# M3P21 Geometry II: Algebraic Topology

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# 0 Introduction

# 0.1 Introduction

Lecture 1 Friday 11/01/19

Combines topological spaces with algebraic objects, which are groups.

- How to show that a torus is not homeomorphic to a sphere?
- How to show that  $\mathbb{R}^n \ncong \mathbb{R}^m$  if  $n \neq m$ ?

Content is fundamental groups and homology. We will follow chapter one and two from

• A Hatcher, Algebraic topology, 2002

The following are prerequisites.

- Point set topology. Topological spaces, continuous maps, product and quotient topologies, Hausdorff spaces, etc.
- Basic group theory. Normal subgroups and quotients, isomorphism theorems, free groups, presentation of groups, etc.

# 0.2 Some underlying geometric notions

# 0.2.1 Homotopy and homotopy type

Let X, Y be topological spaces and I = [0, 1].

**Definition.** A homotopy is a continuous map  $F: X \times I \to Y$ . For every  $t \in I$  we obtain a continuous map

$$f_t: X \rightarrow Y$$
  
 $x \mapsto f_t(x) = F(x,t)$ 

**Definition.** Two continuous maps  $f_0, f_1 : X \to Y$  are **homotopic** if there exists a homotopy  $F : X \times I \to Y$  such that

$$f_0(x) = F(x,0), \qquad f_1(x) = F(x,1),$$

for all  $x \in X$ . We write  $f_0 \cong f_1$ . (Exercise: this is an equivalence relation)

**Definition.** Let  $A \subseteq X$  be a subspace. A **retraction** of X onto A is a continuous map  $r: X \to A$  such that

- r(X) = A, and
- $r \mid_A = id_A$ .

**Example.** If  $X \neq \emptyset$ ,  $p \in X$ , then X retracts to p by the constant map  $X \to \{p\}$ .

**Definition.** A **deformation retraction** of X onto  $A \subseteq X$  is a retraction that is homotopic to the identity. That is, there is a continuous map

$$F: \quad X \times I \quad \to \quad A \\ (x,t) \quad \mapsto \quad f_t(x) \quad ,$$

such that  $f_0 = id_X$  and  $f_1 : X \to A$  is the deformation retraction.

**Example.** The closed n-dimensional n-disc

$$D^n = \{ x \in \mathbb{R}^n \mid |x| \le 1 \}$$

deformation retracts to  $(0,\ldots,0)\in\mathbb{R}^n$ . Let  $f_t(x)=t\cdot x$ . t=1 gives  $f_1=id_{D^n}$  and t=0 gives  $f_0:D^n\to(0,\ldots,0)$ .

**Example.** Let  $S^n$  be the *n*-sphere,

$$\partial D^{n+1} = S^n = \{ x \in \mathbb{R}^n \mid |x| = 1 \}.$$

The cylinder  $S^n \times I$  deformation retracts to  $S^n \times \{0\}$ , by defining  $f_t(x,r) = (x,t \cdot r)$ .

An observation is if X is a topological space, and  $f: X \to \{p\}$  for  $p \in X$  is a deformation retraction of X to p, then X is path-connected. Indeed, if  $F: X \times I \to X$  is a homotopy from  $id_X$  to f and  $x \in X$  is a point, then this gives a path

$$\begin{array}{ccc}
I & \to & X \\
t & \mapsto & F(x,t)
\end{array}$$

that connects x to p. This implies that not all retractions are deformation retractions.

**Example.** A retraction that is not a deformation retraction. Take a space that is not path-connected and retract it to a point. Let  $X = \{0,1\}$  with discrete topology.  $x \mapsto 0$  is a retraction, but not a deformation retraction because X is not path-connected.

**Definition.** A continuous map  $f: X \to Y$  is a **homotopy equivalence** if there is a continuous map  $g: Y \to X$  such that  $fg \cong id_Y$  and  $gf \cong id_X$ . If there exists a homotopy equivalence between X and Y, X and Y are **homotopy equivalent** or they have the same **homotopy type**.

**Lemma 0.1.** A deformation retraction  $f: X \to A$  is a homotopy equivalence.

*Proof.* Let  $i: A \hookrightarrow X$  be the inclusion map. Then  $fi = id_A$  and  $if = f \cong id_X$  by definition.

**Example.** The disc with two holes is equivalent to  $O \cdot O$ .

**Example.**  $\mathbb{R}^n$  deformation retracts to a point, by  $f_t(x) = t \cdot x$ .

Definition.

- X is **contractible** if it is homotopy equivalent to a point.
- A continuous map is **nullhomotopic** if it is homotopy equivalent to a constant map.

#### 0.2.2 Cell complexes

**Example.** The torus  $S^1 \times S^1$  is the union of a point, two open intervals, and the open disc  $Int(D^2)$ .

These are called **cells**. Can think of discs  $D^n$  glued together.

Lecture 2 Tuesday 15/01/19

**Definition.** A CW-complex, or cell complex, is a topological space X such that there exists a decomposition

$$X = \bigcup_{n \in \mathbb{N}} X^n,$$

where the  $X^n$  are constructed inductively in the following way.

- $X^n$  is a discrete set.
- For each  $n \ge 0$  there is an collection of closed n-discs  $\{D_{\alpha}^n\}$  together with continuous maps  $\phi_{\alpha}: \partial D_{\alpha}^n \to X^{n-1}$ , such that

$$X^n = \frac{X^{n-1} \sqcup \bigsqcup_{\alpha} D^n_{\alpha}}{\sim},$$

where  $x \sim \phi_{\alpha}(x)$  for all  $x \in \partial D_{\alpha}^{n}$  for all  $\alpha$ .

• A subset  $U \subseteq X$  is open if and only if  $U \cap X^n$  is open for all n.

Remark.

• As a set,

$$X^n = X^{n-1} \sqcup \bigsqcup_{\alpha} e_{\alpha}^n,$$

where each  $e_{\alpha}^{n}$  is homeomorphic to an open n-disc. These  $e_{\alpha}^{n}$  are called the n-cells of X.

• If  $X = X^m$  for some m, then X is called **finite dimensional**. The minimal m such that  $X = X^m$  is the **dimension** of X.

# Example.

- [0,1] is a CW-complex.
- $\mathbb{R}$  is a CW-complex.
- $S^1$  is a CW-complex.
- A graph is a CW-complex.
- $S^n = D^n/\partial D^n$  is a CW-complex. See worksheet 1.

Can also decompose CW-complexes.

- The sphere  $S^2$  is one 0-cell, one 1-cell, and two 2-cells.
- The torus  $S^1 \times S^1$  is one 0-cell, two 1-cells, and one 2-cell.
- The Möbius strip is two 0-cells, three 1-cells, and one 2-cell.
- The Klein bottle is one 0-cell, two 1-cells, and one 2-cell.

**Definition.** If X is a CW-complex with finitely many cells the **Euler characteristic**  $\chi(X)$  of X is the number of even cells minus the number of odd cells.

Fact.  $\chi(X)$  does not depend of the choice of cells decomposition.

# Example.

- $\chi(S^n) = 0$  if n is odd and  $\chi(S^n) = 2$  if n is even.
- $\bullet \ \chi\left(S^1\times S^1\right)=0.$

This is the generalisation of the following observation by Leonhard Euler. Let P be a convex polyhedron, where

- V is the number of vertices of P,
- $\bullet$  E is the number of edges of P, and
- F is the number of faces of P.

Then V - E + F = 2.

**Example.** A topological space that is not a CW-complex.  $X = \{0, 1\}$  with trivial topology does not contain any closed points.

Fact. CW-complexes are always Hausdorff.

# 1 The fundamental group

# 1.1 Basic constructions

#### 1.1.1 Paths and homotopy

Let X be a topological space. A **path** is a continuous map  $f: I \to X$ , where I = [0, 1].

**Definition.** Two paths  $f_0, f_1$  are **homotopic** if there exists a homotopy between  $f_0$  and  $f_1$  preserving the endpoints, that is a continuous map

$$F: I \times I \to X$$

$$(s,t) \mapsto f_t(s)$$

such that

$$f_t(0) = f_0(0), \qquad f_t(1) = f_0(1),$$

for all  $t \in I$ , and

$$F(s,0) = f_0(s), \qquad F(s,1) = f_1(s),$$

for all  $s \in I$ .

**Example.** Let  $X \subseteq \mathbb{R}^n$  be a convex set. Then all the paths in X are homotopic if they have the same endpoints.

*Proof.* Let  $f_0, f_1: I \to X$  be two paths such that  $f_0(0) = f_1(0)$  and  $f_0(1) = f_1(1)$ . Define

$$f_t(s) = (1 - t) f_0(s) + t f_1(s)$$
.

**Lemma 1.1.** Being homotopic is an equivalence relation on the set of paths with fixed endpoints. We will write  $f_0 \cong f_1$  for two homotopic paths  $f_0$  and  $f_1$ .

Proof.

- f is homotopic to f.
- If  $f_0$  is homotopic to  $f_1$  by a homotopy  $f_t$ , then  $f_1$  is homotopic to  $f_0$  by the homotopy  $f_{1-t}$ .
- If  $f_0$  is homotopic to  $f_1$  by a homotopy  $f_t$  and  $f_1 = g_0$  is homotopic to  $g_1$  by a homotopy  $g_t$ , then  $f_0$  is homotopic to  $g_1$  by the homotopy

$$h_t = \begin{cases} f_{2t} & 0 \le t \le \frac{1}{2} \\ g_{2t-1} & \frac{1}{2} \le t \le 1 \end{cases}.$$

Then

$$H: I \times I \rightarrow X$$
  
 $(s,t) \mapsto h_t(s)$ 

is continuous because its restriction to the closed subsets  $I \times [0, 1/2]$  and  $I \times [1/2, 1]$  is continuous, since if the restriction to two closed subsets is continuous then the restriction to the union of these subsets is continuous.

Let X be a topological space and I = [0,1]. If  $f: I \to X$  is a path, [f] is the class of all paths on X homotopic to f.

**Definition.** Let  $f, g: I \to X$  be two paths such that f(1) = g(0). The **product path**  $f \cdot g$  is the path

$$\left(f\cdot g\right)\left(s\right) = \begin{cases} f\left(2s\right) & 0 \leq s \leq \frac{1}{2} \\ g\left(2s-1\right) & \frac{1}{2} \leq s \leq 1 \end{cases}.$$

Lecture 3 Wednesday 16/01/19

A convention is that whenever we write  $f \cdot g$  we implicitly assume f(1) = g(0).

**Lemma 1.2.** Let  $f_0, f_1, g_0, g_1$  be paths on X such that  $f_1 \cong f_0$  and  $g_0 \cong g_1$ . Then  $f_0 \cdot g_0 \cong f_1 \cdot g_1$ .

Proof.

$$\begin{array}{ccc}
I \times I & \to & X \\
(s,t) & \mapsto & (f_t \cdot g_t)(s)
\end{array}$$

is a homotopy between  $f_0 \cdot g_0$  and  $f_1 \cdot g_1$ .

Remark. Let  $\phi:[0,1]\to[0,1]$  be continuous such that  $\phi(0)=0$  and  $\phi(1)=1$ . If  $f:I\to X$  is a path, then  $f\phi\cong f$ . This is a **reparametrisation**.

Proof. Define

$$\phi_t(s) = (1 - t)\phi(s) + ts,$$

then  $f\phi_t$  is a homotopy between  $f\phi$  and f.

For  $x \in X$ , let the **constant path** at x be

$$\begin{array}{cccc} c_x: & I & \to & X \\ & s & \mapsto & x \end{array}.$$

For a path  $f: I \to X$ , define

$$\begin{array}{cccc} f^{-1}: & I & \to & X \\ & s & \mapsto & f\left(1-s\right) \end{array}.$$

**Lemma 1.3.** Let  $f, g, h : I \to X$  be paths. Then

- 1.  $(f \cdot g) \cdot h \cong f \cdot (g \cdot h)$ ,
- 2.  $f \cdot c_{f(1)} \cong f$  and  $c_{f(0)} \cdot f \cong f$ , and
- 3.  $f \cdot f^{-1} \cong c_{f(0)}$  and  $f^{-1} \cdot f \cong c_{f(1)}$ .

Proof.

1.  $((f \cdot g) \cdot h) \phi = f \cdot (g \cdot h)$ , where

$$\phi(s) = \begin{cases} \frac{s}{2} & s \in [0, \frac{1}{2}] \\ s - \frac{1}{4} & s \in [\frac{1}{2}, \frac{3}{4}], \\ 2s - 1 & s \in [\frac{3}{4}, 1] \end{cases}$$

so  $(f \cdot g) \cdot h \cong f \cdot (g \cdot h)$  by reparametrisation.

2. Again reparametrisation, by

$$\psi(s) = \begin{cases} 2s & s \in \left[0, \frac{1}{2}\right] \\ 1 & s \in \left[\frac{1}{2}, 1\right] \end{cases}, \qquad \chi(s) = \begin{cases} 0 & s \in \left[0, \frac{1}{2}\right] \\ 2s - 1 & s \in \left[\frac{1}{2}, 1\right] \end{cases}.$$

3. Define

$$H(s,t) = \begin{cases} f(\max\{1-2s,t\}) & s \in [0,\frac{1}{2}] \\ f(\max\{2s-1,t\}) & s \in [\frac{1}{2},1] \end{cases}.$$

H is continuous, and

$$H(s,0) = f^{-1} \cdot f, \qquad H(s,1) = c_{f(1)}.$$

The inverse is similar.

**Definition.** A loop with basepoint  $x_0 \in X$  is a path  $f: I \to X$  such that  $f(0) = f(1) = x_0$ .

**Definition.** Denote by  $\pi_1(X, x_0)$  the set of homotopy classes [f] of loops  $f: I \to X$  with basepoint  $x_0$ .

**Proposition 1.4.**  $\pi_1(X, x_0)$  is a group with product  $[f][g] = [f \cdot g]$  and neutral element  $c_{x_0} : I \to X$ , the constant path at  $x_0$ .

*Proof.* Follows directly from Lemma 1.2 and Lemma 1.3.

**Definition.**  $\pi_1(X, x_0)$  is the fundamental group of X at  $x_0$ .

**Example.** Let  $X \subseteq \mathbb{R}^n$  be a convex set and  $x_0 \in X$ . Then  $\pi_1(X, x_0) = 0$ .

*Proof.* X is convex gives that all loops are homotopic to each other.

### Example.

- The fundamental group of a space X with the trivial topology is trivial, since X is simply-connected, because all maps  $f: I \to X$  are continuous, so path-connected and all paths are homotopic.
- The fundamental group of a space X with the discrete topology is trivial, since  $f: I \to X$  continuous gives f constant.

Assume  $x_0, x_1 \in X$  such that  $x_0$  and  $x_1$  are in the same path component of X. Let  $h: I \to X$  be a path such that  $h(0) = x_0$  and  $h(1) = x_1$ . Define

$$\beta_h: \quad \pi_1\left(X, x_1\right) \quad \to \quad \pi_1\left(X, x_0\right) \\ \left[f\right] \quad \mapsto \quad \left[h \cdot f \cdot h^{-1}\right] \ .$$

This is well-defined by Lemma 1.2.

**Proposition 1.5.**  $\beta_h : \pi_1(X, x_1) \to \pi_1(X, x_0)$  is an isomorphism.

*Proof.* It is a homomorphism.

$$\beta_h\left[f\cdot g\right] = \left[h\cdot f\cdot g\cdot h^{-1}\right] = \left[h\cdot f\cdot h^{-1}\right]\left[h\cdot g\cdot h^{-1}\right] = \beta_h\left[f\right]\cdot\beta_h\left[g\right],$$

and  $\beta_h[c_{x_1}] = [c_{x_1}]$ . It is bijective with  $(\beta_h)^{-1} = \beta_{h^{-1}}$ .

If X is path-connected, we often write  $\pi_1(X)$  instead of  $\pi_1(X, x_0)$ .

**Definition.** X is simply-connected if it is path-connected and  $\pi_1(X) = 0$ .

**Proposition 1.6.** X is simply-connected if and only if there exists a unique homotopy class of paths between any two points of X.

Proof.

