

# M3P21 Geometry II: Algebraic Topology

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## 0 Some underlying geometric notions

### 0.1 Introduction

Lecture 1  
Friday  
11/01/19

Combines topological spaces with algebraic objects, groups.

- How to show that a torus is not homeomorphic to a sphere?
- How to show that  $\mathbb{R}^n \not\cong \mathbb{R}^m$  if  $n \neq m$ ?

Content is fundamental groups and homology. We will follow chapter one and two from

- A Hatcher, Algebraic topology, 2002

Prerequisites are the following.

- Point set topology. Topological spaces, continuous maps, product and quotient topologies, Hausdorff spaces, etc.
- Basic group theory. Normal subgroups and quotients, isomorphism theorems, free groups, presentation of groups, etc.

### 0.2 Homotopy

Let  $X, Y$  be topological spaces and  $I = [0, 1]$ .

**Definition.** A **homotopy** is a continuous map  $F : X \times I \rightarrow Y$ . For every  $t \in I$  we obtain a continuous map

$$\begin{aligned} f_t : X &\rightarrow Y \\ x &\mapsto f_t(x) = F(x, t) \end{aligned} .$$

**Definition.** Two continuous maps  $f_0, f_1 : X \rightarrow Y$  are **homotopic** if there exists a homotopy  $F : X \times I \rightarrow Y$  such that

$$f_0(x) = F(x, 0), \quad f_1(x) = F(x, 1),$$

for all  $x \in X$ . We write  $f_0 \cong f_1$ . (Exercise: this is an equivalence relation)

**Definition.** Let  $A \subseteq X$  be a subspace. A **retraction** of  $X$  onto  $A$  is a continuous map  $r : X \rightarrow A$  such that

- $r(X) = A$ , and
- $r|_A = id_A$ .

**Example.** If  $X \neq \emptyset$ ,  $p \in X$ , then  $X$  retracts to  $p$  by the constant map  $X \rightarrow \{p\}$ .

**Definition.** A **deformation retraction** of  $X$  onto  $A \subseteq X$  is a retraction that is homotopic to the identity. That is, there is a continuous map

$$\begin{aligned} F : X \times I &\rightarrow A \\ (x, t) &\mapsto f_t(x) \end{aligned} ,$$

such that  $f_0 = id_X$  and  $f_1 : X \rightarrow A$  is the deformation retraction.

**Example.** The closed  $n$ -dimensional  **$n$ -disc**

$$D^n = \{x \in \mathbb{R}^n \mid |x| \leq 1\}$$

deformation retracts to  $(0, \dots, 0) \in \mathbb{R}^n$ . Let  $f_t(x) = t \cdot x$ .  $t = 1$  gives  $f_1 = id_{D^n}$  and  $t = 0$  gives  $f_0 : D^n \rightarrow (0, \dots, 0)$ .

**Example.** Let  $S^n$  be the  **$n$ -sphere**,

$$\partial D^{n+1} = S^n = \{x \in \mathbb{R}^n \mid |x| = 1\}.$$

The cylinder  $S^n \times I$  deformation retracts to  $S^n \times \{0\}$ , by defining  $f_t(x, r) = (x, t \cdot r)$ .

An observation is if  $X$  is a topological space, and  $f : X \rightarrow \{p\}$  for  $p \in X$  is a deformation retraction of  $X$  to  $p$ , then  $X$  is path connected. Indeed, if  $F : X \times I \rightarrow X$  is a homotopy from  $id_X$  to  $f$  and  $x \in X$  is a point, then this gives a path

$$\begin{aligned} I &\rightarrow X \\ t &\mapsto F(x, t) \end{aligned}$$

that connects  $x$  to  $p$ . This implies that not all retractions are deformation retractions.

**Example.** A retraction that is not a deformation retraction. Take a space that is not path connected and retract it to a point. Let  $X = \{0, 1\}$  with discrete topology.  $x \mapsto 0$  is a retraction, but not a deformation retraction because  $X$  is not path connected.

**Definition.** A continuous map  $f : X \rightarrow Y$  is a **homotopy equivalence** if there is a continuous map  $g : Y \rightarrow X$  such that  $fg \cong id_Y$  and  $gf \cong id_X$ . If there exists a homotopy equivalence between  $X$  and  $Y$ ,  $X$  and  $Y$  are **homotopy equivalent** or they have the same **homotopy type**.

**Lemma 0.1.** A deformation retraction  $f : X \rightarrow A$  is a homotopy equivalence.

*Proof.* Let  $i : A \hookrightarrow X$  be the inclusion map. Then  $fi = id_A$  and  $if = f \cong id_X$  by definition.  $\square$

**Example.** The disc with two holes is equivalent to  $\infty$ .

**Example.**  $\mathbb{R}^n$  deformation retracts to a point, by  $f_t(x) = t \cdot x$ .

**Definition.**

- $X$  is **contractible** if it is homotopy equivalent to a point.
- A continuous map is **nullhomotopic** if it is homotopy equivalent to a constant map.

### 0.3 Cell complexes

**Example.** The torus  $S^1 \times S^1$  is the union of a point, two open intervals, and the open disc  $Int(D^2)$ .

These are called **cells**. Can think of discs  $D^n$  glued together.

**Definition.** A **CW-complex**, or **cell complex**, is a topological space  $X$  such that there exists a decomposition

$$X = \bigcup_{n \in \mathbb{N}} X^n,$$

where the  $X^n$  are constructed inductively in the following way.

- $X^0$  is a discrete set.
- For each  $n \geq 0$  there is an collection of closed  $n$ -discs  $\{D_\alpha^n\}$  together with continuous maps  $\phi_\alpha : \partial D_\alpha^n \rightarrow X^{n-1}$ , such that

$$X^n = \frac{X^{n-1} \sqcup \bigsqcup_\alpha D_\alpha^n}{\sim},$$

where  $x \sim \phi_\alpha(x)$  for all  $x \in \partial D_\alpha^n$  for all  $\alpha$ .

- A subset  $U \subseteq X$  is open if and only if  $U \cap X^n$  is open for all  $n$ .

*Remark.*

- As a set,

$$X^n = X^{n-1} \sqcup \bigsqcup_\alpha e_\alpha^n,$$

where each  $e_\alpha^n$  is homeomorphic to an open  $n$ -disc. These  $e_\alpha^n$  are called the  **$n$ -cells** of  $X$ .

- If  $X = X^m$  for some  $m$ , then  $X$  is called **finite dimensional**. The minimal  $m$  such that  $X = X^m$  is the **dimension** of  $X$ .

**Example.**

- $[0, 1]$  is a CW-complex.
- $\mathbb{R}$  is a CW-complex.
- $S^1$  is a CW-complex.
- A graph is a CW-complex.
- $S^n = D^n / \partial D^n$  is a CW-complex. See worksheet 1.

Can also decompose CW-complexes.

