

M3P11 Galois Theory

Lectured by Prof Alessio Corti

Typeset by David Kurniadi Angdinata

Spring 2019

Contents

0	Introduction	3
1	What is Galois theory?	4
1.1	Fields	4
1.2	Galois correspondence	5
2	Fields	10

0 Introduction

The following are references.

- E Artin, Galois theory, 1994
- A Grothendieck and M Raynaud, Revêtements étales et groupe fondamental, 2002
- I N Herstein, Topics in algebra, 1975
- M Reid, Galois theory, 2014

Lecture 1
Thursday
10/01/19

1 What is Galois theory?

1.1 Fields

Notation 1.1. If K is a field, or a ring, I denote

$$K[x] = \{a_0 + \cdots + a_n x^n \mid a_i \in K\},$$

the ring of polynomials with coefficients in K .

Example 1.2.

- $\mathbb{Q}, \mathbb{R}, \mathbb{C}$.
- Quadratic fields

$$\mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\} = \frac{\mathbb{Q}[x]}{\langle x^2 - 2 \rangle}.$$

It is also a field, since

$$\frac{1}{(a + b\sqrt{2})} = \frac{a - b\sqrt{2}}{a^2 - 2b^2}.$$

- If p is prime, $\mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p$ is a finite field. If $f(x) \in K[x]$ is irreducible,

$$\frac{K[x]}{\langle f(x) \rangle}$$

is a field. For example, $x^2 - 2$. Both \mathbb{Z} and $K[x]$ have a division algorithm. For example, let $[a] \in \mathbb{Z}/p\mathbb{Z}$ and $[a] \neq 0$, that is $p \nmid a$. Since p is prime, $\gcd(p, a) = 1$, so there exist $x, y \in \mathbb{Z}$ such that $ax + py = 1$. Thus $[a] \cdot [x] = 1$ in $\mathbb{Z}/p\mathbb{Z}$.

- For K a field, either for all $m \in \mathbb{Z}$, $m \neq 0$ in K , so K has characteristic $\text{ch}(K) = 0$, or there exists p prime such that $m = 0$ if and only if $p \mid m$, so K has characteristic $\text{ch}(K) = p$.
- For K a field,

$$K(x) = \text{Frac}(K[x]) = \left\{ \phi(x) = \frac{f(x)}{g(x)} \mid f, g \in K[x], g \neq 0 \right\}.$$

is also a field, the field of rational functions with coefficients in K . For example, $\mathbb{F}_p(x, Y) = \mathbb{F}_p(x)(Y)$.

Example 1.3. Consider algebraic equations in a field K .

- Let $ax^2 + bx^2 + c = 0$ for $a, b, c \in K$ be a quadratic. There is a formula

$$x = \frac{-b + \sqrt{b^2 - 4ac}}{2a}.$$

- For a cubic $y^3 + 3py + 2q = 0$,

$$y = \sqrt[3]{-q + \sqrt{q^2 + p^3}} + \sqrt[3]{-q - \sqrt{q^2 + p^3}}.$$

- There is a formula for quartic equations.
- It is a theorem that there can be no such formula for equations of degree at least five.

Galois theory deals with these easily.

Definition 1.4. A **field homomorphism** is a function $\phi : K_1 \rightarrow K_2$ that preserves the field operations, for all $a, b \in K_1$,

$$\phi(a + b) = \phi(a) + \phi(b), \quad \phi(ab) = \phi(a)\phi(b), \quad \phi(0_{K_1}) = 0_{K_2}, \quad \phi(1_{K_1}) = 1_{K_2}.$$

Remark 1.5. All field homomorphisms are injective. If $a \in K_1 \setminus \{0\}$, then there exists $b \in K_1$ such that $ab = 1$, then $\phi(a)\phi(b) = 1$, so $\phi(a) \neq 0$. This easily implies ϕ is injective. If $a_1 \neq a_2$, then $a_1 - a_2 \neq 0$, so $\phi(a_1 - a_2) = \phi(a_1) - \phi(a_2) \neq 0$. Then $\phi(a_1) \neq \phi(a_2)$.

