

Algorithm W Step by Step

Martin Grabmüller

Abstract

In this paper we develop a complete implementation of the classic algorithm W for Hindley-Milner polymorphic type inference in Haskell.

1 Introduction

Type inference is a tricky business, and it is even harder to learn the basics, because most publications are about very advanced topics like rank-N polymorphism, predicative/impredicative type systems, universal and existential types and so on. Since I learn best by actually developing the solution to a problem, I decided to write a basic tutorial on type inference, implementing one of the most basic type inference algorithms which has nevertheless practical uses as the basis of the type checkers of languages like ML or Haskell.

The type inference algorithm studied here is the classic Algorithm W proposed by Milner [?]. For a very readable presentation of this algorithm and possible variations and extensions read also [?]. Several aspects of this tutorial are also inspired by [?].^{1 2 3}

2 Algorithm W

We start with the necessary imports. For representing environments (also called contexts in the literature) and substitutions, we import module *Data.Map*. Sets of type variables etc. will be represented as sets from module *Data.Set*.

```
import qualified Data.Map as Map
import qualified Data.Set as Set
```

Since we will also make use of various monad transformers, several modules from the monad template library are imported as well.

```
import Control.Monad.Error
import Control.Monad.Reader
import Control.Monad.State
```

The module *Text.PrettyPrint* provides data types and functions for nicely formatted and indented output.

```
import qualified Text.PrettyPrint as PP
```

¹Copied from <http://www.grabmuller.de/martin/www/pub/AlgorithmW.en.html> and edited by Wei Hu. Unfortunately the bibliography is missing.

²The most helpful references are <http://www.cs.uu.nl/research/techreps/repo/CS-2002/2002-031.pdf> *Generalizing Hindley-Milner Type Inference Algorithms*, and Chapter 22 of *TAPL*.

³A Cornell course touches on this topic and gives an OCaml implementation. <http://www.cs.cornell.edu/Courses/cs3110/2009fa/lectures/lec26a.htm>

2.1 Preliminaries

We start by defining the abstract syntax for both *expressions* (of type *Exp*), *types* (*Type*) and *type schemes* (*Scheme*). A type scheme $\forall a_1, \dots, a_n. t$ is a type in which a number of polymorphic type variables are bound to a universal quantifier.

```

data Exp      = EVar String
               | ELit Lit
               | EApp Exp Exp
               | EAbs String Exp
               | ELet String Exp Exp
               deriving (Eq, Ord)

data Lit      = LInt Integer
               | LBool Bool
               deriving (Eq, Ord)

data Type     = TVar String
               | TInt
               | TBool
               | TFun Type Type
               deriving (Eq, Ord)

data Scheme = Scheme [String] Type

```

In order to provide readable output and error messages, we define several pretty-printing functions for the abstract syntax. These are shown in Appendix A.

We will need to determine the free type variables of a type. Function *ftv* implements this operation, which we implement in the type class *Types* because it will also be needed for type environments (to be defined below). Another useful operation on types, type schemes and the like is that of applying a substitution. A substitution only replaces free type variables, so the quantified type variables in a type scheme are not affected by a substitution.

```

class Types a where
  ftv    :: a → Set.Set String
  apply :: Subst → a → a

instance Types Type where
  ftv (TVar n)    = {n}
  ftv TInt       = ∅
  ftv TBool      = ∅
  ftv (TFun t1 t2) = ftv t1 ∪ ftv t2
  apply s (TVar n) = case Map.lookup n s of
    Nothing → TVar n
    Just t  → t
  apply s (TFun t1 t2) = TFun (apply s t1) (apply s t2)
  apply s t           = t

instance Types Scheme where
  ftv (Scheme vars t) = (ftv t) \ (Set.fromList vars)
  apply s (Scheme vars t) = Scheme vars (apply (foldr Map.delete s vars) t)

```

It will occasionally be useful to extend the *Types* methods to lists.

```

instance Types a  $\Rightarrow$  Types [a] where
  apply s = map (apply s)
  ftv l    = foldr Set.union  $\emptyset$  (map ftv l)

```

Now we define substitutions, which are finite mappings from type variables to types.

```

type Subst = Map.Map String Type
nullSubst :: Subst
nullSubst = Map.empty
composeSubst :: Subst  $\rightarrow$  Subst  $\rightarrow$  Subst
composeSubst s1 s2 = (Map.map (apply s1) s2) `Map.union` s1

```

Type environments, called Γ in the text, are mappings from term variables to their respective type schemes.

```

newtype TypeEnv = TypeEnv (Map.Map String Scheme)