- $\implies$  There exists a path between any two points. Let f,g be two paths from  $x_0$  to  $x_1$  for  $x_0,x_1\in X$ .  $f\cdot g^{-1}\cong g\cdot g^{-1}$  gives  $f\cong f\cdot g^{-1}\cdot g\cong g\cdot g^{-1}\cdot g\cong g$ .
- $\iff$  X is path-connected.  $x_1 = x_0$  gives that all loops at  $x_0$  are homotopic to each other, so  $\pi_1(X) = 0$ .

# 1.1.2 The fundamental group of the circle

Goal is to show that  $\pi_1(S^1) \cong \mathbb{Z}$ .

Lecture 4 Friday

**Definition.** A covering space of a space X is a space  $\widetilde{X}$  and a continuous map  $p:\widetilde{X}\to X$  such that for 18/01/19 each  $x\in X$  there is an open  $x\in U\subseteq X$  such that

- $p^{-1}(U) = \bigcup_{i \in J} \widetilde{U_i}$ , where  $\widetilde{U_i} \subseteq \widetilde{X}$  is open,
- $\widetilde{U_i} \cap \widetilde{U_j} = \emptyset$  if  $i \neq j$ , and
- $p\mid_{\widetilde{U_i}}:\widetilde{U_j}\to U$  is a homeomorphism for all  $j\in J$ .

Such a U is called **evenly covered**. The  $\widetilde{U}_j$  are called **sheets**.

#### Example.

$$p: \mathbb{R} \to S^1$$
  
 $s \mapsto (\cos(2\pi s), \sin(2\pi s))$ .

**Definition.** Let  $p:\widetilde{X}\to X$  be a covering space. A **lift** of a continuous map  $f:Y\to X$  is a continuous map  $\widetilde{f}:Y\to\widetilde{X}$  such that  $p\widetilde{f}=f$ , so

$$Y \xrightarrow{\widetilde{f}} X$$

$$Y \xrightarrow{f} X$$

**Proposition 1.7** (Unique lifting property). Let  $p: \widetilde{X} \to X$  be a covering space and  $f: Y \to X$  be a continuous map. If there are two lifts  $\widetilde{f}_1, \widetilde{f}_2: Y \to \widetilde{X}$  of f such that  $\widetilde{f}_1(y) = \widetilde{f}_2(y)$  for some  $y \in Y$  and if Y is connected, then  $\widetilde{f}_1 = \widetilde{f}_2$ .

*Proof.* Let  $y \in Y$  and  $U \subseteq X$  be an evenly covered neighbourhood of f(y). Then

$$p^{-1}\left(U\right) = \bigcup_{j} \widetilde{U_{j}}.$$

Let  $\widetilde{U_1}$  be the sheet such that  $\widetilde{f_1}(y) \in \widetilde{U_1}$ , and let  $\widetilde{U_2}$  be the sheet such that  $\widetilde{f_2}(y) \in \widetilde{U_2}$ . Let  $N \subseteq Y$  be open and  $y \in N$  such that  $\widetilde{f_1}(N) \subseteq \widetilde{U_1}$  and  $\widetilde{f_2}(N) \subseteq \widetilde{U_2}$ . We have  $p\widetilde{f_1} = p\widetilde{f_2}$ .

$$\widetilde{f}_{1}\left(y\right) = \widetilde{f}_{2}\left(y\right) \qquad \Longleftrightarrow \qquad \widetilde{U}_{1} = \widetilde{U}_{2} \qquad \Longleftrightarrow \qquad \widetilde{f}_{1}\mid_{N} = \widetilde{f}_{2}\mid_{N}.$$

Let

$$A = \left\{ y \in Y \mid \widetilde{f}_1(y) = \widetilde{f}_2(y) \right\},\,$$

so A is open and  $Y \setminus A$  is open. Thus  $A \neq \emptyset$  gives A = Y.

**Proposition 1.8** (Homotopy lifting property). Let  $p: \widetilde{X} \to X$  be a covering space and  $F: Y \times I \to X$  be a continuous map such that there exists a lift  $\widetilde{f}_0: Y \times \{0\} \to \widetilde{X}$  of  $F\mid_{Y \times \{0\}}$ . Then there is a unique lift  $\widetilde{F}: Y \times I \to \widetilde{X}$  of F such that  $\widetilde{F}\mid_{Y \times \{0\}} = \widetilde{f}_0$ .

*Proof.* Let  $y_0 \in Y$  and  $t \in I$ . There are open  $y_0 \in N_t \subseteq Y$  and  $t \in (a_t, b_t) \subseteq I$  such that  $F(N_t \times (a_t, b_t)) \subseteq U \subseteq X$ , where  $U \subseteq X$  is open and evenly covered. Compactness of I gives that there exist

$$0 = t_0 < \dots < t_m = 1,$$

and there exists  $y_0 \in N \subseteq Y$  open such that  $F(N \times [t_i, t_{i+1}]) \subseteq U_i \subseteq X$ , where  $U_i \subseteq X$  is open and evenly covered. We inductively construct a lift  $\widetilde{F}|_{N \times I}$  of  $F|_{N \times I}$ .

- $\widetilde{F}|_{N\times[0,0]}=\widetilde{f}_0|_{N\times[0,0]}$  exists.
- Assume the lift has been constructed on  $N \times [0, t_i]$ . Let  $\widetilde{U_i} \subseteq \widetilde{X}$  be such that  $p \mid_{\widetilde{U_i}} : \widetilde{U_i} \to U_i$  such that  $\widetilde{F}(y_0, t_i) \subseteq \widetilde{U_i}$ . After shrinking N, may assume  $\widetilde{F}(N \times \{t_i\}) \subseteq \widetilde{U_i}$ . Define  $\widetilde{F}$  on  $N \times [t_i, t_{i+1}]$  to be composition of F with the homeomorphism  $p^{-1} : U_i \to \widetilde{U_i}$ .

After finitely many steps we obtain a lift  $\widetilde{F}: N \times I \to \widetilde{X}$ , where  $y_0 \in N \subseteq Y$  is open, so for each  $y \in Y$  there is a neighbourhood  $N_y \subseteq Y$  such that  $F|_{N_y \times I}: N_y \times I \to X$  lifts. For all  $y \in Y$ ,  $\{y\} \times I$  is connected and can be lifted, so Proposition 1.7 gives that the lift of  $N \times I$  is unique. Thus there is a unique lift  $\widetilde{F}: Y \times I \to \widetilde{X}$ .

**Example.** Let X be a topological space and A be discrete. Then  $p: X \times A \to X$  is a covering space. This is the **trivial covering**. (Exercise: show the unique lifting property and the homotopy lifting property for the trivial covering)

**Corollary 1.9.** Let  $f: I \to X$  be a path,  $f(0) = x_0$ , and  $p: \widetilde{X} \to X$  be a covering space. For each  $\widetilde{x_0} \in p^{-1}(x_0)$ , there is a unique lift  $\widetilde{f}: I \to \widetilde{X}$  such that  $\widetilde{f}(0) = \widetilde{x_0}$ .

*Proof.* Proposition 1.8 for Y a point.

**Theorem 1.10.** Let  $x_0 = (1,0) \in S^1$ .  $\pi_1(S^1, x_0)$  is the infinite cyclic group generated by the homotopy class of the loop

$$\begin{array}{ccc} \omega: & I & \to & S^1 \\ & s & \mapsto & \left(\cos\left(2\pi s\right), \sin\left(2\pi s\right)\right) \end{array}.$$

Remark.

•  $[\omega]^n = [\omega_n]$ , where

$$\omega_{n}\left(s\right)=\left(\cos\left(2\pi ns\right),\sin\left(2\pi ns\right)\right).$$

•

$$\begin{array}{ccc} p: & \mathbb{R} & \to & S^1 \\ & s & \mapsto & \left(\cos\left(2\pi s\right), \sin\left(2\pi s\right)\right) \end{array}$$

is a covering space.

•  $\omega_n$  lifts to

$$\widetilde{\omega_n}: I \to \mathbb{R} \\ s \mapsto ns,$$

such that  $\widetilde{\omega_n}(0) = 0$  and  $\widetilde{\omega_n}(1) = n$ .

Proof of Theorem 1.10.

- If  $f: I \to S^1$  be a loop at  $x_0$ , then the homotopy lifting property gives that there exists a lift  $\widetilde{f}: I \to \mathbb{R}$  such that  $\widetilde{f}(0) = 0$ . Since  $p\left(\widetilde{f}(1)\right) = f(1) = x_0$ , then  $\widetilde{f}(1) = n$  for some  $n \in \mathbb{Z}$ .  $\widetilde{\omega_n}: I \to \mathbb{R}$  is another path such that  $\widetilde{\omega_n}(0) = 0$  and  $\widetilde{\omega_n}(1) = n$ , so  $\widetilde{f} \cong \widetilde{\omega_n}$ . Let  $F: I \times I \to \mathbb{R}$  be a homotopy equivalence between  $\widetilde{f}$  and  $\widetilde{\omega_n}$ . Then  $pF: I \times I \to S^1$  gives a homotopy between  $p\widetilde{f} = f$  and  $p\widetilde{\omega_n} = \omega_n$ .
- Let  $m, n \in \mathbb{Z}$  and assume  $\omega_m \cong \omega_n$ . Let  $F: I \times I \to S^1$  be a homotopy.

$$F\left(0,t\right)=\omega_{m}\left(t\right),\qquad F\left(1,t\right)=\omega_{n}\left(t\right),\qquad F\left(s,0\right)=F\left(s,1\right)=x_{0},$$

for all  $s,t\in I$ . The unique lifting property gives that  $\widetilde{\omega_n},\widetilde{\omega_m}:I\to\mathbb{R}$  are unique lifts such that  $\widetilde{\omega_n}(0)=0=\widetilde{\omega_m}(0)$ . The homotopy lifting property gives that F lifts uniquely to a homotopy  $\widetilde{F}:I\times I\to\mathbb{R}$  between  $\widetilde{\omega_n}$  and  $\widetilde{\omega_m}$ , and  $\widetilde{F}(s,1)\in\mathbb{Z}$  for all  $s\in I$ . Thus  $\widetilde{F}(s,1)=n=m$ , so  $\omega_m\cong\omega_n$  if and only if n=m.

Lecture 5

Tuesday 22/01/19

Lecture 6 Wednesday

23/01/19

Lecture 5 is a problem class.

**Theorem 1.11.** Every non-constant polynomial  $p \in \mathbb{C}[z]$  has a root in  $\mathbb{C}$ .

Proof. May assume

$$p(z) = z^n + a_1 z^{n-1} + \dots + a_n.$$

Assume p has no roots in  $\mathbb{C}$ . For each  $r \in \mathbb{R}_{>0}$  we obtain a loop

$$f_r: I \to \mathbb{C}$$

$$s \mapsto \frac{p(re^{2\pi is})/p(r)}{|p(re^{2\pi is})/p(r)|},$$

so  $|f_r(s)| = 1$ .  $f_r(0) = 1$  and  $f_r(1) = 1$ , so  $f_r$  is a loop based at 1.  $f_0$  is the constant loop at 1.  $f_r(s)$  depends continuously on r, so  $f_r \cong f_0$  for all  $r \in \mathbb{R}_{\geq 0}$  and  $[f_r] = [f_0] = 0 \in \pi_1(S^1)$ . Fix  $r \in \mathbb{R}_{\geq 0}$  such that r > 1 and  $r > |a_1| + \cdots + |a_n|$ . For |z| = r we have

$$|z^n| > (|a_1| + \dots + |a_n|) |z^{n-1}| \ge |a_1 z^{n-1}| + \dots + |a_n| \ge |a_1 z^{n-1} + \dots + |a_n|.$$

Hence, for  $0 \le t \le 1$  the polynomial  $p_t(z) = z^n + t(a_1 z^{n-1} + \dots + a_n)$  has no root z with |z| = r. Define

$$F_r\left(t,s\right) = \frac{p_t\left(re^{2\pi is}\right)/p_t\left(r\right)}{\left|p_t\left(re^{2\pi is}\right)/p_t\left(r\right)\right|}.$$

 $F_r\left(0,s\right)=\omega_n\left(s\right)$  and  $F_r\left(1,s\right)=f_r\left(s\right)$ , so  $\left[\omega_n\right]=\left[f_r\right]=0\in\pi_1\left(S^1\right)$ . Theorem 1.10 gives that n=0, so p is constant.

See Hatcher Theorem 1.9 and Theorem 1.10 for more applications.

**Proposition 1.12.** Let X, Y be topological spaces,  $x_0 \in X$ , and  $y_0 \in Y$ . Then

$$\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0).$$

Proof. A map

$$f: Z \rightarrow X \times Y$$
  
 $z \mapsto (g(z), h(z))$ 

is continuous if and only if  $g: Z \to X$  and  $h: Z \to Y$  are continuous. For Z = I,

 $\left\{ \text{ loops in } X \times Y \text{ based at } (x_0, y_0) \right\} \qquad \Longleftrightarrow \qquad \left\{ \text{ loops in } X \text{ based at } x_0 \right\} \times \left\{ \text{ loops in } Y \text{ based at } y_0 \right\}.$ 

Two loops

$$f_1: I \rightarrow X \times Y$$
  $f_2: I \rightarrow X \times Y$   $s \mapsto (g_1(s), h_1(s))$ ,  $s \mapsto (g_2(s), h_2(s))$ 

are homotopic if and only if  $g_1 \cong g_2$  and  $h_1 \cong h_2$ , so there is a bijection

$$\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0).$$

 $f_1 \cdot f_2 = (g_1 \cdot g_2, h_1 \cdot h_2)$  and the constant loop is mapped to the constant loop, so this is also a group isomorphism.

**Example.** The torus  $S^1 \times S^1$  has

$$\pi_1\left(S^1\times S^1\right)\cong\pi_1\left(S^1\right)\times\pi_1\left(S^1\right)\cong\mathbb{Z}^2.$$

#### 1.1.3 Induced homomorphisms

Let X, Y be topological spaces,  $x_0 \in X$ , and  $\phi: X \to Y$ . An observation is that  $\phi$  induces a homomorphism

$$\phi_*: \pi_1(X, x_0) \to \pi_1(Y, \phi(x_0)) [f] \mapsto [\phi f]$$

 $\phi_*$  is well-defined, since if  $f_t$  is a homotopy between the loops  $f_0$  and  $f_1$  based at  $x_0$ , then  $\phi f_t$  is a homotopy of loops between  $\phi f_0$  and  $\phi f_1$ . Moreover,

$$\phi (f \cdot g) = (\phi f) \cdot (\phi g),$$

and  $\phi$  maps the constant path at  $x_0$  to the constant path at  $\phi(x_0)$ , so  $\phi$  is a homomorphism.

## Proposition 1.13.

1. Let  $\psi: X \to Y$  and  $\phi: Y \to Z$  be continuous maps between topological spaces,  $x_0 \in X$ , and

$$\psi_* : \pi_1(X, x_0) \to \pi_1(Y, \psi(x_0)), \qquad \phi_* : \pi_1(Y, \psi(x_0)) \to \pi_1(Z, \phi\psi(x_0)),$$

$$(\phi\psi)_* : \pi_1(X, x_0) \to \pi_1(Z, \phi\psi(x_0)).$$

Then  $(\phi \psi)_* = \phi_* \psi_*$ .

2. Let  $id_X: X \to X$  be the identity then

$$(id_X)_*: \pi_1(X, x_0) \to \pi_1(X, x_0)$$

is the identity.

Proof.

1. Let  $f: I \to X$  be a loop at  $x_0$ , then

$$(\phi\psi)_*([f]) = [(\phi\psi)f] = [\phi(\psi f)] = \phi_*([\psi f]) = \phi_*\psi_*([f]).$$

2.  $(id_X)_*([f]) = [id_X f] = [f]$ .

These two observations yield in particular that if  $\phi: X \to Y$  is a homeomorphism with inverse  $\psi: Y \to X$ , then

$$\phi_*: \pi_1(X, x_0) \to \pi_1(Y, \phi(x_0))$$

is an isomorphism with inverse  $\psi_*$ .

**Proposition 1.14.** Let  $\phi: X \to Y$  be a homotopy equivalence. Then

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$$\phi_* : \pi_1(X, x_0) \to \pi_1(Y, \phi(x_0))$$

is an isomorphism for all  $x_0 \in X$ .