We concern ourselves with field extensions $k \subset K$, and every homomorphism is an extension. Consider a field extension $k \subset K$ and $\alpha \in K$. Then $k(\alpha) \subset K$ denotes the smallest subfield of K that contains k, α . Not to be confused with $k(x)$.

Example 1.6. There are two very different cases exemplified in $\mathbb{Q} \subset \mathbb{C}$.

- $\alpha = \sqrt{2}, \mathbb{Q}(\sqrt{2})$.
- $\alpha = \pi, \mathbb{Q}(\pi)$.

Definition 1.7.

- α is **algebraic** over k if $f(\alpha) = 0$ for some $0 \neq f \in k[x]$. Otherwise we say that α is **transcendental** over k .
- The extension $k \subset K$ is **algebraic** if for all $\alpha \in K$, α is algebraic over k .

Definition 1.8. Consider a field k and $f \in k[x]$. We say that $k \subset K$ is a **splitting field** for f if

$$f(x) = a \prod_{i=1}^n (x - \lambda_i) \in K[x], \quad a \in k \setminus \{0\}, \quad K = k(\lambda_1, \dots, \lambda_n).$$

Example 1.9.

- If $f(x) = x^2 - 2 \in \mathbb{Q}[x]$, then $K = \mathbb{Q}(\sqrt{2})$ is a splitting field for f . Indeed

$$x^2 - 2 = (x + \sqrt{2})(x - \sqrt{2}) \in \mathbb{Q}(\sqrt{2})[x].$$

- If $f(x) = x^2 + 2$, then $K = \mathbb{Q}(\sqrt{-2})$.
- If $f(x) = x^3 - 2$, then

$$\mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} \mid a, b, c \in \mathbb{Q}\}$$

is not a splitting field. $K = \mathbb{Q}(\sqrt[3]{2}, \omega)$, where $\omega = \frac{-1+\sqrt{3}}{2}$, is a splitting field.

$$x^3 - 2 = (x - \sqrt[3]{2})(x - \omega\sqrt[3]{2})(x - \omega^2\sqrt[3]{2}).$$

1.2 Galois correspondence

Theorem 1.10 (Fundamental theorem of Galois theory). *Assume characteristic zero. Let $k \subset K$ be the splitting field of $f(x) \in k[x]$. Let*

$$G = \{\text{field isomorphisms } \sigma : K \rightarrow K \mid \sigma \text{ is a field automorphism of } K \text{ such that } \sigma|_k = \text{id}_k\}.$$

We call this group the **Galois group**. There is a one-to-one correspondence

$$\begin{aligned} \{k \subset K_1 \subset K \mid K_1 \text{ is a field}\} &\leftrightarrow \{H \leq G \mid H \text{ a subgroup}\} \\ K_1 &\mapsto \{\sigma \in G \mid \forall \lambda \in K_1, \sigma(\lambda) = \lambda\} \\ \{\lambda \in K \mid \forall \sigma \in H, \sigma(\lambda) = \lambda\} &\leftarrow H \leq G. \end{aligned}$$

Why is this cool? Fields are hard, groups are easy. We will see that there is a good formula for the roots of $f(x)$ if and only if G is a soluble group.

Example 1.11. Let $\deg(f) = 2$ and $f(x) = x^2 + 2Ax + B \in K[x]$. If K already contains the roots then $L = K$ and $G = \{id\}$. Suppose K does not contain the roots. We still have quadratic formula

$$\lambda_{1,2} = -A \pm \sqrt{A^2 - B}.$$

If $\Delta = A^2 - B$ then $\sqrt{\Delta}$ does not exist in K . We must have

$$L = K(\sqrt{\Delta}) = \{a + b\sqrt{\Delta} \mid a, b \in K\}.$$

Then $K \subset L$ and

$$G = \{\sigma : L \rightarrow L \mid \sigma|_K = id\} = C_2$$

is generated by

$$\sigma : a + b\sqrt{\Delta} \mapsto a - b\sqrt{\Delta}.$$

Further specialisation is the following.

- Let $K = \mathbb{R}$ and $\Delta = -1$. Then

$$L = \mathbb{C} = \{a + b\sqrt{-1} \mid a, b \in \mathbb{R}\},$$

and $G = C_2$ is generated by

$$\sigma : a + b\sqrt{-1} \mapsto a - b\sqrt{-1},$$

complex conjugation.

