M4P63 Algebra IV

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Syllabus

M4P63 Algebra IV Contents

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1 Modules

1.1 Modules over rings

Let R be an **associative ring with unity**, that is an abelian group written additively with a multiplication which is associative but not necessarily commutative, with an identity 1 and distributive laws a(b+c) = ab + ac and (a+b)c = ac + bc. Then

Lecture 1 Friday 10/01/20

$$R^* = \{ r \in R \mid \exists s \in R, \ rs = 1 = sr \}$$

is the unit group of R. If $R^* = R \setminus \{0\}$ then R is a **division ring**, or a **skew field**. In the case that R is commutative, R is a **field**.

Example.

- Fields \mathbb{C} , \mathbb{R} , \mathbb{Q} , and \mathbb{F}_q , the field with $q=p^a$ elements with p a prime and $a\geq 1$.
- Skew fields $\mathbb{H} = \{a + bi + cj + dk \mid a, b, c, d \in \mathbb{R}\}$ where $i^2 = j^2 = k^2 = ijk = -1$.
- Other rings are polynomial rings k[x] for k a field, more generally $k[x_1, \ldots, x_p]$, and $\operatorname{Mat}_n k$, the $n \times n$ matrices with entries from k, a field.

Definition 1.1. Let R be a ring. A **left** R-module is an abelian group M, written additively, together with a function $*: R \times M \to M$ satisfying

$$r*(m_1+m_2) = r*m_1+r*m_2, \qquad (r_1+r_2)*m = r_1*m+r_2*m, \qquad (r_1r_2)*m = r_1*(r_2*m), \qquad 1*m = m$$

We write rm for r * m.

Example.

- R is itself a left R-module, with * as ring multiplication. More generally, let I be a left ideal of R, so I is an additive subgroup, and $rI \subseteq I$ for all $r \in R$. Then I is an R-module with * as ring multiplication.
- Let k be a field. Then any vector space over k is a k-module, and vice versa.
- Any abelian group is a \mathbb{Z} -module, with * defined by $na = a + \cdots + a$ for $n \in \mathbb{Z}^+$ and $a \in A$, and (-n)a = -(na).
- Let k be a field. Let k^n be column vectors. Then k^n is a left $Mat_n k$ -module, with * as the usual matrix-vector multiplication.
- Let $M \in \operatorname{Mat}_n k$. Then we can define a left k[x]-module structure on k^* by letting x act as M on k^* . So $(x^2 + 3x - 2) * v = M^2v + 3Mv - 2v$.
- Let G be a group. Any representation of G over the field k is a left module for k[G], the **group** algebra, a vector space over k with elements of G as a basis, with multiplication derived from that of G.

Definition 1.2. A **right** R**-module** is defined similarly, with the R-multiplication on the right, so M an abelian group under +, and a map $M \times R \to M$ satisfying

$$(m_1 + m_2) * r = m_1 * r + m_2 * r,$$
 $m * (r_1 + r_2) = m * r_1 + m * r_2,$ $m * (r_1 r_2) = (m * r_1) * r_2,$ $m * 1 = m.$

Left and right modules are not quite the same. If we amend this definition by putting the ring multiplication on the left, the third axiom becomes $(r_1r_2) m = r_2 (r_1m)$. But in a left module, we have $(r_1r_2) m = r_1 (r_2m)$.

Definition 1.3. Let R be a ring. The **opposite ring** R^{op} is R with a redefined multiplication $r*_{R^{\text{op}}}s = s*_{R}r$.

It is easy to see that a left R-module is the same as a right R^{op} -module and vice versa. If R is commutative then $R = R^{\text{op}}$.

Exercise. Show that $\operatorname{Mat}_n k \cong \operatorname{Mat}_n k^{\operatorname{op}}$.

Except where otherwise stated, R-modules are assumed to be left R-modules.

Definition 1.4. Let M_1 and M_2 be R-modules. A map $f: M_1 \to M_2$ is an R-module homomorphism if

- \bullet f is a group homomorphism, with respect to the + operation, and
- f(rm) = rf(m), for $r \in R$ and $m \in M$.

If f is bijective, then it is an R-module isomorphism.

