# Data Structures for Disjoint Sets

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### **Disjoint sets**

### Disjoint sets

- Two sets *A* and *B* are disjoint if  $A \cap B = \{\}$ . Ex>  $A = \{1, 2\}, B = \{3, 4\}$
- Sets  $S_1$ ,  $S_2$ , ...,  $S_k$  are disjoint if every two distinct sets  $S_i$  and  $S_j$  are disjoint. Ex>  $S_1 = \{1, 2, 3\}$ ,  $S_2 = \{4, 8\}$ ,  $S_3 = \{5, 7\}$

## **Disjoint sets**

- A collection of disjoint sets
  - A set of disjoint sets is called a collection of disjoint sets.
     Ex> {{1, 2, 3}, {4, 8}, {5,7}}
  - Each set in a collection has a *representative member* and the set is identified by the member.

Ex> 
$$\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\}$$

### **Disjoint sets**

- A collection of dynamic disjoint sets
  - Dynamic: Sets are changing.
    - New sets are created.
      - $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\}\}$   $\rightarrow$   $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}, \{9\}\}\}$
    - Two sets are united.
      - $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\}\}$   $\rightarrow$   $\{\{1, 2, 3\}, \{4, 8, 5, 7\}\}$

## **Disjoint-set operations**

- Disjoint-set operations
  - MAKE-SET(x)
  - UNION(x, y)
  - FIND-SET(x)

### **Disjoint-set operations**

#### $\circ$ MAKE-SET(x)

- Given a member x, generate a set for x.
- MAKE-SET(9)

```
\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\} \rightarrow \{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}, \{9\}\}\}
```

### **Disjoint-set operations**

### $\circ$ UNION(x, y)

- Given two members x and y, unite the set containing x and another set containing y.
- UNION(1,4)
- $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\}\} \rightarrow \{\{1, 2, 3, 4, 8\}, \{5, 7\}\}\}$

### $\circ$ FIND-SET(x)

- Find the representative of the set containing x.
- FIND-SET(5): 7

#### Problem

• **Developing data structures** to maintain a collection of dynamic disjoint sets supporting disjoint-set operations, which are MAKE-SET(x), UNION(x,y), FIND-SET(x).

### Parameters for running time analysis

- #Total operations: m
- #MAKE-SET ops: *n*
- #UNION ops: u
- #FIND-SET ops: f
- m = n + u + f

#### $ou \leq n-1$

- *n* is the number of sets are generated by MAKE-SET ops.
- Each UNION op reduces the number of sets by 1.
- So, after *n*-1 UNION ops, we have only 1 set and then we cannot do UNION op more.

#### Assumption

• The first n operations are MAKE-SET operations.

#### **Contents**

Disjoint-sets

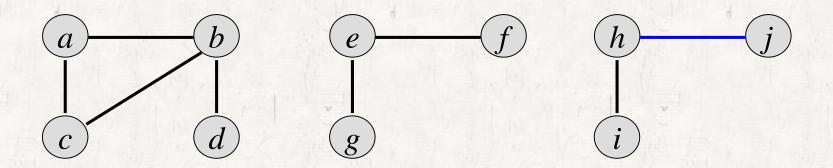
Disjoint-set operations

An application of disjoint-set data structures

Disjoint-set data structures

### **Application**

- Computing connected components (CC)
  - Static graph
    - Depth-first search:  $\Theta(V+E)$
  - Dynamic graph
    - Depth-first search is inefficient.
    - Maintaining a disjoint-set data structure is more efficient.



$$\{\{a,b,c,d\}, \{e,f,g\}, \{h,i\}, \{j\}\}$$

→ 
$$\{\{a,b,c,d\}, \{e,f,g\}, \{h,i,j\}\}$$

Depth first search:  $\Theta(V + E)$ 

Disjoint-set data structures: UNION(h, j)