- Let $K = \mathbb{Q}$ and $\Delta = 2$. Then

$$L = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\},$$

and $G = C_2$ is generated by

$$\sigma : a + b\sqrt{2} \mapsto a - b\sqrt{2}.$$

Theorem 1.10 implies there does not exist $K \subsetneq K_1 \subsetneq K(\sqrt{\Delta}) = L$. Is this obvious? Consider $x \in L \setminus K$, so $x = a + b\sqrt{\Delta}$, and $b \neq 0$, and then

$$\sqrt{\Delta} = \frac{x - a}{b},$$

so $K(x) = L$.

Example 1.12. Let $f(x) = x^3 - 2 \in \mathbb{Q}[x]$ and $L = \mathbb{Q}(\sqrt[3]{2}, \omega)$, where $\omega = \frac{-1+i\sqrt{3}}{2}$. ω is a solution of $x^2 + x + 1 = 0$. Then

$$\mathbb{Q}(\omega) = \mathbb{Q}(\sqrt{-3}), \quad \mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} \mid a, b, c \in \mathbb{Q}\}.$$

Remark 1.13. For any splitting field of f , there is always a natural inclusion group homomorphism

$$\rho : G \subset S(\lambda_1, \dots, \lambda_n),$$

the group of permutations of the roots of $f = x^n + a_1x^{n-1} + \dots + a_n$.

- If $\sigma \in G$, $f(\lambda) = 0$, so $\lambda^n + a_1\lambda^{n-1} + \dots + a_n = 0$.

$$0 = \sigma(0) = \sigma(\lambda^n + a_1\lambda^{n-1} + \dots + a_n) = \sigma(\lambda)^n + a_1\sigma(\lambda)^{n-1} + \dots + a_n.$$

- ρ is injective. If for all i , $\sigma(\lambda_i) = \lambda_i$, then $\sigma = id$ on $K(\lambda_1, \dots, \lambda_n) = L$.

Theorem 1.10 and Remark 1.13 gives $G = \mathfrak{S}_3$.

Definition 1.14. $K \subset L$ is **finite** if L is finite-dimensional as a vector space over K . The **degree** of L over K is $[L : K] = \dim_K(L)$.

Two things about this.

Theorem 1.15 (Tower law). *Let $K \subset L \subset F$. Then $[F : K] = [F : L][L : K]$.*

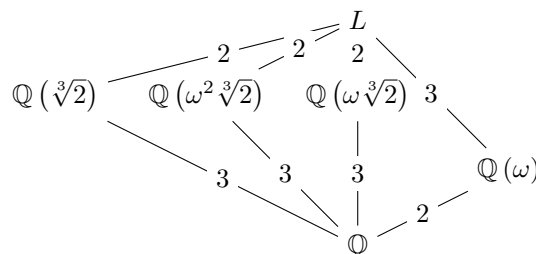
Theorem 1.16. *Suppose $f(x) \in K[x]$ is irreducible of degree $d = \deg(f)$ and $L = K(\lambda)$ where $f(\lambda) = 0$, then $[K(\lambda) : K] = d$.*

Example 1.17.

$$K = \mathbb{Q}(\sqrt[3]{2}) = \left\{ a + b\sqrt[3]{2} + c\sqrt[3]{4} \mid a, b, c \in \mathbb{Q} \right\}$$

is a field, and $[K : \mathbb{Q}] = 3$.

Example 1.18. Let $L = \mathbb{Q}(\sqrt[3]{2}, \omega)$ be the splitting field of $x^3 - 2$ over \mathbb{Q} . The lattice of subfields is



