# M4P63 Algebra IV

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Syllabus

M4P63 Algebra IV Contents

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## 1 Modules over a ring

Let R be an **associative ring with unity**, that is an abelian group written additively with a multiplication which is associative but not necessarily commutative, with an identity 1 and distributive laws a(b+c) = ab + ac and (a+b)c = ac + bc. Then

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$$R^* = \{ r \in R \mid \exists s \in R, \ rs = 1 = sr \}$$

is the unit group of R. If  $R^* = R \setminus \{0\}$  then R is a **division ring**, or a **skew field**. In the case that R is commutative, R is a **field**.

#### Example.

- Fields  $\mathbb{C}$ ,  $\mathbb{R}$ ,  $\mathbb{Q}$ , and  $\mathbb{F}_a$ , the field with  $q=p^a$  elements with p a prime and  $a\geq 1$ .
- Skew fields  $\mathbb{H} = \{a+bi+cj+dk \mid a,b,c,d \in \mathbb{R}\}$  where  $i^2=j^2=k^2=ijk=-1$ .
- Other rings are polynomial rings k[x] for k a field, more generally  $k[x_1, \ldots, x_p]$ , and  $\operatorname{Mat}_n k$ , the  $n \times n$  matrices with entries from k, a field.

## 1.1 Modules over rings

**Definition 1.1.** Let R be a ring. A **left** R-module is an abelian group M, written additively, together with a function  $*: R \times M \to M$  satisfying

$$r*(m_1+m_2) = r*m_1+r*m_2, \qquad (r_1+r_2)*m = r_1*m+r_2*m, \qquad (r_1r_2)*m = r_1*(r_2*m), \qquad 1*m = m.$$

We write rm for r \* m.

#### Example.

- R is itself a left R-module, with \* as ring multiplication. More generally, let I be a left ideal of R, so I is an additive subgroup, and  $rI \leq I$  for all  $r \in R$ . Then I is an R-module with \* as ring multiplication.
- Let k be a field. Then any vector space over k is a k-module, and vice versa.
- Any abelian group is a  $\mathbb{Z}$ -module, with \* defined by  $na = a + \cdots + a$  for  $n \in \mathbb{Z}^+$  and  $a \in A$ , and (-n)a = -(na).
- Let k be a field. Let  $k^n$  be column vectors. Then  $k^n$  is a left  $\operatorname{Mat}_n k$ -module, with \* as the usual matrix-vector multiplication.
- Let  $M \in \operatorname{Mat}_n k$ . Then we can define a left k[x]-module structure on  $k^*$  by letting x act as M on  $k^*$ . So  $(x^2 + 3x - 2) * v = M^2v + 3Mv - 2v$ .
- Let G be a group. Any representation of G over the field k is a left module for k[G], the **group** algebra, a vector space over k with elements of G as a basis, with multiplication derived from that of G.

**Definition 1.2.** A **right** R**-module** is defined similarly, with the R-multiplication on the right, so M an abelian group under +, and a map  $M \times R \to M$  satisfying

$$(m_1 + m_2) * r = m_1 * r + m_2 * r,$$
  $m * (r_1 + r_2) = m * r_1 + m * r_2,$   $m * (r_1 r_2) = (m * r_1) * r_2,$   $m * 1 = m_1 * r_2$ 

Left and right modules are not quite the same. If we amend this definition by putting the ring multiplication on the left, the third axiom becomes  $(r_1r_2) m = r_2 (r_1m)$ . But in a left module, we have  $(r_1r_2) m = r_1 (r_2m)$ .

**Definition 1.3.** Let R be a ring. The opposite ring  $R^{\text{op}}$  is R with a redefined multiplication  $r*_{R^{\text{op}}}s = s*_{R}r$ .

It is easy to see that a left R-module is the same as a right  $R^{\text{op}}$ -module and vice versa. If R is commutative then  $R = R^{\text{op}}$ .

**Exercise.** Show that  $\operatorname{Mat}_n k \cong \operatorname{Mat}_n k^{\operatorname{op}}$ .

Except where otherwise stated, R-modules are assumed to be left R-modules.

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## 1.2 Homomorphisms and submodules

**Definition 1.4.** Let  $M_1$  and  $M_2$  be R-modules. A map  $f: M_1 \to M_2$  is an R-module homomorphism if

- $\bullet$  f is a group homomorphism, with respect to the + operation, and
- f(rm) = rf(m), for  $r \in R$  and  $m \in M$ .

If f is bijective, then it is an R-module isomorphism.

**Definition 1.5.** An additive subgroup  $L \leq M$  is a **submodule** if  $rL \leq L$  for  $r \in R$ . In this case we automatically get an R-module structure on the quotient M/L with multiplication given by r(m+L) = rm + L.

**Theorem 1.6** (First isomorphism theorem). Let  $f: M_1 \to M_2$  be an R-module homomorphism. Then

$$\operatorname{Im} f \leq M_2$$
,  $\operatorname{Ker} f \leq M_1$ ,  $\operatorname{Im} f \cong M/\operatorname{Ker} f$ .

The other isomorphism theorems have R-module versions too.

## 1.3 Direct products and direct sums

Let S be a set. We have a collection of R-modules  $(M_s)_S$  indexed by S.

**Definition 1.7.** The direct product is

$$\prod_{s \in S} M_s = \left\{ (m_s)_S \mid m_s \in M_s \right\},\,$$

with coordinate-wise addition and R-multiplication, so

$$(m_s)_S + (n_s)_S = (m_s + n_s)_S$$
,  $r(m_s)_S = (rm_s)_S$ .

If  $M_s = M$  for all  $s \in S$ , then we write  $M^S$  for  $\prod_{s \in S} M_s$ .

**Definition 1.8.** The direct sum is

$$\bigoplus_{s \in S} M_s = \{(m_s)_S \mid \text{all but finitely many coordinates } m_s \text{ are zero}\} \leq \prod_{s \in S} M_s.$$

If S is finite then the direct product and the direct sum are equal.

**Example.** Let  $M = \mathbb{Z}_2$ , as a  $\mathbb{Z}$ -module, and let  $S = \mathbb{N}$ . Then  $\bigoplus_{s \in \mathbb{N}} \mathbb{Z}_2$  is a countable  $\mathbb{Z}$ -module but  $\prod_{s \in \mathbb{N}} \mathbb{Z}_2 = \mathbb{Z}_2^{\mathbb{N}}$  is uncountable.

When |S| = 2, generally we write  $M_1 \oplus M_2$  for the direct sum or product. There are natural injective maps

$$\iota_A:A\longrightarrow A\oplus B,\qquad \iota_B:B\longrightarrow A\oplus B, \\ a\longmapsto (a,0),\qquad b\longmapsto (0,b),$$

and surjective maps

#### 1.4 Exact sequences

**Definition 1.9.** Suppose we have a sequence of *R*-modules

$$\dots \xrightarrow{f_{n-1}} M_n \xrightarrow{f_n} M_{n+1} \xrightarrow{f_{n+1}} \dots,$$

with maps  $f_n: M_n \to M_{n+1}$ . Say the sequence is **exact at**  $M_n$  if

$$\operatorname{Im} f_{n-1} = \operatorname{Ker} f_n$$
.

The sequence is exact if it is exact everywhere. A short exact sequence is an exact sequence

$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0.$$

**Note.**  $\alpha$  is injective and  $\beta$  is surjective.

The first isomorphism theorem implies that  $B/\operatorname{Im}\alpha\cong C$ , where  $\operatorname{Im}\alpha\cong A$ . An easy case is

$$B \cong A \oplus C$$
,

with Im  $\alpha = \text{Im } \iota_A = A \oplus 0$  and Im  $\beta = \text{Im } \pi_\beta = C$ . We say that the short exact sequence splits in this case.

**Example.** A non-split short exact sequence of  $\mathbb{Z}$ -modules, or abelian groups, is

$$0 \to \mathbb{Z}/2\mathbb{Z} \to \mathbb{Z}/4\mathbb{Z} \to \mathbb{Z}/2\mathbb{Z} \to 0.$$

Proposition 1.10. A short exact sequence

$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$

is split if and only if there exists an R-module homomorphism  $\sigma: C \to B$  such that  $\beta \circ \sigma = \mathrm{id}_C$ . Such a  $\sigma$  is called a **section** of  $\beta$ .

Proof.

- $\implies$  Suppose that the short exact sequence is split. So assume  $B=A\oplus C$ , with  $\alpha=\iota_A$  and  $\beta=\pi_C$ . Now  $\iota_C$  is a section for  $\beta$ .
- $\Leftarrow$  For the converse, suppose that  $\sigma$  is a section for  $\beta$ . We want  $f: A \oplus C \xrightarrow{\sim} B$  such that  $f \circ \iota_A = \alpha$  and  $\beta \circ f = \pi_C$ , so

$$0 \longrightarrow A \xrightarrow{\iota_A} A \oplus C \xrightarrow{\pi_C} C \longrightarrow 0$$

Define

$$\begin{array}{ccc} f & : & A \times C & \longrightarrow & B \\ & (a,c) & \longmapsto & \alpha(a) + \sigma(c) \end{array}.$$

Need to check the following.

- -f is an R-module homomorphism. <sup>1</sup>
- f is injective. Suppose f(a,c)=0. Then  $\alpha(a)+\sigma(c)=0$ . Now  $\alpha(a)\in\operatorname{Im}\alpha=\operatorname{Ker}\beta$ , so  $\beta(\alpha(a)+\sigma(c))=\beta(\sigma(c))=c$ . Since  $\alpha(a)+\sigma(c)=0$ , we have c=0. Hence  $\alpha(a)=0$ , and so a=0 since  $\alpha$  is injective. We have shown that f is injective.
- f is surjective. Let  $b \in B$ . Let  $c = \beta(b)$ . We have  $(\beta \circ \sigma)(c) = c = \beta(b)$ , so  $b \sigma(c) \in \text{Ker } \beta = \text{Im } \alpha$ . So there exists  $a \in A$  with  $\alpha(a) = b \sigma(c)$ . Then  $b = \alpha(a) + \sigma(c) = f(a, c)$ .
- $-f \circ \iota_A = \alpha$  and  $\beta \circ f = \pi_C$ . Immediate from the construction of f.

**Proposition 1.11.** The short exact sequence

$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$

is split if and only if there exists  $\rho: B \to A$  such that  $\rho \circ \alpha = \mathrm{id}_A$ .

Such a  $\rho$  is a **retraction** of  $\alpha$ .

Proof.

- $\implies$  Once again, if the short exact sequence is split then the existence of  $\rho$  is clear.
- $\Leftarrow$  Suppose that  $\rho$  is a retraction for  $\alpha$ . We define  $f: B \xrightarrow{\sim} A \oplus C$  such that  $f \circ \alpha = \iota_A$  and  $\pi_C \circ f = \beta$ . Do this by

$$g : B \longrightarrow A \oplus C$$

$$b \longmapsto (\rho(a), \beta(c)).$$

<sup>1</sup>Exercise

## 2 Projective and injective modules

## 2.1 Projective modules

**Definition 2.1.** An R-module M is **projective** if any surjective map  $\beta: B \to M$  has a section. In other words, any short exact sequence

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$$0 \to A \to B \to M \to 0$$

splits.

**Example.** The R-module R is projective. Let

$$0 \to A \to B \xrightarrow{\beta} R \to 0$$

be a short exact sequence. Since  $\beta$  is surjective, there exists  $b \in B$  such that  $\beta(b) = 1$ . Now for all  $r \in R$ ,  $\beta(rb) = r$ . Now define

$$\begin{array}{cccc} \sigma & : & R & \longrightarrow & B \\ & r & \longmapsto & rb \end{array}.$$

Then  $\sigma$  is a section for  $\beta$ .

**Proposition 2.2.** An R-module M is projective if and only if whenever  $\beta: B \to C$  is surjective, and  $f: M \to C$ , there exists  $g: M \to B$  such that  $f = \beta \circ g$ , so

$$0 \longrightarrow A \longrightarrow B \xrightarrow{g} \stackrel{M}{\underset{\beta}{\longleftarrow}} C \longrightarrow 0$$

Such a g is called a **lift** of f.

Proof.

- $\Leftarrow$  Suppose that whenever  $\beta: B \to C$  is surjective and  $f: M \to C$  then there exists  $g: M \to B$  with  $f = \beta \circ g$ . Suppose  $\beta: B \to M$  is a surjective map. Define  $f: M \to M$  to be  $\mathrm{id}_M$ . Then there exists  $g: M \to B$  such that  $f = \beta \circ g$ , so  $\mathrm{id}_M = \beta \circ g$ . So g is a section for  $\beta$ , and so M is projective.
- $\implies$  For the converse, suppose  $\beta: B \to C$  is surjective, and  $f: M \to C$ . We construct a module X to complete a commuting square

$$\begin{array}{ccc} X & \stackrel{\epsilon}{\longrightarrow} & M \\ \delta \Big\downarrow & & \Big\downarrow_f \\ B & \stackrel{\beta}{\longrightarrow} & C \end{array}$$

Let X be the submodule of  $B \oplus M$  defined by

$$X = \{(b, m) \mid \beta(b) = f(m)\}.$$

The maps  $\delta$  and  $\epsilon$  are just  $\pi_B$  and  $\pi_M$  respectively, in their restrictions to X. It is clear that  $X \leq B \oplus M$ , and that the square above commutes. Now suppose that M is projective. Since  $\beta$  is surjective, we see that for all  $m \in M$  there exists  $b \in B$  with  $\beta(b) = f(m)$ . It follows that  $\epsilon: X \to M$  is surjective. So  $\epsilon$  has a section  $\sigma: M \to X$ . Define  $g = \delta \circ \sigma: M \to B$ , so

$$X \xrightarrow{\epsilon} M$$

$$\delta \downarrow \qquad \qquad \downarrow f$$

$$B \xrightarrow{\beta} C$$

Since  $\beta \circ \delta = f \circ \epsilon$ , we have

$$(\beta \circ g)(m) = (\beta \circ \delta \circ \sigma)(m) = (f \circ \epsilon \circ \sigma)(m) = (f \circ id_M)(m) = f(m), \quad m \in M.$$

So  $\beta \circ g = f$  as required.

Such an X is the **pullback** of  $\beta$  and f, and there is a short exact sequence

$$0 \to A \to X \to M \to 0$$
.

#### 2.2 Free modules

**Definition 2.3.** An R-module M is free if M is a direct sum of copies of R, so

$$M = \bigoplus_{s \in S} R.$$

A basis for a module M is a set T of elements such that every element  $m \in M$  has a unique expression as

$$m = \sum_{i=1}^{m} r_i t_i, \quad r_i \in R, \quad t_i \in T.$$

If  $M = \bigoplus_{s \in S} R$ , then M has a basis consisting of elements with exactly one coordinate one, and the rest zero. On the other hand, if M has a basis T then it is straightforward to show that  $M \cong \bigoplus_{t \in T} R$ .

**Proposition 2.4.** Let F be a free R-module with basis T. Let M be some R-module, and let  $\psi: T \to M$  be a set map. Then  $\psi$  extends uniquely to an R-module homomorphism  $\psi: F \to M$ .