- The sphere  $S^2$  is one 0-cell, one 1-cell, and two 2-cells.
- The torus  $S^1 \times S^1$  is one 0-cell, two 1-cells, and one 2-cell.
- The Möbius strip is two 0-cells, three 1-cells, and one 2-cell.
- The Klein bottle is one 0-cell, two 1-cells, and one 2-cell.

**Definition.** If  $X$  is a CW-complex with finitely many cells the **Euler characteristic**  $\chi(X)$  of  $X$  is the number of even cells minus the number of odd cells.

*Fact.*  $\chi(X)$  does not depend of the choice of cells decomposition.

**Example.**

- $\chi(S^n) = 0$  if  $n$  is odd and  $\chi(S^n) = 2$  if  $n$  is even.
- $\chi(S^1 \times S^1) = 0$ .

This is the generalisation of the following observation by Leonhard Euler. Let  $P$  be a convex polyhedron, where

- $V$  is the number of vertices of  $P$ ,
- $E$  is the number of edges of  $P$ , and
- $F$  is the number of faces of  $P$ .

Then  $V - E + F = 2$ .

**Example.** A topological space that is not a CW-complex.  $X = \{0, 1\}$  with trivial topology does not contain any closed points.

*Fact.* CW-complexes are always Hausdorff.

# 1 The fundamental group

## 1.1 Paths and homotopy

Let  $X$  be a topological space. A **path** is a continuous map  $f : I \rightarrow X$ , where  $I = [0, 1]$ .

**Definition.** Two paths  $f_0, f_1$  are **homotopic** if there exists a homotopy between  $f_0$  and  $f_1$  preserving the endpoints, that is a continuous map

$$F : I \times I \rightarrow X \\ (s, t) \mapsto f_t(s) ,$$

such that

$$f_t(0) = f_0(0), \quad f_t(1) = f_0(1),$$

for all  $t \in I$ , and

$$F(s, 0) = f_0(s), \quad F(s, 1) = f_1(s),$$

for all  $s \in I$ .

**Example.** Let  $X \subseteq \mathbb{R}^n$  be a convex set. Then all the paths in  $X$  are homotopic if they have the same endpoints.

*Proof.* Let  $f_0, f_1 : I \rightarrow X$  be two paths such that  $f_0(0) = f_1(0)$  and  $f_0(1) = f_1(1)$ . Define

$$f_t(s) = (1-t)f_0(s) + tf_1(s).$$

□

**Lemma 1.1.** *Being homotopic is an equivalence relation on the set of paths with fixed endpoints. We will write  $f_0 \cong f_1$  for two homotopic paths  $f_0$  and  $f_1$ .*

*Proof.*

- $f$  is homotopic to  $f$ .
- If  $f_0$  is homotopic to  $f_1$  by a homotopy  $f_t$ , then  $f_1$  is homotopic to  $f_0$  by the homotopy  $f_{1-t}$ .
- If  $f_0$  is homotopic to  $f_1$  by a homotopy  $f_t$  and  $f_1 = g_0$  is homotopic to  $g_1$  by a homotopy  $g_t$ , then  $f_0$  is homotopic to  $g_1$  by the homotopy

$$h_t = \begin{cases} f_{2t} & 0 \leq t \leq \frac{1}{2} \\ g_{2t-1} & \frac{1}{2} \leq t \leq 1 \end{cases}.$$

Then

$$H : I \times I \rightarrow X \\ (s, t) \mapsto h_t(s)$$

is continuous because its restriction to the closed subsets  $I \times [0, 1/2]$  and  $I \times [1/2, 1]$  is continuous, since if the restriction to two closed subsets is continuous then the restriction to the union of these subsets is continuous.

□

Let  $X$  be a topological space and  $I = [0, 1]$ . If  $f : I \rightarrow X$  is a path,  $[f]$  is the class of all paths on  $X$  homotopic to  $f$ .

**Definition.** Let  $f, g : I \rightarrow X$  be two paths such that  $f(1) = g(0)$ . The **product path**  $f \cdot g$  is the path

$$(f \cdot g)(s) = \begin{cases} f(2s) & 0 \leq s \leq \frac{1}{2} \\ g(2s-1) & \frac{1}{2} \leq s \leq 1 \end{cases}.$$

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A convention is that whenever we write  $f \cdot g$  we implicitly assume  $f(1) = g(0)$ .

**Lemma 1.2.** *Let  $f_0, f_1, g_0, g_1$  be paths on  $X$  such that  $f_1 \cong f_0$  and  $g_0 \cong g_1$ . Then  $f_0 \cdot g_0 \cong f_1 \cdot g_1$ .*

*Proof.*

$$\begin{aligned} I \times I &\rightarrow X \\ (s, t) &\mapsto (f_t \cdot g_t)(s) \end{aligned}$$

is a homotopy between  $f_0 \cdot g_0$  and  $f_1 \cdot g_1$ . □

*Remark.* Let  $\phi : [0, 1] \rightarrow [0, 1]$  be continuous such that  $\phi(0) = 0$  and  $\phi(1) = 1$ . If  $f : I \rightarrow X$  is a path, then  $f \circ \phi \cong f$ . This is a **reparametrisation**.

*Proof.* Define

$$\phi_t(s) = (1 - t)\phi(s) + ts,$$

then  $f \circ \phi_t$  is a homotopy between  $f \circ \phi$  and  $f$ . □

For  $x \in X$ , let the **constant path** at  $x$  be

$$\begin{aligned} c_x : I &\rightarrow X \\ s &\mapsto x \end{aligned}.$$

For a path  $f : I \rightarrow X$ , define

$$\begin{aligned} f^{-1} : I &\rightarrow X \\ s &\mapsto f(1 - s) \end{aligned}.$$

**Lemma 1.3.** *Let  $f, g, h : I \rightarrow X$  be paths. Then*

1.  $(f \cdot g) \cdot h \cong f \cdot (g \cdot h)$ ,
2.  $f \cdot c_{f(1)} \cong f$  and  $c_{f(0)} \cdot f \cong f$ , and
3.  $f \cdot f^{-1} \cong c_{f(0)}$  and  $f^{-1} \cdot f \cong c_{f(1)}$ .

*Proof.*

1.  $((f \cdot g) \cdot h) \phi = f \cdot (g \cdot h)$ , where

$$\phi(s) = \begin{cases} \frac{s}{2} & s \in [0, \frac{1}{2}] \\ s - \frac{1}{4} & s \in [\frac{1}{2}, \frac{3}{4}] \\ 2s - 1 & s \in [\frac{3}{4}, 1] \end{cases},$$

so  $(f \cdot g) \cdot h \cong f \cdot (g \cdot h)$  by reparametrisation.