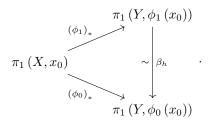
Recall that if  $x_0, x_1 \in X$  and  $h: I \to X$  is a path such that  $h(0) = x_0$  and  $h(1) = x_1$ , then we obtain an isomorphism

$$\beta_h: \quad \pi_1\left(X, x_1\right) \quad \to \quad \pi_1\left(X, x_0\right) \\ \left[f\right] \quad \mapsto \quad \left[h \cdot f \cdot h^{-1}\right] \ .$$

**Lemma 1.15.** Let  $\phi_t: X \to Y$  be a homotopy and  $x_0 \in X$ . Define the path

$$h: I \to Y s \mapsto \phi_s(x_0) ,$$

where  $h(0) = \phi_0(x_0)$  and  $h(1) = \phi_1(x_0)$ . Then  $(\phi_0)_* = \beta_h(\phi_1)_*$ , that is the following diagram commutes.



*Proof.* For  $t \in I$ , define the path

$$h_t: I \to X s \mapsto h(ts) ,$$

where  $h_t(0) = \phi_0(x_0)$  and  $h_t(1) = h(t) = \phi_t(x_0)$ . Let f be a loop at  $x_0$ . Define

$$F_t = h_t \cdot (\phi_t f) \cdot h_t^{-1}.$$

Then  $F_t$  is a loop at  $\phi_0(x_0)$ , which is continuous in t. So  $F_t$  is a homotopy of loops between

$$F_0 = h_0 \cdot (\phi_0 f) \cdot h_0^{-1} \cong \phi_0 f, \qquad F_1 = h_1 \cdot (\phi_1 f) \cdot h_1^{-1} = h \cdot (\phi_1 f) \cdot h^{-1}.$$

Hence

$$(\phi_0)_*([f]) = [\phi_0 f] = [h \cdot (\phi_1 f) \cdot h^{-1}] = \beta_h([\phi_1 f]) = \beta_h(\phi_1)_*([f]).$$

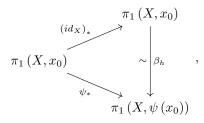
Lemma 1.15 implies in particular the following.

Corollary 1.16. If  $\psi: X \to X$  is continuous and  $\psi \cong id_X$ , then

$$\psi_*: \pi_1(X, x_0) \to \pi_1(X, \psi(x_0))$$

is an isomorphism for all  $x_0 \in X$ .

*Proof.* By Lemma 1.15 there is a path h from  $\psi(x_0)$  to  $x_0$  such that



so  $\psi_* = \beta_h$  hence an isomorphism.

Proof of Proposition 1.14. Let  $\phi: X \to Y$  be a homotopy equivalence. Let  $\psi: Y \to X$  be a homotopy inverse of  $\phi$ , that is  $\phi \psi \cong id_Y$  and  $\psi \phi \cong id_X$ .

$$\pi_{1}\left(X,x_{0}\right) \xrightarrow{\phi_{*}} \pi_{1}\left(Y,\phi\left(x_{0}\right)\right) \xrightarrow{\psi_{*}} \pi_{1}\left(X,\psi\phi\left(x_{0}\right)\right) \xrightarrow{\phi_{*}} \pi_{1}\left(Y,\psi\phi\psi\left(x_{0}\right)\right).$$

Have to show that  $\phi_*$  is bijective. The observation above gives that  $(\psi\phi)_* = \psi_*\phi_*$  is an isomorphism, so  $\phi_*$  is injective and  $\psi_*$  is surjective. Similarly  $(\phi\psi)_* = \phi_*\psi_*$  is an isomorphism, so  $\psi_*$  is injective and  $\phi_*$  is surjective.

**Lemma 1.17.** Let X be a topological space and  $x_0 \in X$ . Assume

$$X = \bigcup_{\alpha \in \Lambda} A_{\alpha},$$

such that

- the  $A_{\alpha}$  are all open and path-connected,
- $x_0 \in A_\alpha$  for all  $\alpha \in \Lambda$ , and
- all the intersections  $A_{\alpha} \cap A_{\beta}$  are path-connected for all  $\alpha, \beta \in \Lambda$ .

If f is a loop in X at  $x_0$ , then we can write  $[f] = [h_1] \dots [h_m]$ , such that the  $h_i$  are loops at  $x_0$ , and each contained in a single  $A_{\alpha_i}$ .

*Proof.* f is continuous, so for all  $s \in I$  there is an open neighbourhood  $V_s$  such that  $f(V_s)$  such that  $f(V_s) \subseteq A_\alpha$  for some  $\alpha$ . We can choose  $V_s$  to be an interval  $(a_s, b_s)$  such that  $f([a_s, b_s]) \subseteq A_\alpha$ . I is compact gives that a finite number of such intervals cover I, so there is a partition

$$0 = s_0 < \dots < s_m = 1,$$

such that  $f([s_{i-1}, s_i]) \subseteq A_{\alpha_i}$  for some  $\alpha_i$ . Let  $f_i$  be the path obtained by restricting f to  $[s_{i-1}, s_i]$ , and rescaling.  $f \cong f_1 \cdots f_m$  for  $f_i \subseteq A_{\alpha_i}$  and  $A_{\alpha_i} \cap A_{\alpha_j}$  is path-connected. Let  $g_i$  be a path from  $x_0$  to  $f(s_i)$  in  $A_{\alpha_i} \cap A_{\alpha_{i+1}}$ . Let  $g_0, g_m$  be the constant loops at  $x_0$ .  $h_i = g_{i-1} \cdot f_i \cdot g_i^{-1}$  is a loop based at  $x_0$  and  $h_i \subseteq A_{\alpha_i}$ . Thus

$$f \cong (g_0 \cdot f_1 \cdot g_1^{-1}) \cdot \dots \cdot (g_{m-1} \cdot f_m \cdot g_m^{-1}),$$

so  $[f] = [h_1] \dots [h_m].$ 

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**Example.** Möbius strip M deformation retracts to  $S^1$ . Thus  $\phi: M \to S^1$  is a homotopy equivalence, so  $\pi_1(M) \cong \pi_1(S^1) \cong \mathbb{Z}$ .

**Example.** There is no deformation retraction of  $S^1$  to a point  $p \in S^1$  because  $\pi_1(S^1) \ncong \pi_1(p)$ .

**Example.** There is no retraction of the disc  $D^2$  to its boundary  $S^1 \subseteq D^2$ .

*Proof.* Assume there is a retraction  $r: D^2 \to S^1$ , consider the embedding  $i: S^1 \hookrightarrow D^2$ . Then  $ri = id_{S^1}$ . Thus

$$\begin{array}{ccc} \pi_1 \left( S^1 \right) & \stackrel{i_*}{\longrightarrow} & \pi_1 \left( D^2 \right) & \stackrel{r_*}{\longrightarrow} & \pi_1 \left( S^1 \right) \\ \mathbb{Z} & & \mathbb{Z} & & \mathbb{Z} \end{array},$$

so  $r_*i_*\left(\pi_1\left(S^1\right)\right)=0$  but  $r_*i_*=\left(ri\right)_*=id_{\pi_1\left(S^1\right)},$  a contradiction.

**Theorem 1.18** (Brouwer fixed point theorem). Let  $h: D^2 \to D^2$  be a continuous map. Then h has a fixed point, that is there exists  $x \in D^2$  such that h(x) = x.

*Proof.* Assume  $h(x) \neq x$  for all  $x \in D^2$ . Define  $r: D^2 \to S^1$  by defining r(x) to be the intersection of the ray starting at h(x) towards x with  $S^1$ . r is continuous, and if  $x \in S^1$ , then r(x) = x, so r is a retraction, a contradiction.

Lemma 1.17 gives that if  $U_1, U_2 \subseteq X$  are open and path-connected such that  $U_1 \cup U_2 = X$  and  $U_1 \cap U_2$  is path-connected and  $x_0 \in U_1 \cap U_2$ , then every  $[f] \in \pi_1(X, x_0)$  can be factorised as  $[f] = [g_1][h_1] \dots [g_n][h_n]$  such that the  $g_i$  are loops at  $x_0$  contained in  $U_1$  and the  $h_i$  are loops at  $x_0$  contained in  $U_2$ . In other words,  $i_1 : U_1 \hookrightarrow X$  and  $i_2 : U_2 \hookrightarrow X$ , so

$$(i_1)_*: \pi_1(U_1, x_0) \to \pi_1(X, x_0), \qquad (i_2)_*: \pi_1(U_2, x_0) \to \pi_1(X, x_0).$$

Lemma 1.17 gives that  $(i_1)_*(\pi_1(U_1,x_0)) \cup (i_2)_*(\pi_1(U_2,x_0))$  generate  $\pi_1(X,x_0)$ .

**Proposition 1.19.**  $\pi_1(S^n) = 0 \text{ if } n \geq 2.$ 

Proof. Let  $U_1 = S^n \setminus \{(1,0,\ldots,0)\}$  and  $U_2 = S^n \setminus \{(-1,0,\ldots,0)\}$ . Then  $U_1 \cong \mathbb{R}^n$  and  $U_2 \cong \mathbb{R}^n$ , by stereographic projection.  $U_1 \cup U_2 = S^n$  and  $U_1 \cap U_2$  is path-connected. Let  $x_0 \in U_1 \cap U_2$ .  $\pi_1(U_1,x_0) = 0$  and  $\pi_1(U_2,x_0) = 0$ , so Lemma 1.17 gives that  $\pi_1(S^n,x_0)$ .

# 1.2 Seifert-van Kampen theorem

## 1.2.1 Free products with amalgamation

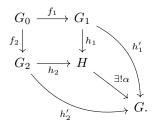
**Definition.** If S is a set, then  $F_S$  is the **free group** on S. We can write any group G as a quotient of some free group  $F_S$ ,

$$G = \frac{F}{\langle \langle R \rangle \rangle},$$

where  $\langle \langle R \rangle \rangle$  is the **normal closure** of  $R \subseteq F_S$ , the smallest normal subgroup of  $F_S$  containing R. We write  $G = \langle S \mid R \rangle$ . This is called a **presentation** of G.

Let  $G_0, G_1, G_2$  be groups, and  $f_1: G_0 \to G_1$  and  $f_2: G_0 \to G_2$  be homomorphisms.

**Definition.** A group H together with homomorphisms  $h_1: G_1 \to H$  and  $h_2: G_2 \to H$  such that  $h_1f_1 = h_2f_2$  is an **amalgamated product** of  $G_1$  and  $G_2$  over  $G_0$  if it satisfies the following universal property. For every group G and all homomorphisms  $h'_1: G_1 \to G$  and  $h'_2: G_2 \to G$  such that  $h'_1f_1 = h'_2f_2$ , there exists a unique homomorphism  $\alpha: H \to G$  such that  $h'_1 = \alpha h_1$  and  $h'_2 = \alpha h_2$ .



**Theorem 1.20.** Given  $f_1: G_0 \to G_1$  and  $f_2: G_0 \to G_2$ . Then there exists an amalgamated product, unique up to isomorphism. We denote it by  $G_1 * G_2$ .

*Proof.* Worksheet 2.

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 $G_0 = \{id\}$  is the **free product**. We write  $G_1 * G_2$  instead of  $G_1 * G_2$ . Let  $G_1 = \langle S_1 | R_1 \rangle$  and  $G_2 = \langle S_2 | R_2 \rangle$ . Then  $G_1 * G_2 = \langle S_1 \sqcup S_2 | R_1 \cup R_2 \rangle$ , with injections  $G_i \hookrightarrow G_1 * G_2$  for i = 1, 2. More generally,

$$G_1 * G_2 \cong \frac{G_1 \underset{G_0}{*} G_2}{N}.$$

where N is the normal closure of the set

$$\left\{ f_{1}\left( g\right) f_{2}\left( g\right) ^{-1} \mid g\in G_{0}\right\} \subseteq G_{1}\ast G_{2}.$$

#### 1.2.2 The Seifert-vanKampen theorem

**Theorem 1.21** (Seifert-van Kampen). Let X be a topological space and  $U_1, U_2 \subseteq X$  be open and path-connected such that  $X = U_1 \cup U_2$  and  $U_1 \cap U_2$  is path-connected and let  $x_0 \in U_1 \cap U_2$ . Then

$$\pi_1(X, x_0) \cong \pi_1(U_1, x_0) \underset{\pi_1(U_1 \cap U_2, x_0)}{*} \pi_2(U_2, x_0) \cong \frac{\pi_1(U_1, x_0) * \pi_1(U_2, x_0)}{N},$$

where N is the normal closure of the set

$$\left\{ \left(j_{1}\right)_{*}\left(\omega\right)\left(j_{2}\right)_{*}\left(\omega\right)^{-1}\mid\omega\in\pi_{1}\left(U_{1}\cap U_{2},x_{0}\right)\right\} ,$$

and  $j_i: U_1 \cap U_2 \hookrightarrow U_i$ .

Proof. Consider the natural homomorphism

$$\Phi: \pi_1(U_1, x_0) * \pi_1(U_2, x_0) \to \pi_1(X, x_0).$$

 $\Phi$  is surjective by Lemma 1.17.  $N \subseteq Ker(\Phi)$ . Want to show that  $N = Ker(\Phi)$ . A **factorisation** of an element  $[f] \in \pi_1(X, x_0)$  is a formal product  $[f_1] \dots [f_k]$  such that

- each  $f_i$  is a loop at  $x_0$  in one of the  $U_i$  and  $[f_i] \in \pi_1(U_i, x_0)$  is its homotopy class, and
- the loop  $f_1 \cdot \cdots \cdot f_k$  is homotopic to f in X.

A factorisation of [f] is a word in  $\pi_1(U_1, x_0) * \pi_1(U_2, x_0)$  that is mapped to [f] by  $\Phi$ . Two factorisations of [f] are **equivalent** if they are related by finitely many of the following two moves.

- If  $[f_i]$  and  $[f_{i+1}]$  lie in the same group  $\pi_1(U_i, x_0)$ , exchange  $[f_i][f_{i+1}]$  with  $[f_i \cdot f_{i+1}]$ . These are the relations in  $\pi_1(U_i, x_0) * \pi_1(U_i, x_0)$ .
- If  $f_i$  is a loop in  $U_1 \cap U_2$ , consider  $[f_i]$  as an element in  $\pi_1(U_1, x_0)$  instead of  $\pi_1(U_2, x_0)$ , and vice versa. These are the relations in  $\pi_1(U_1, x_0) * \pi_1(U_2, x_0) / N$ .

Given  $[f] \in \pi_1(X, x_0)$ , we want to show that any two factorisations of [f] are equivalent. Let  $[f_1] \dots [f_k]$  and  $[f'_1] \dots [f'_l]$  be two factorisations of [f], so the two loops  $f_1 \dots f_k$  and  $f'_1 \dots f'_k$  are homotopic. Let  $F: I \times I \to X$  be a homotopy. By compactness, there exist

$$0 = s_0 < \dots < s_m = 1, \qquad 0 = t_0 < \dots < t_n = 1,$$

such that  $R_{i,j} = [s_{i-1}, s_i] \times [t_{j-1}, t_j]$  and  $F(R_{ij}) \subseteq U_1$  or  $F(R_{ij}) \subseteq U_2$ . May assume  $0 = s_0 < \cdots < s_m = 1$  subdivides the products  $f_1 \cdot \cdots \cdot f_k$  and  $f'_1 \cdot \cdots \cdot f'_l$ . Relabel the  $R_{ij}$  to  $R_1, \ldots, R_{mn}$ .

mn-m+1		mn
:	٠	:
1		m

A path  $\gamma$  in  $I \times I$  from left to right gives a loop  $F \mid_{\gamma}$  in X at  $x_0$ . Let  $\gamma_r$  be the path separating the first r rectangles from the others, so

$$F \mid_{\gamma_0} \cong f_1 \cdot \dots \cdot f_k, \qquad F \mid_{\gamma_{mn}} = f'_1 \cdot \dots \cdot f'_l.$$

Let v be a grid point. Choose a path  $g_v$  in X from  $x_0$  to F(v), such that  $g_v$  is contained in  $U_1 \cap U_2$  if  $F(v) \in U_1 \cap U_2$  and in a single  $U_i$  otherwise. This gives us a factorisation of  $[F|_{\gamma_r}]$  into loops only contained in  $U_1$  or  $U_2$ . The factorisations associated to  $\gamma_r$  and  $\gamma_{r+1}$  are equivalent, because the homotopy between  $F|_{\gamma_r}$  and  $F|_{\gamma_{r+1}}$  by pushing  $\gamma_r$  through  $R_r$  takes place within a single  $U_i$ .