*Proof.* Each element of F has a unique expression as  $\sum_i r_i t_i$  for  $r_i \in R$  and  $t_i \in T$ . Now define

$$\psi : F \longrightarrow M \\ \sum_{i} r_{i} t_{i} \longmapsto \sum_{i} r_{i} \psi(t_{i}) .$$

It is easy to check that this respects + and R-multiplication.

**Proposition 2.5.** A module M is projective if and only if there exists N such that  $M \oplus N$  is free, so projective modules are direct summands of free modules.

Proof.

 $\implies$  Suppose M is projective. Let F be the free module with basis  $\{b_m \mid m \in M\}$ . Now the map  $b_m \mapsto m$  extends to an R-module homomorphism  $F \to M$ , which is clearly surjective. Then if  $K = \operatorname{Ker} \psi$ , we have a short exact sequence

$$0 \to K \to F \xrightarrow{\psi} M \to 0.$$

Since M is projective, there is a section  $\sigma$  for  $\psi$ , and so the short exact sequence splits, and  $F \cong K \oplus M$ .

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 $\Leftarrow$  Suppose that  $M \oplus N = F$ , a free module with basis T. Suppose  $\beta : B \to C$  is surjective, and that  $f: M \to C$ . Note that  $f \circ \pi_M : F \to C$ . For each  $t \in T$ , let  $b_t \in B$  be such that  $\beta(b_t) = (f \circ \pi_M)(t)$ . The set map

$$egin{array}{ccc} T & \longrightarrow & B \ t & \longmapsto & b_t \end{array}$$

extends to a homomorphism  $\widehat{g}: F \to B$ . Now define  $g: M \to B$  by  $g = \widehat{g} \circ \iota_M$ . We need to show  $f = \beta \circ g$ . Take  $m \in M$ . Then  $\iota_M(m) = (m,0) \in F$  can be written as  $\sum_i r_i t_i$ , where  $t_i \in T$  and  $r_i \in R$ . Applying  $\pi_M$ ,  $m = \sum_i r_i m_{t_i}$ . Then

$$g(m) = (\widehat{g} \circ \iota_M)(m) = \widehat{g}\left(\sum_i r_i t_i\right) = \sum_i r_i b_{t_i}.$$

So

$$\left(\beta \circ g\right)\left(m\right) = \beta\left(\sum_{i} r_{i} b_{t_{i}}\right) = \sum_{i} r_{i} \beta\left(b_{t_{i}}\right) = \sum_{i} r_{i} f\left(m_{t_{i}}\right) = f\left(\sum_{i} r_{i} m_{t_{i}}\right) = f\left(m\right).$$

Hence  $\beta \circ g = f$ . So M is projective.

## 2.3 Injective modules

**Definition 2.6.** Let M be an R-module. Then M is **injective** if whenever  $\alpha: M \to B$  is an injective map, it has a retraction  $\rho: B \to M$ , so  $\rho \circ \alpha = \mathrm{id}_M$ . Equivalently, every short exact sequence

$$0 \to M \to B \to C \to 0$$

splits.

**Example.** Let k be a field. Then k-modules are vector spaces. Every k-module is injective. Suppose M and N are k-vector spaces and  $\alpha: M \to N$  is a injective map. Then  $\operatorname{Im} \alpha$  is a submodule, or subspace, of N. Take a basis for  $\operatorname{Im} \alpha$ , and extend to a basis for N. The basis vectors not in  $\operatorname{Im} \alpha$  form a basis for a complementary subspace U, so  $N = \operatorname{Im} \alpha \oplus U$ . Now  $\pi_{\operatorname{Im} \alpha}$  is surjective, and  $\alpha: M \to \operatorname{Im} \alpha$  is an isomorphism. This gives a retraction  $N \to M$ .

If R is a general ring, the module R need not be injective.

**Example.** Let  $R = \mathbb{Z}$ . Then R-modules are abelian groups. There exists an injective  $\alpha : \mathbb{Z} \to \mathbb{Q}$ . But  $\mathbb{Z}$  is not a quotient of  $\mathbb{Q}$ ,  $^2$  so no retraction exists for  $\alpha$ .

**Proposition 2.7.** An R-module M is injective if and only if whenever  $\alpha: A \to B$  is injective, and  $f: A \to M$ , there exists  $g: B \to M$  such that  $f = g \circ \alpha$ .

Proof.

- $\Leftarrow$  Suppose that whenever  $\alpha:A\to B$  is injective, and  $f:A\to M$ , there exists  $g:B\to M$  such that  $f=g\circ\alpha$ . Suppose that  $\alpha:M\to B$  is injective. We have a map  $M\to M$ , namely  $\mathrm{id}_M$ . There exists  $g:B\to M$  such that  $\mathrm{id}_M=g\circ\alpha$ . So g is a retraction for  $\alpha$ , and so M is injective.
- $\implies$  For the converse, suppose  $\alpha:A\to B$  is injective, and M is an injective module, with  $f:A\to M$ . We define a module Y completing a square

$$A \xrightarrow{\alpha} B$$

$$f \downarrow \qquad \qquad \downarrow_{\delta},$$

$$M \xrightarrow{\epsilon} Y$$

with  $\epsilon \circ f = \delta \circ \alpha$ . Let Y be a quotient of  $B \oplus M$ , by the kernel

$$K = \{ (\alpha(a), -f(a)) \mid a \in A \}.$$

Let  $\gamma: B \oplus M \to (B \oplus M)/K$  be the canonical quotient map. Then we define  $\delta = \gamma \circ \iota_B$  and  $\epsilon = \gamma \circ \iota_M$ . By construction, we have

$$(\epsilon \circ f)(a) = (\gamma \circ \iota_M \circ f)(a) = \gamma(0, f(a)) = (0, f(a)) + K$$
  
=  $(\alpha(a), 0) + K = \gamma(\alpha(a), 0) = (\gamma \circ \iota_B \circ \alpha)(a) = (\delta \circ \alpha)(a).$ 

Hence  $\epsilon \circ f = \delta \circ \alpha$ . Claim that  $\epsilon$  is injective. Suppose  $\epsilon(m) = 0$ . Then  $\iota_M(m) \in K$ , so  $(0, m) = (\alpha(a), -f(a))$  for some  $a \in A$ . But  $\alpha(a) = 0$  implies that a = 0, and so m = -f(0) = 0. Since M is injective,  $\epsilon$  has a retraction  $\rho: Y \to M$ . Define  $g: B \to M$  by  $g = \rho \circ \delta$ , so

$$\begin{array}{ccc}
A & \xrightarrow{\alpha} & B \\
f \downarrow & g & \downarrow \delta, \\
M & & & Y
\end{array}$$

We know that  $(\epsilon \circ f)(a) = (\delta \circ \alpha)(a)$  for all  $a \in A$ . So

$$f(a) = (\mathrm{id}_M \circ f)(a) = (\rho \circ \epsilon \circ f)(a) = (\rho \circ \delta \circ \alpha)(a) = (g \circ \alpha)(a),$$

so  $f = q \circ \alpha$  as required.

<sup>2</sup>Exercise

We know that projectives are direct summands of free modules. We might hope for a dual version of this for injective modules. But there is no straightforward way of doing this.

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**Proposition 2.8** (Baer's criterion for injectivity). Let M be an R-module. Then M is injective if and only if every R-module map  $f: I \to M$ , where I is a left ideal of R, has the form f(x) = xm for some  $m \in M$ . Equivalently, every map  $I \to M$  extends to a map  $R \to M$ .

Why are these two conditions equivalent? If f(x) = xm for  $x \in I$ , then we can extend f to R by f(r) = rm. Conversely, suppose that  $f: I \to M$  extends to  $f^+: R \to M$ . Let  $m = f^+(1)$ . Then for all  $r \in R$ ,  $f^+(r) = rm$ , and so f(x) = xm for  $x \in I$ . The proof requires Zorn's lemma.

**Lemma 2.9** (Zorn's lemma). Let X be a non-empty set, partially ordered by  $\leq$ . If every chain, or totally ordered subset, in X has an upper bound in X, then X has a maximal element.

Proof.

 $\Leftarrow$  Suppose  $\alpha:A\to B$ , where  $\alpha$  is injective. Suppose  $f:A\to M$ . We want to show there exists  $g:B\to M$  such that  $f=g\circ\alpha$ . We have  $\mathrm{Im}\,\alpha\le B$ . Define

$$X = \{(L, h) \mid \operatorname{Im} \alpha \leq L \leq B, \ h : L \to M, \ f = h \circ \alpha\}.$$

Note that  $X \neq \emptyset$  since  $(\operatorname{Im} \alpha, f \circ \alpha^{-1})$  is in it. Define  $\leq$  on X by  $(L_1, h_1) \leq (L_2, h_2)$  if  $L_1 \leq L_2$  and  $h_2$  extends  $h_1$ , so  $h_2|_{L_1} = h_1$ . Suppose  $\{(L_s, h_s) \mid s \in S\}$  is a chain in X. Set  $L = \bigcup_{s \in S} L_s$ . Then  $\operatorname{Im} \alpha \leq L \leq B$ . Define

$$\begin{array}{cccc} h & : & L & \longrightarrow & M \\ & l & \longmapsto & h_s\left(l\right) \end{array} , \qquad l \in L_s.$$

This does not depend on the choice of s. Then (L, h) is an upper bound for the chain  $\{(L_s, h_s) \mid s \in S\}$ . Hence X has a maximal element,  $(L_0, h_0)$ . We want to show that  $L_0 = B$ . Then we may set  $g = h_0$ . Suppose that  $L_0 \neq B$ . Let  $b \in B \setminus L_0$ . Note that  $Rb \leq B$ . Consider

$$L_0 + Rb = \{l + rb \mid l \in L_0, r \in R\} \le B.$$

We would like to extend  $h_0$  to  $h_0^+$  by specifying an image for  $h_0^+$  (b). The problem is that  $Rb \cap L_0$  may not be  $\{0\}$ , and if  $rb \in L_0$  then we require  $rh_0^+$  (b) =  $h_0$  (rb), otherwise  $h_0^+$  will not be well-defined. Note that  $I = \{r \in R \mid rb \in L_0\}$  is a left ideal for R. Suppose that M has the condition from Baer's criterion, so every map  $I \to M$  has the form  $x \mapsto xm$  for some  $m \in M$ . Note that  $\{xb \mid x \in I\}$  is a submodule of  $L_0$ . Define

$$\delta : I \longrightarrow M 
 x \longmapsto h_0(xb) .$$

This is an R-module homomorphism. So  $\delta(x) = xm$  for some  $m \in M$ . Hence  $h_0(xb) = xm$  for all  $x \in I$ . So we can safely define  $h_0^+(b) = m$ . Now  $(L_0 + Rb, h_0^+) \in X$ , and  $(L_0, h_0) < (L_0 + Rb, h_0^+)$ , which contradicts the maximality of  $(L_0, h_0)$ . Hence  $L_0 = B$ , and we are done.

 $\implies$  The converse is left as an exercise. <sup>3</sup>

Example.

- Suppose R is a field. Then the only ideals of R are zero and R. Any map  $0 \to M$ , for M an R-module, can be extended to the zero map  $R \to M$ . Hence any R-module is injective.
- Let  $\mathbb{Z}$  be a module for itself. The ideals of  $\mathbb{Z}$  are  $k\mathbb{Z}$  for  $k \in \mathbb{Z}$ . Define

If  $k \neq 0, \pm 1$ , then f(k) = 1, and so  $f(x) \neq xm$  for  $m \in \mathbb{Z}$ , since one is not divisible by k in  $\mathbb{Z}$ . So Baer's criterion fails, and  $\mathbb{Z}$  is not injective. We already knew that  $\mathbb{Z} \to \mathbb{Q}$  has no retraction.

•  $\mathbb{Q}$  is injective as a  $\mathbb{Z}$ -module. Suppose we have a map  $f: k\mathbb{Z} \to \mathbb{Q}$ . Let q = f(k). Then f(kt) = qt = (q/k) kt. So f(x) = x (q/k) for all x, so  $\mathbb{Q}$  satisfies Baer's criterion.

 $<sup>^3</sup>$ Exercise

## 3 Hom and tensor products

#### 3.1 Hom

Let A and B be two R-modules.

**Definition 3.1.** Define

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 $\operatorname{Hom}_{R}(A, B) = \{R \text{-module homomorphisms } A \to B\}.$ 

We can define a natural addition on  $\operatorname{Hom}_{R}(A, B)$  by defining  $f_1 + f_2$  by

$$(f_1 + f_2)(a) = f_1(a) + f_2(b), f_1, f_2 \in \operatorname{Hom}_R(A, B).$$

This gives  $\operatorname{Hom}_R(A, B)$  the structure of an abelian group. Why does  $\operatorname{Hom}_R(A, B)$  not carry an R-module structure in general? The only obvious candidate for rf is

$$(rf)(a) = rf(a) = f(ra), \qquad r \in R, \qquad f \in \operatorname{Hom}_R(A, B).$$

Now suppose  $s \in R$ . We have (rf)(sa) = rf(sa) = rsf(a). But for rf to be a homomorphism, we would need (rf)(sa) = s(rf)(a) = srf(a). If R is non-commutative, then rs may not be sr, and so rf is not an R-module homomorphism in general. Clearly, however, if R is commutative then rf is an R-module homomorphism, and  $Hom_R(A, B)$  has an R-module structure. The following are observations.

**Proposition 3.2.** Suppose  $A, A_1, A_2, B, B_1, B_2, M$  are R-modules, and  $\alpha : A \to B$ .

- $\operatorname{Hom}_{R}(A_{1} \oplus A_{2}, B) \cong \operatorname{Hom}_{R}(A_{1}, B) \oplus \operatorname{Hom}_{R}(A_{2}, B)$ .
- $\operatorname{Hom}_R(A, B_1 \oplus B_2) \cong \operatorname{Hom}_R(A, B_1) \oplus \operatorname{Hom}_R(A, B_2)$ .
- Then we can define

$$\begin{array}{cccc} \alpha_* & : & \operatorname{Hom}_R\left(M,A\right) & \longrightarrow & \operatorname{Hom}_R\left(M,B\right) \\ f & \longmapsto & \alpha \circ f \end{array}, \qquad f:M \to A.$$

• We can also define

$$\alpha^* : \operatorname{Hom}_R(B, M) \longrightarrow \operatorname{Hom}_R(A, M)$$
,  $g : B \to M$ .

Thus Hom is a bifunctor between the category of R-modules and the category of abelian groups, additive in both arguments, covariant in the second argument and contravariant in the first argument.

- Bi means Hom takes two arguments.
- Functor means that homomorphisms between R-modules turn into abelian group homomorphisms.
- Covariant means the homomorphism goes in the same direction.
- Contravariant means the direction gets reversed.
- Additive in both arguments means Hom respects direct sums.

