



Principles of Compiler Construction

Lecturer: Change Huiyou

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Lecture 12. Code Optimization

1. Introduction
2. Local Optimization
3. Control-Flow Analysis and Loop Optimization
4. Data-Flow Analysis and Global Optimization

1. Introduction

- Terminology
 - Code optimization vs. code improvement
- Precondition
 - Semantics-preserving transformations
- Trade-off and consequence
 - Time efficiency vs. space efficiency
 - Compiler efficiency vs. target code efficiency

Optimization Levels

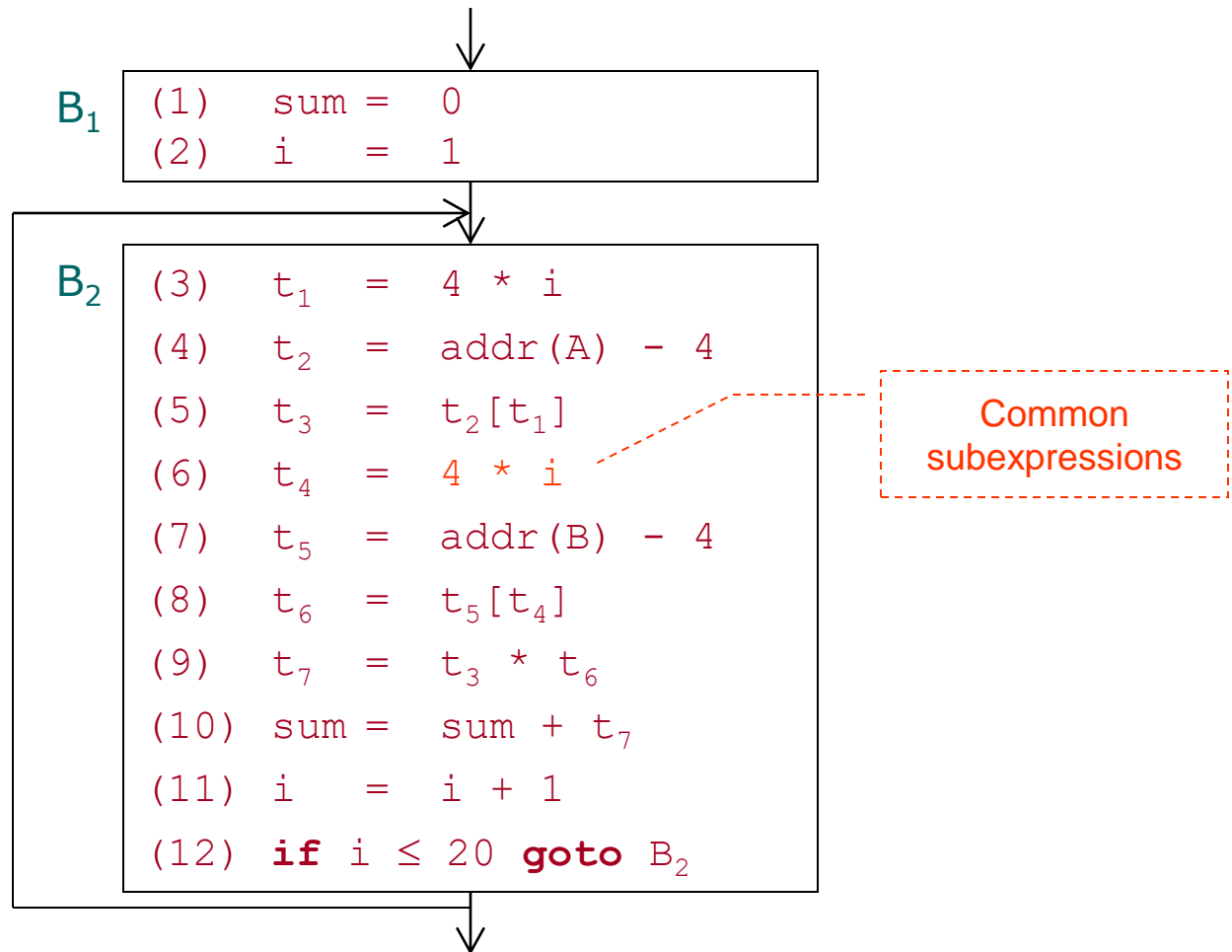
- Three levels of optimization
 - Source code
 - Manual, but the most effective.
 - Intermediate code
 - General and automatic.
 - Necessary even you write good source code.
 - Target code
 - Machine dependent (e.g. registers and pipelines).

Optimization Scopes

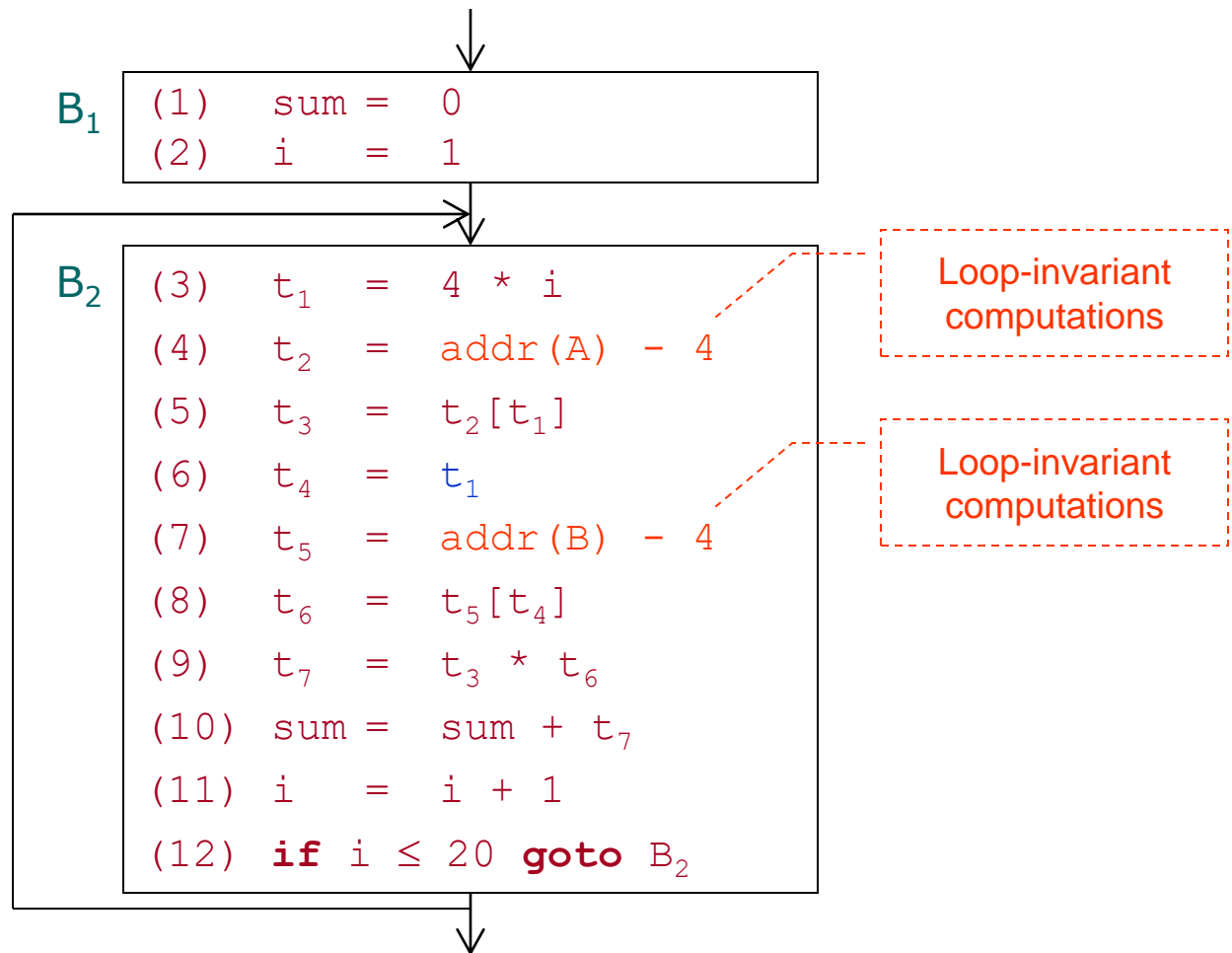
- Four scopes of optimization
 - Peephole optimization
 - Based on a sliding window, the smallest one.
 - Local optimization
 - Within a basic block.
 - Loop optimization
 - Within a loop.
 - Global optimization
 - The biggest scope.
 - In-Procedure vs. Inter-Procedure

```
sum = 0;  
for (int i = 1; i <= 20; i++) sum += A[i] * B[i];
```

