \mathbb{P}^2_{\mathbb{C}}$, $\partial_{x_i} F(x) \neq 0$ for some i.

- d=1. W is the projective line, so $F=ax_0+bx_1+cx_2$ for a,b,c not all zero. Then W is a complex submanifold. There exists a biholomorphism $\mathbb{P}^1_{\mathbb{C}} \to W$.
- d=2. W is a conic, so F is a degree two polynomial. Then $F=x_0x_1$ does not define a manifold. If $F=x_0x_1-x_2^2$, then W is a complex submanifold of X. There exists

$$\begin{array}{ccc} \mathbb{P}^1_{\mathbb{C}} & \longrightarrow & W \subset X \\ [t_0,t_1] & \longmapsto & \left[t_0^2,t_1^2,t_0t_1\right] \end{array} .$$

Check that it is a biholomorphism. 3 This is true for any f of degree two such that W is a complex submanifold.

 $d \geq 3$. If W is a complex submanifold then we will show that W is not biholomorphic to $\mathbb{P}^1_{\mathbb{C}}$.

Definition 3.19. Let X be a complex manifold of dimension n, and let $x \in X$. Then there exists a chart (U, ϕ) around x such that $\phi(U) \subset \mathbb{C}^n$. The **holomorphic tangent space** $T_x X$ of X at x, is the vector space over \mathbb{C} generated by

$$\left(\frac{\partial}{\partial z_1}, \dots, \frac{\partial}{\partial z_n}\right).$$

Let X be a real manifold. The **real tangent space** $T_x^{\mathbb{R}}X$ is the vector space over \mathbb{R} defined by

$$\left(\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n}, \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_n}\right),\,$$

where $(x_1, \ldots, x_n, y_1, \ldots, y_n)$ are coordinates of \mathbb{R}^{2n} . The **complex tangent space** $T_x^{\mathbb{R}}X$ is the vector space over \mathbb{C} generated by

$$\left(\frac{\partial}{\partial z_1},\dots,\frac{\partial}{\partial z_n},\frac{\partial}{\partial \overline{z_1}},\dots,\frac{\partial}{\partial \overline{z_n}}\right),$$

a 2n-dimensional vector space over \mathbb{C} . Then $T_x^{\mathbb{C}}X = T_x^{\mathbb{R}}X \otimes_{\mathbb{R}} \mathbb{C}$.

 $^{^3}$ Exercise

3.3 Holomorphic forms on complex manifolds

Definition 3.20. Let X be a complex manifold of dimension n. Let $\{(U_{\alpha}, \phi_{\alpha})\}$ be a complex structure on X. A **holomorphic** p-form on X is the data ω_{α} , the p-forms on $\phi_{\alpha}(U_{\alpha}) \subset \mathbb{C}^{n}$ such that if

$$h_{\alpha\beta} = \phi_{\alpha} \circ \phi_{\beta}^{-1} : \phi_{\beta} (U_{\alpha} \cap U_{\beta}) \to \phi_{\alpha} (U_{\alpha} \cap U_{\beta}),$$

then $h_{\alpha\beta}^{*}\left(\omega_{\beta}\right)=\omega_{\alpha}$ for all α and β .