**Proposition 3.3.** Suppose  $\alpha: A \to B$  is surjective. Then  $\alpha^*: \operatorname{Hom}_R(B, M) \to \operatorname{Hom}_R(A, M)$  is injective.

*Proof.* Suppose 
$$f_1, f_2 : B \to M$$
 are such that  $\alpha^*(f_1) = \alpha^*(f_2)$ . Then  $f_1 \circ \alpha = f_2 \circ \alpha$ , so  $(f_1 \circ \alpha)(a) = (f_2 \circ \alpha)(a)$  for all  $a \in A$ . Let  $b \in B$ . Then  $b = \alpha(a)$  for some  $a$ , since  $\alpha$  is surjective, so  $f_1(b) = (f_1 \circ \alpha)(a) = (f_2 \circ \alpha)(a) = f_2(b)$ , so  $f_1 = f_2$ .

**Proposition 3.4.** Suppose  $\alpha: A \to B$  is injective. Then  $\alpha_*: \operatorname{Hom}_R(M,A) \to \operatorname{Hom}_R(M,B)$  is injective.

*Proof.* Suppose  $f_1, f_2 : M \to A$ , and  $\alpha_*(f_1) = \alpha_*(f_2)$ . Then  $\alpha \circ f_1 = \alpha \circ f_2$ , so  $(\alpha \circ f_1)(m) = (\alpha \circ f_2)(m)$  for all  $m \in M$ . But  $\alpha$  is injective, so this implies  $f_1(m) = f_2(m)$  for all  $m \in M$ .

#### Proposition 3.5. Suppose

$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$

is a short exact sequence of R-modules. Then we have an exact sequence

$$0 \to \operatorname{Hom}_{R}(C, M) \xrightarrow{\beta^{*}} \operatorname{Hom}_{R}(B, M) \xrightarrow{\alpha^{*}} \operatorname{Hom}_{R}(A, M)$$
.

*Proof.* This is exact at  $\operatorname{Hom}_R(C, M)$ , since  $\beta^*$  is injective. Claim that the sequence is also exact at  $\operatorname{Hom}_R(B, M)$ , so it is an exact sequence. It is not necessarily a short exact sequence since  $\alpha^*$  is not generally surjective. Let  $g: B \to M$ . We have

$$g\in\operatorname{Ker}\alpha^{*}\iff\alpha^{*}\left(g\right)=0\iff g\circ\alpha=0\iff g\left(\alpha\left(A\right)\right)=0\iff\operatorname{Im}\alpha\leq\operatorname{Ker}g\iff\operatorname{Ker}\beta\leq\operatorname{Ker}g,$$

Then  $g \in \operatorname{Ker} \alpha^*$  if and only if for all  $b_1, b_2 \in B$ ,  $\beta(b_1) = \beta(b_2)$  implies that  $g(b_1) = g(b_2)$ , which is if and only if the map defined by

$$\begin{array}{cccc} f & : & C & \longrightarrow & M \\ & c & \longmapsto & g\left(b\right) \end{array}, \qquad \beta\left(b\right) = c$$

is well-defined, since  $\beta$  is surjective, and f is an R-module homomorphism. Thus

$$g \in \operatorname{Ker} \alpha^* \iff \exists f \in \operatorname{Hom}_R(C, M), \ \beta^*(f) = g \iff g \in \operatorname{Im} \beta^*.$$

Hence  $\operatorname{Ker} \alpha^* = \operatorname{Im} \beta^*$ . So the sequence is exact at  $\operatorname{Hom}_R(B, M)$ .

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**Example.** These examples show that  $\alpha:A\to B$  is injective does not imply  $\alpha^*:\operatorname{Hom}_R(B,M)\to \operatorname{Hom}_R(A,M)$  is surjective.

• The inclusion  $\alpha : \mathbb{Z} \to \mathbb{Q}$  is a  $\mathbb{Z}$ -module homomorphism. Let  $M = \mathbb{Z}$ . Then we get  $\alpha^* : \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Q}, \mathbb{Z}) \to \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z})$ . Then  $\alpha$  is injective, but  $\alpha^*$  is not surjective. Why is this? In fact  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Q}, \mathbb{Z}) = 0$ . Suppose

$$f : \mathbb{Q} \longrightarrow \mathbb{Z} \\ 1 \longmapsto k \neq 0 .$$

Suppose  $p \nmid k$ . Then there is no possible image for  $1/p \in \mathbb{Q}$ , since we would require pf(1/p) = f(1) = k. But  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}) \cong \mathbb{Z}$ , so  $\alpha^*$  is not surjective.

• Let  $\alpha: k\mathbb{Z} \to \mathbb{Z}$  be the inclusion, so  $\alpha$  is injective and not surjective. Let  $M = \mathbb{Z}$ . So we get  $\alpha^*: \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}) \to \operatorname{Hom}_{\mathbb{Z}}(k\mathbb{Z}, \mathbb{Z})$ . Suppose that  $g \in \operatorname{Im} \alpha^*$ . Then  $g = f \circ \alpha$ , where  $f: \mathbb{Z} \to \mathbb{Z}$ . Then g(k) = f(k) = kf(1), so  $\operatorname{Im} g \leq k\mathbb{Z}$ . But there exists  $g \in \operatorname{Hom}_{\mathbb{Z}}(k\mathbb{Z}, \mathbb{Z})$  such that g(k) = 1. So this  $g \notin \operatorname{Im} \alpha^*$ , so  $\alpha^*$  is not surjective.

#### Proposition 3.6. Let

$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$

be exact. Then

$$0 \to \operatorname{Hom}_{R}\left(M,A\right) \xrightarrow{\alpha_{*}} \operatorname{Hom}_{R}\left(M,B\right) \xrightarrow{\beta_{*}} \operatorname{Hom}_{R}\left(M,C\right)$$

is exact.

*Proof.* We already know that  $\alpha$  injective implies that  $\alpha_*$  is injective, so the sequence is exact at  $\operatorname{Hom}_R(M, A)$ . We show that  $\operatorname{Ker} \beta_* = \operatorname{Im} \alpha_*$ . Suppose  $g \in \operatorname{Hom}_R(M, B)$ . Then

$$g \in \operatorname{Ker} \beta_* \qquad \iff \qquad (\beta \circ g) \, (M) = 0 \qquad \iff \qquad \operatorname{Im} g \leq \operatorname{Ker} \beta \qquad \iff \qquad \operatorname{Im} g \leq \operatorname{Im} \alpha.$$

Note there exists  $\alpha^{-1}: \operatorname{Im} \alpha \to A$ . If  $\operatorname{Im} g \leq \operatorname{Im} \alpha$ , then  $\alpha^{-1} \circ g: M \to A$ . If  $f = \alpha^{-1} \circ g$ , then  $\alpha \circ f = g$ , so  $g \in \operatorname{Im} \alpha_*$ . Conversely, if  $g \in \operatorname{Im} \alpha_*$ , then  $g = \alpha \circ f$  for some  $f \in \operatorname{Hom}_R(M, A)$  and so  $\operatorname{Im} g \leq \operatorname{Im} \alpha$ . So

$$g \in \operatorname{Ker} \beta_* \iff \operatorname{Im} g \leq \operatorname{Im} \alpha \iff g \in \operatorname{Im} \alpha_*.$$

Hence  $\operatorname{Ker} \beta_* = \operatorname{Im} \alpha_*$ . So the sequence is exact at  $\operatorname{Hom}_R(M, B)$ .

**Example.** These examples show that  $\beta: B \to C$  is surjective does not imply  $\beta_*: \operatorname{Hom}_R(M, B) \to \operatorname{Hom}_R(M, C)$  is surjective.

• Let

$$\beta : \sum_{q \in \mathbb{Q}} \mathbb{Z} \longrightarrow \mathbb{Q}$$

$$e_q \longmapsto q .$$

In general  $\beta: \sum_{m\in M} R \to M$  defined by mapping the basis vector  $e_m$  to m, is a surjective homomorphism, so  $\beta$  is surjective. Let  $M=\mathbb{Q}$ . So we get  $\beta_*: \operatorname{Hom}_{\mathbb{Z}}\left(\mathbb{Q}, \sum_{q\in\mathbb{Q}}\mathbb{Z}\right) \to \operatorname{Hom}_{\mathbb{Z}}\left(\mathbb{Q}, \mathbb{Q}\right)$ . Claim that  $\operatorname{Hom}_{\mathbb{Z}}\left(\mathbb{Q}, \sum_{q\in\mathbb{Q}}\mathbb{Z}\right)$  is trivial. Suppose  $f:\mathbb{Q}\to\sum_{q\in\mathbb{Q}}\mathbb{Z}$  is not zero. Suppose  $f(q_0)\neq 0$ . Then there exist  $q_1,\ldots,q_t\in\mathbb{Q}$  and  $a_1,\ldots,a_t\in\mathbb{Z}$  such that  $f(q_0)=\sum_{i=1}^t a_i e_{q_i}$ . Now the projection of  $\sum_{q\in\mathbb{Q}}\mathbb{Z}$  onto  $\mathbb{Z}e_{q_1}$  is a non-trivial  $\mathbb{Z}$ -module homomorphism. But  $\mathbb{Z}e_{q_1}\cong\mathbb{Z}$ , and so no non-trivial map  $\mathbb{Q}\to\mathbb{Z}e_{q_1}$  exists. But  $\operatorname{Hom}_{\mathbb{Z}}\left(\mathbb{Q},\mathbb{Q}\right)$  is not trivial, so  $\beta_*$  is not surjective.

• Let

$$0 \to \mathbb{Z}_2 \to \mathbb{Z}_4 \to \mathbb{Z}_2 \to 0$$

be a short exact sequence of  $\mathbb{Z}$ -modules. Then we have

But there is no short exact sequence of abelian groups

$$0 \to \mathbb{Z}_2 \to \mathbb{Z}_2 \to \mathbb{Z}_2 \to 0$$
,

and so  $\beta_*$  cannot be surjective.

**Proposition 3.7.** Let M be an R-module. Then M is injective if and only if for every injective map  $\alpha: A \to B$ , we get  $\alpha^*: \operatorname{Hom}_R(B, M) \to \operatorname{Hom}_R(A, M)$  is surjective.

*Proof.* M is injective if and only if for all injective  $\alpha: A \to B$ , for all  $f \in \operatorname{Hom}_R(A, M)$ , there exists  $g \in \operatorname{Hom}_R(B, M)$  such that  $f = g \circ \alpha$ , so  $f = \alpha^*(g)$ . This is if and only if for all injective  $\alpha: A \to B$ ,  $f \in \operatorname{Im} \alpha^*$  for all  $f \in \operatorname{Hom}_R(A, M)$ , which is if and only if  $\alpha^*$  is surjective.

**Proposition 3.8.** Let M be an R-module. Then M is projective if and only if whenever  $\beta: B \to C$  is surjective, the map  $\beta_*: \operatorname{Hom}_R(M, B) \to \operatorname{Hom}_R(M, C)$  is surjective.

*Proof.* M is projective if and only if whenever  $\beta: B \to C$  is surjective, and  $f \in \operatorname{Hom}_R(M, C)$ , there exists  $g \in \operatorname{Hom}_R(M, B)$  such that  $f = \beta \circ g$ . This is if and only if whenever  $\beta: B \to C$  is surjective, and  $f \in \operatorname{Hom}_R(M, C)$ , then  $f \in \operatorname{Im} \beta_*$ , which is if and only if  $\beta_*$  is surjective.

#### 3.2 The snake lemma

Let  $\alpha:A\to B$  be an R-module homomorphism. The **cokernel** of  $\alpha$  is  $B/\operatorname{Im} \alpha$ , written  $\operatorname{Coker} \alpha$ . The sequence

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$$0 \to \operatorname{Ker} \alpha \to A \xrightarrow{\alpha} B \to \operatorname{Coker} \alpha \to 0$$

is exact.

**Lemma 3.9** (The snake lemma). Suppose we have a commutative diagram

where the rows are exact. Then we obtain an exact sequence

 $\operatorname{Ker} f \xrightarrow{\alpha} \operatorname{Ker} g \xrightarrow{\beta} \operatorname{Ker} h \xrightarrow{\delta} \operatorname{Coker} f \xrightarrow{\overline{\phi}} \operatorname{Coker} g \xrightarrow{\overline{\psi}} \operatorname{Coker} h.$ 

Proof.

- The maps  $\alpha$ : Ker  $f \to \text{Ker } g$  and  $\beta$ : Ker  $g \to \text{Ker } h$  are obtained simply by restricting  $\alpha$  and  $\beta$  respectively. Observe that if  $a \in \text{Ker } f$  then f(a) = 0, so  $(\phi \circ f)(a) = 0$ . But  $\phi \circ f = g \circ \alpha$ , and so  $(g \circ \alpha)(a) = 0$ , so  $\alpha(a) \in \text{Ker } g$ , which is what we wanted.
- The maps  $\overline{\phi}$ : Coker  $f \to \operatorname{Coker} g$  and  $\overline{\psi}$ : Coker  $g \to \operatorname{Coker} h$  are induced from  $\phi$  and  $\psi$  by

$$\overline{\phi}(x + \operatorname{Im} f) = \phi(x) + \operatorname{Im} g, \qquad \overline{\psi}(y + \operatorname{Im} g) = \psi(g) + \operatorname{Im} h.$$

Check that these maps make sense. Suppose  $x_1 + \text{Im } f = x_2 + \text{Im } f$ . Then  $x_1 - x_2 \in \text{Im } f$ , so there exists  $a \in A$  such that  $f(a) = x_1 - x_2$ . Now

$$\phi(x_1) - \phi(x_2) = \phi(x_1 - x_2) = (\phi \circ f)(a) = (g \circ \alpha)(a) \in \text{Im } g.$$

So  $\phi(x_1) + \text{Im } g = \phi(x_2) + \text{Im } g$ . So  $\overline{\phi}$  is well-defined, and  $\overline{\psi}$  is shown to be well-defined by a similar argument.

• How is the **connecting homomorphism**  $\delta$  defined? Since  $\beta$  is surjective, for all  $c \in C$ , there exists  $b \in B$  with  $\beta(b) = c$ . Suppose  $c \in \text{Ker } h$ . Then  $(h \circ \beta)(b) = 0$ , so  $(\psi \circ g)(b) = 0$ . Hence  $g(b) \in \text{Ker } \psi = \text{Im } \phi$ . Define

$$\delta(c) = x + \operatorname{Im} f, \qquad \phi(x) = g(b), \qquad \beta(b) = c.$$

Check this is well-defined. Suppose  $b_1, b_2, x_1, x_2$  are such that  $\phi(x_1) = g(b_1)$  and  $\phi(x_2) = g(b_2)$ , and  $\beta(b_1) = \beta(b_2) = c$ . We have  $b_1 - b_2 \in \text{Ker } \beta = \text{Im } \alpha$ . So  $b_1 - b_2 = \alpha(a)$  for some  $a \in A$ . Then

$$(\phi \circ f)(a) = (g \circ \alpha)(a) = g(b_1 - b_2) = g(b_1) - g(b_2) = \phi(x_1) - \phi(x_2) = \phi(x_1 - x_2).$$

But  $\phi$  is injective, and so  $f(a) = x_1 - x_2$ , and so  $x_1 + \operatorname{Im} f = x_2 + \operatorname{Im} f$ . So  $\delta$  is well-defined.