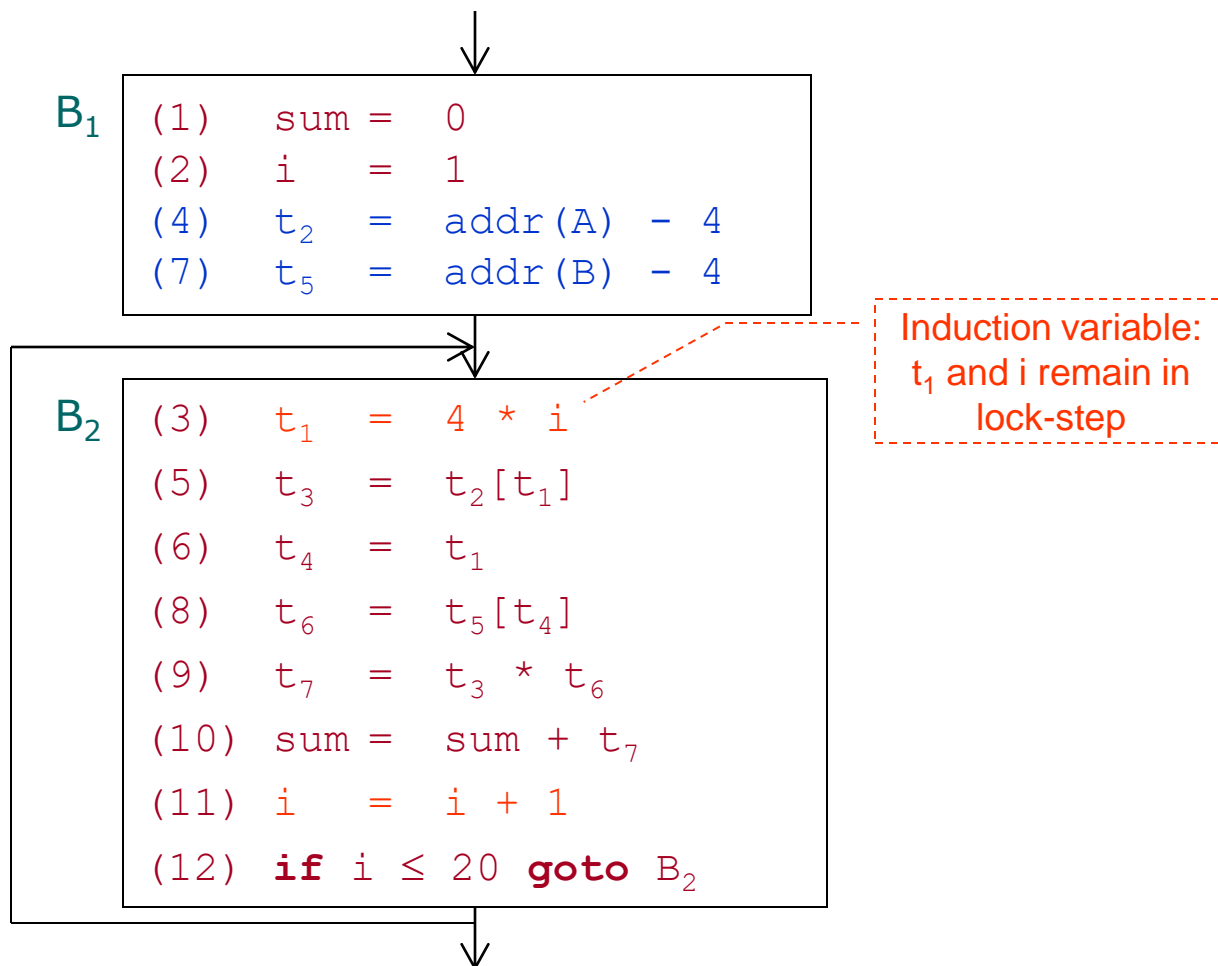
An Example



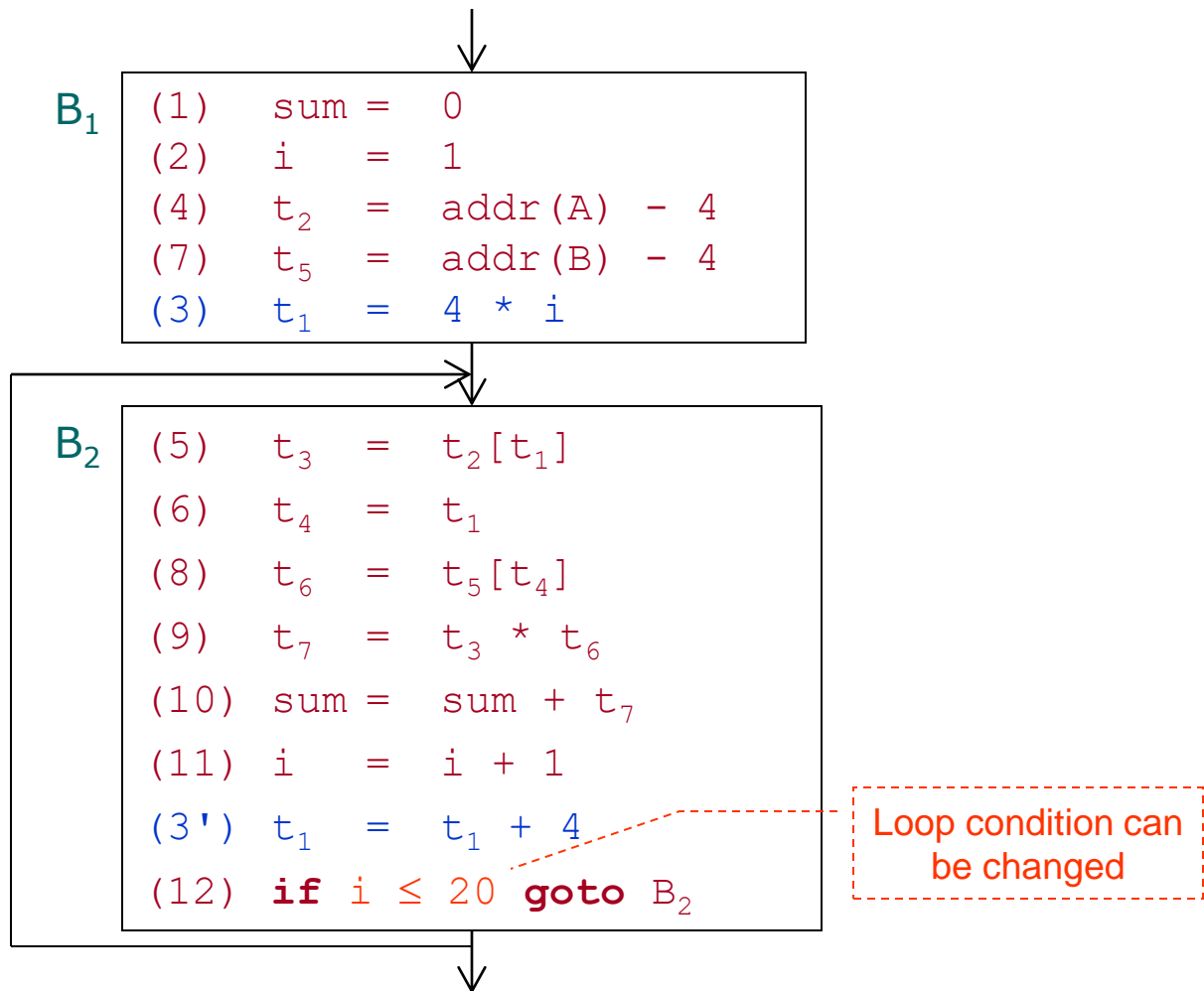
An Example: Eliminating Common Subexpressions



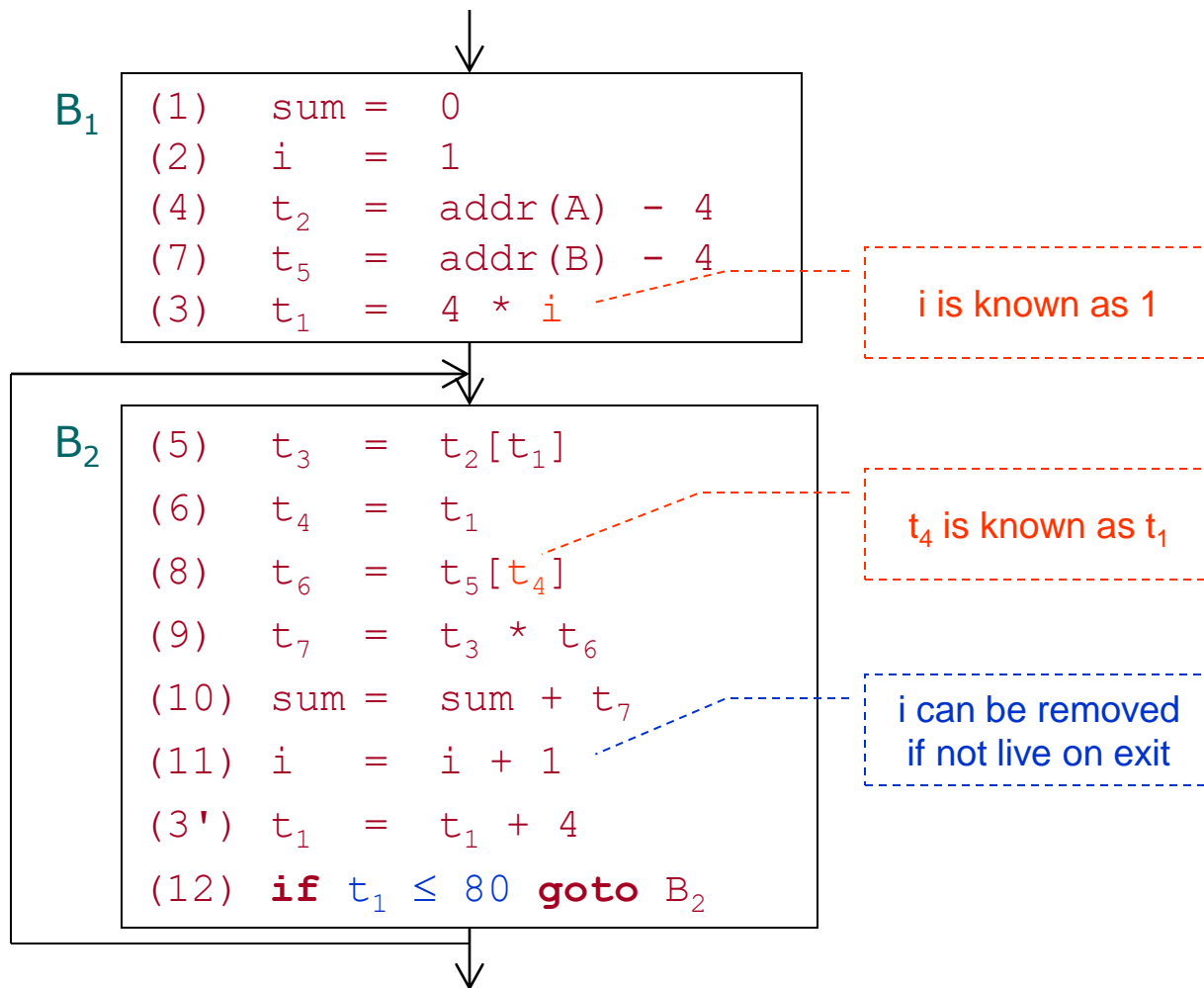
An Example: Code Motion in Loop Optimization



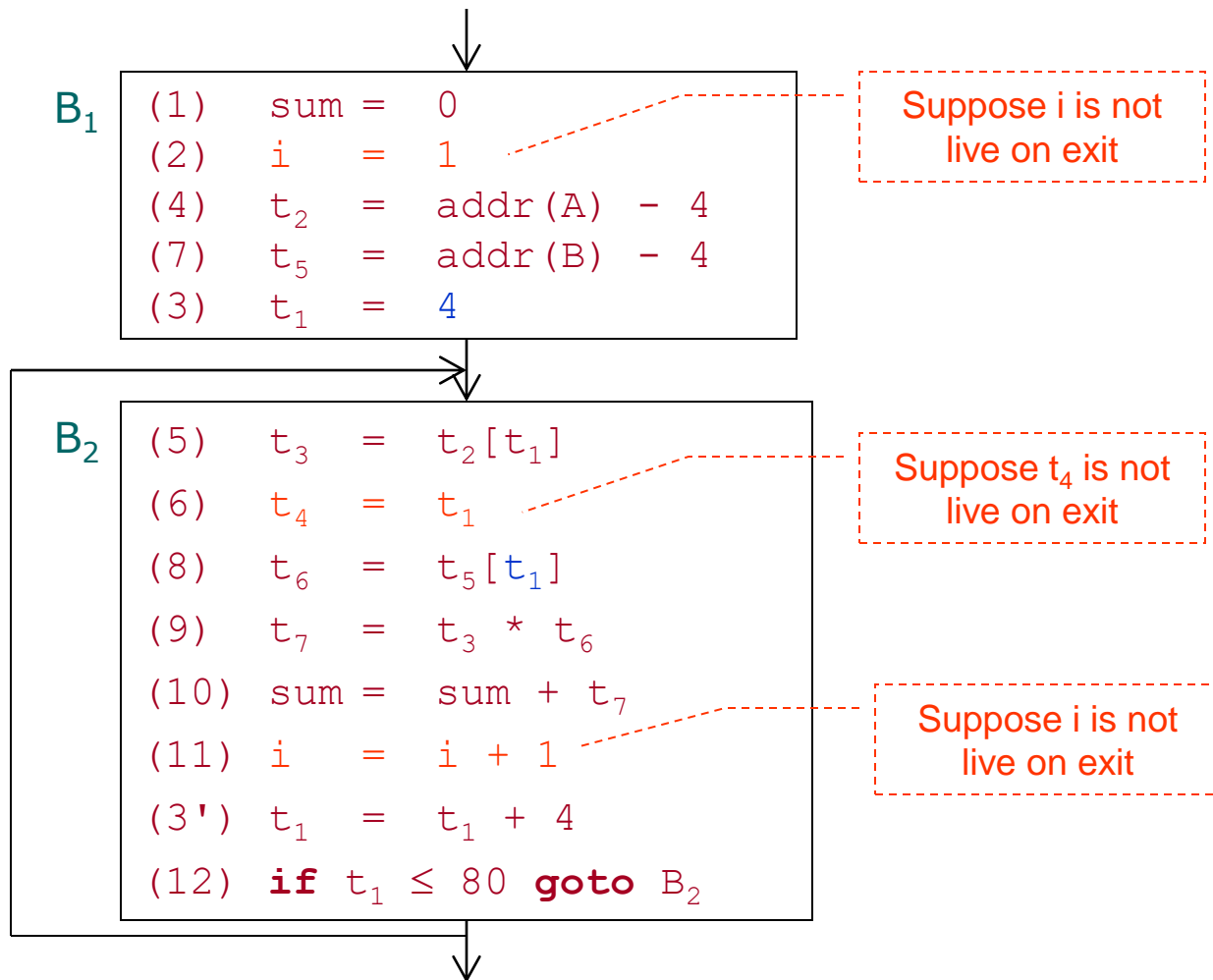
An Example: Induction Variables and Reduction in Strength