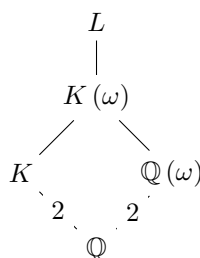
(Exercise: $\mathbb{Q}(\sqrt[3]{2} + \omega) = L$, $\mathbb{Q}(\omega^2\sqrt[3]{2}) \cap \mathbb{Q}(\omega\sqrt[3]{2}) = \mathbb{Q}$, and $\mathbb{Q}(\sqrt[3]{2}, \omega\sqrt[3]{2}) = L$) What is $[L : \mathbb{Q}(\sqrt[3]{2})]$? Note $L = \mathbb{Q}(\sqrt[3]{2})(\sqrt{-3})$. Could $\sqrt{-3} \in \mathbb{Q}(\sqrt[3]{2})$? Consider $x^2 + 3 \in \mathbb{Q}(\sqrt[3]{2})[x]$. By Theorem 1.15,

$$[L : \mathbb{Q}] = [L : \mathbb{Q}(\omega)] [\mathbb{Q}(\omega) : \mathbb{Q}] = 2 [L : \mathbb{Q}(\omega)],$$

$$[L : \mathbb{Q}] = [L : \mathbb{Q}(\sqrt[3]{2})] [\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3 [L : \mathbb{Q}(\sqrt[3]{2})].$$

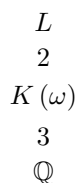
$2 \mid [L : \mathbb{Q}]$ and $3 \mid [L : \mathbb{Q}]$, so $6 \mid [L : \mathbb{Q}]$. Either $x^2 + 3$ is irreducible over $\mathbb{Q}(\sqrt[3]{2})$, so by Theorem 1.16 $[L : \mathbb{Q}(\sqrt[3]{2})] = 2$ and $[L : \mathbb{Q}] = 6$. Or $x^2 + 3$ is not irreducible, so $\mathbb{Q}(\sqrt[3]{2}) = L$ and $[L : \mathbb{Q}] = 3$, a contradiction. Are there any other fields? Claim that there are no other fields. Suppose $\mathbb{Q} \subsetneq K \subsetneq L$ is such a field. By Theorem 1.15 $[K : \mathbb{Q}] = 2$ or $[K : \mathbb{Q}] = 3$.

- Suppose $[K : \mathbb{Q}] = 2$.



Either $\omega \in K$, that is $\mathbb{Q}(\omega) \subset K$, so by Theorem 1.15 $\mathbb{Q}(\omega) = K$. Or $\omega \notin K$ gives $[K(\omega) : K] = 2$, so $[K(\omega) : \mathbb{Q}] = 4$ contradicts the tower law for $\mathbb{Q} \subset K(\omega) \subset L$.

- Suppose $[K : \mathbb{Q}] = 3$.



Claim that $x^3 - 2 \in K[x]$ splits, so it has a root in K . Either $\sqrt[3]{2} \in K$, $\omega\sqrt[3]{2} \in K$, or $\omega^2\sqrt[3]{2} \in K$.

I want to prove that

$$G = \text{Aut}_{\mathbb{Q}}(L) = \{\sigma : L \rightarrow L \mid \sigma|_{\mathbb{Q}} = \text{id}_{\mathbb{Q}}\} = \mathfrak{S}_3.$$

Lecture 5
Friday
18/01/19

Proof of Theorem 1.15. Suppose $y_1, \dots, y_m \in F$ is a basis of F as a vector space over L . Suppose $x_1, \dots, x_n \in L$ is a basis of L as a vector space over K . Claim that $\{x_i y_j\}$ is a basis of F over K .

- $\{x_i y_j\}$ generates F . Let $z \in F$. There exist $\mu_1, \dots, \mu_n \in L$ such that

$$z = \mu_1 y_1 + \dots + \mu_n y_n. \quad (1)$$

$\mu_j \in L$ so for all j there exists $\lambda_{ij} \in K$ such that

$$\mu_j = x_1 \lambda_{1j} + \dots + x_m \lambda_{mj}. \quad (2)$$

Plug in (2) into (1),

$$z = \sum_{i,j} \lambda_{ij} x_i y_j.$$

- $\{x_i y_j\}$ are linearly independent over K . Suppose there exists $\lambda_{ij} \in K$ such that

$$0 = \sum_{i,j} \lambda_{ij} x_i y_j = \sum_j \left(\sum_i \lambda_{ij} x_i \right) y_j,$$

so for all j , $\sum_i \lambda_{ij} x_i = 0$, so for all j and all i , $\lambda_{ij} = 0$.