Exactness of the sequence is an exercise, on problem sheet.

## 3.3 Tensor products

**Definition 3.10.** Let M be a left R-module, and let L be a right R-module. The **tensor product**  $L \otimes_R M$  is an abelian group generated as an abelian group by a set of **pure tensors** 

$$\{l \otimes m \mid l \in L, m \in M\},\$$

subject to the relations

$$l_1 \otimes m + l_2 \otimes m = (l_1 + l_2) \otimes m, \qquad l_1, l_2 \in L, \qquad m \in M,$$
  
$$l \otimes m_1 + l \otimes m_2 = l \otimes (m_1 + m_2), \qquad l \in L, \qquad m_1, m_2 \in M,$$
  
$$(lr) \otimes m = l \otimes (rm), \qquad l \in L, \qquad m \in M, \qquad r \in R.$$

The following are observations.

- In general, not every element of  $L \otimes_R M$  is a pure tensor. A general element of  $L \otimes_R M$  is a  $\mathbb{Z}$ -linear combination of pure tensors.
- If R is commutative, L can be a left module, since left and right modules are the same. Also, in this case,  $L \otimes_R M$  has an R-module structure, by  $r(l \otimes m) = rl \otimes m$ .
- Suppose that S is a set of generators for L, as an abelian group, and T is a set of generators for M, as an abelian group. Then a smaller generating set for  $L \otimes_R M$  is  $\{s \otimes t \mid s \in S, t \in T\}$ . This is because if

$$l = \sum_{i=1}^{p} a_i s_i, \qquad m = \sum_{i=1}^{q} b_j t_j, \qquad s_i \in S, \qquad t_i \in T, \qquad a_i, b_i \in \mathbb{Z},$$

then, from the relations,

$$l \otimes m = \sum_{i=1}^{p} \sum_{j=1}^{q} a_i b_j (s_i \otimes t_j).$$

**Example.** Tensor products can be counter intuitive, such as  $\mathbb{Z}_2 \otimes_{\mathbb{Z}} \mathbb{Z}_3 = 0$ . Why? Observe that for  $x \in \mathbb{Z}_2$ , x3 = 3x = x. So for all  $x \in \mathbb{Z}_2$  and  $y \in \mathbb{Z}_3$ ,

$$x \otimes y = x3 \otimes y = x \otimes 3y = x \otimes 0 = x \otimes y - x \otimes y = 0.$$

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**Theorem 3.11** (Universal property of tensor products). Let A be a right R-module and B a left R-module. Let C be an abelian group. Let  $f: A \times B \to C$  be a map, not necessarily a homomorphism, which is  $\mathbb{Z}$ -linear in both arguments, so

$$f(a_1 + a_2, b) = f(a_1, b) + f(a_2, b),$$
  $a_1, a_2 \in A,$   $b \in B,$   
 $f(a, b_1 + b_2) = f(a, b_1) + f(a, b_2),$   $a \in A,$   $b_1, b_2 \in B,$ 

and such that

$$f(ar, b) = f(a, rb), \qquad a \in A, \qquad b \in B, \qquad r \in R.$$

Then there is a unique homomorphism

$$g : A \otimes_R B \longrightarrow C$$
$$a \otimes b \longmapsto f(a,b) .$$

*Proof.* In formal group theoretic terms, the tensor product  $A \otimes_R B$  is a quotient F/K, where F is the free abelian group on the set of pure tensors  $a \otimes b$ , and K is the subgroup of F generated by elements of the form

$$(a_1 + a_2) \otimes b - a_1 \otimes b - a_2 \otimes b,$$
  $a \otimes (b_1 + b_2) - a \otimes b_1 - a \otimes b_2,$   $ar \otimes b - a \otimes rb.$ 

The universal property of free abelian groups states that if F is free abelian on a set S, then any set map  $S \to C$ , for C an abelian group, extends uniquely to a homomorphism  $F \to C$ . In the situation under discussion, we have a map

$$g': \{a \otimes b \mid a \in A, b \in B\} \to C.$$

So g' extends uniquely to a homomorphism  $F \to C$ . The conditions stipulated on f guarantee that g'(K) = 0. So g' induces a map  $g: F/K \to C$ , which is what we want, since  $F/K = A \otimes_R B$ . This establishes the existence of g. Since the images of the pure tensors under g are specified, it is clear that g is unique.

#### Corollary 3.12.

1. Let M be a left R-module. Then  $R \otimes_R M \cong M$ , via the map

$$\begin{array}{ccccc} f & : & M & \longrightarrow & R \otimes_R M \\ & & m & \longmapsto & 1 \otimes m \end{array}.$$

2. Let M be a right R-module. Then  $M \otimes_R R \cong M$ .

Proof.

1. It is clear that f is a homomorphism of abelian groups. Now  $r \otimes m = 1 \otimes rm$ , so  $R \otimes_R M$  is generated by  $\{1 \otimes m \mid m \in M\}$ , so f is surjective. For injectivity of f, we need the universal property. Define a bilinear map

$$\begin{array}{ccc} R \times M & \longrightarrow & M \\ (r,m) & \longmapsto & rm \end{array}$$

This induces a homomorphism

It is easy to check that g is an inverse for f, so f is bijective.

2. By the same argument as 1.

Corollary 3.13. Let A and B be right R-modules, and let C be a left R-module.

1.  $(A \oplus B) \otimes_R C \cong (A \otimes_R C) \oplus (B \otimes_R C)$ , via the map

$$f : (A \oplus B) \otimes_R C \longrightarrow (A \otimes_R C) \oplus (B \otimes_R C)$$
$$(a,b) \otimes c \longmapsto (a \otimes c,b \otimes c)$$

2.  $A \otimes_R (B \oplus C) \cong (A \otimes_R B) \oplus (A \otimes_R C)$ .

Proof.

1. Take a bilinear map, that is  $\mathbb{Z}$ -bilinear in both arguments, and respecting R-multiplication,

$$\begin{array}{ccc} A \oplus B \times C & \longrightarrow & (A \otimes_R C) \oplus (B \otimes_R C) \\ ((a,b),c) & \longmapsto & (a \otimes c,b \otimes c) \end{array}.$$

This induces a homomorphism  $f:(A \oplus B) \otimes_R C \to (A \otimes_R C) \oplus (B \otimes_R C)$  with the description as given above. Now take the bilinear map given by

$$\begin{array}{ccc} A \times C & \longrightarrow & (A \oplus B) \otimes_R C \\ (a,c) & \longmapsto & (a,0) \otimes c \end{array}$$

This induces a homomorphism  $g_1:A\otimes_R C\to (A\oplus B)\otimes_R C$ . Similarly, we get a homomorphism  $g_2:B\otimes_R C\to (A\oplus B)\otimes_R C$ . Now define

$$g = g_1 \oplus g_2$$
 :  $(A \otimes_R C) \oplus (B \otimes_R C) \longrightarrow (A \oplus B) \otimes_R C$   
 $(x,y) \longmapsto g_1(x) + g_2(y)$ .

It is easy to check that f and g are mutually inverse, so both isomorphisms.

2. Similarly.

Corollary 3.14. Let A be an abelian group. Then

- 1.  $\mathbb{Z}_n \otimes_{\mathbb{Z}} A \cong A/nA$ , and
- 2.  $A \otimes_{\mathbb{Z}} \mathbb{Z}_n \cong A/nA$ .

Proof.

1. Define a map by

$$\begin{array}{cccc} f & : & A & \longrightarrow & \mathbb{Z}_n \otimes_{\mathbb{Z}} A \\ & & a & \longmapsto & 1 \otimes a \end{array}.$$

Suppose  $a_0 \in A$  such that  $a_0 = na$  for some a. Then  $f(a_0) = 1 \otimes a_0 = 1 \otimes na = n \otimes a = 0$  so  $nA \leq \text{Ker } f$ . So f induces a map

$$\overline{f}: A/nA \to \mathbb{Z}_n \otimes_{\mathbb{Z}} A.$$

Notice that the pure tensor  $k \otimes a$  is equal to  $1 \otimes ka$ , so  $\mathbb{Z}_n \otimes_{\mathbb{Z}} A$  is generated by  $\{1 \otimes a \mid a \in A\}$ . So  $\overline{f}$  is surjective. For injectivity, use the universal property. We have a bilinear map

$$g: \mathbb{Z}_n \times A \longrightarrow A/nA$$
  
 $(k,a) \longmapsto ka+nA$ .

This is well-defined and bilinear. So extends to a homomorphism

$$\overline{q}: \mathbb{Z}_n \otimes_{\mathbb{Z}} A \to A/nA.$$

It is easy to check that  $\overline{q} \circ \overline{f} = \mathrm{id}_{A/nA}$ , so  $\overline{f}$  is injective.

2. Similarly.

**Proposition 3.15.** Let  $\alpha: A \to B$  be a homomorphism of right R-modules. Let M be a left R-module. There is a unique abelian group homomorphism

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*Proof.* The set map defined by

$$\begin{array}{cccc} f & : & A \times M & \longrightarrow & B \otimes_R M \\ & & (a,m) & \longmapsto & \alpha(a) \otimes m \end{array}$$

is linear in both arguments, and we have

$$f(ar, m) = \alpha(ar) \otimes m = \alpha(a) r \otimes m = \alpha(a) \otimes rm = f(a, rm).$$

Now by the universal property of tensor products, f gives rise to a unique homomorphism  $\alpha': A \otimes_R M \to B \otimes_R M$  with the properties claimed.

**Proposition 3.16.** Suppose  $\alpha: A \to B$  is surjective. Then  $\alpha': A \otimes_R M \to B \otimes_R M$  is surjective.

*Proof.* Since  $\alpha$  is surjective, every pure tensor  $b \otimes m \in B \otimes_R M$  is equal to  $\alpha(a) \otimes m$  for some  $a \in A$ . So  $b \otimes m = \alpha'(a \otimes m) \in \operatorname{Im} \alpha'$ . Since  $B \otimes_R M$  is generated by its pure tensors,  $\alpha'$  is surjective.

An observation is that it is not true that  $A \to B$  is injective implies  $A \otimes_R M \to B \otimes_R M$  is injective.

#### Example. Let

$$\alpha : \mathbb{Z}_2 \longrightarrow \mathbb{Z}_4,$$

$$1 \longmapsto 2,$$

which is injective. Consider

$$\alpha' : \mathbb{Z}_2 \otimes_{\mathbb{Z}} \mathbb{Z}_2 \cong \mathbb{Z}_2 \longrightarrow \mathbb{Z}_4 \otimes_{\mathbb{Z}} \mathbb{Z}_2 \\ 1 \otimes 1 \longmapsto 2 \otimes 1 = 1 \otimes 2 = 0.$$

So  $\alpha'$  is the zero map, which is not injective.

#### Proposition 3.17. Let

$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$

be a short exact sequence of right R-modules. Then the sequence

$$A \otimes_R M \xrightarrow{\alpha'} B \otimes_R M \xrightarrow{\beta'} C \otimes_R M \to 0$$

is exact.

*Proof.* Since  $\beta'$  is surjective, the sequence is exact at  $C \otimes_R M$ . We show it is exact at  $B \otimes_R M$ . Since  $\beta$  is surjective, for every  $c \in C$ , there exists  $f(c) \in B$  such that  $\beta(f(c)) = c$ . Here f is a set map  $C \to B$ , which is not uniquely defined in general. Suppose that  $\beta(b) = c$ . Then  $b - f(c) \in \text{Ker } \beta = \text{Im } \alpha$ , so  $f(c) + \text{Im } \alpha = b + \text{Im } \alpha$ . Define a set map by

$$\begin{array}{ccc} g & : & C \times M & \longrightarrow & (B \otimes_R M) \, / \operatorname{Im} \alpha' \\ & & (c,m) & \longmapsto & f(c) \otimes m + \operatorname{Im} \alpha' \end{array}.$$

Note that if  $\beta(b) = c$ , then  $b \otimes m - f(c) \otimes m = \alpha(a) \otimes m \in \text{Im } \alpha'$  for some  $a \in A$ . We can check that g is linear in both arguments. For example, for the first argument, we have  $g(c_1 + c_2, m) = f(c_1 + c_2) \otimes m + \text{Im } \alpha'$ . Now  $\beta(f(c_1 + c_2)) = c_1 + c_2 = \beta(f(c_1)) + \beta(f(c_2)) = \beta(f(c_1) + f(c_2))$  so

$$g(c_1 + c_2, m) = (f(c_1) + f(c_2)) \otimes m + \operatorname{Im} \alpha' = f(c_1) \otimes m + f(c_2) \otimes m + \operatorname{Im} \alpha' = g(c_1, m) + g(c_2, m)$$
.

Also, we have  $g(cr, m) = f(cr) \otimes m + \operatorname{Im} \alpha'$ . But  $\beta(f(cr)) = cr = \beta(f(c)r)$ , so  $f(cr) \otimes m + \operatorname{Im} \alpha' = f(c)r \otimes m + \operatorname{Im} \alpha'$ . So

$$q(cr, m) = f(c) r \otimes m + \operatorname{Im} \alpha' = f(c) \otimes rm + \operatorname{Im} \alpha' = q(c, rm)$$
.

By the universal property, there is a unique homomorphism

$$\psi : C \otimes_R M \longrightarrow (B \otimes_R M) / \operatorname{Im} \alpha'$$

$$c \otimes m \longmapsto f(c) \otimes m + \operatorname{Im} \alpha'$$

Next observe that  $(\beta' \circ \alpha')(a \otimes m) = (\beta \circ \alpha)(a) \otimes m = 0$ , since  $\operatorname{Im} \alpha = \operatorname{Ker} \beta$ . Since  $A \otimes_R M$  is generated by pure tensors, we have  $\beta' \circ \alpha' = 0$ . So  $\operatorname{Im} \alpha' \leq \operatorname{Ker} \beta'$ . Hence  $\beta'$  induces a map

$$\phi: (B \otimes_R M) / \operatorname{Im} \alpha' \to C \otimes_R M.$$

It is easy to check that  $\phi$  and  $\psi$  are mutually inverse, and so both are isomorphisms. In particular  $\phi$  is injective, and so Im  $\alpha' = \text{Ker } \beta'$  as required.

#### 3.4 Flat modules

**Definition 3.18.** A left R-module M is **flat** if  $A \to B$  is injective implies that  $A \otimes_R M \to B \otimes_R M$  is injective.

If M is flat then any short exact sequence of right R-modules

$$0 \to A \to B \to C \to 0$$

corresponds to a short exact sequence of abelian groups

$$0 \to A \otimes_R M \to B \otimes_R M \to C \otimes_R M \to 0.$$

Proposition 3.19. Every projective module is flat.

This follows from two lemmas.