### Computing CC using disjoint set operations

#### **CONNECTED-COMPONENTS**(G)

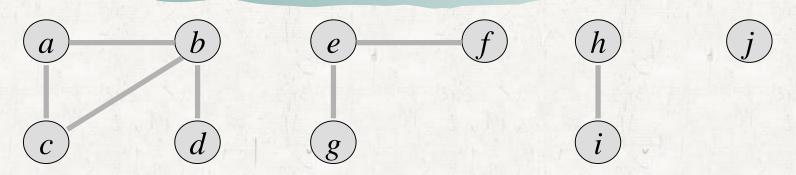
```
1 for each vertex v \in G.V

2 MAKE-SET(v)

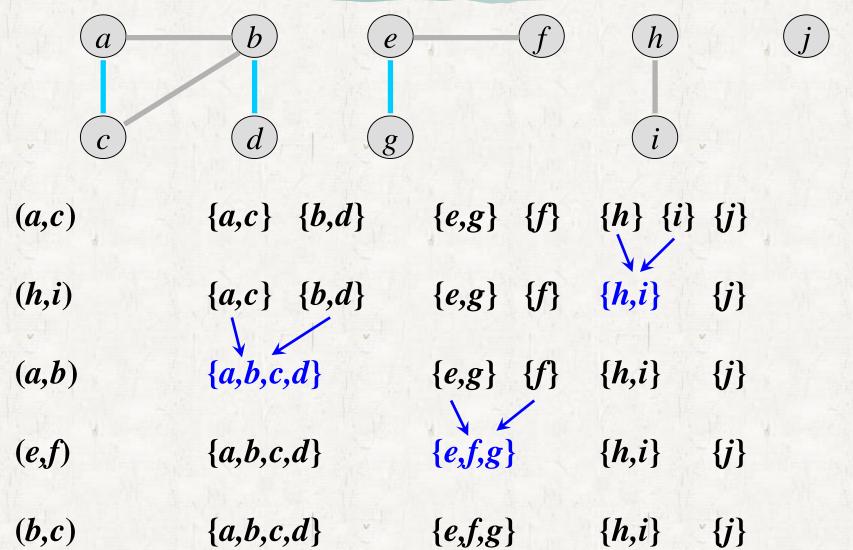
3 for each edge (u, v) \in G.E

4 if FIND-SET(u) \neq FIND-SET(v)

5 UNION(u, v)
```



Initial sets 
$$\{a\}$$
  $\{b\}$   $\{c\}$   $\{d\}$   $\{e\}$   $\{f\}$   $\{g\}$   $\{h\}$   $\{i\}$   $\{j\}$   $\{b,d\}$   $\{c\}$   $\{e\}$   $\{f\}$   $\{g\}$   $\{h\}$   $\{i\}$   $\{j\}$   $\{e,g\}$   $\{a\}$   $\{b,d\}$   $\{c\}$   $\{e,g\}$   $\{f\}$   $\{h\}$   $\{i\}$   $\{j\}$   $\{a,c\}$   $\{b,d\}$   $\{e,g\}$   $\{f\}$   $\{h\}$   $\{i\}$   $\{j\}$ 



#### SAME-COMPONENT(u, v)

- 1 **if** FIND-SET(u) == FIND-SET(v)
- 2 return TRUE
- 3 else return FALSE

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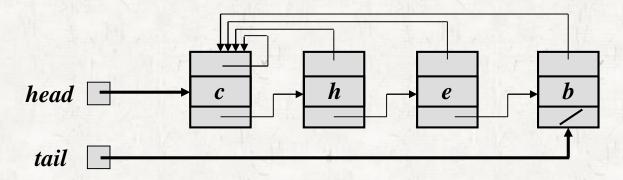
### Disjoint-set data structures

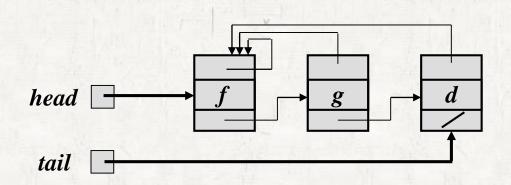
- Linked-list representation
- Forest representation

### Linked-list representation

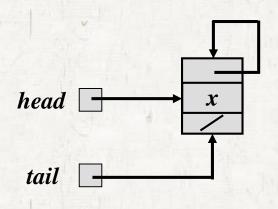
- Each set is represented by a linked list.
- Members of a disjoint set are objects in a linked list.
- The first object in the linked list is the representative.
- All objects have pointers to the representative.