□

Example 1.19. To show $G = \mathfrak{S}_3$. Let $\sigma = (1 \ 2)$. A basis of L/\mathbb{Q} is

$$1, \sqrt[3]{2}, \sqrt[3]{4}, \omega, \omega \sqrt[3]{2}, \omega \sqrt[3]{4}.$$

- $\sigma(1) = 1$.
- $\sigma(\sqrt[3]{2}) = \omega \sqrt[3]{2}$.
- $\sigma(\omega \sqrt[3]{2}) = \sqrt[3]{2}$.
- $\sigma(\sqrt[3]{4}) = \sigma(\sqrt[3]{2} \cdot \sqrt[3]{2}) = \omega \sqrt[3]{2} \cdot \omega \sqrt[3]{2} = \omega^2 \sqrt[3]{4} = (-\omega - 1) \sqrt[3]{4} = -\omega \sqrt[3]{4} - \sqrt[3]{4}$.
- $\sigma(\omega) = \sigma(\omega \sqrt[3]{2} / \sqrt[3]{2}) = \sigma(\omega \sqrt[3]{2}) / \sigma(\sqrt[3]{2}) = \sqrt[3]{2} / \omega \sqrt[3]{2} = 1/\omega = -1 - \omega$.
- $\sigma(\omega \sqrt[3]{4}) = \sigma(\omega \sqrt[3]{2} \cdot \sqrt[3]{2}) = \sigma(\omega \sqrt[3]{2}) \cdot \sigma(\sqrt[3]{2}) = \sqrt[3]{2} \cdot \omega \sqrt[3]{2} = \omega \sqrt[3]{4}$.

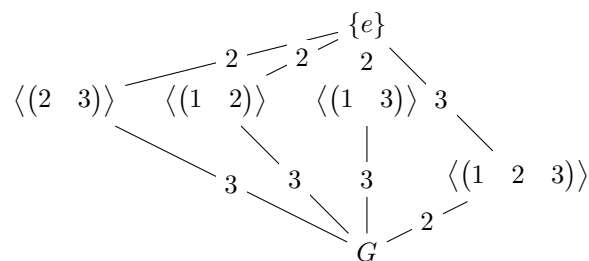
Thus

$$\sigma = \begin{pmatrix} 1 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 1 \end{pmatrix}.$$

A question is if there were $\sigma \in G$ such that $\rho(\sigma) = (1 \ 2)$ then we have written the matrix of σ as a \mathbb{Q} -linear map of L in a basis. But how to check that this \mathbb{Q} -linear map is a field homomorphism? We know the Galois correspondence for extensions of degree two. $\text{Gal}_{\mathbb{Q}}(\sqrt[3]{2})(L), \text{Gal}_{\mathbb{Q}}(\omega^2 \sqrt[3]{2})(L), \text{Gal}_{\mathbb{Q}}(\omega \sqrt[3]{2})(L) \subset G$ contain an element of order two, and

$$\begin{aligned} \rho : \quad & \text{Gal}_{\mathbb{Q}}(\sqrt[3]{2})(L) \mapsto (2 \ 3) \\ & \text{Gal}_{\mathbb{Q}}(\omega^2 \sqrt[3]{2})(L) \mapsto (1 \ 2) \\ & \text{Gal}_{\mathbb{Q}}(\omega \sqrt[3]{2})(L) \mapsto (1 \ 3). \end{aligned}$$

The lattice of subgroups is



$\mathbb{Q}(\omega)/\mathbb{Q}$ is the splitting field of $x^2 + x + 1$ and of $x^2 + 3$.

We can learn the following. Let $k \subset L$ be a splitting field. Consider $k \subset K \subset L$. Then $K \subset L$ is also a splitting field. The corresponding $H \leq G$ is the Galois group $\text{Gal}_K(L)$. On the other hand $k \subset K$ is not always a splitting field. It is a splitting field if and only if the corresponding $H \leq G$ is a normal subgroup and in that case $\text{Gal}_k(K) = G/H$.

2 Fields

Lecture 6
Tuesday
22/01/19

Let $K \subset L$ and $a \in L$. The **evaluation homomorphism**

$$\begin{aligned} e_a : K[x] &\rightarrow K[a] \subset L \\ f(x) &\mapsto f(a) \end{aligned}$$

is a surjective ring homomorphism, where $K[a]$ is the smallest subring of L containing K and a .