**Lemma 3.20.**  $P \oplus Q$  is flat if and only if P and Q are both flat.

*Proof.* Recall there is a canonical isomorphism

$$A \otimes_R (P \oplus Q) \cong (A \otimes_R P) \oplus (A \otimes_R Q)$$
.

Suppose  $\alpha:A\to B$  is injective. Then  $\alpha':A\otimes_R(P\oplus Q)\to B\otimes_R(P\oplus Q)$  corresponds to

$$\overline{\alpha'} : (A \otimes_R P) \oplus (A \otimes_R Q) \longrightarrow (B \otimes_R P) \oplus (B \otimes_R Q)$$

$$(a \otimes p, 0) \longmapsto (\alpha (a) \otimes p, 0)$$

$$(0, a \otimes q) \longmapsto (0, \alpha (a) \otimes q)$$

It is clear from this that  $\overline{\alpha'}$  is injective if and only if  $A \otimes_R P \to B \otimes_R P$  and  $A \otimes_R Q \to B \otimes_R Q$  are injective, and Lemma 3.20 follows immediately.

**Lemma 3.21.** Every free R-module is flat.

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*Proof.* We know  $(A \oplus B) \otimes_R C \cong (A \otimes_R C) \oplus (B \otimes_R C)$ . Similarly,

$$\left(\bigoplus_{s\in S} A_s\right) \otimes_R C \cong \bigoplus_{s\in S} \left(A_s \otimes_R C\right).$$

So Lemma 3.20 generalises, so  $\bigoplus_{s \in S} A_s$  is flat if and only if all of the  $A_s$  is flat for  $s \in S$ . Let F be free. Then  $F = \bigoplus_{s \in S} R$ , and so F is flat if and only if R is flat. But for any R-module in A, we have  $A \otimes_R R \cong A$ , so

$$\begin{array}{ccc} A & \xrightarrow{\quad \alpha \quad \quad } B \\ \mathbb{R} & \\ A \otimes_R R & \xrightarrow{\quad \alpha' \quad \quad } B \otimes_R R \end{array},$$

and it is easy to check that R is flat.

Proof of Proposition 3.19. Lemma 3.20 and Lemma 3.21 imply Proposition 3.19, since a projective module is a direct summand of a free module.  $\Box$ 

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## 4 Modules over a PID

There exist flat modules which are not projective. We will show that  $\mathbb{Q}$  as a module for  $\mathbb{Z}$  is flat, and it is easy to see it is not projective. To do this we will study the case of modules over a PID. Recall that R is an **integral domain** if R is commutative and rs = 0 implies that r = 0 or s = 0 for  $r, s \in R$ . An integral domain is a **PID** if every ideal is  $\langle a \rangle = \{ra \mid r \in R\}$  for some  $a \in R$ .

**Example.** The ring  $\mathbb{Z}$  is an example of a PID.

### 4.1 Free and projective modules

**Proposition 4.1.** Let R be a PID. Then every projective R-module is free. Equivalently, every summand of a free module is free.

In fact we will show that any submodule of a free module is free. Moreover, if  $F_1 \leq F_2$ , where  $F_1$  and  $F_2$  are free, and if  $B_1$  and  $B_2$  are bases for  $F_1$  and  $F_2$  respectively, then  $|B_1| \leq |B_2|$ . In particular, if  $M \leq R^n$ , then  $M \cong R^m$  for some  $m \leq n$ . For this, we will need the well-ordering theorem.

**Theorem 4.2** (Well-ordering theorem). Let X be a set. There exists a well-order  $\leq$  on X, that is a total order such that every non-empty subset of X has a least element.

**Corollary 4.3** (Transfinite induction). Let X be a non-empty set well-ordered by  $\leq$ . Let  $x_0$  be the least element of X. Let  $S \subseteq X$ . If  $x_0 \in S$ , and s < t implies  $s \in S$  implies that  $t \in S$ , then S = X.

*Proof.* Let  $F = \bigoplus_{s \in S} R$ . Let  $\leq$  be a well-order on S. For  $s \in S$ , let  $\pi_s$  be the projection map  $F \to R$  onto the s-coordinate. Let  $e_s$  be the element of F with one in coordinate s, and zero elsewhere. Suppose  $U \leq F$  is an R-submodule of F. Define  $R_t$  to be the submodule of F generated by  $\{e_s \mid s \leq t\}$ , so

$$R_t = \operatorname{sp} \left\{ e_s \mid s \le t \right\}.$$

So if  $t_1 \leq t_2$  then  $R_{t_1} \leq R_{t_2}$ . Let

$$U_t = U \cap R_t$$
.

So  $t_1 < t_2$  implies that  $U_{t_1} \le U_{t_2}$ . Consider  $\pi_s(U_s)$ . This is an ideal of R. Hence there exists  $a_s \in R$  such that  $\pi_s(U_s) = \langle a_s \rangle$ , since R is a PID. For each s, let  $u_s \in U_s$  be such that  $\pi_s(u_s) = a_s$ . In cases where  $a_s = 0$ , assume  $u_s = 0$ . Let

$$B = \{u_s \mid s \in S, \ u_s \neq 0\}.$$

• Claim that B generates U. We will actually prove that  $B_t = \{u_s \mid s \leq t\}$  generates  $U_t$ , using transfinite induction. If  $s_0$  is the least element of S, it is easy to see that  $B_{s_0} = \{u_{s_0}\}$  generates  $U_{s_0}$ . Suppose  $B_t$  generates  $U_t$  for all  $t < t_0$ . Let  $u \in U_{t_0}$ . Then  $\pi_{t_0}(u) = ra_{t_0}$ . Hence  $\pi_{t_0}(u - ru_{t_0}) = 0$ . So  $u - ru_{t_0}$  has zero in the  $t_0$ -coordinate, so  $u - ru_{t_0} \in sp\{e_s \mid s < t_0\}$ . Clearly  $u - ru_{t_0} \in U$ . We have  $u - ru_{t_0} = \sum_{i=1}^q r_i e_{s_i}$ , where  $s_i < t_0$ , and  $s_1 < \cdots < s_q$ . Then

$$u - ru_{t_0} \in U \cap R_{s_q} = U_{s_q} = \operatorname{sp} B_{s_q},$$

by the inductive hypothesis. Hence  $u \in \operatorname{sp}(B_{s_q} \cup \{u_{t_0}\}) \subseteq \operatorname{sp} B_{t_0}$ . Hence  $B_{t_0}$  generates  $U_{t_0}$ , as required.

• Next we show the linear independence of B. Suppose we have a linear combination of elements of B equal to zero. Say  $\sum_{i=1}^{k} r_i u_{s_i} = 0$ . Assume  $s_1 < \cdots < s_k$ . We have

$$\pi_{s_k} \left( \sum_{i=1}^k r_i u_{s_i} \right) = \sum_{i=1}^k r_i \pi_{s_k} (u_{s_i}).$$

Now  $u_{s_i} \in U_{s_i} \subseteq R_{s_i}$ , and so  $\pi_{s_k}(u_{s_i}) = 0$  if  $s_i < s_k$ . Hence  $r_k \pi_{s_k}(u_{s_k}) = 0$ , so  $r_k a_{s_k} = 0$ . But  $a_{s_k} \neq 0$ , and R is an integral domain. So  $r_k = 0$ . It follows easily that  $r_i = 0$  for all i, so B is linearly independent.

We have shown that B is a basis for U. Hence U is free. Since the elements of B are indexed by a subset of S, we have  $|B| \leq |S|$ .

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## 4.2 Injective and divisible modules

**Definition 4.4.** Let R be an integral domain, and M an R-module. Let  $m \in M$ . Say that m is **infinitely divisible** if for all  $r \in R \setminus \{0\}$  there exists  $l \in M$  such that rl = m.

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**Proposition 4.5.** The divisible elements of M form a submodule D(M).

Proof. Easy. 
$$\Box$$

**Definition 4.6.** If D(M) = M, then M is divisible.

**Proposition 4.7.** Let R be an integral domain. Then if an R-module M is injective then it is divisible.

*Proof.* Recall that for an integral domain R, and  $a \in R \setminus \{0\}$ , the map

$$\begin{array}{ccccc} f & : & R & \longrightarrow & \langle a \rangle \\ & r & \longmapsto & ra \end{array}$$

is an isomorphism. Suppose M is an injective R-module. Let

Then  $g \circ f^{-1}$  is a homomorphism  $\langle a \rangle \to M$ , and  $(g \circ f^{-1})(a) = g(1) = m$ . Now by Baer's criterion, there is a map  $h : R \to M$  extending  $g \circ f^{-1}$ . Now  $ah(1) = h(a) = (g \circ f^{-1})(a) = m$ . Hence there exists  $l \in M$  such that al = m. So m is a divisible element, and so M is divisible.

**Proposition 4.8.** Let R be a PID. If M is a divisible R-module then M is injective.

So divisible equals injective when R is a PID.

*Proof.* We use Baer's criterion. Let I be an ideal of R, and  $f:I\to M$  an R-module homomorphism. Since R is a PID,  $I=\langle a\rangle$  for some  $a\in R$ . Suppose f(a)=m. If a=0 there is nothing to prove, since the zero map  $R\to M$  extends f. So assume  $a\neq 0$ . Since m is divisible, there exists  $l\in M$  with al=m. Now the map given by

$$\begin{array}{ccc} R & \longrightarrow & M \\ 1 & \longmapsto & l \end{array}$$

extends f. So Baer's criterion is satisfied, and so M is injective.

### 4.3 Flat and torsion-free modules

**Definition 4.9.** Let R be an integral domain. Let M be an R-module. Say that  $m \in M$  is a **torsion element** if there exists  $r \in R \setminus \{0\}$  such that rm = 0.

**Proposition 4.10.** The torsion elements of M form a submodule T(M).

*Proof.* Easy, using the fact that integral domains are commutative.

**Definition 4.11.** If T(M) = 0, then M is torsion-free. If T(M) = M, then M is a torsion module.

**Proposition 4.12.** Let R be an integral domain. Let M be a flat R-module. Then M is torsion-free.

*Proof.* Let  $a \in R \setminus \{0\}$ . Then

$$\begin{array}{cccc} f & : & R & \longrightarrow & R \\ & 1 & \longmapsto & a \end{array}$$

is an injective R-module homomorphism. Suppose that M is flat. Then the map

$$\begin{array}{cccc} g & : & R \otimes_R M & \longrightarrow & R \otimes_R M \\ & & r \otimes m & \longmapsto & ra \otimes m = r \otimes am \end{array}$$

is injective. But  $R \otimes_R M$  is canonically isomorphic to M, under which the map g corresponds to  $m \mapsto am$ . Since g is injective, we have  $am \neq 0$  for  $m \neq 0$ . Hence m is not a torsion element, if  $m \neq 0$ , and so M is torsion-free.

We now build up to the following.

**Proposition 4.13.** Let R be a PID. If M is a torsion-free R-module then M is flat.

The following is the strategy. We want to prove that whenever  $\alpha: A \to B$  is injective, so is  $\alpha': A \otimes_R M \to B \otimes_R M$ , where M is torsion-free.

- 1. Prove this in the case that B is free, and A is a submodule of B, and  $\alpha$  is the inclusion map, by
  - first reducing the problem to the case that A and B are finitely generated, so  $B \cong \mathbb{R}^n$ , and
  - then using induction on the rank n of B.
- 2. Show the general case follows from 1.

**Lemma 4.14.** Let R be a PID, let  $I = \langle a \rangle$  be an ideal of R, and let M be a torsion-free R-module. Then  $g: I \otimes_R M \to R \otimes_R M$  is injective.

*Proof.* The homomorphism given by

$$\begin{array}{ccc} R & \longrightarrow & I \\ r & \longmapsto & ra \end{array}$$

gives a map  $f: R \otimes_R M \to I \otimes_R M$ . Now  $g \circ f$  is a map

$$\begin{array}{ccc} R \otimes_R M & \longrightarrow & R \otimes_R M \\ r & \longmapsto & ra \end{array}.$$

Now f is surjective, and  $g \circ f$  is injective, since R is an integral domain. But this implies that g is injective, as required.

**Lemma 4.15.** Let A be a right R-module. Let M be a left R-module. Suppose  $\sum_{i=1}^{t} (a_i \otimes m_i) = 0$  in  $A \otimes_R M$ . There exists a finitely generated submodule  $A_0 \leq A$  such that  $a_i \in A_0$  for all i, and  $\sum_{i=1}^{t} (a_i \otimes m_i) = 0$  in  $A_0 \otimes_R M$ .

Proof. Recall that

$$A \otimes_R M = \mathcal{F}_{ab} (A \times M) / K$$
,

where K is generated by certain relators. If  $\sum_{i=1}^{t} (a_i \otimes m_i) = 0$  in  $A \otimes_R M$ , then in  $F_{ab}(A \times M)$ , we have  $\sum_{i=1}^{t} (a_i \otimes m_i) \in K$ . So there exist relators  $s_1, \ldots, s_q$ , or their negations, such that

$$\sum_{i=1}^{t} (a_i \otimes m_i) = \sum_{i=1}^{q} s_i.$$

Only finitely many elements of A are involved in the relators  $s_1, \ldots, s_q$ . Let  $A_0$  be generated by these together with  $a_1, \ldots, a_t$ . Then certainly  $a_i \in A_0$  for all i. And  $\sum_{i=1}^t (a_i \otimes m_i) = \sum_{i=1}^q s_i$  in  $F_{ab}(A_0 \times M)$  so  $\sum_{i=1}^t (a_i \otimes m_i) = 0$  in  $A_0 \otimes_R M$ . Clearly  $A_0$  is finitely generated.

**Lemma 4.16.** Let  $F = F(S) = \bigoplus_{s \in S} R$ . Let U be a finitely generated submodule of F. Then there exists a finite  $T \subseteq S$  such that  $U \subseteq F(T)$ , and for any M, the map  $F(T) \otimes_R M \to F(S) \otimes_R M$  is injective.

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*Proof.* Let  $u_1, \ldots, u_q$  be generators for U. Every  $u_i$  is an R-linear combination of elements of S. Since each of these linear combinations mentions only finitely many elements of S, there is a finite subset  $T \subseteq S$  such that every  $u_i$  is an R-linear combination of elements of T. So  $U \leq F(T)$ . We have

$$F(S) = F(T) \oplus F(S \setminus T)$$

and so

$$F(S) \otimes_R M \cong (F(T) \otimes_R M) \oplus (F(S \setminus T) \otimes_R M)$$
.

It follows that the natural map  $F(T) \otimes_R M \to F(S) \otimes_R M$  is injective.

Lemma 4.15 and Lemma 4.16 tell us that if F is free and  $U \leq F$ , and if M is an R-module, if  $U \otimes_R M \to F \otimes_R M$  is not injective, then there exists a finitely generated  $U_0 < U$  and a finite rank free submodule  $F_0 < F$  such that  $U_0 \otimes_R M \to F_0 \otimes_R M$  is not injective.

**Lemma 4.17.** Let R be a PID. Let F be free, and  $U \leq F$ . Let M be torsion-free. Then  $U \otimes_R M \to F \otimes_R M$  is injective.