 $\{\{b,c,e,h\},\{d,f,g\}\}$ : Two linked lists are needed.



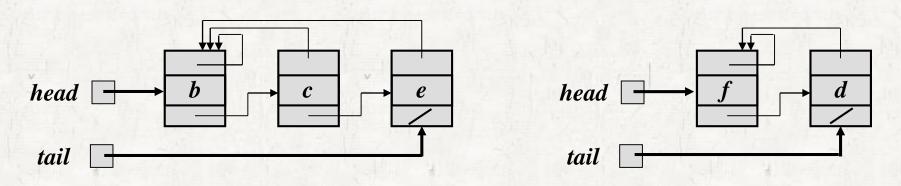


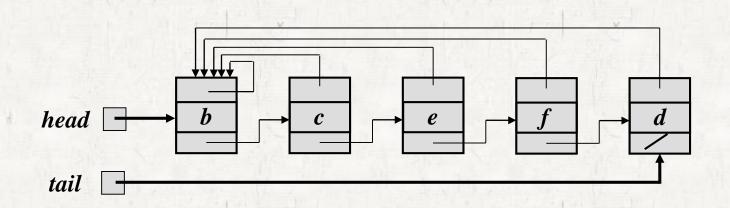
- $\circ$  MAKE-SET(x)
  - $\bullet$   $\Theta(1)$



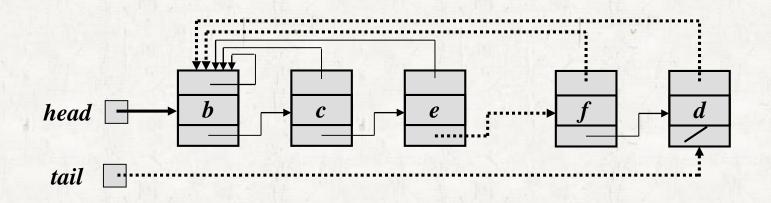
- $\circ$  FIND-SET(x)
  - ⊖(1)

 $\circ$  UNION(x,y): Attaching a linked list to the other





• UNION(x,y): Attaching a linked list to the other



- $\Theta(m_2)$  time where  $m_2$  is the number of objects in the linked list being attached.
  - Changing tail pointer & linking two linked lists:  $\Theta(1)$
  - Changing pointers to the representative:  $\Theta(m_2)$

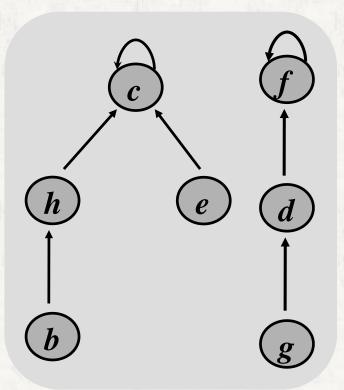


- Running time for m (= n + f + u) operations
  - Simple implementation of union
    - $O(n+f+u^2)$  time  $\rightarrow O(m+n^2)$  time +make\_set
      - Because u < n
  - A weighted-union heuristic
    - $O(n+f+u\lg u)$  time  $\rightarrow O(m+n\lg n)$  time  $\rightarrow O(m+n\lg n)$

### Forest representation

- Each set is represented by a tree.
- Each member points to its parent.
- The root of each tree is the rep.