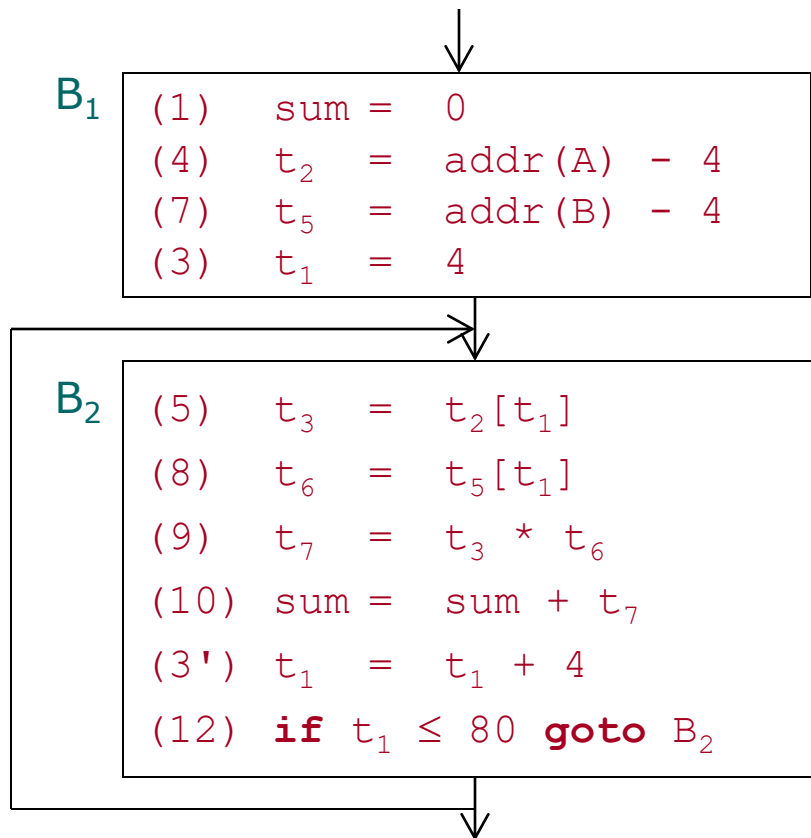
An Example: Loop Condition Transformation



An Example: Constant and Copy Propagation

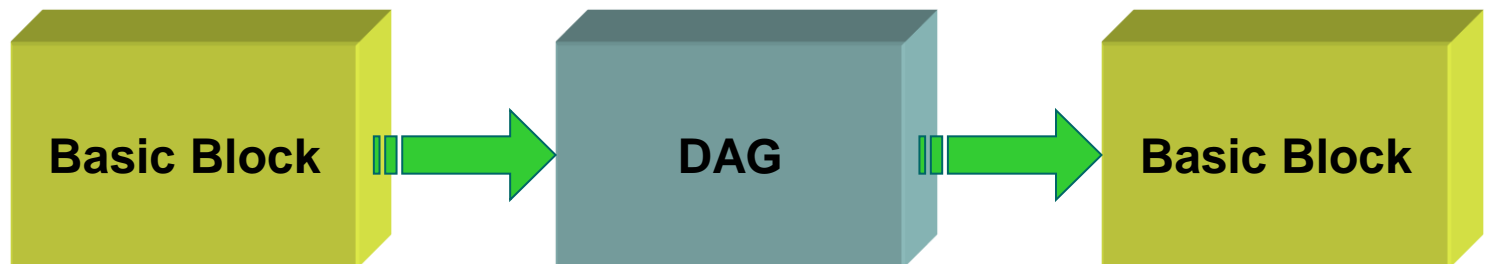


An Example: Eliminating Redundant Operations



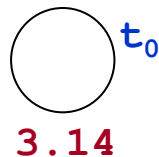
2. Local Optimization

- Transformations
 - Common subexpressions
 - Constant and copy propagation
 - Eliminating redundant operations



One More Example (1)

(1) $t_0 = 3.14$
(2) $t_1 = 2 * t_0$
(3) $t_2 = R + r$
(4) $A = t_1 * t_2$
(5) $B = A$
(6) $t_3 = 2 * t_0$
(7) $t_4 = R + r$
(8) $t_5 = t_3 * t_4$
(9) $t_6 = R - r$
(10) $B = t_5 * t_6$

 t_0
3.14

One More Example (2)

- (1) $t_0 = 3.14$
- (2) $t_1 = 2 * t_0$
- (3) $t_2 = R + r$
- (4) $A = t_1 * t_2$
- (5) $B = A$
- (6) $t_3 = 2 * t_0$
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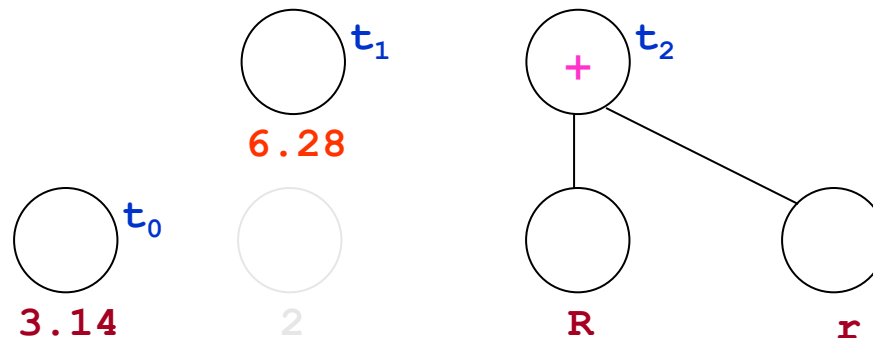
t_0
3.14

t_1
6.28

2

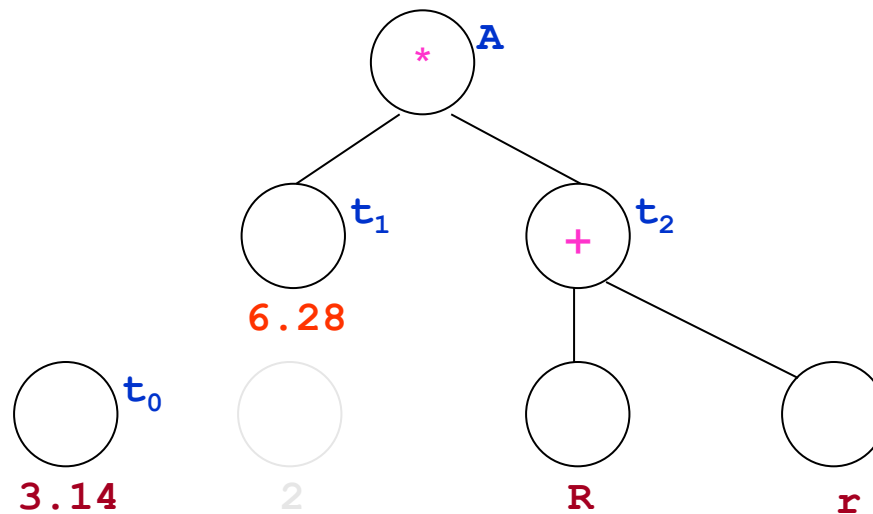
One More Example (3)

- (1) $t_0 = 3.14$
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- (4) $A = t_1 * t_2$
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- (8) $t_5 = t_3 * t_4$
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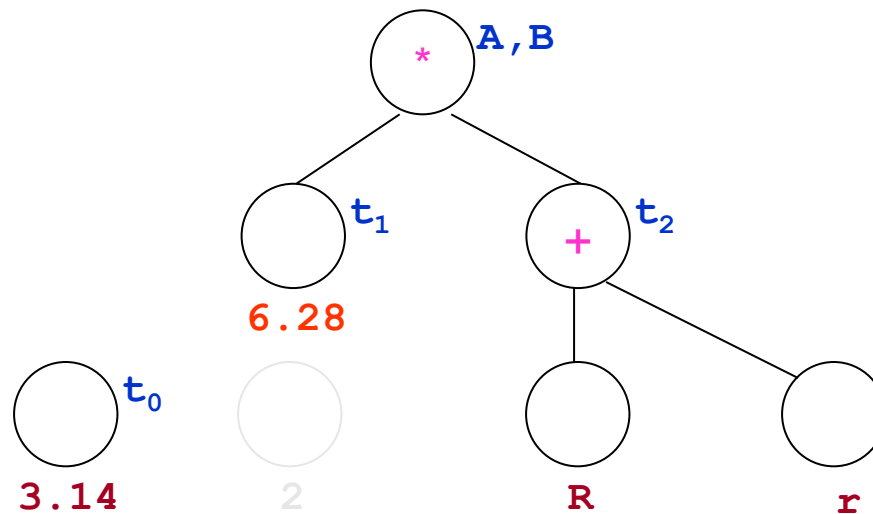
One More Example (4)

- (1) $t_0 = 3.14$
- (2) $t_1 = 2 * t_0$
- (3) $t_2 = R + r$
- (4) $A = t_1 * t_2$
- (5) $B = A$
- (6) $t_3 = 2 * t_0$
- (7) $t_4 = R + r$
- (8) $t_5 = t_3 * t_4$
- (9) $t_6 = R - r$
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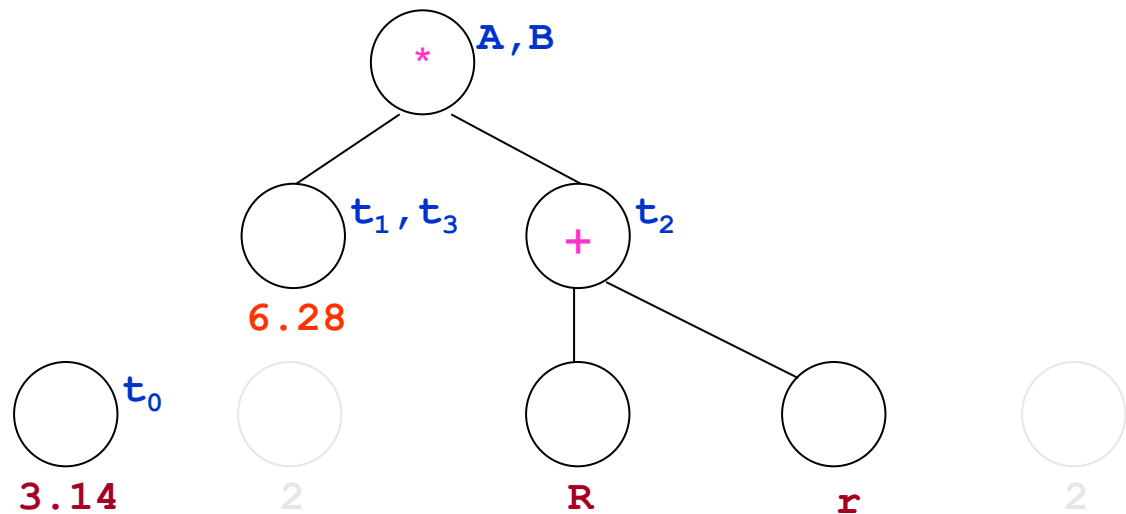
One More Example (5)