*Proof.* We assume that  $F = \mathbb{R}^n$ . We do this by induction on n.

Base case. Let n=1. So F is R, and U is an ideal of R. By Lemma 4.14,  $U \otimes_R M \to F \otimes_R M$  is injective in this case.

Inductive hypothesis.  $U \leq F = R^{n-1}$  implies that  $U \otimes_R M \to F \otimes_R M$  is injective.

Inductive step. Assume  $U \leq F = \mathbb{R}^n$ . Write  $\mathbb{R}^n = \mathbb{R} \oplus \mathbb{R}^{n-1}$ . So we have a short exact sequence

$$0 \to R \to R^n \to R^{n-1} \to 0$$
.

We also have a short exact sequence

$$0 \to U_1 \to U \to \pi_{R^{n-1}}(U) \to 0$$
,

where  $U_1 = U \cap (R \oplus 0^{n-1})$ . Identifying R with  $R \oplus 0^{n-1}$ , we get a commuting diagram

$$0 \longrightarrow U_1 \longrightarrow U \longrightarrow \pi_{R^{n-1}}(U) \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow R \longrightarrow R^n \longrightarrow R^{n-1} \longrightarrow 0$$

where the vertical maps are inclusions, and the rows are exact. Tensoring everything with M, we get a new commuting diagram

$$U_{1} \otimes_{R} M \longrightarrow U \otimes_{R} M \longrightarrow \pi_{R^{n-1}} (U) \otimes_{R} M \longrightarrow 0$$

$$\downarrow^{f} \qquad \qquad \downarrow^{g} \qquad \qquad \downarrow^{h}$$

$$0 \longrightarrow R \otimes_{R} M \longrightarrow R^{n} \otimes_{R} M \longrightarrow R^{n-1} \otimes_{R} M \longrightarrow 0$$

The initial zero in the bottom row comes from the fact that

$$0 \to R \to R^n \to R^{n-1} \to 0$$

is split, since  $R^n = R \oplus R^{n-1}$ , and so

$$R^n \otimes_R M \cong (R \otimes_R M) \oplus (R^{n-1} \otimes_R M)$$
.

Now f is injective by Lemma 4.14, and h is injective by the inductive hypothesis. The snake lemma tells us that the sequence

$$\operatorname{Ker} f \to \operatorname{Ker} g \to \operatorname{Ker} h$$

is exact at  $\operatorname{Ker} g$ . So

$$0 \to \operatorname{Ker} q \to 0$$

is exact, and so Ker g = 0. So g is injective, and this completes the induction.

Proof of Proposition 4.13. Prove that if  $\alpha: A \to B$  is injective, and M is torsion-free, over a PID R, then  $\alpha': A \otimes_R M \to B \otimes_R M$  is injective. There exists a free module F such that B is quotient of F. So there is a short exact sequence

$$0 \to K \to F \xrightarrow{\delta} B \to 0.$$

Now  $A \cong \alpha A = \operatorname{Im} \alpha$ . Let  $F_A$  be the  $\delta$ -preimage of  $\alpha A$ . Then  $K < F_A$ , and we have another short exact sequence

$$0 \to K \to F_A \to \alpha A \to 0$$
.

We have a commuting diagram

$$0 \longrightarrow K \longrightarrow F_A \longrightarrow \alpha A \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow K \longrightarrow F \longrightarrow B \longrightarrow 0$$

Tensoring with M,

is commuting, and exact along rows. Let  $u \in \operatorname{Ker} g \leq \alpha A \otimes_R M \cong A \otimes_R M$ . Since  $\gamma$  is surjective, there is  $w \in F_A \otimes_R M$  with  $\gamma(w) = u$ . So  $(g \circ \gamma)(w) = 0$ . So  $(\epsilon \circ f)(w) = 0$ . So  $f(w) \in \operatorname{Ker} \epsilon = \operatorname{Im} \delta$ , so  $f(w) = \delta(k)$  for  $k \in K \otimes_R M$ . Since f is injective, by Lemma 4.17, we get  $w = \beta(k) \in \operatorname{Im} \beta$ . So  $w \in \operatorname{Ker} \gamma$ , so u = 0. Hence g is injective, as required.

We have shown that if R is a PID, and if M is torsion-free, then M is flat.

### 4.4 Modules over PIDs

For an R-module M

 $\text{free} \implies \text{projective} \implies \text{flat} \implies \text{torsion-free}, \qquad \text{injective} \implies \text{divisible}.$ 

Over a PID

free  $\iff$  projective  $\implies$  flat  $\iff$  torsion-free, injective  $\iff$  divisible.

Do we have projective if and only if flat, over a general ring, or over a PID? The answer is no.

**Example.** The  $\mathbb{Z}$ -module  $\mathbb{Q}$  is torsion-free, so flat. Is  $\mathbb{Q}$  projective? Is  $\mathbb{Q}$  free, since  $\mathbb{Z}$  is a PID? Consider a free  $\mathbb{Z}$ -module  $F = \bigoplus_{s \in S} \mathbb{Z}$ . Let  $s_0 \in S$ . Then let

$$x = (x_s)_{s \in S} = \begin{cases} 1 & s = s_0 \\ 0 & \text{otherwise} \end{cases} \in F.$$

It is clear there are no  $y \in F$  such that 2y = x. So x is not a divisible element of F. Indeed,  $D(F) = \{0\}$ . But  $D(\mathbb{Q}) = \mathbb{Q}$ . Hence  $\mathbb{Q} \not\cong F$ . So  $\mathbb{Q}$  is an example of a flat module which is not projective.

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## 5 Projective and injective resolutions

**Definition 5.1.** Let M be an R-module. A **resolution**, or **left resolution**, for M is a sequence of R-modules  $A_0, A_1, A_2, \ldots$ , with homomorphisms  $d: A_{i+1} \to A_i$ , and also a homomorphism  $A_0 \to M$ , such that

$$\cdots \to A_2 \xrightarrow{d} A_1 \xrightarrow{d} A_0 \to M \to 0$$

is an exact sequence, where d is the **differential**. If all of the modules  $A_i$  have a property  $\mathcal{P}$ , we call this a  $\mathcal{P}$ -resolution.

So we can talk about free resolutions, projective resolutions, flat resolutions. We do not use the term injective resolution in this context.

**Definition 5.2.** A **right resolution**, or **coresolution**, for M is a sequence of R-modules  $A^0, A^1, A^2, \ldots$ , with homomorphisms  $d: A^i \to A^{i+1}$ , and  $M \to A^0$ , such that

$$0 \to M \to A^0 \xrightarrow{d} A^1 \xrightarrow{d} A^2 \to \dots$$

is exact. If the modules  $A^i$  have a property  $\mathcal{P}$ , we can refer to a **right**  $\mathcal{P}$ -resolution.

An injective resolution always means a right injective resolution.

## 5.1 Existence of projective resolutions

**Proposition 5.3.** Let M be an R-module. Then M has free, projective, and flat resolutions.

*Proof.* Since free implies projective implies flat, it is enough to show that free resolutions exist. Use the fact that for any module L, there exists a free module F and  $K \leq F$  such that  $L \cong F/K$ . So we get a short exact sequence

$$0 \to K \to F \to L \to 0.$$

It follows that we can find  $F_0, F_1, F_2, \ldots$ , and  $K_0 \leq F_0, K_1 \leq F_1, K_2 \leq F_2, \ldots$  such that

$$0 \to K_0 \to F_0 \to M \to 0$$
,  $0 \to K_1 \to F_1 \to K_0 \to 0$ ,  $0 \to K_2 \to F_2 \to K_1 \to 0$ , ...

are all exact. Since  $K_i \leq F_i$ , we may consider the maps  $F_{i+1} \to K_i$  as maps  $F_{i+1} \to F_i$  with image  $K_i$ . But  $K_i$  is the kernel of the map  $F_i \to K_{i-1}$ , so the sequence

$$\cdots \rightarrow F_2 \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$$

is exact, and a free resolution for M.

## 5.2 Existence of injective resolutions

Injective coresolutions exist too, but the proof is more intricate. It involves making use of properties of the abelian group  $\mathbb{Q}/\mathbb{Z}$ .

**Proposition 5.4.** Let A be an abelian group, and let  $a \in A \setminus \{0\}$ . There is a homomorphism  $f : A \to \mathbb{Q}/\mathbb{Z}$  such that  $f(a) \neq 0$ .

*Proof.* Start by defining  $f_0: \langle a \rangle \to \mathbb{Q}/\mathbb{Z}$ . If a has finite order t, then  $f_0: a \mapsto 1/t + \mathbb{Z}$ . If a has infinite order, then  $f_0: a \mapsto \frac{1}{2} + \mathbb{Z}$ . We will use Zorn's lemma. Let X be the set

$$\{(B, f) \mid B \leq A, \ a \in B, \ f : B \to \mathbb{Q}/\mathbb{Z}, \ f \text{ extends } f_0\}.$$

Then X is non-empty, since  $(\langle a \rangle, f_0) \in X$ . Define a partial order  $\leq$  on X by  $(B_1, f_1) \leq (B_2, f_2)$  if  $B_1 \leq B_2$  and  $f_2$  extends f. Let  $\{(B_s, f_s) \mid s \in S\}$  be a chain in X, where S is a suitable indexing set. Then  $\{B_s \mid s \in S\}$  is a chain of subgroups of A. So the union  $B = \bigcup_{s \in S} B_s$  is a subgroup of A, containing a. Define

$$\begin{array}{cccc} f & : & B & \longrightarrow & \mathbb{Q}/\mathbb{Z} \\ & b & \longmapsto & f_s\left(b\right) \end{array}, \qquad b \in B_s.$$

This is well-defined since if  $b \in B_t$  then  $f_s(b) = f_t(b)$ . Now (B, f) is an upper bound for  $\{B_s \mid s \in S\}$  in X. So by Zorn's lemma, X has a maximal element, which we will call (B, f). We show that B = A. Since  $f(a) = f_0(a)$ , this will complete the proof. Suppose  $x \in A \setminus B$ . Then let  $I < \mathbb{Z}$  be defined by

$$I = \{k \mid kx \in B\}.$$

Since  $\mathbb{Z}$  is a PID, we have  $I = n\mathbb{Z}$  for some n. We have  $\langle B, x \rangle \leq A$ , and  $\langle B, x \rangle \cong B \oplus \langle x \rangle / \langle nx - b_0 \rangle$ , where  $b_0 = nx$  in A. Define

$$\phi : B \oplus \langle x \rangle \longrightarrow \mathbb{Q}/\mathbb{Z}$$
$$(b, kx) \longmapsto f(b) + \frac{kf(b_0)}{n},$$

so sending x to  $f(b_0)/n$ . We see that  $\phi(nx - b_0) = 0$ , so  $\phi$  induces a map  $B \oplus \langle x \rangle / \langle nx - b_0 \rangle \to \mathbb{Q}/\mathbb{Z}$ , and hence a map  $f' : \langle B, x \rangle \to \mathbb{Q}/\mathbb{Z}$ . But  $f'(a) = f_0(a)$ , so  $(\langle B, x \rangle, f)$  is an element of X greater than (B, f), contradicting maximality of (B, f). Hence B = A as required.

**Proposition 5.5.** For every abelian group A, there is an injective abelian group I such that A is isomorphic to a subgroup of I.

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*Proof.* We know that  $\mathbb{Q}/\mathbb{Z}$  is injective, as a  $\mathbb{Z}$ -module, since it is divisible, and  $\mathbb{Z}$  is a PID. So  $\prod_{s \in S} \mathbb{Q}/\mathbb{Z}$  is also injective. Take  $S = A \setminus \{0\}$ . Then define, for each  $s \in S$ ,  $f_s : A \to \mathbb{Q}/\mathbb{Z}$  such that  $f_s(s) \neq 0$ . Define

$$\begin{array}{ccc} f & : & A & \longrightarrow & \prod_{s \in S} \mathbb{Q}/\mathbb{Z} \\ & a & \longmapsto & (f_s(a))_{s \in S} \end{array}.$$

Now if  $s \in A \setminus \{0\}$ , then  $f_s(s) \neq 0$ , so  $f(s) \neq 0$ . So f is injective. It is easy to check that f is a homomorphism.

**Proposition 5.6.** Let M be a right R-module, and let A be an abelian group. Then  $\operatorname{Hom}_{\mathbb{Z}}(M,A)$  is a left R-module, with the R-action defined by (rf)(m) = f(mr).

*Proof.* This is clearer if we write the map f on the right instead of the left. Then the proposition becomes (m)(rf) = (mr)f, and it is easy to see this works.

**Proposition 5.7.** Let M be a left R-module, and A an abelian group. Then  $\operatorname{Hom}_{\mathbb{Z}}(R,A)$  is a left R-module, and there is a natural isomorphism

$$\operatorname{Hom}_{R}(M, \operatorname{Hom}_{\mathbb{Z}}(R, A)) \cong \operatorname{Hom}_{\mathbb{Z}}(M, A)$$
.

*Proof.* Write  $H = \operatorname{Hom}_{\mathbb{Z}}(R, A)$ . Define

$$\begin{array}{ccccc} \Phi & : & \operatorname{Hom}_{R}\left(M,H\right) & \longrightarrow & \operatorname{Hom}_{\mathbb{Z}}\left(M,A\right) \\ & f & \longmapsto & \left(m \mapsto f\left(m\right)\left(1\right)\right) \end{array}, \qquad m \in M, \qquad 1 \in R.$$

Check the following.

•  $\Phi(f)$  is a homomorphism, since

$$\Phi(f)(m_1 + m_2) = f(m_1 + m_2)(1) 
= (f(m_1) + f(m_2))(1) 
= f(m_1)(1) + f(m_2)(1) definition of + in HomZ(R, A) 
= \Phi(f)(m_1) + \Phi(f)(m_2).$$

•  $\Phi$  is a homomorphism, since

$$\Phi(f_1 + f_2)(m) = (f_1 + f_2)(m)(1) 
= (f_1(m) + f_2(m))(1) definition of + in HomZ(M, A) 
= f_1(m)(1) + f_2(m)(1) 
= \Phi(f_1)(m) + \Phi(f_2)(m) 
= (\Phi(f_1) + \Phi(f_2))(m) definition of + in HomZ(M, A),$$

so since m was arbitrary,  $\Phi(f_1 + f_2) = \Phi(f_1) + \Phi(f_2)$ .

Now define

$$\Psi : \operatorname{Hom}_{\mathbb{Z}}(M, A) \longrightarrow \operatorname{Hom}_{R}(M, H) p \longmapsto (m \mapsto (r \mapsto p(rm))) , \quad m \in M, \quad r \in R.$$

Check the following.

•  $\Psi(p)(m)$  is a homomorphism, since

$$\Psi(p)(m)(r_1 + r_2) = p((r_1 + r_2)m) = p(r_1m + r_2m)$$
  
=  $p(r_1m) + p(r_2m) = \Psi(p)(m)(r_1) + \Psi(p)(m)(r_1)$ .