Definition 2.1. $f(x) = a_0x^n + \cdots + a_n \in K[x]$ is **monic** if $a_0 = 1$.

Lemma 2.2.

- If a is transcendental, e_a is injective and it extends to $\tilde{e}_a : K(X) \rightarrow K(a)$, by

$$\begin{array}{ccc} K(X) & & \\ \uparrow \subset & \searrow \tilde{e}_a & \\ K[X] & \xrightarrow{e_a} & L \end{array} .$$

- If a is algebraic, then $\text{Ker}(e_a) = \langle f_a \rangle$, where $f_a \in K[x]$ is irreducible, or prime, and unique if monic, then called the minimal polynomial of $a \in L/K$. In this case

$$\begin{array}{ccc} K[x] & \xrightarrow{e_a} & K[a] \cong K(a) \\ \uparrow \subset & \nearrow \sim & \\ K[x] & \xrightarrow{[e_a]} & \\ \langle f_a \rangle & & \end{array} .$$

Proof. There is nothing to prove. □

Let $g(x) \in K[x]$ and $g(a) \neq 0$. Claim that $1/g(a) \in K[a]$. Indeed $\gcd(f, g) = 1$ in $K[x]$ and $f \nmid g$. There exists $\phi, \psi \in K[x]$ such that $f\phi + g\psi = 1$ and $g(a)\psi(a) = 1$.

Remark 2.3. All of this is saying

- $K[a] \cong K(a)$, and
- $K[x] / \langle f_a \rangle \cong K(a)$.

Let

$$\text{Emb}_K(K(a), F) = \{ \sigma : K(a) \rightarrow F \text{ field homomorphism} \mid \forall \lambda \in K, \sigma(\lambda) = \lambda \}.$$

Corollary 2.4. For $K \subset L$ and $a \in L$ algebraic over K ,

- $[K(a) : K] = \deg(f_a)$, and
- If $K \subset F$ is an extension,

$$\text{Emb}_K(K(a), F) = \{ b \in F \mid f_a(b) = 0 \}.$$

Proof. Since $K(a) = K[a]$, $[K(a) : K] = \dim_K(K(a)) = \dim_K(K[a])$. Suppose

$$f(x) = x^n + \mu_1x^{n-1} + \cdots + \mu_n \in K[x]$$

is the minimal polynomial of a over K . Claim that $1, \dots, a^{n-1}$ is a basis of $K[a]$ over K .

- The set generates $K[a]$. Let $c \in K[a]$. There exists $g \in K[x]$ such that $g(a) = c$. Long division gives

$$g(x) = f(x)q(x) + r(x), \quad m = \deg(r(x)) < n.$$

Then $r(x) = \lambda_0 + \cdots + \lambda_mx^m$ and $g(a) = r(a) = \lambda_0 + \cdots + \lambda_ma^m$.

- The set is linearly independent, otherwise there exists

$$g(x) = \lambda_0 + \cdots + \lambda_{n-1}x^{n-1} \in K[x],$$

where $g(a) = 0$, and f was not the minimal polynomial.

$\sigma(a)$ is a root of f , since applying σ to $f(a) = 0$ gives

$$0 = \sigma(a^n + \mu_1 a^{n-1} + \cdots + \mu_n) = \sigma(a)^n + \mu_1^{n-1} \sigma(a)^{n-1} + \cdots + \mu_n = f(\sigma(a)).$$

Vice versa, if $b \in F$ is a root of f ,

$$K(b) \xleftarrow[\sim]{[e_b]} \frac{K[x]}{\langle f \rangle} \xrightarrow[\sim]{[e_a]} K(a),$$

then $\sigma = [e_b][e_a]^{-1}$. Thus there is a one-to-one correspondence

$$\begin{array}{ccc} \text{Emb}_K(K(a), F) & \leftrightarrow & \{b \in F \mid f(b) = 0\} \\ \sigma & \mapsto & \sigma(a) \\ [e_b][e_a]^{-1} & \leftrightarrow & b \end{array}.$$

□

Corollary 2.5. *Let K be a field and $f \in K[x]$. Then there exists $K \subset L$ such that f has a root in L .*

Proof. Take g a prime factor of f . Take $L = K[x]/\langle g \rangle$. In here $a = [x]$ is a root of g hence a root of f . □