Definition 1.5. An additive subgroup $L \leq M$ is a **submodule** if $rL \leq L$ for $r \in R$. In this case we automatically get an R-module structure on the quotient M/L with multiplication given by r(m+L) = rm + L.

Theorem 1.6 (First isomorphism theorem). Let $f: M_1 \to M_2$ be an R-module homomorphism. Then $\operatorname{Im} f \leq M_2$, $\operatorname{Ker} f \leq M_1$, and $\operatorname{Im} f \cong M/\operatorname{Ker} f$.

The other isomorphism theorems have R-module versions too.

Let S be a set. We have a collection of R-modules $(M_s)_S$ indexed by S.

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Definition 1.7. The direct product is

$$\prod_{s \in S} M_s = \left\{ (m_s)_S \mid m_s \in M_s \right\},\,$$

with coordinate-wise addition and R-multiplication, so

$$(m_s)_S + (n_s)_S = (m_s + n_s)_S$$
, $r(m_s)_S = (rm_s)_S$.

If $M_s = M$ for all $s \in S$, then we write M^S for $\prod_{s \in S} M_s$. The **direct sum** is

$$\bigoplus_{s \in S} M_s = \{(m_s)_S \mid \text{all but finitely many coordinates } m_s \text{ are zero}\} \leq \prod_{s \in S} M_s.$$

If S is finite then the direct product and the direct sum are equal.

Example. Let $M = \mathbb{Z}_2$, as a \mathbb{Z} -module, and let $S = \mathbb{N}$. Then $\bigoplus_{s \in \mathbb{N}} \mathbb{Z}_2$ is a countable \mathbb{Z} -module but $\prod_{s \in \mathbb{N}} \mathbb{Z}_2 = \mathbb{Z}_2^{\mathbb{N}}$ is uncountable.

When |S|=2, generally we write $M_1\oplus M_2$ for the direct sum or product. There are natural injective maps

and surjective maps

1.2 Exact sequences

Definition 1.8. Suppose we have a sequence of R-modules

$$\dots \xrightarrow{f_{n-1}} M_n \xrightarrow{f_n} M_{n+1} \xrightarrow{f_{n+1}} \dots,$$

with maps $f_n: M_n \to M_{n+1}$. Say the sequence is **exact at** M_n if

$$\operatorname{Im} f_{n-1} = \operatorname{Ker} f_n.$$

The sequence is exact if it is exact everywhere. A short exact sequence is an exact sequence

$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$
.

Note that α is injective and β is surjective. The first isomorphism theorem implies that $B/\operatorname{Im}\alpha\cong C$, where $\operatorname{Im}\alpha\cong A$. An easy case is

$$B \cong A \oplus C$$
,

with $\operatorname{Im} \alpha = A \oplus 0$ and $\operatorname{Im} \beta = C$, so $\alpha = \iota_A$ and $\beta = \pi_{\beta}$. We say that the short exact sequence **splits** in this case.

Example. A non-split short exact sequence of \mathbb{Z} -modules, or abelian groups, is

$$0 \to \mathbb{Z}/2\mathbb{Z} \to \mathbb{Z}/4\mathbb{Z} \to \mathbb{Z}/2\mathbb{Z} \to 0.$$

Proposition 1.9. A short exact sequence

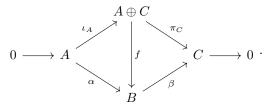
$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$

is split if and only if there exists an R-module homomorphism $\sigma: C \to B$ such that $\beta \circ \sigma = \mathrm{id}_C$.

Such a σ is called a **section** of β .

Proof.

- \Longrightarrow Suppose that the short exact sequence is split. So assume $B=A\oplus C$, with $\alpha=\iota_A$ and $\beta=\pi_C$. Now ι_C is a section for β .
- \leftarrow For the converse, suppose that σ is a section for β . We want $f: A \oplus C \xrightarrow{\sim} B$ such that $f \circ \iota_A = \alpha$ and $\beta \circ f = \pi_C$, so



Define

$$\begin{array}{cccc} f & : & A \times C & \longrightarrow & B \\ & & (a,c) & \longmapsto & \alpha \left(a \right) + \sigma \left(c \right) \end{array}.$$

Need to check the following.