```

We define several functions on type environments. The operation $\Gamma \backslash x$ removes the binding for x from Γ and is called *remove*.

```

remove :: TypeEnv  $\rightarrow$  String  $\rightarrow$  TypeEnv
remove (TypeEnv env) var = TypeEnv (Map.delete var env)
instance Types TypeEnv where
  ftv (TypeEnv env) = ftv (Map.elems env)
  apply s (TypeEnv env) = TypeEnv (Map.map (apply s) env)

```

The function *generalize* abstracts a type over all type variables which are free in the type but not free in the given type environment.

```

generalize :: TypeEnv  $\rightarrow$  Type  $\rightarrow$  Scheme
generalize env t = Scheme vars t
  where vars = Set.toList ((ftv t) \ (ftv env))

```

Several operations, for example type scheme instantiation, require fresh names for newly introduced type variables. This is implemented by using an appropriate monad which takes care of generating fresh names. It is also capable of passing a dynamically scoped environment, error handling and performing I/O, but we will not go into details here.

```

data TIEnv = TIEnv { }
data TISState = TISState { tiSupply :: Int }
type TI a = ErrorT String (ReaderT TIEnv (StateT TISState IO)) a
runTI :: TI a  $\rightarrow$  IO (Either String a, TISState)
runTI t =
  do (res, st)  $\leftarrow$  runStateT (runReaderT (runErrorT t) initTIEnv) initTISState
  return (res, st)
  where initTIEnv = TIEnv
        initTISState = TISState { tiSupply = 0 }
newTyVar :: String  $\rightarrow$  TI Type
newTyVar prefix =
  do s  $\leftarrow$  get
  put s { tiSupply = tiSupply s + 1 }
  return (TVar (prefix ++ show (tiSupply s)))

```

The instantiation function replaces all bound type variables in a type scheme with fresh type variables.

```

instantiate :: Scheme → TI Type
instantiate (Scheme vars t) = do nvars ← mapM (λ_ → newTyVar "a") vars
                                let s = Map.fromList (zip vars nvars)
                                return $ apply s t

```

This is the unification function for types. For two types t_1 and t_2 , $mgu(t_1, t_2)$ returns the most general unifier. A unifier is a substitution S such that $S(t_1) \equiv S(t_2)$. The function $varBind$ attempts to bind a type variable to a type and return that binding as a substitution, but avoids binding a variable to itself and performs the occurs check, which is responsible for circularity type errors.

```

mgu :: Type → Type → TI Subst
mgu (TFun l r) (TFun l' r') = do s1 ← mgu l l'
                                s2 ← mgu (apply s1 r) (apply s1 r')
                                return (s2 'composeSubst' s1)

mgu (TVar u) t           = varBind u t
mgu t (TVar u)           = varBind u t
mgu TInt TInt            = return nullSubst
mgu TBool TBool          = return nullSubst
mgu t1 t2                = throwError $ "types do not unify: " ++ show t1 ++
                                " vs. " ++ show t2

varBind :: String → Type → TI Subst
varBind u t | t ≡ TVar u      = return nullSubst
            | u 'Set.member' ftv t = throwError $ "occurs check fails: " ++ u ++
                                " vs. " ++ show t
            | otherwise        = return (Map.singleton u t)

```

2.2 Main type inference function

Types for literals are inferred by the function $tiLit$.

```

tiLit :: Lit → TI (Subst, Type)
tiLit (LInt _) = return (nullSubst, TInt)
tiLit (LBool _) = return (nullSubst, TBool)

```

The function ti infers the types for expressions. The type environment must contain bindings for all free variables of the expressions. The returned substitution records the type constraints imposed on type variables by the expression, and the returned type is the type of the expression.

```

ti :: TypeEnv → Exp → TI (Subst, Type)
ti (TypeEnv env) (EVar n) =
  case Map.lookup n env of
    Nothing → throwError $ "unbound variable: " ++ n
    Just sigma → do t ← instantiate sigma
                    return (nullSubst, t)
ti _ (ELit l) = tiLit l
ti env (EAbs n e) =
  do tv ← newTyVar "a"
  let TypeEnv env' = remove env n

```

```

    env'' = TypeEnv (env' `Map.union` (Map.singleton n (Scheme [] tv)))
    (s1, t1) ← ti env'' e
    return (s1, TFun (apply s1 tv) t1)
ti env exp@(EApp e1 e2) =
  do tv ← newTyVar "a"
  (s1, t1) ← ti env e1
  (s2, t2) ← ti (apply s1 env) e2
  s3 ← mgu (apply s2 t1) (TFun t2 tv)
  return (s3 `composeSubst` s2 `composeSubst` s1, apply s3 tv)
  `catchError`
  λe → throwError $ e ++ "\n in " ++ show exp
ti env (ELet x e1 e2) =
  do (s1, t1) ← ti env e1
  let TypeEnv env' = remove env x
  t' = generalize (apply s1 env) t1
  env'' = TypeEnv (Map.insert x t' env')
  (s2, t2) ← ti (apply s1 env'') e2
  return (s2 `composeSubst` s1, t2)