 $\textbf{Theorem 1.22} \ (\textbf{Seifert-van Kampen}, \ \textbf{strong version}). \ \textit{Let} \ \textit{X} \ \textit{be a path-connected topological space such that}$ 

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- $X = \bigcup_{\alpha} A_{\alpha}$ ,
- $A_{\alpha}$ ,  $A_{\alpha} \cap A_{\beta}$ , and  $A_{\alpha} \cap A_{\beta} \cap A_{\gamma}$  are open and path-connected for all  $\alpha, \beta, \gamma$ , and
- $x_0 \in \cap_{\alpha} A_{\alpha}$ .

Then

$$\pi_1(X, x_0) \cong \frac{*\pi_1(A_\alpha, x_0)}{N},$$

where  $N \subseteq *\pi_1(A_\alpha, x_0)$  is the normal closure of the set

$$\left\{ \left(i_{\alpha\beta}\right)_{*}\left(\omega\right)\left(i_{\beta\alpha}\right)_{*}\left(\omega\right)^{-1}\mid\omega\in\pi_{1}\left(A_{\alpha}\cap A_{\beta}\right)\right\} ,$$

and  $i_{\alpha\beta}: A_{\alpha} \cap A_{\beta} \hookrightarrow A_{\alpha}$  is the inclusion.

**Example.** Let  $S^1 \vee S^1$  be the wedge product. Fix  $x \in S^1$  and  $y \in S^1$ . Then

$$S^1 \vee S^1 = \frac{S^1 \sqcup S^1}{x \sim y} = \overset{b}{\mathcal{O}} \cdot \overset{a}{\mathcal{O}}.$$

Let

$$A = O \cdot (, \quad B = ) \cdot O, \quad A \cap B = ) \cdot (.$$

 $\pi_1(A) \cong \langle b \rangle \cong \mathbb{Z}, \ \pi_1(B) \cong \langle a \rangle \cong \mathbb{Z}, \ \text{and} \ \pi_1(A \cap B) = \{id\}. \ A, \ B, \ \text{and} \ A \cap B \ \text{are open and path-connected.}$  Van Kampen gives

$$\pi_1\left(S^1\vee S^1\right)\cong\pi_1\left(A\right)*\pi_1\left(B\right)\cong\mathbb{Z}*\mathbb{Z}\cong F_{\{a,b\}}.$$

More generally, let  $X = S_{a_1}^1 \vee \cdots \vee S_{a_n}^1$ . By induction,

$$\pi_1(X) = \mathbb{Z} * \cdots * \mathbb{Z} \cong F_{\{a_1,\dots,a_n\}}.$$

Similarly, let  $X = \bigvee_{\alpha \in \Lambda} S_{\alpha}^1$ . Strong version of van Kampen gives

$$\pi_1(X) = \underset{\alpha \in \Lambda}{*} \mathbb{Z} = F_{\Lambda}.$$

**Example.** Let T be a torus and  $x_0 \in T$ . Let

 $A = T \setminus \{ \text{small closed disc } D \}, \qquad B = \{ \text{open set that contains } D \text{ and } x_0 \}.$ 

- A is homotopy equivalent to  $S^1 \vee S^1$ , so  $\pi_1(A) \cong F_{\{a,b\}}$ .
- B is homeomorphic to  $D^2$ , so  $\pi_1(B) = \{id\}$ .
- $A \cap B$  is homotopy equivalent to  $S^1$ , so  $\pi_1(A \cap B) \cong \mathbb{Z}$ .

A, B, and  $A \cap B$  are open and path-connected. Van Kampen gives

$$\pi_1(T) \cong \frac{\pi_1(A)}{\langle\langle i_*(\pi_1(A \cap B))\rangle\rangle},$$

where  $i:A\cap B\hookrightarrow A$ . Then

$$i_*: \pi_1(A \cap B) = \langle \omega \rangle \rightarrow \pi_1(A)$$
  
 $\omega \mapsto aba^{-1}b^{-1}$ ,

SO

$$\pi_1(T) \cong \frac{F_{\{a,b\}}}{\langle\langle aba^{-1}b^{-1}\rangle\rangle} = \langle a, b \mid aba^{-1}b^{-1}\rangle \cong \mathbb{Z}^2.$$

#### 1.2.3 Applications to CW-complexes

Let X be a path-connected topological space. Let Y be the space obtained by attaching 2-cells  $\{e_{\alpha}^2\}$  to X along maps  $\phi_{\alpha}: \partial D^2 = S^1 \to X$ . Consider the loops

$$\begin{array}{cccc} \phi_{\alpha}': & I & \to & X \\ & s & \mapsto & \phi_{\alpha} \left(\cos \left(2\pi s\right), \sin \left(2\pi s\right)\right) \end{array},$$

based at  $\phi_{\alpha}'(0)$ . Let  $\gamma_{\alpha}$  be a path from  $x_0$  to  $\phi_{\alpha}'(0)$  for each  $\alpha$ . Then  $\gamma_{\alpha} \cdot \phi_{\alpha} \cdot \gamma_{\alpha}^{-1}$  is a loop at  $x_0$ . After attaching  $e_{\alpha}^2$ , the loop  $\gamma_{\alpha} \cdot \phi_{\alpha} \cdot \gamma_{\alpha}^{-1}$  is homotopic to the constant loop at  $x_0$ . Let  $N \subseteq \pi_1(X, x_0)$  be the normal closure of all the elements of the form  $[\gamma_{\alpha} \cdot \phi_{\alpha} \cdot \gamma_{\alpha}^{-1}]$ . The inclusion  $i: X \hookrightarrow Y$  yields

$$i_*: \pi_1(X, x_0) \to \pi_1(Y, x_0)$$
,

and  $N \subseteq Ker(i_*)$ .

**Proposition 1.23.** This inclusion  $i: X \hookrightarrow Y$  induces a surjection

$$i_*: \pi_1(X, x_0) \to \pi_1(Y, x_0),$$

and  $Ker(i_*) = N$ , so

$$\pi_1(Y, x_0) \cong \frac{\pi_1(X, x_0)}{N}.$$

*Proof.* Construct a space Z from Y by attaching a strip  $I \times I$  to Y by identifying the lower edge  $I \times \{0\}$  with the path  $\gamma_{\alpha}$  and the right edge  $\{1\} \times I$  with an arch on  $e_{\alpha}^2$ . Attach all the left edges of the strips with each other. Z deformation retracts to Y. Choose a point  $y_{\alpha} \in e_{\alpha}^2$  for each  $\alpha$ , such that  $y_{\alpha}$  is not contained in X or in the attached strip. Let

$$A = Z \setminus \bigcup_{\alpha} \{y_{\alpha}\}, \qquad B = Z \setminus X.$$

- $\bullet$  A deformation retracts to X.
- B is homotopy equivalent to a point.
- $A \cap B$  is homotopy equivalent to

{paths 
$$\gamma_{\alpha}$$
 from  $x_0$  to loops  $\phi'_{\alpha}$ } =  $\overset{\phi'_{\alpha}}{O} \overset{\gamma_{\alpha}}{\cdot} \overset{x_0}{\cdot} \overset{\gamma_{\alpha}}{\cdot} \overset{\phi'_{\alpha}}{\circ}$ .

A, B, and  $A \cap B$  are open and path-connected. Van Kampen gives

$$\pi_1(Y) \cong \pi_1(Z) = \frac{\pi_1(A)}{\langle \langle j_* (\pi_1(A \cap B)) \rangle \rangle},$$

where  $j:A\cap B\hookrightarrow A$  is the inclusion. So  $\langle\langle j_*\left(\pi_1\left(A\cap B\right)\right)\rangle\rangle$  is exactly N. Thus  $\pi_1\left(A\right)=\pi_1\left(X\right).$ 

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Corollary 1.24. For every group G there exists a two-dimensional CW-complex  $X_G$  such that  $\pi_1(X_G) = G$ .

*Proof.* Let  $G = \langle \{g_{\alpha}\} \mid \{r_{\beta}\} \rangle$  be a presentation of G, that is

$$G = \frac{F_{\{g_{\alpha}\}}}{\langle\langle\{r_{\beta}\}\rangle\rangle}.$$

Seen last time that  $\pi_1 \left( \bigvee_{g_{\alpha}} S_{g_{\alpha}}^1 \right) = F_{\{g_{\alpha}\}}$ . Each word  $r_{\beta}$  defines a loop in  $\bigvee_{g_{\alpha}} S_{g_{\alpha}}^1$ . Attach 2-cells to  $\bigvee_{g_{\alpha}} S_{g_{\alpha}}^1$  along the loops defined by the relations  $\{r_{\beta}\}$ . Call this new CW-complex Y. Proposition 1.23 gives that

$$\pi_1(Y, x_0) \cong \frac{\pi_1(X, x_0)}{\langle \langle \{r_\beta\} \rangle \rangle} \cong \frac{F_{\{g_\alpha\}}}{\langle \langle \{r_\beta\} \rangle \rangle} \cong G.$$

Remark. Let  $X = \bigcup_n X^n$  be a CW-complex, path-connected. Proposition 1.23 can be used to show the following two facts.

- The inclusion  $X^1 \hookrightarrow X$  induces a surjective homomorphism  $\pi_1(X^1) \to \pi_1(X)$ .
- The inclusion  $X^2 \hookrightarrow X$  induces an isomorphism  $\pi_1(X^2) \to \pi_1(X)$ .

# 1.3 Covering spaces

# 1.3.1 Lifting properties

Let X be a topological space. Recall that a **covering space** is  $p: \widetilde{X} \to X$  such that each  $x \in X$  has an open neighbourhood U such that

$$p^{-1}\left( U\right) =\bigcup_{\alpha}\widetilde{U_{\alpha}},$$

where  $U_{\alpha}$  are pairwise disjoint and  $p|_{\widetilde{U_{\alpha}}}:\widetilde{U_{\alpha}}\to U$  is a homeomorphism for all  $\alpha$ .

# Example.

Let  $f: Y \to X$  be a continuous map. A **lift** of f is a continuous map  $\widetilde{f}: Y \to \widetilde{X}$  such that  $p\widetilde{f} = f$ , where  $p: \widetilde{X} \to X$  is a covering space. Let Y be connected.

- Unique lifting property states that if two lifts  $\widetilde{f}_1$  and  $\widetilde{f}_2$  of f coincide at one point, then they coincide on all of Y.
- Homotopy lifting property states that if  $f_t: Y \to X$  is a homotopy and  $\widetilde{f_0}: Y \to \widetilde{X}$  is a lift of  $f_0$  then there exists a unique homotopy  $\widetilde{f_t}: Y \to \widetilde{X}$  of  $\widetilde{f_0}$  that lifts  $f_t$ .

Remark.

- If Y is a point, this is called the **path lifting property**. Let  $f: I \to X$  be a path with  $f(0) = x_0$ . If  $\widetilde{x_0} \in p^{-1}(x_0)$ , then there is a unique path  $\widetilde{f}: I \to \widetilde{X}$  lifting f and starting at  $\widetilde{x_0}$ .
- In particular, the lift of a constant path is constant.
- This implies in particular that the lift of a homotopy of paths is again a homotopy of paths. The endpoints  $f_t(0)$  and  $f_t(1)$  define constant paths as t varies.

Fix  $x_0 \in X$  and  $\widetilde{x_0} \in \widetilde{X}$  such that  $p(\widetilde{x_0}) = x_0$ , so

$$p_*: \pi_1\left(\widetilde{X}, \widetilde{x_0}\right) \to \pi_1\left(X, x_0\right).$$

To every element in  $\pi_1(X, x_0)$  we can associate a homotopy class of paths in  $\widetilde{X}$  starting at  $\widetilde{x_0}$ .

#### Proposition 1.25.

- 1.  $p_*: \pi_1\left(\widetilde{X}, \widetilde{x_0}\right) \to \pi_1\left(X, x_0\right)$  is injective.
- 2.  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right) \subseteq \pi_1\left(X,x_0\right)$  consists of the homotopy classes of loops at  $x_0$  whose lifts to  $\widetilde{X}$  starting at  $\widetilde{x_0}$  are loops.

Proof.

- 1. Let  $\widetilde{f}_0: I \to \widetilde{X}$  be a loop at  $\widetilde{x_0}$  such that  $\left[\widetilde{f}_0\right] \in Ker\left(p_*\right)$ , so  $p\widetilde{f}_0 = f_0$  is homotopic to the constant loop at  $x_0$ . Let  $f_t: I \to X$  be a homotopy between  $f_0$  and the constant loop. Homotopy lifting property and remark gives that  $f_t$  lifts to a homotopy  $\widetilde{f}_t$  of paths between  $\widetilde{f}_0$  and the constant loop, so  $\left[\widetilde{f}_0\right] = id \in \pi_1\left(\widetilde{X}, \widetilde{x_0}\right)$  and  $p_*$  is injective.
- 2. Let  $f: I \to X$  be a loop at  $x_0$  that lifts to a loop  $\widetilde{f}$  at  $\widetilde{x_0}$ . Then  $p\widetilde{f} = f$ , so  $p_*\left(\left[\widetilde{f}\right]\right) = [f]$ . On the other hand, if  $f: I \to X$  is a loop at  $x_0$  such that there exists a loop  $\widetilde{f}: I \to \widetilde{X}$  at  $\widetilde{x_0}$  with  $p_*\left(\left[\widetilde{f}\right]\right) = [f]$ , then f is homotopic to  $p\widetilde{f}$ . Homotopy lifting property gives that there exists a loop  $\widetilde{f}': I \to \widetilde{X}$  at  $x_0$  such that  $p\widetilde{f}' = f$ .

Let  $p:\widetilde{X}\to X$  be a covering space. Let  $U\subseteq X$  be an evenly covered neighbourhood of  $x\in X$ . Let

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$$p^{-1}(U) = \bigsqcup_{\alpha \in \Lambda} \widetilde{U_{\alpha}}.$$

Then the cardinality  $|p^{-1}(x)|$  of  $p^{-1}(x)$  is exactly the cardinality of  $|\Lambda|$ . The set of sheets is in bijection with  $p^{-1}(x)$ . So the cardinality of  $p^{-1}(x)$  is locally constant. If X is connected, the cardinality of  $p^{-1}(x)$  is constant.

*Notation.* Let X, Y be topological spaces,  $x \in X$ , and  $y \in Y$ . A continuous map

$$f:(X,x)\to (Y,y)$$

is a continuous map  $f: X \to Y$  such that f(x) = y.

**Proposition 1.26.** Let  $X, \widetilde{X}$  be path-connected and

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

be a covering space. Then the number of sheets of p equals the index of  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right)$  in  $\pi_1\left(X,x_0\right)$ .

*Proof.* Let g be a loop in X at  $x_0$  and  $\widetilde{g}$  be its lift to  $\widetilde{X}$  starting at  $\widetilde{x_0}$ . Let  $H = p_* \left( \pi_1 \left( \widetilde{X}, \widetilde{x_0} \right) \right)$  and let  $[h] \in H$ . Then  $h \cdot g$  lifts to a path  $\widetilde{h} \cdot \widetilde{g}$  in  $\widetilde{X}$  starting at  $\widetilde{x_0}$  with the same endpoint as  $\widetilde{g}$ , because  $\widetilde{h}$  is a loop, by Proposition 1.25. Define

so  $\Phi$  is well-defined. Want to show that  $\Phi$  is bijective.

- $\Phi$  is surjective because  $\widetilde{X}$  is path-connected. Let  $\widetilde{g}$  be a path in  $\widetilde{X}$  from  $\widetilde{x_0}$  to any point  $\widetilde{x_0'} \in p^{-1}(x_0)$ , then  $g = p \cdot \widetilde{g}$  and  $\Phi(H[g]) = \widetilde{x_0'}$ .
- $\Phi$  is injective, since if  $\Phi(H[g_1]) = \Phi(H[g_2])$  then the lift  $\widetilde{g_1} \cdot \widetilde{g_2}^{-1}$  of  $g_1 \cdot g_2^{-1}$  defines a loop in  $\widetilde{X}$  at  $\widetilde{x_0}$ . Proposition 1.25 gives  $[g_1][g_2]^{-1} \in H$ , so  $H[g_1] = H[g_2]$ .

We say that a topological space X has a certain property (P) locally if for each point  $x \in X$  and each neighbourhood U of x there is an open neighbourhood  $V \subseteq U$  having this property (P).