•  $\Psi(p)$  is an R-module homomorphism, since

$$\Psi(p)(m_1 + m_2)(r) = p(r(m_1 + m_2)) = p(rm_1 + rm_2) = p(rm_1) + p(rm_2)$$
  
=  $\Psi(p)(m_1)(r) + \Psi(p)(m_2)(r) = (\Psi(p)(m_1) + \Psi(p)(m_2))(r)$ ,

so  $\Psi(p)(m_1 + m_2) = \Psi(p)(m_1) + \Psi(p)(m_2)$ , and for  $h \in H$ , we have (sh)(r) = h(rs), by definition of the R-module structure on H, so

$$s\Psi(p)(m)(r) = \Psi(p)(m)(rs) = p(rsm) = \Psi(p)(sm)(r),$$

so 
$$s\Psi(p)(m) = \Psi(p)(sm)$$
.

•  $\Psi$  is a homomorphism, since

$$\Psi(p_1 + p_2)(m)(r) = (p_1 + p_2)(rm) = p_1(rm) + p_2(rm)$$
  
=  $\Psi(p_1)(m)(r) + \Psi(p_2)(m)(r) = (\Psi(p_1) + \Psi(p_2))(m)(r)$ ,

so 
$$\Psi(p_1 + p_2) = \Psi(p_1) + \Psi(p_2)$$
.

Then  $\Psi \circ \Phi = \mathrm{id}_{\mathrm{Hom}_R(M,H)}$  and  $\Phi \circ \Psi = \mathrm{id}_{\mathrm{Hom}_Z(M,A)}$ . <sup>4</sup> Hence  $\Phi$  and  $\Psi$  are isomorphisms.

We are interested in the case  $A = \mathbb{Q}/\mathbb{Z}$ . Write  $S = \text{Hom}_{\mathbb{Z}}(M, \mathbb{Q}/\mathbb{Z})$ .

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#### **Proposition 5.8.** S is injective as a left R-module.

*Proof.* Let M and N be R-modules, and  $\alpha: M \to N$  an injective homomorphism. By identifying M with Im  $\alpha$ , we may assume that  $M \leq N$ , and  $\alpha$  is the inclusion map. Since  $\mathbb{Q}/\mathbb{Z}$  is injective as an abelian group, any  $\mathbb{Z}$ -module homomorphism  $M \to S$  extends to a homomorphism  $N \to S$ . Define

$$\begin{array}{cccc} \Theta & : & \operatorname{Hom}_{\mathbb{Z}}\left(N, \mathbb{Q}/\mathbb{Z}\right) & \longrightarrow & \operatorname{Hom}_{\mathbb{Z}}\left(M, \mathbb{Q}/\mathbb{Z}\right) \\ & f & \longmapsto & f|_{M} \end{array},$$

the restriction to M. We see that  $\Theta$  is surjective. Similarly, we can define

$$\begin{array}{cccc} \Theta' & : & \operatorname{Hom}_R\left(N,S\right) & \longrightarrow & \operatorname{Hom}_R\left(M,S\right) \\ & f & \longmapsto & f|_M \end{array}.$$

Then  $\Theta'$  is an abelian group homomorphism. But we know there is a naturally defined isomorphism between  $\operatorname{Hom}_R(M,S)$  and  $\operatorname{Hom}_{\mathbb{Z}}(M,\mathbb{Q}/\mathbb{Z})$ . So we get

$$\begin{array}{ccc} \operatorname{Hom}_{\mathbb{Z}}\left(N,\mathbb{Q}/\mathbb{Z}\right) & \xrightarrow{\Theta} & \operatorname{Hom}_{\mathbb{Z}}\left(M,\mathbb{Q}/\mathbb{Z}\right) \\ \sim & \downarrow_{\Psi} & \sim & \downarrow_{\Psi} & \cdot \\ \operatorname{Hom}_{R}\left(N,S\right) & \xrightarrow{\Theta'} & \operatorname{Hom}_{R}\left(M,S\right) \end{array}$$

It is easy to see that this diagram commutes. It follows that  $\Theta'$  is surjective. So any R-module homomorphism  $M \to S$  extends to a homomorphism  $N \to S$ . Hence S is injective.

 $<sup>^4{\</sup>rm Exercise}$ 

**Proposition 5.9.** Let M be a left R-module, and  $m \in M \setminus \{0\}$ . Then there exists  $f: M \to S$  such that  $f(m) \neq 0$ .

*Proof.* We know there is an abelian group homomorphism  $g: M \to \mathbb{Q}/\mathbb{Z}$  such that  $g(m) \neq 0$ . Now  $\Psi(g) \in \operatorname{Hom}_R(M, S)$ , and  $\Psi(g)(m)(1) = g(m) \neq 0$  for  $1 \in R$ , so  $\Psi(g)(m)$  is not the zero map.

**Proposition 5.10.** Let M be a left R-module. There exists an injective R-module I such that M is isomorphic to a submodule of I. Equivalently, there exists an injection  $M \to I$ .

*Proof.* Same as abelian groups. Let  $T = M \setminus \{0\}$ . Then  $I = \prod_{t \in T} S$  is injective. Let  $f_t$  be a homomorphism  $M \to S$  such that  $f_t(t) \neq 0$ . Then

$$f: M \longrightarrow I$$
  
 $m \longmapsto (f_t(m))_{t \in T}$ 

is injective, and a homomorphism.

Proposition 5.11. Every R-module admits an injective resolution.

Thus there exists injective  $I_0, I_1, I_2, \ldots$  such that

$$0 \to M \to I_0 \to I_1 \to I_2 \to \dots$$

is exact.

*Proof.* Let M be an R-module. Then M injects into some injective module  $I_0$ . Let  $C_0 = I_0 / \text{Im} (M \to I_0)$ . Then  $C_0$  injects into some injective  $I_1$ . This induces a map  $I_0 \to I_1$  whose kernel is  $\text{Im} (M \to I_0)$ . Further terms in the sequence are constructed in an identical manner.

## 5.3 Uniqueness of projective resolutions

**Proposition 5.12.** Let M and N be R-modules, and  $\phi: M \to N$ . Let  $(P_i)$  be a projective resolution for M, and  $(Q_i)$  a projective resolution for N.

1. There exist R-module homomorphisms  $f_i: P_i \to Q_i$  such that the diagram

$$\dots \xrightarrow{d_2} P_2 \xrightarrow{d_1} P_1 \xrightarrow{d_0} P_0 \xrightarrow{p} M \xrightarrow{0} 0$$

$$\downarrow f_2 \qquad \downarrow f_1 \qquad \downarrow f_0 \qquad \downarrow \phi$$

$$\dots \xrightarrow{d'_2} Q_2 \xrightarrow{d'_1} Q_1 \xrightarrow{d'_0} Q_0 \xrightarrow{q} N \xrightarrow{0} 0$$

commutes.

2. Let  $g_i: P_i \to Q_i$  be such that the diagram

$$\dots \xrightarrow{d_2} P_2 \xrightarrow{d_1} P_1 \xrightarrow{d_0} P_0 \xrightarrow{p} M \longrightarrow 0$$

$$g_2 \left( \begin{array}{c} \\ \\ \\ \end{array} \right) f_2 \xrightarrow{g_1} \left( \begin{array}{c} \\ \\ \end{array} \right) f_1 \xrightarrow{g_0} \left( \begin{array}{c} \\ \\ \end{array} \right) f_0 \xrightarrow{q} M \longrightarrow 0$$

$$\dots \xrightarrow{d_2'} Q_2 \xrightarrow{d_1'} Q_1 \xrightarrow{d_0'} Q_0 \xrightarrow{q} N \longrightarrow 0$$

commutes. Then there exist homomorphisms  $s_i: P_i \to Q_{i+1}$  such that

$$g_i - f_i = \begin{cases} s_{i-1} \circ d_{i-1} + d'_i \circ s_i & i > 0 \\ d'_0 \circ s_0 & i = 0 \end{cases},$$

so

Proof.

1. The map  $q: Q_0 \to N$  is surjective. There is a map  $p: P_0 \to N$ , given by composing  $P_0 \to M$  with  $\phi$ . Since  $P_0$  is projective there exists  $f_0: P_0 \to Q_0$  such that  $p = q \circ f_0$ . Suppose the maps  $f_0, \ldots, f_{t-1}$  have been constructed, so

$$\dots \xrightarrow{d_t} P_t \xrightarrow{d_{t-1}} P_{t-1} \xrightarrow{d_{t-2}} P_{t-2} \longrightarrow \dots$$

$$\downarrow^{f_t} \qquad \downarrow^{f_{t-1}} \qquad \downarrow^{f_{t-2}}$$

$$\dots \xrightarrow{d'_t} Q_t \xrightarrow{d'_{t-1}} Q_{t-1} \xrightarrow{d'_{t-2}} Q_{t-2} \longrightarrow \dots$$

Observe that  $d'_{t-2} \circ f_{t-1} \circ d_{t-1} = f_{t-2} \circ d_{t-2} \circ d_{t-1}$ , since the existing squares of the diagram commute. But  $d_{t-2} \circ d_{t-1} = 0$ . So  $d'_{t-2} \circ f_{t-1} \circ d_{t-1} = 0$ , so  $\operatorname{Im}(f_{t-1} \circ d_{t-1}) \leq \operatorname{Ker} d'_{t-2} = \operatorname{Im} d'_{t-1}$ . Now the map  $d'_{t-1} : Q_t \to \operatorname{Im} d'_{t-1}$  is obviously surjective, and  $P_t$  is projective. So there is a map  $f_t : P_t \to Q_t$  such that  $f_{t-1} \circ d_{t-1} = d'_{t-1} \circ f_t$ . Now inductively, maps  $f_i$  exist for all i.

2. We want  $s_i$  such that  $g_i - f_i = d'_i \circ s_i + s_{i-1} \circ d_{i-1}$ . Let  $h_i = g_i - f_i$ . We see that the diagram

$$\dots \xrightarrow{d_2} P_2 \xrightarrow{d_1} P_1 \xrightarrow{d_0} P_0 \xrightarrow{p} M \longrightarrow 0$$

$$\downarrow^{h_2} \qquad \downarrow^{h_1} \qquad \downarrow^{h_0} \qquad \downarrow^{0}$$

$$\dots \xrightarrow{d'_2} Q_2 \xrightarrow{d'_1} Q_1 \xrightarrow{d'_0} Q_0 \xrightarrow{q} N \longrightarrow 0$$

commutes, since we want  $h_i \circ d_i = d'_i \circ h_{i+1}$ , but we have

$$h_i \circ d_i = g_i \circ d_i - f_i \circ d_i = d'_i \circ g_{i+1} - d'_i \circ f_{i+1} = d'_i \circ h'_{i+1},$$

so we are fine.

Base case. Let  $x \in P_0$ . Then  $(q \circ h_0)(x) = (0 \circ p)(x) = 0$  so  $\operatorname{Im} h_0 \leq \operatorname{Ker} q = \operatorname{Im} d'_0$ . We have a surjective map  $d'_0: Q_1 \to \operatorname{Im} d'_0$ , and a map  $h_0: P_0 \to \operatorname{Im} d'_0$ . Since  $P_0$  is projective, there exists  $s_0: P_0 \to Q_1$  such that  $h_0 = d'_0 \circ s_0$ .

Inductive step. Suppose we have maps  $s_0, \ldots, s_{t-1}$ , with  $s_i : P_i \to Q_{i+1}$ , and  $h_i = d'_i \circ s_i + s_{i-1} \circ d_{i-1}$  for  $i = 1, \ldots, t-1$ , so

Look at  $h_t - s_{t-1} \circ d_{t-1}$ . We want to show that the image of this map is contained in  $\operatorname{Im} d'_t = \operatorname{Ker} d'_{t-1}$ . So check

$$\begin{split} d'_{t-1} \circ (h_t - s_{t-1} \circ d_{t-1}) &= d'_{t-1} \circ h_t - d'_{t-1} \circ s_{t-1} \circ d_{t-1} \\ &= h_{t-1} \circ d_{t-1} - (h_{t-1} - s_{t-2} \circ d_{t-2}) \circ d_{t-1} \\ &= h_{t-1} \circ d_{t-1} - h_{t-1} \circ d_{t-1} + s_{t-2} \circ d_{t-2} \circ d_{t-1}. \end{split}$$

Now  $d_{t-2} \circ d_{t-1} = 0$ , so we have  $d'_{t-1} \circ (h_t - s_{t-1} \circ d_{t-1}) = 0$ . So  $h_t - s_{t-1} \circ d_{t-1} \in \text{Ker } d'_{t-1}$ . Now we have the situation

$$Q_{t+1} \xrightarrow{s_t} P_t \\ \downarrow^{h_t - s_{t-1} \circ d_{t-1}},$$

$$Q_{t+1} \xrightarrow{d'_t} \operatorname{Im} d'_t$$

and since  $P_t$  is projective, there exists  $s_t$  such that  $d_t' \circ s_t = h_t - s_{t-1} \circ d_{t-1}$ , so  $h_t = d_t' \circ s_t + s_{t-1} \circ d_{t-1}$  as required.

## 5.4 Uniqueness of injective resolutions

The following is the equivalent result for injectives.

**Proposition 5.13.** Let M and N be R-modules, and  $\phi: M \to N$  a homomorphism. Let  $(I_t)$  be an injective resolution for M, and  $(J_t)$  another injective resolution for N. Then

• there exist maps  $f_i: I_i \to J_i$  such that the diagram

$$0 \longrightarrow M \xrightarrow{i} I_0 \xrightarrow{d_0} I_1 \xrightarrow{d_1} I_2 \xrightarrow{d_2} \dots$$

$$\downarrow^{\phi} \qquad \downarrow^{f_0} \qquad \downarrow^{f_1} \qquad \downarrow^{f_2} \downarrow$$

$$0 \longrightarrow N \xrightarrow{j} J_0 \xrightarrow{d'_0} J_1 \xrightarrow{d'_1} J_2 \xrightarrow{d'_2} \dots$$

commutes, and

• if  $(g_i)$  is another set of maps  $g_i: I_i \to J_i$  such that the diagram

$$0 \longrightarrow M \xrightarrow{i} I_0 \xrightarrow{d_0} I_1 \xrightarrow{d_1} I_2 \xrightarrow{d_2} \dots$$

$$\downarrow^{\psi} f_0 \left( \bigvee_{g_0} g_0 f_1 \left( \bigvee_{g_1} g_1 f_2 \left( \bigvee_{g_2} g_2 \right) \right) \right)$$

$$0 \longrightarrow N \xrightarrow{j} J_0 \xrightarrow{d'_0} J_1 \xrightarrow{d'_1} J_2 \xrightarrow{d'_2} \dots$$

commutes, then there exist maps  $s_i: I_{i+1} \to J_i$  such that

$$g_i - f_i = \begin{cases} s_i \circ d_i + d'_{i-1} \circ s_{i-1} & i > 0 \\ s_0 \circ d_0 & i = 0 \end{cases},$$

so

$$0 \longrightarrow M \xrightarrow{i} I_0 \xrightarrow{d_0} I_1 \xrightarrow{d_1} I_2 \xrightarrow{d_2} \dots$$

$$\downarrow^{\psi} \qquad \qquad \downarrow^{s_0} \qquad \qquad \downarrow^{s_1} \qquad \qquad \downarrow^{s_2} \qquad \qquad \downarrow^{s_2$$

*Proof.* Very similar to Proposition 5.12.