- (1) $t_0 = 3.14$
- (2) $t_1 = 2 * t_0$
- (3) $t_2 = R + r$
- (4) $A = t_1 * t_2$
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- (9) $t_6 = R - r$
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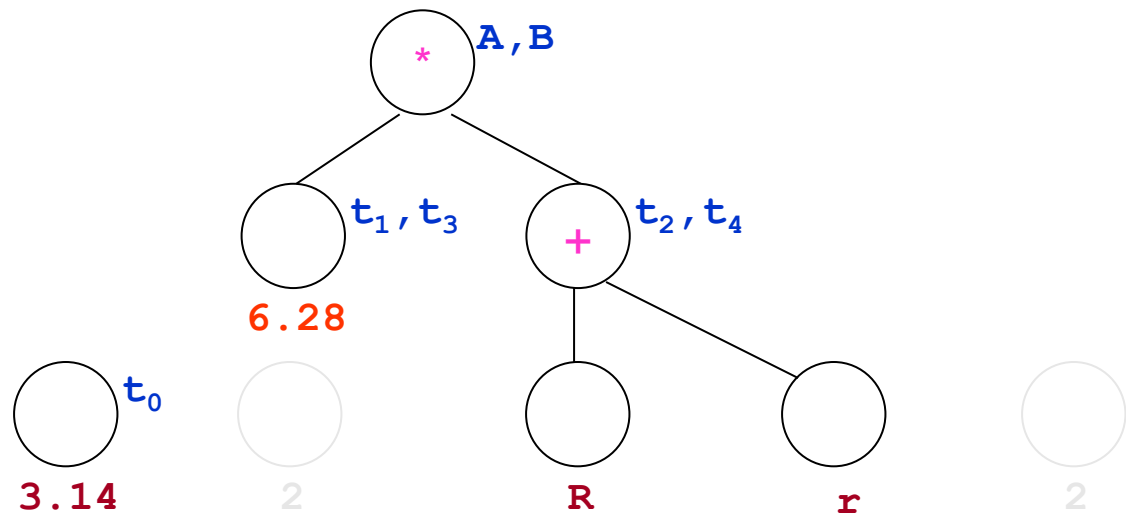
One More Example (6)

- (1) $t_0 = 3.14$
- (2) $t_1 = 2 * t_0$
- (3) $t_2 = R + r$
- (4) $A = t_1 * t_2$
- (5) $B = A$
- (6) $t_3 = 2 * t_0$
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- (10) $B = t_5 * t_6$



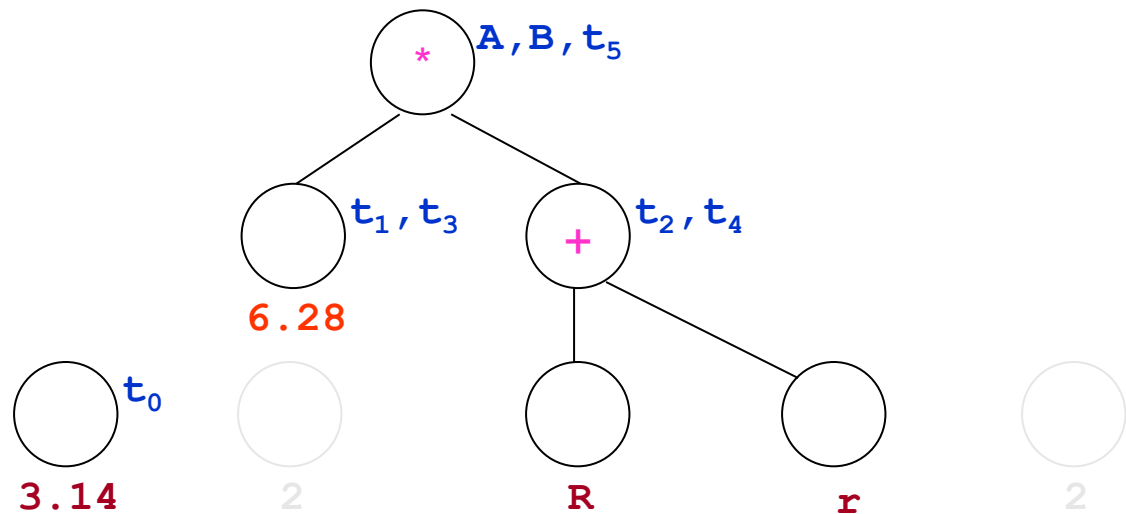
One More Example (7)

- (1) $t_0 = 3.14$
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One More Example (8)

- (1) $t_0 = 3.14$
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- (3) $t_2 = R + r$
- (4) $A = t_1 * t_2$
- (5) $B = A$
- (6) $t_3 = 2 * t_0$
- (7) $t_4 = R + r$
- (8) $t_5 = t_3 * t_4$
- (9) $t_6 = R - r$
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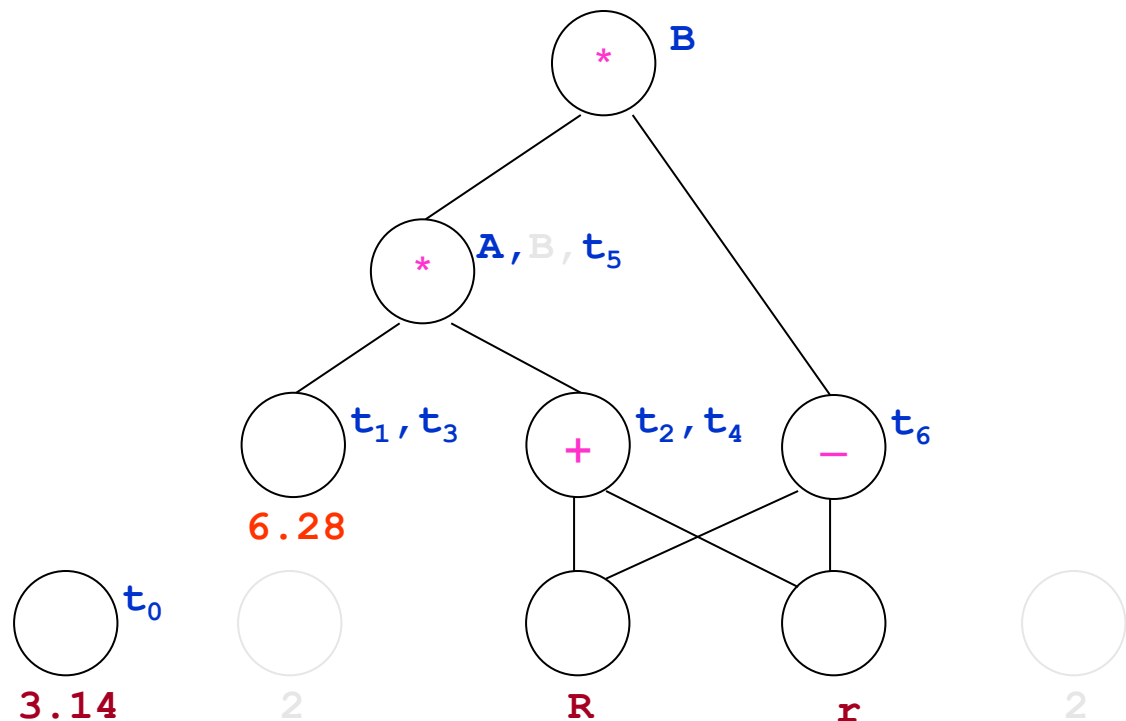


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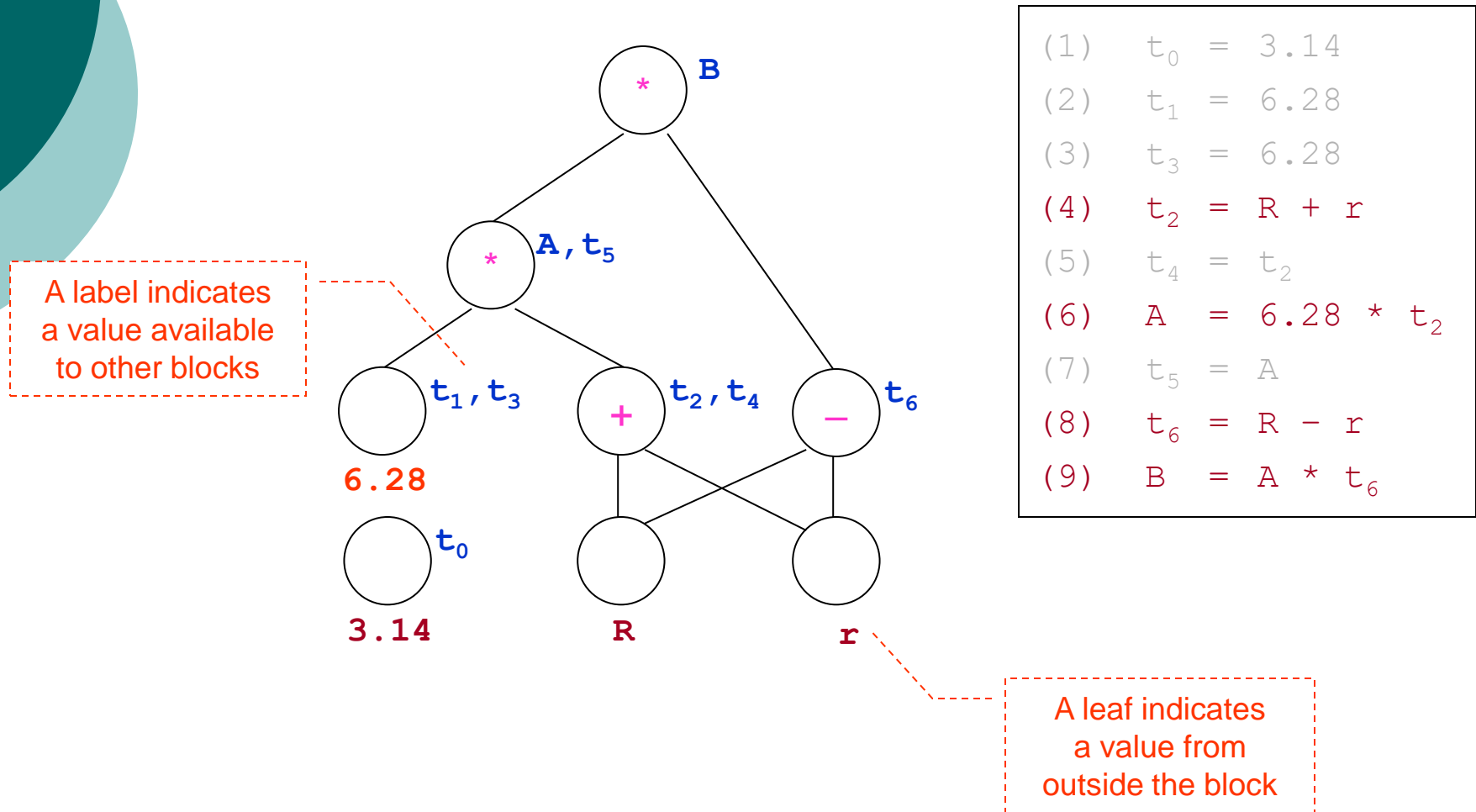