- -f is an R-module homomorphism. ¹
- f is injective. Suppose f(a,c)=0. Then $\alpha(a)+\sigma(c)=0$. Now $\alpha(a)\in\operatorname{Im}\alpha=\operatorname{Ker}\beta$, so $\beta(\alpha(a)+\sigma(c))=\beta(\sigma(c))=c$. Since $\alpha(a)+\sigma(c)=0$, we have c=0. Hence $\alpha(a)=0$, and so a=0 since α is injective. We have shown that f is injective.
- f is surjective. Let $b \in B$. Let $c = \beta(b)$. We have $(\beta \circ \sigma)(c) = c = \beta(b)$, so $b \sigma(c) \in \text{Ker } \beta = \text{Im } \alpha$. So there exists $a \in A$ with $\alpha(a) = b \sigma(c)$. Then $b = \alpha(a) + \sigma(c) = f(a, c)$.
- $-f \circ \iota_A = \alpha$ and $\beta \circ f = \pi_C$. Immediate from the construction of f.

Proposition 1.10. The short exact sequence

$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$

is split if and only if there exists $\rho: B \to A$ such that $\rho \circ \alpha = \mathrm{id}_A$.

Such a ρ is a **retraction** of α .

Proof.

- \implies Once again, if the short exact sequence is split then the existence of ρ is clear.
- \Leftarrow Suppose that ρ is a retraction for α . We define $f: B \xrightarrow{\sim} A \oplus C$ such that $f \circ \alpha = \iota_A$ and $\pi_C \circ f = \beta$. Do this by

$$\begin{array}{cccc} g & : & B & \longrightarrow & A \oplus C \\ & b & \longmapsto & (\rho\left(a\right),\beta\left(c\right)) \end{array}.$$

Details are omitted.

¹Exercise

1.3 Projective modules

Definition 1.11. An R-module M is **projective** if any surjective map $\beta: B \to M$ has a section. In other words, any short exact sequence

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$$0 \to A \to B \to M \to 0$$

splits.

Example. The R-module R is projective. Let

$$0 \to A \to B \xrightarrow{\beta} R \to 0$$

be a short exact sequence. Since β is surjective, there exists $b \in B$ such that $\beta(b) = 1$. Now for all $r \in R$, $\beta(rb) = r$. Now define

Then σ is a section for β .

Proposition 1.12. An R-module M is projective if and only if whenever $\beta: B \to C$ is surjective, and $f: M \to C$, there exists $g: M \to B$ such that $f = \beta \circ g$, so

$$0 \longrightarrow A \longrightarrow B \xrightarrow{g} C \longrightarrow 0$$

Such a g is called a **lift** of f.

Proof.

- \Leftarrow Suppose that whenever $\beta: B \to C$ is surjective and $f: M \to C$ then there exists $g: M \to B$ with $f = \beta \circ g$. Suppose $\beta: B \to M$ is a surjective map. Define $f: M \to M$ to be id_M . Then there exists $g: M \to B$ such that $f = \beta \circ g$, so $\mathrm{id}_M = \beta \circ g$. So g is a section for β , and so M is projective.
- \implies For the converse, suppose $\beta: B \to C$ is surjective, and $f: M \to C$. We construct a module X to complete a commuting square

$$X \xrightarrow{\epsilon} M$$

$$\delta \downarrow \qquad \qquad \downarrow f.$$

$$B \xrightarrow{\beta} C$$

Let X be the submodule of $B \oplus M$ defined by

$$X = \{(b, m) \mid \beta(b) = f(m)\}.$$

The maps δ and ϵ are just π_B and π_M respectively, in their restrictions to X. It is clear that $X \leq B \oplus M$, and that the square above commutes. Now suppose that M is projective. Since β is surjective, we see that for all $m \in M$ there exists $b \in B$ with $\beta(b) = f(m)$. It follows that $\epsilon: X \to M$ is surjective. So ϵ has a section $\sigma: M \to X$. Define $g = \delta \circ \sigma: M \to B$, so

$$X \xrightarrow{\epsilon} M$$

$$\delta \downarrow \qquad \qquad \qquad \qquad \qquad \downarrow^{\sigma} \qquad \downarrow^{f}.$$

$$B \xrightarrow{\beta} C$$

Since $\beta \circ \delta = f \circ \epsilon$, for all $m \in M$ we have

$$(\beta \circ g)(m) = (\beta \circ \delta \circ \sigma)(m) = (f \circ \epsilon \circ \sigma)(m) = (f \circ id_M)(m) = f(m).$$

So $\beta \circ g = f$ as required.