2. Again reparametrisation, by

$$\psi(s) = \begin{cases} 2s & s \in [0, \frac{1}{2}] \\ 1 & s \in [\frac{1}{2}, 1] \end{cases}, \quad \chi(s) = \begin{cases} 0 & s \in [0, \frac{1}{2}] \\ 2s - 1 & s \in [\frac{1}{2}, 1] \end{cases}.$$

3. Define

$$H(s, t) = \begin{cases} f(\max\{1 - 2s, t\}) & s \in [0, \frac{1}{2}] \\ f(\max\{2s - 1, t\}) & s \in [\frac{1}{2}, 1] \end{cases}.$$

$H$  is continuous, and

$$H(s, 0) = f^{-1} \cdot f, \quad H(s, 1) = c_{f(1)}.$$

The inverse is similar. □

**Definition.** A **loop** with **basepoint**  $x_0 \in X$  is a path  $f : I \rightarrow X$  such that  $f(0) = f(1) = x_0$ .

**Definition.** Denote by  $\pi_1(X, x_0)$  the set of homotopy classes  $[f]$  of loops  $f : I \rightarrow X$  with basepoint  $x_0$ .

**Proposition 1.4.**  $\pi_1(X, x_0)$  is a group with product  $[f][g] = [f \cdot g]$  and neutral element  $c_{x_0} : I \rightarrow X$ , the constant path at  $x_0$ .

*Proof.* Follows directly from Lemma 1.2 and Lemma 1.3.  $\square$

**Definition.**  $\pi_1(X, x_0)$  is the **fundamental group** of  $X$  at  $x_0$ .

**Example.** Let  $X \subseteq \mathbb{R}^n$  be a convex set and  $x_0 \in X$ . Then  $\pi_1(X, x_0) = 0$ .

*Proof.*  $X$  is convex gives that all loops are homotopic to each other.  $\square$

**Example.**

- The fundamental group of a space  $X$  with the trivial topology is trivial, since  $X$  is simply connected, because all maps  $f : I \rightarrow X$  are continuous, so path connected and all paths are homotopic.
- The fundamental group of a space  $X$  with the discrete topology is trivial, since  $f : I \rightarrow X$  continuous gives  $f$  constant.

Assume  $x_0, x_1 \in X$  such that  $x_0$  and  $x_1$  are in the same path component of  $X$ . Let  $h : I \rightarrow X$  be a path such that  $h(0) = x_0$  and  $h(1) = x_1$ . Define

$$\begin{aligned} \beta_h : \pi_1(X, x_1) &\rightarrow \pi_1(X, x_0) \\ [f] &\mapsto [h \cdot f \cdot h^{-1}] \end{aligned} .$$

This is well-defined by Lemma 1.2.

**Proposition 1.5.**  $\beta_h : \pi_1(X, x_1) \rightarrow \pi_1(X, x_0)$  is an isomorphism.

*Proof.* It is a homomorphism.

$$\beta_h[f \cdot g] = [h \cdot f \cdot g \cdot h^{-1}] = [h \cdot f \cdot h^{-1}] [h \cdot g \cdot h^{-1}] = \beta_h[f] \cdot \beta_h[g],$$

and  $\beta_h[c_{x_1}] = [c_{x_1}]$ . It is bijective with  $(\beta_h)^{-1} = \beta_{h^{-1}}$ .  $\square$

If  $X$  is path connected, we often write  $\pi_1(X)$  instead of  $\pi_1(X, x_0)$ .

**Definition.**  $X$  is **simply connected** if it is path connected and  $\pi_1(X) = 0$ .

**Proposition 1.6.**  $X$  is simply connected if and only if there exists a unique homotopy class of paths between any two points of  $X$ .

*Proof.*

$\implies$  There exists a path between any two points. Let  $f, g$  be two paths from  $x_0$  to  $x_1$  for  $x_0, x_1 \in X$ .  $f \cdot g^{-1} \cong g \cdot g^{-1}$  gives  $f \cong f \cdot g^{-1} \cdot g \cong g \cdot g^{-1} \cdot g \cong g$ .

$\impliedby$   $X$  is path connected.  $x_1 = x_0$  gives that all loops at  $x_0$  are homotopic to each other, so  $\pi_1(X) = 0$ .  $\square$



## 1.2 The fundamental group of the circle

Goal is to show that  $\pi_1(S^1) \cong \mathbb{Z}$ .

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**Definition.** A **covering space** of a space  $X$  is a space  $\tilde{X}$  and a continuous map  $p : \tilde{X} \rightarrow X$  such that for each  $x \in X$  there is an open  $U \subseteq X$  such that

- $p^{-1}(U) = \bigcup_{j \in J} \tilde{U}_j$ , where  $\tilde{U}_j \subseteq \tilde{X}$  is open,
- $\tilde{U}_i \cap \tilde{U}_j = \emptyset$  if  $i \neq j$ , and
- $p|_{\tilde{U}_j} : \tilde{U}_j \rightarrow U$  is a homeomorphism for all  $j \in J$ .

Such a  $U$  is called **evenly covered**. The  $\tilde{U}_j$  are called **sheets**.

**Example.**

$$\begin{aligned} p : \mathbb{R} &\rightarrow S^1 \\ s &\mapsto (\cos(2\pi s), \sin(2\pi s)) \end{aligned}$$

**Definition.** Let  $p : \tilde{X} \rightarrow X$  be a covering space. A **lift** of a continuous map  $f : Y \rightarrow X$  is a continuous map  $\tilde{f} : Y \rightarrow \tilde{X}$  such that  $p\tilde{f} = f$ , so

$$\begin{array}{ccc} & \tilde{X} & \\ \tilde{f} \nearrow & \downarrow p & \\ Y & \xrightarrow{f} & X \end{array}$$

**Proposition 1.7** (Unique lifting property). *Let  $p : \tilde{X} \rightarrow X$  be a covering space and  $f : Y \rightarrow X$  be a continuous map. If there are two lifts  $\tilde{f}_1, \tilde{f}_2 : Y \rightarrow \tilde{X}$  of  $f$  such that  $\tilde{f}_1(y) = \tilde{f}_2(y)$  for some  $y \in Y$  and if  $Y$  is connected, then  $\tilde{f}_1 = \tilde{f}_2$ .*

*Proof.* Let  $y \in Y$  and  $U \subseteq X$  be an evenly covered neighbourhood of  $f(y)$ . Then

$$p^{-1}(U) = \bigcup_j \tilde{U}_j.$$

Let  $\tilde{U}_1$  be the sheet such that  $\tilde{f}_1(y) \in \tilde{U}_1$ , and let  $\tilde{U}_2$  be the sheet such that  $\tilde{f}_2(y) \in \tilde{U}_2$ . Let  $N \subseteq Y$  be open and  $y \in N$  such that  $\tilde{f}_1(N) \subseteq \tilde{U}_1$  and  $\tilde{f}_2(N) \subseteq \tilde{U}_2$ . We have  $p\tilde{f}_1 = p\tilde{f}_2$ .

$$\tilde{f}_1(y) = \tilde{f}_2(y) \iff \tilde{U}_1 = \tilde{U}_2 \iff \tilde{f}_1|_N = \tilde{f}_2|_N.$$

Let

$$A = \{y \in Y \mid \tilde{f}_1(y) = \tilde{f}_2(y)\},$$

so  $A$  is open and  $Y \setminus A$  is open. Thus  $A \neq \emptyset$  gives  $A = Y$ .  $\square$