One More Example (10)

- (1) $t_0 = 3.14$
- (2) $t_1 = 2 * t_0$
- (3) $t_2 = R + r$
- (4) $A = t_1 * t_2$
- (5) $B = A$
- (6) $t_3 = 2 * t_0$
- (7) $t_4 = R + r$
- (8) $t_5 = t_3 * t_4$
- (9) $t_6 = R - r$
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One More Example (end)



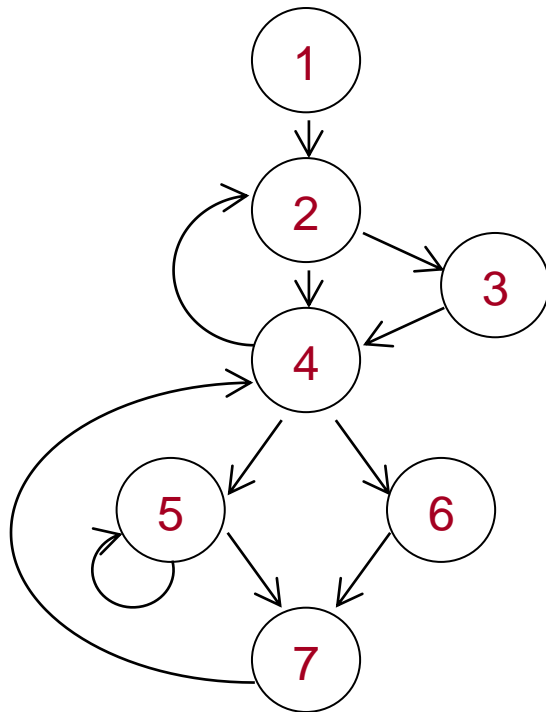
3. Control-Flow Analysis and Loop Optimization

- Three important subproblems
 - How to define a loop based on a flow graph ?
 - How to find a loop in a flow graph ?
 - How to optimize a loop ?

Define Loops Based on Flow Graphs

- A loop is a strongly connected subgraph with a unique entry (header).
 - Properties:
 - Strongly connected
 - Unique entry (destination of code motion)
 - A loop can be expressed as a sequence of nodes.

An Example



There are 3 loops:

{5}

{4, 5, 6, 7}

{2, 3, 4, 5, 6, 7}

They are NOT loops:

{2, 4} Both 2 and 4 are entries

{2, 3, 4} Both 2 and 4 are entries

{4, 5, 7} Both 4 and 7 are entries

{4, 6, 7} Both 4 and 7 are entries

Dominators

○ Notations

- **m DOM n** means m is a dominator of n.
- **D(n)** is the set of all dominators of n.
 - $D(n) = \{m \mid m \text{ **DOM** } n\}$

○ Properties

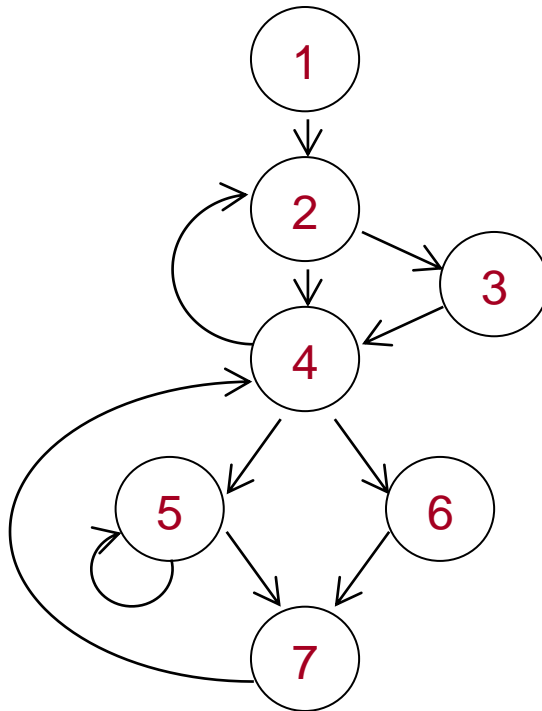
- The entry is a dominator of all nodes in the loop.
- The binary relation **DOM** is a partial order
 - Reflective, transitive, and antisymmetric.

Algorithm to Calculate $D(n)$

- Input: flow graph $G = (N, E, n_0)$
 - N = set of nodes; E = set of edges; n_0 = entry.
- Algorithm
 1. $D(n_0) = \{n_0\};$
 2. **foreach** ($n \in N - \{n_0\}$) $D(n) = N;$
 3. $\text{changed} = \text{true};$
 4. **while** (changed) {
 5. $\text{changed} = \text{false};$
 6. **foreach** ($n \in N - \{n_0\}$) {
 7. $\text{newd} = \{n\} \cup (\bigcap_{p \in \text{PRE}(n)} D(p));$
 8. **if** ($D(n) \neq \text{newd}$) {
 9. $D(n) = \text{newd}; \text{changed} = \text{true};$
 10. }
 11. }
 12. }

PRE = predecessor

The Previous Example



$D(1) = \{1\}$

$D(2) = \{1, 2\}$

$D(3) = \{1, 2, 3\}$

$D(4) = \{1, 2, 4\}$

$D(5) = \{1, 2, 4, 5\}$

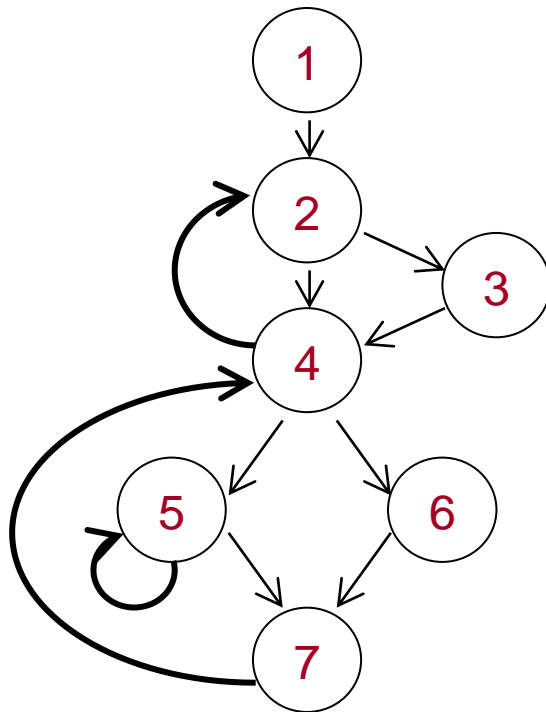
$D(6) = \{1, 2, 4, 6\}$

$D(7) = \{1, 2, 4, 7\}$

Back Edges and Natural Loops

- Back edge
 - $a \rightarrow b$ is a back edge if $a \rightarrow b \in E \wedge b \text{ DOM } a$.
- Natural loop
 - A natural loop defined by a back edge $a \rightarrow b$
 $= \{b\} \cup \{\text{nodes that can reach } a \text{ without going through } b\}$

The Previous Example



There are 3 back edges:

$5 \rightarrow 5$

$7 \rightarrow 4$

$4 \rightarrow 2$

There are 3 natural loops:

$\{5\}$ defined by $5 \rightarrow 5$

$\{4, 5, 6, 7\}$ defined by $7 \rightarrow 4$

$\{2, 3, 4, 5, 6, 7\}$ defined by $4 \rightarrow 2$

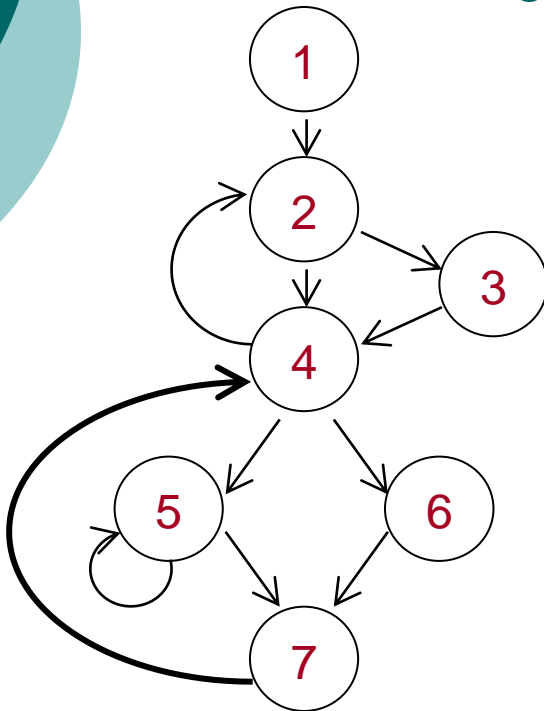
Find Loops by Back Edges

- Input: back edge $n \rightarrow d$.

- Algorithm:

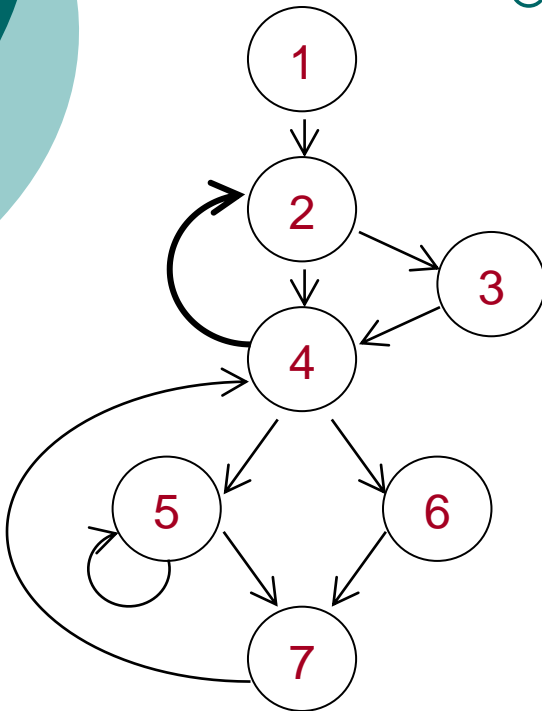
```
1. void insert(Node m) {
2.     if (m ∉ loop) { // d will not be pushed
3.         loop = loop ∪ {m};
4.         stack.push(m)
5.     }
6. }
7. void main() {
8.     Stack stack = new Stack();
9.     loop = {d}; // PRE(d) will not be added
10.    insert(n);
11.    while (stack.notEmpty()) {
12.        m = stack.pop();
13.        foreach (p ∈ PRE(m)) insert(p)
14.    }
15. }
```

Example #1



- Given the back edge $7 \rightarrow 4$
 1. initialize: loop = {4, 7}, stack = [7].
 2. pop 7; insert 5 and 6; loop = {4, 7, 5, 6}, stack = [5, 6].
 3. pop 6; insert 4 (already in loop); loop has no change, stack = [5].
 4. pop 5; insert 4 and 5 (both in loop); loop has no change, stack = [].
 5. result: loop = {4, 7, 5, 6}.