Such an X is the **pullback** of β and f, and there is a short exact sequence

$$0 \to A \to X \to M \to 0.$$

Definition 1.13. An R-module M is **free** if M is a direct sum of copies of R, so

$$M = \bigoplus_{s \in S} R.$$

A basis for a module M is a set T of elements such that every element $m \in M$ has a unique expression as

$$m = \sum_{i=1}^{m} r_i t_i, \quad r_i \in R, \quad t_i \in T.$$

If $M = \bigoplus_{s \in S} R$, then M has a basis consisting of elements with exactly one coordinate one, and the rest zero. On the other hand, if M has a basis T then it is straightforward to show that $M \cong \bigoplus_{t \in T} R$.

Proposition 1.14. Let F be a free R-module with basis T. Let M be some R-module, and let $\psi: T \to M$ be a set map. Then ψ extends uniquely to a R-module homomorphism $\psi: F \to M$.

Proof. Each element of F has a unique expression as $\sum_i r_i t_i$ for $r_i \in R$ and $t_i \in T$. Now define

$$\begin{array}{cccc} \psi & : & F & \longrightarrow & M \\ & & \sum_i r_i t_i & \longmapsto & \sum_i r_i \psi \left(t_i \right) \end{array}.$$

It is easy to check that this respects + and R-multiplication.

Proposition 1.15. A module M is projective if and only if there exists N such that $M \oplus N$ is free, so projective modules are direct summands of free modules.

Proof.

 \implies Suppose M is projective. Let F be the free module with basis $\{b_m \mid m \in M\}$. Now the map $b_m \mapsto m$ extends to an R-module homomorphism $F \to M$, which is clearly surjective. Then if $K = \operatorname{Ker} \psi$, we have a short exact sequence

$$0 \to K \to F \xrightarrow{\psi} M \to 0.$$

Since M is projective, there is a section σ for ψ , and so the short exact sequence splits, and $F \cong K \oplus M$.

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 \Leftarrow Suppose that $M \oplus N = F$, a free module with basis T. Suppose $\beta : B \to C$ is surjective, and that $f: M \to C$. Note that $f \circ \pi_M : F \to C$. For each $t \in T$, let $b_t \in B$ be such that $\beta(b_t) = (f \circ \pi_M)(t)$. The set map

$$egin{array}{cccc} T & \longrightarrow & B \ t & \longmapsto & b_t \end{array}$$

extends to a homomorphism $\widehat{g}: F \to B$. Now define $g: M \to B$ by $g = \widehat{g} \circ \iota_M$. We need to show $f = \beta \circ g$. Take $m \in M$. Then $\iota_M(m) = (m,0) \in F$ can be written as $\sum_i r_i t_i$, where $t_i \in T$ and $r_i \in R$. Applying π_M , $m = \sum_i r_i m_{t_i}$. Then

$$g(m) = (\widehat{g} \circ \iota_M)(m) = \widehat{g}\left(\sum_i r_i t_i\right) = \sum_i r_i b_{t_i}.$$

So

$$(\beta \circ g)(m) = \beta \left(\sum_{i} r_{i} b_{t_{i}}\right) = \sum_{i} r_{i} \beta(b_{t_{i}}) = \sum_{i} r_{i} f(m_{t_{i}}) = f\left(\sum_{i} r_{i} m_{t_{i}}\right) = f(m).$$

Hence $\beta \circ g = f$. So M is projective.

1.4 Injective modules

Definition 1.16. Let M be an R-module. Then M is **injective** if whenever $\alpha: M \to B$ is an injective map, it has a retraction $\rho: B \to M$, so $\rho \circ \alpha = \mathrm{id}_M$. Equivalently, every short exact sequence

$$0 \to M \to B \to C \to 0$$

splits.