**Proposition 1.8** (Homotopy lifting property). *Let  $p : \tilde{X} \rightarrow X$  be a covering space and  $F : Y \times I \rightarrow X$  be a continuous map such that there exists a lift  $\tilde{f}_0 : Y \times \{0\} \rightarrow \tilde{X}$  of  $F|_{Y \times \{0\}}$ . Then there is a unique lift  $\tilde{F} : Y \times I \rightarrow \tilde{X}$  of  $F$  such that  $\tilde{F}|_{Y \times \{0\}} = \tilde{f}_0$ .*

*Proof.* Let  $y_0 \in Y$  and  $t \in I$ . There are open  $y_0 \in N_t \subseteq Y$  and  $t \in (a_t, b_t) \subseteq I$  such that  $F(N_t \times (a_t, b_t)) \subseteq U \subseteq X$ , where  $U \subseteq X$  is open and evenly covered. Compactness of  $I$  gives that there exist

$$0 = t_0 < \dots < t_m = 1,$$

and there exists  $y_0 \in N \subseteq Y$  open such that  $F(N \times [t_i, t_{i+1}]) \subseteq U_i \subseteq X$ , where  $U_i \subseteq X$  is open and evenly covered. We inductively construct a lift  $\tilde{F}|_{N \times I}$  of  $F|_{N \times I}$ .

- $\tilde{F}|_{N \times [0,0]} = \tilde{f}_0|_{N \times [0,0]}$  exists.
- Assume the lift has been constructed on  $N \times [0, t_i]$ . Let  $\tilde{U}_i \subseteq \tilde{X}$  be such that  $p|_{\tilde{U}_i}: \tilde{U}_i \rightarrow U_i$  such that  $\tilde{F}(y_0, t_i) \subseteq \tilde{U}_i$ . After shrinking  $N$ , may assume  $\tilde{F}(N \times \{t_i\}) \subseteq \tilde{U}_i$ . Define  $\tilde{F}$  on  $N \times [t_i, t_{i+1}]$  to be composition of  $F$  with the homeomorphism  $p^{-1}: U_i \rightarrow \tilde{U}_i$ .

After finitely many steps we obtain a lift  $\tilde{F}: N \times I \rightarrow \tilde{X}$ , where  $y_0 \in N \subseteq Y$  is open, so for each  $y \in Y$  there is a neighbourhood  $N_y \subseteq Y$  such that  $F|_{N_y \times I}: N_y \times I \rightarrow X$  lifts. For all  $y \in Y$ ,  $\{y\} \times I$  is connected and can be lifted, so Proposition 1.7 gives that the lift of  $N \times I$  is unique. Thus there is a unique lift  $\tilde{F}: Y \times I \rightarrow \tilde{X}$ .  $\square$

**Example.** Let  $X$  be a topological space and  $A$  be discrete. Then  $p: X \times A \rightarrow X$  is a covering space. This is the **trivial covering**. (Exercise: show the unique lifting property and the homotopy lifting property for the trivial covering)

**Corollary 1.9.** Let  $f: I \rightarrow X$  be a path,  $f(0) = x_0$ , and  $p: \tilde{X} \rightarrow X$  be a covering space. For each  $\tilde{x}_0 \in p^{-1}(x_0)$ , there is a unique lift  $\tilde{f}: I \rightarrow \tilde{X}$  such that  $\tilde{f}(0) = \tilde{x}_0$ .

*Proof.* Proposition 1.8 for  $Y$  a point.  $\square$

**Theorem 1.10.** Let  $x_0 = (1, 0) \in S^1$ .  $\pi_1(S^1, x_0)$  is the infinite cyclic group generated by the homotopy class of the loop

$$\begin{aligned} \omega: I &\rightarrow S^1 \\ s &\mapsto (\cos(2\pi s), \sin(2\pi s)) \end{aligned}$$

*Remark.*

- $[\omega]^n = [\omega_n]$ , where

$$\omega_n(s) = (\cos(2\pi ns), \sin(2\pi ns)).$$

- 

$$\begin{aligned} p: \mathbb{R} &\rightarrow S^1 \\ s &\mapsto (\cos(2\pi s), \sin(2\pi s)) \end{aligned}$$

is a covering space.

- $\omega_n$  lifts to

$$\begin{aligned} \tilde{\omega}_n: I &\rightarrow \mathbb{R} \\ s &\mapsto ns \end{aligned},$$

such that  $\tilde{\omega}_n(0) = 0$  and  $\tilde{\omega}_n(1) = n$ .

*Proof of Theorem 1.10.*

- If  $f: I \rightarrow S^1$  be a loop at  $x_0$ , then the homotopy lifting property gives that there exists a lift  $\tilde{f}: I \rightarrow \mathbb{R}$  such that  $\tilde{f}(0) = 0$ . Since  $p(\tilde{f}(1)) = f(1) = x_0$ , then  $\tilde{f}(1) = n$  for some  $n \in \mathbb{Z}$ .  $\tilde{\omega}_n: I \rightarrow \mathbb{R}$  is another path such that  $\tilde{\omega}_n(0) = 0$  and  $\tilde{\omega}_n(1) = n$ , so  $\tilde{f} \cong \tilde{\omega}_n$ . Let  $F: I \times I \rightarrow \mathbb{R}$  be a homotopy equivalence between  $\tilde{f}$  and  $\tilde{\omega}_n$ . Then  $pF: I \times I \rightarrow S^1$  gives a homotopy between  $p\tilde{f} = f$  and  $p\tilde{\omega}_n = \omega_n$ .
- Let  $m, n \in \mathbb{Z}$  and assume  $\omega_m \cong \omega_n$ . Let  $F: I \times I \rightarrow S^1$  be a homotopy.

$$F(0, t) = \omega_m(t), \quad F(1, t) = \omega_n(t), \quad F(s, 0) = F(s, 1) = x_0,$$

for all  $s, t \in I$ . The unique lifting property gives that  $\tilde{\omega}_n, \tilde{\omega}_m: I \rightarrow \mathbb{R}$  are unique lifts such that  $\tilde{\omega}_n(0) = 0 = \tilde{\omega}_m(0)$ . The homotopy lifting property gives that  $F$  lifts uniquely to a homotopy  $\tilde{F}: I \times I \rightarrow \mathbb{R}$  between  $\tilde{\omega}_n$  and  $\tilde{\omega}_m$ , and  $\tilde{F}(s, 1) \in \mathbb{Z}$  for all  $s \in I$ . Thus  $\tilde{F}(s, 1) = n = m$ , so  $\omega_m \cong \omega_n$  if and only if  $n = m$ .  $\square$

Lecture 5 is a problem class.