**Example.** X is locally path-connected or X is locally simply-connected.

Proposition 1.27. Let

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

be a covering space and

$$f: (Y, y_0) \to (X, x_0)$$

a continuous map, where Y is path-connected and locally path-connected. Then there is a lift

$$\widetilde{f}: (Y, y_0) \to \left(\widetilde{X}, \widetilde{x_0}\right)$$

if and only if  $f_*(\pi_1(Y, y_0)) \subseteq p_*(\pi_1(\widetilde{X}, \widetilde{x_0}))$ .

$$(Y, y_0) \xrightarrow{\widetilde{f}} (X, \widetilde{x_0})$$

$$\downarrow^p \cdot (X, x_0)$$

Proof.

- $\implies$  Clear, because  $f = p\widetilde{f}$  implies  $f_* = p_*\widetilde{f}_*$ .
- $\Leftarrow$  Assume  $f_*(\pi_1(Y, y_0)) \subseteq p_*(\pi_1(\widetilde{X}, \widetilde{x_0}))$ . For each  $y \in Y$  choose a path  $\gamma$  from  $y_0$  to y, so  $f\gamma$  is a path in X from  $x_0$  to f(y). By path lifting, we can lift  $f\gamma$  to a path  $\widetilde{f\gamma}$  in  $\widetilde{X}$  starting at  $\widetilde{x_0}$ . Define the map

$$\widetilde{f}: (Y, y_0) \to \left(\widetilde{X}, \widetilde{x_0}\right) \\ y \mapsto \widetilde{f\gamma}(1) .$$

$$\widetilde{x_0} \xrightarrow{\widetilde{f}} \widetilde{f\gamma} \widetilde{f}(y) \\ \downarrow p \\ y_0 \xrightarrow{\gamma} y \xrightarrow{f} x_0 \xrightarrow{f\gamma} f(y)$$

- This map is well-defined, that is does not depend on the choice of  $\gamma$ . Let  $\gamma'$  be another path from  $y_0$  to y. Then  $h_0 = (f\gamma') \cdot (f\gamma)^{-1}$  is a loop at  $x_0$  and  $[h_0] \in f_*(\pi_1(Y, y_0)) \subseteq p_*(\pi_1(\widetilde{X}, \widetilde{x_0}))$ . Proposition 1.25 gives that can lift  $h_0$  to a loop  $\widetilde{h_0}$  at  $\widetilde{x_0}$ . The first half of  $\widetilde{h_0}$  is  $\widetilde{f\gamma'}$  and the second half is  $\widetilde{f\gamma}^{-1}$ , so  $\widetilde{f\gamma}(1) = \widetilde{f\gamma'}(1)$ . Thus  $\widetilde{f}$  is well-defined.
- We have  $p\widetilde{f} = f$ , so  $\widetilde{f}$  lifts f.
- It remains to show that  $\widetilde{f}$  is continuous. Let  $y \in Y$  and let U be an evenly covered neighbourhood of f(y). Let  $\widetilde{U}$  be the sheet above U such that  $\widetilde{f}(y) \in \widetilde{U}$ , so  $p \mid_{\widetilde{U}} : \widetilde{U} \to U$  is a homeomorphism. Let  $V \subseteq Y$  be a path-connected neighbourhood of y such that  $f(V) \subseteq U$ . Fix a path  $\gamma$  from  $y_0$  to y. Let  $y' \in V$  be arbitrary and  $\eta$  be a path from y to y', so  $\gamma \cdot \eta$  is a path from  $y_0$  to y'. Then  $(f\gamma) \cdot (f\eta)$  is a path in U from  $x_0$  to f(y').  $\widetilde{f\eta} = (p \mid_{\widetilde{U}})^{-1} f\eta$ , so  $\widetilde{f} \mid_{V} = (p \mid_{\widetilde{U}})^{-1} f$ . Thus  $\widetilde{f} \mid_{V} : V \to \widetilde{U}$  is continuous, so  $\widetilde{f}$  is continuous.

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# 1.3.2 The classification of covering spaces

**Definition.** A covering space  $p: \widetilde{X} \to X$  is a **universal cover** if  $\widetilde{X}$  is simply-connected.

**Definition.** A topological space X is **semilocally simply-connected** if each  $x \in X$  has a neighbourhood U such that

$$i_*: \pi_1(U, x) \to \pi_1(X, x)$$

is trivial, where  $i:U\hookrightarrow X$  is the inclusion.

**Example.** Let  $X = \bigcup_n C_n \subseteq \mathbb{R}^2$  be the Hawaiian earrings, where  $C_n \subseteq \mathbb{R}^2$  is the circle of radius 1/n and centre (1/n, 0). Then X is not semilocally simply-connected.

**Proposition 1.28.** If  $p: \widetilde{X} \to X$  is a universal cover, then X is semilocally simply-connected.

*Proof.* Let  $U \subseteq X$  be an evenly covered neighbourhood of  $x_0 \in X$ ,  $\widetilde{U} \subseteq \widetilde{X}$  be a sheet over U, and  $\gamma \subseteq U$  be a loop at  $x_0$ , so  $\gamma$  lifts to a loop  $\widetilde{\gamma} \subseteq \widetilde{U}$  at  $\widetilde{x_0}$ .  $\widetilde{\gamma}$  is homotopic to the constant loop at  $\widetilde{x_0}$ . Compose this homotopy with p gives that  $\gamma$  is homotopic to the constant loop at  $x_0$  in X, so

$$\pi_1(U, x_0) \to \pi_1(X, x_0)$$

is trivial.

**Theorem 1.29.** Let X be path-connected, locally path-connected, and semilocally simply-connected. Then there exists a universal cover  $p: \widetilde{X} \to X$ .

Remark. If

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

is a universal cover, each point  $\widetilde{x} \in \widetilde{X}$  can be joined to  $\widetilde{x_0}$  by a unique homotopy class of paths, by Proposition 1.6.

 $\left\{ \text{points in } \widetilde{X} \right\} \iff \left\{ [\gamma] \mid \gamma \text{ is a path in } \widetilde{X} \text{ starting at } \widetilde{x_0} \right\} \iff \left\{ [\gamma] \mid \gamma \text{ is a path in } X \text{ starting at } x_0 \right\},$ 

by the homotopy lifting property.

*Proof.* Let  $x_0 \in X$ , and

$$\widetilde{X} = \{ [\gamma] \mid \gamma \text{ is a path in } X \text{ starting at } x_0 \}, \qquad \begin{array}{ccc} p: & \widetilde{X} & \to & X \\ & [\gamma] & \mapsto & \gamma \, (1) \end{array}.$$

Have to

- 1. give  $\widetilde{X}$  a topology,
- 2. show that  $p: \widetilde{X} \to X$  is a covering, and
- 3. show that  $\widetilde{X}$  is simply-connected.

Recall that a basis for a topology on a set Y is a collection  $\mathcal{B}$  of subsets such that

- $Y = \bigcup_{U \in \mathcal{B}} U$ , and
- if  $U_1, U_2 \in \mathcal{B}$  and  $y \in U_1 \cap U_2$  then there exists  $V \in \mathcal{B}$  such that  $y \in V$  and  $V \subseteq U_1 \cap U_2$ .

A basis defines a topology on Y, by  $A \subseteq Y$  is open if and only if A is the union of elements of  $\mathcal{B}$ . A map  $f: Z \to Y$  is continuous if and only if  $f^{-1}(U)$  is open for all  $U \in \mathcal{B}$ .

1. Let  $\mathcal{U}$  be the collection of all path-connected open sets  $U \subseteq X$  such that  $\pi_1(U) \to \pi_1(X)$  is trivial. Then  $X = \bigcup_{U \in \mathcal{U}} U$  because X is semilocally simply-connected. Let  $U_1, U_2 \in \mathcal{U}$  and  $y \in U_1 \cap U_2$ , and let  $y \in V \subseteq U_1 \cap U_2$  be path-connected and open.

$$V \hookrightarrow U_1 \hookrightarrow X$$

$$\pi_1(V) \xrightarrow{\text{trivial}} \pi_1(X)$$

so  $V \in \mathcal{U}$  gives that  $\mathcal{U}$  is a basis for the topology on X. For  $U \in \mathcal{U}$  and  $\gamma$  a path in X from  $x_0$  to a point in U, we define

$$U_{[\gamma]} = \{ [\gamma \cdot \eta] \mid \eta \text{ a path in } U \text{ such that } \eta(0) = \gamma(1) \} \subseteq \widetilde{X}.$$

 $U_{[\gamma]}$  only depends on the class  $[\gamma]$ , so  $p\mid_{U_{[\gamma]}}:U_{[\gamma]}\to U$  is bijective. Surjective because U is path-connected and injective because all paths  $\eta$  in U with the same endpoint are homotopic. Claim that  $\{U_{[\gamma]}\}$  forms a basis on  $\widetilde{X}$ .

- $\bigcup_{U \in \mathcal{U}, \gamma} U_{[\gamma]} = \widetilde{X}$ , because  $\bigcup_{U \in \mathcal{U}} U = X$ .
- Observe that if  $[\gamma'] \in U_{[\gamma]}$  then  $U_{[\gamma]} = U_{[\gamma']}$ . If  $\gamma' = \gamma \cdot \eta$  for  $\eta$  a path in U, then elements in  $U_{[\gamma']}$  have the form  $[\gamma \cdot \eta \cdot \mu]$ , so  $U_{[\gamma']} \subseteq U_{[\gamma]}$ . Elements in  $U_{[\gamma]}$  have the form  $[\gamma \cdot \mu] = [\gamma \cdot \eta \cdot \eta^{-1} \cdot \mu] = [\gamma' \cdot \eta^{-1} \cdot \mu]$ , so  $U_{[\gamma]} \subseteq U_{[\gamma']}$ . Consider  $U_{[\gamma]}$  and let  $[\gamma''] \in U_{[\gamma]} \cap V_{[\gamma']}$ , so  $U_{[\gamma]} = U_{[\gamma'']}$  and  $V_{[\gamma']} = V_{[\gamma'']}$ . Let  $W \in \mathcal{U}$  such that  $W \subseteq U \cap V$  and such that  $Y''(1) \in W$ , so  $W_{[\gamma'']} \subseteq U_{[\gamma'']} \cap V_{[\gamma'']}$  and  $[\gamma''] \in W_{[\gamma'']}$ . This proves the claim.

2.  $p\mid_{U_{[\gamma]}}:U_{[\gamma]}\to U$  is a homeomorphism. It is bijective, let  $V_{[\gamma']}\subseteq U_{[\gamma]}$  be an element of the basis, so  $p\left(V_{[\gamma']}\right)=V\in\mathcal{U}.\ p^{-1}\left(V\right)\cap U_{[\gamma]}=V_{[\gamma']}.$  Thus  $p:\widetilde{X}\to X$  is continuous. If  $U\in\mathcal{U}$ , then

$$p^{-1}\left(U\right) = \bigsqcup_{\left[\gamma\right]} U_{\left[\gamma\right]},$$

so  $p: \widetilde{X} \to X$  is a covering space.

3. Let  $\widetilde{x_0} \in \widetilde{X}$  be the class of the constant path at  $x_0$ . Let  $[\gamma] \in \widetilde{X}$  be arbitrary.  $\gamma:[0,1] \to X$  and  $\gamma(0) = x_0$ . Let  $\gamma_t$  be the path in X defined by

$$\gamma_{t}\left(s\right) = \begin{cases} \gamma\left(s\right) & s \in \left[0, t\right] \\ \gamma\left(t\right) & s \in \left[t, 1\right] \end{cases}.$$

Then

$$\widetilde{\gamma}: I \to \widetilde{X}$$
 $t \mapsto [\gamma_t]$ 

is a path in  $\widetilde{X}$  from  $\widetilde{x_0}$  to  $[\gamma]$ , so  $\widetilde{X}$  is path-connected. Recall that  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right)$  consists of the classes of loops at  $x_0$  in X that lifts to loops in  $\widetilde{X}$  at  $\widetilde{x_0}$ . Let  $[\gamma] \in p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right)$ . Then  $\gamma$  lifts to a loop at  $\widetilde{x_0}$  by  $t \mapsto [\gamma_t]$ . Because it is a loop we have  $\widetilde{x_0} = [\gamma_1] = [\gamma]$ , so  $\gamma$  is homotopic to the constant loop. Thus  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right) = \{id\}$ , so  $\widetilde{X}$  is simply-connected.

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Let 
$$p: \widetilde{X} \to X$$
 be a covering space, so  $p_*\left(\pi_1\left(\widetilde{X}, \widetilde{x_0}\right)\right) \subseteq \pi_1\left(X, x_0\right)$ .

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**Proposition 1.30.** Let X be path-connected, locally path-connected, and semilocally simply-connected. Then for every subgroup  $H \subseteq \pi_1(X, x_0)$  there is a covering space  $p: X_H \to X$  such that  $p_*(\pi_1(X_H, \widetilde{x_0})) = H$  for some basepoint  $x_0$ .

*Proof.* Let  $\widetilde{X}$  be as constructed above. Define  $X_H = \widetilde{X}/\sim$ , where  $[\gamma] \sim [\gamma']$  if  $\gamma(1) = \gamma'(1)$  and  $[\gamma \cdot (\gamma')^{-1}] \in H$ . This is an equivalence relation.

- $[\gamma] \sim [\gamma]$  because  $id \in H$ .
- $[\gamma] \sim [\gamma']$  gives  $[\gamma'] \sim [\gamma]$  because H contains all its inverses.
- $[\gamma] \sim [\gamma']$  and  $[\gamma'] \sim [\gamma'']$  gives  $[\gamma] \sim [\gamma'']$  because H is closed under product.

Let  $U_{[\gamma]}, U_{[\gamma']}$  be basis neighbourhoods. If  $[\gamma] \sim [\gamma']$  then  $[\gamma \cdot \eta] \sim [\gamma' \cdot \eta]$ , so p is a covering space, and  $p^{-1}(U) = \bigcup_{\gamma} U_{[\gamma]}$ . Let  $\widetilde{x_0} \in X_H$  be the equivalence class of the constant path  $c_{x_0}$  at  $x_0$ . Let  $\gamma$  be a loop in X at  $x_0$  such that  $[\gamma] \in p_*(\pi_1(X_H, \widetilde{x_0}))$ . Again  $t \mapsto [\gamma_t]$  is a lift of  $\gamma$  at  $\widetilde{x_0}$ .

$$t\mapsto [\gamma_t] \text{ is a loop in } X_H \quad \Longleftrightarrow \quad [\gamma_1]=[\gamma]=[c_{x_0}] \text{ in } X_H \quad \Longleftrightarrow \quad [\gamma]\sim [c_{x_0}] \quad \Longleftrightarrow \quad \gamma\in H.$$

**Definition.** We say that two covering spaces  $p_1:\widetilde{X_1}\to X$  and  $p_2:\widetilde{X_2}\to X$  are **isomorphic** if there exists a homeomorphism  $f:\widetilde{X_1}\to\widetilde{X_2}$  such that

$$\widetilde{X_1} \xrightarrow{f} \widetilde{X_2}$$
 $X$ 
 $\downarrow p_2$ 
 $X$ 

**Proposition 1.31.** Let X be path-connected and locally path-connected and  $x_0 \in X$ . Two path-connected covering spaces  $p_1: \widetilde{X}_1 \to X$  and  $p_2: \widetilde{X}_2 \to X$  are isomorphic via an isomorphism  $f: \widetilde{X}_1 \to \widetilde{X}_2$  mapping a basepoint  $\widetilde{x}_1 \in p_1^{-1}(x_0)$  to a basepoint  $\widetilde{x}_2 \in p_2^{-1}(x_0)$  if and only if

$$(p_1)_* \left(\pi_1\left(\widetilde{X_1},\widetilde{x_1}\right)\right) = (p_2)_* \left(\pi_1\left(\widetilde{X_2},\widetilde{x_2}\right)\right).$$

Proof.