Example. Let k be a field. Then k-modules are vector spaces. Every k-module is injective. Suppose M and N are k-vector spaces and $\alpha: M \to N$ is a injective map. Then $\operatorname{Im} \alpha$ is a submodule, or subspace, of N. Take a basis for $\operatorname{Im} \alpha$, and extend to a basis for N. The basis vectors not in $\operatorname{Im} \alpha$ form a basis for a complementary subspace U, so $N = \operatorname{Im} \alpha \oplus U$. Now $\pi_{\operatorname{Im} \alpha}$ is surjective, and $\alpha: M \to \operatorname{Im} \alpha$ is an isomorphism. This gives a retraction $N \to M$.

If R is a general ring, the module R need not be injective.

Example. Let $R = \mathbb{Z}$. Then R-modules are abelian groups. There exists an injective $\alpha : \mathbb{Z} \to \mathbb{Q}$. But \mathbb{Z} is not a quotient of \mathbb{Q} , 2 so no retraction exists for α .

Proposition 1.17. An R-module M is injective if and only if whenever $\alpha: A \to B$ is injective, and $f: A \to M$, there exists $g: B \to M$ such that $f = g \circ \alpha$.

Proof.

- \Leftarrow Suppose that whenever $\alpha: A \to B$ is injective, and $f: A \to M$, there exists $g: B \to M$ such that $f = g \circ \alpha$. Suppose that $\alpha: M \to B$ is injective. We have a map $M \to M$, namely id_M . There exists $g: B \to M$ such that $\mathrm{id}_M = g \circ \alpha$. So g is a retraction for α , and so M is injective.
- \implies For the converse, suppose $\alpha:A\to B$ is injective, and M is an injective module, with $f:A\to M$. We define a module Y completing a square

$$A \xrightarrow{\alpha} B$$

$$f \downarrow \qquad \qquad \downarrow_{\delta},$$

$$M \xrightarrow{\epsilon} Y$$

with $\epsilon \circ f = \delta \circ \alpha$. Let Y be a quotient of $B \oplus M$, by the kernel

$$K = \{ (\alpha(a), -f(a)) \mid a \in A \}.$$

Let $\gamma: B \oplus M \to (B \oplus M)/K$ be the canonical quotient map. Then we define $\delta = \gamma \circ \iota_B$ and $\epsilon = \gamma \circ \iota_M$. By construction, we have

$$(\epsilon \circ f)(a) = (\gamma \circ \iota_M \circ f)(a) = \gamma(0, f(a)) = (0, f(a)) + K$$

= $(\alpha(a), 0) + K = \gamma(\alpha(a), 0) = (\gamma \circ \iota_B \circ \alpha)(a) = (\delta \circ \alpha)(a).$

Hence $\epsilon \circ f = \delta \circ \alpha$. Claim that ϵ is injective. Suppose $\epsilon(m) = 0$. Then $\iota_M(m) \in K$, so $(0, m) = (\alpha(a), -f(a))$ for some $a \in A$. But $\alpha(a) = 0$ implies that a = 0, and so m = -f(0) = 0. Since M is injective, ϵ has a retraction $\rho: Y \to M$. Define $g: B \to M$ by $g = \rho \circ \delta$, so

$$\begin{array}{ccc}
A & \xrightarrow{\alpha} & B \\
f \downarrow & g & \downarrow \delta, \\
M & & & Y
\end{array}$$

We know that $(\epsilon \circ f)(a) = (\delta \circ \alpha)(a)$ for all $a \in A$. So

$$f(a) = (\mathrm{id}_M \circ f)(a) = (\rho \circ \epsilon \circ f)(a) = (\rho \circ \delta \circ \alpha)(a) = (g \circ \alpha)(a),$$

so $f = q \circ \alpha$ as required.

²Exercise

We know that projectives are direct summands of free modules. We might hope for a dual version of this for injective modules. But there is no straightforward way of doing this.

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Proposition 1.18 (Baer's criterion for injectivity). Let M be an R-module. Then M is injective if and only if every R-module map $f: I \to M$, where I is a left ideal of R, has the form f(x) = xm for some $m \in M$. Equivalently, every map $I \to M$ extends to a map $R \to M$.

Why are these two conditions equivalent? If f(x) = xm for $x \in I$, then we can extend f to R by f(r) = rm. Conversely, suppose that $f: I \to M$ extends to $f^+: R \to M$. Let $m = f^+(1)$. Then for all $r \in R$, $f^+(r) = rm$, and so f(x) = xm for $x \in I$.