```

This is the main entry point to the type inferencer. It simply calls *ti* and applies the returned substitution to the returned type.

```

typeInference :: Map.Map String Scheme → Exp → TI Type
typeInference env e =
  do (s, t) ← ti (TypeEnv env) e
  return (apply s t)

```

2.3 Tests

The following simple expressions (partly taken from [?]) are provided for testing the type inference function.

```

e0 = ELet "id" (EAbs "x" (EVar "x"))
    (EVar "id")
e1 = ELet "id" (EAbs "x" (EVar "x"))
    (EApp (EVar "id") (EVar "id"))
e2 = ELet "id" (EAbs "x" (ELet "y" (EVar "x") (EVar "y")))
    (EApp (EVar "id") (EVar "id"))
e3 = ELet "id" (EAbs "x" (ELet "y" (EVar "x") (EVar "y")))
    (EApp (EApp (EVar "id") (EVar "id")) (ELit (LInt 2)))
e4 = ELet "id" (EAbs "x" (EApp (EVar "x") (EVar "x")))
    (EVar "id")
e5 = EAbs "m" (ELet "y" (EVar "m")
    (ELet "x" (EApp (EVar "y") (ELit (LBool True)))
    (EVar "x"))))
e6 = EApp (ELit (LInt 2)) (ELit (LInt 2))
e7 = EAbs "a" (ELet "x" (EAbs "b" (ELet "y" (EAbs "c" (EApp (EVar "a") (ELit (LInt 1))))
    (EApp (EVar "y") (ELit (LInt 2))))))
    (EApp (EVar "x") (ELit (LInt 3))))

```

This simple test function tries to infer the type for the given expression. If successful, it prints the expression together with its type, otherwise, it prints the error message.

```

test :: Exp → IO ()
test e =
  do (res, _) ← runTI (typeInference Map.empty e)
  case res of
    Left err → putStrLn $ show e ++ "\n " ++ err ++ "\n"
    Right t → putStrLn $ show e ++ " :: " ++ show t ++ "\n"

```

2.4 Main Program

The main program simply infers the types for all the example expression given in Section 2.3 and prints them together with their inferred types, or prints an error message if type inference fails.

```

main :: IO ()
main = mapM_ test [e0, e1, e2, e3, e4, e5, e6, e7]
  -- Collecting Constraints
  -- main = mapM_ test' [e0, e1, e2, e3, e4, e5]

```

This completes the implementation of the type inference algorithm.

A Pretty-printing

This appendix defines pretty-printing functions and instances for *Show* for all interesting type definitions.

```

instance Show Type where
  showsPrec _ x = shows (prType x)

prType      :: Type → PP.Doc
prType (TVar n) = PP.text n
prType TInt    = PP.text "Int"
prType TBool   = PP.text "Bool"
prType (TFun t s) = prParenType t PP.<+> PP.text "->" PP.<+> prType s
prParenType :: Type → PP.Doc
prParenType t = case t of
  TFun _ _ → PP.parens (prType t)
  _        → prType t

instance Show Exp where
  showsPrec _ x = shows (prExp x)

prExp      :: Exp → PP.Doc
prExp (EVar name) = PP.text name
prExp (ELit lit)  = prLit lit
prExp (ELet x b body) = PP.text "let" PP.<+>
  PP.text x PP.<+> PP.text "=" PP.<+>
  prExp b PP.<+> PP.text "in" PP.$$
  PP.nest 2 (prExp body)
prExp (EApp e1 e2) = prExp e1 PP.<+> prParenExp e2
prExp (EAbs n e)   = PP.char '\\" PP.<+> PP.text n PP.<+>
  PP.text "->" PP.<+>
  prExp e
prParenExp :: Exp → PP.Doc

```

```

prParenExp t = case t of
    ELet _ _ _    → PP.parens (prExp t)
    EApp _ _      → PP.parens (prExp t)
    EAbs _ _      → PP.parens (prExp t)
    _              → prExp t

instance Show Lit where
    showsPrec _ x = shows (prLit x)
prLit           :: Lit → PP.Doc
prLit (LInt i)  = PP.integer i
prLit (LBool b) = if b then PP.text "True" else PP.text "False"

instance Show Scheme where
    showsPrec _ x = shows (prScheme x)
prScheme           :: Scheme → PP.Doc
prScheme (Scheme vars t) = PP.text "All" PP.<+>
    PP.hcat
    (PP.punctuate PP.comma (map PP.text vars))
    PP.<> PP.text "." PP.<+> prType t

```