Lecture 19 is a problems class.

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## 6 Chain and cochain complexes

### 6.1 Chain complexes

**Definition 6.1.** A chain complex is a series  $A_* = (A_i)$ , with maps  $d_i^A = d_i = d : A_{i+1} \to A_i$  such that  $d^2 = 0$ , that is  $d_{i+1} \circ d_i = 0$ , or  $\text{Im } d_{i+1} \leq \text{Ker } d_i$ .

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**Definition 6.2.** A **cochain complex** is a series  $A^* = (A^i)$  with maps  $d_i^A = d_i = d : A^i \to A^{i+1}$  such that  $d^2 = 0$ , or Im  $d_i \leq \text{Ker } d_{i+1}$ .

Let  $A_*$  and  $B_*$  be chain complexes. Let  $f = (f_i)$  be a family of R-module homomorphisms  $f_i : A_i \to B_i$ . Say that f is a **map of chain complexes** if  $f \circ d = d \circ f$ , that is  $f_i \circ d_i^A = d_i^B \circ f_{i+1}$ . So

$$\dots \longrightarrow A_{n+1} \xrightarrow{d_n} A_n \xrightarrow{d_{n-1}} A_{n-1} \longrightarrow \dots$$

$$\downarrow^{f_{n+1}} \qquad \downarrow^{f_n} \qquad \downarrow^{f_{n-1}}$$

$$\dots \longrightarrow B_{n+1} \xrightarrow{d_n} B_n \xrightarrow{d_{n-1}} A_{n-1} \longrightarrow \dots$$

commutes. Say that f has property  $\mathcal{P}$  if all  $f_i$  have property  $\mathcal{P}$ , where  $\mathcal{P}$  is injective, surjective, etc. A sequence

$$A_* \xrightarrow{f} B_* \xrightarrow{g} C_*$$

is **exact** at  $B_*$  if

$$A_n \xrightarrow{f_n} B_n \xrightarrow{g_n} C_n$$

is exact at  $B_n$  for all n. A sequence of chain complexes is **exact** if it is exact everywhere. An **exact** sequence

$$0 \to A_* \to B_* \to C_* \to 0$$

is a short exact sequence of chain complexes.

## 6.2 Homology groups

**Definition 6.3.** Let  $A_*$  be a chain complex. The *n*-th homology group of  $A_*$  is  $\operatorname{Ker} d_{n-1}/\operatorname{Im} d_n$ . We write  $\operatorname{H}_n(A_*)$ . Also write  $\operatorname{H}_*(A_*) = (\operatorname{H}_n(A_*))$ .

**Definition 6.4.** Let  $A^*$  be a cochain complex. The n-th cohomology group of  $A^*$  is  $\operatorname{Ker} d_n / \operatorname{Im} d_{n-1}$ . We write  $\operatorname{H}^n(A^*)$ , and  $\operatorname{H}^*(A^*) = (\operatorname{H}^n(A^*))$ .

**Example.** Let  $A_i = \mathbb{Z}^3$  for all i, and let d(a, b, c) = (0, 0, a). Certainly  $d^2 = 0$ , so this is a chain complex. Then

$$\operatorname{Ker} d = \{(0, b, c)\} = 0 \oplus \mathbb{Z}^2, \quad \operatorname{Im} d = \{(0, 0, a)\} = 0^2 \oplus \mathbb{Z}.$$

Now

$$\operatorname{Ker} d_{n-1} / \operatorname{Im} d_n = \{(0, b, 0) + 0^2 \oplus \mathbb{Z}\}.$$

**Proposition 6.5.** A map of chain complexes  $f: A_* \to B_*$  induces a map on the homology,

$$f_*: H_*(A_*) \to H_*(B_*),$$

given by

$$\begin{array}{cccc} f_{*i} & : & \operatorname{H}_{i}\left(A_{*}\right) & \longrightarrow & \operatorname{H}_{i}\left(B_{*}\right) \\ & x + \operatorname{Im} d_{i}^{A} & \longmapsto & f_{i}\left(x\right) + \operatorname{Im} d_{i}^{B} \end{array}.$$

Proof. Let  $x \in \operatorname{Ker} d_{i-1}^A$ . Then  $(f_{i-1} \circ d_{i-1}^A)(x) = 0$ , so  $(d_{i-1}^B \circ f_i)(x) = 0$ . Hence  $f_i(x) \in \operatorname{Ker} d_{i-1}^B$ . So  $f_i$  certainly induces a map  $\overline{f_i} : \operatorname{Ker} d_{i-1}^A \to \operatorname{Ker} d_{i-1}^B / \operatorname{Im} d_i^B$ . So there exists  $y \in A_{i+1}$  with  $d_i^A(y) = x$ . Now  $f_i(x) = (f_i \circ d_i^A)(y) = (d_i^B \circ f_{i+1})(y) \in \operatorname{Im} d_i^B$ , so  $\overline{f_i}(x) = 0$ . Hence  $\operatorname{Im} d_i^A \leq \operatorname{Ker} \overline{f_i}$  induces a map

$$\operatorname{Ker} d_{i-1}^A / \operatorname{Im} d_i^A = \operatorname{H}_i \left( A_* \right) \to \operatorname{Ker} d_{i-1}^B / \operatorname{Im} d_i^B = \operatorname{H}_i \left( B_* \right).$$

Let  $A_*$  and  $B_*$  be chain complexes, and let f and g be maps between them. We say that f and g are **equal** up to homotopy if there exist maps  $s_i: A_i \to B_{i+1}$  such that

$$g_i - f_i = s_{i-1} \circ d_{i-1}^A + d_i^B \circ s_i.$$

**Proposition 6.6.** If  $f, g: A_* \to B_*$  are equal up to homotopy, then  $f_* = g_*$ , so f and g induce the same map on homology.

*Proof.* Exercise.  $^{5}$ 

## 6.3 The long exact sequence in homology

#### Proposition 6.7. Let

$$0 \to A_* \xrightarrow{f} B_* \xrightarrow{g} C_* \to 0$$

be a short exact sequence. This induces a long exact sequence

$$\cdots \to \operatorname{H}_{n+1}\left(A_{*}\right) \to \operatorname{H}_{n+1}\left(B_{*}\right) \to \operatorname{H}_{n+1}\left(C_{*}\right) \to \operatorname{H}_{n}\left(A_{*}\right) \to \operatorname{H}_{n}\left(B_{*}\right) \to \operatorname{H}_{n}\left(C_{*}\right) \to \ldots$$

*Proof.* We have a commuting diagram with exact rows

$$0 \longrightarrow A_{n+1} \xrightarrow{f_{n+1}} B_{n+1} \xrightarrow{g_{n+1}} C_{n+1} \longrightarrow 0$$

$$\downarrow d_n^A \qquad \downarrow d_n^B \qquad \downarrow d_n^C$$

$$0 \longrightarrow A_n \xrightarrow{f_n} B_n \xrightarrow{g_n} C_n \longrightarrow 0$$

Notice  $\operatorname{Im} d_n \leq \operatorname{Ker} d_{n-1}$ , so we can change this to

$$0 \longrightarrow A_{n+1} \xrightarrow{f_{n+1}} B_{n+1} \xrightarrow{g_{n+1}} C_{n+1} \longrightarrow 0$$

$$\downarrow d_n^A \qquad \qquad \downarrow d_n^B \qquad \qquad \downarrow d_n^C \qquad .$$

$$0 \longrightarrow \operatorname{Ker} d_{n-1}^A \xrightarrow{f_n} \operatorname{Ker} d_{n-1}^B \xrightarrow{g_n} \operatorname{Ker} d_{n-1}^C$$

Now Im  $d_{n+1} \leq \operatorname{Ker} d_n$ , so the maps  $A_{n+1} \to \operatorname{Ker} d_{n+1}$  induce maps  $A_{n+1} / \operatorname{Im} d_{n+1} \to \operatorname{Ker} d_{n-1}$ . So we get a diagram

$$A_{n+1}/\operatorname{Im} d_{n+1}^{A} \xrightarrow{f_{n+1}} B_{n+1}/\operatorname{Im} d_{n+1}^{B} \xrightarrow{g_{n+1}} C_{n+1}/\operatorname{Im} d_{n+1}^{C} \longrightarrow 0$$

$$\downarrow^{\overline{d_n^A}} \qquad \downarrow^{\overline{d_n^B}} \qquad \downarrow^{\overline{d_n^C}} \qquad .$$

$$0 \longrightarrow \operatorname{Ker} d_{n-1}^{A} \xrightarrow{f_n} \operatorname{Ker} d_{n-1}^{B} \xrightarrow{g_n} \operatorname{Ker} d_{n-1}^{C}$$

We are now in the position to apply the snake lemma, so

$$\operatorname{Ker} \overline{d_n^A} \to \operatorname{Ker} \overline{d_n^B} \to \operatorname{Ker} \overline{d_n^C} \to \operatorname{Coker} \overline{d_n^A} \to \operatorname{Coker} \overline{d_n^B} \to \operatorname{Coker} \overline{d_n^C}$$

is an exact sequence. Then

$$\operatorname{Ker} \overline{d_{n}^{A}} = \operatorname{Ker} d_{n}^{A} / \operatorname{Im} d_{n+1}^{A} = \operatorname{H}_{n+1} \left( A_{*} \right), \qquad \operatorname{Coker} \overline{d_{n}^{A}} = \operatorname{Ker} d_{n-1}^{A} / \operatorname{Im} d_{n}^{A} = \operatorname{H}_{n} \left( A_{*} \right).$$

Similarly for  $B_*$  and  $C_*$ . So we have an exact sequence

$$H_{n+1}(A_*) \to H_{n+1}(B_*) \to H_{n+1}(C_*) \to H_n(A_*) \to H_n(B_*) \to H_n(C_*)$$
.

Since consecutive values of i give a sequence overlapping in three terms we can glue them together, to give the long exact sequence in the proposition.

<sup>&</sup>lt;sup>5</sup>Exercise

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## 7 Derived functors

#### 7.1 Covariant and contravariant functors

The following are two variations.

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**Definition 7.1.** A **covariant functor** F from the category of left or right R-modules to the category of abelian groups is a map from R-modules to abelian groups such that if  $\phi: M \to N$  is an R-module homomorphism then there exists an abelian group homomorphism  $F(\phi): F(M) \to F(N)$ , which respects identity maps, so  $F(\mathrm{id}_M) = \mathrm{id}_{F(M)}$ , and respects composition, so  $F(\phi_1 \phi_2) = F(\phi_1) F(\phi_2)$ .

The map F on homomorphisms is **additive** if  $F(\phi_1 + \phi_2) = F(\phi_1) + F(\phi_2)$ . If

$$0 \to A \to B \to C \to 0$$

be a short exact sequence, then F is **right exact** if

$$F(A) \rightarrow F(B) \rightarrow F(C) \rightarrow 0$$

is exact, left exact if

$$0 \to F(A) \to F(B) \to F(C)$$

is exact. Then F is **exact** if both left and right exact.

**Definition 7.2.** A contravariant functor F from the category of left or right R-modules to the category of abelian groups is a map from R-modules to abelian groups such that if  $\phi: M \to N$  is an R-module homomorphism then there exists an abelian group homomorphism  $F(\phi): F(N) \to F(M)$ , which respects identity maps, so  $F(\mathrm{id}_M) = \mathrm{id}_{F(M)}$ , and respects composition, so  $F(\phi_1 \phi_2) = F(\phi_2) F(\phi_1)$ .

Similarly, if

$$0 \to A \to B \to C \to 0$$

be a short exact sequence. then F is **right exact** if

$$F(C) \rightarrow F(B) \rightarrow F(A) \rightarrow 0$$

is exact, and left exact if

$$0 \to F(C) \to F(B) \to F(A)$$

is exact.

**Example.** Some functors we have seen. Fix a left R-module M.

•  $F(A) = \operatorname{Hom}_{R}(M, A)$ , where

$$\begin{array}{cccc} F\left(\phi\right) & : & F\left(A\right) = \operatorname{Hom}_{R}\left(M,A\right) & \longrightarrow & F\left(B\right) = \operatorname{Hom}_{R}\left(M,B\right) \\ f & \longmapsto & \phi \circ f \end{array}, \qquad \phi : A \to B,$$

is covariant, left exact, and exact if and only if M is projective.

•  $F(A) = \operatorname{Hom}_{R}(A, M)$ , where

$$\begin{array}{cccc} F\left(\phi\right) & : & F\left(B\right) = \operatorname{Hom}_{R}\left(B,M\right) & \longrightarrow & F\left(A\right) = \operatorname{Hom}_{R}\left(A,M\right) \\ f & \longmapsto & f\circ\phi \end{array}, \qquad \phi: A \to B,$$

is contravariant, left exact, and exact if and only if M is injective.

• For a right R-module A,  $F(A) = A \otimes_R M$  is covariant, right exact, and exact if and only if M is flat.

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#### 7.2 Left derived functors

Let F be the functor  $F(A) = A \otimes_R M$ , where M is a fixed R-module. Let  $P_* \to A$  be a projective resolution for A. So  $P_* = (P_i)_{i>0}$  for projective  $P_i$ , and

$$\cdots \to P_2 \xrightarrow{d_1} P_1 \xrightarrow{d_0} P_0 \xrightarrow{\phi} A \to 0$$

is exact. Consider the sequence

$$\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow 0.$$

This is no longer exact, but it is a chain complex. And if we apply F, we get a chain complex  $F(P_*)$ ,

$$\cdots \to F(P_2) \to F(P_1) \to F(P_0) \to 0.$$

Define

$$L_{n}F\left( A\right) =\mathrm{H}_{n}\left( F\left( P_{\ast}\right) \right) .$$

#### Theorem 7.3.

- 1.  $L_nF(A)$  does not depend on the choice of resolution  $P_*$ .
- 2.  $L_nF$  is an additive functor from right R-modules to abelian groups.
- 3.  $L_0F = F$ .

Proof.

- 1.
- 2.
- 3. We have a short exact sequence

$$0 \to \operatorname{Im} d_0 \xrightarrow{\subset} P_0 \xrightarrow{\phi} A \to 0.$$

Since F is right exact, we get an exact sequence

$$F(\operatorname{Im} d_0) \to F(P_0) \to F(A) \to 0.$$

Now  $d_0: P_1 \to \operatorname{Im} d_0$  is surjective, and F preserves surjectivity. So  $F(d_0): F(P_1) \to F(\operatorname{Im} d_0)$  is surjective. So

$$F(P_1) \rightarrow F(P_0) \rightarrow F(A) \rightarrow 0$$

is exact. So, setting  $P_{-1} = 0$ , we get  $L_0F(P_*) = F(P_0) / \operatorname{Im} F(d_0) = F(A)$ .