 $\implies$  If

$$f: \left(\widetilde{X_1}, \widetilde{x_1}\right) \to \left(\widetilde{X_2}, \widetilde{x_2}\right)$$

is an isomorphism, then  $p_1 = p_2 f$ , so

$$(p_1)_* \left(\pi_1\left(\widetilde{X}_1, \widetilde{X}_1\right)\right) \subseteq (p_2)_* \left(\pi_1\left(\widetilde{X}_2, \widetilde{X}_2\right)\right),$$

and  $p_2 = p_1 f^{-1}$ , so

$$(p_2)_* \left(\pi_1\left(\widetilde{X_2},\widetilde{x_2}\right)\right) \subseteq (p_1)_* \left(\pi_1\left(\widetilde{X_1},\widetilde{x_1}\right)\right).$$

← Assume

$$\left(p_{1}\right)_{*}\left(\pi_{1}\left(\widetilde{X_{1}},\widetilde{x_{1}}\right)\right)=\left(p_{2}\right)_{*}\left(\pi_{1}\left(\widetilde{X_{2}},\widetilde{x_{2}}\right)\right).$$

By lifting criterion in Proposition 1.27, we can lift  $p_1$  to a continuous map

$$\widetilde{p_1}: \left(\widetilde{X_1}, \widetilde{x_1}\right) \to \left(\widetilde{X_2}, \widetilde{x_2}\right),$$

and  $p_2$  to a continuous map

$$\widetilde{p_2}:\left(\widetilde{X_2},\widetilde{x_2}\right)\to\left(\widetilde{X_1},\widetilde{x_1}\right),$$

so  $p_1\widetilde{p_2} = p_2$  and  $p_2\widetilde{p_1} = p_1$ .

$$(\widetilde{X}_1, \widetilde{x}_1) \xrightarrow{\widetilde{p_1}} (\widetilde{X}_2, \widetilde{x}_2) \xrightarrow{\widetilde{p_2}} (X, x_0)$$

 $\widetilde{p_1}\widetilde{p_2}$  fixes the point  $\widetilde{x_2} \in \widetilde{X_2}$ . By the unique lifting property in Proposition 1.7,  $\widetilde{p_1}\widetilde{p_2} = id_{\widetilde{x_2}}$ . Similarly,  $\widetilde{p_2}\widetilde{p_1} = id_{\widetilde{x_1}}$ , so  $\widetilde{p_1}$  is an isomorphism.

Fix  $x_0 \in X$ ,  $\widetilde{x_1} \in p_1^{-1}(x_0)$ , and  $\widetilde{x_2} \in p_2^{-1}(x_0)$ . A basepoint preserving isomorphism

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$$f: \left(\widetilde{X}_1, \widetilde{x}_1\right) \to \left(\widetilde{X}_2, \widetilde{x}_2\right)$$

is an isomorphism such that  $f(\widetilde{x_1}) = \widetilde{x_2}$ .

**Theorem 1.32** (Galois correspondence). Let X be path-connected, locally path-connected, and semilocally simply-connected, and  $x_0 \in X$ . Then

1. there is a bijection

$$\left\{\begin{array}{c} path\text{-}connected\ covering\ spaces\ p:\left(\widetilde{X},\widetilde{x_0}\right)\to (X,x_0)\\ up\ to\ basepoint\ preserving\ isomorphisms \end{array}\right\} \qquad \Longleftrightarrow \qquad \left\{\begin{array}{c} subgroups\\ H\subseteq \pi_1\left(X,x_0\right) \end{array}\right\},$$

2. if we ignore the basepoints, this correspondence gives a bijection

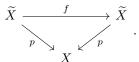
$$\left\{\begin{array}{c} path\text{-}connected\ covering\ spaces\ p:\widetilde{X}\to X\\ up\ to\ isomorphisms \end{array}\right\} \qquad \Longleftrightarrow \qquad \left\{\begin{array}{c} conjugacy\ classes\ of\ subgroups\\ H\subseteq \pi_1\left(X,x_0\right) \end{array}\right\}.$$

Proof.

- 1. To a covering space  $p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$  we associate the subgroup  $p_*\left(\pi_1\left(\widetilde{X}, \widetilde{x_0}\right)\right) \subseteq \pi_1\left(X, x_0\right)$ . Proposition 1.30 and Proposition 1.31 show that this is well-defined on the isomorphism classes and it is bijective.
- 2. Let  $p: \widetilde{X} \to X$  be a covering space and  $\widetilde{x_1}, \widetilde{x_2} \in p^{-1}(x_0)$ . Let  $H_i = p_* \left(\pi_1\left(\widetilde{X}, \widetilde{x_i}\right)\right) \subseteq \pi_1(X, x_0)$ , for i = 1, 2. Let  $\widetilde{\gamma}$  be a path from  $\widetilde{x_1}$  to  $\widetilde{x_2}$ . Let  $\gamma = p\widetilde{\gamma}$  be a loop at  $x_0$ . Let  $[f] \in \pi_1(X, x_0)$ . Then  $[f] \in H_1$  if and only if the lift  $\widetilde{f}$  is a loop at  $\widetilde{x_1}$ .  $\widetilde{\gamma}^{-1} \cdot \widetilde{f} \cdot \widetilde{\gamma}$  is a loop at  $\widetilde{x_2}$  gives  $p_* \left(\widetilde{\gamma}^{-1} \cdot \widetilde{f} \cdot \widetilde{\gamma}\right) = \gamma^{-1} \cdot f \cdot \gamma$ , so  $[\gamma]^{-1} [f] [\gamma] \in H_2$ . Thus  $[\gamma]^{-1} H_1 [\gamma] \subseteq H_2$ . Similarly,  $[\gamma] H_2 [\gamma]^{-1} \subseteq H_1$ . Conversely, let  $H_1 \subseteq \pi_1(X, x_0)$  as above and  $[\delta] \in \pi_1(X, x_0)$  be an arbitrary element. Let  $\widetilde{\delta}$  be a lift of  $\delta$  such that  $\widetilde{\delta}(0) = \widetilde{x_0}$  and define  $x_3 = \widetilde{\delta}(1)$ . Then the same construction yields  $p_* \left(\pi_1\left(\widetilde{X}, \widetilde{x_3}\right)\right) = [\delta]^{-1} H_1 [\delta]$ .

#### 1.3.3 Deck transformations and group actions

**Definition.** Let  $p:\widetilde{X}\to X$  be a covering space. A **deck-transformation** is an isomorphism from  $\widetilde{X}$  to itself.



The group of deck-transformations is denoted by  $G\left(\widetilde{X}\right)$ .

Example.

• Let

$$p: \quad \mathbb{R} \quad \to \quad S^1 \subset \mathbb{C} \\ t \quad \mapsto \quad e^{2\pi i t} \quad .$$

 $f: \mathbb{R} \to \mathbb{R}$  such that p(f(t)) = p(t) if and only if  $e^{2\pi i f(t)} = e^{2\pi i t}$ , if and only if f(t) = t + n, so  $G(\mathbb{R}) \cong \mathbb{Z}$ .

• Let

$$\begin{array}{cccc} p: & S^1 & \to & S^1 \\ & z & \mapsto & z^n \end{array}.$$

Then  $G(S^1) \cong \mathbb{Z}/n\mathbb{Z}$ .

An observation is that if  $\widetilde{X}$  is path-connected then  $f \in G\left(\widetilde{X}\right)$  is uniquely determined by where it sends a single point.

$$\widetilde{X} \xrightarrow{f'} \widetilde{X}$$
 $X$ 
 $X$ 
 $X$ 
 $X$ 
 $X$ 
 $X$ 
 $X$ 

If f(x) = f'(x) for a single x, by unique lifting f = f'. So the identity is the only deck-transformation with a fixed point.

**Definition.** A covering space  $p:\widetilde{X}\to X$  is **normal**, or **regular**, or **Galois**, if for each  $x\in X$  and every pair  $\widetilde{x},\widetilde{x'}\in p^{-1}\left(x\right)$  there is an  $f\in G\left(\widetilde{X}\right)$  such that  $f\left(\widetilde{x}\right)=\widetilde{x'}$ .

#### Example.

- $p: \mathbb{R} \to S^1$  is normal.
- $p: S^1 \to S^1$  is normal.

# Proposition 1.33. Let

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

be a path-connected covering space, and X be path-connected and locally path-connected. Then  $p: \widetilde{X} \to X$  is normal if and only if  $H = p_* \left( \pi_1 \left( \widetilde{X}, \widetilde{x_0} \right) \right) \subseteq \pi_1 \left( X, x_0 \right)$  is a normal subgroup.

Proof. Let  $\widetilde{x_1} \in p^{-1}(x_0)$ , let  $\widetilde{\gamma}$  be a path from  $\widetilde{x_0}$  to  $\widetilde{x_1}$  and  $\gamma = p(\widetilde{\gamma})$ . Then  $[\gamma]$  conjugates H to  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_1}\right)\right)$  so  $[\gamma]H[\gamma]^{-1}=H$ , if and only if  $H=p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_1}\right)\right)$ , by Proposition 1.31 if and only if  $f\left(\widetilde{x_0}\right)=\widetilde{x_1}$ . So  $G\left(\widetilde{X}\right)$  acts transitively on  $p^{-1}\left(x_0\right)$  if and only if  $H\subseteq\pi_1\left(X,x_0\right)$  is a normal subgroup. Let  $x_0'\in X$  be another point and h a path from  $x_0$  to  $\widetilde{x_0}$ . Let  $\widetilde{h}$  be a lift of h such that  $\widetilde{h}\left(0\right)=\widetilde{x_0}$ . Set  $\widetilde{x_0}=\widetilde{h}\left(1\right)$  and  $p\left(\widetilde{x_0'}\right)=x_0'$ . Then

$$\pi_1\left(\widetilde{X},\widetilde{x_0}\right) \xrightarrow{\beta_{\widetilde{h}}} \pi_1\left(\widetilde{X},\widetilde{x_0'}\right) 
\downarrow p_* \qquad \qquad \downarrow p_* \qquad \vdots 
\pi_1\left(X,x_0\right) \xrightarrow{\beta_h} \pi_1\left(X,x_0'\right)$$

 $H \subseteq \pi_1(X, x_0)$  is normal if and only if  $p_*\left(\pi_1\left(\widetilde{X}, \widetilde{x_0'}\right)\right) \subseteq \pi_1(X, x_0')$  is normal, as before if and only if  $G\left(\widetilde{X}\right)$  acts transitively on  $p^{-1}(x_0')$ .

# Proposition 1.34. Let

$$p:\left(\widetilde{X},\widetilde{x_0}\right)\to (X,x_0)$$

be a covering space, and  $X, \widetilde{X}$  be path-connected and locally path-connected. Let  $H = p_* \left( \pi_1 \left( \widetilde{X}, \widetilde{x_0} \right) \right)$  and  $N(H) \subseteq \pi_1 \left( X, x_0 \right)$  be the normaliser of H. Then  $G\left( \widetilde{X} \right)$  is isomorphic to N(H)/H. In particular,

- if  $\widetilde{X}$  is normal, then  $G\left(\widetilde{X}\right) \cong \pi_1\left(X, x_0\right)/H$ , and
- if  $\widetilde{X}$  is the universal cover, then  $G\left(\widetilde{X}\right) \cong \pi_1\left(X, x_0\right)$ .

*Proof.* Exercise: read the proof of this in Hatcher.

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**Example.** Let  $X = S^1 \vee S^1$ , so  $\pi_1(X) = F_{\{a,b\}}$ . Then the following are covering spaces.

• A normal covering space

$$\widetilde{X} = \overset{a}{O} \overset{\widetilde{x_0}}{\cdot} \overset{b}{O} \cdot \overset{a}{O} \to \overset{a}{O} \cdot \overset{b}{O} = X, \qquad p_* \left( \pi_1 \left( \widetilde{X}, \widetilde{x_0} \right) \right) = \left\langle a, b^2, bab^{-1} \right\rangle \overset{2}{\subseteq} F_{\{a,b\}}.$$

In general, a two-oriented graph is a covering space of X.

• Not a normal covering space

$$\widetilde{X} = \overset{a}{\mathcal{O}} \cdot \overset{b}{\mathcal{O}} \overset{\widetilde{x_0}}{\cdot} \overset{a}{\mathcal{O}} \cdot \overset{b}{\mathcal{O}} \to \overset{a}{\mathcal{O}} \cdot \overset{b}{\mathcal{O}} = X, \qquad p_* \left( \pi_1 \left( \widetilde{X}, \widetilde{x_0} \right) \right) = \left\langle b^2, bab^{-1}, a^2, aba^{-1} \right\rangle.$$

• A normal covering space

Universal cover is a tree.

**Example.** Let  $T = S^1 \times S^1$ , so  $\pi_1(T) = \mathbb{Z}^2$ . This is abelian, so all covering spaces are normal. Universal cover is

$$\begin{array}{ccc} \mathbb{R}^2 & \to & S^1 \times S^1 \\ (s,t) & \mapsto & \left(e^{2\pi i s}, e^{2\pi i t}\right) \end{array},$$

since  $\mathbb{R}^2$  is simply connected. (Exercise: check that it is a covering space) More generally, if  $p: \widetilde{X} \to X$  and  $q: \widetilde{X} \to X$  are covering spaces then

$$\widetilde{X} \times \widetilde{Y} \rightarrow X \times Y$$
 $(x,y) \mapsto (p(x), q(y))$ 

is again a covering space. For example,

$$\begin{array}{ccc} S^1 \times S^1 & \rightarrow & S^1 \times S^1 \\ (z_1, z_2) & \mapsto & (z_1^n, z_2^m) \end{array}.$$

**Example.** Worksheet 3 exercise 7. Let

$$\mathbb{RP}^n = \frac{\mathbb{R}^{n+1} \setminus \{0\}}{\sim} = \frac{S^n}{\sim}$$

be the **projective** n-space, the space of all lines through the origin in  $\mathbb{R}^{n+1}$ , where  $x \sim -x$ . Let  $p: S^n \to \mathbb{RP}^n$  be the quotient map. Claim that this is a covering space. Let  $[x] \in \mathbb{RP}^n$ . Then  $p^{-1}([x]) = \{\pm x\}$ . Let U be an open neighbourhood of x such that  $U \cap (-U) = \emptyset$ , so  $p(U) = \{[x] \mid x \in U\}$ . Then  $p^{-1}(p(U)) = U \cup (-U)$  is open and disjoint. Thus  $p|_U: U \to p(U)$  is a homeomorphism, so it is a covering space.

•  $n \geq 2$  gives that  $S^n$  is simply-connected, so  $S^n \to \mathbb{RP}^n$  is a universal cover. Then

$$\{id\} = p_* (\pi_1 (S^n)) \stackrel{2}{\subseteq} \pi_1 (\mathbb{RP}^n),$$

so  $|\pi_1(\mathbb{RP}^n)| = 2$ . Thus  $\pi_1(\mathbb{RP}^n) \cong \mathbb{Z}/2\mathbb{Z}$ .

• n=1 gives  $\mathbb{RP}^1=S^1$ , so

$$p: S^1 \to S^1$$

$$z \mapsto z^2$$

is a covering space.

# 2 Homology

Higher homotopy groups  $\pi_n(X, x_0)$  are groups of basepoint preserving homotopies of continuous  $\phi: I^n \to X$  such that  $\phi(\partial I^n) = x_0$ .

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Example.

$$\pi_1\left(S^n\right) = \begin{cases} \mathbb{Z} & n = 1\\ 0 & \text{otherwise} \end{cases}, \qquad \pi_2\left(S^n\right) = \begin{cases} \mathbb{Z} & n = 2\\ 0 & \text{otherwise} \end{cases},$$

$$\pi_3\left(S^n\right) = \begin{cases} \mathbb{Z} & n = 2, 3\\ 0 & \text{otherwise} \end{cases}, \qquad \pi_i\left(S^2\right) = \begin{cases} \frac{\mathbb{Z}}{2\mathbb{Z}} & i = 4, 5\\ \frac{\mathbb{Z}}{12\mathbb{Z}} & i = 6 \end{cases}.$$

Homology is more suitable. The following is the plan.

- Simplicial homology.
- Singular homology.
- Technical machinery to show that they coincide.
- Applications.