**Theorem 1.11.** *Every non-constant polynomial  $p \in \mathbb{C}[z]$  has a root in  $\mathbb{C}$ .*

*Proof.* May assume

$$p(z) = z^n + a_1 z^{n-1} + \cdots + a_n.$$

Assume  $p$  has no roots in  $\mathbb{C}$ . For each  $r \in \mathbb{R}_{\geq 0}$  we obtain a loop

$$\begin{aligned} f_r : I &\rightarrow \mathbb{C} \\ s &\mapsto \frac{p(re^{2\pi i s})/p(r)}{|p(re^{2\pi i s})/p(r)|}, \end{aligned}$$

so  $|f_r(s)| = 1$ .  $f_r(0) = 1$  and  $f_r(1) = 1$ , so  $f_r$  is a loop based at 1.  $f_0$  is the constant loop at 1.  $f_r(s)$  depends continuously on  $r$ , so  $f_r \cong f_0$  for all  $r \in \mathbb{R}_{\geq 0}$  and  $[f_r] = [f_0] = 0 \in \pi_1(S^1)$ . Fix  $r \in \mathbb{R}_{\geq 0}$  such that  $r > 1$  and  $r > |a_1| + \cdots + |a_n|$ . For  $|z| = r$  we have

$$|z^n| > (|a_1| + \cdots + |a_n|) |z^{n-1}| \geq |a_1 z^{n-1}| + \cdots + |a_n| \geq |a_1 z^{n-1} + \cdots + a_n|.$$

Hence, for  $0 \leq t \leq 1$  the polynomial  $p_t(z) = z^n + t(a_1 z^{n-1} + \cdots + a_n)$  has no root  $z$  with  $|z| = r$ . Define

$$F_r(t, s) = \frac{p_t(re^{2\pi i s})/p_t(r)}{|p_t(re^{2\pi i s})/p_t(r)|}.$$

$F_r(0, s) = \omega_n(s)$  and  $F_r(1, s) = f_r(s)$ , so  $[\omega_n] = [f_r] = 0 \in \pi_1(S^1)$ . Theorem 1.10 gives that  $n = 0$ , so  $p$  is constant.  $\square$

See Hatcher Theorem 1.9 and Theorem 1.10 for more applications.

**Proposition 1.12.** *Let  $X, Y$  be topological spaces,  $x_0 \in X$ , and  $y_0 \in Y$ . Then*

$$\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0).$$

*Proof.* A map

$$\begin{aligned} f : Z &\rightarrow X \times Y \\ z &\mapsto (g(z), h(z)) \end{aligned}$$

is continuous if and only if  $g : Z \rightarrow X$  and  $h : Z \rightarrow Y$  are continuous. For  $Z = I$ ,

$$\{ \text{loops in } X \times Y \text{ based at } (x_0, y_0) \} \quad \longleftrightarrow \quad \{ \text{loops in } X \text{ based at } x_0 \} \times \{ \text{loops in } Y \text{ based at } y_0 \}.$$

Two loops

$$\begin{aligned} f_1 : I &\rightarrow X \times Y \\ s &\mapsto (g_1(s), h_1(s)) \end{aligned}, \quad \begin{aligned} f_2 : I &\rightarrow X \times Y \\ s &\mapsto (g_2(s), h_2(s)) \end{aligned}$$

are homotopic if and only if  $g_1 \cong g_2$  and  $h_1 \cong h_2$ , so there is a bijection

$$\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0).$$

$f_1 \cdot f_2 = (g_1 \cdot g_2, h_1 \cdot h_2)$  and the constant loop is mapped to the constant loop, so this is also a group isomorphism.  $\square$

**Example.** The torus  $S^1 \times S^1$  has

$$\pi_1(S^1 \times S^1) \cong \pi_1(S^1) \times \pi_1(S^1) \cong \mathbb{Z}^2.$$

### 1.3 Induced homomorphisms

Let  $X, Y$  be topological spaces,  $x_0 \in X$ , and  $\phi : X \rightarrow Y$ . An observation is that  $\phi$  induces a homomorphism

$$\begin{aligned} \phi_* : \pi_1(X, x_0) &\rightarrow \pi_1(Y, \phi(x_0)) \\ [f] &\mapsto [\phi f] \end{aligned} .$$

$\phi_*$  is well-defined, since if  $f_t$  is a homotopy between the loops  $f_0$  and  $f_1$  based at  $x_0$ , then  $\phi f_t$  is a homotopy of loops between  $\phi f_0$  and  $\phi f_1$ . Moreover,

$$\phi(f \cdot g) = (\phi f) \cdot (\phi g),$$

and  $\phi$  maps the constant path at  $x_0$  to the constant path at  $\phi(x_0)$ , so  $\phi$  is a homomorphism.

**Proposition 1.13.**

1. Let  $\psi : X \rightarrow Y$  and  $\phi : Y \rightarrow Z$  be continuous maps between topological spaces,  $x_0 \in X$ , and

$$\begin{aligned} \psi_* : \pi_1(X, x_0) &\rightarrow \pi_1(Y, \psi(x_0)), & \phi_* : \pi_1(Y, \psi(x_0)) &\rightarrow \pi_1(Z, \phi\psi(x_0)), \\ (\phi\psi)_* : \pi_1(X, x_0) &\rightarrow \pi_1(Z, \phi\psi(x_0)). \end{aligned}$$

Then  $(\phi\psi)_* = \phi_*\psi_*$ .

2. Let  $id_X : X \rightarrow X$  be the identity then

$$(id_X)_* : \pi_1(X, x_0) \rightarrow \pi_1(X, x_0)$$

is the identity.

*Proof.*

1. Let  $f : I \rightarrow X$  be a loop at  $x_0$ , then

$$(\phi\psi)_*([f]) = [(\phi\psi)f] = [\phi(\psi f)] = \phi_*([\psi f]) = \phi_*\psi_*([f]).$$

2.  $(id_X)_*([f]) = [id_X f] = [f]$ .

□

These two observations yield in particular that if  $\phi : X \rightarrow Y$  is a homeomorphism with inverse  $\psi : Y \rightarrow X$ , then

$$\phi_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, \phi(x_0))$$

is an isomorphism with inverse  $\psi_*$ .

**Proposition 1.14.** Let  $\phi : X \rightarrow Y$  be a homotopy equivalence. Then

$$\phi_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, \phi(x_0))$$

is an isomorphism for all  $x_0 \in X$ .