Example #2

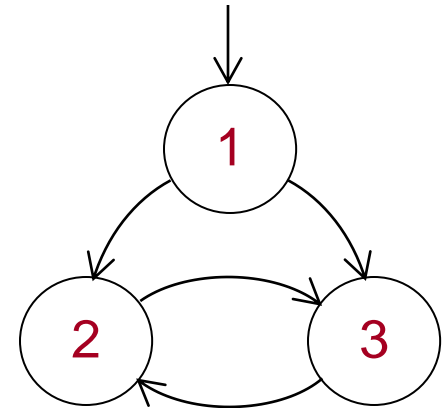


○ Given the back edge $4 \rightarrow 2$

1. initialize: loop = {2, 4}, stack = [4].
2. pop 4; insert 2, 3 and 7 (2 already in loop); loop = {2, 4, 3, 7}, stack = [3, 7].
3. pop 7; insert 5 and 6; loop = {2, 4, 3, 7, 5, 6}, stack = [3, 5, 6].
4. pop 6; insert 4 (already in loop); loop had no change, stack = [3, 5].
5. pop 5; insert 4 and 5 (both in loop); loop has no change, stack = [3].
6. pop 3; insert 2 (already in loop); loop has no change, stack = [].
7. result: loop = {2, 4, 3, 7, 5, 6}.

Properties of Natural Loops

- Natural loops do not cover all of loops in common sense
 - E.g. there is no back edge in the following flow graph, but it does have a loop in common sense: $\{2, 3\}$.
 - Only in a reducible flow graph, can the back edges find all loops.
- Reducible flow graph
 - After removing all back edges, the subgraph is acyclic.
 - In a reducible flow graph, the only entry to a loop is the header.
 - A flow graph generated from a structured program is commonly reducible.



Loop Optimization: Code Motion

- Target of code motion
 - Following the header of the loop.
- What code can be moved ?

For an instruction $x = y \text{ op } z$,

1. It is a loop-invariant operation.
 - All possible definitions of y and z are outside the loop, including constants, or (recursively)
 - Defined by loop-invariant values.
2. No other statement in the loop defines x .
3. All uses of x in the loop are defined by it.

Loop Optimization: Reducing Strength and Eliminating Induction Variables

- Basic induction variable
 - $i = i \pm C$
 - Unique assignment to i in the loop.
 - C is loop-invariant.
- Family of induction variables
 - $j = C_1 * i \pm C_2$
 - Both C_1 and C_2 are loop-invariant.
- Motivation
 - Substitute i with some j in the family.
 - The multiplication of j can be removed.
 - Then i can be eliminated.
 - Specially effective to indexing variables.

An Example: Family of Induction Variables

Only one loop:

{ B₂ }

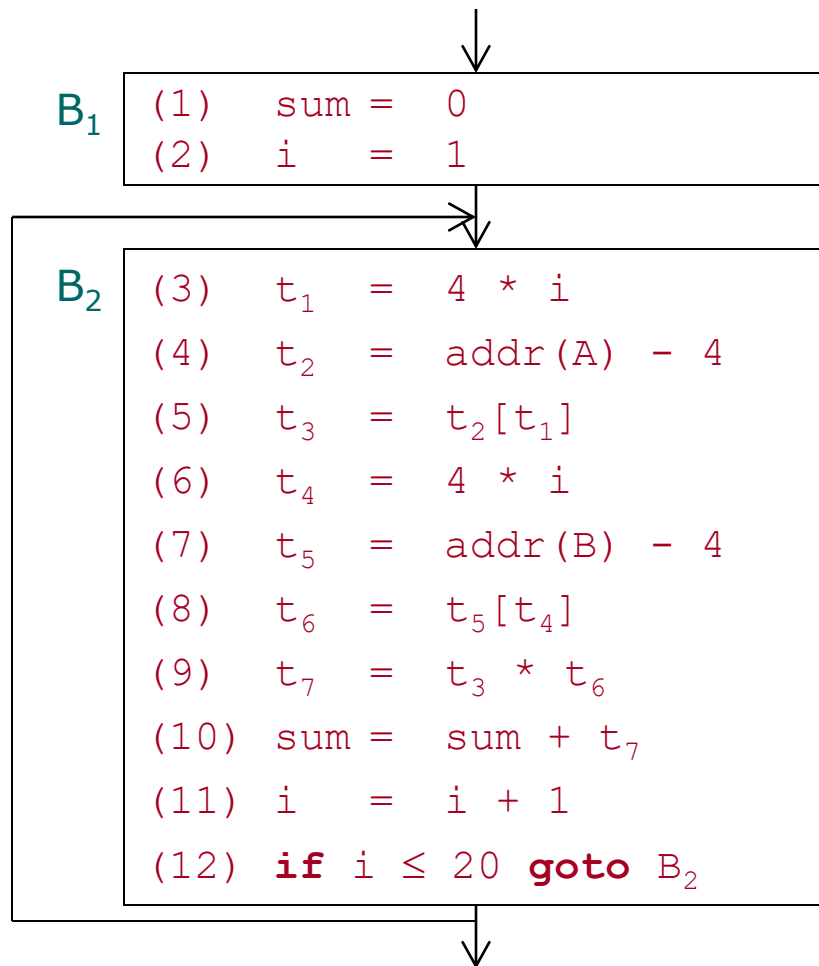
Basic induction variable:

i

Family of induction variables:

t₁ = (i, 4, 0) = 4 * i + 0

t₄ = (i, 4, 0) = 4 * i + 0



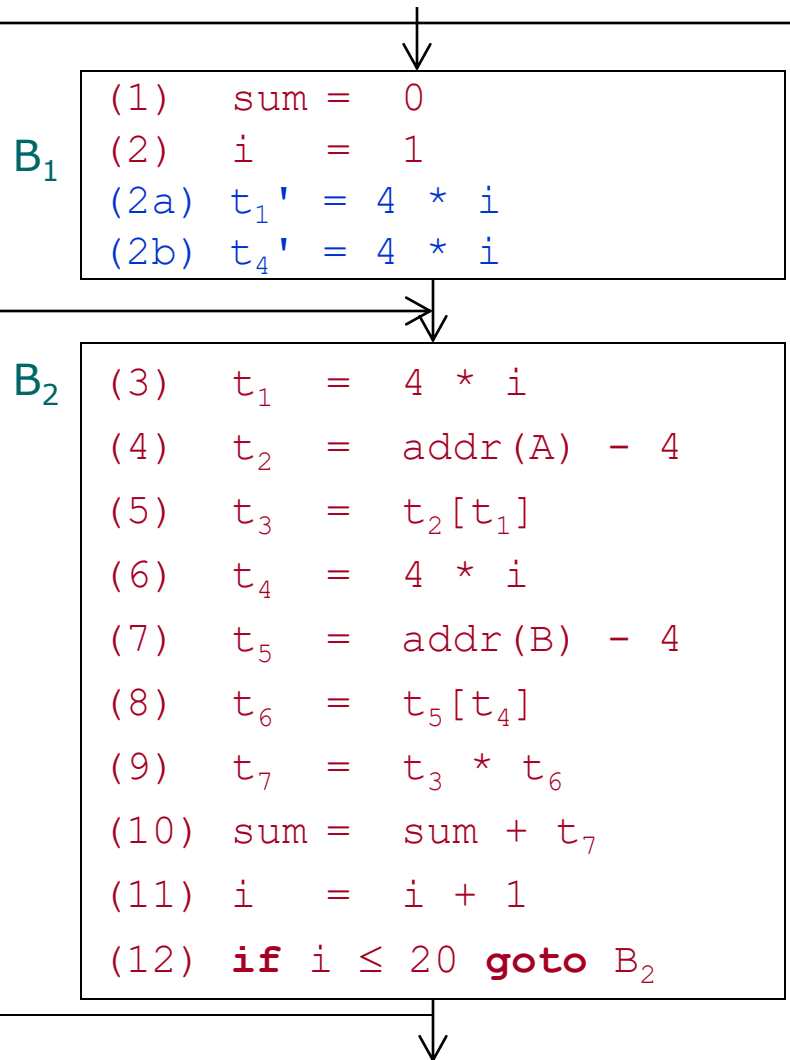
An Example: Strength Reduction (1)

Create a new variable **j'** (e.g. **t₁'** and **t₄'**) for each induction variable in family **j = C₁ * i ± C₂** (e.g. **t₁** and **t₄**).

Initialize new variables at the end of the preheader:

j' = C₁ * i

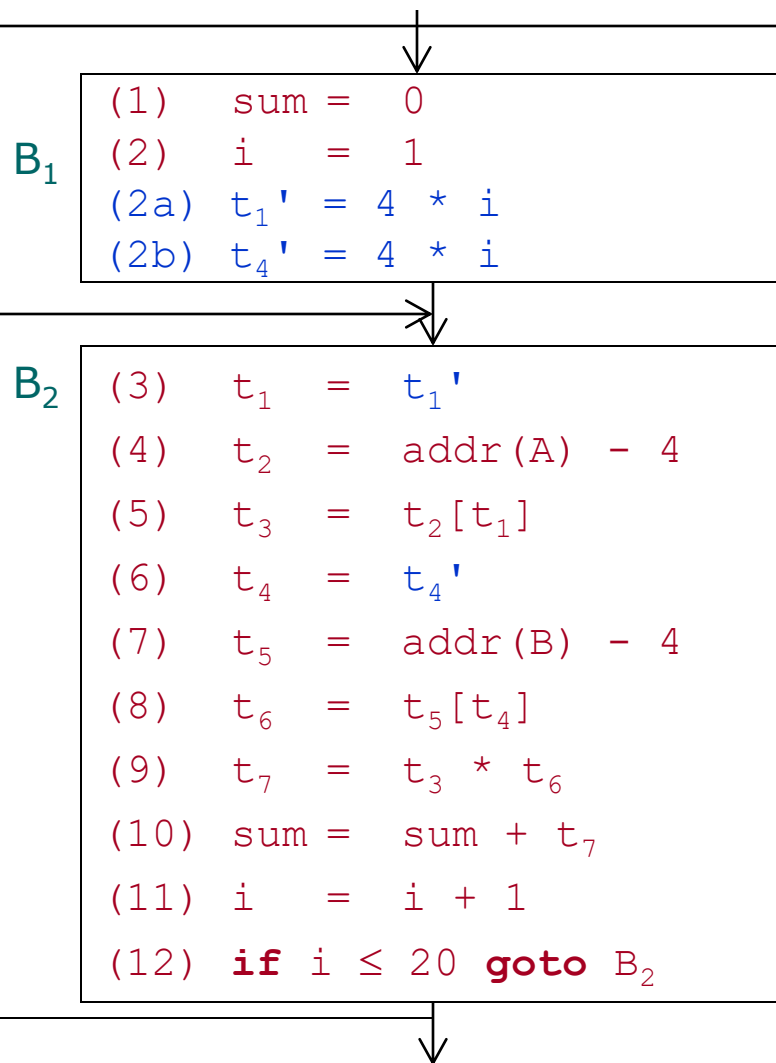
j' = j' + C₂ // only if C₂ ≠ 0



An Example: Strength Reduction (2)

Change the definition of each
induction variable (e.g. t_1 and
 t_4):

$j = j'$



An Example: Strength Reduction (3)