# 2.1 Simplicial and singular homology

# 2.1.1 $\Delta$ -complexes

**Definition.** Let  $m, n \geq 0$ .

- An n-simplex in  $\mathbb{R}^m$  is the convex hull of a set V of n+1 points in  $\mathbb{R}^m$  that are not all contained in an affine (n-1)-dimensional subspace of  $\mathbb{R}^m$ .
- The standard *n*-simplex is the convex hull of the standard basis  $\{e_1, \ldots, e_{n+1}\}$  in  $\mathbb{R}^{n+1}$ ,

$$\{(x_0,\ldots,x_n)\in\mathbb{R}^{n+1}\mid x_i\geq 0,\ x_0+\cdots+x_n=1\}.$$

- An **ordered** *n*-simplex is an *n*-simplex with an ordering on the vertices. We denote it by  $[v_0, \ldots, v_n]$ , where  $v_0, \ldots, v_n$  are the vertices in ascending order.
- The standard ordered *n*-simplex is the ordered *n*-simplex  $[e_1, \ldots, e_{n+1}]$  in  $\mathbb{R}^{n+1}$ . It is denoted by  $\Delta^n$ .
- Let  $[v_0, \ldots, v_{n+1}]$  be an *n*-simplex in  $\mathbb{R}^m$  and let  $L \subseteq \mathbb{R}^m$  be the affine subspace spanned by  $v_0, \ldots, v_n$ . Then there exists a unique affine morphism

$$\begin{array}{ccc}
L & \to & \mathbb{R}^{n+1} \\
v_i & \mapsto & e_{i+1}
\end{array},$$

for  $i = 0, \ldots, n$ . This gives a homeomorphism from  $[v_0, \ldots, v_n]$  to  $\Delta^n$  that preserves this ordering.

• For  $n \ge 1$ , the **faces** of an ordered *n*-simplex  $[v_0, \ldots, v_n]$  are the ordered (n-1)-simplices

$$[v_0,\ldots,\widehat{v_i},\ldots,v_n]$$
.

 $\hat{v}_i$  means we omit the vertex  $v_i$ .

- The union of all the faces of a simplex  $\Delta$  is the **boundary**  $\partial \Delta$ .
- The **interior** of  $\Delta$  is  $\mathring{\Delta} = \Delta \setminus \partial \Delta$ .

**Example.** Let  $\Delta^2 = [e_1, e_2, e_3]$ . Then  $\partial \Delta^2 = [e_1, e_2] \cup [e_1, e_3] \cup [e_2, e_3]$ .

**Definition.** Let X be a topological space. A  $\Delta$ -complex structure on X is a collection of continuous maps  $\sigma_{\alpha}: \Delta^{n(\alpha)} \to X$  for  $\alpha \in A$  and  $n(\alpha) \in \mathbb{N}$  such that

- 1. the restriction  $\sigma_{\alpha} \mid_{\mathring{\Delta}^{n(\alpha)}}$  is injective for all  $\alpha \in A$  and for each  $x \in X$  there is a unique  $\alpha \in A$  such that  $x \in \sigma_{\alpha} \left(\mathring{\Delta}^{n(\alpha)}\right)$ ,
- 2. the restriction of  $\sigma_{\alpha}$  to a face of  $\Delta^{n(\alpha)}$  is equal to  $\sigma_{\beta}$  for some  $\beta \in A$  and  $n(\beta) = n(\alpha) 1$ , and
- 3.  $U \subseteq X$  is open if and only if  $\sigma_{\alpha}^{-1}(U)$  is open in  $\Delta^{n(\alpha)}$  for all  $\alpha \in A$ .

An observation is that

$$\sigma: \bigsqcup_{\alpha \in A} \Delta^{n(\alpha)} \to X$$

induced by the  $\sigma_{\alpha}$  is a quotient map, since it is surjective by 1 and  $U \subseteq X$  is open if and only if  $\sigma^{-1}(U)$  is open by 3.

*Remark.* One can show that an X with a  $\Delta$ -complex structure is a CW-complex.

#### Example.

- Torus or Klein bottle is two  $\Delta^2$ , three  $\Delta^1$ , and one  $\Delta^0$ .
- $S^2$  is a tetrahedron.
- Dunce hat, by identifying all the three faces of the standard 2-simplex with each other, has one  $\Delta^2$ , one  $\Delta^1$ , and one  $\Delta^0$ .

#### 2.1.2 Simplicial homology

Let X be a  $\Delta$ -complex. The group of n-chains  $\Delta_n(X)$  is the free abelian group on the n-simplices  $\sigma_\alpha: \Delta^{n(\alpha)} \to X$ , where  $n(\alpha) = n$ . So an element in  $\Delta_n(X)$  is of the form

$$\sum_{\alpha \in A, \ n(\alpha)=n} c_{\alpha} \cdot \sigma_{\alpha},$$

where  $c_{\alpha} \in \mathbb{Z}$  and all but finitely many of the  $c_{\alpha}$  are zero.

**Example.** Let K be a Klein bottle.

- $\Delta_0(K) = \{n \cdot v \mid n \in \mathbb{Z}\} = \mathbb{Z} \cdot v \cong \mathbb{Z}.$
- $\Delta_1(K) = \{n_1 \cdot a + n_2 \cdot b + n_3 \cdot c \mid n_1, n_2, n_3 \in \mathbb{Z}\} = \mathbb{Z} \cdot a \oplus \mathbb{Z} \cdot b \oplus \mathbb{Z} \cdot c \cong \mathbb{Z}^3$ .
- $\Delta_2(K) = \{n_1 \cdot U + n_2 \cdot V \mid n_1, n_2 \in \mathbb{Z}\} = \mathbb{Z} \cdot U \oplus \mathbb{Z} \cdot V \cong \mathbb{Z}^2$ .
- $\Delta_n(K) = 0$  for  $n \geq 3$ .

Similarly for a torus T.

Define the **boundary homomorphism** by

$$\begin{array}{cccc} \partial_n: & \Delta_n\left(X\right) & \to & \Delta_{n-1}\left(X\right) \\ & \sigma_{\alpha} & \mapsto & \sum_{i=0}^{n} \left(-1\right)^i \sigma_{\alpha} \mid_{\left[v_0, \dots, \widehat{v_i}, \dots, v_n\right]} \end{array}.$$

Moreover, we define  $\partial_0 = 0$ .

**Example.** Let  $\sigma: [v_0, v_1, v_2, v_3] \to X$ . Then

$$\partial_{3}\left(\sigma\right)=\sigma\mid_{\left[v_{1},v_{2},v_{3}\right]}-\sigma\mid_{\left[v_{0},v_{2},v_{3}\right]}+\sigma\mid_{\left[v_{0},v_{1},v_{3}\right]}-\sigma\mid_{\left[v_{0},v_{1},v_{2}\right]}.$$

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#### Lemma 2.1. The composition

$$\Delta_n(X) \xrightarrow{\partial_n} \Delta_{n-1}(X) \xrightarrow{\partial_{n-1}} \Delta_{n-2}(X)$$

is the zero map.

*Proof.* Let  $\sigma: [v_0, \ldots, v_n] \to X$  be an *n*-simplex. Then

$$\partial_n (\sigma) = \sum_{i=0}^n (-1)^i \sigma \mid_{[v_0, \dots, \widehat{v_i}, \dots, v_n]},$$

so

$$(\partial_{n-1} \circ \partial_n) (\sigma) = \sum_{j < i} (-1)^i (-1)^j \sigma \mid_{[v_0, \dots, \widehat{v_j}, \dots, \widehat{v_i}, \dots, v_n]} + \sum_{j > i} (-1)^i (-1)^{j-1} \sigma \mid_{[v_0, \dots, \widehat{v_i}, \dots, \widehat{v_j}, \dots, v_n]} = 0.$$

If n=1, clear.

The following is the algebraic situation. A **chain complex** of abelian groups is a diagram  $(C, \partial)$  of the form

$$\dots \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} \dots \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0,$$

where the  $C_i$  are abelian groups and the  $\partial_n$  are group homomorphisms such that  $\partial_n \circ \partial_{n-1} = 0$  for all n.  $\partial_n$  are **boundary homomorphisms**. Elements in  $C_n$  are n-chains.

$$Z_n = Ker(\partial_n) \subseteq C_n, \qquad B_n = Im(\partial_{n+1}) \subseteq C_n.$$

Elements in  $Z_n$  are **cycles** and elements in  $B_n$  are **boundaries**. Since  $\partial_{n+1} \circ \partial_n = 0$ , we have that  $B_n \subset Z_n$ . The *n*-th homology group of this chain complex is defined by

$$H_n\left(C_{\cdot},\partial\right) = \frac{Z_n}{B_n}.$$

So, by Lemma 2.1

$$\dots \xrightarrow{\partial_{n+1}} \Delta_n(X) \xrightarrow{\partial_n} \dots \xrightarrow{\partial_1} \Delta_0(X) \xrightarrow{\partial_0} 0$$

is a chain complex. The n-th simplicial homology group is

$$H_n^{\Delta}(X) = \frac{Ker(\partial_n)}{Im(\partial_{n+1})}.$$

**Example.** Let  $X = S^1$ .

- $Ker(\partial_0) = \mathbb{Z}$  and  $Im(\partial_1) = 0$ , so  $H_0^{\Delta}(X) \cong \mathbb{Z}$ .
- $Ker(\partial_1) = \Delta_1(X)$  and  $Im(\partial_2) = 0$ , so  $H_1^{\Delta}(X) \cong \mathbb{Z}$ .
- $H_n^{\Delta}(X) = 0$  if  $n \geq 2$ .

**Example.** Let T be a torus.

- $Ker(\partial_0) = \mathbb{Z}$  and  $Im(\partial_1) = 0$ , so  $H_0^{\Delta}(T) \cong \mathbb{Z}$ .
- $\partial_2(U) = a + b c$  and  $\partial_2(V) = a + b c$ , and  $\{a, b, a + b c\}$  is a basis for  $\Delta_1(T)$ .  $Ker(\partial_1) = \Delta_1(T)$  and  $Im(\partial_2) = \mathbb{Z} \cdot (a + b c)$ , so  $H_1^{\Delta}(T) \cong \mathbb{Z} \oplus \mathbb{Z}$ .
- $H_2^{\Delta}(T) \cong \mathbb{Z}$ . (Exercise)

Lecture 20 is a problem class.

Lecture 20 Tuesday 26/02/19 Lecture 21 Wednesday 27/02/19

#### 2.1.3 Singular homology

A singular *n*-simplex in a topological space X is a continuous map  $\sigma: \Delta^n \to X$ . Let  $C_n(X)$  be the free abelian group on the set of all singular simplices in X, that is elements in  $C_n(X)$  are finite formal sums

$$\sum_{i} n_i \sigma_i, \qquad n_i \in \mathbb{Z},$$

where  $\sigma_i: \Delta^n \to X$  are singular *n*-simplices. Elements in  $C_n(X)$  are called **singular** *n*-chains. Define a boundary map

$$\partial_{n}: C_{n}(X) \rightarrow C_{n-1}(X)$$

$$\sigma \mapsto \sum_{i=0}^{n} (-1)^{i} \sigma \mid_{[v_{1},...,\widetilde{v_{i}},...,v_{n}]},$$

for a singular *n*-simplex  $\sigma$ . Extend it linearly to  $C_n(X)$ .

Lemma 2.2.  $\partial_n \circ \partial_{n+1} = 0$ .

*Proof.* Same proof as for Lemma 2.1.

We obtain a chain complex

$$\dots \xrightarrow{\partial_{n+1}} C_n(X) \xrightarrow{\partial_n} \dots \xrightarrow{\partial_1} C_0(X) \xrightarrow{\partial_0} 0.$$

*Remark.* Often we write  $\partial$  instead of  $\partial_n$ .

We define the n-th singular homology group by

$$H_n(X) = \frac{Ker(\partial_n)}{Im(\partial_{n+1})}.$$

An observation is that if X and Y are homeomorphic then  $H_n(X) \cong H_n(Y)$ .

**Proposition 2.3.** Let X be a topological space and  $X = \bigcup_{\alpha} X_{\alpha}$  be the decomposition into its path-connected components. Then

$$H_n(X) \cong \bigoplus H_n(X_\alpha)$$
.

*Proof.* A singular n-simplex  $\sigma: \Delta^n \to X$  has a path-connected image. So

$$C_n(X) = \bigoplus_{\alpha} C_n(X_{\alpha}).$$

The boundary maps  $\partial_n$  preserve this decomposition, so  $\partial_n(C_n(X_\alpha)) \subseteq C_{n-1}(X_\alpha)$  gives that  $Ker(\partial_n)$  and  $Im(\partial_{n+1})$  split as well as direct sums, so

$$H_{n}\left(X\right) = \frac{Ker\left(\partial_{n}\right)}{Im\left(\partial_{n+1}\right)} \cong \bigoplus_{\alpha} H_{n}\left(X_{\alpha}\right).$$

**Proposition 2.4.** If X is a path-connected, and as always  $X \neq \emptyset$ , topological space, then  $H_0(X) \cong \mathbb{Z}$ . Hence for X arbitrary  $H_0(X)$  is a direct sum of  $\mathbb{Z}$ 's, one for each path-connected component.

*Proof.*  $\partial_0 = 0$ , so  $H_0(X) = C_0(X)/Im(\partial_1)$ . Define

$$\epsilon: C_0(X) \to \mathbb{Z}$$
  
 $\sum_i n_i \sigma_i \mapsto \sum_i n_i$ .

 $\epsilon$  is surjective. Enough to show that  $Ker(\epsilon) = Im(\partial_1)$ . This implies by the isomorphism theorem  $H_0(X) \cong \mathbb{Z}$ . Let  $\sigma: \Delta^1 \to X$  be a 1-simplex. Then

$$\partial_1 (\sigma) = \sigma \mid_{[v_1]} -\sigma \mid_{[v_0]},$$

so  $\epsilon\left(\partial_{1}\left(\sigma\right)\right)=0$  gives  $Im\left(\partial_{1}\right)\subseteq Ker\left(\epsilon\right)$ . On the other hand,  $\epsilon\left(\sum_{i}n_{i}\sigma_{i}\right)=0$  gives  $\sum_{i}n_{i}=0$ . The  $\sigma_{i}$  correspond to points  $\sigma_{i}\left(\left[v\right]\right)$  in X. Choose a basepoint  $x_{0}\in X$  and let

$$\sigma_0: \quad \begin{array}{ccc} \Delta^0 & \to & X \\ \Delta^0 & \mapsto & x_0 \end{array}$$

be the singular 0-simplex. Let  $\tau_i$  be a path from  $x_0$  to  $\sigma_i([v])$ . Consider  $\tau_i$  as a singular 1-simplex  $\tau_i$ :  $[v_0, v_1] \to X$ . We have  $\partial_1 \circ \tau_i = \sigma_i - \sigma_0$ , so

$$\partial_1 \left( \sum_i n_i \tau_i \right) = \sum_i n_i \left( \sigma_i - \sigma_0 \right) = \sum_i n_i \sigma_i - \sum_i n_i \sigma_0 = \sum_i n_i \sigma_i.$$

Thus  $Ker(\epsilon) \subseteq Im(\partial_1)$ .

**Proposition 2.5.** If X is a point, then

$$H_n(X) = \begin{cases} \mathbb{Z} & n = 0 \\ 0 & n > 0 \end{cases}.$$

*Proof.* For each n there exists a unique singular n-simplex  $\partial_n: \Delta^n \to X$ , so  $C_n(X) \cong \mathbb{Z}$  for all n.

$$\partial_{n}\left(\sigma_{n}\right) = \sum_{i=0}^{n} \left(-1\right)^{i} \sigma_{n-1} = \begin{cases} 0 & n \text{ odd} \\ \sigma_{n-1} & n \text{ even} \end{cases},$$

so  $\partial_n = 0$  if n is odd and  $\partial_n$  is an isomorphism if n is even.

$$\dots \xrightarrow{\partial_2} C_1(X) \xrightarrow{\partial_1} C_0(X) \xrightarrow{\partial_0} 0$$

$$\vdots \qquad \vdots \qquad \vdots \qquad \vdots \qquad \vdots \qquad \vdots \qquad \vdots$$

$$\dots \xrightarrow{\sim} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{\sim} 0$$

so  $H_n = Ker(\partial_n)/Im(\partial_{n+1}) = 0$  if  $n \ge 1$  and  $H_0(X) \cong \mathbb{Z}$ .