Recall that if  $x_0, x_1 \in X$  and  $h : I \rightarrow X$  is a path such that  $h(0) = x_0$  and  $h(1) = x_1$ , then we obtain an isomorphism

$$\begin{aligned} \beta_h : \pi_1(X, x_1) &\rightarrow \pi_1(X, x_0) \\ [f] &\mapsto [h \cdot f \cdot h^{-1}] \end{aligned} .$$

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**Lemma 1.15.** Let  $\phi_t : X \rightarrow Y$  be a homotopy and  $x_0 \in X$ . Define the path

$$\begin{aligned} h : I &\rightarrow Y \\ s &\mapsto \phi_s(x_0) \end{aligned} ,$$

where  $h(0) = \phi_0(x_0)$  and  $h(1) = \phi_1(x_0)$ . Then  $(\phi_0)_* = \beta_h(\phi_1)_*$ , that is the following diagram commutes.

$$\begin{array}{ccc} & \pi_1(Y, \phi_1(x_0)) & \\ (\phi_1)_* \nearrow & \downarrow \sim \beta_h & \\ \pi_1(X, x_0) & & \\ (\phi_0)_* \searrow & \downarrow & \\ & \pi_1(Y, \phi_0(x_0)) & \end{array} .$$

*Proof.* For  $t \in I$ , define the path

$$\begin{aligned} h_t : I &\rightarrow X \\ s &\mapsto h(ts) \end{aligned} ,$$

where  $h_t(0) = \phi_0(x_0)$  and  $h_t(1) = h(t) = \phi_t(x_0)$ . Let  $f$  be a loop at  $x_0$ . Define

$$F_t = h_t \cdot (\phi_t f) \cdot h_t^{-1}.$$

Then  $F_t$  is a loop at  $\phi_0(x_0)$ , which is continuous in  $t$ . So  $F_t$  is a homotopy of loops between

$$F_0 = h_0 \cdot (\phi_0 f) \cdot h_0^{-1} \cong \phi_0 f, \quad F_1 = h_1 \cdot (\phi_1 f) \cdot h_1^{-1} = h \cdot (\phi_1 f) \cdot h^{-1}.$$

Hence

$$(\phi_0)_*([f]) = [\phi_0 f] = [h \cdot (\phi_1 f) \cdot h^{-1}] = \beta_h([\phi_1 f]) = \beta_h(\phi_1)_*([f]).$$

□

Lemma 1.15 implies in particular the following.

**Corollary 1.16.** If  $\psi : X \rightarrow X$  is continuous and  $\psi \cong id_X$ , then

$$\psi_* : \pi_1(X, x_0) \rightarrow \pi_1(X, \psi(x_0))$$

is an isomorphism for all  $x_0 \in X$ .

*Proof.* By Lemma 1.15 there is a path  $h$  from  $\psi(x_0)$  to  $x_0$  such that

$$\begin{array}{ccc} & \pi_1(X, x_0) & \\ (id_X)_* \nearrow & \downarrow \sim \beta_h & \\ \pi_1(X, x_0) & & \\ \psi_* \searrow & \downarrow & \\ & \pi_1(X, \psi(x_0)) & \end{array} ,$$

so  $\psi_* = \beta_h$  hence an isomorphism. □

*Proof of Proposition 1.14.* Let  $\phi : X \rightarrow Y$  be a homotopy equivalence. Let  $\psi : Y \rightarrow X$  be a homotopy inverse of  $\phi$ , that is  $\phi\psi \cong id_Y$  and  $\psi\phi \cong id_X$ .

$$\pi_1(X, x_0) \xrightarrow{\phi_*} \pi_1(Y, \phi(x_0)) \xrightarrow{\psi_*} \pi_1(X, \psi\phi(x_0)) \xrightarrow{\phi_*} \pi_1(Y, \psi\phi\psi(x_0)).$$

Have to show that  $\phi_*$  is bijective. The observation above gives that  $(\psi\phi)_* = \psi_*\phi_*$  is an isomorphism, so  $\phi_*$  is injective and  $\psi_*$  is surjective. Similarly  $(\phi\psi)_* = \phi_*\psi_*$  is an isomorphism, so  $\psi_*$  is injective and  $\phi_*$  is surjective. □

**Lemma 1.17.** *Let  $X$  be a topological space and  $x_0 \in X$ . Assume*

$$X = \bigcup_{\alpha \in \Lambda} A_\alpha,$$

*such that*

- *the  $A_\alpha$  are all open and path connected,*
- *$x_0 \in A_\alpha$  for all  $\alpha \in \Lambda$ , and*
- *all the intersections  $A_\alpha \cap A_\beta$  are path connected for all  $\alpha, \beta \in \Lambda$ .*

*If  $f$  is a loop in  $X$  at  $x_0$ , then we can write  $[f] = [h_1] \dots [h_m]$ , such that the  $h_i$  are loops at  $x_0$ , and each contained in a single  $A_{\alpha_i}$ .*

*Proof.*  $f$  is continuous, so for all  $s \in I$  there is an open neighbourhood  $V_s$  such that  $f(V_s)$  is contained in some  $A_\alpha$ . We can choose  $V_s$  to be an interval  $(a_s, b_s)$  such that  $f([a_s, b_s]) \subseteq A_\alpha$ .  $I$  is compact gives that a finite number of such intervals cover  $I$ , so there is a partition

$$0 = s_0 < \dots < s_m = 1,$$

such that  $f([s_{i-1}, s_i]) \subseteq A_{\alpha_i}$  for some  $\alpha_i$ . Let  $f_i$  be the path obtained by restricting  $f$  to  $[s_{i-1}, s_i]$ , and rescaling.  $f \cong f_1 \dots f_m$  for  $f_i \subseteq A_{\alpha_i}$  and  $A_{\alpha_i} \cap A_{\alpha_j}$  is path connected. Let  $g_i$  be a path from  $x_0$  to  $f(s_i)$  in  $A_{\alpha_i} \cap A_{\alpha_{i+1}}$ . Let  $g_0, g_m$  be the constant loops at  $x_0$ .  $h_i = g_{i-1} \cdot f_i \cdot g_i^{-1}$  is a loop based at  $x_0$  and  $h_i \subseteq A_{\alpha_i}$ . Thus

$$f \cong (g_0 \cdot f_1 \cdot g_1^{-1}) \cdot \dots \cdot (g_{m-1} \cdot f_m \cdot g_m^{-1}),$$

so  $[f] = [h_1] \dots [h_m]$ . □

**Example.** Möbius strip  $M$  deformation retracts to  $S^1$ . Thus  $\phi : M \rightarrow S^1$  is a homotopy equivalence, so  $\pi_1(M) \cong \pi_1(S^1) \cong \mathbb{Z}$ .

**Example.** There is no deformation retraction of  $S^1$  to a point  $p \in S^1$  because  $\pi_1(S^1) \not\cong \pi_1(p)$ .