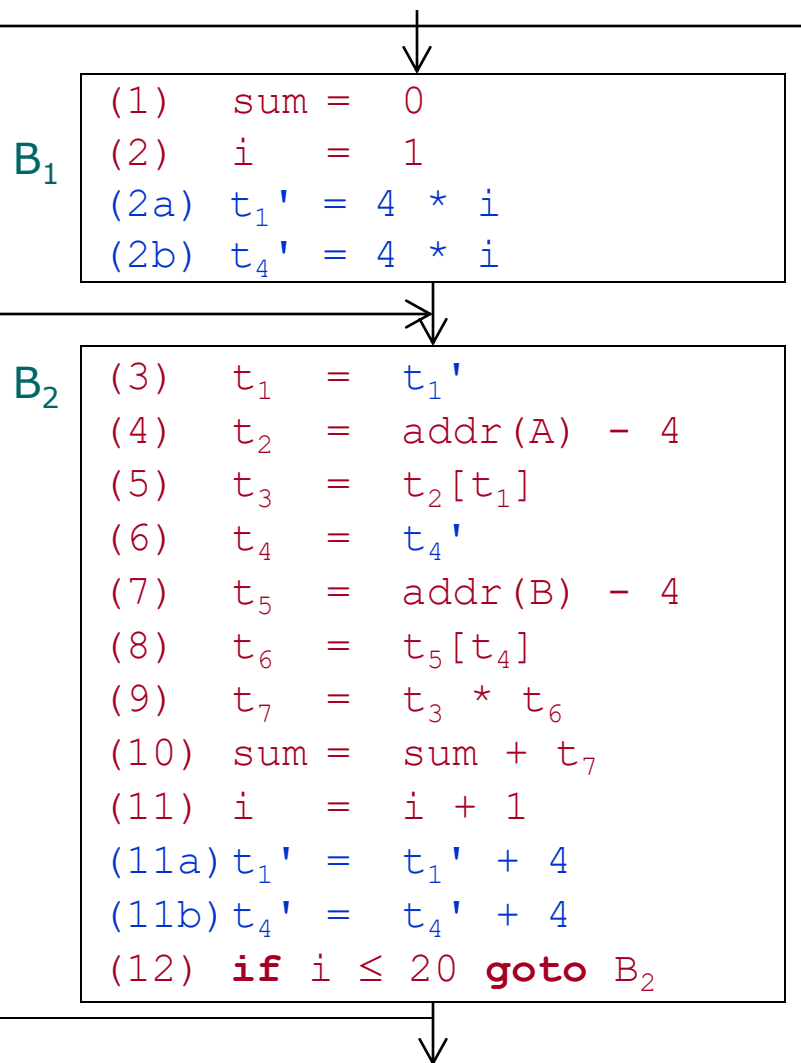
Add linear assignments to new variables following the unique definition of basic statement variable ($i = i \pm C$):

$$t = C_1 * C$$

$$j' = j' \pm t$$

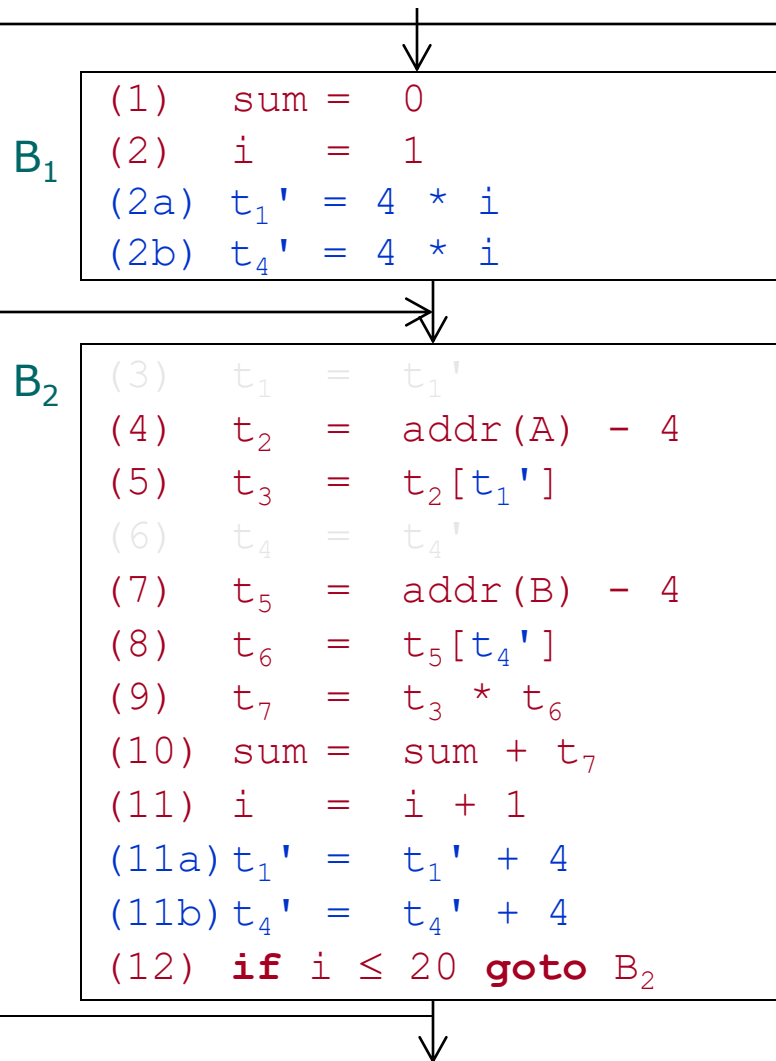
If $C == \pm 1$, only one statement need to be added:

$$j' = j' \pm C_1$$



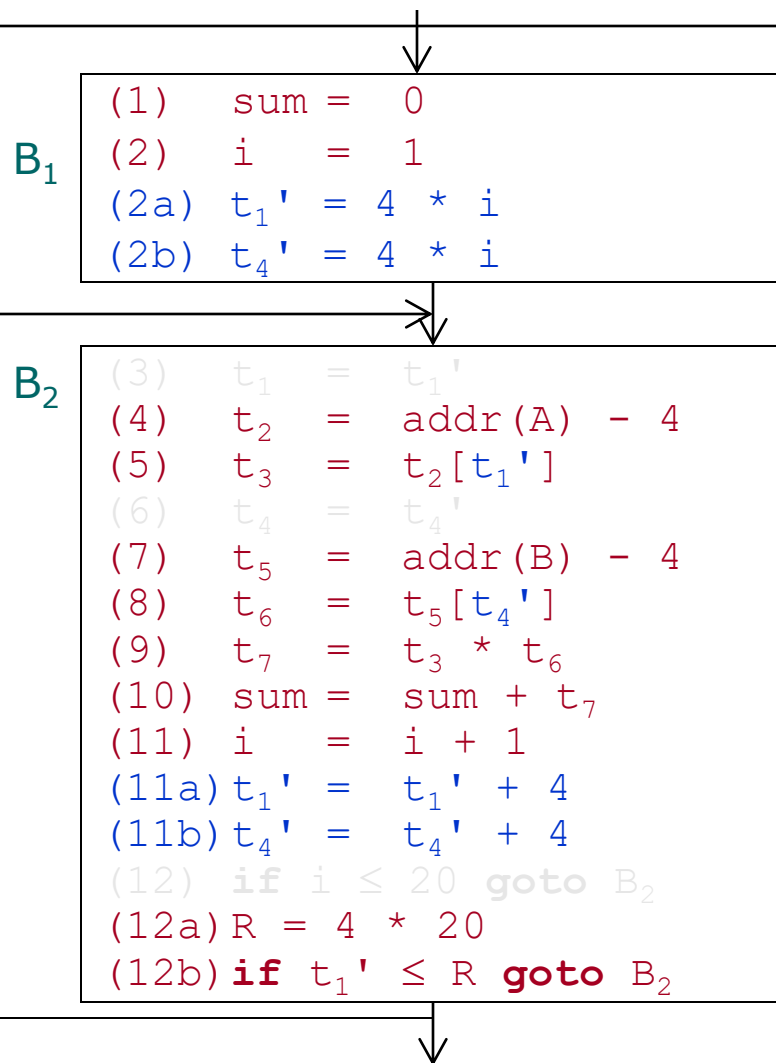
An Example: Eliminate Dead Induction Variables

If induction variable **j** is not live on exit,
change the use of **j** to **j'** (e.g. change reference from **t₁** and **t₄** to **t₁'** and **t₄'**),
and then remove the definition of **j** (e.g. **t₁** and **t₄**).



An Example: Change Loop Condition

Pick a new induction variable from the family (say t_1'), then change the loop condition.



An Example: Remove Basic Induction Variable

If the basic induction variable **i** is not live on exit, the definition of **i** can be removed.

B₁

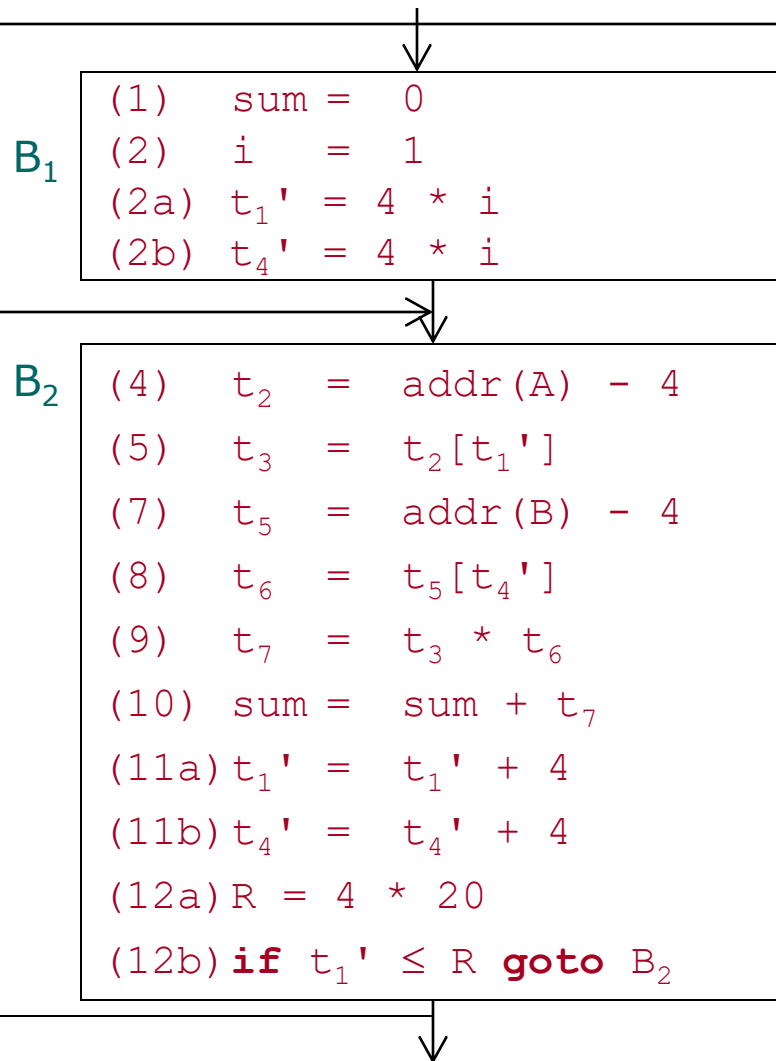
```
(1)  sum = 0
(2)  i    = 1
(2a) t1' = 4 * i
(2b) t4' = 4 * i
```

B₂

```
(3)  t1  = t1'
(4)  t2  = addr(A) - 4
(5)  t3  = t2[t1']
(6)  t4  = t4'
(7)  t5  = addr(B) - 4
(8)  t6  = t5[t4']
(9)  t7  = t3 * t6
(10) sum  = sum + t7
(11) i    = i + 1
(11a) t1' = t1' + 4
(11b) t4' = t4' + 4
(12) if i ≤ 20 goto B2
(12a) R = 4 * 20
(12b) if t1' ≤ R goto B2
```

An Example: After Loop Optimization

The optimized code facilitate further local optimization.