The reduced homology groups  $\widetilde{H}_n(X)$  are the homology groups of the augmented chain complex

$$\dots \xrightarrow{\partial_2} C_1(X) \xrightarrow{\partial_1} C_0(X) \xrightarrow{\partial_0} \mathbb{Z} \xrightarrow{\epsilon} 0,$$

where  $\epsilon$  is as in proof of Proposition 2.4.

$$H_n(X) \cong \widetilde{H_n}(X), \qquad n \ge 1.$$

Seen in the proof of Proposition 2.4 that  $\epsilon$  is surjective and  $\epsilon \circ \partial_1 = 0$  gives  $Im(\partial_1) \subseteq Ker(\epsilon)$ , so  $\epsilon$  induces a surjective homomorphism

$$\phi_{\epsilon}: H_0(X) = \frac{C_0(X)}{Im(\partial_1)} \to \mathbb{Z}.$$

Then  $Ker(\phi_{\epsilon}) = Ker(\epsilon)/Im(\partial_{1}) = \widetilde{H_{0}}(X)$  gives  $H_{0}(X)/\widetilde{H_{0}}(X) \cong \mathbb{Z}$ , so

$$H_0(X) \cong \widetilde{H_0}(X) \oplus \mathbb{Z}.$$

Lecture 22 Friday 01/03/19

#### 2.1.4 Homotopy invariance

Let  $(A, \partial)$  and  $(B, \partial)$  be two chain complexes. A **chain map**  $f:(A, \partial) \to (B, \partial)$  is a collection of homomorphisms  $f_n: A_n \to B_n$  such that  $\partial \circ f_n = f_{n+1} \circ \partial$ , that is the following diagram commutes.

$$\dots \xrightarrow{\partial} A_{n+1} \xrightarrow{\partial} A_n \xrightarrow{\partial} A_{n-1} \xrightarrow{\partial} \dots$$

$$\downarrow^{f_{n+1}} \qquad \downarrow^{f_n} \qquad \downarrow^{f_{n-1}} \qquad \cdot \dots$$

$$\dots \xrightarrow{\partial} B_{n+1} \xrightarrow{\partial} B_n \xrightarrow{\partial} B_{n-1} \xrightarrow{\partial} \dots$$

If X and Y are topological spaces and  $f: X \to Y$  is a continuous map define the homomorphisms

$$f_{\#}: C_n(X) \rightarrow C_n(Y)$$
  
 $\sigma: \Delta^n \to X \mapsto f \circ \sigma: \Delta^n \to Y$ ,

and extend it linearly to  $C_n(X)$ .

$$\left(f_{\#}\circ\partial\right)\left(\sigma\right)=f_{\#}\left(\sum_{i=0}^{n}\left(-1\right)^{i}\sigma\mid_{\left[v_{0},...,\widehat{v_{i}},...,v_{n}\right]}\right)=\sum_{i=0}^{n}\left(f\circ\sigma\right)\mid_{\left[v_{0},...,\widehat{v_{i}},...,v_{n}\right]}=\left(\partial\circ f_{\#}\right)\left(\sigma\right)$$

gives  $f_{\#} \circ \partial = \partial \circ f_{\#}$ , so  $f_{\#}$  defines a chain map

 $f_{\#}$  maps cycles to cycles, since  $\alpha \in C_n(X)$  such that  $\partial \circ \alpha = 0$  gives

$$(\partial \circ f_{\#})(\alpha) = (f_{\#} \circ \partial)(\alpha) = 0.$$

 $f_{\#}$  maps boundaries to boundaries, since

$$f_{\#} \circ (\partial \circ \beta) = \partial \circ (f_{\#} \circ \beta)$$
.

 $f_{\#}\left(Ker\left(\partial_{n}\right)\right)\subseteq Ker\left(\partial_{n}\right)$  and  $f_{\#}\left(Im\left(\partial_{n+1}\right)\right)\subseteq Im\left(\partial_{n+1}\right)$  gives that  $f_{\#}$  induces a homomorphism

$$f_*: H_n(X) \to H_n(Y)$$
.

The following are observations.

•  $X \xrightarrow{g} Y \xrightarrow{f} Z$  gives  $(f \circ g)_{\#} = f_{\#} \circ g_{\#}$ , since

$$\Delta^n \xrightarrow{\sigma} X \xrightarrow{g} Y \xrightarrow{f} Z$$

gives  $f \circ (g \circ \sigma) = (f \circ g) \circ \sigma$ , so  $(f \circ g)_* = f_* \circ g_*$ .

•  $(id_X)_* = id_{H_n(X)}$ .

**Theorem 2.6.** If two continuous maps  $f, g: X \to Y$  are homotopic, then  $f_* = g_*: H_n(X) \to H_n(Y)$ .

**Corollary 2.7.** If  $f: X \to Y$  is a homotopy equivalence, then  $f_*: H_n(X) \to H_n(Y)$  is an isomorphism.

*Proof.* Let  $g: Y \to X$  be a continuous map such that  $f \circ g \cong id_Y$  and  $g \circ f = id_X$ . Then  $f_* \circ g_* = (f \circ g)_* = (id_Y)_* = id$ . Similarly  $g_* \circ f_* = id$ , so  $f_*$  is an isomorphism.

Example.

$$H_n\left(\mathbb{R}^k\right) = \begin{cases} \mathbb{Z} & n = 0\\ 0 & \text{otherwise} \end{cases}, \qquad \widetilde{H}_n\left(\mathbb{R}^k\right) = 0.$$

*Proof of Theorem 2.6.* Let  $F: X \times I \to Y$  be a homotopy from f to g and  $\sigma: \Delta_n \to X$  be a singular n-simplex. Consider the map

$$\Delta^n \times I \xrightarrow{\sigma \times I} X \times I \xrightarrow{F} Y.$$

 $\Delta^n \times I$  is not a simplex. But we can subdivide  $\Delta^n \times I$  into (n+1) simplices. In general, we can decompose  $\Delta^n \times I$  into n+1 (n+1)-simplices

$$[v_0,\ldots,v_i,w_i,\ldots,w_n], \qquad i=0,\ldots,n.$$

Define **prism-operators** 

$$P: C_{n}(X) \rightarrow C_{n+1}(Y)$$

$$\sigma \mapsto \sum_{i=0}^{n} (-1)^{i} F \circ (\sigma \times id) \mid_{[v_{0},...,v_{i},w_{i},...,w_{n}]},$$

for  $\sigma: \Delta^n \to X$  a singular *n*-simplex.

$$\dots \xrightarrow{\partial} C_{n+1}(X) \xrightarrow{\partial} C_n(X) \xrightarrow{\partial} C_{n-1}(X) \xrightarrow{\partial} \dots$$

$$g_{\#} \downarrow f_{\#} \qquad P \qquad \qquad P$$

Claim that

$$\partial \circ P = g_{\#} - f_{\#} - P \circ \partial,$$

if and only if  $g_{\#} - f_{\#} = \partial \circ P + P \circ \partial$ . The claim implies the theorem, since if  $\alpha \in C_n(X)$  is a cycle, then

$$g_{\#}\left(\alpha\right)-f_{\#}\left(\alpha\right)=\left(\partial\circ P\right)\left(\alpha\right)+\left(P\circ\partial\right)\left(\alpha\right)=\left(\partial\circ P\right)\left(\alpha\right),$$

so  $g_{\#}(\alpha) - f_{\#}(\alpha)$  is a boundary. Thus  $g_{\#}(\alpha)$  and  $f_{\#}(\alpha)$  are in the same homology class, so  $g_{*}([\alpha]) = f_{*}([\alpha])$ , where  $[\alpha]$  is the homology class of  $\alpha$ . Let  $\sigma: \Delta^{n} \to X$  be a singular n-simplex.

$$(\partial \circ P) (\sigma) = \partial \left( \sum_{i=0}^{n} (-1)^{i} F \circ (\sigma \times id) \mid_{[v_{0},...,v_{i},w_{i},...,w_{n}]} \right)$$

$$= \sum_{j \leq i} (-1)^{i} (-1)^{j} F \circ (\sigma \times id) \mid_{[v_{0},...,\widehat{v_{j}},...,v_{i},w_{i},...,w_{n}]}$$

$$+ \sum_{j \geq i} (-1)^{i} (-1)^{j+1} F \circ (\sigma \times id) \mid_{[v_{0},...,v_{i},w_{i},...,\widehat{w_{j}},...,w_{n}]}.$$

If i = j the two sums cancel except for

$$F \circ (\sigma \times id) \mid_{\widehat{[v_0, w_0, \dots, w_n]}} = g \circ \sigma = g_\#(\sigma)$$

and

$$-F \circ (\sigma \times id) \mid_{[v_0, \dots, v_n, \widehat{w_n}]} = -f \circ \sigma = -f_{\#}(\sigma).$$

The terms with  $i \neq j$  sum up to  $(P \circ \partial)(\sigma)$ , since we have

$$(P \circ \partial) (\sigma) = \sum_{j < i} (-1)^{i} (-1)^{j} F \circ (\sigma \times id) \mid_{[v_{0}, \dots, \widehat{v_{j}}, \dots, v_{i}, w_{i}, \dots, w_{n}]}$$

$$+ \sum_{j > i} (-1)^{i} (-1)^{j+1} F \circ (\sigma \times id) \mid_{[v_{0}, \dots, v_{i}, w_{i}, \dots, \widehat{w_{j}}, \dots, w_{n}]}.$$

*Remark.* One can show that there are also induced homomorphisms  $f_*:\widetilde{H_n}\left(X\right)\to\widetilde{H_n}\left(Y\right)$  invariant under homotopy. (Exercise)

Lecture 23 Tuesday 05/03/19

# 2.1.5 Exact sequences and excision

Let  $A \subseteq X$  be a subspace. What is the relationship between  $H_n(A)$ ,  $H_n(X)$ ,  $H_n(X/A)$ ?

**Definition.** A sequence of group homomorphisms of abelian groups

$$\dots \xrightarrow{\alpha_{n+1}} A_n \xrightarrow{\alpha_n} \dots$$

is **exact** at  $A_n$  if  $Ker(\alpha_n) = Im(\alpha_{n+1})$ . The sequence is **exact** if it is exact at  $A_n$  for all n.

An observation is if the sequence is exact, then

- $\alpha_n \alpha_{n+1} = 0$ , so exact sequences are chain complexes, and
- the homology groups of this chain complex are all trivial.

#### Example.

- 1.  $0 \to A \xrightarrow{\alpha} B$  is exact if and only if  $Ker(\alpha) = 0$ , if and only if  $\alpha$  is injective.
- 2.  $A \xrightarrow{\alpha} B \to 0$  is exact if and only if  $Im(\alpha) = B$ , if and only if  $\alpha$  is surjective.
- 3.  $0 \to A \xrightarrow{\alpha} B \to 0$  is exact if and only if  $\alpha$  is an isomorphism.
- 4.  $0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$  is exact if and only if  $\alpha$  is injective,  $\beta$  is surjective, and  $Ker(\beta) = Im(\alpha)$ , hence  $\beta$  induces an isomorphism  $C \cong B/Im(\alpha) = B/A$ .

An exact sequence as in 4 is called a **short exact sequence**.

**Definition.** Let X be a topological space,  $A \subseteq X$  a non-empty closed subspace. Then (X, A) is called a **good pair** if A has a neighbourhood in X that deformation retracts to A.

# Example.

- $(D^n, S^{n-1})$  is a good pair, since  $S^{n-1}$  is a deformation retract of  $D^n \setminus \{0\}$ .
- Let  $A = \{1/n \mid n \in \mathbb{N}\} \cup \{0\} \subseteq [0,1]$  then ([0,1],A) is not a good pair.

**Theorem 2.8.** Let (X, A) be a good pair, then there is an exact sequence

$$\dots \xrightarrow{\partial} \widetilde{H_1}(A) \xrightarrow{i_*} \widetilde{H_1}(X) \xrightarrow{j_*} \widetilde{H_1}(X/A) \xrightarrow{\partial} \widetilde{H_0}(A) \xrightarrow{i_*} \widetilde{H_0}(X) \xrightarrow{j_*} \widetilde{H_0}(X/A) \to 0,$$

where  $i: A \hookrightarrow X$  is the inclusion and  $j: X \to X/A$  is the quotient.

Corollary 2.9.  $\widetilde{H}_n(S^n) \cong \mathbb{Z}$  and  $\widetilde{H}_i(S^n) = 0$  if  $i \neq 0$ .

*Proof.*  $(D^n, S^{n-1})$  is a good pair. Let n > 0. Recall that  $D^n/S^{n-1} \cong S^n$ , so

$$\dots \xrightarrow{\partial} \widetilde{H_i} \left( S^{n-1} \right) \xrightarrow{i_*} \widetilde{H_i} \left( D^n \right) \xrightarrow{j_*} \widetilde{H_i} \left( S^n \right) \xrightarrow{\partial} \widetilde{H_{i-1}} \left( S^{n-1} \right) \xrightarrow{i_*} \widetilde{H_{i-1}} \left( D^n \right) \xrightarrow{j_*} \widetilde{H_{i-1}} \left( S^n \right) \xrightarrow{\partial} \dots$$

Then  $\widetilde{H}_i(S^n) \cong \widetilde{H}_{i-1}(S^{n-1})$  for i > 0, so

$$\dots \xrightarrow{\partial} \widetilde{H_1} \left( S^{n-1} \right) \xrightarrow{i_*} \widetilde{H_1} \left( D^n \right) \xrightarrow{j_*} \widetilde{H_1} \left( S^n \right) \xrightarrow{\partial} \widetilde{H_0} \left( S^{n-1} \right) \xrightarrow{i_*} \widetilde{H_0} \left( D^n \right) \xrightarrow{j_*} \widetilde{H_0} \left( S^n \right) \to 0$$

n>0 and i>0, so  $\widetilde{H}_{i}\left(S^{n}\right)\cong\widetilde{H}_{i-1}\left(S^{n-1}\right)$ , and  $\widetilde{H}_{0}\left(S^{n}\right)=0$ . We know that  $\widetilde{H}_{0}\left(S^{0}\right)\cong\mathbb{Z}$  and  $\widetilde{H}_{n}\left(S^{0}\right)=0$ , by Proposition 2.3 and Proposition 2.5. Doing induction on n,  $\widetilde{H}_{n}\left(S^{n}\right)\cong\mathbb{Z}$  and  $\widetilde{H}_{i}\left(S^{n}\right)=0$  if  $i\neq n$ .

Corollary 2.10. There exists no retraction  $r: D^n \to \partial D^n$ .

*Proof.* Assume there exists such an  $r: D^n \to \partial D^n$ . Let  $i: \partial D^n \to D^n$ . Then  $ri = id_{\partial D^n}$  gives  $r_*i_* = (ri)_* = id$ , so

$$\underbrace{H_{n-1}(\partial D^n) \xrightarrow{i_*} H_{n-1}(D^n) \xrightarrow{r_*} H_{n-1}(\partial D^n)}_{\mathbb{R}} \xrightarrow{\mathbb{R}} \underbrace{H_{n-1}(\partial D^n)}_{\mathbb{R}} .$$

Thus  $i_* = 0$  and  $r_* = 0$ , a contradiction.

**Theorem 2.11** (Brouwer fixed point theorem). Every continuous map  $f: D^n \to D^n$  has a fixed point.

*Proof.* Assume there exists a fixed point then construct as in dimension two a retraction  $D^n \to \partial D^n$ , a contradiction to Corollary 2.10.

Let X be a topological space and  $A \subseteq X$  be a subspace. Define  $C_n(X, A) = C_n(X)/C_n(A)$ . Let  $\partial: C_n(X) \to C_{n-1}(X)$  be the boundary map then  $\partial(\sigma: \Delta^n \to A) \in \partial(C_n(A)) \subseteq C_{n-1}(A)$ . So  $\partial$  induces a homomorphism

$$\partial: C_n(X,A) \to C_{n-1}(X,A)$$
,

such that  $\partial \circ \partial = 0$ . This gives a chain complex

$$\cdots \to C_{n+1}(X,A) \xrightarrow{\partial} C_n(X,A) \xrightarrow{\partial} C_{n-1}(X,A) \to \cdots$$

- The homology groups  $H_n(X,A)$  of this complex are the **relative homology groups**.
- The relative *n*-chains are  $C_n(X, A)$ .
- The relative *n*-cycles are  $Ker(\partial) \subseteq C_n(X, A)$ , of the form  $[\alpha]$ , for  $\alpha \in C_n(X)$  such that  $\partial(\alpha) \in C_{n-1}(A)$ .
- The **relative** *n*-boundaries are  $Im(\partial) \subseteq C_n(X, A)$ , of the form  $[\alpha]$ , for  $\alpha \in C_n(X)$  such that  $\alpha = \partial \beta + \gamma$  for  $\beta \in C_{n+1}(X)$  and  $\gamma \in C_n(A)$ .