**Example.** There is no retraction of the disc  $D^2$  to its boundary  $S^1 \subseteq D^2$ .

*Proof.* Assume there is a retraction  $r : D^2 \rightarrow S^1$ , consider the embedding  $i : S^1 \hookrightarrow D^2$ . Then  $ri = id_{S^1}$ . Thus

$$\begin{array}{ccccc} \pi_1(S^1) & \xrightarrow{i_*} & \pi_1(D^2) & \xrightarrow{r_*} & \pi_1(S^1) \\ \mathbb{Z} & & 0 & & \mathbb{Z} \end{array},$$

so  $r_* i_* (\pi_1(S^1)) = 0$  but  $r_* i_* = (ri)_* = id_{\pi_1(S^1)}$ , a contradiction. □

**Theorem 1.18** (Brouwer fixed point theorem). *Let  $h : D^2 \rightarrow D^2$  be a continuous map. Then  $h$  has a fixed point, that is there exists  $x \in D^2$  such that  $h(x) = x$ .*

*Proof.* Assume  $h(x) \neq x$  for all  $x \in D^2$ . Define  $r : D^2 \rightarrow S^1$  by defining  $r(x)$  to be the intersection of the ray starting at  $h(x)$  towards  $x$  with  $S^1$ .  $r$  is continuous, and if  $x \in S^1$ , then  $r(x) = x$ , so  $r$  is a retraction, a contradiction. □

Lemma 1.17 gives that if  $U_1, U_2 \subseteq X$  are open and path connected such that  $U_1 \cup U_2 = X$  and  $U_1 \cap U_2$  is path connected and  $x_0 \in U_1 \cap U_2$ , then every  $[f] \in \pi_1(X, x_0)$  can be factorised as  $[f] = [g_1][h_1] \dots [g_n][h_n]$  such that the  $g_i$  are loops at  $x_0$  contained in  $U_1$  and the  $h_i$  are loops at  $x_0$  contained in  $U_2$ . In other words,  $i_1 : U_1 \hookrightarrow X$  and  $i_2 : U_2 \hookrightarrow X$ , so

$$(i_1)_* : \pi_1(U_1, x_0) \rightarrow \pi_1(X, x_0), \quad (i_2)_* : \pi_1(U_2, x_0) \rightarrow \pi_1(X, x_0).$$

Lemma 1.17 gives that  $(i_1)_*(\pi_1(U_1, x_0)) \cup (i_2)_*(\pi_1(U_2, x_0))$  generate  $\pi_1(X, x_0)$ .

**Proposition 1.19.**  $\pi_1(S^n) = 0$  if  $n \geq 2$ .

*Proof.* Let  $U_1 = S^n \setminus \{(1, 0, \dots, 0)\}$  and  $U_2 = S^n \setminus \{(-1, 0, \dots, 0)\}$ . Then  $U_1 \cong \mathbb{R}^n$  and  $U_2 \cong \mathbb{R}^n$ , by stereographic projection.  $U_1 \cup U_2 = S^n$  and  $U_1 \cap U_2$  is path connected. Let  $x_0 \in U_1 \cap U_2$ .  $\pi_1(U_1, x_0) = 0$  and  $\pi_1(U_2, x_0) = 0$ , so Lemma 1.17 gives that  $\pi_1(S^n, x_0) = 0$ . □

## 1.4 Free products with amalgamation

**Definition.** If  $S$  is a set, then  $F_S$  is the **free group** on  $S$ . We can write any group  $G$  as a quotient of some free group  $F_S$ ,  $G = F / \langle\langle R \rangle\rangle$ , where  $\langle\langle R \rangle\rangle$  is the **normal closure** of  $R \subseteq F_S$ , the smallest normal subgroup of  $F_S$  containing  $R$ . We write  $G = \langle S \mid R \rangle$ . This is called a **presentation** of  $G$ .

Let  $G_0, G_1, G_2$  be groups, and  $f_1 : G_0 \rightarrow G_1$  and  $f_2 : G_0 \rightarrow G_2$  be homomorphisms.

**Definition.** A group  $H$  together with homomorphisms  $h_1 : G_1 \rightarrow H$  and  $h_2 : G_2 \rightarrow H$  such that  $h_1 f_1 = h_2 f_2$  is an **amalgamated product** of  $G_1$  and  $G_2$  over  $G_0$  if it satisfies the following universal property. For every group  $G$  and all homomorphisms  $h'_1 : G_1 \rightarrow G$  and  $h'_2 : G_2 \rightarrow G$  such that  $h'_1 f_1 = h'_2 f_2$ , there exists a unique homomorphism  $\alpha : H \rightarrow G$  such that  $h'_1 = \alpha h_1$  and  $h'_2 = \alpha h_2$ .

$$\begin{array}{ccccc}
 G_0 & \xrightarrow{f_1} & G_1 & & \\
 f_2 \downarrow & & \downarrow h_1 & \searrow h'_1 & \\
 G_2 & \xrightarrow{h_2} & H & \xrightarrow{\exists! \alpha} & G \\
 & \searrow h'_2 & & \nearrow & \\
 & & & & G
 \end{array}$$

**Theorem 1.20.** Given  $f_1 : G_0 \rightarrow G_1$  and  $f_2 : G_0 \rightarrow G_2$ . Then there exists an amalgamated product, unique up to isomorphism. We denote it by  $G_1 *_{G_0} G_2$ .

*Proof.* Worksheet 2. □

$G_0 = \{id\}$  is the **free product**. We write  $G_1 * G_2$  instead of  $G_1 *_{\{id\}} G_2$ . Let  $G_1 = \langle S_1 \mid R_1 \rangle$  and  $G_2 = \langle S_2 \mid R_2 \rangle$ . Then  $G_1 * G_2 = \langle S_1 \sqcup S_2 \mid R_1 \cup R_2 \rangle$ , with injections  $G_i \hookrightarrow G_1 * G_2$  for  $i = 1, 2$ . More generally

$$G_1 * G_2 \cong \frac{G_1 *_{G_0} G_2}{N}.$$

where  $N$  is the normal closure of the set

$$\left\{ f_1(g) f_2(g)^{-1} \mid g \in G_0 \right\} \subseteq G_1 * G_2.$$

**Theorem 1.21** (Theorem of Seifert and van Kampen). Let  $X$  be a topological space and  $U_1, U_2 \subseteq X$  be open and path connected such that  $X = U_1 \cup U_2$  and  $U_1 \cap U_2$  is path connected and let  $x_0 \in U_1 \cap U_2$ . Then

$$\pi_1(X, x_0) \cong \pi_1(U_1, x_0) *_{\pi_1(U_1 \cap U_2, x_0)} \pi_2(U_2, x_0) \cong \frac{\pi_1(U_1, x_0) * \pi_1(U_2, x_0)}{N},$$

where  $N$  is the normal closure of the set

$$\left\{ (j_1)_*(\omega) (j_2)_*(\omega)^{-1} \mid \omega \in \pi_1(U_1 \cap U_2, x_0) \right\},$$

and  $j_i : U_i \hookrightarrow X$ .

$$\begin{array}{ccc}
 U_1 \cap U_2 & \xrightarrow{i_1} & U_1 \\
 i_2 \downarrow & & \downarrow j_1 \\
 U_2 & \xrightarrow{j_2} & X
 \end{array}
 \implies
 \begin{array}{ccc}
 \pi_1(U_1 \cap U_2, x_0) & \xrightarrow{(i_1)_*} & \pi_1(U_1, x_0) \\
 (i_2)_* \downarrow & & \downarrow (j_1)_* \\
 \pi_1(U_2, x_0) & \xrightarrow{(j_2)_*} & \pi_1(U_1, x_0) *_{\pi_1(U_1 \cap U_2, x_0)} \pi_1(U_2, x_0)
 \end{array}$$

Proof of Theorem 1.21 is in B.