4. Data-Flow Analysis and Global Optimization

- Collect information about data flows
 - How a variable is assigned (**definition**) ?
 - How a variable is referred (**use**) ?
- Control-flow vs. data-flow
 - Control-flow analysis: basic blocks are considered as **black** boxes.
 - Data-flow analysis: basic blocks are considered as **white** boxes.

Where Global Information Are Needed ?

- Local optimization
 - Assignments to a variable can be removed if the variable is never used.
- Loop optimization: code motion
 - Determine loop-invariant operations according to the definitions of variables.
 - Code motion requires the operation is the unique definition in the loop.
 - Code motion also requires the defined variable is not live on the exit of the loop.
- Loop optimization: induction variable elimination
 - induction variables can be removed if it is not used outside the loop.
- Code generation
 - Information on liveness on exit facilitate register utilization.

What Global Information Are Needed ?

- Definition
 - All assignments (sources) of a R-value in a statement.
- Use
 - All possible use of an L-value in a statement.
- Liveness
 - Will the variable be referred as a R-value after a statement.

Basic Concepts

○ Points in a flow graph

between statements

- Between two adjacent statements.
- Before the first and after the last statement.

○ Definition of a variable **x**

statement

- A statement that (may) assign(s) a value to **x**.
- L-value.

○ Use of a variable **x**

statement

- A statement that refers **x** as an operand.
- R-value.

Basic Concepts (cont')

- Definition **d** reaches a point **p**
 - There exists a path from the point immediately following **d** to **p**, such that **d** is not "killed" along the path.
 - While we use **x** immediately following **p**, the value of **x** **may be** determined by **d**.

Ud-Chains vs. Du-Chains

- Ud-chain: the use-definition chain of a variable ***x*** in a use statement ***s***
 - Set of definitions of ***x*** that can reach ***s***.
 - Useful for finding loop-invariants.
 - Also for global constant folding.
- Du-chain: the definition-use chain of a variable ***x*** in a definition statement ***s***
 - Set of uses of ***x*** that can be reached from ***s***.
 - Useful for eliminating induction variables in loop optimization.
 - Also for finding family of induction variables.

Reaching Definition Analysis

- Forward data-flow equation

$$\begin{aligned}\text{out}[B] &= (\text{in}[B] - \text{kill}[B]) \cup \text{gen}[B] \\ \text{in}[B] &= \bigcup_{p \in \text{PRE}(B)} \text{out}[p]\end{aligned}$$

- $\text{in}[B]$: ud-chain before the entry of B.
- $\text{out}[B]$: ud-chain after the exit of B.
- $\text{gen}[B]$: all definitions in B that can reach the exit of B.
- $\text{kill}[B]$: all definitions outside B that are killed by B.

Construction of Ud-Chains

○ **Input:** `gen[]` and `kill[]`; **Output:** `in[]` and `out[]`.

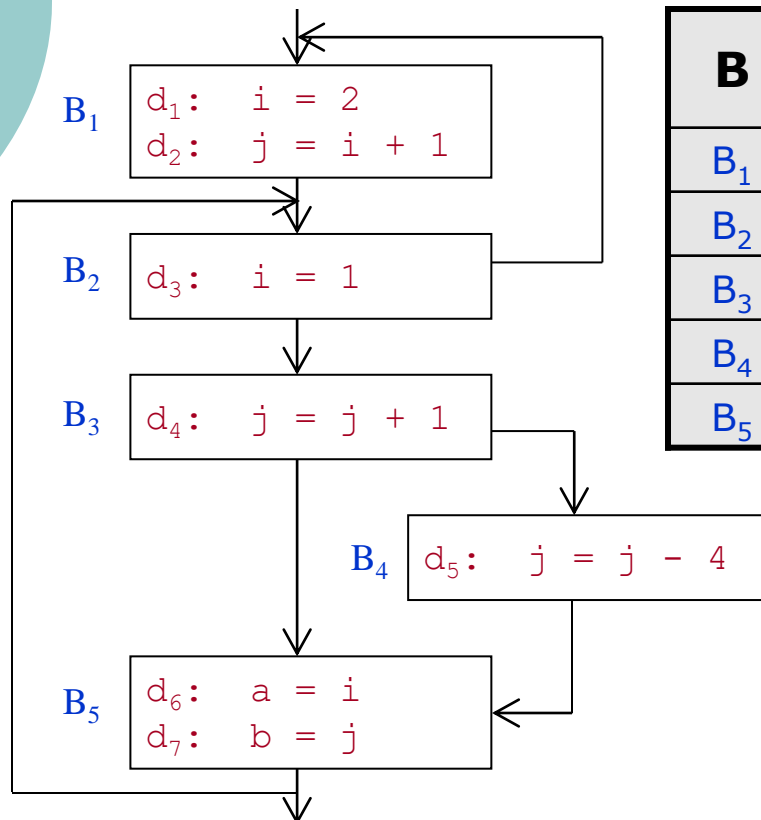
○ **Algorithm**

```
for (int i = 1; i <= n; i++) {    // initialize
    in[Bi] =  $\emptyset$ ;  out[Bi] = gen[Bi];
}
changed = true;
while (changed) {    // iterative
    changed = false;
    for (i = 1; i <= n; i++) {
        newIn =  $\bigcup_{p \in \text{PRE}[Bi]} \text{out}[p]$ ;
        if (newIn  $\neq$  in[Bi]) {
            changed = true;
            in[Bi] = newIn;
            out[Bi] = (in[Bi] - kill[Bi])  $\cup$  gen[Bi];
        }
    }
}
```

An Example:

(1) `gen[]` and `kill[]` is known

- Only variable **i** and **j** are considered



B	gen[B]		kill[B]	
	Set	Vector	Set	Vector
B ₁	{d ₁ , d ₂ }	1100000	{d ₃ , d ₄ , d ₅ }	0011100
B ₂	{d ₃ }	0010000	{d ₁ }	1000000
B ₃	{d ₄ }	0001000	{d ₂ , d ₅ }	0100100
B ₄	{d ₅ }	0000100	{d ₂ , d ₄ }	0101000
B ₅	∅	0000000	∅	0000000

An Example:

(2) Iterations of in[] and out[]

- Depth-first visit: B_1, B_2, B_3, B_4 and B_5

B	Init		1 st		2 nd		3 rd		4 th	
	in[B]	out[B]	in[B]	out[B]	in[B]	out[B]	in[B]	out[B]	in[B]	out[B]
B_1	0000000	1100000	0010000	1100000	0110000	1100000	0111100	1100000	0111100	1100000
B_2	0000000	0010000	1100000	0110000	1111100	0111100	1111100	0111100	1111100	0111100
B_3	0000000	0001000	0110000	0011000	0111100	0011000	0111100	0011000	0111100	0011000
B_4	0000000	0000100	0011000	0010100	0011000	0010100	0011000	0010100	0011000	0010100
B_5	0000000	0000000	0011100	0011100	0011100	0011100	0011100	0011100	0011100	0011100

An Example:

(3) Construction of Ud-Chains

- Compute ud-chains with $\text{in}[B]$.
 - If $\mathbf{s.x}$ has definitions before \mathbf{s} in B , ud-chain of $\mathbf{s.x}$ is a singleton (definition nearest to \mathbf{s}).
 - Otherwise, ud-chain of $\mathbf{s.x}$ is all definitions of \mathbf{x} in $\text{in}[B]$.
- Result ud-chains
 - Variable i at definition d_2 : $\{d_1\}$
 - Variable j at definition d_4 : $\{d_2, d_4, d_5\}$
 - Variable j at definition d_5 : $\{d_4\}$
 - Variable i at definition d_6 : $\{d_3\}$
 - Variable j at definition d_7 : $\{d_4, d_5\}$

d_3 is the definition of i , not j !

d_4 and d_5 are the definitions of j , not i !

Global Constant Propagation and Folding Based on Ud-Chains

```
changed = true;
while (changed) {
    changed = false;
    foreach (statement [S: x = ...]) {
        foreach (operand S.y) { // constant propagation
            if (S.y.ud-chain has only one i and i is [y = CONST]) {
                replace all S.y with CONST;
                changed = true;
            }
        }
    }
    if (S has op and each operand is CONST) { // folding
        let C = result of constant operation;
        replace S with [x = C];
        changed = true;
    }
}
```

More Data-Flow Equations: Available Expressions

- **Forward** data-flow equation

$$\begin{aligned} \text{out}[B] &= (\text{in}[B] - E_kill[B]) \cup E_gen[B] \\ \text{in}[B] &= \text{iif}(B == \text{ENTRY}, \emptyset, \bigcap_{p \in \text{PRE}(B)} \text{out}[p]) \end{aligned}$$

- $\text{in}[B]$: available expressions before B .
- $\text{out}[B]$: available expressions after B .
- $E_gen[B]$: expressions generated by B .
- $E_kill[B]$: expressions killed by B .

- Motivation

- Available expression $E = X \text{ op } Y$ at \mathbf{s} is the last evaluation of \mathbf{E} from entry point to \mathbf{s} , and no redefinition of \mathbf{X} and \mathbf{Y} after the definition of \mathbf{E} .
- Useful: global common expression elimination.

More Data-Flow Equations: Liveness Analysis

- **Backward** data-flow equation

$$\text{in}[B] = (\text{out}[B] - \text{def}[B]) \cup \text{use}[B]$$

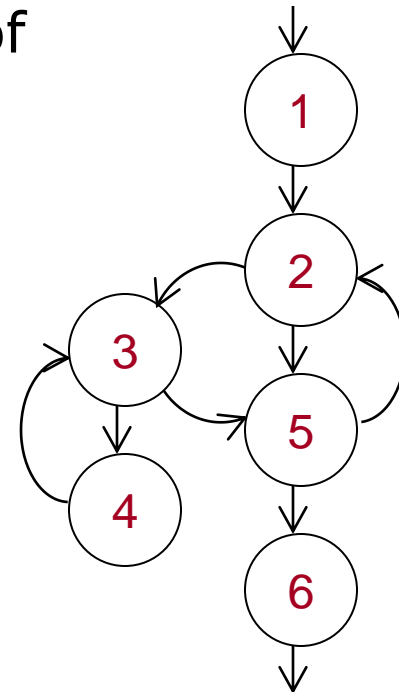
$$\text{out}[B] = \bigcup_{s \in \text{SUCC}(B)} \text{in}[s]$$

- $\text{in}[B]$: live variables before B .
- $\text{out}[B]$: live variables after B .
- $\text{use}[B]$: live variables generated by B .
- $\text{def}[B]$: live variables killed by B .

SUCC = successor

Exercise 12.1

- Given the following flow graph:
 - Compute the dominators of all nodes.
 - Find all back edges in the flow graph.
 - Find all natural loops defined by each back edge.



Enjoy the Course!