 $\{\{b,c,e,h\}, \{f,d,g\}\}$ 



#### MAKE-SET(x)

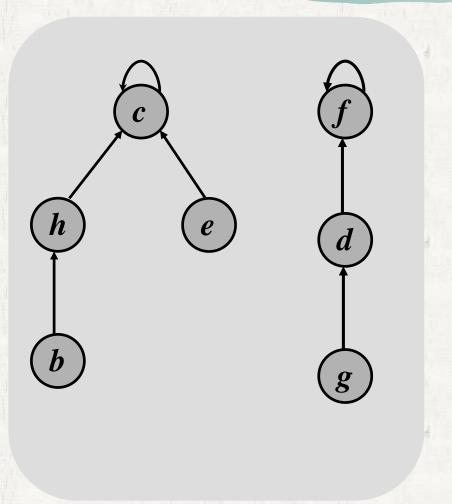
$$1 \quad x.p = x$$

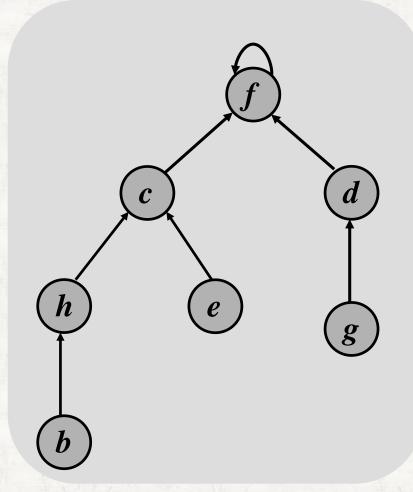
#### FIND-SET(x)

- 1 if x == x.p
- $\mathbf{2}$  return x
- 3 else return FIND-SET(x.p)

### Union by rank

- Idea: Attach the shorter tree to the higher tree.
- Each node maintains a *rank*, which is an upper bound on the height of the node.
- Compare the ranks of the two roots and attach the tree whose root's rank is smaller to the other.





```
MAKE-SET(x)
                             UNION(x, y)
     x \cdot p = x
                             1 LINK(FIND-SET(x), FIND-SET(y))
2 \quad x.rank = 0
                                                    function Union(x, y)
                                                      xRoot := Find(x)
                                                      yRoot := Find(y)
                                                      if xRoot = yRoot
                LINK(x, y)
                                                        return
                1 if x.rank > y.rank
                                                      if xRoot.rank < yRoot.rank
                                                           xRoot.parent := yRoot
                                                      else if xRoot.rank > yRoot.rank
                        y.p = x
                                                           yRoot.parent := xRoot
                   else x.p = y
                                                      else
                                                           yRoot.parent := xRoot
                        if x.rank == y.rank
                4
                                                           xRoot.rank := xRoot.rank + 1
                              y.rank = y.rank + 1
```



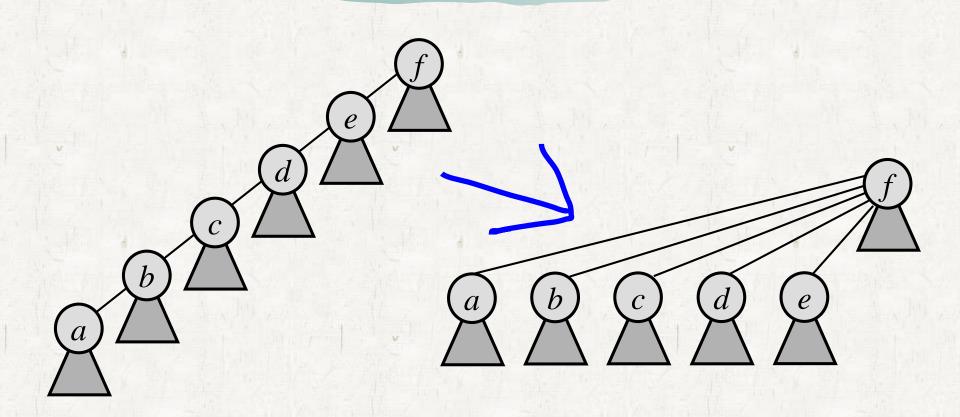


### Path compression

• Change the parent to the root during FIND-SET(x).

#### FIND-SET(x)

- 1 **if**  $x \neq x.p$
- 2 x.p = FIND-SET(x.p)
- 3 return x.p



• Worst case running time :  $O(m \alpha(n))$ 

•  $\alpha(n) \leq 4$ : for all practical situations.