## A Quotient topology

Recall that if  $X$  is a set with equivalence relation  $\sim$ , there is a quotient set  $X/\sim$ . The quotient map

$$\begin{array}{ccc} \pi : X & \rightarrow & \frac{X}{\sim} \\ x & \mapsto & [x] \end{array}$$

is characterised by the following universal property. For every map  $g : X \rightarrow Y$  such that

$$a \sim b \implies g(a) = g(b),$$

there exists a unique  $f : X/\sim \rightarrow Y$  such that  $g = f \cdot \pi$ , so

$$\begin{array}{ccc} X & & \\ \pi \downarrow & \searrow g & \\ \frac{X}{\sim} & \xrightarrow{\exists! f} & Y \end{array}.$$

Let  $X$  be a topological space and  $\sim$  be an equivalence relation on  $X$ . We define a topology on  $X/\sim$  by

$$U \subseteq \frac{X}{\sim} \text{ open} \iff \pi^{-1}(U) \text{ open.}$$

*Remark.*

- This is the largest topology on  $X/\sim$  such that  $\pi$  is continuous. Exercise 1 states that if  $Z$  is a topological space and  $f : X/\sim \rightarrow Z$  is a map, then  $f$  is continuous if and only if  $f\pi : X \rightarrow Z$  is continuous. This implies that the topological quotient  $\pi : X \rightarrow X/\sim$  is characterised by the following universal property. For any topological space  $Z$  and a continuous  $g : X \rightarrow Z$  such that

$$a \sim b \implies g(a) = g(b),$$

there exists a unique continuous map  $f : X/\sim \rightarrow Z$  such that  $gf \cdot \pi$ , so

$$\begin{array}{ccc} X & & \\ \pi \downarrow & \searrow g & \\ \frac{X}{\sim} & \xrightarrow{\exists! f} & Z \end{array}.$$

- The quotient map is in general not open. For example, if  $\pi : [0, 1] \rightarrow S^1$ , then  $[0, 1] \subset [0, 1]$  is open but  $\pi([0, 1)) \subseteq S^1$  is not open.
- If  $X$  is Hausdorff, in general  $X/\sim$  is not Hausdorff.
- If  $\sim$  is the trivial relation, then  $\pi : X \rightarrow X/\sim$  is a homeomorphism. Exercise 3 states that if  $X, Y$  are topological spaces,  $X$  is compact,  $Y$  is Hausdorff, and  $\pi : X \rightarrow Y$  is surjective and continuous, then  $\pi$  is a quotient, that is there exists  $\sim$  on  $X$  and  $\pi : X \rightarrow Y \cong X/\sim$  is a quotient map.
- In particular, if  $\pi : X \rightarrow Y$  is bijective, then  $\pi$  is a homeomorphism. Exercise 4, 5, 6 state that if  $f$  is continuous and surjective,  $f(\partial D^n)$  is a point, and  $f$  is a bijection on  $D^n \setminus \partial D^n$ , then

$$\begin{array}{ccc} D^n & & \\ \pi \downarrow & \searrow f & \\ \frac{D^n}{\partial D^n} & \xrightarrow{\sim} & S^1 \end{array}.$$



## B Proof of the theorem of Seifert and van Kampen

*Proof of Theorem 1.21.* Consider the natural homomorphism

$$\Phi : \pi_1(U_1, x_0) * \pi_1(U_2, x_0) \rightarrow \pi_1(X, x_0).$$

$\Phi$  is surjective by Lemma 1.17.  $N \subseteq \text{Ker}(\Phi)$ . Want to show that  $N = \text{Ker}(\Phi)$ . A **factorisation** of an element  $[f] \in \pi_1(X, x_0)$  is a formal product  $[f_1] \dots [f_k]$  such that

- each  $f_i$  is a loop at  $x_0$  in one of the  $U_i$  and  $[f_i] \in \pi_1(U_i, x_0)$  is its homotopy class, and
- the loop  $f_1 \dots f_k$  is homotopic to  $f$  in  $X$ .

A factorisation of  $[f]$  is a word in  $\pi_1(U_1, x_0) * \pi_1(U_2, x_0)$  that is mapped to  $[f]$  by  $\Phi$ . Two factorisations of  $[f]$  are **equivalent** if they are related by finitely many of the following two moves.

- If  $[f_i]$  and  $[f_{i+1}]$  lie in the same group  $\pi_1(U_i, x_0)$ , exchange  $[f_i][f_{i+1}]$  with  $[f_i \cdot f_{i+1}]$ . These are the relations in  $\pi_1(U_i, x_0) * \pi_1(U_i, x_0)$ .
- If  $f_i$  is a loop in  $U_1 \cap U_2$ , consider  $[f_i]$  as an element in  $\pi_1(U_1, x_0)$  instead of  $\pi_1(U_2, x_0)$ , and vice versa. These are the relations in  $\pi_1(U_1, x_0) * \pi(U_2, x_0)/N$ .

Given  $[f] \in \pi_1(X, x_0)$ , we want to show that any two factorisations of  $[f]$  are equivalent. Let  $[f_1] \dots [f_k]$  and  $[f'_1] \dots [f'_l]$  be two factorisations of  $[f]$ , so the two loops  $f_1 \dots f_k$  and  $f'_1 \dots f'_l$  are homotopic. Let  $F : I \times I \rightarrow X$  be a homotopy. By compactness, there exist

$$0 = s_0 < \dots < s_m = 1, \quad 0 = t_0 < \dots < t_n = 1,$$

such that  $R_{i,j} = [s_{i-1}, s_i] \times [t_{j-1}, t_j]$  and  $F(R_{i,j}) \subseteq U_1$  or  $F(R_{i,j}) \subseteq U_2$ . May assume  $0 = s_0 < \dots < s_m = 1$  subdivides the products  $f_1 \dots f_k$  and  $f'_1 \dots f'_l$ . Relabel the  $R_{i,j}$  to  $R_1, \dots, R_{mn}$ .

$mn - m + 1$	$\dots$	$mn$
$\vdots$	$\ddots$	$\vdots$
1	$\dots$	$m$

A path  $\gamma$  in  $I \times I$  from left to right gives a loop  $F|_\gamma$  in  $X$  at  $x_0$ . Let  $\gamma_r$  be the path separating the first  $r$  rectangles from the others, so

$$F|_{\gamma_0} \cong f_1 \dots f_k, \quad F|_{\gamma_{mn}} = f'_1 \dots f'_l.$$

Let  $v$  be a grid point. Choose a path  $g_v$  in  $X$  from  $x_0$  to  $F(v)$ , such that  $g_v$  is contained in  $U_1 \cap U_2$  if  $F(v) \in U_1 \cap U_2$  and in a single  $U_i$  otherwise. This gives us a factorisation of  $[F|_{\gamma_r}]$  into loops only contained in  $U_1$  or  $U_2$ . The factorisations associated to  $\gamma_r$  and  $\gamma_{r+1}$  are equivalent, because the homotopy between  $F|_{\gamma_r}$  and  $F|_{\gamma_{r+1}}$  by pushing  $\gamma_r$  through  $R_r$  takes place within a single  $U_i$ .  $\square$