Proof. The proof requires Zorn's lemma. Let X be a non-empty set, partially ordered by \leq . If every chain, or totally ordered subset, in X has an upper bound in X, then X has a maximal element.

 \Leftarrow Suppose $\alpha:A\to B$, where α is injective. Suppose $f:A\to M$. We want to show there exists $g:B\to M$ such that $f=g\circ\alpha$. We have ${\rm Im}\,\alpha\le B$. Define

$$X = \{(L, h) \mid \operatorname{Im} \alpha \leq L \leq B, \ h : L \to M, \ f = h \circ \alpha\}.$$

Note that $X \neq \emptyset$ since $(\operatorname{Im} \alpha, f \circ \alpha^{-1})$ is in it. Define \leq on X by $(L_1, h_1) \leq (L_2, h_2)$ if $L_1 \leq L_2$ and h_2 extends h_1 , so $h_2|_{L_1} = h_1$. Suppose $\{(L_s, h_s) \mid s \in S\}$ is a chain in X. Set $L = \bigcup_{s \in S} L_s$. Then $\operatorname{Im} \alpha \leq L \leq B$. Define

$$\begin{array}{cccc} h & : & L & \longrightarrow & M \\ & l & \longmapsto & h_s\left(l\right) \end{array}, \qquad l \in L_s.$$

This does not depend on the choice of s. Then (L, h) is an upper bound for the chain $\{(L_s, h_s) \mid s \in S\}$. Hence X has a maximal element, (L_0, h_0) . We want to show that $L_0 = B$. Then we may set $g = h_0$. Suppose that $L_0 \neq B$. Let $b \in B \setminus L_0$. Note that $Rb \leq B$. Consider

$$L_0 + Rb = \{l + rb \mid l \in L_0, r \in R\} \le B.$$

We would like to extend h_0 to h_0^+ by specifying an image for h_0^+ (b). The problem is that $Rb \cap L_0$ may not be $\{0\}$, and if $rb \in L_0$ then we require rh_0^+ (b) = h_0 (rb), otherwise h_0^+ will not be well-defined. Note that

$$I = \{ r \in R \mid rb \in L_0 \}$$

is a left ideal for R. Suppose that M has the condition from Baer's criterion, so every map $I \to M$ has the form $x \mapsto xm$ for some $m \in M$. Note that $\{xb \mid x \in I\}$ is a submodule of L_0 . Define a map

$$\begin{array}{cccc} \delta & : & I & \longrightarrow & M \\ & x & \longmapsto & h_0(xb) \end{array}.$$

This is an R-module homomorphism. So $\delta(x) = xm$ for some $m \in M$. Hence $h_0(xb) = xm$ for all $x \in I$. So we can safely define $h_0^+(b) = m$. Now $(L_0 + Rb, h_0^+) \in X$, and $(L_0, h_0) < (L_0 + Rb, h_0^+)$, which contradicts the maximality of (L_0, h_0) . Hence $L_0 = B$, and we are done.

 \implies The converse is left as an exercise. ³

Example 1.19.

- Suppose R is a field. Then the only ideals of R are zero and R. Any map $0 \to M$, for M an R-module, can be extended to the zero map $R \to M$. Hence any R-module is injective.
- Let \mathbb{Z} be a module for itself. The ideals of \mathbb{Z} are $k\mathbb{Z}$ for $k \in \mathbb{Z}$. Define

$$\begin{array}{cccc} f & : & k\mathbb{Z} & \longrightarrow & \mathbb{Z} \\ & & km & \longmapsto & m \end{array}.$$

If $k \neq 0, \pm 1$, then f(k) = 1, and so $f(x) \neq xm$ for $m \in \mathbb{Z}$, since one is not divisible by k in \mathbb{Z} . So Baer's criterion fails, and \mathbb{Z} is not injective. We already knew that $\mathbb{Z} \to \mathbb{Q}$ has no retraction.

 $^{^3}$ Exercise

• \mathbb{Q} is injective as a \mathbb{Z} -module. Suppose we have a map $f: k\mathbb{Z} \to \mathbb{Q}$. Let q = f(k). Then f(kt) = qt = (q/k) kt. So f(x) = x (q/k) for all x, so \mathbb{Q} satisfies Baer's criterion.